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5 Antigenic diversity is generated by distinct evolutionary mechanisms in African trypanosome species

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## Abstract

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Antigenic variation enables pathogens to avoid the host immune response by continual switching of surface proteins. The protozoan blood parasite *Trypanosoma brucei* is a model system for antigenic variation, and survives by periodically replacing a monolayer of variant surface glycoproteins (VSG) covering its cell surface. We compared the genome of  
40 *T. brucei* with two closely related parasites *T. congolense* and *T. vivax*, to reveal how the variant antigen repertoire evolved, and how this might affect contemporary antigenic diversity. Here we show that VSG in each species have distinct patterns of sequence variation and phylogenetic diversity, due to the divergent evolutionary trajectories each has followed, and reflecting fundamental differences in the scale and mechanism of  
45 recombination.

Antigenic variation enables pathogens to evade immune responses by continual switching of surface proteins<sup>1,2</sup>. The African trypanosome *Trypanosoma brucei* is a protozoan blood parasite that causes human African Trypanosomiasis ('sleeping sickness') across sub-Saharan Africa. It survives in the host by periodically replacing a monolayer of variant surface glycoproteins (VSG<sup>3</sup>) that shield its cell surface<sup>4,5</sup>; the mechanisms for expression and dynamic replacement of VSG are a model system for antigenic variation<sup>4</sup>. We compared the genomes of *T. brucei* and two closely related parasites *T. congolense* and *T. vivax*, to better understand how VSG repertoire has evolved and how this affects contemporary antigenic variability. The *T. brucei* genome includes many hundreds of VSG<sup>6</sup> but each cell expresses just a single gene from a specialized telomeric expression site at any time<sup>4,5</sup>. The parasite population collectively express multiple VSG; when the host becomes immune to the dominant type, clones expressing alternative copies proliferate in a frequency-dependent manner, maintaining the infection and resulting in characteristic 'waves of parasitaemia'. To survive long-term, *T. brucei* must generate novel VSG sequences through recombination; mechanisms may include domain shuffling<sup>7</sup>, and *in situ* gene conversion, possibly within the expression site<sup>8,9</sup>. Functional variant antigens in *T. brucei* consist of a- and b-type VSG (hereafter a-VSG and b-VSG), which share the cysteine-rich carboxy-terminal domain (CTD) but are otherwise distantly related<sup>10-12</sup>. It has been suggested that these VSG are a source of novel genes. Two gene families, the Expression Site-Associated Genes (*ESAG6/7*) encoding transferrin receptors and the VSG-related (*VR*) genes, are thought to have evolved from a-VSG<sup>13-15</sup> and b-VSG<sup>9,12</sup> respectively.

## Results

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### **The VSG gene repertoires of *T. congolense* and *T. vivax***

We have produced high-quality draft genome sequences for *Trypanosoma congolense* IL3000, a sister species of *T. brucei*, and *Trypanosoma vivax* Y486, a third species that branches close to the root of the African trypanosome lineage<sup>16</sup>. These genome sequences are described in supplementary information (see [Supplementary Table 1](#)) and are accessible through GeneDB ([www.genedb.org](http://www.genedb.org)) or TritrypDB ([www.tritryp.org](http://www.tritryp.org)). Comparative analysis including the existing *T. brucei* 927 genome sequence shows that the principal differences in genome content relate to cell surface architecture (see [Supplementary Table 2-4](#)). To define VSG repertoires, gene sequences with predicted cell surface roles were extracted from all three genomes and were sorted using BLASTx, resulting in 81 gene families (see Methods and [Supplementary Table 5](#)). Phylogenies of these families were estimated that we collectively termed the ‘cell-surface phylome’, ([www.genedb.org/Page/trypanosoma\\_surface\\_phylome](http://www.genedb.org/Page/trypanosoma_surface_phylome)). The phylome contains VSG and related families already known in *T. brucei* but it also defines new families that we believe encode the VSG repertoires of *T. congolense* and *T. vivax*.

The *T. congolense* VSG repertoire differs from that of *T. brucei* in three ways. First, there is no a-VSG subfamily of variant antigens; second, there are two b-VSG subfamilies, termed Fam13 (n = 302) and Fam16 (n = 512) by their phylome designations; and third, unlike *T. brucei* VSG, which all share a relatively uniform CTD, *T. congolense* VSG have 15-20 different CTD types, each associated with a specific subset of Fam13 or 16, and none homologous to the *T. brucei* CTD. Hence, *T. congolense* b-VSG are more structurally

heterogeneous than *T. brucei* b-VSG. We know that both Fam13 and 16 contain functional variant antigens because each family includes examples of published *T. congolense* VSG and VSG expressed sequence tags (EST). While there is no a-VSG variant antigen, there are homologs of the a-VSG-like transferrin receptor genes of *T. brucei*, i.e. Procyclin-Associated Genes (*PAG*) (Fam14, n = 22) and *ESAG6/7* (Fam15, n = 43).

VSG structural diversity is even greater in *T. vivax*. We have identified four VSG subfamilies (Fam23-26) that each possess definitive patterns of conserved cysteine residues (see supplementary information). Fam23 (n=540) and Fam24 (n=279) members possess sequence motifs homologous to a-VSG and b-VSG respectively. Fam25 (n = 227) and Fam26 (n = 87) are two subfamilies unique to *T. vivax*, but with low (~20%) protein sequence similarity to known VSG (see [Supplementary Fig. 1](#)). These may have evolved in *T. vivax*, or may represent ancestral lineages not inherited by *T. brucei* and *T. congolense*. Transcriptomic data shows that multiple members of all four families are transcribed in bloodstream-stage cells (see [Supplementary Table 6](#)). We find no orthologs to the transferrin receptor-like genes of *T. brucei* and *T. congolense* among *T. vivax* VSG-like genes or indeed the numerous, novel *T. vivax*-specific gene families.

Amino acid sequence homology with *T. brucei* VSG alone does not guarantee that putative *T. vivax* VSG function as variant antigens. To date, only one *T. vivax* VSG (ILDat 2.1<sup>17</sup>) has been characterized, albeit from a dissimilar strain, and is most closely related to Fam26. Therefore, we identified an expressed VSG in the genome strain Y486 by mass-spectrometry analysis of a protein specific to a relapsed infection population, peptide

fragments of which are 100% identical to a predicted protein in Fam23 (TvY486\_0027060; see [Supplementary Fig. 2](#)). Therefore, at least one a-VSG-like (i.e. Fam23) gene in *T. vivax* encodes a functional variant antigen.

