

Zhang, L., Fu, M., Fei, T., Lim, M. K. and Tseng, M.-L. (2024) A cold chain logistics distribution optimization model: Beijing-Tianjin-Hebei region low-carbon site selection. *Industrial Management and Data Systems*, (doi: <u>10.1108/IMDS-08-2023-0558</u>)

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1 2	A cold chain logistics distribution optimization model: Beijing-Tianjin-Hebei region low-carbon site selection
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31	Purpose
32	This study reduces carbon emission in logistics distribution to realize the low-carbon site
33	optimization for a cold chain logistics distribution center problem.
34	
35	Design/methodology/approach
36	This study involves cooling, commodity damage and carbon emissions, and establishes the site
37	selection model of low-carbon cold chain logistics distribution center aiming at minimizing total
38	cost, and grey wolf optimization algorithm is used to improve the artificial fish swarm algorithm
39	to solve a cold chain logistics distribution center problem.
40	
41	Findings

The optimization results and stability of the improved algorithm are significantly improved and compared with other intelligent algorithms. The result is confirmed to use the Beijing-Tianjin-Hebei region site selection. This study reduces composite cost of cold chain logistics and reduces damage to environment to provide a new idea for developing cold chain logistics.

47

# 48 Originality/value

This study contributes to propose an optimization model of low-carbon cold chain logistics site by considering various factors affecting cold chain products and converting carbon emissions into costs.Prior studies are lacking to take carbon emissions into account in the logistics process. The main trend of current economic development is low-carbon and the logistics distribution is an energy consumption and high carbon emissions.

- 54
- 55 **Keywords:** low-carbon cold chain logistics; distribution center site selection model; grey wolf 56 optimization algorithm; artificial fish swarm algorithm
- 57
- 58
- 59

# 60 Nomenclature

Full Name	Abbreviations
Artificial fish swarm algorithm	AFSA
Grey wolf optimization	GWO
Grey wolf optimization- Artificial fish swarm algorithm	GWO-AFSA
Deoxyribonucleic acid- Artificial fish swarm algorithm	DNA-AFSA
Genetic Algorithm	GA
Simulated annealing	SA

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# 62 A cold chain logistics distribution optimization model: Beijing-Tianjin-Hebei region low-carbon

# 63 site selection

64

# 65 **1 Introduction**

66 Cold chain products are easy to deteriorate and have higher requirements for temperature. The 67 consumption of electric energy and fuel is greater, carbon dioxide emission is more and to 68 maintain low temperature in complete stage of cold chain logistics. Especially, the low-carbon cold chain logistics distribution industry is characterized by high energy consumption and 69 carbon emissions. Low carbon economy means that use of technology and industrial innovation 70 to achieve sustainable development by reducing energy consumption and carbon emissions 71 72 (Tseng et al., 2021; Mariosa et al., 2022). Many studies have analyzed the environmental problems existing in rag trade (Nikouei et al., 2022; Ocran et al., 2022; Peters et al., 2021), 73 74 takeaway packaging (Alejandro et al., 2019), business model (Bocken et al., 2021), campus 75 ecology (Adenle et al., 2022) and other aspects, providing effective advice to develop of low-carbon economy (Jabbour et al., 2021). Still, distribution has multi-sites, and different
 distribution schemes produce different energy consumption and carbon emissions. There is a
 need to optimize the route of distribution sites.

79 In the literature, Naderi et al. (2021) confirmed that energy consumption and sustainable 80 development have significant effect in management of supply chain, and use exergy analysis to help supply chain managers achieve low-carbon development. The characteristics of logistics 81 82 restrict the sustainable development of economy (Yao et al., 2018; Zhang et al., 2022; Algadhi et 83 al., 2022). Deng et al. (2020) believed development of logistics industry acts a momentous role in economy, and carbon emissions must be included in the measurement index to make the 84 85 logistics industry develop with high quality and high efficiency. Leng et al. (2020) believed that 86 carbon emissions and energy consumption mainly come from logistics industry, so it is important for cold chain logistics to consider sustainable development about environment and 87 economy. However, the relevant information and studies on cold chain logistics are relatively 88 few in involving cooling, commodity damage and carbon emissions together for a cold chain 89 90 logistics distribution center site selection problem.

91 The cold chain arises at the right moment as vegetables and fruits, dairy products, meat 92 and other foods are prone to deterioration and have higher requirements for distribution. Cold 93 chain is supply chain system for the food could be kept at low temperature in production, 94 storage, transportation and other links from supplier to consumer to ensure product quality 95 (Yang, 2018). Different from ordinary logistics, cold chain logistics is to use of certain 96 transportation technology and equipment to strictly control the conditions of transportation, 97 and transport perishable food at low temperature, thereby reducing the occurrence of loss and deterioration (Zheng et al., 2021). As food transported by cold chain has higher requirements on 98 99 temperature and other conditions, cold chain logistics usually has strong timeliness, high cost, 100 high energy consumption and carbon emissions. Yao et al. (2020) included energy consumption and carbon emissions into indicators to measure logistics industry. Developing low-carbon cold 101 102 chain logistics is an inevitable choice to improve the logistics system and promotes Low carbon 103 distribution.

A growing demand for cold chain products and continuous progress of technology resulting in higher quality requirements for products (Lu, 2021). The rapid development of economy has brought a negative impact on nature, and notion of protecting environment has been enhanced. All industries should develop their economy in a green and low-carbon way. The energy consumption of cold chain logistics is larger to reduce loss of cold chain product quality, which is contrary to low-carbon development, and few studies exists on low-carbon logistics at the present stage.

Pei et al. (2021) studied how to transport in logistics system to reduce transportation cost. Liu (2020) established a distribution center site selection model containing fixed cost and management cost. Li et al. (2020) set up a logistics distribution model with a goal of cutting cost including distribution, transportation fuel consumption and carbon emission, and solved it by using analytic hierarchy process and data envelopment analysis. Liu et al. (2020) established an open logistics site-path problem including fuel consumption and carbon emissions based on

general logistics. Wang et al. (2020) believed that carbon dioxide emissions would increase with 117 118 the increase of driving distance and loading capacity, and based on this, a dual-objective model 119 was established. In lieu of this, the cost in the current study only contains a few costs. The main 120 study is the logistics problem without carbon emissions or the general logistics model including 121 carbon emissions, but there is few studies about cold chain logistics site selection model 122 including carbon emissions and multiple costs. This study integrates the carbon emission factors, 123 considers the various factors that affect the logistics cost of cold chain products, establishes the 124 low-carbon cold chain logistics site optimization model, and uses improved intelligent 125 optimization algorithm to solve it.

Hybrid algorithm has better search performance and is widely used in various problems. 126 127 Deng et al. (2023) used hybrid algorithm to solve multi-strategy hybrid optimization problem. AFSA is a swarm intelligence optimization algorithm based on animal autonomous body model, 128 including foraging, clustering, following and other behaviors (Li et al., 2002). The algorithm 129 130 carries out global optimization through the behavior of artificial fish, and has the advantages of 131 simple and easy implementation, strong parallel processing ability and low requirements for parameters. However, the algorithm has the shortcomings of low precision and slow searching 132 133 speed in the late stage.

