

Kabbas-Piñango, E., Arinaitwe, M., van Dam, G. J., Moses, A., Namukuta, A., Nankasi, A. B., Khayinja Mwima, N., Besigye, F., Prada, J. M. and Lamberton, P. H. L. (2023) Reproducibility matters: intra- and inter-sample variation of the point-of-care circulating cathodic antigen test (POC-CCA) in two Schistosoma mansoni endemic areas in Uganda. *Philosophical Transactions of the Royal Society B: Biological Sciences*, 378(1887), 20220275. (doi: 10.1098/rstb.2022.0275)



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Deposited on: 26 July 2023

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1 Title

- 2 Reproducibility matters: Intra- and inter-sample variation of the point-of-care circulating
- 3 cathodic antigen test (POC-CCA) in two *Schistosoma mansoni* endemic areas in Uganda

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20 Abstract

- 21 Over 240 million people are infected with schistosomiasis. Detecting *Schistosoma mansoni*
- 22 eggs in stool using Kato-Katz thick smears (Kato-Katzs) is highly specific but lacks sensitivity.
- 23 The urine-based point-of-care circulating cathodic antigen test (POC-CCA) has higher
- 24 sensitivity, but issues include specificity, discrepancy between batches and interpretation of
- 25 trace results. A semi-quantitative G-score and latent class analyses making no assumptions
- 26 about trace readings, have helped address some of these issues. However, intra-sample and
- 27 inter-sample variation remains unknown for POC-CCAs.
- 28 We collected three days of stool and urine from 349 and 621 participants, from high- and
- 29 moderate-endemicity areas, respectively. We performed duplicate Kato-Katzs and one POC-
- 30 CCA per sample. In the high endemicity community, we also performed three POC-CCA
- 31 technical replicates on one urine sample/participant. Latent class analysis was performed to
- 32 estimate the relative contribution of intra- (test technical reproducibility) and inter-sample
- 33 (day-to-day) variation on sensitivity and specificity. Within sample variation for Kato-Katzs was
- higher than between samples, with the opposite for POC-CCAs. A POC-CCA G3 threshold most
- 35 accurately assesses individual infections. However, to reach the WHO Target product profile of
- 36 the required 95% specificity for prevalence and monitoring and evaluation, a threshold of G4 is
- 37 needed, but at the cost of reducing sensitivity.
- 38 Key Words
- 39 Schistosoma mansoni, diagnostics, latent class analysis, POC-CCA, intra-sample, inter-sample
- 40

41 Introduction

42 Schistosomiasis is a debilitating parasitic neglected tropical disease (NTD), caused by 43 trematodes of the Schistosoma genus. There are six main species infecting humans: S. 44 mansoni, S. japonicum, S. guineensis, S. mekongi and S. intercalatum, causing hepatointestinal 45 schistosomiasis; and S. haematobium and its hybrids, causing urogenital schistosomiasis. 46 People become infected by direct contact with freshwater contaminated with cercariae 47 released from infected intermediate snail hosts. The cercariae burrow into the skin, before 48 migrating, pairing up, and sexually reproducing in capillaries surrounding the intestines or 49 bladder, depending on species. Worm pairs produce up to 300 eggs per day [1], a proportion 50 of which are excreted in the faeces (intestinal species) or urine (S. haematobium); eggs can 51 also get retained in the liver or the bladder, respectively, causing inflammatory immune 52 responses and the formation of granulomas [1]. Suboptimal sanitation enables excreted eggs 53 to reach fresh water, hatch and infect new snails, completing the life cycle.

54 Approximately 240 million people are infected worldwide, 90% of them in sub-Saharan Africa. 55 However, the total number is likely underestimated due to the lack of sensitive diagnostics [2]. 56 Because adult worms lie within the capillaries, they are not accessible for direct diagnosis. All 57 current diagnostic techniques provide indirect estimates of adult worm numbers: eggs 58 excreted in stool or urine, antigens regurgitated by adult feeding worms, DNA from different 59 life-cycle stages, or host antibodies against the parasite. In 2021, the Global Schistosomiasis 60 Alliance Diagnostic Workstream published a list of all commercially available diagnostics for 61 schistosomiasis [3], but within endemic settings diagnoses focus on the WHO endorsed egg

62 microscopy and/or antigen detection.

In S. mansoni endemic settings, the mainstay diagnostic is microscopy, detecting eggs in stool 63 64 using Kato-Katz thick smears (Kato-Katzs). It is highly specific and detects active infections, but 65 lacks sensitivity in low intensity infections and regions [4–6] and particularly post treatment 66 [7–9]. Sensitivity can be improved by increasing the number of smears per stool and/or stools 67 sampled, but this increases logistical, temporal and financial costs. Artificial intelligence 68 algorithms for automated or semiautomated identification of eggs, enable faster reading, but 69 the sensitivity does not yet outperform humans [10]. Mathematical models have informed 70 easy-to-use tools to estimate true S. mansoni prevalence from observed Kato-Katz prevalence 71 to improve interpretation of sub-optimal sensitivity [11]. However, this can only improve 72 population level prevalence indicators, and not individual diagnoses.

