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Neuronal processing of translational optic flow in the visual system of the shore crab *Carcinus maenas* (L.).

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Abstract

This paper describes a search for neurones sensitive to optic flow in the visual system of the shore crab *Carcinus maenas* using a procedure developed from that of Krapp and Hengstenberg. This involved determining local motion sensitivity and its directional selectivity at many points within the neurone's receptive field and plotting the results on a map. Our results showed that local preferred directions of motion (LPDs) are independent of velocity, stimulus shape, and type of motion (circular or linear). Global response maps thus clearly represent real properties of the neurones' receptive fields.

Using this method, we have discovered two families of interneurones sensitive to translational optic flow. The first family has its terminal arborisations in the lobula of the optic lobe, the second family in the medulla. The response maps of the lobula neurones (which appear to be monostратified lobular giant neurones) show a clear focus of expansion centred on or just above the horizon, but at significantly different azimuth angles. Response maps such as these, consisting of patterns of movement vectors radiating from a pole would be expected of neurones responding to self-motion in a particular direction. They would be stimulated when the crab moves towards the pole of the neurone's receptive field. The response maps of the medulla neurons show a focus of contraction, approximately centred on the horizon, but at significantly different azimuth angles. Such neurones would be stimulated when the crab walked away from the pole of the neurone's receptive field.

We hypothesise that both the lobula and the medulla interneurones are representatives of arrays of cells, each of which would be optimally activated by self motion in a different direction. The lobula neurones would be stimulated by the approaching scene, the medulla neurones by the receding scene. Neurones tuned to translational optic flow provide information on the three dimensional layout of the environment and are thought to play a role in the judgment of heading.

Introduction

Vision plays a key role in the majority of animals for their survival. However, the variety of eye types and the functions for which they are adapted is huge (Land and Nilsson, 2002). In contrast to the camera eyes of vertebrates, many arthropods have compound eyes, made up of individual units called ommatidia, each of which has its own lens system and photoreceptors. Such compound eyes have two major subtypes, apposition and superposition, that are adapted for vision under high and low light intensities respectively (Land, 1981). The eyes of crabs are known as parabolic superposition eyes (there are also reflecting and refracting superposition eye types), and each ommatidium uses a mixture of lens and mirror optics to form an image of a small part of the field of view at the tip of the rhabdom, the part of the eye where the visual pigment is located (Nilsson, 1988). Compared to humans, both spatial acuity and colour vision are poor, but the eyes do detect ultraviolet light and are sensitive to the plane of polarisation of light. The latter ability is particularly useful for seeing reflecting surfaces (Zeil and Zanker, 1997) and, at least in insects such as bees, is used for navigation. Crab eyes, though lacking a fovea, have a band of high acuity located around the equator of the eye, which is particularly pronounced in ‘flat-world’ crabs that live on sand or mud flats, though less so in ‘complex environment’ crabs such as the shore crab, *Carcinus*, the crab used in this study (Zeil *et al.*, 1986; 1989).

As well as the perception of brightness and colour, eyes detect form and movement, and this motion perception is involved in a multitude of behavioural tasks including finding/selecting a mate, avoiding predators, catching prey, as well as more basic tasks such as control of balance and contributing to oculomotor reflexes. Motion detection can also be used by animals to detect their own movements (self-motion) in order to navigate through their surroundings. Therefore the detection of movement plays a very important part in an animal’s life and, consequently, has been an active field of research (see reviews by e.g. Land, 1999 and Eckert and Zeil, 2001).

Of particular interest is the image motion over the retina of the eye resulting from an animal moving through its surroundings, first described by Gibson over 50 years ago (Gibson, 1950). The term “optic flow” was used by Gibson to describe these images and his studies

were aimed at understanding the role of optic flow in visual perception and guidance. The mathematics behind these studies was introduced by Koenderink and Van Doorn (1987) and has led to rapid advances in computer vision and the development of autonomous robots that can navigate on the basis of optic flow (e.g. Srinivasan *et al.*, 1999; Green *et al.*, 2004; Hyslop *et al.*, 2010). Optic flow can be split into two components, rotational and translational. Active turning results in a rotational optic flow field in which all the vectors (indicating direction of motion and its velocity) at a given elevation have the same length, irrespective of the distance of the objects in the image, and they all point in the opposite direction to the movement. Motion in a straight line, on the other hand, produces a translational flow field with a pole or focus of expansion in the direction of travel, and vector length depends on both the angle of the contour relative to the direction of travel and the distance of objects from the eye. Gibson demonstrated that the rotational component could be used to correct deviations from an intended path, while the translational component provides animals with a large amount of information about their own movement such as velocity, distance covered, and heading (Gibson, 1966). Since this time, evidence has accumulated for the involvement of optic flow in tasks such as distance estimation (David, 1982; Srinivasan *et al.*, 1991), collision avoidance (Holmqvist and Srinivasan, 1991; Tammero and Dickinson, 2002), maintenance of a constant heading (Collett and Land, 1975), course stabilization and oculomotor control (Hassenstein and Reichardt, 1956; Götz, 1975), triggering of landing responses (Wagner, 1982) and control of moth-flower distance in hovering flight (Farina *et al.*, 1994).

Within the vertebrates, optic flow neurons have been recorded from both birds and mammals, including the mammalian vestibulocerebellum (Simpson *et al.*, 1981), primate dorsal MST (Saito *et al.*, 1986; Tanaka and Saito, 1989; Paolini *et al.*, 2002), primate VIP (Bremmer *et al.*, 2002) and avian nucleus rotundus (Wang and Frost, 1992; Wylie and Frost, 1993; Sun and Frost, 1998). Optic flow neurones of insects include neurones in hovering moths that respond to looming or receding stimuli (Wicklein and Strausfeld, 2000), but undoubtedly the best understood system is the lobula plate (third optic neuropil) of blowflies. This contains about 60 wide-field directionally-selective visual interneurones called tangential neurons (Hausen, 1982a,b; Hausen, 1984; Hausen and Egelhaaf, 1989).

Elegant experiments by Krapp and Hengstenberg (1996) and Krapp *et al.* (1998), reviewed by Krapp (2000) and extended by Haag and Borst (2004) amongst others, have revealed that many of these neurones have a distribution of local preferred directions (LPDs) and local motion sensitivities (LMSs) that show a striking similarity to those of optic flow fields. The findings suggest that each tangential neurone is tuned to process image flow generated by specific movements of the flying insect (see review by Taylor and Krapp, 2007).

Much less is known about the visual system of crustaceans. Early work identified response properties of interneurons of the optic nerve to simple stimuli. These included sustaining fibres, dimming fibres and several classes of motion detectors (Waterman *et al.*, 1964; Wiersma, 1966; Wiersma and Yamaguchi, 1966, 1967; York and Wiersma, 1975, reviewed in Wiersma *et al.*, 1982). Subsequent studies based on intracellular recording and Lucifer-yellow injections confirmed the identity of many of the sustaining fibres (Kirk *et al.*, 1982, 1983). More recent work on the neural integration of motion stimuli of particular relevance to this study examines the properties of neurons responsive to looming stimuli that elicit escape (Tomsic *et al.*, 2003; Medan *et al.*, 2007; Oliva *et al.*, 2007; Sztarker and Tomsic, 2008).

This paper examines the responses of visual neurones sensitive to optic flow in the visual system of the shore crab using Krapp and Hengstenberg's procedure (Krapp and Hengstenberg, 1997) adapted for crabs (Johnson *et al.*, 2002) and other visual stimulation methods. This involves determining the local motion sensitivity at many points within the receptive field. The map of LPDs and LMSs derived in this way then reveals the cell's global motion sensitivity. Using this method, we have discovered two families of interneurons sensitive to optic flow, one in the lobula, the other in the medulla. We hypothesise that both the lobula and the medulla interneurons are representatives of arrays of cells with poles at different azimuth angles, each of which would be optimally activated by self motion in a different direction. The lobula neurones would be stimulated by the approaching scene, the medulla neurones by the receding scene. Preliminary accounts of these experiments appear in Barnes *et al.* (2001) and Barnes *et al.* (2002).

Materials and Methods.

Animals

Experiments were performed on shore crabs, *Carcinus maenas* (L.), collected from the Firth of Clyde, Scotland (Millport Marine Station). They were maintained for up to two months in holding tanks of circulating sea-water (temperature: 10°C, 12 h/12 h light/dark regime) before use. They were fed once a week on a diet of fish.

Preparation.

Recordings were made from the right eyestalk of crabs of both sexes, and carapace width 5–7 cm. The crab was first induced to autotomise the chelae, and mounted horizontally in a clamp. The right eyestalk was then fixed to the carapace with cyanoacrylate cement in a natural ‘raised’ position while the left eye was glued into a position fully withdrawn into its socket. In order to make intracellular recordings from visual interneurons in the optic lobe it was necessary to exchange the blood for oxygenated Ringer’s solution. This was done by a method broadly similar to that described for crayfish (Glantz and Bartels, 1994; Kirk *et al.*, 1982). The hypodermis dorsal to the heart was exposed by making a circular cut (ca. 1 cm in diameter) through the cardiac region of the carapace using a dental drill. The circular flap of cuticle thus formed was carefully removed without puncturing the skin. A similar area of hypodermis in the left protogastric region of the carapace (nomenclature of Pearson, 1908) was exposed in the same way. The crab was then lowered into a bath of cold (6°C) oxygenated *Carcinus* Ringer (in mM: NaCl 500, KCl 12, CaCl₂ 12, MgCl₂ 20, Tris 10, Maleic acid 2, glucose 2, pH 7.3; modified after Bush and Roberts, 1971). The exposed hypodermis above the heart was then cut away, the pericardium torn and the blood was allowed to exchange with the surrounding Ringer’s solution. This process was accelerated by injecting Ringer’s solution into the main chamber of the heart (10–15 ml min⁻¹) via a syringe and hypodermic needle.

