# Decoupling the dark count rate contributions in Ge-on-Si single photon avalanche diodes

Derek C.S. Dumas<sup>a</sup>, Conor Coughlan<sup>a</sup>, Charlie Smith<sup>a</sup>, Muhammad Mirza<sup>a</sup>, Jaroslaw Kirdoda<sup>a</sup>, Fiona Fleming<sup>b</sup>, Charlie McCarthy<sup>a</sup>, Hannah Mowbray<sup>a</sup>, Xin Yi<sup>b</sup>, Lisa Saalbach<sup>b</sup>, Gerald S. Buller<sup>b</sup>, Douglas J. Paul<sup>a</sup>, and Ross W. Millar<sup>a</sup>

<sup>a</sup>James Watt School of Engineering, University of Glasgow, Glasgow G12 8LT, Scotland, United Kingdom <sup>b</sup>Institute of Photonics and Quantum Sciences, School of Engineering and Physical Sciences, Heriot-Watt University, Edinburgh EH14 4AS, UK

# ABSTRACT

Single Photon Avalanche Diodes (SPADs) are semiconductor devices capable of accurately timing the arrival of single photons of light. Previously, we have demonstrated a pseudo-planar Ge-on-Si SPAD that operates in the short-wave infrared, which can be compatible with Si foundry processing. Here, we investigate the pseudo-planar design with simulation and experiment to establish the spatial contributions to the dark-count rate, which will ultimately facilitate optimisation towards operation at temperatures compatible with Peltier cooler technologies.

Keywords: Single photon avalanche diode, Ge-on-Si, device simulation, Si Photonics

#### **1. INTRODUCTION**

There has recently been significant interest in Single Photon Avalanche Diodes (SPADs) operating at shortwave infrared (SWIR) wavelengths, with applicability to numerous emerging applications such as Geiger mode LiDAR,<sup>1</sup> imaging through obscurants,<sup>2,3</sup> Quantum-Key Distribution (QKD)<sup>4</sup> and quantum information processing.<sup>5</sup> Previously, we have demonstrated Ge-on-Si SPADs with a pseudo-planar design<sup>6</sup> that enabled single photon counting up to 175  $K^7$  and demonstration of LiDAR at 1450 nm wavelength.<sup>8</sup> This is a technology that has the potential to benefit from Si foundry compatibility and can therefore be significantly lower cost than III-V devices such as InGaAs/InP SPADs. The Ge-on-Si platform also has the benefit of reduced afterpulsing compared to InGaAs/InP devices (when operated in nominally identical conditions),<sup>9</sup> due to the use of high quality Si avalanche layers with low defect densities compared to InP, which can ultimately enable operation with high repetition rates. In order to reduce the dark-count rate (DCR) in our devices, significant cooling was required to reduce thermally generated carriers, and as such devices have performed optimally at cryogenic temperatures. To be competitive with InGaAs/InP however, devices need to be operational at  $\sim 220$  K, where low-cost Peltier cooler technology can be used. In order to facilitate this optimisation, DCR of the devices has to be reduced. Previous results have indicated that scaling the technology can reduce the  $DCR_{1}^{7}$  and that a reduction in the bulk E-field in the Ge can further reduce the effects of trap-assisted tunneling.<sup>10</sup> In this work, we compare simulation and experimental results to decouple the dark-count rate contributions in the pseudo-planar design to validate the device dynamics, and to understand the geometric considerations of the detector design that may also contribute to DCR.

#### 2. BACKGROUND

For a number of years, Ge-based absorber layers have been investigated to extend the absorption wavelength of Si SPAD technology to the short-wave infrared, in separate absorption charge and multiplication structures (SACM).<sup>11–13</sup> In SACM structures, a charge-sheet layer is typically required to mediate the electric field, ensuring that the multiplication region (in this case Si) can be biased above breakdown, while keeping only a moderate E-field in absorber layer designed to sweep out photo-generated carriers. Previously, Ge-on-Si SPAD devices used

Quantum Sensing and Nano Electronics and Photonics XX, edited by Manijeh Razeghi, Giti A. Khodaparast, Miriam S. Vitiello, Proc. of SPIE Vol. 12895, 1289505 © 2024 SPIE · 0277-786X · doi: 10.1117/12.3001450

Further author information: (Send correspondence to Prof Douglas J. Paul, Dr Ross W. Millar)