73 The point-of-care-circulating cathodic antigen test (POC-CCA) (Rapid Medical Diagnostics, 74 Pretoria, RSA, currently distributed by ICT International on behalf of Rapid Medical 75 Diagnostics) is an urine-based lateral flow assay that requires no equipment, is easy to read by 76 the naked eye, enables high throughput and less processing than microscopy, uses the more 77 popular sample of urine rather than stool, and is recommended for the detection of S. mansoni 78 infections [12–14], and endorsed by the World Health Organization (WHO) since 2017 [15]. 79 The POC-CCA is more sensitive than Kato-Katz, especially for low intensity infections [16–19] 80 but issues exist with batch variation [20], low specificity including in samples from non-81 endemic areas [21–23] and cross-reactivity with other helminths [22], inter reader variability 82 especially with trace results, and interpretation of these [24,25], all of which can affect 83 individual diagnoses and prevalence estimates [26]. Improved standardisation and quality 84 control is required for the POC-CCA to be more reliable [27], which becomes more important 85 as regions and countries move towards the WHO goal of elimination as a public health 86 problem [28]. Although a WHO endorsed, and commonly used, S. mansoni diagnostic, no

87 guidelines exist for POC-CCA based cut offs. Clark et al [29] have translated egg to antigen-

- 88 based indicators for WHO targets, but these may be affected by intra- and inter-sample
- 89 variation, which are currently unknown, and which may also vary with infection intensity and
- 90 endemicity levels [30,31].

91 Traditionally, POC-CCA results were reported as negative (-), trace, and a range of positive 92 intensities (+, ++, +++), based on the readers' interpretation of visual band strength. The G-93 score system improves on this using ten pre-printed test lines of increasing intensities, ranging 94 from G1 to G10, enabling a wider range of semiquantitative results and lower inter-reader 95 variation [32]. Recent latent class analyses have further elucidated 'trace' results [29,33], with 96 the probability of G3 being a true positive being much higher than G2, resulting in a 97 recommendation that G3 and above be classified as positive [29], improving population level 98 predictions, as well as individual level diagnoses. In the absence of a perfect schistosomiasis 99 diagnostic test (gold standard), a composite reference standard (CRS) can be built using different imperfect test results. However, this can lead to overestimation of prevalence if any 100 101 tests are not 100% specific [34,35], such as POC-CCA [21,22] [23]. Alternatives to CRS include 102 latent class analysis statistical methods, which have improved over time [29,36–39] and now 103 no longer make assumptions about trace readings [29,33]. Latent class analyses have informed 104 our understanding of true prevalence [36–39], clearance and reinfection [33] and WHO 105 elimination targets [29]. If all costs are considered (test supply, transport, labour, and others), triplicate Kato-Katz is more expensive than a single POC-CCA, but a single POC-CCA is more 106 107 expensive than a single Kato-Katz [40], and three days of POC-CCAs will be more expensive 108 than three days of Kato-Katzs. Due to the higher sensitivity of POC-CCA and its slightly higher 109 cost compared to one Kato-Katz [40], only one POC-CCA tends to be performed per person. 110 Whilst data exist on the inter and intra-sample variation of Kato-Katz [41,42] little is known 111 about intra-sample and inter-sample variation of antigen levels, or test reproducibility, nor 112 how these affect the sensitivity and specificity of the results in comparison to the newly 113 published WHO diagnostic target product profile (TPP). To our knowledge, only one study has 114 investigated the use of POC-CCAs on repeated urine samples [43], however they did not report 115 on variations between days, only on the final correlation of a composite reference standard, 116 with Kato-Katz and another CCA-based test. Due to the slightly higher cost per test of POC-117 CCAs in comparison to Kato-Katz [40], information on the minimum number of POC-CCAs 118 required for each WHO TPP end use is needed. Furthermore, use of different G-score cut offs 119 will affect both the sensitivity and specificity of the POC-CCAs, and may therefore affect the 120 minimum number of tests required for a specific case use.

[34,35][21,22][23][29,36–39][29,33][36–39][33][29][41,42][43]As with any diagnostic, it is
important to minimise costs without reducing sensitivity or specificity below required
thresholds [40], and information on the minimum number of POC-CCAs required to achieve
the minimum, or desired, criteria for each WHO TPP end use is needed. Furthermore, use of
different G-score cut offs will affect both the sensitivity and specificity of the POC-CCAs, and
may therefore affect the minimum number of tests required for a specific case use.

- 127 The aim of this study was to quantify the effect of intra- and inter-sample POC-CCA variation in
- 128 *S. mansoni* high and low endemicity communities to ascertain the accuracy of a single POC-
- 129 CCA in correctly detecting a person's infection status and a community's endemicity level.
- 130 Specifically, we address four key objectives: (i) to quantify the intra-sample variation of POC-
- 131 CCA using three tests on the same urine (test reproducibility) and duplicate Kato-Katzs; (ii) to
- 132 quantify the inter-sample variation of POC-CCAs and Kato-Katzs, using three samples from the

- 133 same person, over three different days; (iii) and to determine the minimum number of POC-
- 134 CCAs needed to accurately report prevalence in higher and lower endemicity settings in
- 135 comparison to the WHO TPP (sensitivity and specificity of single and multiple POC-CCAs) and
- 136 (iv) how G-score thresholds affect each of these.