134 It is widely used in complex application problems. Cheng et al. (2021) optimized the AFSA 135 by improving view field, step size and setting self-new neighborhood structure, and combined with BP neural network, effectively improved the accuracy of fault diagnosis. Zhang et al. (2021) 136 introduced the movement strategy and mutation operation into to help algorithm escape from 137 local extreme value, and applied modified algorithm to deal with combinatorial optimization 138 problem of large-scale units. Wu et al. (2021) used the method of good point set to optimize the 139 140 initial distribution of artificial fish, and used bacterial foraging optimization algorithm and Levi 141 flight to improve foraging behavior and random behavior. Experimental simulation showed that the improved algorithm could identify key nodes in the network and improve stability. Jiao et al. 142 (2021) use A\* algorithm to initialize the fish swarm, and use Pareto dominating relation and 143 adaptive vision to improve the optimization ability, to provide the robot with an optimal path 144 free of obstacles. In our paper, When the solution effect of AFSA is not good, the hierarchical 145 146 system and predation strategy of gray wolf optimization algorithm are introduced, and 147 GWO-AFSA is employed to deal with the site problem of distribution center in logistics.

148 The study uses grey wolf optimization algorithm (GWO -AFSA) for sake of optimizing site 149 selection of low-carbon cold chain logistics distribution center. The innovation is to improve the cold chain low-carbon logistics site selection model and solution method. The study contributes 150 to propose an optimization model by considering various factors affecting cold chain products 151 152 and converting carbon emissions into carbon emission cost, which includes fixed cost, transportation cost, cooling cost, commodity damage cost, penalty cost and carbon emission 153 cost. The GWO is introduced into later stage of fish swarm algorithm to avoid problems 154 155 existing in later stage of fish swarm algorithm. Improved algorithm's effectiveness is proved by function test, and it is used to deal with site selection model, and site selection scheme with 156 157 lower cost is obtained.

## 159 **2. Literature Review**

Society urgently needs to develop sustainable technology systems (Reddy et al., 2022). Aiming at low carbon, Conlon et al. (2022) built models to balance costs and carbon emissions. Based on system dynamics, Zhang et al. (2021) set up a complex sustainable development path model with less carbon and provided corresponding policy suggestions.

Distribution center's site affects distribution distance and cost, so it is a great significance 164 and is a complicated problem to be solved by traditional methods (Nikouei et al., 2022; Xu et al., 165 2020; Zhang et al., 2022). Compared to uaual logistics, cold chain logistics consumes more 166 energy to guarantee the quality of products. Reasonable site of distribution center helps to 167 168 reduce quality loss of cold chain products, reduce carbon emissions and logistics costs to maximize benefits. In addition, it can improve customer satisfaction and delivery efficiency. 169 Therefore, site optimization is significant. There are many studies in analysis the site sites using 170 different methods. For instance, Wang et al., (2019) improved the leapfrog algorithm by using 171 the mutation operation in the differential evolution algorithm to provide a scientific site scheme 172 for the joint replenishment problem. Bilisik et al. (2019) used grey correlation analysis to solve 173 the site selection problem of fruit tree market in Istanbul. Yu et al. (2019) established a site 174 175 selection model for competitive facilities considering customer will and facility capacity, and obtained a site selection scheme that could consider both cost and service quality through 176 simulated annealing (SA) algorithm. Yang et al. (2022) built a path model about multiple 177 178 demand centers. Lim et al. (2022) built an optimization model for site selection that considered 179 elements containing cost, time-varying traffic conditions, commodity damage and consumer service level. 180

181 About logistics's analysis, Singh et al. (2018) introduced factors such as consumer site, 182 demand uncertainty and warranty period into service distance constraints, and built a mixed integer linear programming model to obtain reasonable cold chain distribution address. Shang 183 et al. (2019) adopted the method of comprehensive variation and random weight to improve 184 185 the whale optimization algorithm and get a more reasonable site of distribution center. Jiang et al. (2019) used probability function and traffic factor to represent the uncertainty of path. Based 186 187 on this, a dynamic low-carbon open site-path model was established and solved by an 188 intelligent optimization algorithm. Szymczyk et al. (2019) thought about the issues about carbon 189 emissions, smart city and sustainable development and analyzed the new delivery solutions on 190 basic of intelligent freight.

191 Song et al. (2019) studied how to select emergency supplies distribution center's site 192 regarding changes in demand and road conditions. Li et al. (2020) proposed that time window 193 constraints should be taken into full consideration when making site decisions for distribution 194 centers in view of the perishable feature of cold chain food. Xu(2023) proposed a mathematical 195 model to minimize the sum of vehicle fixed costs, fuel costs, carbon emissions costs, cooling 196 costs, time penalty costs, and segmentation compensation costs. A two-stage hybrid heuristic 197 path solution algorithm combining taboo table, genetic algorithm, optimal path generation 198 algorithm, load capacity constraint algorithm, and time window constraint algorithm was designed to address the complexity of the model and the uniqueness of the solution. The distribution cost is increasing from different cold chain products have different deterioration speed, and with the increase of distance. Assumptions are set in the model to simplify the analysis.

203 In sum, the current literature contains less costs, including only a few costs, and most of them do not consider carbon emissions. There are few logistics models that consider many 204 factors such as carbon emissions. The proposed model is established regarding the 205 comprehensive analysis about various factors including carbon emissions. As the literature 206 shows, the changes of demand, road conditions, distance and other factors complicate the 207 model, which is not conducive to the solution of the problem. Some assumptions are set in the 208 209 model to simplify the analysis. To better decide distribution center site selection, (1) this study sets up some basic assumptions and establishes a double-level low-carbon cold chain logistics 210 distribution center site selection model containing supplier, distribution center and consumer 211 212 with the target of minimizing total cost, including fixed cost, transportation cost, cooling cost, 213 commodity damage cost, penalty cost and carbon emissions cost; (2) social hierarchy and predation strategy of GWO are introduced into the fish swarm algorithm to help late stage of 214 215 the basic AFSA escape from slow optimization speed and low solving accuracy; and (3) the 216 improved GWO-AFSA is implemented to solve the site selection model.