After 25 minutes of ex-sanguination, the crab was connected to a gravity-fed system for continuously perfusing the circulatory system with oxygenated Ringer's solution. The crab was first raised above the surface of the Ringer's solution. Then a cannula was introduced into the hole in the carapace above the heart and sealed in position with a combination of cyanoacrylate cement and Blu Tack™ (Bostik). The flow rate was set to about 3 ml min⁻¹. The previously exposed hypodermis in the protogastric region was cut away and the underlying blood sinuses opened in order to provide a route for Ringer outflow. In some preparations it was necessary to displace the exposed part of the stomach posteriorly and glue it to the carapace in order to prevent it from ballooning out through the hole and blocking the Ringer outflow. After re-immersing the crab, the right optic lobe was exposed by whittling away the overlying eyestalk cuticle with a scalpel blade, removing the hypodermis, and desheathing the optic ganglia in the region of interest. The crab was removed from the saline bath and transferred to the experimental set-up.

Visual stimulation

Visual stimuli were back-projected onto two flat screens (38cm long x 32cm high) that formed two sides of an equilateral triangle (Fig. 1). The crab was positioned midway between the two screens, facing the apex, with the right eye at the mid-point of the triangle. In this way, images could be projected over an angular range relative to the eye of 240° in azimuth (0° to 120° on the right screen, 0° to -120° on the left), and about 100° in elevation (typically -40° to +60° relative to the crab's horizon).

The test stimuli were generated by computer programs written in the Turbo Pascal or Borland Delphi languages, and running on PCs. They were projected via angled plane mirrors onto each screen with LCD computer projectors (Panasonic PT-L291EG, resolution 640x480 pixels, contrast ratio 150:1). Using a light meter placed at the position normally occupied by the crab we measured maximum and minimum luminances of our stimuli (using a 'completely white' and 'completely dark' screen, respectively) of 42.2 and 0.33 cd m⁻². The Michelson contrast $[(I_{\text{max}} - I_{\text{min}})/(I_{\text{max}} + I_{\text{min}})]$ was therefore 0.98. The main stimuli used in this study were: a moving white disk (Fig. 2b), a flashing white disk (Fig. 2c), small-field straight line motion (Fig. 2d), small-field circular motion (Fig. 2e), small-

field circular motion compensated for distortions due to perspective (Fig. 2f) as described in Johnson *et al.* (2002). Responses to local motion stimuli were tested at fixed angular intervals, typically of 15° azimuth and elevation at the intersections of the grid shown in Fig. 2a.

As Barnes *et al.* (2002) have shown, our computer-generated stimuli are entirely suitable for crab visual system research. In particular, the refresh rate of our computer projectors, 70Hz, was significantly higher than crab flicker fusion rates, 50Hz (light-adapted) and 32Hz (dark-adapted), measured in the fiddler crab, *Uca pugilator* (Layne *et al.*, 1997). Secondly, the spatial resolution of our experimental set-up, 0.1875° per pixel, was over 10 times greater than the best spatial resolution of the *Carcinus* eye, 2° (Zeil *et al.* 1986).

Intracellular recording and staining

Intracellular recordings were made using either thin-walled (1 mm outer diameter; 0.22 mm wall; impedance 40 MΩ) or thick-walled (1 mm outer diameter; 0.42mm wall; impedance 110 MΩ) borosilicate glass microelectrodes filled with 4% Lucifer Yellow in the tip and 1 M lithium chloride in the barrel. Neural responses and monitors of intracellular current injection and the visual stimulus were amplified conventionally and recorded online using a CED1401plus interface and Spike 2 software running on a PC. Subsequent data analysis was performed using Spike 2 scripts. Raw data and analysed results were stored on the hard drive and recordable compact discs.

Neuronal morphology was investigated using Lucifer Yellow dye injection (Stewart, 1978). Cells were dye-filled using approximately -4 nA continuous current injection for as long as possible. In practice, this varied from 5 to 90 minutes. As soon as the recording was over, a drop of fixative was applied to the eyestalk to initiate the fixation process. Then the whole eyestalk was removed from the crab and dissected in fixative. The fixative consisted of 3.7% formaldehyde with added sucrose (15 g per 100 ml) in a phosphate buffer (5 g NaCl, 14 g Na₂HPO₄·2H₂O and 3.2 g NaH₂PO₄·2H₂O, dissolved in 1 litre of distilled water). Both fixative and buffer were adjusted to pH 7.3 with 1 M NaOH. It was found that the fixative did not penetrate properly unless the optic ganglia were dissected out of the eyestalk.

Preparations were then left to fixate overnight in a refrigerator. Reconstructions of neuronal morphology were carried out on whole mounts viewed under a fluorescence microscope.

Data analysis

Some pre-treatment of the data was necessary, e.g. using Spike2 peak detection or threshold facilities to generate an event channel for nerve impulses based on the waveform of an intracellular recording. However, after this the analysis was semi-automatic. Examples of the stages involved in deriving the directional motion sensitivity of a neurone to small-field circular motion at an individual screen locus (Krapp and Hengstenberg, 1997) are shown in Fig. 3. The first stage was to generate a phase diagram of the response to anti-clockwise motion (Fig. 3a), based either on impulse activity or a signal average of non-spiking responses (Fig. 3c). The mean preferred direction was derived by circular statistics (Batschelet, 1981). A similar phase diagram of the response to clockwise rotation (Fig. 3d) is not directly comparable because the sequence of motion directions tested is different. However, the clockwise plot can be made comparable to the anti-clockwise response by reversing it (i.e. rotating it about its x-axis) and then phase-shifting it by 180° (Fig. 3e). Clearly, the curves for anti-clockwise and clockwise motion still do not match. This is because the anticlockwise distribution is subject to an unknown phase lag whereas in the clockwise distribution, this has been converted to an equal phase lead, due to the flip/shift transformation of the data. Thus, the two distributions are separated by 2x the phase shift. The true preferred direction is derived by shifting the anticlockwise distribution clockwise (to eliminate phase lag), and the clockwise distribution anti-clockwise (to eliminate phase lead), until the mean vectors are aligned. The true mean-vector is obtained by averaging the two distributions and recalculating the circular statistics (Fig. 3f). During the analysis, a provisional response map is built up progressively as each response at a new screen position is analysed on a 'Mercator' map of visual space. A vector is drawn at each position tested, the angle indicating the local preferred direction of motion. The vector length is 'r', indicating the local strength of this direction preference; $r=1$ means that all events fall within the same directional bin, while $r=0$ means that they are equally distributed over the full 360° angular range. Once all the data is analysed, a local motion

sensitivity (LMS) response map can be generated (see Figs 6-8). Here local motion sensitivity is defined as mean response in a 90° sector that is centred on the local preferred direction (LPD) minus the response in the 90° sector centred on the anti-preferred direction. Vector lengths can be normalised relative to the largest to generate a map that facilitates comparison of sensitivity patterns between individuals.

A second method of generating the polar plots from which the LPDs and LMSs are calculated used straight line motion (Fig. 4). In these linear motion tests, the same target disk moved, at constant speed, back and forth across the diameter of the circular path followed in the rotating disk paradigm (Fig. 4a). The target remained stationary for a fixed time at the end of each sweep so that the unknown response latency did not lead to ambiguity between responses to motion in opposite directions (Fig. 4b). After a fixed number of sweeps, the ‘diameter’ tested was advanced by 30° and the process repeated until all 12 directions had been tested. The initial pair of directions was then repeated as a control for adaptation of motion sensitivity during the sequence. Polar directional plots were based on cumulated spike counts for each motion direction (Fig. 4c), and the LPD derived from this by circular statistics (Batschelet, 1981). In practice, this method was generally applied at relatively few screen loci in order to corroborate the analysis based on rotational motion. This was because the linear motion stimulus was more time-consuming and has a much lower resolution.

Results

Our analysis of the properties of wide-field motion detecting neurones that might be involved in the detection of optic flow involved a two-stage process. First, the direction of local motion sensitivity was analysed at many different points within the neurone’s receptive field. Then the resulting vectors, representing the direction and strength of motion sensitivity at each analysed position, were used to compile a map of the cell’s global motion sensitivity.

Analysing local motion sensitivity in wide-field visual interneurons

The initial stimulus used to search for motion-sensitive neurones and estimate their receptive fields was a white disk moved over a black background using the computer mouse (Fig. 2b). Receptive fields were further characterised from responses to a flashing white disk at each test locus (Fig 2c). Only motion sensitive neurones with large receptive fields ($>90^\circ$ of arc) were studied further. Neurones with the above properties were found in both the medulla and lobula neuropils of the optic tract (terminology of Sztarker *et al.*, 2005).

Local preferred directions of motion sensitivity (LPDs) were analysed from the responses to rotation of a white disk moved in a circle, first anticlockwise for a number of cycles and then clockwise for the same number of cycles (Fig. 2e,f). As Figure 3 illustrates, most of these neurones responded with bursts of nerve impulses to these local stimuli whenever the movement was in the preferred direction for this location within the receptive field, though we have also analysed subthreshold responses (not shown). Polar phase diagrams were separately compiled for clockwise and anticlockwise responses (Fig. 3c,d) and then, after appropriate transformations (see figure legend and Fig. 3e), combined to give the final polar plot (Fig. 3f).

LPDs have also been calculated from responses to straight-line motion rather than rotation (Fig. 2d). Fig. 4 shows a typical example of the responses obtained from a neurone in the medulla. As Fig. 4b shows, the response is phasotonic, the firing frequency remaining high for a period after the end of the movement. Note that movement in the opposite direction produces inhibition. Exactly the same screen positions could be tested with the two different methods to provide a check of the directional selectivity. Polar directional plots (e.g. Fig. 4c) were based on accumulated spike counts for each motion direction. Resulting LPDs usually had smaller concentration parameter values than when circular motion stimuli were used (see below)..

An example of such a check of directional selectivity appears in Fig. 5. It shows that LPDs are reassuringly robust and relatively insensitive to changes in speed of movement.

Estimates of LPD for a single point in the neurone's receptive field are hardly affected by changing the velocity at which the disk is rotated for cycle periods between 0.5 and 3 s (Fig. 5a), though there is a halving of the value of the concentration parameter r for a fourfold increase in cycle period. These periods translate into angular velocities covering the range $35\text{-}200^\circ \text{ s}^{-1}$. LPDs are also unaffected by the method used to compile them. When linear motion stimuli are used instead of a rotating disk (Fig. 5b-d show 3 different points in the visual field), the LPDs remain closely matched, though again the value of r is affected, being lower for straight line stimuli. The reduction in r for straight line movements is, however, mostly a property of the way in which the polar plot was compiled for such movements, for they include the tonic as well as the phasic response to the movement (Fig. 4c). In Fig. 5e, we look at one point in space, and use circular motion to assess the mean vector (plotted on the Y axis of the graph). This is affected neither by the period of the motion (X axis of graph), nor the shape of the object moved, whether it be a spot, bar, annulus or lattice. The line of best fit is not significantly different from the horizontal (t-test: $p > 0.10$). Together, these three tests make us confident that LPDs represent real properties of the neurones' receptive fields, rather than artifacts of the experimental method.

