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# Resource saving and carbon footprint reduction potential of urban symbiosis strategy in express packaging waste recycling network

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## 22 Abstract

23 The booming express delivery industry corresponds to the environmental challenges caused 24 by massive express packaging waste (EPW). An efficient logistics network is necessary link 25 to support EPW recycling. This study, therefore, designed a circular symbiosis network for 26 EPW recycling based on urban symbiosis strategy. The treatment of EPW in this network 27 includes reuse, recycling and replacing. An optimization model with multi-depot collaboration 28 combining material flow analysis and optimization methods was developed and a hybrid non-29 dominated sorting genetic algorithm-II (NSGA-II) was designed as technical support for designing the circular symbiosis network while quantitatively assessing the economic and 30 31 environmental benefits of the network. The results show that the designed circular symbiosis 32 option has better resource saving and carbon footprint reduction potential than both the 33 business as usual option and circular symbiosis option without service collaboration. In 34 practice, the proposed circular symbiosis network can save EPW recycling costs and reduce carbon footprint. This study provides a practical guideline for the application of urban 35 36 symbiosis strategies to help urban green governance and the sustainable development of 37 express companies.

38

39 Keywords: urban symbiosis; express packaging waste; recycling network optimization;
40 carbon footprint

## 41 Abbreviation

EPW	express packaging waste
UrS	urban symbiosis
IS	industrial symbiosis
BAU	business as usual
MSW	municipal solid waste
CSN-EPWR	circular symbiosis network for express packaging waste recycling
WTE	waste-to-energy
RC	recycling center
EP	express parcel
CES	community express station
CRS	community recycling site
ERS	express regional satellite
RDF	refuse derived fuel
RPF	refuse plastic & paper fuel
MDC-RLPPD	multi-depot collaboration routing location problem with pickup and delivery
NSGA-II	Non-dominated sorting genetic algorithm-II
DEA	differential evolutionary algorithm

# 42 **1. Introduction**

The rapid growth of online retail has propelled express delivery into one of the fastest 43 44 growing industries in the world, which is critical to the countries' economic development (Fan et al., 2017; Kang et al., 2021). The number of global parcels will reach 266 billion by 2026, 45 46 and massive express packages are bringing environmental problems that cannot be ignored 47 (Duan et al., 2019; Kang et al., 2021). If there are no effective control measures, the 48 consumption of express packaging materials in China alone will reach 41.27 million tons by 49 2025 (Yang et al., 2021). However, the overall recycling rate of express packaging in China is 50 less than 20%, far below the level of 45% in developed countries (Wen et al., 2021; Xiao and 51 Zhou, 2020). The express delivery industry still has great potential to reduce the consumption 52 of packaging materials (Su et al., 2020). The environmental impact caused by EPW generated 53 in the express delivery industry has been scientifically evaluated by scholars (Duan et al.,

54 2019). EPW consumes resources and emits carbon dioxide, heavy metals, organic and 55 inorganic pollutants during production, distribution and handling (Fan et al., 2017). In 56 response, it is urgent to strengthen the green governance of express packaging and alleviate 57 the resource and environmental pressure caused by express packaging waste (EPW).

58 The environmental impact of EPW can be effectively mitigated through measures such 59 as slowing delivery, fuel system upgrades, packaging material reduction, logistics optimization, and carbon pricing (Kang et al., 2021). In the context of global carbon emission 60 61 reduction, express companies have implemented a variety of express packaging management measures, such as the configuration of recycling devices, the use of recyclable packaging, and 62 express packaging reduction (Li et al., 2022a; Rubio et al., 2019). In fact, optimizing EPW 63 recycling logistics network achieves greater resource savings and footprint reductions than 64 using less packaging materials (Kang et al., 2021). Moreover, an efficient logistics network is 65 a useful way and necessary link to support the application of recycling devices and recyclable 66 packaging (Cai et al., 2021; Chaabane et al., 2021). However, existing research on EPW 67 68 recycling has focused on environmental impact assessment (Duan et al., 2019; Fan et al., 2017; Su et al., 2020), recycling policies (Guo et al., 2021; Xiao et al., 2020; Yang et al., 2022; Yang 69 70 et al., 2021), and consumer recycling behavior and attitudes (Dong and Hua, 2018; Hua et al., 71 2021). Less attention has been paid to the benefits of optimizing logistics network on EPW 72 recycling, which limits the effectiveness of recycling and is not conducive to solving recycling problems. The forward logistics system of express parcels from express companies 73 74 to consumers has developed maturely, but the reverse logistics chain of EPW recycling from

75 consumers to express companies has not been established (Li et al., 2022a; Xiao and Zhou, 76 2020). The lack of an effective recycling system and high recycling costs are the main reasons 77 for the low recycling rate of EPW (Cai et al., 2021; Duan et al., 2019). Optimization of the 78 logistics network will help in improving the environmental impact of EPW and minimizing 79 logistics operation costs (Hannan et al., 2018; Hannan et al., 2020; Li et al., 2022b; Wang et 80 al., 2021). Therefore, this study incorporates the reverse activity of EPW recycling into the 81 forward logistics system of express parcel delivery in an attempt to establish a green and 82 efficient EPW circular network.