E-mail: Douglas.Paul@glasgow.ac.uk, Ross.Millar@glasgow.ac.uk

in-situ doped charge-sheet layer that extended across the wafer structure,<sup>12</sup> and the device was defined entirely by an etch step, i.e. a mesa geometry. Such designs typically have a high electric field at etched sidewalls and can suffer from effects such as preferential edge breakdown. In recent years, we have demonstrated a pseudoplanar design, where the charge-sheet layer is locally implanted using ion-implantation prior to the growth of Ge absorber layers. This local charge-sheet layer, in conjunction with a local etch of the p+Ge contact layer, reduces the E-field at etched sidewalls, Fig 1a, which are required for lateral device isolation. This design yielded single photon detection efficiencies (SPDEs) of up to 38 % at  $\lambda = 1310$  nm,<sup>6</sup> and a noise equivalent power (NEP) down to 7.7 ×10<sup>-17</sup> W/ $\sqrt{Hz}$ ; over a two order of magnitude improvement compared to a similarly sized mesa device.<sup>14</sup> Here we use device simulation techniques, as well as varying passivisation techniques to probe the role of the device sidewalls, and validate if the surfaces have indeed been fully decoupled from the active area of the device.

# **3. SIMULATION**



Figure 1. a) Simulated E-field of a pseudo-planar Ge-on-Si SPAD. b,c) Corresponding triggering probability maps calculated using McIntyre's model for varied excess bias ( $V_{excess}$ ).

Initially, pseudo-planar geometry designs were simulated using finite element modelling packages. As shown in Fig 1a, there is a reduced E-field at the sidewall and the field is localised by the charge-sheet layer and p+Ge contact layer. From E-field analysis alone, however, it is challenging to gain an insight into the dominant aspects of the design in defining the DCR contributions. In order to assess this more accurately, we use custom python code to solve McIntyre's equations<sup>15</sup> along E-field lines, in order to produce a triggering probability map, Fig 1b, which indicates the probability that a carrier generated at a given point will produce a self-sustaining avalanche current. The model, unlike a Monte Carlo approach, does not take into account the motion of individual carriers, and can therefore slightly underestimate the width of the triggering probability region,<sup>16</sup> however it is significantly less computationally intensive than Monte Carlo approaches, and has been used extensively to give good agreement with experiments across multiple material platforms.<sup>17–19</sup> It is clear that in the pseudo-planar geometry, the p+Ge diameter appears to dominate when defining the active region of the detector, with slight broadening observed at increased excess bias, Fig 1c. This simulation suggests that any carriers generated on etched sidewalls should not contribute to DCR.

# 4. EXPERIMENT AND RESULTS

In order to assess this experimentally, we compare the DCR performance of SPAD chips with varying surface passivation, using devices fabricated in the James Watt Nanofabrication Centre. Both chips used material from the same wafer, which included ion-implanted charge-sheet layers, and a 1.5  $\mu$ m thick i-Si multiplication layer and a 2  $\mu$ m thick Ge absorber (processing and material details can be found in<sup>6,7,20</sup>). The devices compared here have a charge-sheet diameter of 50  $\mu$ m. Standard electron-beam and photo-lithography techniques were used for fabrication of devices, a schematic for which can be seen in Fig 2a. Isolation trenches are etched 10  $\mu$ m away from the edge of the charge-sheet prior to oxidation of the Ge surface. A Si<sub>3</sub>N<sub>4</sub> layer is used to cap the GeO<sub>x</sub> layer, while simultaneously closing over the trench to planarise the structure. The first device was passivated using a



Figure 2. a) Schematic of SPAD device showing the region of the detector that is passivated. b,c) Capacitance-voltage measurements of the thermally oxidised and ozone oxidised MOS capacitors respectively.

thermally grown  $\text{GeO}_2$  technique that has previously demonstrated effective passivation of Ge surfaces,<sup>21</sup> while the second device variant uses a room temperature ozone tool to oxidise the Ge surface. Capacitance-voltage (CV) measurements were taken using Ge MOS capacitor structures with these respective dielectric layers; the results of which are shown in Fig 2b,c. It is clear that particularly for the ozone passivated surface, there is increased frequency dispersion and the presence of distinctive 'bumps' in the CV curve going from accumulation to depletion, which are indicative of a high level of mid-gap trap states.



Figure 3. a) Current-voltage measurement of SPADs with varying passivation. b) Dark-count rate of SPADs with varying passivation for multiple measurement temperatures.