## 120 **The phylogeny of VSG diversification**

In total, the three genome sequences yielded 1083 a-VSG-like and 1537 b-VSG-like full-length genes (see [Supplementary Table 7](#)). We estimated Bayesian and Maximum Likelihood phylogenies from amino acid sequence alignments (see [Supplementary Figs. 3-4](#)) but, given the large number of sequences, and to enable global visualization, we also  
125 estimated a similarity network from pair-wise maximum likelihood protein distances that delivered a clearer picture of relationships within the a- and b-VSG lineages. The distance network includes examples of all VSG subfamilies and represents individual genes as spheres connected to others sharing identity above a threshold (see Methods). The network and phylogenies are fully consistent. [Figure 1](#) shows the similarity network from two  
130 angles (a supplementary video displays the network in three-dimensions); four principal features emerge.

First, the common CTD of *T. brucei* VSG must have evolved through horizontal transfer from one subfamily to the other. In [Figure 1](#), sequences cluster by lineage (a or b) rather  
135 than by species; for instance, *T. vivax* a-VSG (Fam23) is more similar to a-VSG-like subfamilies in *T. brucei* and *T. congolense* than to *T. vivax* b-VSG (Fam24). This demonstrates that VSG lineages are older than the genomes they occupy, indeed, they were present in the common ancestor of all African trypanosomes. The only above-threshold

sequence connections occurring between a- and b-VSG subfamilies (point *i*) concern *T. brucei* VSG and, in particular, their common CTD. This is a unique feature of *T. brucei* VSG and an exception that proves the rule: despite belonging to ancient lineages separated in the ancestral trypanosome, a- and b-VSG in *T. brucei* share a CTD that is species-specific. This can only be explained if the CTD evolved in one subfamily and was transposed to the other.

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Second, b-VSG in *T. brucei* are derived from a single ancestral lineage while *T. congolense* b-VSG are drawn from many lineages, which suggests that *T. brucei* b-VSG have passed through a ‘bottleneck’. In [Fig. 1](#), all b-VSG in *T. brucei* (dark blue) form a cluster to the exclusion of other subfamilies. Hence, they share a recent common ancestor that evolved after the split from *T. congolense*. In contrast, *T. congolense* b-VSG comprise two lineages (Fam13 and 16) that originated in the *T. brucei/congolense* ancestor and form separate clusters in the network (point *ii*). We know that these lineages did not originate in *T. congolense* because their closest relatives are VSG-like genes in *T. brucei* (see below). In fact, Fam13 and 16 themselves split into multiple clusters in [Fig. 1](#) (point *ii*), emphasizing the phylogenetic diversity of *T. congolense* VSG and relative homogeneity in *T. brucei*.

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Third, VSG have repeatedly been a source of functional novelty on the cell surface. We know that VSG can be co-opted from variant antigen functions to novel roles, for example, the serum-resistance antigen (*SRA*<sup>18</sup>) and *TgsGP*<sup>19</sup> proteins in *T. b. rhodesiense* and *T. b. gambiense* respectively. However, these represent secondary loss of function in contemporary VSG. [Figure 1](#) shows that *ESAG2*, a gene family associated with the

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polycistronic *VSG* expression site in *T. brucei*, is a b-*VSG*-like gene, nested among *T. congolense* b-*VSG* (Fam13, point *iii*). Similarly, *VR* genes (purple in [Fig. 1](#)), rather than being derived from b-*VSG* in *T. brucei*, have an ancestral-type structure, more akin to  
165 Fam16 in *T. congolense*. We have also identified another *T. brucei*-specific family (Fam1; pink in [Fig. 1](#)), which encode proteins homologous to b-*VSG*, with a predicted GPI-anchor, but also a highly modified CTD. Fam1 (i.e. Tb927.6.1310) is preferentially expressed in bloodstream forms and localizes to the flagellar pocket and endosomal membranes (see [Supplementary Fig. 5](#)). Phylogenetic analysis clearly demonstrates that both *ESAG2* and *VR*  
170 gene subfamilies, for which the evidence is against a variant antigen function<sup>9,12</sup>, are not recent derivations from *T. brucei VSG*, (like *SRA* and *TgsGP*), but belong to ancestral *VSG* lineages with representatives in *T. congolense* that still encode functional variant antigens (see [Supplementary Fig. 4](#)). Hence, some of the ancestral lineages in *T. congolense* identified above remain in *T. brucei* but have been co-opted to novel roles.

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Lastly, the network indicates that the transferrin receptor evolved from an a-*VSG* gene as suggested previously<sup>9,20-21</sup>. However, this did not occur within the *T. brucei VSG* expression site but instead in the *T. brucei/congolense* ancestor. A tight cluster of transferrin receptor-like genes (i.e. *ESAG6/7* and *PAG*) from *T. brucei* as well as Fam14  
180 and 15 sequences from *T. congolense* is distinct from other a-*VSG* subfamilies in [Fig. 1](#) (point *iv*). This reflects their phylogeny, which shows that Fam14 and 15 are sister lineages to *PAG* and *ESAG6/7* respectively, and their primary structures, which show that amino acid residues crucial for transferrin-binding<sup>15</sup> are conserved in both species (see [Supplementary Fig. 6](#)). Given the absence of this family from *T. vivax*, we conclude that the

185 transferrin-receptor genes evolved prior to the separation of *T. brucei* and *T. congolense* but  
after their split from *T. vivax*. This does not preclude other *T. vivax*-specific proteins  
performing a transferrin-binding function in that species.