# 217

### 218 **3. Method**

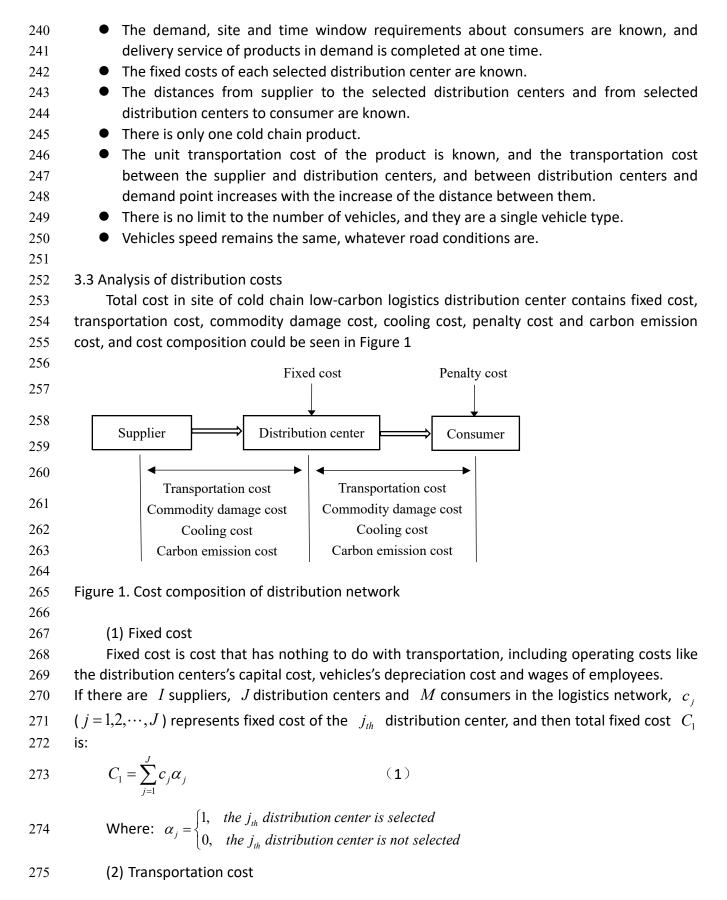
## 219 **3.1** Description of problem

According to the number of nodes, the site problem has three categories: single-level, 220 double-level and multi-level. Single-level means that the distribution network only contains two 221 222 types of nodes: distribution center and consumer. The double-level distribution network consists of three nodes: supplier, distribution center and consumer, multi-level refers to a 223 network containing at least three types of different nodes (Lin et al., 2020). Multiple distribution 224 centers site problem refers to that several distribution centers are chose from known multiple 225 226 distribution centers to be selected so as to reduce total cost of the distribution network formed 227 under constraints of satisfying needs of consumers. At present, most studies are about 228 single-layer level, while there are few studies about two-layer level, and the existing models 229 contain fewer costs

This study takes the Beijing-Tianjin-Hebei metropolitan area as the research objects, which 230 is a large urban agglomeration consisting of Beijing, Tianjin, Hebei province and some 231 surrounding cities. It is one of the most dynamic and promising regions in northern China and 232 233 an important center for politics, culture, science and technology, and economy. Taking Binhai 234 New Area as the supply point, 5 out of 13 municipal administrative units within the 235 metropolitan area (Beijing, Tianjin, Shijiazhuang, Chengde, Zhangjiakou, Qinhuangdao, Langfang, Tangshan, Baoding, Cangzhou, Hengshui, Xingtai, Handan) are selected as distribution centers 236 237 to provide distribution services to 26 demand points.

238

239 3.2 Hypotheses of problem



Transportation cost refers to the cost related to freight volume and haul distance. Suppose  $p_2$  is the cost of transporting unit product in unit distance,  $q_{ij}$  is the freight volume from i to j,  $q_{jm}$  is freight volume from j to m,  $d_{ij}$  is the distance between i and j, and  $d_{jm}$  is the distance between j and m, and then the transportation cost  $C_2$  is:

280 
$$C_{2} = \sum_{i=1}^{I} \sum_{j=1}^{J} p_{2}q_{ij}d_{ij}\alpha_{j} + \sum_{j=1}^{J} \sum_{m=1}^{M} p_{2}q_{jm}d_{jm}\alpha_{jm} \quad (2)$$

281 Where:  $\alpha_{jm} = \begin{cases} 1, & distribution center j provides distribution service to consumer m \\ 0, & distribution center j does not provide distribution service to consumer m \end{cases}$ 

282 (3) Commodity damage cost

Commodity damage is loss cost of cold chain products owing to the passage of time and temperature change during transportation and unloading. Suppose  $p_3$  is unit price of cold chain product,  $\beta_1$  and  $\beta_2$  are product's deterioration rate in transportation and unloading process,  $t_{ij}$  is the vehicle's delivery time from i to j,  $t_{jm}$  is vehicle's delivery time between jand m, and  $T_m$  is the time required for the vehicle to be unloaded at consumer m. The cost of commodity damage is:

289 
$$C_{3} = \sum_{i=1}^{I} \sum_{j=1}^{J} p_{3}q_{ij}\alpha_{j} \left(1 - e^{-\beta_{1}t_{ij}}\right) + \sum_{j=1}^{J} \sum_{m=1}^{M} p_{3}q_{jm}\alpha_{jm} \left(1 - e^{-\beta_{1}t_{jm}}\right) + \sum_{j=1}^{J} \sum_{m=1}^{M} p_{3}q_{jm}\alpha_{jm} \left(1 - e^{-\beta_{2}T_{m}}\right) \quad (\mathbf{3})$$

290 (4) Cooling cost

291 Cooling cost refers to cost of cooling caused by refrigerated vehicles during transportation 292 and unloading. Suppose that in the transportation process, the cooling cost of products 293 transported by refrigerated vehicles per unit time is  $p_{41}$ ; in unloading process, cooling cost 294 unloading products per unit time is  $p_{42}$ . Then the cooling cost is:

295 
$$C_4 = \sum_{i=1}^{I} \sum_{j=1}^{J} p_{41} t_{ij} \alpha_j + \sum_{j=1}^{J} \sum_{m=1}^{M} p_{41} t_{jm} \alpha_{jm} + \sum_{m=1}^{M} p_{42} T_m \alpha_{jm} \quad (\mathbf{4})$$

296 (5) Penalty cost

Penalty cost refers to the cost incurred when vehicle can't deliver product to consumer within a specified period of time. There is the waiting cost if vehicle arrives before the earliest time requested by the consumer, and the lateness cost if vehicle arrives after the latest time requested by the consumer. Suppose  $t_m$  is the time when the vehicle reaches consumer m,  $p_w$  and  $p_l$  are waiting and lateness cost per hour,  $(ET_m, LT_m)$  is time window expected by consumer m, and  $(EET_m, LLT_m)$  is the time window acceptable to the consumer m. Then the penalty cost at consumer m is:

304 
$$C_{5}(m) = \begin{cases} \inf, & t_{m} < EET_{m} \\ p_{w}(ET_{m} - t_{m}), & EET_{m} < t_{m} < ET_{m} \\ 0, & ET_{m} < t_{m} < LT_{m} \\ p_{l}(t_{m} - LT_{m}), & LT_{m} < t_{m} < LLT_{m} \\ \inf, & t_{m} > LLT_{m} \end{cases}$$
(5)

305 Where inf is an infinite positive number

306 The total penalty cost is:

307 
$$C_5 = \sum_{m=1}^{M} C_5(m)$$
 (6)

308 (6) Carbon emission cost

Carbon emission cost is cost of carbon dioxide emissions caused by energy consumption 309 and refrigerant caused by cooling equipment during transportation. The vehicle's fuel 310 311 consumption is in relation to elements like driving distance, load weight and speed, and more fuel consumption, the more carbon dioxide emissions. Set  $p_c$  as unit carbon tax price, e as 312 carbon dioxide emission coefficient,  $q_M$  as maximum load of refrigerated vehicles,  $\mathcal{E}_0$  and  $\mathcal{E}_m$ 313 are fuel consumption per kilometer when vehicle is not loaded and fully loaded, and  $E_2$  as 314 cooling equipment's energy consumption per hour. Relationship between fuel consumption and 315 deadweight per unit distance of refrigerated vehicles between supplier and distribution center 316 and between distribution center and consumer (Zhang et al., 2019) is as follows: 317

318 
$$E_1(x_{ij}) = \frac{\varepsilon_m - \varepsilon_0}{q_M} x_{ij} + \varepsilon_0$$
(7)

319 
$$E_1(x_{jm}) = \frac{\varepsilon_m - \varepsilon_0}{q_M} x_{jm} + \varepsilon_0$$
(8)