137 Methods

138 <u>Cohort recruitment</u>

139 In December 2021, 660 people were recruited in the villages of Kalachai A, Kateki, Kogala and 140 Oburi, in Tororo, an inland district in the Eastern Region of Uganda, classified as low 141 endemicity for S. mansoni. In May 2022, 386 people were recruited in Bugoto in Mayuge 142 District, also in the Eastern Region of Uganda, but located on the shore of Lake Victoria and 143 classified as high endemicity for S. mansoni. The two cohorts had an similar distribution of 144 males and females (46.2%-53.8% male-female in Tororo, 43.1%-56.9% male-female in Mayuge, 145 respectively), and all ages were considered for the study, see Supplementary Figure S1. Out of 146 the recruited participants, 621 participants in Tororo and 349 participants in Mayuge provided 147 at least one sample, and thus could be included in the analysis. Individuals with incomplete 148 records (i.e. those who submitted at least one sample, but not all the samples) were included 149 in the analysis as the Bayesian statistical framework used (see below) allows to infer missing

- 150 data. Sample and data collection
- For both Tororo and Mayuge cohorts, all participants were asked to provide one stool and one urine sample on each of three days. Each stool sample was analysed using Kato-Katzs [44]
- 153 prepared using 41.7 mg templates, and stained with malachite green. Duplicate Kato-Katzs
- 154 were performed per stool (two smears from the same portion of stool after sieving), resulting
- 155 in egg counts from six Kato-Katzs per person. For both endemicity settings, inter-sample CCA
- 156 variation in urine collected over different days was assessed. Each urine sample was analysed
- using one POC-CCA (Schisto POC-CCA[®], ICT International, Cape Town, RSA) following the
- 158 manufacturer's instructions. In brief, 100 μL of urine were put into the POC-CCA sample well
- using an automatic pipette, and the test left on a flat surface for 20 minutes. Semiquantitative
- results (G1 to G10) were assigned by a trained reader following the G-score system [32].
- 161 Additionally, in Mayuge, three technical replicates (three POC-CCAs run on the same urine
- sample) were performed, as described above, to assess the intra-sample variation on one urine
- 163 per person. Regardless of the number of technical replicates performed, all urines were
- 164 homogenised prior to taking the aliquot used to run the POC-CCA. For the inter-sample
- variation, only the first POC-CCA from the technical replicates on the same sample in Mayuge
 was considered and used to report the observed prevalence.
- 167 Throughout the study two POC-CCA batches were used (210811080 and 211110105). For
- 168 quality control purposes, and for each of the two batches used, one POC-CCA was run for each
- of the four reference standards (S-Series) containing 0, 80, 800 and 8000 ng/mL of the
- 170 trichloroacetic acid-soluble fraction of *S. mansoni* adult worm antigen (AWA-TCA), containing
- approximately 3% CCA [32] with results shown in the Supplementary Table S1.
- 172 <u>Statistical analyses</u>
- 173 Data were double entered using Microsoft Excel® (Microsoft 365 MSO, version 2209) and
- 174 checked for discrepancies and analysed using R (version 4.2.2). A descriptive analysis was
- 175 initially carried out, with the prevalence and mean infection intensities and standard errors

estimated using the raw Kato-Katz data. Prevalences were also estimated using the raw POCCCA data, using a range of positivity thresholds of the average G-Score of G2, G2.5, G3 and G4
or above [29]. When multiple G-Scores were available for the same sample, the average
(arithmetic mean) G-Score was calculated taking the G-Scores for their numeric value (e.g. G1

180 = 1, G2 = 2, etc.). 95% confidence intervals were calculated by bootstrapping, extracting the

181 2.5th and 97.5th quantiles of 1000 bootstrap repeats.

182 To quantify the intra- and inter-sample variation, we extended a Bayesian latent class analysis 183 framework recently developed [29,33,38]. Briefly, a latent (hidden) variable captures the true 184 infection status of an individual (status = 0 for uninfected individuals - or with undetectable 185 levels of infection, status = 1 for infected individuals). The value of this binary variable (either 0 186 or 1) is inferred by the model, given the outcomes of the different diagnostics, which were 187 observed. Due to the high specificity of Kato-Katz, and the imperfect sensitivity of POC-CCA 188 tests, including Kato-Katz data in our models increased the accuracy of predicting individual 189 infection status, therefore enabling improved measures of sensitivity and specificity of the 190 individual POC-CCAs.

191 For Kato-Katzs, we assume specificity is 100%, meaning that an individual with status = 0, must

have zero eggs in all of their six raw egg counts. For individuals that are infected (status = 1),

193 we assumed a gamma distributed infection intensity at the population level. For each

194 individual, we allow the predicted egg count (infection intensity) to vary between days

195 following a normal distribution, while multiple Kato-Katzs processed on the same day are

assumed to be over-dispersed from the mean number of "expected" eggs excreted that day.

For the POC-CCAs, we assumed that the true intensity of the underlying antigen band is related in a non-linear way to the infection intensity. We used the same framework from Clark *et al.* 2021 and 2022 [29,33] – a logistic function – due to its flexibility, and used the posterior draws for the parameters of this function. Intra-sample and inter-sample variation were modelled assuming gaussian (normal) noise.

202 All model details can be found in <u>https://github.com/joaquinprada/Schisto-CCA-</u>

reproducibility. The model was run using "jags" [45] and "runjags" [46] packages in R version
 4.2.2 [47], with two independent chains, a 'burn-in' period of 20,000 iterations, 10,000
 samples and a thinning of 10. Posteriors from previous work [29,38] were used as priors for
 some parameters, as mentioned above, for the remaining parameters, uninformative priors
 were used. Convergence was assessed by visual examination of the trace plots and the
 Gelman-Rubin statistic. The model was run independently in both settings, using the posterior

- 209 estimates from the model runs in Mayuge as priors in the runs for Tororo, to account for the
- fact that more data were collected in Mayuge, with intra-sample variation data also existing.