These results are summarized in a model of *VSG* evolution (Fig. 2). The ancestral African  
190 trypanosome possessed a- and b-*VSG* type genes; which probably formed multi-gene  
families or functioned as variant antigens. Both *VSG* types were inherited by *T. vivax*, the a-  
*VSG* family of which includes functional variant antigens. The *T. brucei-congolense*  
ancestor inherited both a- and b-*VSG* lineages and at this point one a-*VSG* gene was co-  
opted to a transferrin-binding role differentiated between insect and vertebrate life stages,  
195 founding a lineage that was inherited by both daughter species. Another a-*VSG* lineage  
retained its variant antigen function in *T. brucei*, but was lost from *T. congolense* (see  
supplementary information). Of the ancestral b-*VSG* repertoire, two different lineages have  
been inherited by both species. The first has produced *ESAG2* and *Fam13* in *T. brucei* and  
*T. congolense* respectively; while the second has produced b-*VSG* and *VR* in *T. brucei* and  
200 *Fam16* in *T. congolense*. There is no step in this deduced scheme where a trypanosome  
lacks variant antigen. Clearly, these two species have adapted their common legacy  
differently. *T. congolense VSG* are drawn from multiple ancestral lineages, whereas *T.*  
*brucei* has relegated corresponding genes (*VR*, *ESAG2*, and perhaps *Fam1*) to novel roles,  
and derives its variant antigens from single lineages, derived after speciation. This  
205 difference in the phylogenetic diversity of *VSG* repertoires is important because it could  
affect the ability of the parasites to present novel antigens to their hosts, and therefore  
maintain infection.

### Tree shape and the distribution of VSG sequence variation

210 We examined the phylogenies of VSG subfamilies within species for evidence that their distinct evolutionary legacies have affected contemporary sequence evolution. Figure 3 demonstrates how these trees have distinct topologies. This is due to variation in the ratio of internal to terminal branches, (described by ‘treeness’<sup>22</sup>,  $T$ ), which is low for *T. brucei* ( $T = 0.282$  and  $0.275$ ), higher for *T. congolense* ( $T = 0.376$  and  $0.412$ ) and highest for *T. vivax* (215  $T = 0.681$  and  $0.763$ ). *T. congolense* and *T. vivax* trees are more ‘tree-like’ because they retain information about the past in basal nodes and internal branches, while the *T. brucei* tree consists mostly of long, terminal branches. Figure 3 also compares the distribution of VSG sequence variation, showing that *T. brucei* distances have much narrower distributions than either *T. congolense* or *T. vivax* VSG because both short, terminal branches and long, 220 basal internodes are rare. Importantly, these patterns are genome-specific rather than lineage-specific effects, i.e. a- and b-VSG in *T. brucei* display the same dynamic despite having greater identity with subfamilies in other species. They confirm that the mechanisms for antigenic variability vary between species now and likewise in the past.

225 Recombination is a principal evolutionary pressure affecting *T. brucei* VSG<sup>5,9</sup>, and exchange of the unique VSG C-terminal domain is well recorded<sup>7,12</sup>. Recombination is also the mechanism through which VSG are transposed from subtelomeric loci into the telomeric expression site<sup>4,5,8,9</sup>. *T. brucei* VSG phylogenies in Figure 3 are consistent with frequent recombination but the cladistic structure of *T. congolense* and *T. vivax* VSG phylogenies 230 could only persist if recombination between clades is rare. Furthermore, the incidence of

pseudogenes, which are thought to result from gene conversion between VSG genes<sup>5</sup>, is much lower in *T. congolense*, (where only 21.1% of Fam13 and 29.7% of Fam16 are predicted pseudogenes), and *T. vivax* (15.5% and 27.2% of Fam23 and Fam24 respectively), than in *T. brucei*, (69.2% of a-VSG and 72.2% of b-VSG)<sup>6</sup>. Therefore, we  
235 suspected that recombination frequency might account for species differences in sequence variation.

### **The contribution of recombination to antigenic diversity**

We examined VSG alignments for evidence of recombination, in the form of phylogenetic  
240 incompatibility (PI)<sup>23-24</sup>, taking random samples of each alignment set and observing the proportion showing significant PI ( $P_{pi}$ ; see [Supplementary Table 8](#)). [Figure 4](#) shows that  $P_{pi}$  (color lines) was greatest for *T. brucei* a-VSG (0.392) and b-VSG (0.450) and Fam16 (0.433), and lower for Fam13 (0.125) and *T. vivax* Fam23 (0.138) and Fam24 (0.126). In all cases, observed  $P_{pi}$  was significantly greater than a null distribution (black lines),  
245 confirming that PI was not solely due to other homoplastic effects, such as rate heterogeneity (see methods). Recombination frequency is known to be proportional to sequence identity<sup>25-26</sup> and when we increased sequence identity within alignments by sampling only within crown clades,  $P_{pi}$  increased significantly (dashed lines) for *T. brucei* a-VSG (0.681) and b-VSG (0.642), and for *T. congolense* Fam13 (0.466) and Fam16  
250 (0.823), but not for *T. vivax*. Finally, as the CTD is known to recombine in *T. brucei*<sup>7,12</sup>, we removed the CTD from *T. brucei* and *T. congolense* alignments; this resulted in a significant decrease in  $P_{pi}$  for *T. brucei* a-VSG (0.152,  $p < 0.0001$ ) and b-VSG (0.234,  $p < 0.0001$ ), but in *T. congolense*  $P_{pi}$  actually increased.

255 Therefore, in *T. brucei* and *T. congolense* the evidence for recombination is greatest among  
closest related *VSG*, but was seldom observed in *T. vivax*, even when sampling within  
clusters of highly related sequences. While the frequency of PI is similar for *T. brucei* *VSG*  
and Fam16, if we compare  $P_{pi}$  in a global alignment of *T. congolense* b-*VSG* (0.163) with  
the corresponding value for *T. brucei* (0.450), it is clear that PI is prevalent throughout the  
260 *T. brucei* repertoire but only within *T. congolense* *VSG* clades. This is a sampling effect  
caused by their divergent evolutionary histories. Given that *T. congolense* *VSG* are  
phylogenetically diverse and have a wider distribution of sequence variation, they have  
proportionally more distant relationships and so more structural barriers to genetic  
exchange. In short, there are cohorts of *T. congolense* *VSG* that never recombine, as the  
265 topological differences in Figure 3 suggest.

## Discussion

The past and present evolution of *VSG* can now be brought together. We have shown that  
270 the composition of contemporary *VSG* repertoires is determined by how each species has  
modified the common inheritance. *T. vivax* has the most structurally-diverse repertoire  
comprising a-*VSG*, b-*VSG* and two additional types absent elsewhere; *T. congolense*  
combines multiple, ancestral b-*VSG* lineages each with a distinct CTD, while *T. brucei* a-  
and b-*VSG* are recently derived, single lineages with a common CTD. It is worth  
275 remembering that sequence mosaics generated in late *T. brucei* infections have the potential  
to further increase *VSG* diversity<sup>8,12</sup>; it is not known if this dynamic assortment of *VSG*

sequences occurs in other species. Nevertheless, as a result of compositional differences, the scale of recombination varies between species, being more frequent among *T. brucei* and *T. congolense* VSG than in *T. vivax*, and more prevalent among *T. brucei* VSG than in  
280 *T. congolense*. However, PI in *T. brucei* VSG is due in large part to the CTD promoting exchange throughout the repertoire, whereas the conservative CTDs of *T. congolense* VSG actually reduce the scale of PI and illustrate the lack of recombination between clades.