Response map compilation

Fig. 6a-d shows recordings from a wide-field motion-detecting neurone with its dendritic arborization in the medulla, and phase plots resulting from stimulating positions in space 20° apart in azimuth. There is a clear reversal of directional selectivity between the two points (mean phase shift equals $170^\circ \pm 34^\circ$, $n = 47$). Such a result makes it clear that we are dealing with a neurone with a complex receptive field. Local motion sensitivity was therefore analysed over the whole receptive field of the neurone, at all 56 standardised grid coordinates, and the resulting LPDs were then plotted onto a Mercator projection map of visual space. This map is shown in Fig. 6e (green vectors). It has a clear focus of contraction (FOC) at about 45° to the right of the midline at an elevation of about -20° (horizon equals 0°) and all vectors are directed approximately towards this point. The illustrated polar plots are situated on either side of the FOC in columns 3 and 5 of row 4 (asterisks). As a test of whether response maps depended on the type of visual stimulus, we

have used three different methods to calculate the LPDs in Fig. 6e. The different colours represent flat screen rotational stimuli (Fig. 2e) shown in green, pre-distorted rotational stimuli (Fig. 2f) shown in black, and straight-line motion (Fig. 2d) shown in red. Clearly there is a good match between the results obtained using the three different methods, an indication that such maps truly represent the cell's global motion sensitivity.

Variability of responses within animals was tested on a number of occasions by repeating the compilation of the map of local motion sensitivity after an interval of about half an hour with the microelectrode still within the neurone. Consistent values were invariably obtained (data not shown).

Types of response map and their properties

Of the 18 preparations (out of a total of 27) where we have good data and have been able to compile response maps from up to three wide-field, motion-sensitive neurones per preparation, we have successful Lucifer Yellow fills from 13 neurones. The majority of these have their dendritic arborisations in the lobula and their somata ventrally located between the lobula and the lateral protocerebrum. A smaller percentage has their dendritic arborisations in the medulla and their somata at the edge of the lobula near the sinus gland. All neurones anatomically identified as medulla neurones (Fig. 7a) had response maps characterised by a FOC (Fig. 7b), while all neurones anatomically identified as lobula neurones (Fig. 7c,e) had response maps characterised by a focus of expansion (FOE) (Fig. 7d,f). We conclude that we are recording from two arrays of neurones with opposite properties. Neurones receiving their inputs in the medulla have receptive fields characterised by a FOC and so would be stimulated by receding objects, while neurones receiving their inputs in the lobula have receptive fields characterized by a FOE and so would be stimulated by approaching objects. Surprisingly, in view of the fact that high gain optokinetic responses occur in crabs such as *Carcinus* (Horridge and Sandeman, 1964; Horridge, 1966; Barnes and Horridge, 1969), we have not recorded from neurones sensitive to rotational optic flow.

From experiments where we have recorded from more than one neurone in a preparation (Fig. 8), it is clear that different neurones have poles at different azimuths. As far as medulla neurones are concerned, we have recorded from cells where the azimuths of the FOCs were located at 0° (frontal), 50-55°, 90° and 120° to the right of frontal (n = 10). For lobula neurones, the azimuths of the FOEs are located at 30-40°, 60-75° and 90-100° to the right of frontal (n = 17). Presumably, the left optic lobe will include cells covering the area to the left of the midline. Examples of these are illustrated in Fig. 8. Whether the foci also have different elevations is less certain, because of possible errors ($\pm 10^\circ$) in the positioning of the eye. For medulla neurones, the range was -25° to $+30^\circ$ (horizon = 0°), with a mean value of -5° (n = 10), while for lobula neurones, the range was 0° to $+48^\circ$ with a mean of $+22^\circ$ (n = 17). It certainly appears that the FOEs of lobula neurones are in general centred above the horizon.

The majority of response maps had a receptive field 90-120° in width and extending vertically from about 40° below the horizon to about 60° above it (Figs 7d and 8). A small minority were much wider in extent. For instance, the medulla neurone whose response map is shown in Fig. 7b extended from -65° to $+65^\circ$, while the lobula neurone whose response map is illustrated in Fig. 7f extended from -115° to $+115^\circ$. For all neurones where we both dye-filled the neurone and mapped its response field, there was a good correlation between the extent of the dendritic tree and the width of the response map (Fig. 7). Response maps of medulla neurones were relatively variable in shape. Fig 8c shows a map where there is an extended vertical area around the FOC, while those in Figs 7b and 8d show extended horizontal areas. A common feature of lobula neurone response maps, illustrated in both Fig. 8a and 8b (but not in Fig. 7b) is for the response map to be extended horizontally, perhaps providing a template for the horizontal skylines that dominate most seascapes (Barnes *et al.*, 2001; Eckert and Zeil, 2001).

Other properties of these neurones

The class of interneurone having their dendritic arborisations in the medulla respond vigorously to the preferred direction of motion and are strongly inhibited by movements in the opposite direction (Fig. 6a,b). They also respond phasically to 'light on' and are

momentarily hyperpolarized following ‘light-off’ (Figs 9a). Lobula neurones, on the other hand, showed ‘on’ and ‘off’ responses to a stationary light stimulus in addition to their response to movement (Fig. 9b). In a few experiments their distribution was mapped. Both ‘on’ and ‘off’ responses occurred in the central area of the receptive field, with ‘off’ responses extending towards the periphery of the receptive field more widely than ‘on’ responses (Fig. 8a).

Discussion

Identity of the populations of medulla and lobula neurones with response maps characteristic of optic flow neurones.

A number of different classes of visually responsive neurone, including sustaining fibres, dimming fibres and several different types of movement fibres, have been identified within the optic nerves of a variety of decapod crustaceans (see Wiersma *et al.*, 1982 for a review). Each of these categories can be subdivided on the basis of the size and location of the neurone’s excitatory receptive field, in some cases down to the level of individual physiologically identifiable neurones. Subsequent work on crayfish, based on intracellular recordings, has begun to give us insights into the neural circuitry of the optic tract (reviewed by Glantz and Miller, 2001). A more complete picture is emerging from recent work on the crab, *Chasmagnathus*. This includes a thorough histological study based on silver staining of neurones (Sztarker *et al.*, 2005; 2009), and a detailed analysis of the different properties of lobular neurones involved in escape responses to looming stimuli (Berón de Astrada and Tomsic, 2002; Medan *et al.*, 2007; Oliva *et al.*, 2007; Sztarker and Tomsic, 2008), several of which show a form of learning related to habituation (Tomsic *et al.*, 2003). Yet none of this work refers to the term ‘optic flow’; nor have stimuli appropriate for the identification of neurones tuned to optic flow been used. It is clearly of interest to see how our work fits into this picture.

In crayfish, a class of visual interneurone known as ‘sustaining fibres’, initially called tonic “on” neurones by Wiersma and Yamaguchi (1966, 1967), have their main dendritic tree in

the medulla (Kirk *et al.*, 1982) and respond to a light pulse with a phasotonic discharge that persists for the duration of the stimulus and is followed by an inhibitory “off” response (Kirk *et al.*, 1983; Pfeiffer and Glantz, 1989). They are additionally directionally selective to movement (Glantz *et al.*, 1995). Similar responses to light have been recorded from sustaining fibres in crabs (Berón de Astrada *et al.*, 2001; 2009). It seems quite clear that the class of neurones we have been recording from that have their dendritic arborisations in the medulla are sustaining fibres. The location of the dendritic tree (Fig. 7a) and the response to light pulses (Fig. 9a) are identical to those described above for such fibres. Additionally, our evidence that there are a number of these neurones, with receptive fields that together cover the whole visual field of the crab, is compatible with the 14 members of the sustaining fibre ensemble identified by Kirk *et al.* (1983).

We can be equally sure of the identity of the second group of optic flow sensitive neurones, those having their main dendritic arborisation in the lobula (or internal medulla to use the older nomenclature). From their responses to movement, it is clear that these neurones belong to the class of fibres called movement fibres by Wiersma *et al.*, (1982). In their early work, the Tomsic group called such fibres movement detector neurones or simply MDNs (Berón de Astrada and Tomsic, 2002; Tomsic *et al.*, 2003). The histological work of Sztarker *et al.*, (2005) demonstrated that MDNs were not a single morphological cell type, for intracellular staining revealed two morphological subtypes, with dendrites restricted to a single stratum of the lobula (monostatified) or spread over two strata (bistratified). Each of these types can be further subdivided according to the size of their receptive fields, which is around 90° (type 1) or over 180° (type 2). We thus end up with 4 morphological types (M1, M2, B1, B2) according to Oliva *et al.* (2007), but renamed mono- and bistratified lobular giants 1 and 2 (MLG1, MLG2, BLG1 and BLG2) by Medan *et al.*, (2007). As well as their strong responses to small moving stimuli, they are characterized by a response to a light pulse that consists of brief excitatory responses (often just a single spike) at ‘on’ and ‘off’ (Medan *et al.*, 2007). The response to movement, the location of the main dendritic arborisations in the lobula and the characteristic response to a light pulse (Fig. 9b) indicate that the lobular neurones we have been recording from are lobular giant neurones. Since we see dendrites restricted to a single plane (Fig. 7c,e), they

are monostratified lobular giants. The majority of our recordings are from MLG1 neurones, since they have receptive fields covering about 90° of arc (Fig. 7d) with different neurones covering different parts of the visual field. Medan *et al.*, (2007) identify 14 neurones in this category. On a few occasions, we recorded from neurones with much larger receptive fields (Fig. 7f), where the dendrites covered the full width of the lobula (Fig. 7e). These are clearly MLG2 neurones. Medan *et al.* suspect that there is only one neurone of this type, a conclusion with which we concur.

Are these populations of medulla and lobula neurones detectors of translational optic flow?