Using the model posteriors, we conducted a simulation exercise to estimate the sensitivity and

specificity of the POC-CCA test when performing one, two or three samples over consecutive

days and considering different thresholds (G-score of G2, G2.5, G3 and G4 respectively), which
was used to generate the Receiver Operating Characteristic (ROC) curve. We also calculated

the squared error in the estimation of prevalence across the number of days of sampling and

thresholds. These simulations were carried out in both endemicity settings. Percentage

217 agreements and discrepancies for S. mansoni positivity were also calculated for the POC-CCA

218 raw inter-sample data.

220 Ethical Clearance

221 Ethical approvals were granted from the Vector Control Division Research Ethics Committee of

- the Ministry of Health of Uganda (VCDREC/062), the Uganda National Council of Science and
- 223 Technology (UNCST-HS 2193) and the University of Glasgow Medical, Veterinary and Life
- 224 Sciences Research Ethics Committee (200160068). Before any data or sample collection,
- informed consent was given, by signature or thumb print, by recruited adults and by the
- parent or legal guardian of all children <18 years old; and informed assent was given by all
- 227 recruited children aged eight and older.

228 Results

- Samples from 621 participants, aged 1 to 85, were collected in Tororo; and from 349
 participants, aged 3 to 83 years old, in Mayuge. The final sample was well gender-balanced,
- with 53.8% females and 46.2% males recruited in Tororo, and 56.9% females and 43.1% males
- in Mayuge. Prevalence as estimated from three days of duplicate Kato-Katzs was 29.1% in
- Tororo and 56.6% in Mayuge. Arithmetic mean infection intensities and their corresponding
- standard errors were 40.4±6.0 eggs per gram of stool (epg) in Tororo and 145±19.5 epg in
- 235 Mayuge. The Model reproduced the distribution of infection intensity obtained through Kato-
- Katz, see Supplementary Figure S2. The prevalence observed in Tororo meant that it was
- actually an area which would be classified as moderate endemicity by the WHO [48] rather
- than the low endemicity area we had aimed to survey, and therefore it is described as that
- 239 from here on.
- 240 G-score results of each of the four reference standards (S-series) run on one POC-CCA test per
- 241 batch, are shown in the Supplementary Table S1. Both batches showed a lower intensity of the
- test line than the expected range for the highest reference standard, whilst batch 211110105
- also showed a lower G-score than the expected range for S1 and S2.
- 244
- 245 Intra-sample variation for prevalence from the raw data in comparison to the model estimate
- 246 In Mayuge, 279 participants had three POC-CCAs performed on a given urine sample (Table 1).
- 247 From the raw data only, when using any positive POC-CCA as a positive test outcome, then
- 248 increasing the number of tests per urine sample, results in increasing prevalence estimates
- 249 with G2 and G3 thresholds both overestimating the prevalence if more than one test is
- 250 performed. However, one POC-CCA accurately estimates the prevalence in comparison with
- our best estimate from the model of true prevalence (59.5% (54.4-64.4, 95% credible interval
- (CI)) if using G-score 3 as the cut off, with little gained from increasing the number of tests persample if using an average G-score.
- Additionally, for the intra-sample variation studied in Mayuge, the percent of positivity of each
 of the three POC-CCA tests performed in a single urine sample is shown in the Supplementary
 Table S2.
- Table 1. Intra-sample variation of the point-of-care circulating cathodic antigen test (POC-CCA) data in Mayuge (high endemicity setting), expressed as the sample prevalences where three technical replicates were performed. The model estimates are made using up to five POC-CCAs and six Kato-Katz thick smears per participant (three POC-CCAs on one day and one per day on two separate days). BtCl = Bootstrap confidence interval; BCl = Bayesian
- credible interval.
- 262

Kabbas-Piñango et al. Philosophical Transactions of the Royal Society B

POC-CCA	Any positive POC-CCA, % (95% BtCl)			Average POC-CCA result (%)			Model estimate, %
threshold	1 test	2 tests	3 tests	1 test	2 tests	3 tests	(95% BCI)
	79.1	82.3	83.4	79.1	77.9	75.3	
62	(74.2-	(78.1-	(78.9-	(74.2-	(73.1-	(70.3-	
	83.4)	86.7)	87.5)	83.4)	82.8)	80.6)	
G2.5	-	-	-	-	64.0	62.5	
					(58.4-	(57.0-	
					69.5)	68.1)	
G3	59.0	64.8	66.3	59.0	59.6	59.9	59.5
	(53.0-	(58.8-	(60.6-	(53.0-	(53.8-	(54.1-	(54.4-64.4)
	64.9)	70.3)	72.0)	64.9)	65.6)	65.2)	
G4	48.7	56.1	58.1	48.7	50.0	50.9	
	(43.0-	(50.2-	(52.0-	(43.0-	(43.7-	(45.2-	
	54.8)	61.7)	63.8)	54.8)	55.9)	56.6)	

263

264 Inter-sample variation for prevalence from the raw data in comparison to the model estimate

265 In Mayuge, the high endemicity setting, the observed prevalence based on all six Kato-Katzs 266 was 56.6%, a minor underestimated prevalence in comparison to the model estimated true 267 prevalence of 59.5% (Table 2). If any positive POC-CCA result was used from across three days, 268 then G-score cut-offs from G2 to G3, resulted in an overestimated prevalence. When 269 considering the POC-CCA average of all tests performed, prevalence was 74.3, 62.7, 58.4 and 270 46.8% for G2, G2.5, G3 and G4 thresholds, respectively, with the G-score cut off of 3, most 271 closely correlating to the model estimate. If fewer days were used then the cut off of G3 was 272 still the most similar to the model estimated true prevalence.