Differences in the role of the CTD indicate that, in addition to scale, the mechanism of  
285 recombination also varies between species. The CTD is exchanged between *T. brucei* VSG, but does not solicit an immune response and therefore, may not directly contribute to antigenic diversity<sup>27</sup>. However, it has been speculated that the CTD may have a role in the transposition of VSG, which is of paramount importance to antigenic variation<sup>4,5,8,9</sup>. VSG genes are frequently transposed around the *T. brucei* genome through gene conversion, and  
290 this is required to move VSG from silent, subtelomeric loci into the telomeric expression site, from where a single VSG is transcribed<sup>4,8,9,12</sup>. It has been suggested that transposition of the antigenic N-terminal domain, (i.e. the major part of the VSG exposed to the host), is facilitated by the 70bp repeat region, (which precedes telomeric and subtelomeric VSG), and the CTD, which provide conserved annealing points up- and downstream  
295 respectively<sup>9,12</sup>. Our observation that the majority of PI in *T. brucei* VSG alignments concerns the CTD confirms the prediction of this model that a recombination breakpoint should occur between the N- and C-terminal domains, which are essentially decoupled. Immediately, we can see that this mechanism cannot operate in *T. congolense*, where the CTDs are heterogeneous and have no role in promoting exchange. Hence, we propose that

300 the pre-eminence of the CTD in PI reflects the frequent transposition of N-terminal domains, and through its solitary CTD type, which originated uniquely through horizontal transfer between *VSG* lineages, *T. brucei* may have evolved a distinct mechanism for the movement of *VSG* between genomic loci and into the telomeric expression site.

305 Antigenic variation is central to the host-trypanosome relationship, intimately linked to the course and severity of disease, to parasite transmission and host range, and therefore to disease epidemiology. All African trypanosomes display antigenic variation and although the current *T. brucei*-based model might adequately describe the general phenomenon, this study shows that the genomic basis for antigenic variation has diverged among

310 trypanosomes in a manner consistent with distinct mechanisms for generating antigenic variability. Consequently, we now have reason to expect substantial species differences beneath the general phenotype, a framework to dissect this variation, and so a basis for understanding how the enigmatic *VSG* connects with the wider disease.

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325 Wellcome Trust Sanger Institute.

## Figure legends

**Figure 1.** A sequence similarity network of *VSG*-like sequences from African trypanosome  
330 genomes, shown from 0° and 270° angles. A 3-D rendering of the network is provided as a supplementary video. The network represents pair-wise maximum likelihood protein sequences, generated in PHYLIP<sup>28</sup> using a WAG+ $\Gamma$  model<sup>29</sup> from multiple alignments of selected a-*VSG*-like (a-*VSG*, Fam23, *TFR*-like and *PAG*-like proteins; n = 174) and b-*VSG*-like (b-*VSG*, Fam13, Fam16, Fam24, *VR*, *ESAG2* and Fam1 proteins; n = 339) protein  
335 sequences, which are representative of global diversity. Spheres represent individual sequences shaded according to subfamily. The network was created with BioLayout Express 3D v2.0<sup>30</sup>, which optimizes the placement of each sphere in three-dimensional



space to minimize the size of the graph, such that highly related sequences cluster together. It was necessary to apply a lower threshold on pair-wise distances to reduce noise (i.e. weak connections between very distantly related sequences; see Methods). A dashed line separates a-VSG-like subfamilies (above) and b-VSG subfamilies (below). Four significant features identified in the text are labeled: i) sequence similarity between a- and b-lineages due to the shared CTD of *T. brucei* VSG; ii) the position of *ESAG2* nested within Fam13; iii) the position of Fam1, a *T. brucei*-specific b-VSG-like gene family; and iv) tight cluster of transferrin receptor-like genes from both *T. brucei* and *T. congolense*.

**Figure 2.** A model of VSG gene family evolution in African trypanosomes. This cartoon depicts the elaboration of VSG subfamilies in contemporary and ancestral genomes. Uncertain origins are indicated by dashed lines. An asterisk \* indicates that a subfamily includes a proven variant antigen, although other variant antigens may occur in unmarked subfamilies. The presence of a-VSG and b-VSG-like structures in all three trypanosome species indicates that contemporary VSG are representatives of a- and b-lineages that were present in their common ancestor. Each species has modified this shared inheritance differently. *T. vivax* has additional subfamilies that may have been present in the ancestor, and subsequently lost by the *T. brucei/congolense* ancestor, or could represent *T. vivax*-specific developments. Close relationships between *T. brucei* and *T. congolense* VSG-like genes, for instance *ESAG2* and Fam13, shows that these lineages had already evolved in the *T. brucei/congolense* ancestor, and suggest that distinct functions have evolved in one or both daughter species. A red arrow indicates that the CTD is uniquely shared between a- and b-VSG in *T. brucei* and has been donated from one subfamily to the other in either

direction.

**Figure 3.** Comparisons of phylogenetic tree topologies for *VSG*-like subfamilies. Bayesian phylogenies were estimated for six *VSG* subfamilies from *T. brucei* 927 (in blue, at left), *T.*  
365 *congolense* IL3000 (in green, centre) and *T. vivax* Y486 (in red, at right) with MrBayes 3.2.1.<sup>31</sup> using a WAG+ $\Gamma$  model. Default settings were applied, except for: Ngen=5000000, Nruns=4, samplefreq=500, burnin=1000-2500 (as required to achieve convergence). These trees contain all full-length protein sequences available (n) and include both intact genes and predicted pseudogenes. All trees are drawn to the same scale. The ‘treeness’ statistic  
370 (*T*) describes the proportion of tree length taken up for internal branches<sup>20</sup>, and is a measure of the phylogenetic signal/noise ratio. Below each tree a histogram describes the distribution of pair-wise genetic distances (grouped into bins; x-axis) plotted against frequency (y-axis); mean average ( $\mu$ ) and standard deviation ( $\sigma$ ) are provided.