The methodology used here involved determining local motion sensitivity at 56 different points in the visual field. The resulting LPDs were then used to compile a response map representing the neurone's global motion sensitivity. The over-riding advantage of this method over other stimuli that stimulate the whole receptive field at once is that the experimenter does not have to pre-judge the neurone's response pattern in order to provide an appropriate stimulus. The response pattern, whether it is to translational optic flow, rotational optic flow, looming, or any other kind of motion-sensitivity, makes itself apparent when compilation of the map of LPDs and LMSs is complete. This method has successfully been used to analyse neurones sensitive to optic flow in the blowfly's lobula plate (Krapp and Hengstenberg, 1996; Krapp *et al.*, 1998). These blowfly neurones also respond to global stimuli (Karmeier *et al.*, 2003), and, when viewing panoramic virtual reality stimuli that take account of the saccadic head movements of the fly during flight, show responses to translational optic flow (Kern *et al.*, 2005). On the basis of the performance of a simple model, the cyberfly, they may even play a role in obstacle avoidance (Lindemann *et al.*, 2008).

The response maps we have obtained by the use of this analysis are just what you would expect of neurones responsive to optic flow (Fig. 10). Although we have not recorded from neurones sensitive to rotational optic flow (Fig. 10a), such neurones must exist as crabs show powerful optokinetic responses to rotation of a striped drum around the animal and to body turns (Barnes, 1990; Paul *et al.*, 1998). The response maps of our populations of lobula and medulla neurones are, however, an excellent match to the patterns expected

from neurones sensitive to translational optic flow. Our population of lamina neurones are a good match to the pattern of vectors of an approaching scene (compare Figs 8a,b with Fig. 10b), while medulla neurones are a similarly good match to the vector pattern of a receding scene (compare Figs 8c,d with Fig. 10c). In each case there is a central pole, which is a FOE in the case of neurones sensitive to the approaching scene and a FOC in the case of neurones sensitive to the receding scene. Such poles would provide the crab with good visual information about its direction of travel.

LPDs are robust, being relatively insensitive to changes in the velocity of movement, of the method used to compile them (circular or linear motion) and of the shape of the stimulus object. Response maps are similarly robust (Fig. 6e). We are thus confident that the response maps of these populations of medulla and lobula neurones are truly representative of the neurones' global motion sensitivity. However, before we can be sure that they are indeed optic flow neurones, it is necessary to show that they respond to the appropriate global stimuli. Our preliminary experiments have failed to do this (data not shown). Perhaps this is not surprising. All the data analysis was carried out off-line, so that the properties of the neurone, its receptive field and the pole of the flow field only revealed themselves long after the experiment was over. Thus the likelihood of presenting the correct global stimulus at the right location within the eye's field of view was relatively low.

An alternative possibility, for the lobula neurones only, is that they are neurones sensitive to looming and would trigger the crab's escape response as has been shown in the crab, *Chasmagnathus* (see e.g. Sztarker and Tomsic, 2008). This possibility cannot be excluded, since looming neurones might be expected to produce response maps rather similar to those of translational optic flow. However, *Carcinus* has a very different way of life to *Chasmagnathus*. It remains hidden when the tide is low, and so would not be subject to the same predation risks as *Chasmagnathus*, a semi-terrestrial crab at constant risk from predation by seabirds (Bachmann and Martinez, 1999; Copello and Favero, 2001). Unlike *Chasmagnathus* lobula neurones, those of *Carcinus* do not show strong habituation, which is an important feature of neurones that elicit escape. Indeed, there is good evidence that,

while different crab species (and different decapods to a lesser extent) do have homologous neurones, such neurones may become specialised for different functions according to the way of life of the species (Sztarker *et al.*, 2005; 2009). It is also worth pointing out that a well-studied looming neurone in locusts, the lobula giant movement detector (LGMD) is not directionally selective (Krapp and Gabbiani, 2005), so that looming detection and optic flow processing are not necessarily linked.

Studies of the eye movements of freely moving crabs (Barnes, 1990; Paul *et al.*, 1990; 1998) also lead us to expect that neurones sensitive to translational optic flow should exist, for crabs separate the rotational and translational components of their optic flow field as they move about their environment. They do this by using eye movements to compensate for the rotational component of their path by a variety of mechanisms (see Barnes and Nalbach, 1993; Kern *et al.*, 1993; Blanke *et al.*, 1997 for details). Since combinations of translation and rotation defy easy analysis, viewing only the translational component of optic flow makes available the information it contains, including locomotor performance and the relative positions and distances of objects in the external world (Eckert and Zeil, 2001).

Possible function of these neurones

Given that crabs have 360° vision and predominantly walk sideways, having two classes of neurone, one responding to the approaching world, the other to the receding world, makes good sense. It doubles the amount of information that you receive and can use in a variety of ways. Indeed, as discussed in mathematical terms by Dahmen *et al.*, (2001), the best estimates of egomotion are obtained with wide field neurones with opposite directions of view. As hypothesized by Gibson (1986), the information can be used to estimate your velocity and distance travelled, to give you distance information by motion parallax, and assist in maintaining a heading. Which of these possibilities are likely to be of relevance to crabs? Recent research has demonstrated the importance of translational optic flow in estimating distance flown in flying insects (Srinivasan *et al.*, 2000; Esch *et al.*, 2001; Dacke and Srinivasan, 2008; Shafir and Barron, 2010), but there is little evidence that land arthropods estimate distance travelled using optic flow (Ronacher *et al.*, 2000). Desert

ants, whose homing behaviour has been intensively studied in recent years (e.g. Merkle and Wehner, 2010), use stride integration (summing of individual stride lengths) to estimate distance covered (Wittlinger *et al.*, 2006; 2007). Turning to crustaceans, fiddler crabs certainly use optomotor responses (generated by rotational optic flow) to compensate for deviations from their intended path (Layne *et al.*, 1999) but, like ants, judge distance travelled by flexible stride integration (Layne *et al.*, 2003a,b; Walls and Layne, 2009a,b). Homing, however, needs you to know direction as well as distance, and recent evidence suggests that maintenance of eye/burrow angle (even when the actual burrow is no longer visible to the crab) is critical to this (Layne, 2008; Layne, Barnes and Dzwonczyk, unpublished observations). By doing so, the directional component of the home vector becomes a retinal location. If this angle is maintained during an excursion from the burrow, then keeping the retinal location of the home vector at the FOE during homing will ensure that home is reached. Retinal location is also key to both predator identification (Layne, 1998) and burrow defence (Hemmi and Zeil, 2003a,b). A possible role for this sophisticated system for the detection of translatory optic flow might thus be in the maintenance of a heading. Having the largest vectors near to the pole of the flow field as exhibited by the response maps illustrated in Figs. 8c,d would help in this, though it must be remembered that the highest velocities of apparent movement lie at right angles to the direction of travel (at least for objects equidistant from the eye). Indeed, our results are the opposite of what is found in the blowfly (Krapp *et al.*, 1998), where FOEs are surrounded by small vectors. Could it be that the slower image motion (walking rather than flying) is compensated for by increased motion sensitivity? This strategy relies on a high signal to noise ratio, i.e. that the flow vectors are small but reliable. This is reasonable since compensatory eye movements effectively counteract the small rotational components inherent in the somewhat lurching gait of crabs (Barnes, 1990).

Directions for future work

These experiments were difficult to perform, and much still requires to be done. But, with benefit of hindsight, we suspect that our *Carcinus* preparation was not the best preparation to have chosen. The Tomsic group has clearly identified a much better method for carrying out such experiments, but whether it works as well for *Carcinus* as it does for

Chasmagnathus remains to be investigated. An obvious open question is the way in which the neurones respond to global stimuli. However, if on-line data analysis were possible so that the response map was constructed while still having a microelectrode in the cell, it would be much easier to choose an appropriate global stimulus. A second question is the extent that we have arrays of such cells with poles at different azimuth angles. Sztarker *et al* (2005, 2009) have now done the anatomy, so future work can build up a picture of the arrays of cells specialized for sensing translational optic flow and the extent that they interact with each other, perhaps by electrical synapses, as do neurones with similar properties in flies (Haag and Borst, 2005). Finally, an obvious gap in our knowledge is the properties of neurones in the lobula plate of crabs, which may be homologous to that of insects (Strausfeld, 2005), the area where insect optic flow neurons are found (Krapp *et al.*, 1998).

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FIGURE LEGENDS

Fig. 1. The set-up for visual stimulation and intracellular recording shown schematically. For simplicity one computer is shown as controlling the visual stimulus either on the right or the left screen via a switch. In practice two computers were used, one controlling each projector. (see Methods for further details). Iinj, current injection monitor; Vm, intracellular recorded membrane potential; Xpos, Ypos, X and Y coordinates of visual stimulus.

Fig. 2. a, Diagram showing the angular coverage of one screen. Intersections on the 8x7 grid mark the standardised screen coordinates at which local motion stimuli were presented. **b-f** *Images of the most commonly used local stimuli.* **b,** The stimulus used to search for motion-sensitive neurones and estimate their receptive fields was a white disk (diameter 30mm) moved over a black background using the computer mouse. **c,** Receptive fields were further characterised from responses to a flashing white disk (diameter 30 mm) at each test locus. **d,** Responses to linear motion at a range of angles were tested with a white disk (diameter 22 mm) or bar (38 x 9 mm) moving to and fro. The monitor trace indicates stimulus amplitude and timing. **e,** The 'flat screen' rotating stimulus was a white disk (diameter 20 mm) moving at constant angular velocity in a circle of diameter 36 mm. On each trial, the disk rotated anti-clockwise, then clockwise for an equal number of cycles. The stimulus was then moved to another part of the screen. Since the eye was closer to the centre than to the edges of the screen, the angle subtended at the eye by stimuli b-e depended upon screen location. Mean values were 10° for b and c, 7.4° or 12.4° x 4° for d, and 6.6° in a circle of 12° for e. **f,** In later experiments we used a rotation program that predistorted the image so that, from the crab's viewpoint, the path and shape of the target remained circular, and the angular velocity appeared constant despite the effects of perspective. From the viewpoint of the experimenter, the target and its path appeared elliptical near the edges of the screen.