Devices were subsequently measured in a cryogenic probe station at the University of Glasgow including current voltage (IV) and DCR measurements. Dark-count rate measurements are taken using a gated technique; devices are biased below breakdown using an source measurement unit (SMU) and an electrical gate is AC-coupled through a bias-tee from a pulse-generator. An electronic counter with a trigger threshold is used to measure the fraction of gates that trigger, allowing the calculation of DCR. DCR results are plotted as a function of excess bias, which is the percentage bias above the breakdown voltage,  $V_{BD}$ . As shown in Fig 3a, IV results demonstrate significantly higher leakage from the ozone passivated SPAD compared to the thermally oxidised device, which is consistent with CV results and indicative of a sidewall leakage mechanism. When examining the DCR, Fig 3b, there is discrepancy in the DCR, which is particularly evident at 77 K with ~ 1 order of magnitude difference shown. The discrepancy, however, is always substantially less than suggested by the leakage comparison. Furthermore, when measuring at 150 K the results are significantly closer between the two device variants, with overlapping DCR at higher excess biases. In order to further decouple the surface contributions, a further experiment was run using thermally oxidised devices with a varied buffer space, defined as the distance between the implanted charge-sheet layer and the etched isolation sidewall, Fig 4a. The corresponding DCR is shown in Fig 4b, for devices with a 10  $\mu$ m and 20  $\mu$ m buffer size respectively. It can be observed that the DCR is extremely consistent between both devices, highlighting the negligible contribution of the etched sidewall and validating the effects of the pseudo-planar design. Unfortunately, device failure prohibited further measurements at lower temperatures and this will be measured in future work. The extreme similarity of the devices with varying buffer size does however imply that the difference in DCR for varied surface passivation likely stems from the top surface of the device. Carriers generated at the top surface are in an un-depleted p-doped Ge region, and therefore collection of such carriers into the device active area are limited by diffusion of electrons. It is suggested here that the observed difference in the DCR between devices with varying passivation is therefore due to carriers that can diffuse from the surface above the p+Ge region; this is consistent with a larger discrepancy at lower temperatures due to the fact that diffusion lengths can increase with lower temperatures.



Figure 4. a) Schematic of psuedo-planar SPAD highlighting the buffer dimension, i.e. the distance between the edge of the charge-sheet and the isolation etch. b) Dark-count rate for devices with 10 and 20  $\mu$ m buffer sizes respectively.

#### 5. CONCLUSION

We have investigated the pseudo-planar design for Ge-on-Si SPADs, with an aim of understanding the device dynamics and the spatial contribution to DCR. Experimental results have demonstrated that we have successfully decoupled the the active area of the SPAD from etched sidewalls, highlighting that further optimisation of the passivation layer is unlikely to aid in a significant reduction in DCR. These results are therefore consistent with the McIntyre model but suggest that the use of a Shockley-Read-Hall generation rate model alone is not sufficient to calculate DCR accurately at low temperatures,<sup>22</sup> and that diffusion currents need to be included from finite element models. In future work, a larger range of buffer gaps will be investigated with an aim of maximising fill-factor in arrays, while maintaining an effective decoupling of sidewalls from the device active area, which will in turn give an insight into the diffusion lengths at low temperatures. Furthermore, measurement of SPDE is required with time-correlated single-photon counting experiments to provide a complete analysis. Further device optimisation will include a detailed investigation into the relative scaling of the p+Ge and implanted charge-sheet layers. Preliminary results indicate there is a complex interplay between these layers that influences the screening of E-field hot-spots in the p+Ge region, which through simulation appear to be capable of overlapping with the triggering probability region at higher excess biases when using McIntyre's model. The accurate modelling of Efields around etched surfaces can be challenging and therefore this will be evaluated experimentally. The insights gained from these experiments, and the results demonstrated here, will aid the optimisation of the technology towards operation at 220 K for compatibility with low-cost Peltier coolers.

#### ACKNOWLEDGMENTS

The authors acknowledge funding from: Royal Academy of Engineering (RF-201819-18-187); Innovate UK (44835); Engineering and Physical Sciences Research Council (UK EPSRC; EP/S026428/1, EP/T001011/1,

#### EP/T00097X/1, EP/W028166/1); EC Horizon (grant number 101070700).

The authors would also like to thank the members of staff in the James Watt Nanofabrication Centre (JWNC) for their assistance with the device fabrication.