320 Therefore, the total carbon emission cost of vehicles and cooling equipment is:

$$C_{6} = p_{c} \sum_{i=1}^{I} \sum_{j=1}^{J} eE_{1}(x_{ij}) d_{ij} \alpha_{j} + p_{c} \sum_{j=1}^{J} \sum_{m=1}^{M} eE_{1}(x_{jm}) d_{jm} \alpha_{j} + p_{c} \sum_{i=1}^{I} \sum_{j=1}^{J} eE_{2}t_{ij} + p_{c} \sum_{j=1}^{J} \sum_{m=1}^{M} eE_{2}t_{jm}$$
(9)

322

- 323 **3.4 Model construction**
- In summary, site selection model of low-carbon cold chain logistics distribution center is:  $\min C = C_1 + C_2 + C_3 + C_4 + C_5 + C_6$ (10)

326 Constraints:

327 
$$\sum_{j=1}^{J} \alpha_{jm} = 1, m \in M$$
 (11)

328 
$$\sum_{i=1}^{I} \sum_{j=1}^{J} q_{ij} = \sum_{j=1}^{J} \sum_{m=1}^{M} q_{jm}$$
(12)

329 
$$\sum_{i=1}^{I} \sum_{j=1}^{J} q_{ijm} = 0$$
 (13)

330 
$$\sum_{j=1}^{J} q_{jm} \ge \sum_{m=1}^{M} q_m$$
(14)

$$331 \qquad \sum_{j=1}^{J} \alpha_j \le N \tag{15}$$

332 
$$\sum_{n=1}^{N} V_n \ge \sum_{m=1}^{M} q_m$$
 (16)

334 Equation (10) is goal function, aiming to reduce total cost as much as possible including 335 fixed cost, transportation cost, commodity damage cost, cooling cost, penalty cost and carbon 336 emission cost. Equation (11) indicates that each customer can only be provided with distribution service by one distribution center. Equation (12) means total freight volume 337 338 between supplier and distribution center is equal to that between distribution center and 339 customer. Equation (13) indicates that there are no vehicles running between distribution centers. Equation (14) means total amount of products transported from distribution centers to 340 customers is greater than or equal to total amount of customer demand,  $q_{\scriptscriptstyle m}$  is the quantity 341 demanded by customer m. Equation (15) is the limit on distribution center's total number, 342 343 that is, at most N distribution centers are constructed,  $n = 1, 2, \dots, N$ . Equation (16) indicates 344 that total capacity of selected distribution centers must be able to meet customers' needs, and 345  $V_n$  represents capacity about the  $n_{th}$  distribution center.

346

# 347 **3.5** The basic principle of GWO-AFSA

Due to the advantages of wide adaptability and strong generality, AFSA is implemented to 348 do with complex real problems like fault diagnosis (Wang et al., 2020), parameter control (Zhang 349 et al., 2019), path planning (Yuan et al., 2018), image processing (Wang et al., 2020), fault site of 350 power system (Hu et al., 2020). However, in late phase, convergence speed of AFSA is slow, it is 351 352 not hard to fall into local extreme value, and the solving accuracy is not high. Therefore, studies have mainly improved the fish swarm algorithm from three aspects: fish school initialization 353 354 (Zhang et al., 2019), algorithm parameters (Zhang et al., 2019), and fusion with other algorithms (He et al., 2020). The GWO involves few parameters, is easy to implement, and has good 355 356 solution performance, so it has been used to improve the performance of AFSA.

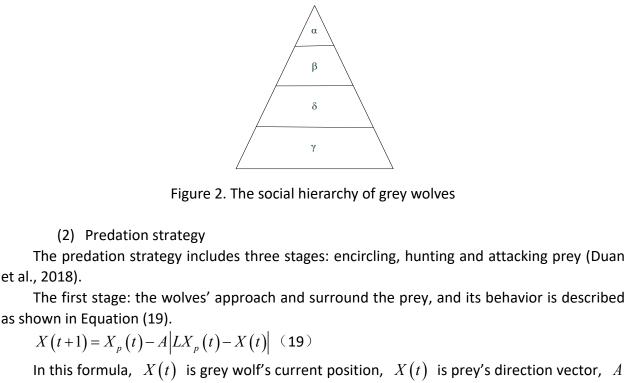
GWO is a new algorithm proposed by simulating the population of grey wolves (Mirjalili et al., 2014). The GWO mainly realizes the optimization process through social hierarchy and predation strategy (Feng et al., 2019).

Social hierarchy and predation strategy of GWO are introduced into fish swarm algorithm 360 to enhance solving accuracy and speed. Specific operations are as follows: when bulletin board 361 362 has no change or little change, social hierarchy is used to preserve the currently obtained 363 optimal, suboptimal and third-optimal solutions, and the predation strategy is carried out for other candidate solutions. The social hierarchy can enable the algorithm to maintain the 364 obtained optimal solution, the predation strategy can increase the variation of the population, 365 366 and the adaptive parameters in the predation strategy can ensure both local and global optimization. 367

368 (1) Social hierarchy

In the GWO, each grey wolf represents one candidate solution, and the grey wolf population has a strict social hierarchy, with low-ranking wolves following the command of high-ranking wolves, as shown in Figure 2. Where,  $\alpha$  is located at pyramid's top and is highest leader in group, representing the optimal solution.  $\beta$  is the deputy leader, representing the suboptimal solution;  $\delta$  obeys the arrangement of  $\alpha$  and  $\beta$ , and can dominate the

individuals of  $\gamma$ , representing the third optimal solution;  $\gamma$  is the ordinary individual and represents other solutions (Zhang et al., 2019). 



In this formula, X(t) is grey wolf's current position, X(t) is prey's direction vector, A and L are coefficient variables, which satisfy that  $A = 2a \cdot r_1 - a$ ,  $L = 2 \cdot r_2$ ,  $r_1$  and  $r_2$  are random variables in the interval [0,1], and a decreases linearly from 2 to 0 with iteration times, that is, 

$$a = 2(1 - current \text{ number of iterations}/\max imum number of iterations})$$
(20)

.

The second stage: in the hunting stage,  $\gamma$  can update the position through positions of  $\alpha$ ,  $\beta$  and  $\delta$ , as shown in Equation (21). Equation (22) is the direction and step size of  $\alpha$ ,  $\beta$ and  $\delta$  relative to  $\gamma$ . 

et al., 2018).

$$X(t+1) = (X_1 + X_2 + X_3)/3$$
(21)