83 As a practical strategy for sustainable urban development, urban symbiosis (UrS) is an 84 extension of industrial symbiosis (IS). IS is the exchange of raw materials, energy and other 85 products between different industries to improve resource utilization efficiency and reduce 86 environmental impact in industrial processes (Chertow, 2007; Colpo et al., 2022; Sellitto et al., 87 2021). UrS is defined as "the use of by-products (waste) from cities (or urban areas) as alternative raw materials or sources of energy in industrial activities" (Dong et al., 2014; 88 89 Dong et al., 2016; Ohnishi et al., 2017; Van Berkel et al., 2009). The difference between UrS and IS lies in the scale of the systems, the goal and scope of symbiosis, and the diversity of 90 91 functions(Lu et al., 2020). Considering the negative impacts of increasing municipal solid 92 waste (MSW) on ecological environment and social health, UrS strategy is regarded as a 93 sustainable effort to reduce waste volumes and carbon footprints (Chaker et al., 2021; Siddiqi 94 et al., 2020). The utility of UrS in MSW management has been effectively demonstrated — 95 combining the advantages of resource conservation, recycling, low carbon, and footprint

96 reduction (Geng et al., 2010; Xiao et al., 2022). To optimize the metabolism of urban systems, 97 UrS allows the use of MSW as an input to traditionally unrelated industries (Chen et al., 2012; 98 Dong et al., 2014). In UrS systems, recyclable waste is converted into resources, ultimately 99 achieving the goal of optimizing energy and material consumption and reducing pollution 100 footprints (Chaker et al., 2021; Lu et al., 2020). Material flow analysis (MFA), life cycle 101 assessment (LCA), and energy value analysis are common methods for evaluating the benefits 102 of UrS (Dong et al., 2017; Lu et al., 2020; Sun et al., 2017). However, in the existing UrS 103 schemes for MSW management, EPW is only treated as part of MSW, meaning that it is used 104 as an available resource for the industrial system together with other recyclable wastes (Xiao 105 et al., 2022). As a recyclable resource, the economic and environmental benefits of EPW in 106 UrS systems have not been evaluated in detail. With the escalation of express packaging 107 consumption and waste, sustainable cities and businesses will need a more collaborative 108 approach to promoting the resource-waste cycle in the future (Lenhart et al., 2015). Therefore, 109 this study tries to explore the potential of UrS in the EPW green governance. To our 110 knowledge, there is currently no research on combining UrS strategies with the optimization 111 of EPW recycling, which also implies that the potential of related studies is great.

In view of the above discussion, the research on EPW management to be further explored includes: (1) evaluating the benefits of optimizing the logistics network for EPW recycling, (2) designing an effective EPW recycling system, and (3) exploring the application of UrS strategy in EPW recycling optimization. Therefore, this study proposes to investigate the resource saving and carbon footprint reduction performance of UrS strategy in the EPW

117 recycling network. To achieve this goal, this study includes the following research content: (1) 118 design a circular symbiosis network for EPW recycling (CSN-EPWR) based on UrS strategy; 119 (2) evaluate the economic and environmental benefits of the CSN-EPWR; (3) propose a 120 logistics optimization model with multi-depot collaborative pickup and delivery and a hybrid 121 heuristic algorithm to support the operation of CSN-EPWR. As far as we know, this study is 122 the first to explore the utility of UrS in EPW recycling. In theory, this study proposes to 123 design a circular symbiosis network to improve the efficiency of EPW recycling. In addition, 124 the mathematical model and algorithm developed in this study can provide a reference for further research on the application of UrS in green governance of urban activities. In practice, 125 126 this study helps express companies to solve the problems of low EPW recycling rate, difficult 127 recycling and transfer operation, and high costs, and further contributes to the sustainable 128 urban development.

The rest of this study is organized as follows. **Section 2** details the design of CSN-EPWR, the associated optimization model, and the methodology used to implement the CSN-EPWR design. **Section 3** describes the results of the case study. The application of CSN-EPWR is discussed in **Section 4**. The conclusions are summarized in **Section 5**.

133 **2. Method** 

# 134 **2.1 Application of UrS strategy**

In the business as usual (BAU) scenario, EPW is treated as MSW and mixed with other
MSW (Song et al., 2022a; Xiao and Zhou, 2020). There is no separate method or network for
handling EPW. However, the fact is that most of the EPW can be directly reused, and the part

that cannot be reused due to damage or other causes of contamination can play a significant role in the UrS system, such as raw materials for remanufactured products or fuel for incineration to power generation.

141 The CSN-EPWR is designed to solve the environmental problems caused by EPW. There 142 are urban clusters with express companies, remanufacturing firms, waste-to-energy (WTE) 143 plants, and landfills in the CSN-EPWR. And the recycling center (RC) of express companies is a vital player in the CSN-EPWR. Several express companies form an alliance to carry out 144 145 collaborative services in the last-mile delivery, and delivering the express parcels (EP) to community express stations (CES) while picking up EPW placed by customers at community 146 147 recycling site (CRS). EPW can be divided into paper and plastic in this study (Duan et al., 148 2019; Song et al., 2022b). All EPW is shipped to the RC and sorted in the RC for further 149 treatment: (1) reuse, the intact EPW that can be directly reused, such as intact cartons, is 150 temporarily stored in the RC and will be called by the express regional satellites (ERS); (2) 151 recycling, recyclable materials, such as damaged paper EPW, are sent to remanufacturing 152 firms to be used as raw materials to make new express packaging or other paper-based products, and the finished products are reused by ERS; (3) replacing, end-of-life materials are 153 154 sent to WTE plants to make refuse plastic & paper fuel (RPF) to replace coal as a fuel for 155 power generation, and the resulting electricity can be used to support the operation of RC and 156 remanufacturing firms. The system boundary is shown in Fig.1.

157 The application of UrS strategy enables each express packaging to be fully used in the 158 life cycle. Different subjects are connected to each other through UrS activities, and there are material and energy exchanges within the system. EPW is generated and cleared in the CSN-EPWR.