375 **Figure 4.** Prevalence of significant phylogenetic incompatibility within *VSG*-like sequence alignments. Phylogenetic incompatibility (PI) describes the presence of multiple, conflicting phylogenetic signals within a single data set. Typically, PI is caused by recombination but can also result from heterogeneity in substitution rate or other molecular homoplasy<sup>23</sup>. Protein sequence alignments for six *VSG* subfamilies were examined for PI  
380 using the Pairwise Homoplasy Index (PHI<sup>24</sup>). Each alignment was randomly sampled 100 times and the proportion of samples displaying PI was counted ( $P_{pi}$ ). A distribution for  $P_{pi}$  was generated by creating 100 bootstrapped alignments in each case (solid, coloured line).

To generate a null distribution, 100 alignments were simulated using the observed Bayesian phylogeny with a maximum likelihood substitution model (WAG+ $\Gamma$ ) that corrected for rate  
385 heterogeneity but did not consider recombination (black lines). Finally, to demonstrate the effect of genetic distance on PI, the analysis was repeated on smaller alignments of closely related sequences taken from crown clades (dashed lines; see Methods). Mean average values, followed by standard deviations, are provided for observed ( $\mu_{\text{obs}}$ ), simulated ( $\mu_{\text{sim}}$ ) and within-clade sampling ( $\mu_{\text{within}}$ ) distributions.

390

## Methods

**Genome sequencing and annotation.** *Trypanosoma congolense* IL3000 and *Trypanosoma vivax* Y486 were propagated as described previously<sup>32-33</sup>. High molecular weight DNA was  
395 extracted in late log phase by phenol-chloroform extraction and purified by gel electrophoresis. Genomic DNA was capillary sequenced using a whole genome shotgun strategy as described previously<sup>6</sup>. Sequence reads were assembled using Phrap ([www.phrap.org](http://www.phrap.org)). Automated in-house software (Auto-Prefinish) was used to identify primers and clones for additional sequencing to close gaps by oligo-walking and manual  
400 base checking. Repetitive regions or others with an unexpected read depth were manually inspected. The assembled contigs were iteratively ordered and orientated against the *T. brucei* 927 genome sequence<sup>6</sup> (TritrypDB Version 1.0) using ABACAS<sup>34</sup>. The manually curated genome annotation of *T. brucei* was transferred to the *T. congolense* and *T. vivax* assemblies using custom perl scripts, based on sequence and positional homology, and  
405 manually edited where appropriate using Artemis<sup>35</sup>. Ordering contigs against the *T. brucei* reference creates pseudo-chromosomes that suit comparative genomics, but these may be misleading if it enforces spurious similarity. *T. congolense* is the closest relative of *T. brucei* and both species have 11 megabase chromosomes<sup>36</sup>. However, *T. vivax* is more distantly related with an uncertain karyotype<sup>37</sup>. Therefore, in addition to producing pseudo-  
410 chromosomes, we manually assembled scaffolds from *T. vivax* contigs using read-pair information.

**Annotation of VSG genes.** VSG structures are highly mutable, and therefore annotation transfer and BLAST-based sequence homology with *T. brucei* VSG may not adequately  
415 annotate variant antigens in other species. Therefore, Hidden Markov Models (HMM) built using HMMER v3.0 (<http://hmmer.janelia.org/>) from *T. brucei* a- and b-VSG sequence alignments initially, and then native *T. congolense* and *T. vivax* VSG, were used to identify additional VSG candidates. This process increased the size of *T. congolense* and *T. vivax* VSG families by 10-37%. HMM searching also showed that many gene models were  
420 partial. Failure to annotate complete coding regions might under-estimated the frequency of pseudogenes, so the boundaries of all putative VSG open reading frames in *T. congolense* and *T. vivax* were manually checked against the HMM-defined boundaries to ensure that they began with a conserved signal peptide and terminated in a GPI anchor signal. Finally, each sequence was compared with relevant VSG sequence alignments to confirm  
425 completeness.

**Data accessibility.** Draft genome sequences have been submitted to EMBLBank: *T. congolense* accession numbers HE575314 to HE575324 and CAEQ01000352-  
CAEQ01002824; *T. vivax* accession numbers HE573017-HE573027 and CAEX01000001-  
430 CAEX01008277. The data can be examined via GeneDB (<http://www.genedb.org>) and TritrypDB (<http://tritrypdb.org>). *T. vivax* transcriptome data have been submitted to the European Bioinformatics Institute Array Express Archive (accession number E-MTAB-475). Sequence alignments and phylogenetic trees comprising the cell surface phylome are contained in GeneDB ([http://www.genedb.org/Page/trypanosoma\\_surface\\_phylome](http://www.genedb.org/Page/trypanosoma_surface_phylome)).

435

**Comparison of gene content.** We used OrthoMCL<sup>37-38</sup> to examine species-specific genes and gene families, as well as conserved families with interspecific disparities in copy number. To check and expand on these putative gains and losses, we manually compared each *T. brucei* chromosome with *T. congolense* and *T. vivax* pseudo-chromosomes using the Artemis Comparison Tool (ACT<sup>39</sup>). Disruptions to co-linear gene order were identified but, since sequence gaps occasionally prevented a three-way comparison, we only considered disruptions that occurred within contigs (i.e. were not adjacent to gaps). The orthoMCL analysis shows that the principal differences in genomic complement concerned surface-expressed genes. To confirm that other areas of cell function were conserved, we manually inspected the locations of genes involved in the *T. brucei* flagellar proteome<sup>40</sup>, intracellular transport<sup>41</sup>, glycosyl transfer<sup>42</sup>, ribosomal structure, phosphorylation, as well as a range of genes involved in metabolism. All putative losses were confirmed by examining expected genomic position and by searching unassembled sequence reads for reciprocal sequence matches by tBLASTn/BLASTx.

450

***T. vivax* transcriptome.** *T. vivax* Y486 was grown from stablate in BALB/c mice immunosuppressed with cyclophosphamide (250 mg.kg<sup>-1</sup>) and was amplified at patent parasitaemia in three immunosuppressed mice, from which whole blood was collected. The blood was treated with the erythrocyte lysis buffer (EL; QIAGEN), following the manufacturer's instructions, and RNA was isolated from the pellet using the RNeasy mini kit protocol (QIAGEN).