Fig. 3. Using a rotating disk to determine local motion selectivity. **a,b,** Example responses recorded from an interneurone with its dendritic arborisation in the medulla of the right

optic lobe to 8 cycles of anti-clockwise (**a**) and clockwise (**b**) movement of the 'pre-distorted' rotating disk stimulus (see Fig. 2f). cp, cycle period; dp, disk position; ec, event channel showing timing of nerve impulses recorded in the intracellular recording (Vm). **c,d**, Phase diagrams of the cumulated response to anti-clockwise (Aclk) and clockwise (Clk) motion over 8 cycles in each direction. Each plot shows the mean vector (mv) and vector length r (r is a measure of concentration about the mean direction (Batschelet, 1981)). **e**, The response to clockwise motion is reversed and phase shifted by 180° , the transformation required to make the sequence of motion directions the same as that in the phase plot for anti-clockwise motion. Clearly, the response curves for anti-clockwise and clockwise motion still do not match. This is because the response to anti-clockwise includes a phase lag due to the latency and temporal filtering characteristics of the visual system, whereas the clockwise data is phase advanced by the same amount due to the transformation carried out in (e). **f**, The local preferred direction of motion (LPD) is derived by rotating the anticlockwise and clockwise distributions in the direction shown by the curved arrows in c and e until the mean vectors are aligned. This mean vector points to the position in an anti-clockwise cycle at which the neurone responded maximally. The direction of motion at that position is 90° phase advanced (arrow). The bin size for plots c-f is 5° .

Fig. 4. Using straight-line motion to determine local motion selectivity. **a**, A white disk is moved at constant velocity back and forth across the diameter of a circle, each movement being followed by a pause (see Fig. 2d). 4 sweeps at 12 different orientations, 30° apart were carried out at selected points in the cell's receptive fields. **b**, Example response recorded from an interneurone with its dendritic arborisation in the medulla of the right optic lobe (lower trace) to a sweep of straight-line motion at 0° followed by a sweep at 180° (upper trace). **c**) Accumulated spike counts for each motion direction (histograms) were used to compile the polar plots, while the LPD (arrow) was derived from this by circular statistics (Batschelet, 1981). The line underneath each histogram indicates the time and duration of the disk movement. imp., nerve impulses; mv, mean vector; r , concentration parameter.

Fig. 5. Effect of stimulus type and period on LPDs. **a**, Polar phase diagrams showing number of impulses fired in response to a disk rotating at various speeds (cp, cycle period), as a function of motion direction. The estimate of LPD is virtually independent of rotation speed within the range tested although the strength of the directionality (value of r) may vary. Data from an interneurone with its terminal arborisation in the medulla. **b**, Comparison of LPD derived from rotation with that derived at the same screen position from linear motion stimuli (Fig. 2d) at three different screen locations. In each case, the phase plot for rotation is on the left, that for linear motion on the right. As in (a) the phase plots for rotational stimuli have been advanced by 90° so that the vector indicates LPD directly. **c**) Effect of target shape and cycle period on local preferred direction. Target shapes tested were a white annulus, disk, bar and a lattice pattern consisting of two horizontal and two vertical bars.

Fig. 6. **a,b**, Responses recorded from an interneurone with its dendritic arborisation in the medulla of the right optic lobe to 8 cycles of anti-clockwise and clockwise movement of the rotating disk stimulus (see Fig. 2e) at two points 20° apart in azimuth. Note periods of inhibition following the bursts of spikes (arrow). **c,d**, Polar plots of the combined response to anti-clockwise and clockwise motion over 8 cycles in each direction. Each plot shows the mean vector with vector length (r), phase shifted so that the vectors represent the local preferred directions of movement selectivity (LPD). These two vectors are indicated by asterisks on the response map. **e**, Response map. Arrows represent vectors showing the direction and strength of local preferred direction of movement selectivity (LPD). The figure shows three sets of vectors derived from flat screen rotational stimuli (green), pre-distorted rotational stimuli, i.e. of constant size and angular velocity irrespective of screen position (black), and those derived from straight line motion (red). Note clear focus of contraction (FOC) at about 45° right of frontal at an elevation of 25° below the horizon. spikes, neurone firing frequency; Trig RS, trigger points (right screen); Ypos, Y axis position of disk; Vin, intracellular recording.

Fig. 7. Examples of Lucifer Yellow fills of identified wide-field visual interneurones and their response maps. **a,b**, Interneurone with its dendritic tree in the medulla of the right

optic lobe (a) and its response map (b) showing a clear FOC (*). **c,d**, Interneurone with its dendritic field in the lobula of the right optic lobe (c) and its response map (d) showing a clear FOE (*). **e,f**, Interneurone with its dendritic field covering the full width of the lobula of the right optic lobe (e) and its response map (f) showing a clear FOE (*). la, lamina; lo, lobula; l.p., lateral protocerebrum; me, medulla; o.n., optic nerve; s.g., sinus gland.

Fig. 8. Directionality of response maps of lobula and medulla interneurones. **a,b**, Response maps of two neurones recorded in the lobula of the right optic lobe of the same preparation. Both cells show motion sensitivity with a FOE (*) at about 40° to the right of frontal (a) and about 75° to the right of frontal (b). In both cases the neurones would be excited when the crab translated in the direction of their respective foci of expansion. The red oval in (a) shows the part of the receptive field where ‘on’ responses occurred in response to a flash of light, whereas the green oval shows the extent of ‘off’ responses to a flash of light. **c,d**, Response maps of two neurones recorded in the medulla of the right optic lobe of a different preparation. Both cells show motion sensitivity with a FOC (*) at about 35° to the right of frontal (c) and about 80° to the right of frontal (d). These neurones would be excited when the crab translated in a direction 180° away from their respective FOCs.

Fig. 9. Responses of medulla and lobula interneurones to a flashing target (Fig. 2c). **a**, phaso-tonic response of a medulla cell. **b**, on/off response of a lobula cell. inten, light flash intensity; spikes s⁻¹, neurone firing frequency; Vin, intracellular recording.

Fig. 10. a-c. Optic flow fields resulting from rotation (turning) and translation (straight-line movements) in an animal with 360° vision. **a**, Animal rotates anticlockwise about its vertical axis. **b,c**, Animal translates; optic flow patterns seen by parts of the eye that are facing the direction of locomotion are shown in b, parts of the eye that are facing in the opposite direction in c. In all cases, the arrows are velocity vectors representing the apparent movement of objects in the environment. In these simulations, all objects seen are equidistant from the eye. (b and c redrawn from van den Berg, 2000).

Experimental set-up

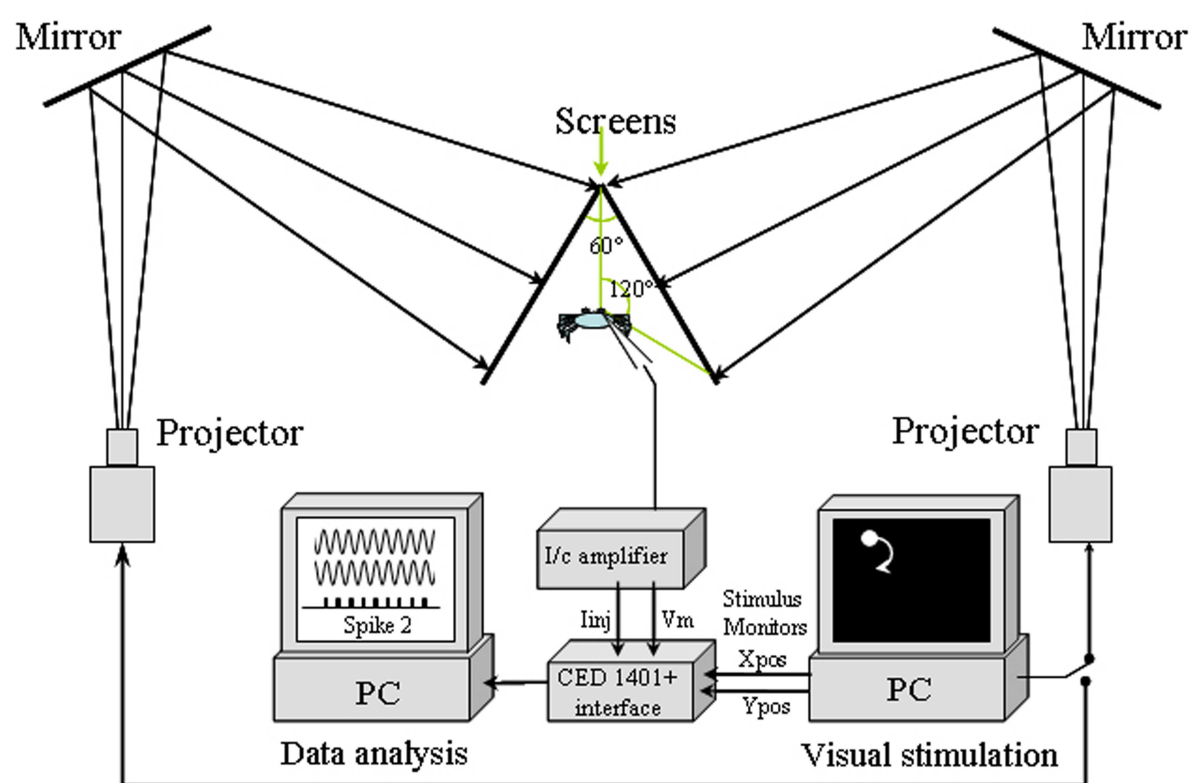
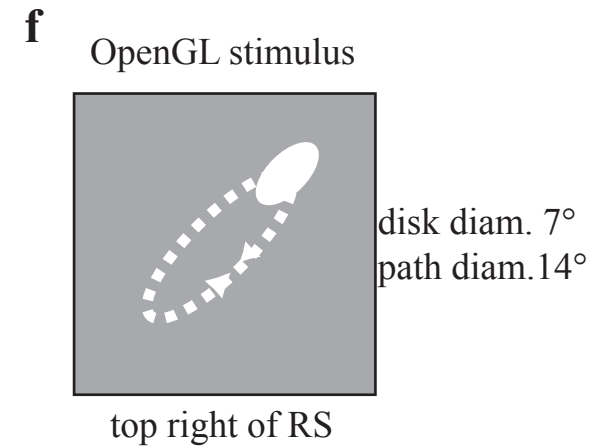
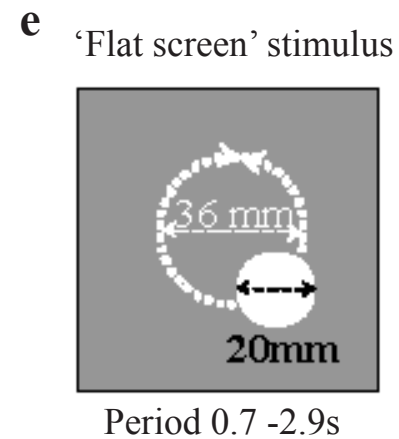
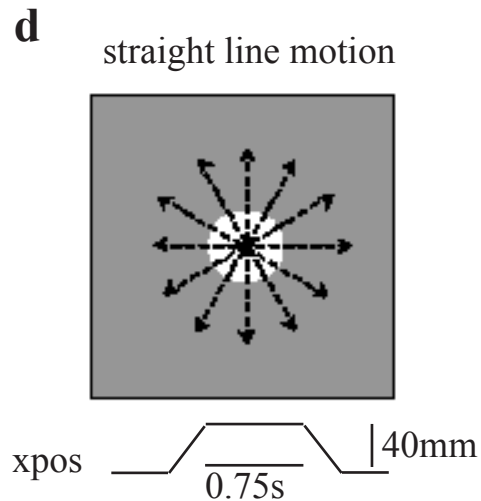
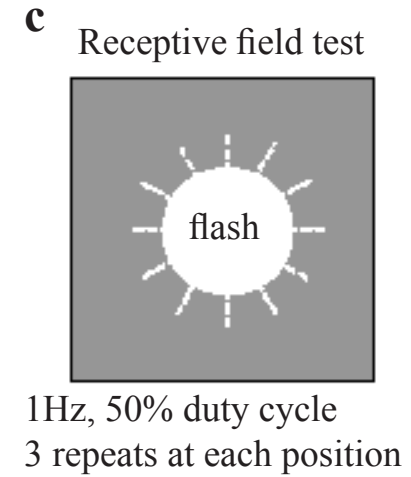
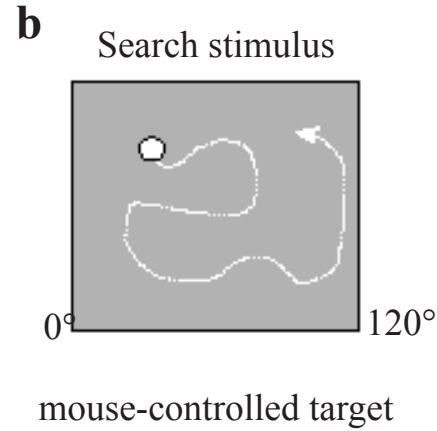
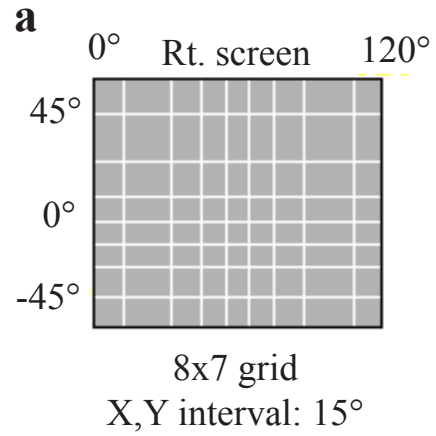