161 To demonstrate that the proposed CSN-EPWR is an efficient method, three different 162 options for EPW recycling were set. Option 1 is the BAU scenario, which assumes that the 163 EPW treatment system is no different from the way it is currently used. Each express 164 company's ERS operates independently to deliver express parcels. EPW is mixed with MSW 165 at the community waste stations, the disposal channels include: (1) picked up by the 'garbage 166 man' (scavengers, residents, cleaning staff, etc.) and sent to the waste recycling stations, from 167 where it is transported to the remanufacturing plant for the production of products with 168 economic value; (2) transferred by garbage trucks to waste transfer stations for pretreatment 169 and landfill, or using refuse derived fuel (RDF) technology to separate the non-combustibles 170 materials in MSW from the waste stream for incineration power generation after processing 171 steps of hammering, shredding, and hydropulping (Longo et al., 2020). Based on Option 1, option 2 is a solution from the perspective of UrS, which introduces UrS activities to 172 173 centralize EPW treatment. However, there is no service collaboration between ERSs 174 belonging to different express companies, and the delivery of express parcels and the pickup 175 of EPW are carried out separately. Option 3 is a solution corresponding to CSN-EPWR, 176 where multiple express companies cooperate in pickup and delivery activities, and EPW 177 participates in UrS activities (reuse, recycling, and recycling) after being classified by RC. 178 Different from the traditional MSW-based RDF, the EPW-based RPF technology in CSN-179 EPWR is to make solid fuels from waste paper and plastic and then use them as substitutes for fossil fuels (Geng et al., 2010; Xiao et al., 2022). It has the advantages of convenient operation, economic savings, and stable quality (Dong et al., 2018), which helps to achieve more savings. Fig. 2 illustrates the treatment process for the three options.

183 **2.2 Benefits analysis** 

184 The raw material savings and waste reduction resulting from the implementation of 185 CSN-EPWR can be quantified by MFA that is a basic method for identifying and quantifying 186 the matter and energy flow in a network (Sun et al., 2017). Based on the analysis of the 187 different types of material and energy flows in the system, the avoided resource consumption 188 and waste footprint can be determined to quantify the economic and environmental benefits of 189 the system. In order to clearly quantify the impact of each link from EPW generation to 190 disposal in CSN-EPWR, the economic and environmental benefits are calculated separately 191 according to the logistics process and different disposal methods (Song et al., 2022a; Xiao and 192 Zhou, 2020). The detailed definitions and values of the parameters are listed in Table S1 of 193 the Supplementary Material.

194 (1) Economic benefits

EPW consumes resources during the flow process and saves corresponding resources due to participation in UrS activities. Therefore, the economic benefits are quantitatively assessed by calculating the used costs (C) and the resources costs saved by UrS activities (P). Specifically, the used costs can be divided into two main components.

199 (1) **EPW collection**  $costs(C_1)$ . It refers to the used costs from the generation of EPW 200 until it flows out of RC.

$$C_F = c_{vf} \sum_{s \in S} \sum_{k \in K_s} u_{sk}$$
(1)

$$C_{V} = c_{ele} \left( \sum_{s \in S} \sum_{k \in K_{s}} \sum_{i, j \in P} u_{sk} x_{ij}^{sk} E C_{ij} d_{ij} \right) + c_{s} \sum_{i \in N} (q_{Wi} + p_{Wi}) + c_{rc} \sum_{i \in N} p_{Wi}$$
(2)

$$C_1 = C_F + C_V \tag{3}$$

201 Where the Eq. (1) calculates the costs of using the vehicles  $(C_{\nu})$ . The variable costs  $(C_{\nu})$  that 202 involves the transportation costs, the service costs and the operating costs of RC, the detailed 203 equation is shown in Eq. (2). Transportation costs are influenced by energy consumption. The 204 energy consumption per unit distance of the vehicle on the arc (i, j) can be expressed as  $EC_{ij} = 2.8 * 10^{-4} ef_m ef_d \left( a_{ij} \left( CW_{sk} + l_{Wij}^{sk} \right) + \beta \left( 0.28s_k \right)^2 \right)$  (Basso et al., 2019; Bektaş and Laporte, 2011; 205 206 Zhang et al., 2018).  $a_{ii}$  is the arc-specific factor,  $\beta$  is the vehicle-specific factor. Service costs are the costs of vehicle delivery and pickup to the nodes. To implement the CSN-EPWR 207 scenario, an RC is required for handling EPW, so the operating costs of the RC needs to be 208 209 considered. The operating costs refer to the costs generated by the classification and 210 temporary storage of EPW in RC, including the use costs of equipment and labor costs. The 211 service costs and operating costs are related to the treatment volume. Eq. (3) represents the 212 total EPW collection costs.

213 ② **EPW recycling costs** $(C_2)$ . It refers to the costs incurred by EPW participating in UrS 214 activities after classification is completed in the RC.

$$C_T = c_{vu} \sum_{\chi \in D} \left( W_{\chi} / Q_{\chi} \right) + c_{fuel} \sum_{m \in M} \sum_{\chi \in D} \left( W_{\chi} / Q_{\chi} \right) \rho_{\chi}^* d_{m\chi}$$

$$\tag{4}$$

$$C_{TR} = \sum_{\chi \in D} c_{u\chi} W_{\chi}$$
(5)

$$C_2 = C_T + C_{TR} \tag{6}$$

Where the transportation  $costs(C_T)$  refer to the costs of using vehicles to transport EPW to remanufacturing plants, WTE plants and landfills, including apportioned vehicle use costs and energy costs, as shown in **Eq. (4)**. The treatment costs  $(C_{TR})$  are the costs incurred by the remanufacturing plants, WTE plants and landfills to process the EPW, as shown in **Eq. (5)**. The amount of bottom ash to be landfilled,  $W_{p+3} = R_{ag}W_{p+2}$ , is related to the amount of waste incineration.  $R_{ag}$  is the bottom ash generation rates. **Eq. (6)** represents the total EPW recycling costs.