455

**Analysis of Fam1 gene expression.** To determine mRNA expression levels of Fam1 family members quantitative real-time polymerase chain reaction (qRT-PCR) was carried out on total RNA extracted using RNeasy Mini Kit (QIAGEN). cDNA was generated using SuperScript II Reverse Transcriptase according to the manufacturer's instructions. qRT-PCR was carried out using three different isolated mRNA samples from four life-cycle stages [*in vitro* cultured bloodstream-stage and procyclic forms; *in vitro* cultured short stumpy bloodstream-stage; and *in vivo* cultured *T. brucei* bloodstream-stage]. *T. brucei* Rab11 was used as a control to determine relative quantity of mRNA. The relative abundance of specific RNA was subsequently determined.

**Transfection and protein localization.** A Fam1 gene (Tb927.6.1310) was synthesized by Eurogentec. *T. brucei* single marker bloodstream line cells were cultured in HMI-9 medium as described previously<sup>43</sup>. Ectopic expression of haemagglutinin (HA) epitope-tagged Tb927.6.1310 at the N-terminus (following the predicted signal peptide sequence) was carried out using pXS5/pDEX-577<sup>44</sup> constitutive and inducible expression vectors respectively. For protein extraction, proteins were transferred onto Immobilon polyvinylidene fluoride membrane and incubated with primary mouse anti-HA antibody (1:8,000) and subsequently with secondary rabbit anti-mouse peroxidase conjugate antibody (1:10,000, Sigma). Immunofluorescence microscopy was carried out on permeabilised and non-permeabilised transfected cells harvested at log phase.

**VSG purification and sequencing.** *T. vivax* Y486, grown from stabilate as described above, was injected into a mouse with intact immune system, inducing a relapsing

parasitaemia. After 14 days, trypanosomes were purified from the blood by Percoll gradient fractionation, as described<sup>33</sup>. Trypanosomes were lysed in sample buffer and the extract was fractionated by 2D-electrophoresis according to the manufacturer's instructions (Amersham). Comparison of the day 14 with the initiating population, prepared in the same way, revealed significant differences in both dimensions in a ~40 kDa spot group, which is consistent with VSG switching. Both extracts were run in one-dimensional SDS-PAGE and three bands in the estimated size range were extracted from each, trypsinized and subjected to liquid chromatography/tandem mass spectrometry analysis. The major band in the day 14 population revealed Mascot hits with putative VSG contigs; the five other bands were 'housekeeping' proteins. For cDNA cloning, total RNA from purified *T. vivax* was primed with oligo[dT] and cDNA was generated using a primer specific to the 5' spliced leader<sup>44</sup> and an anchored oligo[dT] primer. A dominant ~1.3 kb band was gel extracted and was cloned into the TOPO plasmid (Invitrogen), and clone inserts were sequenced.

**495 Cell-surface phylome.** The African trypanosome cell surface phylome is a collection of phylogenies for gene families with predicted cell surface expression. All *T. brucei* genes with cell surface motifs, (i.e. a predicted signal peptide, a predicted GPI anchor or a transmembrane helix) were extracted. Genes annotated as 'unlikely' or <150 codons were removed. Homologs to each *T. brucei* 'surface' gene were identified among all *T. brucei*, *T.*  
**500** *congolense*, *T. vivax* and *T. cruzi* predicted genes (the latter included as an outgroup) using wuBLAST. Where at least four homologs occurred in at least one species, this constituted a 'family' amenable to phylogenetic analysis. After removing genes already identified as homologous to *T. brucei* genes, this exercise was repeated for *T. congolense* and *T. vivax*



genes, for which signal peptides were predicted using Signal P<sup>46</sup>, GPI anchors were  
505 predicted using Fraganchor<sup>47</sup> and transmembrane helices were predicted using TMHMM<sup>48</sup>.  
A total of 291 ‘surface expressed’ families was reduced to 81 by removing cases of poor  
alignment (i.e. spurious homology), obvious non-coding sequence (i.e. mis-annotation), and  
cases with fewer than four unique sequences (i.e. duplicated sequence), by combining  
families with overlapping homology, and by removing known mitochondrial and lysosomal  
510 genes or other families expressed in internal membranes.

**Phylogenetic analysis.** Amino acid sequences for each family were aligned in ClustalW<sup>49</sup>;  
all multiple alignments were then manually edited.. In most cases the amino acid sequence  
alignment was used, but nucleotide sequences were examined in cases of low sequence  
515 divergence. Bayesian phylogenies were estimated using MrBayes v3.2.1<sup>31,50</sup> (Nruns=2,  
Ngen=10000000, samplefreq=1000 and default prior distribution). Nucleotide sequence  
alignments were analyzed using a GTR+ $\Gamma$  model. Maximum likelihood phylogenies were  
estimated using PHYML v3.0<sup>51</sup> under an LG+ $\Gamma$  model<sup>29</sup> for amino acid sequences or a  
GTR+ $\Gamma$  model for nucleotide sequences. Node support was assessed using 100 non-  
520 parametric bootstrap replicates<sup>52</sup>. The trees were rooted using *T. cruzi* sequences, or  
otherwise mid-point rooted. Bayesian *VSG* phylogenies were estimated using alignments of  
selected, full-length sequences representative of global diversity (Nruns=1, Ngen=1000000,  
samplefreq=100 and default prior distribution). ‘Treeness’ was calculated for each tree  
topology using TreeStat v1.2 (<http://tree.bio.ed.ac.uk/software/treestat/>); this is defined as

525 the proportion of total tree length taken up by internal branches and measures the noise to  
signal ratio in a phylogenetic data set<sup>22</sup>.