# REFERENCES

- McCarthy, A., Ren, X., Frera, A. D., Gemmell, N. R., Krichel, N. J., Scarcella, C., Ruggeri, A., Tosi, A., and Buller, G. S., "Kilometer-range depth imaging at 1550 nm wavelength using an InGaAs/InP single-photon avalanche diode detector," *Opt. Express* 21, 22098–22113 (Sep 2013).
- [2] Tobin, R., Halimi, A., McCarthy, A., Laurenzis, M., Christnacher, F., and Buller, G. S., "Three-dimensional single-photon imaging through obscurants," Opt. Express 27, 4590–4611 (Feb 2019).
- [3] Tobin, R., Halimi, A., McCarthy, A., Soan, P. J., and Buller, G. S., "Robust real-time 3d imaging of moving scenes through atmospheric obscurant using single-photon lidar," *Scientific Reports* **11**, 11236 (May 2021).
- [4] Sasaki, M., Fujiwara, M., Ishizuka, H., Klaus, W., Wakui, K., Takeoka, M., Miki, S., Yamashita, T., Wang, Z., Tanaka, A., Yoshino, K., Nambu, Y., Takahashi, S., Tajima, A., Tomita, A., Domeki, T., Hasegawa, T., Sakai, Y., Kobayashi, H., Asai, T., Shimizu, K., Tokura, T., Tsurumaru, T., Matsui, M., Honjo, T., Tamaki, K., Takesue, H., Tokura, Y., Dynes, J. F., Dixon, A. R., Sharpe, A. W., Yuan, Z. L., Shields, A. J., Uchikoga, S., Legré, M., Robyr, S., Trinkler, P., Monat, L., Page, J.-B., Ribordy, G., Poppe, A., Allacher, A., Maurhart, O., Länger, T., Peev, M., and Zeilinger, A., "Field test of quantum key distribution in the tokyo qkd network," Opt. Express 19, 10387–10409 (May 2011).
- [5] Buller, G. S. and Collins, R. J., "Single-photon generation and detection," *Measurement Science and Technology* 21, 012002 (nov 2009).
- [6] Vines, P., Kuzmenko, K., Kirdoda, J., Dumas, D. C., Mirza, M. M., Millar, R. W., Paul, D. J., and Buller, G. S., "High performance planar germanium-on-silicon single-photon avalanche diode detectors," *Nature Communications* 10 (2019).
- [7] Llin, L. F., Kirdoda, J., Thorburn, F., Huddleston, L. L., Greener, Z. M., Kuzmenko, K., Vines, P., Dumas, D. C. S., Millar, R. W., Buller, G. S., and Paul, D. J., "High sensitivity Ge-on-Si single-photon avalanche diode detectors," *Opt. Lett.* 45, 6406–6409 (Dec 2020).
- [8] Kuzmenko, K., Vines, P., Halimi, A., Collins, R. J., Maccarone, A., McCarthy, A., Greener, Z. M., Kirdoda, J., Dumas, D. C. S., Llin, L. F., Mirza, M. M., Millar, R. W., Paul, D. J., and Buller, G. S., "3D LIDAR imaging using Ge-on-Si single-photon avalanche diode detectors," *Opt. Express* 28, 1330–1344 (Jan 2020).
- [9] Yi, X., Greener, Z., Fleming, F., Kirdoda, J., Dumas, D. C. S., Saalbach, L., Muir, D. A. S., Ferre-Llin, L., Millar, R. W., Paul, D. J., and Buller, G. S., "Afterpulsing in ge-on-si single-photon avalanche diodes," *IEEE Photonics Technology Letters* 35(17), 959–962 (2023).
- [10] Hurkx, G. A., Klaassen, D. B., Knuvers, M. P., and O'Hara, F. G., "A new recombination model describing heavy-doping effects and low-temperature behaviour," *Technical Digest - International Electron Devices Meeting*, 307–310 (1989). SRH and BTBT generation.
- [11] Loudon, A. Y., Hiskett, P. A., Buller, G. S., Carline, R. T., Herbert, D. C., Leong, W. Y., and Rarity, J. G., "Enhancement of the infrared detection efficiency of silicon photon-counting avalanche photodiodes by use of silicon germanium absorbing layers," *Opt. Lett.* 27, 219–221 (Feb 2002).
- [12] Warburton, R. E., Intermite, G., Myronov, M., Allred, P., Leadley, D. R., Gallacher, K., Paul, D. J., Pilgrim, N. J., Lever, L. J. M., Ikonic, Z., Kelsall, R. W., Huante-Cerón, E., Knights, A. P., and Buller, G. S., "Ge-on-si single-photon avalanche diode detectors: Design, modeling, fabrication, and characterization at wavelengths 1310 and 1550 nm," *IEEE Transactions on Electron Devices* **60**(11), 3807–3813 (2013).
- [13] Martinez, N. J. D., Gehl, M., Derose, C. T., Starbuck, A. L., Pomerene, A. T., Lentine, A. L., Trotter, D. C., and Davids, P. S., "Single photon detection in a waveguide-coupled ge-on-si lateral avalanche photodiode," *Optics Express* 25, 16130 (7 2017).
- [14] Thorburn, F., Yi, X., Greener, Z. M., Kirdoda, J., Millar, R. W., Huddleston, L. L., Paul, D. J., and Buller, G. S., "Ge-on-si single-photon avalanche diode detectors for short-wave infrared wavelengths," *Journal of Physics: Photonics* 4, 012001 (nov 2021).
- [15] McIntyre, R., "On the avalanche initiation probability of avalanche diodes above the breakdown voltage," *IEEE Transactions on Electron Devices* 20(7), 637–641 (1973).