222 The resources costs saved by UrS activities in CSN-EPWR consist of four components.

$$P_{DC} = c_{lc} f_{circular} R_{circular} \sum_{i \in N} p_{Wi}$$
<sup>(7)</sup>

$$P_{RM} = c_{\text{material}} f_{\text{material}} W_{p+1} + c_{ele} f_{energy} W_{p+1}$$
(8)

$$P_{IPG} = c_{coal} f_{RPF} R_{RPF} W_{p+2} \tag{9}$$

$$P_L = c_l R_L \sum_{i \in N} p_{Wi} \tag{10}$$

$$P = P_{RM} + P_{IPG} + P_{DC} + P_L \tag{11}$$

Where Eq. (7) calculates the saved costs from direct reuse of  $EPW(P_{DC})$ , which is the full 223 224 life-cycle costs of express packaging from raw materials collection to the finished product 225 before it leaves the factory. Eq. (8) calculates the saved costs from EPW remanufacturing  $(P_{\rm RM})$ . Raw materials (e.g., wood) and energy costs are reduced by producing recycled 226 227 products from waste paper. Eq. (9) calculates the saved costs from RPF incineration for power generation  $(P_{IPG})$ , which specifically refers to the resource saving from using EPW-228 based RPF as fuel for power generation. Eq. (10) calculates the saved costs from EPW 229 230  $landfill(P_i)$ . MSW landfill costs are avoided after EPW recycling. The calculation of total 231 saved resources costs is given by Eq. (11).

Based on the above analysis, the final economic benefits (net costs) of CSN-EPWR canbe calculated as:

$$\Delta C = C_1 + C_2 - P \tag{12}$$

#### 234 (2) Environmental benefits

Environmental benefits mainly refer to the carbon footprint reduction potential of the system. Similarly, environmental benefits are measured in terms of carbon footprint generated (E) and carbon footprint avoided by UrS activities (*AE*). The carbon footprint generated by CSN-EPWR result from transportation, operation of RC, recycled EPW remanufacturing, and RPF incineration for power generation.

$$E_{T} = e_{ele}\left(\sum_{s\in S}\sum_{k\in K_{s}}\sum_{i,j\in P}u_{sk}x_{ij}^{sk}EC_{ij}d_{ij}\right) + e_{fe}\left(\sum_{m\in M}\sum_{\chi\in D}\left(W_{\chi}/Q_{\chi}\right)\rho_{\chi}^{*}d_{m\chi}\right)$$
(13)

$$E_{RC} = e_{blc} S_{RC} \sum_{m \in \mathcal{M}} B_m / 365 l_{RC}$$

$$\tag{14}$$

$$E_{RM} = e_{ele} A_D W_{p+1} \tag{15}$$

$$E_{IPG} = e_{RPF} R_{RPF} W_{p+2} \tag{16}$$

$$E = E_T + E_{RC} + E_{RM} + E_{IPG} \tag{17}$$

240 Where carbon footprint from transportation  $(E_r)$  is related to unit energy consumption and include two stages of EPW collection and EPW recycling, as shown in Eq. (13).  $e_{fe}$  is the 241 carbon footprint factor of fuel,  $e_{fe} = NCV \times CC \times OF \times (44/12)$ . Eq. (14) calculates the carbon 242 footprint from the operation of  $RC(E_{RC})$ , which is estimated based on the scale of facility, 243 including the production phase of building materials, the construction phase and the operation 244 245 phase of facility (Huo et al., 2021). The carbon footprint from EPW remanufacturing  $(E_{RM})$ is calculated by Eq. (15).  $A_D$  is the unit energy consumption for EPW remanufacturing. Eq. 246 (16) calculates carbon footprint from RPF incineration for power generation  $(E_{PPG})$ . Eq. (17) 247

248 indicates the total generated carbon footprint.

249 The carbon footprints avoided by UrS activities can also be divided into four
250 components.

$$AE_{DC} = e_{lc} f_{circular} R_{circular} \sum_{i \in N} p_{Wi}$$
(18)

$$AE_{RM} = e_{\text{material}} f_{\text{material}} W_{p+1} \tag{19}$$

$$AE_{IPG} = e_{cod} f_{RPF} R_{RPF} W_{p+2}$$
(20)

$$AE_L = e_l R_L \sum_{i \in N} p_{Wi} \tag{21}$$

$$AE = AE_{DC} + AE_{RM} + AE_{IPG} + AE_L$$
(22)

Where Eq. (18) calculates the avoided carbon footprint from direct reuse of  $EPW(AE_{DC})$ , 251 252 which refers to the reduced full life cycle carbon footprint of new express packaging by 253 directly reusing intact EPW. Eq. (19) calculates the avoided carbon footprint from EPW remanufacturing  $(AE_{RM})$ . The implicit carbon footprint of raw materials is reduced by EPW 254 255 remanufacturing. Eq. (20) calculates the avoided carbon footprint from RPF incineration for power generation  $(AE_{IPG})$ , which is the reduced carbon footprint in the application of RPF. Eq. 256 (21) calculates the avoided carbon footprint from landfill  $(AE_t)$ . EPW participation in UrS 257 258 activities avoids the carbon footprint of being landfilled as MSW. The calculation of total 259 avoided carbon footprint is given by Eq. (22).