273 In Tororo (Table 2), a moderate endemicity setting, the lowest observed prevalence was given 274 by one day of duplicate Kato-Katzs (19.5%), with three days of duplicates (29.1%) still 275 underestimating the true model estimated prevalence of 36%. Using any positive POC-CCA 276 over three days of single POC-CCAs, over-estimated the prevalence when using any of the G-277 score thresholds tested. Whereas setting the POC-CCA threshold as either G2 or G2.5 278 overestimated the prevalence (52.3% or 41.9% respectively) when averaging all three tests 279 performed per participant, compared to the model estimated prevalence of 36.0% (32.1-40.1, 280 95% CI). G4 was not a sensitive enough threshold (29.6% using the average of all tests), whilst 281 G3 (37.7%) gave the observed prevalence closest to the model estimated true prevalence, as 282 also seen in Mayuge. If fewer days were used then the cut off of G3 (for 2 days) or G4 for one 283 day was the most similar to the model estimated true prevalence.

- Additionally, for the inter-sample variation, the agreement and discrepancy in positivity (i.e. the proportion of study participants producing the same or a different POC-CCA outcome
- across the three days, respectively) is shown in the Supplementary Table S3.
- 287
- Table 2. Inter-sample variation of Kato-Katz thick smears (Kato-Katzs) and point-of-care circulating cathodic antigen
 tests (POC-CCAs). Observed *Schistosoma mansoni* prevalence based on positivity percent of Kato-Katzs and POC-
- 290 CCAs. The model estimates are made using up to three POC-CCA tests per participant performed in Tororo

Kabbas-Piñango et al. Philosophical Transactions of the Royal Society B

291 (moderate endemicity), and up to five per participant (three on one day and one per day on two separate days) in 292 Mayuge (high endemicity). The raw data for inter day variation however only use up to three tests per person, with 293 no intra-sample replicates, using the result from the first test performed each day, to enable direct comparison 294 between the two endemicity areas. The column "any positive test" shows the observed prevalence, considering an 295 individual as positive if any of the diagnostic tests performed on any of their samples was positive for the 296 corresponding technique. The column "average test result" takes the arithmetic mean of the values and - for POC-297 CCAs - establishes different thresholds (t) to consider individuals as positive. Any value >0 for Kato-Katzs results in 298 that participant being classified as positive for S. mansoni. BtCl = Bootstrap confidence interval; BCl = Bayesian

299 credible interval.

	Observed prevalence, % (95% BtCl)				Model		
	Any positive test		Average test result			estimate, % (95% BCI)	
	1 day	2 days	3 days	1 day	2 days	3 days	
Mayuge							
Kato-Katz	39.4 (34.5- 44.5)	52.6 (47.7- 58.0)	56.6 (51.1- 61.2)	39.4 (34.5- 44.5)	52.6 (47.7- 58.0)	56.6 (51.1- 61.2)	
POC-CCA (t=G2)	71.3 (66.7- 76.1)	83.8 (79.9- 87.7)	91.0 (87.9- 93.7)	71.3 (66.7- 76.1)	72.0 (67.2- 76.4)	74.3 (69.5- 78.5)	
POC-CCA (t=G2.5)	-	-	-	-	61.0 (55.7- 66.1)	62.7 (57.5- 67.2)	59.5 (54.4-64.4)
POC-CCA (t=G3)	54.0 (49.1- 58.9)	63.6 (58.3- 68.1)	71.7 (67.0- 76.1)	54.0 (49.1- 58.9)	55.8 (50.6- 61.5)	58.4 (53.2- 63.5)	
POC-CCA (t=G4)	43.4 (38.2- 48.6)	54.9 (49.7- 60.1)	61.8 (56.9- 66.7)	43.4 (38.2- 48.6)	45.4 (40.5- 50.9)	46.8 (41.4- 52.3)	
Tororo							
Kato-Katz	19.5 (16.4- 22.5)	26.0 (22.7- 29.3)	29.1 (25.6- 32.7)	19.5 (16.4- 22.5)	26.0 (22.7- 29.3)	29.1 (25.6- 32.7)	
POC-CCA (t=G2)	55.2 (51.0- 59.1)	63.8 (60.1- 67.5)	67.3 (63.6- 71.0)	55.2 (51.0- 59.1)	53.7 (49.8- 57.8)	52.3 (48.1- 56.4)	36.0
POC-CCA (t=G2.5)	-	-	-	-	45.6 (41.5- 49.8)	41.9 (38.0- 46.2)	(32.1-40.1)
POC-CCA (t=G3)	41.7 (37.8- 45.7)	49.8 (46.2- 53.5)	52.3 (48.1- 56.4)	41.7 (37.8- 45.7)	40.0 (36.1- 43.6)	37.7 (34.3- 41.1)	
POC-CCA (t=G4)	33.0 (29.5- 36.7)	40.3 (36.4- 44.1)	42.1 (38.5- 46.2)	33.0 (29.5- 36.7)	30.3 (26.7- 33.8)	29.6 (25.9- 33.3)	