- [16] Rideau, D., Helleboid, R., Mugny, G., Bianchi, R. A., Manouvrier, J. R., Nicholson, I., Grebot, J., Lacombe, E., Lopez, A., Golanski, D., Mamdy, B., Rae, B., Pellegrini, S., Agnew, M., Uhring, W., Rink, S., and Alause, H. W., "Multiscale SPAD modeling: from Monte Carlo to SPICE simulations," in [Advanced Photon Counting Techniques XVII], Itzler, M. A., Bienfang, J. C., and McIntosh, K. A., eds., 12512, 1251205, International Society for Optics and Photonics, SPIE (2023).
- [17] Knežević, T., Nanver, L. K., and Suligoj, T., "2D dark-count-rate modeling of PureB single-photon avalanche diodes in a TCAD environment," in [*Physics and Simulation of Optoelectronic Devices XXVI*], Witzigmann, B., Osiński, M., and Arakawa, Y., eds., **10526**, 105261K, International Society for Optics and Photonics, SPIE (2018).
- [18] Sicre, M., Agnew, M., Buj, C., Coignus, J., Golanski, D., Helleboid, R., Mamdy, B., Nicholson, I., Pellegrini, S., Rideau, D., Roy, D., and Calmon, F., "Dark count rate in single-photon avalanche diodes: Characterization and modeling study," in [ESSCIRC 2021 - IEEE 47th European Solid State Circuits Conference (ESSCIRC)], 143–146 (2021).
- [19] Albuquerque, T. C. D., Issartel, D., Gao, S., Benhammou, Y., Golanski, D., Clerc, R., and Calmon, F., "An analytical solution for mcintyre's model of avalanche triggering probability for spad compact modeling and performance exploration," *Semiconductor Science and Technology* **36**, 085008 (jul 2021).
- [20] Millar, R. W., Kirdoda, J., Thorburn, F., Yi, X., Greener, Z. M., Huddleston, L., Benakaprasad, B., Watson, S., Coughlan, C., Buller, G. S., and Paul, D. J., "Pseudo-planar Ge-on-Si single-photon avalanche diode detector with record low noise-equivalent power," in [*Quantum Technology: Driving Commercialisation of an Enabling Science II*], Padgett, M. J., Bongs, K., Fedrizzi, A., and Politi, A., eds., **11881**, 118810F, International Society for Optics and Photonics, SPIE (2021).
- [21] Pezzoli, F., Giorgioni, A., Gallacher, K., Isa, F., Biagioni, P., Millar, R. W., Gatti, E., Grilli, E., Bonera, E., Isella, G., Paul, D. J., and Miglio, L., "Disentangling nonradiative recombination processes in Ge microcrystals on Si substrates," *Applied Physics Letters* 108, 262103 (06 2016).
- [22] Smith, C., Kirdoda, J., Dumas, D. C. S., Coughlan, C., McCarthy, C., Mowbray, H., Mirza, M., Fleming, F., Yi, X., Saalbach, L., Buller, G. S., Paul, D. J., and Millar, R. W., "Simulation and design optimization of germanium-on-silicon single photon avalanche diodes," in [*Silicon Photonics XVIII*], Reed, G. T. and Knights, A. P., eds., **12426**, 124260S, International Society for Optics and Photonics, SPIE (2023).