260 Therefore, the final environmental benefits (net footprint) of CSN-EPWR can be 261 calculated as:

$$\Delta E = E - AE \tag{23}$$

#### 262 **2.3 Design of the CSN-EPWR**

263 An optimization model for multi-depot collaboration routing location problem with

pickup and delivery (MDC-RLPPD) is proposed for designing CSN-EPWR to ensure the 264 rational utilization of logistics resources and improve the efficiency of EPW recycling and 265 266 scheduling. In the traditional distribution network of express parcels, the relatively 267 independent logistics operation may cause resources waste and environmental impact (Shi et 268 al., 2022a; Wang et al., 2021). If the EPW recovery link is added, new logistics resources need 269 to be assigned, which increases the operation costs. In addition, the location of RC is crucial 270 to the design of CSN-EPWR. The CSN-EPWR in this study aims to enhance the economic 271 and environmental benefits of EPW recycling by establishing service collaboration between 272 facilities of different express companies. Therefore, multi-depot collaboration, pickup and delivery operations, and joint optimization of routing and location are considered 273 274 simultaneously in the MDC-RLPPD model.

275 Regarding the comparison of logistics operation modes with service collaboration and 276 non-service collaboration, as shown in Fig. 3, the main differences are in two aspects: First, 277 whether the ERSs of different express companies operate independently; Second, whether the 278 pickup and delivery operations are completed simultaneously. Every CES (CRS) has express 279 parcels delivery and EPW pickup requirements. This study assumes that CES and CRS are 280 adjacent to each other. In a logistics network with non-service collaboration, as shown in 281 Fig.3(a), each ERS operates independently to serve all CESs (CRSs) in the network, and 282 pickup and delivery operations are performed separately. Duplicate pickup and delivery paths 283 result in inefficient use of resources. In a logistics network with service collaboration, as 284 shown in Fig.3(b), each ERS forms a service cluster with multiple CESs (CRSs). The pickup and delivery services of each CES (CRS) are performed simultaneously by one vehicle of the
ERS. The vehicle has to transport EPW to the RC before returning to the ERS.

The MDC-RLPPD model tries to optimize the design of CSN-EPWR to improve economic and environmental benefits. The expenditure part of the economic and environmental benefits analyzed in **Section 2.2** is used as the optimization objectives of the model, that is, the used costs (C) and the generated carbon footprint (E), as shown in **Eq. (24**)

$$\min C = C_F + C_V + C_T + C_{TR} \tag{24}$$

$$\min E = E_T + E_{RC} + E_{RM} + E_{IPG}$$
(25)

292 This study organizes the constraints into three groups, some general constraints are listed

in the **S1.1** of the Supplementary Material.

$$x_i^s - \sum_{j \in N \cup M} \sum_{k \in K_s} x_{ij}^{sk} \ge 0 \qquad \forall i \in N, s \in S$$
(26)

$$B_m - x_{im}^{sk} \ge 0 \qquad \forall m \in M, i \in N, s \in S, k \in K_s$$
(27)

$$\sum_{k \in K_s} x_{ij}^{sk} = 0 \qquad \forall i \in S, j \in S, s \in S$$
(28)

$$\sum_{s \in S} \sum_{k \in K_s} \sum_{i \in S \cup N} x_{ij}^{sk} = 1 \qquad \forall j \in N, i \neq j$$
(29)

$$\sum_{i\in\mathbb{N}}\sum_{j\in\mathbb{M}}x_{ij}^{sk}-\sum_{j\in\mathbb{M}}x_{js}^{sk}=0 \qquad \forall k\in K_s, s\in S$$
(30)

$$\sum_{m \in \mathcal{M}} B_m \le M_n \tag{31}$$

(1) Route constraints. Constraint (26) emphasizes that the route is feasible only when the
CES *i* is served by the ERS *s*. Constraint (27) requires that the location of the unopened RC
will not be passed by vehicles. Constraint (28) indicates that ERSs cannot serve each other.
Constraint (29) restricts each CES to be visited only once. Constraint (30) forces the vehicle

to go through the RC and back to the ERS. Constraint (31) limits the number of RCs that canbe opened.

$$D_{Wli}^{sk} + P_{Wli}^{sk} \le Q_{sk} \qquad \forall i \in \mathbb{N}, j \in \mathbb{N} \cup M, k \in K_s, s \in S$$

$$(32)$$

$$D_{Vli}^{sk} + P_{Vli}^{sk} \le V_{sk} \qquad \forall i \in \mathbb{N}, j \in \mathbb{N} \cup M, k \in K_s, s \in S$$

$$(33)$$

300 (2) Load constraints. Constraints (32) and (33) require that the sum of delivery and 301 pickup demands on each arc does not exceed the maximum payload and capacity of the 302 vehicle.