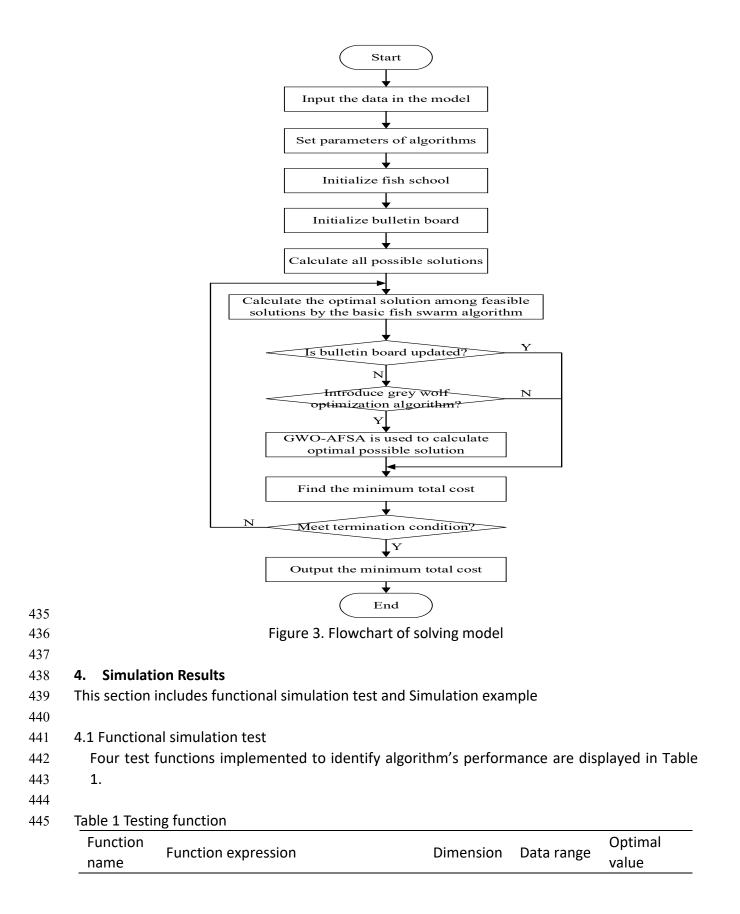
$$\begin{cases} X_{1} = X_{\alpha}(t) - A_{1} \left| L_{1} X_{\alpha}(t) - X(t) \right| \\ X_{2} = X_{\beta}(t) - A_{2} \left| L_{2} X_{\beta}(t) - X(t) \right| \\ X_{3} = X_{\delta}(t) - A_{3} \left| L_{3} X_{\delta}(t) - X(t) \right| \end{cases}$$
(22)

The third stage: the grey wolf attacks its prey through the change of coefficients A and L for obtaining optimal solution. Where, value range of A is [-a,a], and it changes positively with *a*. When |A| > 1, grey wolf separates from the prey, thus expanding search range to realize the global search. When  $|A| \le 1$ , the grey wolf attacks the prey, reduces 

- 400 exploitation range, and improves the local search ability. L is a random variable in interval 401 [0,2], indicating prey's random weight, can randomly enhance (L>1) or attenuated (L>1)
- 402 the distance between the prey and the grey wolf.

# 404 **3.6 Solving steps of the model**

- 405The steps of GWO-AFSA to solve site of low-carbon cold chain logistics distribution center406are as follows:
- Step 1: Input the original data in site selection model of cold chain logistics distribution center, for example, site of the suppliers; the coordinate, capacity and fixed cost of the possible distribution centers; the coordinate, demand and time window of the consumers, etc.
- Step 2: Set the parameters in the algorithms, including iteration number and maximum number of iteration thresholds with no change or extremely small changes on the bulletin board, artificial fish's number, the step size, the field of vision, iteration number, trials's maximum number, crowding factor and so on.
- Step 3: Initialize artificial fish school to generate the initial fish school.
- 416 Step 4: Initialize the bulletin board, that is, calculate current artificial fish's total cost and
   417 record it on bulletin board.
- 418 Step 5: According to the constraint condition that selected distribution center's capacity
   419 should be more than total demand, all feasible solutions for at most n distribution centers
   420 are obtained.
- 421 Step 6: Clustering, following and foraging behaviors are conducted by fish school, and the
   422 behavior with less cost is chose to execute. Foraging behavior is the default behavior.
- Step 7: Compare the cost in the bulletin board with the cost calculated after each operation of artificial fish, and record the smaller one on the bulletin board. If the number of iterations with no change or minimal change in the status of bulletin board has reached the threshold of the maximum number of iterations, then social hierarchy and predation strategy in GWO are introduced, and step 8 is performed; otherwise, step 9 is performed.
- 428 Step 8: Record the optimal cost of feasible solutions of all distribution centers, and find the
   429 minimum cost.
- 430 Step 9: Determine whether iterations's maximum number is reached. If so, terminate
   431 algorithm and output minimum total cost. Otherwise, step 6 is performed.
- 432 The flow chart of GWO-AFSA implemented to site selection model of low-carbon cold chain
   433 logistics distribution center is shown in Figure 5.
- 434



Rastrigin	$f(x) = 20 + x_1^2 + x_2^2 - 10(\cos 2\pi x_1 + \cos 2\pi x_2)$	2	[-10,10]	0
Eggcrate	$f(x) = x_1^2 + x_2^2 + 25(\sin^2 x_1 + \sin^2 x_2)$	2	[-10,10]	0
Step	$f(x) = \sum_{i=1}^{n} ( x_i + 0.5 )^2$	10	[-10,10]	0
Griewank	$f(x) = \sum_{i=1}^{n} \frac{x_i^2}{4000} - \prod_{i=1}^{n} \cos\left(\frac{x_i}{\sqrt{i}}\right) + 1$	10	[-10,10]	0

447 The parameters are set as follows: Probability of crossover and mutation in GA are 0.8 and 0.01. Markov chain's length is 200, the attenuation parameter is 0.999, and temperature at the 448 beginning is 500. Artificial fishes's number is 20, perceived distance is 1.8, step size is 0.4, 449 450 crowding factor is 0.618, maximum number of trials is 20, maximum iterative threshold is 5, probability of DNA crossover and mutation are 0.9 and 0.01. GA, SA, AFSA, DNA-AFSA (Fei et al., 451 452 2016) and GWO-AFSA are used to solve the four test functions for 30 times. Table 2 and Table 3 show the solution results of five algorithms when the number of iterations and accuracy are 453 fixed. Figure 4-figure 7 show the convergence process diagram of five algorithms for solving 454 455 four functions.

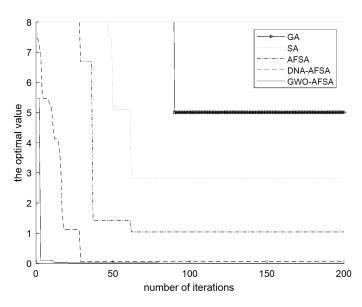
457	Table 2. Results obtained by five algorithms under fixed iteration times
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Function		Rastrigin	Eggcrate	Step	Griewank
Iterations		200	200	5000	5000
	Mean value	8.483759363	3.970444151	13.433546355	0.029990696
	Best value	0.489185809	0.002627296	1.396542762	0.012936138
GA	Worst value	21.58256126	16.40715243	26.864981764	0.044714337
	Standard deviation	5.328522966	4.574352035	6.275242968	0.008686731
	Mean value	4.018570271	2.685235058	1.256477579	0.013246481
	Best value	0.009199148	4.62664E-05	0.446850420	0.002506366
SA	Worst value	18.14293683	12.55202825	1.822969676	0.038170921
	Standard deviation	4.575206148	3.621144071	0.400257846	0.007971387
	Mean value	0.991539478	0.11810237	1.297169819	0.001261565
	Best value	0.001563154	3.58683E-07	0.285973064	0.000550053
AFSA	Worst value	2.843251796	3.131612422	1.960062388	0.001795013
	Standard deviation	0.620142644	0.564077485	0.414493448	0.000315763
DNA-AFSA	Mean value	0.017591882	0.008714641	0.124701906	0.000867434
DINA-AFSA	Best value	0.000226978	7.59E-08	0.000115183	3.76186E-06