**Recombination analysis.** Recombination results in sequence alignments with multiple  
phylogenetic signals<sup>23</sup>, otherwise known as phylogenetic incompatibility (PI). The pair-  
530 wise homoplasy index (PHI<sup>24</sup>) returns a single probability value for PI and this was applied  
to amino acid sequence alignments for seven *VSG* sub-families (see Supplementary Table  
8). For each alignment, 1000 sub-alignments of 10 sequences were prepared by selecting  
sequences at random. The proportion of sub-alignments with significant PI, termed  $P_{pi}$ , was  
compared between species. Confidence intervals on  $P_{pi}$  were obtained by repeating the  
535 analysis on 100 non-parametric bootstraps of each alignment, generated using SEQBOOT  
[<http://evolution.genetics.washington.edu/phylip/doc/seqboot.html>]. To confirm that  
significant PI was not due simply to rate heterogeneity or other forms of homoplasy, a null  
distribution for  $P_{pi}$  was obtained from simulated alignments generated with SEQGEN  
[<http://tree.bio.ed.ac.uk/software/seqgen/>], using maximum likelihood branch lengths and a  
540 WAG+ $\Gamma$  model that incorporated corrections for rate heterogeneity, but not recombination.  
To assess the effect of sequence identity on  $P_{pi}$  the analysis was repeated using alignments  
of sequences belonging to individual crown clades only as defined by *VSG* subfamily  
phylogenies; this is referred to as ‘intensive sampling’. To assess the effect of the CTD on  
 $P_{pi}$ , the analysis was repeated using *T. brucei* and *T. congolense* alignments with the CTD  
545 removed, (curtailed to the 3’-most universally conserved cysteine residue). This was not  
done for the *T. vivax* alignments since there is no obvious CTD.

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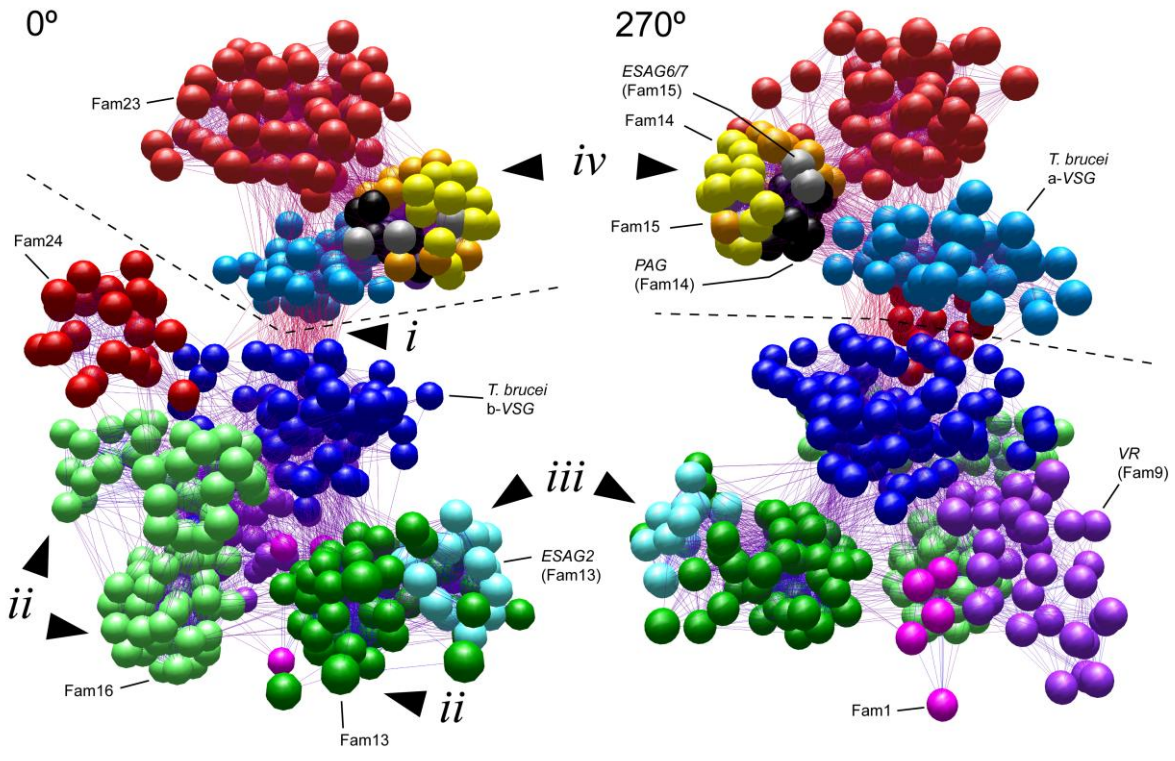
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**Figure 1.**