FIG. 1

VISUAL STIMULI



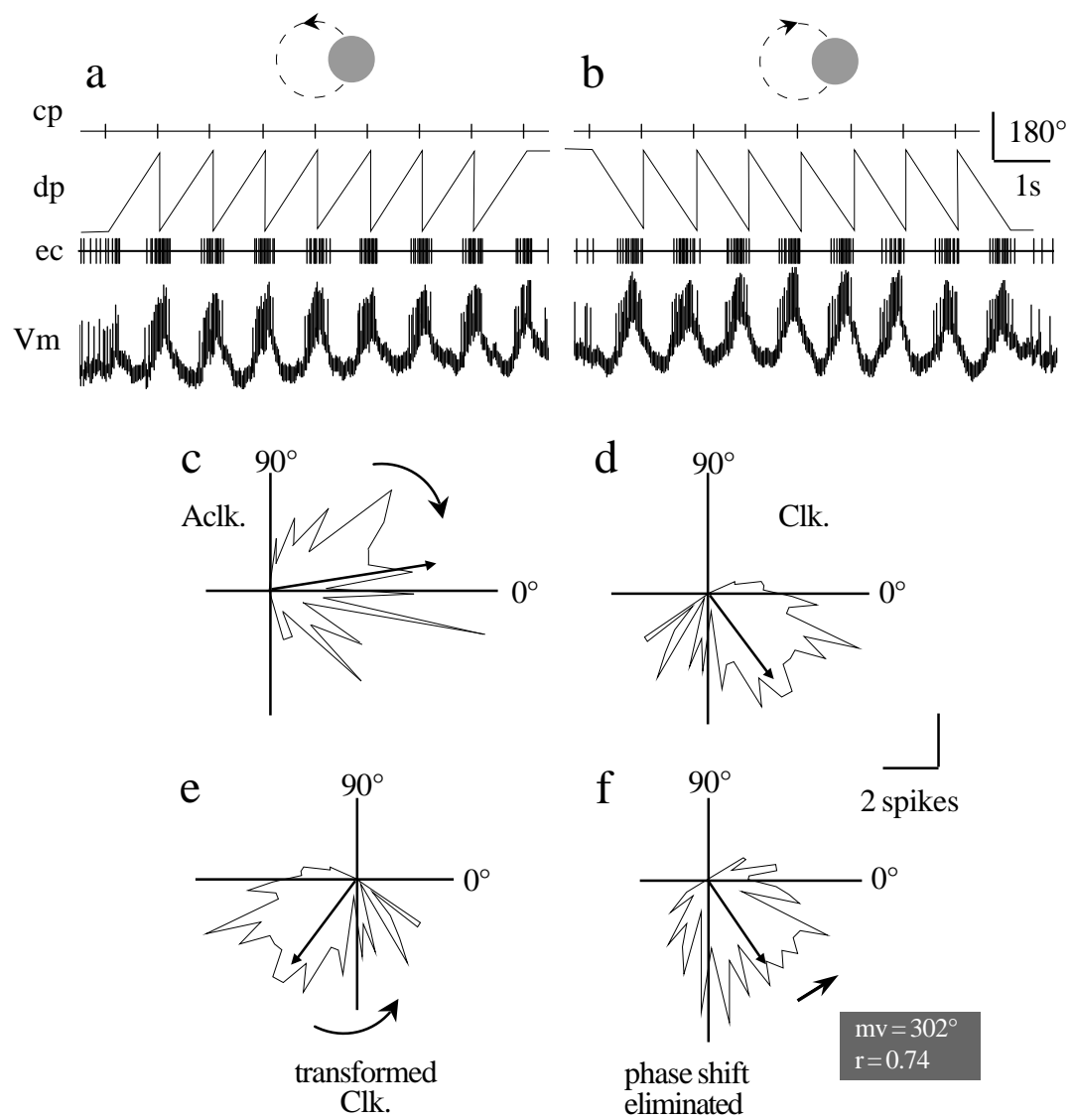


Fig. 3

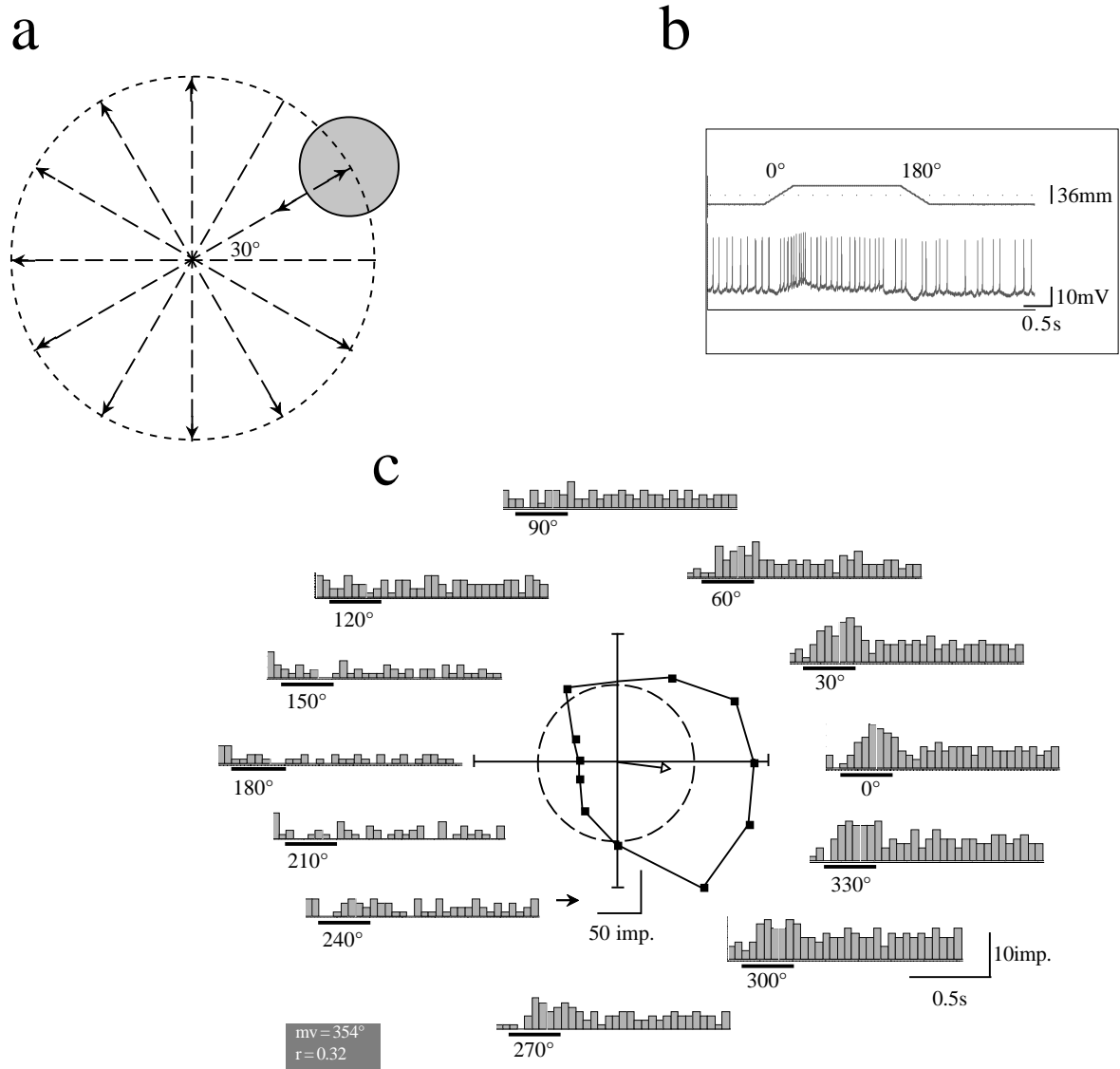


Fig 4

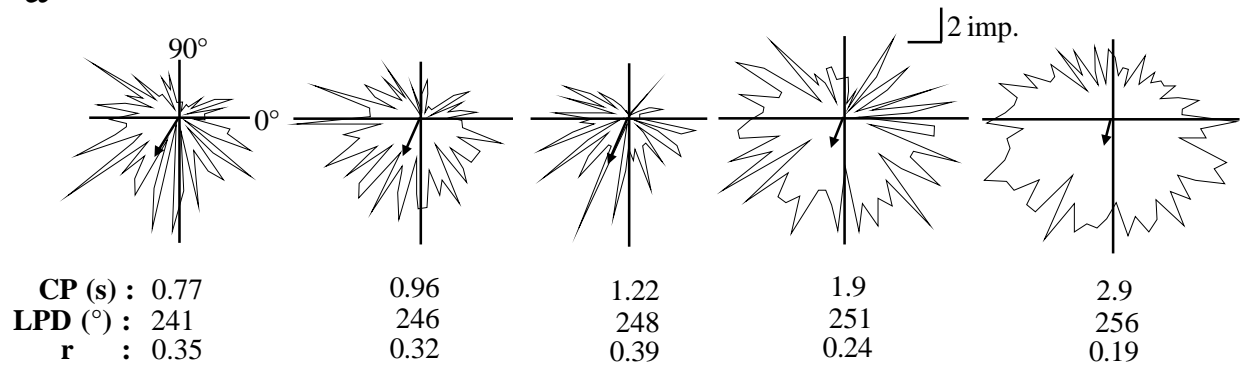
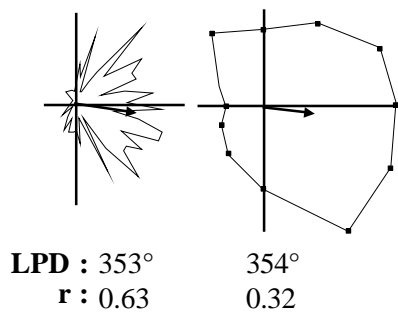
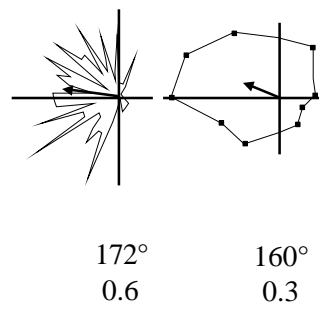
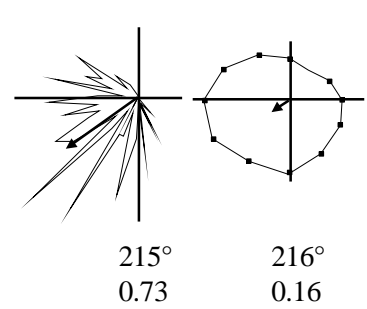
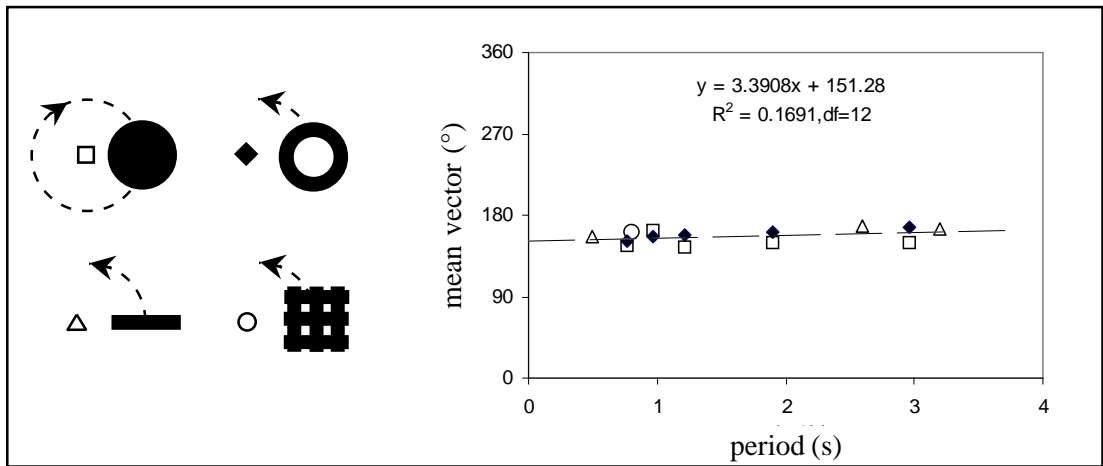
a**b****c****d****e**