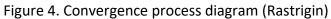
	Worst value	0.340883271	0.251098714	1.335808366	0.001312492
	Standard deviation	0.063717966	0.045026132	0.350844184	0.000295907
	Mean value	0.005008462	2.56534E-06	0.00035643	3.0202E-07
GWO-AFSA	Best value	7.43693E-05	3.16076E-08	8.50981E-05	1.614E-08
	Worst value	0.012022214	1.22994E-05	0.000953898	9.52018E-07
	Standard deviation	0.003056486	2.70534E-06	0.000189126	2.19994E-07

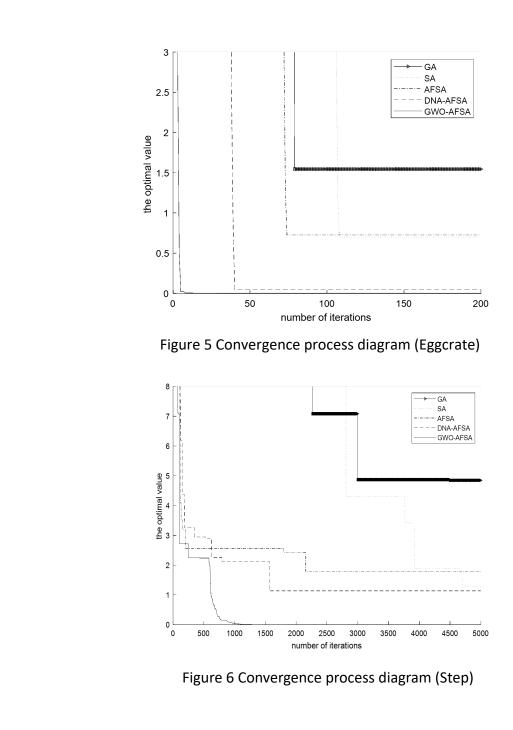
459 Table 3. Results obtained by five algorithms under fixed precision

Function		Rastrigin	Eggcrate	Step	Griewank
Accuracy		0.1	0.001	1	0.001
<b>C</b> A	Mean convergence algebra	_	_	_	_
GA	Success rate	0.00%	0.00%	0.00%	0.00%
<b>C A</b>	Mean convergence algebra	109	87.75	4731.90	_
SA	Success rate	3.33%	26.67%	33.33%	0.00%
AFSA	Mean convergence algebra	67	61.48	3585.33	2436
	Success rate	3.33%	76.67%	20%	16.67%
DNA-AFSA	Mean convergence algebra	39.29	46.31	2639.56	2123.56
	Success rate	93.33%	86.67%	90%	60%
GWO-AFSA	Mean convergence algebra	21.91	26.03	2087.77	1913.27
	Success rate	100%	100%	100%	100%

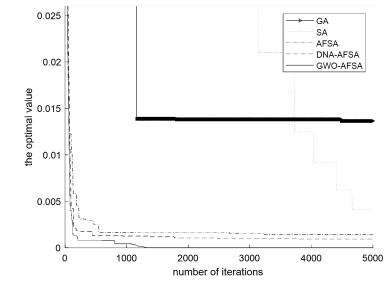














473

Figure 7 Convergence process diagram (Griewank)

474 Iterations' average number reflects convergence rate. Since theoretical optimal value of the four typical test functions is 0, the robustness is measured by the standard deviation 475 indicator. The result displays mean, best and worst value of grey wolf-AFSA are all smaller than 476 477 those of GA, SA, ASFA and DNA- AFSA. Therefore, GWO-AFSA can get higher precision solutions. And because GWO-AFSA has the minimum standard deviation, its stability is proved. When the 478 479 fixed precision is set, GWO-AFSA's success rate is higher than that of other four algorithms, 480 indicating that it has higher reliability. Moreover, according to the minimum average 481 convergence algebra of GWO-AFSA accuracy in Table 3 and the convergence process diagrams in Figures 4-e 7, the optimization speed of GWO-AFSA is the fastest. 482

483

484 4.2 Simulation example

485 Suppose the Binhai New Area is taken as supplier with a site of (117.68, 39.03), and 5 of 486 the 13 municipal administrative units are selected to provide distribution service to 26 customers. Assuming that vehicles depart from distribution center at 5:30 at speed of 60km/h; 487 price of a unit product transported within a unit distance is 0.5 yuan; unit price of product is 488 5000 yuan; each unit of unloading volume requires 5/60 hours; deterioration rate during 489 transportation and unloading is 0.03 and 0.06; the cooling cost generated by transporting and 490 491 unloading products is 15 yuan /h and 20 yuan /h; waiting and lateness cost generated by vehicle are 5 yuan/h and 10 yuan/h; carbon tax price is 20 yuan/kg, the fuel consumption per unit 492 distance are 0.165L/km and 0.255L/km, when vehicle is unloaded and fully loaded, cooling 493 equipment's energy consumption is 0.0025L/t.km, and carbon dioxide emission coefficient is 494 2.61kg/L (Zhang et al., 2019). The coordinates, capacity and fixed cost of the alternative 495 distribution centers are displayed in Table 4, and consumers' relevant data are displayed in Table 496 497 5.

499 Table 4 The coordinates, capacity and fixed cost of the alternative distribution centers

Distribution center	Longitude and latitude	Fixed cost	Capacity
Beijing City	(116.42, 39.92)	5000	8
Tianjin City	(117.20, 39.13)	4500	8
Shijiazhuang City	(114.30, 38.02)	4500	9
Chengde City	(117.57, 40.59)	3000	7.5
Zhangjiakou City	(114.53, 40.48)	4000	8
Qinhuangdao City	(119.35, 39.55)	3500	8.5
Langfang City	(116.70, 39.52)	4000	9
Tangshan City	(118.11, 39.36)	3000	7
Baoding City	(115.30, 38.51)	3500	9
Cangzhou City	(116.52, 38.18)	4000	9
Hengshui City	(115.42, 37.44)	3500	9.5
Xingtai City	(114.30, 37.04)	3000	7.5
Handan City	(114.28, 36.36)	4500	8

# Table 5 The relevant data of consumers

lable	5 The relevant data of consumers	S			
No.	Customer	Longitude	Acceptable	Expected	Quantity
<b>NO</b> .	customer	and latitude	time window	time window	Demand
1	Huairou District, Beijing	(116.63, 40.32)	5: 45-9: 30	6:00-9:00	1
2	Fangshan District, Beijing	(116.13, 39.75)	5: 30-10: 10	5: 45-9: 40	2
3	Jinghai District, Tianjin	(116.92, 38.93)	5: 40-10: 10	5: 50-9: 45	1.5
4	Ninghe County, Tianjin	(117.82, 39.33)	5: 30-10: 20	5: 50-10: 00	2
5	Pingshan County, Shijiazhuang	(114.20, 38.25)	5: 50-9: 10	6: 00-8: 45	0.5
6	Xinji City, Shijiazhuang	(115.22, 37.92)	6: 00-8: 40	6: 15-8: 15	1
7	Fengning County, Chengde	(116.65, 41.20)	6: 30-10: 20	7: 00-9: 40	1
8	Longhua County, Chengde	(117.72, 41.32)	5: 30-10: 30	5: 45-10: 00	1.5
9	Chongli County, Zhangjiakou	(115.27, 40.97)	5: 40-10: 20	6: 10-9: 50	0.5
10	Huai'an County, Zhangjiakou	(114.42, 40.67)	5: 30-10: 30	6: 00-10: 00	2
11	Changli County, Qinhuangdao	(119.17, 39.70)	5: 30-10: 10	5: 50-9: 40	1.5
12	Qinglong County, Qinhuangdao	(118.95, 40.40)	5: 45-9: 30	6: 10-8: 50	2
13	Gu' an County, Langfang	(116.30, 39.43)	5: 30-10: 20	5: 40-9: 50	1
14	Xianghe County, Langfang	(117.00, 39.77)	5: 30-9: 40	5: 50-9: 00	2.5
15	Zunhua City, Tangshan	(117.95, 40.18)	5: 40-10: 10	6: 00-9: 40	1.5