$$t_{i}^{sk} + \lambda \sum_{i \in S \cup N} \left( q_{Wi} + p_{Wi} \right) + \left( \frac{d_{ij}}{s_k} \right) \leq G \left( 1 - x_{ij}^{sk} \right) + t_{j}^{sk} \qquad \forall i \in S \cup N, j \in N, i \neq j, k \in K_s, s \in S$$

$$(34)$$

$$t_{j}^{sk} + \lambda P_{Wli}^{sk} \sum_{i \in N} x_{ij}^{sk} + \left( d_{js} / s_{k} \right) \le G\left( 1 - x_{js}^{sk} \right) + t_{s}^{sk} \qquad \forall j \in M, k \in K_{s}, s \in S$$

$$(35)$$

$$\eta_i^{sk} + EC_{ij}d_{ij} \le G\left(1 - x_{ij}^{sk}\right) + \eta_j^{sk} \quad \forall i, \ j \in P, i \neq j, s \in S, k \in K_s$$

$$(36)$$

$$B_{m}, u_{sk}, x_{i}^{s}, x_{ij}^{sk} = \{0, 1\} \qquad m \in M, k \in K_{s}, s \in S, i \in P, j \in P$$
(37)

$$l_{Wij}^{sk}, \eta_i^{sk}, D_{Wai}^{sk}, D_{Wli}^{sk}, P_{Wai}^{sk}, P_{Wli}^{sk}, D_{Vai}^{sk}, D_{Vli}^{sk}, P_{Vai}^{sk}, P_{Vli}^{sk}, t_i^{sk} \ge 0 \quad k \in K_s, s \in S, i \in P, \ j \in P$$

$$(38)$$

303 (3) Time and energy consumption constraints. Constraints (34) and (35) represent the
304 time relationship between the nodes. Constraints (36) establish the energy consumption
305 relationship between nodes. Constraints (37) and (38) denote the variables domain.

## 306 **2.4 Implementation of the CSN-EPWR**

The design of CSN-EPWR is constructed as a bi-objective mixed-integer programming model — MDC-RLPPD. A suitable algorithm is required to transform the mathematical model into an executable solution. For the solution of optimization problems with multiple objectives in a large-scale logistics network, multi-objective heuristic algorithms are effective methods to search Pareto optimal solutions (Ma et al., 2021; Wang et al., 2021). Nondominated sorting genetic algorithm-II (NSGA-II) is an extension of the traditional genetic 313 algorithm by introducing elite strategy, crowding degree estimation strategy and fast non-314 dominated sorting strategy (Deb et al., 2002), which is widely used in many fields for multi-315 objective problems (Xu et al., 2022; Yadav et al., 2022; Zhao et al., 2019). In particular, 316 research on related improved algorithms has demonstrated the performance of NSGA-II to 317 search for Pareto optimal solutions in solving bi-objective optimization problems (Maskooki 318 et al., 2022; Rabbani et al., 2017; Shi et al., 2022b). Therefore, this study designs a hybrid 319 NSGA-II (HNSGA-II) based on the general differential evolutionary algorithm (DEA) and 320 NSGA-II framework for the proposed bi-objective MDC-RLPPD model, and the solution process includes two stages: service cluster partition with multi-depot collaboration, and 321 322 symbiosis network optimization. Fig. 4 illustrates the computational flow of the algorithm. 323 The detailed algorithm and related technical terms are described in **S1.2** of the Supplementary 324 Material.

325 To illustrate the performance of the designed HNSGA-II in implementing the MDC-326 RLPPD model, this paper used Cordeau data as benchmark to generate the test instances 327 (Cordeau et al., 2001), including 2 small (50 CRSs), 2 medium (75 CRSs), 2 large (100 CRSs), and 2 maximum instances (200 CRSs). Two well-known multi-objective algorithms, 328 329 competitive mechanism based multi-objective particle swarm optimizer (CMOPSO) (Deveci 330 and Güler, 2020) and many-objective evolutionary algorithm based on dominance and 331 decomposition (MOEA/DD) (Tian et al., 2017), were introduced for comparison with the 332 HNSGA-II. All experiments were based on the same system parameters (Li et al., 2022c; Lim 333 et al., 2022). The result evaluation metrics include carbon footprint (E), costs (C), running time (Time) and hypervolume (HV). Where a larger HV value indicates better convergenceand distribution of the algorithm (Zitzler and Thiele, 1999).

336 Table 1 presents the comparative results of the three algorithms (HNSGA-II, CMOPSO, 337 MOEA/DD) solving the instances. From the experimental results in Table 1, it can be 338 concluded that the proposed HNSGA-II performs well for test instances with different sizes in 339 solving the MDC-RLPPD. HNSGA-II can obtain higher quality solutions in a shorter time. 340 First, the values of carbon footprint and costs obtained by HNSGA-II are smaller than the 341 results of CMOPSO and MOEA/DD in the eight instances tested. Second, compared with CMOPSO and MOEA/DD, HNSGA-II uses less time when solving instances of different 342 343 sizes. Third, the HV values obtained by HNSGA-II are greater than those of CMOPSO and 344 MOEA/DD, indicating that HNSGA-II has better comprehensive performance. Fourth, 345 statistical analysis of the results of the two groups (HNSGA-II vs. CMOPSO and HNSGA-II 346 vs. MOEA/DD) shows significant differences between the results, with *p*-values less than 347 0.05.

## 348 **3. Results and analysis**

To test the model and track the impact of UrS strategy on EPW recycling, Chongqing in southwest China is selected as a case region. Strengthening the recycling and utilization of renewable resources is one of the development guidelines to build a green low-carbon circular economy system in Chongqing (CMPG, 2021). In 2021, the volume of express business in Chongqing increased by 34%. It is of great significance to explore the new mode of EPW recycling to achieve green development. Chongqing, as a national pilot project city for the 355 green transformation of express packaging in China, is steadily promoting the construction of 356 a "waste-free city". It is proposed that the application scale of recyclable express packaging 357 will reach 100,000 by 2025 and a long-term mechanism of governance will be formed 358 throughout the whole chain of express packaging production, use, recycling and disposal 359 (CDRC, 2021). Therefore, it is representative to choose Chongqing as the research object. The 360 benefits (P and AE) in the options are indicated by negative values. The case information is 361 listed in **Table S1** of the Supplementary Material.