**a-VSG lineage**

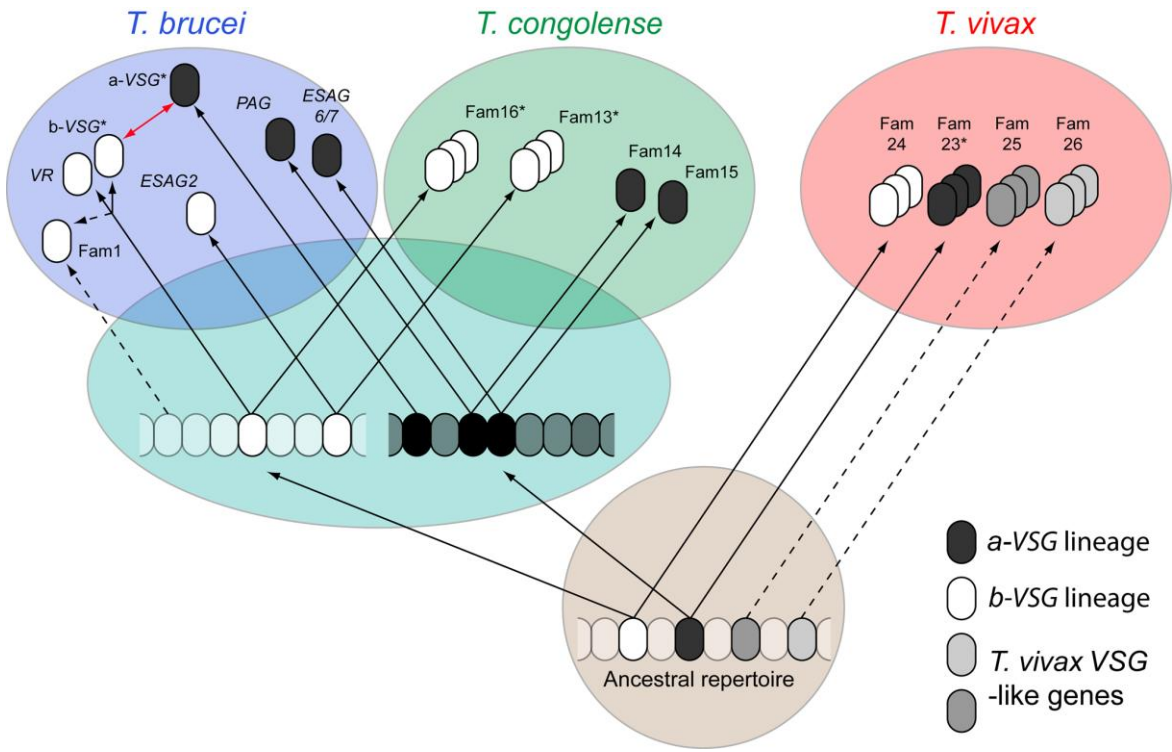


**b-VSG lineage**



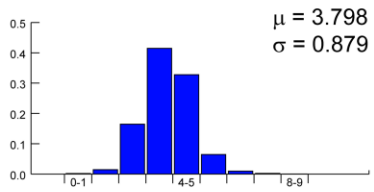
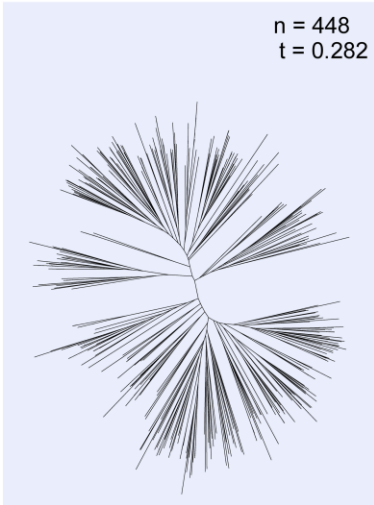
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690 **Figure 2.**

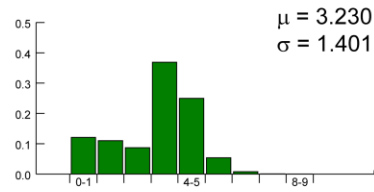
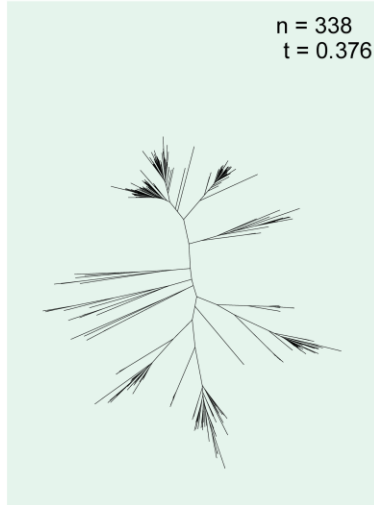


695 **Figure 3.**

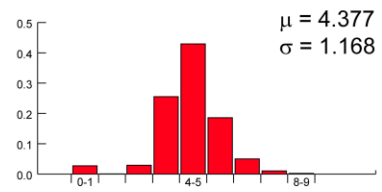
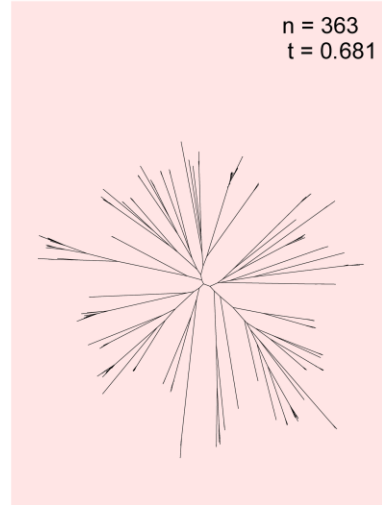
**a-VSG**



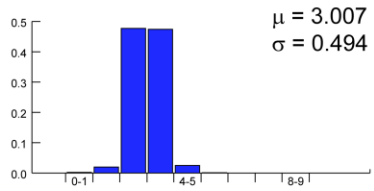
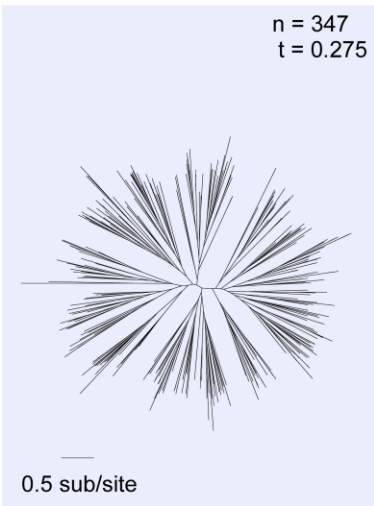
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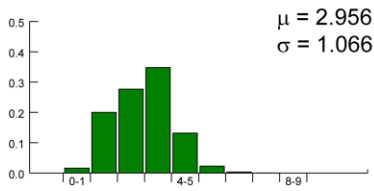
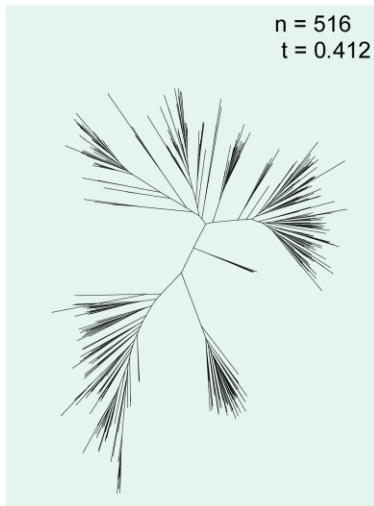
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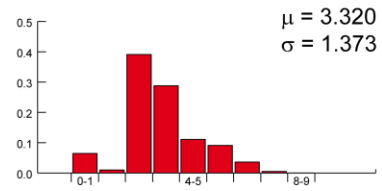
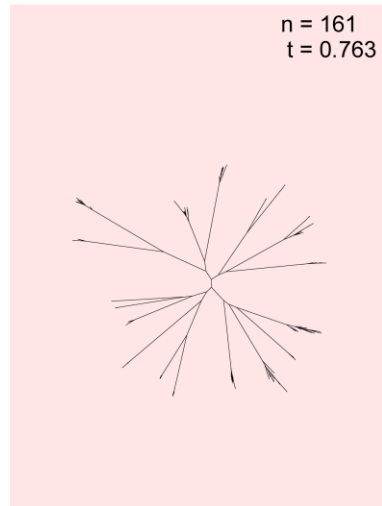
**b-VSG**



**Fam16**



**Fam24**





**Figure 4.**

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