Fig. 5

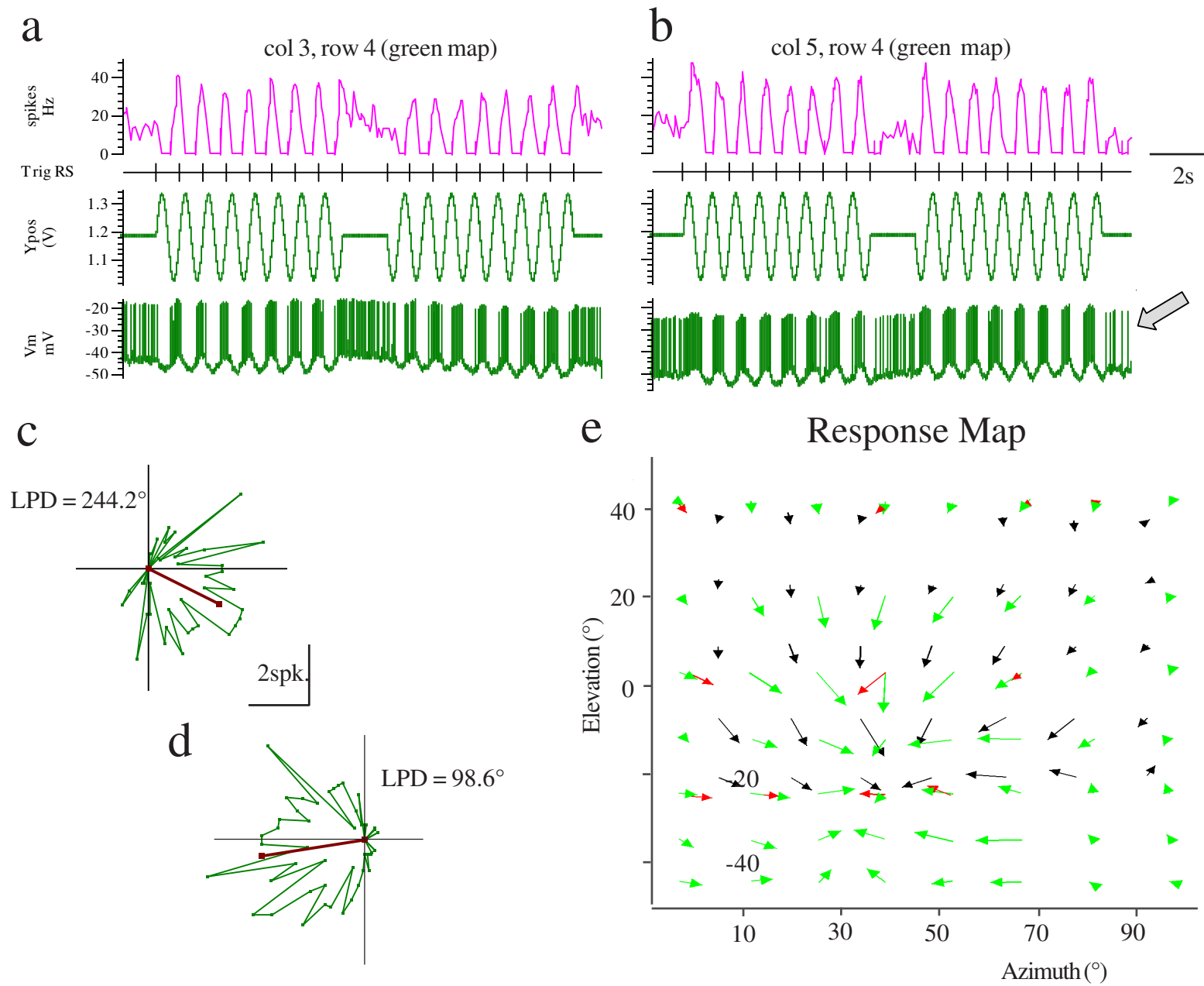


Fig 6

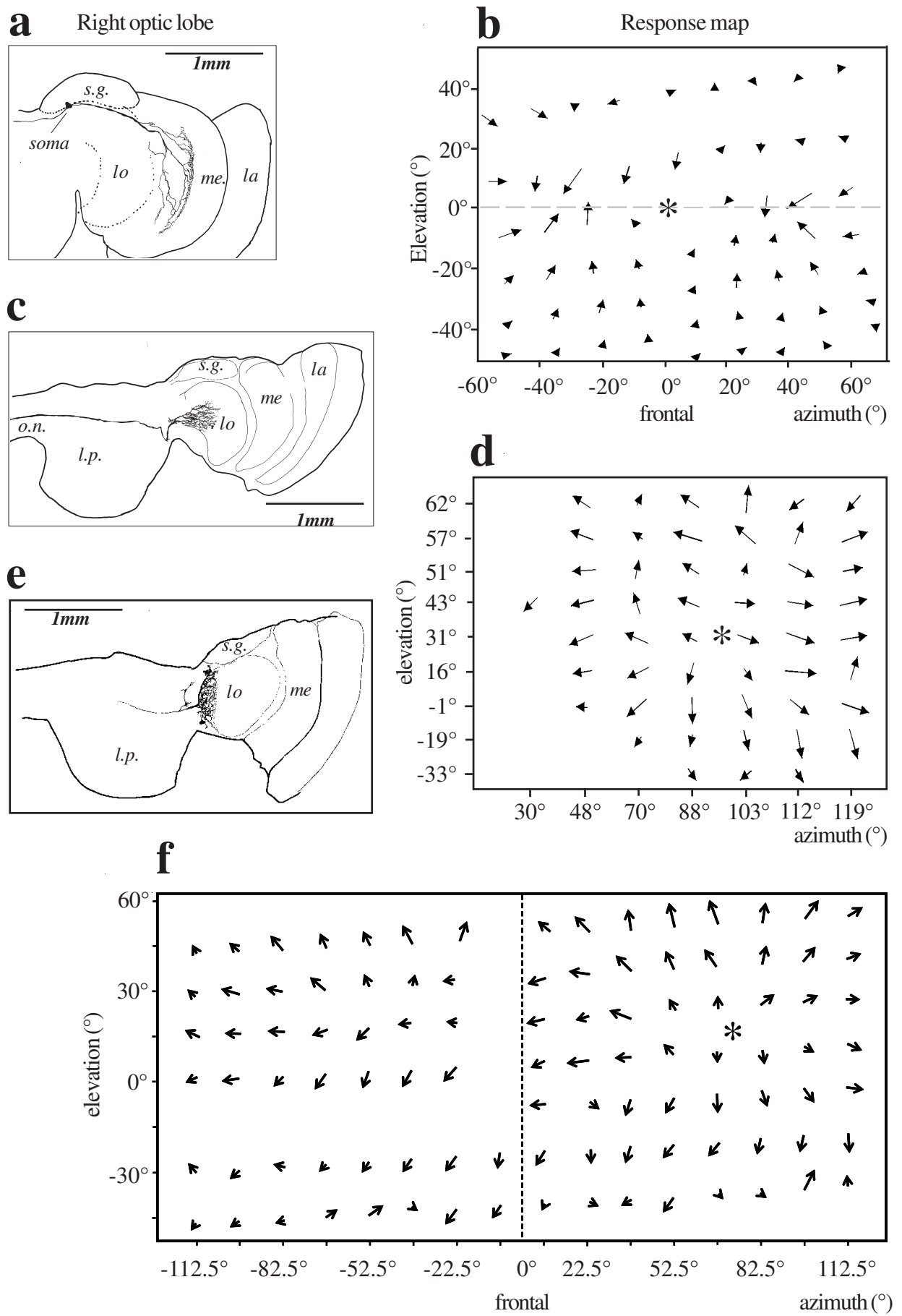


Fig. 7

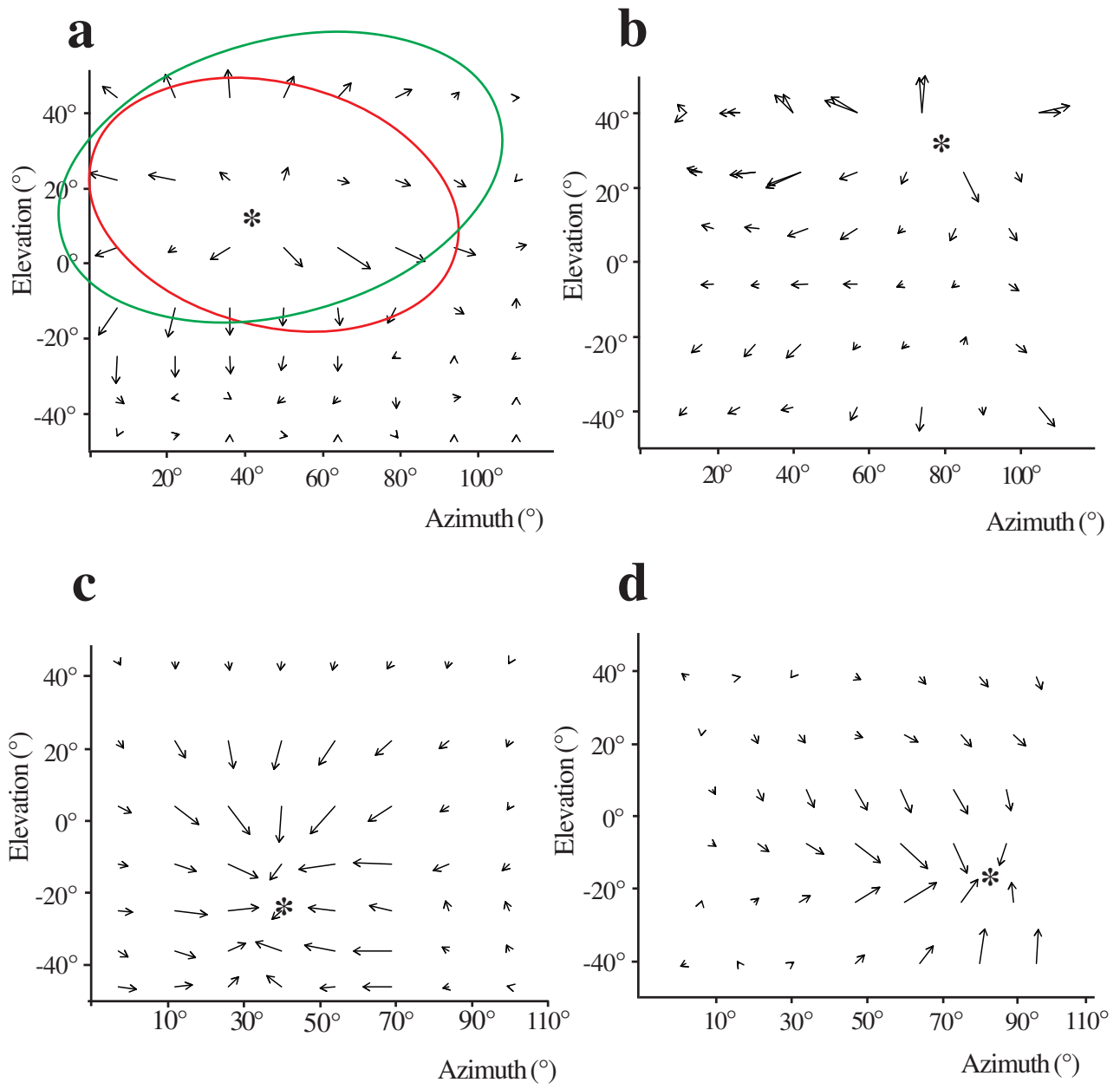