16	Tanghai County, Tangshan	(118.45, 39.27) 5: 30-10: 30	5: 45-10: 00	1
17	Laisyuan County, Baoding	(114.68, 39.35) 5: 30-10: 40	5: 40-10: 15	2
18	Shunping County, Baoding	(115.13, 38.83) 5: 30-9: 50	5: 35-9: 10	0.5
19	Renqiu City, Cangzhou	(116.10, 38.72) 5: 30-10: 10	5: 50-9: 40	1
20	Haixing County, Cangzhou	(117.48, 38.13) 6: 00-10: 30	6: 20-10: 00	1.5
21	Fucheng County, Hengshui	(116.15, 37.87) 5: 45-9: 20	6: 10-8: 45	1.5
22	Gucheng County, Hengshui	(115.97, 37.35) 5: 50-10: 10	6: 00-9: 50	1
23	Lincheng County, Xingtai	(114.50, 37.43) 5: 30-10: 30	6: 00-8: 00	0.5
24	Guangzong County, Xingtai	(115.15, 37.07) 5: 45-10: 10	6: 20-9: 30	2
25	Shexian County, Handan	(113.67, 36.57) 5: 40-10: 30	6: 00-9: 50	2
26	Wei County, Handan	(114.93, 36.37) 5: 30-10: 20	6: 00-10: 00	1.5

AFSA, DNA-AFSA and GWO-AFSA are used to solve site selection model of low-carbon cold chain logistics distribution center for 30 times. Thirty artificial fish are set, maximum iterations are 200, try it up to 5 times, crowding factor is 0.618, range of visual field is 65, step length is 20, threshold of iterations' maximum number without change is 5, DNA crossover probability is 0.9, and DNA mutation probability is 0.01. Running results are displayed in Table 6.

## 508 509

#### Table 6. Three algorithms' running results

	Mean	Optimal	Maximum	Standard	Mean
	value	value	value	deviation	convergence
	value	value	value	ueviation	algebra
AFSA	119030.74	102768.10	137014.78	8415.79	72.97
DNA-AFSA	113189.32	99236.14	130599.46	7411.86	54.93
GWO-AFSA	108638.73	94172.60	125647.90	6972.77	30.23

#### 510

Table 6 indicated that, in the light of cost, optimal, maximum and mean value of AFSA 511 solving the total cost of the model are 119030.74, 102768.10 and 137014.78; the optimal value, 512 513 maximum value and mean value of DNA-AFSA solving the total cost of the model are 113189.32, 514 99236.14 and 130599.46; and the optimal value, maximum value and mean value of GWO-AFSA solving the total cost of the model are 108638.73, 94172.60 and 125647.90, respectively. The 515 516 solution results of GWO-AFSA are 10392.01 8595.5 and 11366.88 smaller than those obtained by AFSA, and 4550.59, 5063.54 and 4951.56 smaller than those obtained by DNA-AFSA. 517 Therefore, GWO-AFSA is used in site selection model of low-carbon cold chain logistics 518 distribution center to get lower total cost. From the standpoint of average convergence algebra, 519 520 in contrast to other two algorithms, GWO-AFSA has smallest average convergence algebra, 521 indicating the best convergence efficiency. In the light of standard deviation, standard deviation

- of GWO-AFSA is smaller than that of AFSA and DNA-AFSA, which indicates that GWO-AFSA is
- 523 more stable in solving the model.
- 524 Optimal site selection schemes solved using AFSA, DNA-AFSA and GWO-AFSA are shown in
- 525 Tables 7-9, and the corresponding site selection schematic diagrams are shown in Figures 8- 10.
- 526 The comparison diagram of three algorithms' optimization curves is shown in Figure 11.
- 527
- 528 Table 7. Site selection scheme of basic AFSA

The selected distribution center	Consumers
Tianjin City	3, 4, 7, 9, 15, 21
Qinhuangdao City	2, 5, 6, 10, 18, 26
Baoding City	20, 22, 24, 25
Cangzhou City	11, 14, 16, 17, 19, 23
Langfang City	1, 8, 12, 13

530 Table 8. Site selection scheme of DNA-AFSA

The selected distribution center	Consumers
Tianjin City	3, 9, 14, 16, 19, 22, 23
Tangshan City	2, 4, 8, 18, 20
Baoding City	1, 10, 11, 25
Cangzhou City	5, 6, 15, 17, 24, 26
Langfang City	7, 12, 13, 21

## 531

532 Table 9. Site selection scheme of GWO-AFSA

The selected distribution center	Consumers
Tianjin City	1, 5, 14, 17, 20
Tangshan City	7, 12, 16, 21, 25
Baoding City	6, 9, 11, 13, 18, 19, 26
Cangzhou City	3, 8, 10, 22, 23, 24
Langfang City	2, 4, 15