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304 Intra- and inter-sample infection intensity model variation estimates

305 In the high endemic setting, Mayuge, the variance of the estimated "true" intensity of 306 infection across multiple days and therefore, samples (inter-sample variation), for individuals 307 estimated to be infected, was around 87 epg (43-117, 95% CI), while it was 1433 epg (936-308 2212, 95% CI) within the same sample (intra-sample variation) (Figure 1 top-left). Conversely, 309 for the G-score, the inter-sample variation was 4.68 (4.1-5.36, 95% CI), while the intra-sample 310 variation was much smaller, 0.71 (0.63-0.80, 95% CI) (Figure 1 top-right). In the moderate 311 endemic setting, Tororo, the variance in the estimated "true" intensity of infection remains 312 relatively similar between samples (47 epg, 31-72 CI), but is again much higher within samples 313 (intra-sample variance: 550 epg, 364-810 CI) (Figure 1 bottom-left). Regarding the POC-CCA, 314 while the intra-sample variation in Tororo was fixed, as there were no multiple tests 315 performed the same day, the inter-sample variation increased slightly (6.32, 5.7-7 Cl)

316 compared to Mayuge (Figure 1 bottom-right).



Figure 1. Inter-sample (red) and intra-sample (grey) variance for the Kato-Katz (left) and point-of-care circulating cathodic antigen test (POC-CCA) (right), for Mayuge (top) and Tororo (bottom). epg: eggs per gram in stool. G-Score: a semi-quantitative scale of antigen concentration from G1 to G10. Note: the intra-sample variation of POC-CCA in Tororo was fixed to the mean value from Mayuge, as no repeated tests were performed on the same day and sample in Tororo.

323 <u>Number of POC-CCAs required to accurately report prevalence in low and high endemicity</u>

324 <u>settings</u>

325 The Receiver Operating Characteristic (ROC) curves for the POC-CCA indicate that higher 326 sensitivity and specificity can be achieved when sampling over more than one day (Figure 2). 327 Using a higher threshold significantly increases specificity at the cost of a marginal reduction in 328 sensitivity up until G3, but sensitivity drops further in both settings when the threshold is 329 higher at G4 (Figure 2). Using a G-score cut off of G3, and three days of sampling would result 330 in near 100% sensitivity and slightly over 90% specificity in the higher endemicity area of 331 Mayuge, and near 100% sensitivity and over 85% specificity in the moderate endemicity area 332 of Tororo. Reducing the number of sampling days to only one, but still using the G3 cut off, 333 lowers the sensitivity to 90% and specificity to 80% in Mayuge, but reduces it even further to 334 approximately 85% and 75% respectively in Tororo (Figure 2).

335

336

337



Figure 2. Receiver Operating Characteristic curve for the POC-CCA diagnostic depending on the number
 of sampling days (top – green – 3 days, middle – red – 2 days, and black – bottom – 1 day). The G-score
 threshold used moving from left (G4) to right (G2), for each colour (G4, G3, G2.5 and G2 respectively).
 Mayuge presented on the left, Tororo on the right.

The increased variance between days of the POC-CCA diagnostic in a moderate endemicity
setting compared to a high endemicity setting has an impact in the error when estimating
prevalence using this diagnostic alone. While increasing the threshold in the G-score reduces
this error (except for G4 in the high endemic setting), increasing the number of days of
sampling could also be key in a moderate endemicity settings (Figure 3).





350 score, for Mayuge – left and Tororo – right. The error is calculated by squaring the difference between

351 the simulated prevalence and the estimated prevalence obtained from the diagnostic.

Discussion 352

353 Here, for the first time to our knowledge, we investigated the effect of intra- and inter-sample 354 variation on POC-CCA results, identifying where the greatest degree of variation in results from 355 POC-CCA comes from, to enable an informed recommendation on the number of POC-CCAs 356 required and the G-score cut off to be used, to accurately predict community level infection as 357 well as individual level diagnoses for WHO TPP requirements. Using an updated latent class 358 analysis model we estimated the true infection status for each individual, as well as 359 community prevalence based on different thresholds for the POC-CCA diagnostic. We 360 compared raw field results against this to help provide recommendations for use directly in the 361 field.

362 In brief we show that using G3 as a cut off, supporting previous work [33], one single POC-CCA

363 test per person accurately reflects the population level prevalence in both a high and lower 364

endemicity area, with two or more POC-CCAs not improving on this prevalence estimate.

365 However, at an individual level this relates to only a 90% sensitivity and 80% specificity in the 366 high endemicity area, and 85% sensitivity and 75% specificity in the moderate endemicity area.

367 In contrast, performing POC-CCAs on urine samples collected over three multiple days, can

368 raise sensitivity to near 100% and specificity to approximately 90% for both high and moderate

369 endemicity areas. POC-CCAs on samples over multiple days can also improve infection

370 intensity estimates and using the G3 as a cut off minimises error rates. In summary, G3 is the 371 best cut off compared to the model estimates. However, our data indicate that this is not

372 specific enough to meet the WHO TPP requirements for monitoring and evaluation.