#### 362 **3.1 Effects on cost-benefit**

Fig. 5 presents the costs and benefits of the three different options for EPW treatment. The results show that the net costs of Option3 is 47.68% less than Option1 and 36.07% less than Option2, which indicates that the application of CSN-EPWR has better economic benefits than the other two options.

367 In terms of costs, the total costs of Option 3 are 31.67% lower than that of Option 1 and 29.99% lower than that of Option 2. Compared with Option 1, Option 3 has an increase in 368 369 EPW collection costs  $(C_1)$  and a significant decrease in EPW recycling costs  $(C_2)$ . Since EPW 370 sorting and treatment requires the operation of RC, the increase in  $C_1$  mainly comes from the 371 operating costs of RC. The costs of the additional RC are exchanged for the reduction of 372 intermediate links used to handle MSW, such as waste recycling stations and waste transfer 373 centers. Therefore, the  $C_2$  of Option 3 is 92.88% lower than that of Option 1. Compared with 374 Option 2, the benefits of service collaboration are obvious in Option 3. The  $C_1$  in Option 3 is 375 reduced by 31.45%. The EPW in Option 2 is centrally processed by the RC to participate in

UrS activities as in Option 3, thus there is the same  $C_2$  in both options. 376

377 From the perspective of benefits, both Options 2 and 3 are solutions based on UrS 378 strategy, and the benefits achieved account for 16.87 % and 24.1 % of the costs, respectively. 379 Option 1 has the benefits from the introduction of RDF technology to utilize MSW power 380 generation, which is only 5% of the total benefits of Option 3. Among the benefits of Option 3, 381 the direct reuse of EPW makes the major contribution with  $P_{DC}$  accounting for 56%. This is 382 followed by the benefits of EPW remanufacturing  $P_{RM}$  with a share of 24%. The use of RPF 383 technology in Option 3 brings 70% more resource savings than RDF technology. Finally, the 384 participation of EPW in UrS activities for recycling reduces the costs of sanitary landfill 385 treatment, resulting in the landfill treatment benefits of 11% of the total benefits.

# 386

#### 3.2 Effects on carbon footprint-benefit

387 Fig.6 displays the carbon footprint and benefits of the three different options for EPW 388 treatment. It can be seen from Fig. 6 that the application of the service collaboration and UrS 389 strategy drives Option 3 to show greater carbon footprint reduction potential than Options 1 390 and 2. The net footprint of Option 3 are 219% less than Option 1 and 298% less than Option 2. 391 In terms of carbon footprint, Option 3 reduces carbon footprint by 63.23% compared with Option 1 and 65.98% compared with Option 2. The service alliance with collaborative 392 393 pickup and delivery activities are a major driver of carbon footprint reduction. The carbon 394 footprint from transportation  $(E_r)$  of Option 3 are 96.89% less than Option 1 and 96.96% less 395 than Option 2. In addition, the carbon footprint of Option 3 come from the facilities' energy 396 consumption for processing EPW with  $RC(E_{RC})$ , remanufacturing plant  $(E_{RM})$ , and WTE plant

397  $(E_{IPG})$  accounting for 25.23%, 31.91%, and 36.78%, respectively. In addition to  $E_T$ , 22.26% 398 of the carbon footprint in Option 1 result from the waste transfer center and 6% from MSW 399 treatment.

400 From the perspective of carbon footprint benefits, the UrS strategy has a significant 401 contribution to carbon footprint reduction. The carbon footprint benefits of Option 3 are 2.59 402 times more than its carbon footprint, which is 6.65 times higher than that of Option 1. There 403 are only carbon footprint benefits that brings by the application of RDF technology in Option 404 1, which accounts for only 19.83 % of its carbon footprint. The carbon footprint benefits of Option 3 consist of the following sub-items: 33.47% from EPW direct reuse ( $AE_{DC}$ ), 29.60% 405 406 from EPW remanufacturing  $(AE_{RM})$ , 25.60% from RPF replacement of coal  $(AE_{IPG})$ , and 407 11.33% from landfill treatment  $(AE_t)$ .

408

## **3.3 Impact of improving reuse rate**

409 Fig. 7 shows the results of different reuse rates to explore the resource saving and carbon 410 footprint reduction potential of improving the EPW reuse rate  $(\gamma_{dc})$  in CSN-EPWR. As shown in Fig. 7(a), when  $\gamma_{dc}$  increases from 40% to 80%, the net costs decrease by 13.07%, where 411 the costs reduce by 5.45% and the cost benefits increases by 20.66%.  $C_2$ ,  $P_{\rm RM}$  and  $P_{\rm IPG}$  all 412 413 decrease as  $\gamma_{dc}$  increases. Conversely, the larger  $\gamma_{dc}$  is, the more resources are saved, and the 414 greater the benefit  $P_{DC}$ .

415 It can be seen from Fig. 7(b) that the option with high reuse rate shows better carbon footprint reduction potential. When  $\gamma_{dc}$  increases from 40% to 80%, carbon footprint is 416 decreased by 49.41%. In addition, as  $\gamma_{dc}$  increases,  $AE_{DC}$  increases by a smaller percentage 417

than decreases in  $AE_{RM}$  and  $AE_{IPG}$ , ultimately resulting in a 2.36% increase in net footprints. However, such a result does not imply that the environmental performance of CSN-EPWR becomes worse, just that the relative benefits become less attractive. Because carbon footprint can cause irreversible damage to the environment, the actual carbon footprint produced should be the first criterion.