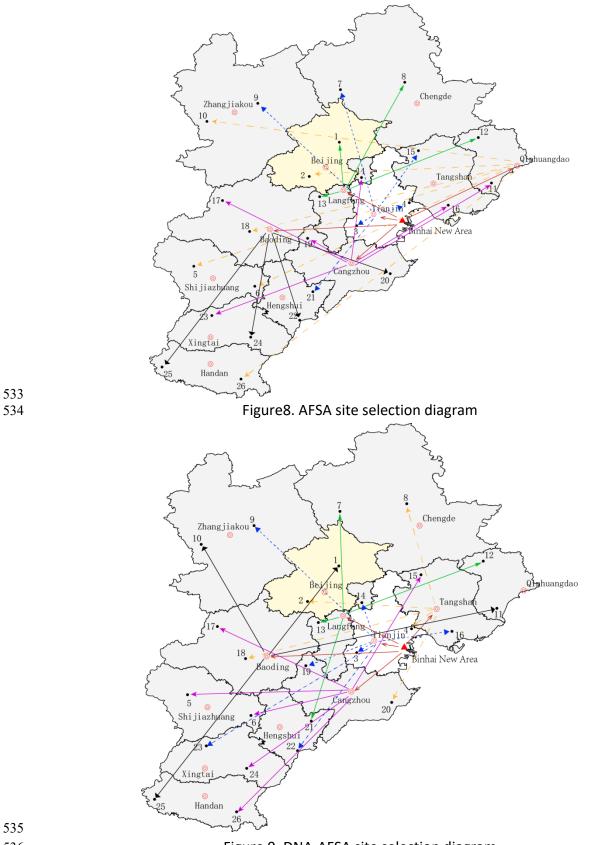




Figure 9. DNA-AFSA site selection diagram

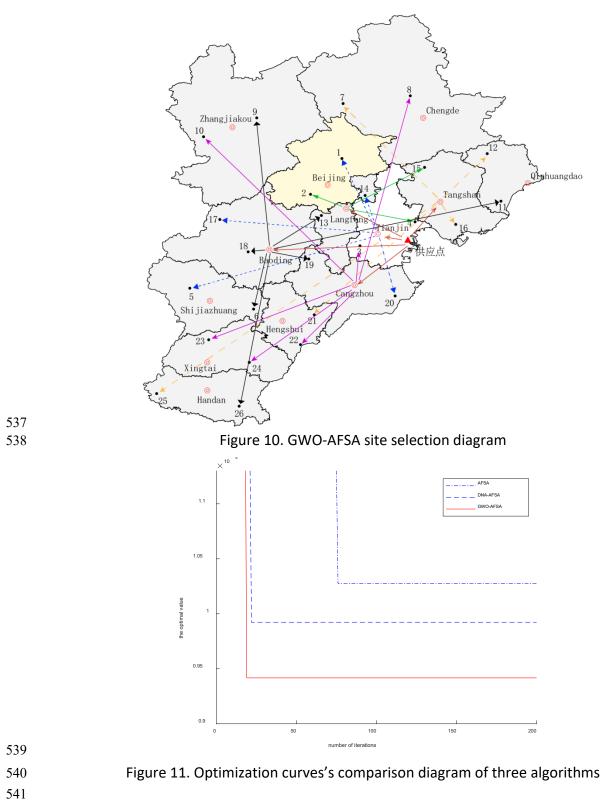


Table 7 and Figure 8 indicate that the site scheme solved by basic AFSA is as follows: the cold chain products are first departed from Binhai New Area to Tianjin, Qinhuangdao, Baoding, Cangzhou and Langfang, and then from Tianjin to customers 3, 4, 7, 9, 15 and 21, and 545 Qinhuangdao to customers 2, 5, 6, 10, 18 and 26, Baoding to customers 20, 22, 24 and 25, 546 Cangzhou to customers 11, 14, 16, 17, 19 and 23, and Langfang to customers 1, 8, 12 and 13.

Table 8 and Figure 9 presented the site scheme solved by DNA-AFSA is as follows: the cold chain products are first departed from the supplier to Tianjin, Tangshan, Baoding, Cangzhou and Langfang, and then from Tianjin to customers 3, 9, 14, 16, 19, 22 and 23, Tangshan to customers 2, 4, 8, 18 and 20, Baoding to customers 1, 10, 11 and 25, Cangzhou to customers 5, 6, 15, 17, 24, 26, and Langfang to customers 7, 12, 13 and 21.

Table 9 and Figure 10 indicated thatthe site scheme solved by GWO-AFSA is as follows: the cold chain products are first departed from supplier to Tianjin, Tangshan, Baoding, Cangzhou and Langfang, and then from Tianjin to customers 1, 5, 14, 17 and 20, Tangshan to customers 7, 12, 16, 21 and 25, Baoding to customers 6, 9, 11, 13, 18, 19 and 26, Cangzhou to 3, 8, 10, 22, 23, 24, and Langfang to customers 2, 4 and 15. Lastly, Figure 11 indicated that convergence speed and solving accuracy of GWO-AFSA are better than those of basic AFSA and DNA-AFSA.

558

## 559 **5. Discussion**

Cold chain logistics has stricter demands on temperature compares with usual logistics. Energy 560 561 consumptions are generated correspondingly to create the required low-temperature 562 environment, resulting in increased carbon emissions. Purpose of developing low-carbon logistics is to cut down carbon emissions, which is obviously different from cold chain logistics' 563 development law. The analysis of the low-carbon cold chain logistics distribution centers site 564 selection is vital for both reduction of carbon emissions and cold chain logistics' healthy 565 development. Optimizing site of cold chain logistics can make transportation more efficient, 566 guarantee product quality, reduce commodity damage rate, and make logistics more timely. 567 568 The energy consumption of the logistics link lower and act well in improving efficiency and 569 benefits of logistics activities, as well as reducing energy consumption. Enterprises pay more attention to economic benefits and cost control. More emphasis on logistics efficiency is 570 571 consistent with the resource-saving society development.

572 Low-carbon economy is the mainstream trend in current social development, but due to the stringent requirements of cold chain products on transportation conditions such as 573 574 temperature, cold chain logistics have significant energy consumption and carbon emissions. Therefore, studying how to reduce carbon emissions in cold chain logistics is of great practical 575 significance. Cold chain distribution centers serve as an important hub connecting suppliers and 576 577 customers, and their location can significantly affect distribution efficiency and logistics costs. Therefore, this study first proposes an improved artificial fish swarm algorithm based on the 578 579 artificial fish swarm algorithm, and then applies the improved artificial fish swarm algorithm to 580 solve the location of distribution centers. Empirical evidence shows that the improved algorithm can optimize the location of distribution centers and reduce the cost of the logistics 581 system. Furthermore, this study takes the Beijing-Tianjin-Hebei region as the research object, 582 which has certain reference value for promoting the integration of Beijing-Tianjin-Hebei. 583

584 This study considers various factors of low-carbon cold chain logistics site, and considers 585 fixed, penalty, transportation, commodity damage, cooling and carbon emission in the

composite cost. A cold chain low-carbon cold chain logistics site optimization model aiming at 586 587 minimizing composite costs is constructed. Mathematical model of cold chain logistics site optimization has been enriched and improved to make it closer to reality, which provides an 588 approach and theoretical foundation for cold chain enterprise distribution center site selection. 589 590 The search accuracy of the fish swarm algorithm is improved by introducing social hierarchy 591 and predation strategy of the gray wolf algorithm combined the gray wolf algorithm with the 592 AFSA. The improved algorithm enhances competence to escape from local optimum. This study 593 improves optimization performance of fish swarm algorithm in solving discrete problems, and 594 expands the improvement of AFSA.

595

## 596 6 Conclusion

This study constructs a low-carbon cold chain logistics distribution center site selection 597 model that includes costs of cooling, commodity damage, and carbon emissions according to 598 599 the characteristics of cold chain products that are prone to deterioration. The requirements for 600 the environment and cold chain are also getting higher and higher with the continuous progress of economy. It is the general trend to reduce carbon emissions and protect the environment. 601 602 Many studies don't take low carbon into account. Aiming at the shortcomings of basic fish 603 swarm algorithm, GWO is introduced to increase the population's diversity and algorithm's 604 convergence performance, and then the proposed GWO-AFSA is implemented to cold chain logistics distribution center site selection model. Simulation results show that GWO-AFSA can 605 provide a solution with lower total cost in the site problem. 606

The GWO-AFSA is superior to SA, GA, basic AFSA and DNA-AFSA regarding accuracy, convergence, speed, stability and reliability according to the function simulation test results. GWO-AFSA can solve the solution with the least total cost, and speed is the fastest in the example simulation of the site selection model. GWO-AFSA has better optimization effect and can provide a more reasonable site selection scheme compared with the other two algorithms. This study provides a strong theoretical support for green development of cold chain logistics activities.

The study has some limitations. The impact of traffic conditions on the logistics system is not considered and the real traffic conditions are changeable to simplify the analysis. Using the positioning technology to reflect traffic conditions in real time in the model is the future work. In addition, the instance model contains only one supplier, and there may be multiple suppliers in real life, so it is necessary to study the case of the model when there are multiple suppliers in the future.

620

621 Declaration of Competing Interest: The authors wish to state that this study has no competing622 interests.

- 623
- 624 Data availability: Not Applicable
- 625
- 626 References

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