373 In areas of ongoing S. mansoni monitoring and evaluation, the WHO TPP states that for a 374 sample of 100 people surveyed, a minimum of 60% sensitivity and 95% specificity is required 375 [49], therefore we would recommend two days of urine sampling with one POC-CCA per day, 376 but with a cut off of G4, which sacrifices sensitivity for specificity in comparison to the G3 cut 377 off (Figure 2). If only one day of sampling is to be performed, then the POC-CCA does not meet 378 the required combined sensitivities and specificities for S. mansoni surveillance, interruption of 379 transmission, nor monitoring and evaluation (Figure 2). Given that the lowest prevalence 380 measured in this study is over 10%, we cannot provide recommendations on the use of the 381 POC-CCA in interruption of transmission scenarios. However, our data, especially the drop in 382 sensitivity when using G4 as the threshold, even when increasing the number of sampling 383 days, suggests that the POC-CCA would highly likely also not be fit for use in interruption of 384 transmission scenarios, as any of the two tests (initial or confirmatory) that are recommended 385 by the WHO TPP [49].

386 Point-of-care diagnostics such as the POC-CCA pose an economic investment in the short term, 387 but their improved sensitivity in comparison with microscopy, their ease of use and their 388 acceptability may continue to make them key players in the fight against NTDs. However, 389 further work, building on our findings into the cost-effectiveness of individual diagnoses and 390 how this relates to sensitivity and specificity and downstream decisions requires further 391 studies.

392 49Egg excretion appears to be fairly stable between days, with most of the variation in the

393 observed counts due to within sample, rather than inter-sample variation (Figure 1).

394 Therefore, taking multiple smears from the same stool will give a better estimate of true

395 infection intensity than processing single samples from repeated stools. Previous studies 396 reported a higher variation in the presence or absence of S. mansoni eggs between samples 397 from different days than within the same specimen [42], potentially due to more noise 398 between samples where there is a difference in the true egg numbers within a given stool plus 399 the variation in detecting those that are there. However, in line with our results, the variation 400 in egg counts in infected people was found to be higher intra-sample than between days [42]. 401 The lower intra-sample variation in Kato-Katz egg counts in the moderate endemicity area of 402 Tororo, compared to the highly endemic area in Mayuge, is likely explained by the true lower 403 intensity of infection. Increasing the number of stool smears and days when samples are taken 404 for microscopy increases sensitivity. However, this approach, as previously demonstrated, 405 does not compensate fully for the lack of sensitivity of Kato-Katzs, therefore using POC-CCAs 406 can greatly improve on this, especially in lower endemicity areas. In comparison however, 407 there appears to be greater inter-sample than intra-sample variation for the POC-CCAs. Given 408 the more uniform nature of urine and the simplicity of homogenising samples, this is 409 unsurprising, but it means that to improve reliability of POC-CCA interpretation, it is better to 410 run tests on multiple days with multiple urine samples, with the added logistical and financial 411 costs associated with this. One possible way to reduce costs could be to collect urine across 412 multiple days, but to pool it by person prior to testing it with POC-CCAs, although this would 413 not mitigate the logistical costs of multiple days of sampling, and could increase errors which 414 may inflate prevalence measures if urine from truly uninfected people was cross-pooled with 415 urine from infected people.

416 We have also shown that, for both high and moderate endemicity settings, the error of 417 prevalence estimation decreases significantly when increasing the number of samples, and 418 especially when using the G3 threshold as recommended, guided by our results here and 419 previous work [33]. Setting G4 as the threshold would reduce the error in a low moderate 420 endemic setting, with a corresponding increase in specificity, which might be worth 421 considering when it is important to reduce false-positives (as for interruption of transmission 422 scenarios as discussed above). In a high endemic setting though, setting the threshold at G4 423 would lead to a higher error in prevalence estimation than establishing it at G3. This 424 contradicts however, the required sensitivity and specificity for the different WHO TPPs, and 425 might indicate that a different diagnostic entirely may be better suited. For reference, G4 is 426 the equivalent of the former light positive (a single +) [32], and this threshold, considering all 427 traces (G2 and G3) as negative, underestimates the true prevalence for both high and low 428 moderate endemicity S. mansoni areas. Considering all traces as positive would overestimate 429 the prevalence, but it would still be more accurate than not considering them, with increased 430 sensitivity closer to what a model estimate calculates than if traces are considered negative 431 [36]. When using the G-score, considering G3 as positive is recommended [33] and strongly 432 supported here both at a population level and individual diagnostic level.

433 Limitations

Whilst the G-score improves upon the older method of using trace and +, ++ and +++ scores, it
is still only semi quantitative, and recorded by the naked eye, albeit in comparison to printed
cassettes. Using electronic readers could eliminate inter-reader variability, but would add to
the financial and logistical costs. Issues with POC-CCA batch-to-batch variation remain [20,27]
and batch numbers are recommended to be reported in any associated publications.
Implications of this can again be reduced by performing the G-score quality control check and
reporting the data. Two batches of POC-CCA were used for this study (see Supplementary

Table S1), and both batches showed a slightly lower intensity of the test band than expected.

442 Overall, they had similar performance to each other in our quality control check (i.e. same or 443 one G-Score difference across the performance tests carried out), Table S1, and therefore the 444 batch is unlikely to have affected any comparisons made here. In our study, we used two 445 different batches for logistic reasons; with the second batch arriving when almost no test from 446 the first batch were left, and therefore an additional inter-batch comparison would have not 447 been possible. To fully address the effect of batches on inter and intra-sample variation, 448 however, would have required a larger sample size and additional logistical challenges and 449 although further test development and standardisation is recommended [27], this is outwith 450 the remit of this paper. Furthermore, until manufacturers can guarantee standardisation, this 451 issue will remain limiting generalisability of any studies using POC-CCAs from a limited number 452 of batches. However, using the S-series quality control check, as we did here at least enables 453 inter-batch comparisons both within and between papers.