FIG 8

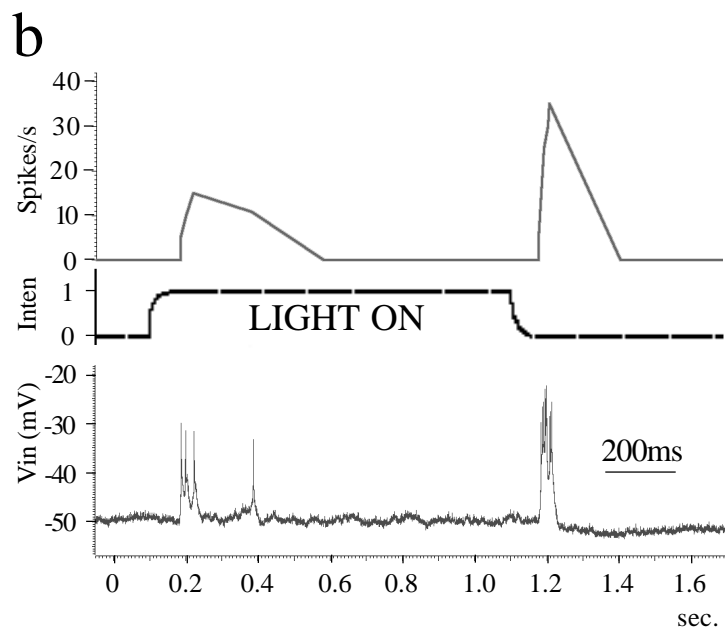
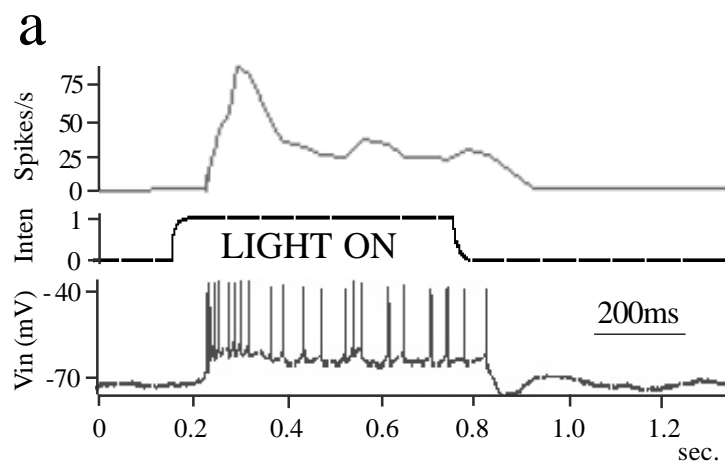


Fig. 10

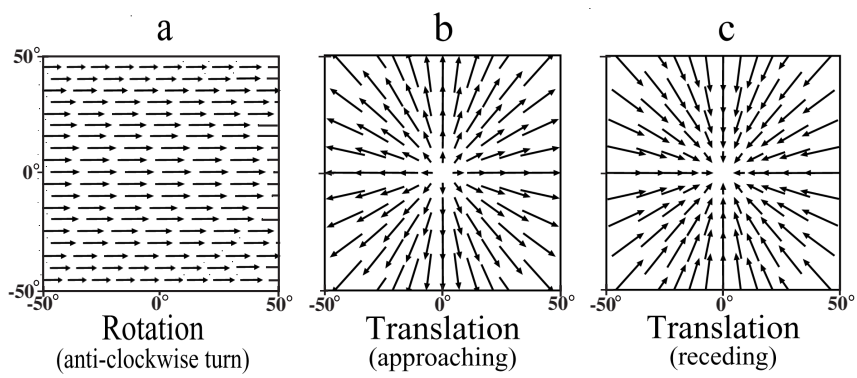


Fig. 10