454 Our sample was fairly balanced across genders and the age distribution was similar to the 455 community distribution of age [50], and thus it was assumed to be representative of the 456 population. However, it is possible that individuals recruited in this study are those with better 457 access to treatment, which could lead to our sample underestimating the population-level 458 disease prevalence. Conversely, people who know they are at risk may be more likely to 459 contribute to the study. Whilst the recruitment aimed to randomly select people of different 460 ages, it is possible that those who were recruited but did not provide all the samples may have 461 biased the results, but this will have been minimised by the model's ability to infer missing 462 data.

463 Recently, Mewamba et al. [51] analysed urines from 759 school-aged children in a S. mansoni 464 endemic area in Cameroon and found that 55 samples that were traces with fresh urines, 465 turned negative with the same POC-CCA batch, after being stored at -20°C for a year. If 466 freezing affects antigens, this could affect prevalence estimates despite using the same 467 diagnostic. Even though the manufacturer of POC-CCA states the stability of the antigens at 468 +4°C for at least 7 weeks, and at -20°C for at least one year [12], assessing the effect of freeze-469 thawing on POC-CCAs would enable updated recommendations for its use in either scenario. In 470 our study, all diagnostic tests were performed on freshly collected samples in the endemic 471 setting, removing any issue associated with freezing. However, a portion of each urine sample 472 was also frozen and future studies will benefit from comparing results of POC-CCA tests 473 between fresh and frozen urine samples.

G4 is easily visible to most people reading a POC-CCA test, but G2 and sometimes even G3 are
often not [25]. This inter-reader variation may also affect prevalence results, especially in low
endemicity settings with low intensity of infection. In this study, only one person assigned Gscores to the POC-CCAs, t. However, inter-reader variation will be an interesting area for
further study, especially in lower endemicity settings, m, where G-scores close to the threshold
are more likely to be seen.

480

Finally, our study here was performed in only high and moderate *S. mansoni* settings, and sample sizes were only powered for investigating individual infections. Whilst soil-transmitted helminths and other commonly occurring co-infections are not thought to affect the specificity of POC-CCA tests, our results cannot be generalised to settings where *S. haematobium* may be co-endemic, and further work is also needed in low endemicity settings.

486

487 Summary

488 Combining different diagnostic techniques will usually improve accuracy, both at individual 489 and population levels, especially if the combined diagnostics have high specificity. Kato-Katzs 490 alone will require a minimum of three days with higher processing time and costs, and trained 491 microscopists, and may still underestimate the true prevalence, especially in low endemicity 492 settings. This technique has the advantage however, of being able to detect other helminths 493 infections, so it should not be discarded in certain co-endemic areas. However, in areas where 494 S. mansoni prevalence is expected to be lower, the use of the POC-CCA test will have 495 significant benefits over microscopy, providing faster and more accurate results, improving 496 precision mapping, and informing on MDA effectiveness and the potential to stop. We show 497 for the first time that inter sample variation is far greater for POC-CCAs than intra-sample 498 variation. At an individual level, the use of G3 as the threshold provides the best estimate of 499 infection. However, at a population level G4 and a minimum of two to three POC-CCAs over 500 different sampling days are needed to reach the required 95% specificity of the WHO TPP and 501 predict the population level infection prevalence in either high or moderate endemicity areas. 502 Multiple days are required to improve accuracy at individual level, especially for infection 503 intensity measures. In areas of ongoing S. mansoni monitoring and evaluation, we recommend 504 two days of POC-CCA, with a cut-off of G4. Whilst multiple tests are more costly, they are 505 currently required to reach the WHO TPPs for schistosomiasis.

506 Acknowledgements

507 We would like to thank the communities in Kalachai A, Kateki, Kogala, Oburi, and Bugoto, for 508 kindly participating in this study, as well their Village Health Teams and village elders for their 509 assistance in mobilising the community. We also thank Alon Atuhaire and Ronald Lubowa, for

- 510 their help in several logistical aspects of the field work; Dr Sergi Alonso, Dr Jessica Clark,
- 511 Raheema Chunara, Thomas Arme and Rivka Lim for helping with the sample processing in the
- 512 field, data entry and/or data cleaning, and Dr Thomas Crellen, for advising on data analysis.
- 513 Finally, we would like to thank Dr Pytsje Hoekstra-Mevius for comments on the manuscript.

514 Funding statement

515 This work was supported by EKP's Medical Research Scotland PhD studentship awarded to

- 516 PHLL, the primary supervisor (MRS PhD-1183-2017); the European Research Council (ERC
- 517 Starting Grant awarded to PHLL, SCHISTO_PERSIST 680088); and the Engineering and Physical
- 518 Sciences Research Council (EPSRC EP/T003618/1 awarded to JMP and PHLL).
- 519 The funders had no role in study design, data collection and analysis, decision to publish, or520 preparation of the manuscript.

521 Conflict of interest

- 522 EKP's PhD focuses mainly on developing a POC test for the detection of the circulating anodic
- antigen (CAA). PHLL, JMP, MA, GvD have recently been awarded funding for a project toimprove reproducibility of POC-CCA tests.

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