## 423 **3.4 Impact of different vehicle type**

424 The use of vehicles plays an important role in resource consumption and environmental 425 impact in CSN-EPWR. To investigate the impact of different express vehicle types on costs and carbon footprint in the CSN-EPWR, a sensitivity analysis of vehicle-related parameters 426 427 was conducted. Fig. 8 shows the results for the four different vehicle types of ultra-small, 428 small, medium, and large. As shown in Fig. 8, the carbon footprint from transportation, the 429 number of vehicles used, and the total vehicle service time all decrease with the increase of 430 vehicle payload and battery capacity (type becomes larger). For example, when the vehicle 431 type used was changed from ultra-small to large, carbon footprint was reduced by 49.1 % and 432 the number of vehicles used was reduced by 78.95 %. However, the larger the vehicle type, the higher the EPW collection costs. The reduction of carbon footprint is at the expense of 433 434 costs.

## 435 **4. Discussion**

The traditional research on express packaging recycling network (Li et al., 2022b; Wang
et al., 2021) mainly focuses on analyzing the distribution network with recyclable express
packaging from the field of operational optimization. There is no related research to introduce

439 the theory of urban symbiosis into the study of EPW recycling. Based on the study of 440 industrial symbiosis applied in industrial parks (Dong et al., 2014; Kim et al., 2018), there 441 have been some detailed evaluation results on the economic and environmental utility of UrS in MSW management (Geng et al., 2010; Xiao et al., 2022). EPW is not considered as an 442 443 independent recyclable resource for analysis, but mixed with other MSW (Sun et al., 2018; 444 Xiao and Zhou, 2020). In order to better apply UrS theory to the study of EPW recycling optimization, this paper designs an EPW recycling system to explore the resource 445 446 conservation and carbon footprint reduction potential of the UrS strategy in the EPW recycling network. From the perspective of theoretical research, this study combines the 447 448 research methods of urban symbiosis and operational optimization, which is hoping to enrich 449 the cross-theoretical discussion between different fields.

450 EPW recycling is an effective way to address the resource and environmental challenges 451 caused by massive EPW. The results of this study clearly indicate that optimizing EPW 452 recycling network can significantly improve the economic and environmental benefits of 453 EPW management. In addition, the case study in this paper indicates that compared with no 454 cooperation, express companies formed a service alliance to collaborate on express parcels 455 delivery and EPW pickup activities saved 31.45% of costs and 96.96% of carbon footprint. 456 An EPW management system based on the UrS strategy helps to reduce the resource and 457 environmental negative impacts of EPW at the source. The 24.1 % of resource conservation and 2.59 times more carbon footprint reduction benefits than carbon footprint was achieved in 458 459 the case of this study by introducing the UrS strategy to treat EPW in three ways: reuse,

460 recycling, and replacing.

461 Based on the findings of this paper, there are some referenceable insights can be 462 provided for stakeholders such as government, express companies and consumers.

463 Government and industry associations play a significant role in promoting the circular 464 economy of express packaging recycling. From the perspective of the government or industry 465 associations, business cooperation among express companies in last-mile logistics should be encouraged, and the industry should be guided to apply UrS strategy in EPW recycling. 466 467 Industry standards for EPW classification should also be developed. In addition, carbon taxes and carbon quota systems have been the common way for governments to manage corporate 468 469 carbon footprint (Carl and Fedor, 2016; Huang et al., 2020). It is a forward-looking policy 470 direction to use the carbon footprint reduction potential realized from participation in UrS 471 activities as an effective mechanism for express companies to reduce carbon taxes or increase 472 carbon quotas. Such policies achieve the carbon footprint reduction target while helping to 473 improve the motivation of express companies to participate in EPW recycling.

Exploring a new model of EPW recycling can enable express companies to maintain sustainable competitiveness in the green and low-carbon development trend. Currently, the recycling system for EPW is immature and incomplete, and there is a lack of infrastructure dedicated to processing EPW. The experimental results in this study show that while the operation of RC in CSN-EPWR increases costs and carbon footprint by a certain amount, the costs and carbon footprint resulting from the treatment of EPW mixed with MSW are more avoided. In the short term, these actions may increase costs of express delivery companies. 481 However, in the long term, corporate social responsibility may provide a comparative advantage in a competitive market (Duan et al., 2019). In addition, with the development of 482 483 clean energy technology, material recycling will take precedence over energy recovery in 484 technology comparisons. In a BAU scenario, the potential savings from mixing EPW into 485 MSW incineration will become less attractive, which highlights the significance of building 486 EPW recycling networks. Active service cooperation can be carried out between express 487 companies to accelerate the upgrading and transformation of business. Stable cooperative 488 relationships are maintained by clarifying the responsibilities and benefits distribution related to cooperative business. 489

490 As the final node of express parcels and the starting node of EPW, consumers play a key 491 role in the recycling work of EPW. The experimental results show that enhancing the EPW 492 reuse rate in CSN-EPWR is an effective measure to reduce costs and carbon footprint. 493 Therefore, what needs to be highlighted is to improve consumers' awareness of environmental 494 protection to actively participate in EPW recycling. It is necessary to guide residents to 495 dismantle express packaging at community express stations and avoid violent dismantling by building infrastructure and setting up incentives, thereby bringing the benefits of increasing 496 497 the reuse rate of EPW at the source.

498 **5.** Conclusions

This study designed the CSN-EPWR based on the UrS strategy, and the economic and environmental benefits of the network were evaluated by combining material flow analysis and cost-benefit analysis. To plan feasible solution for CSN-EPWR, an optimization model 502 for the MDC-RLPPD and a hybrid heuristic algorithm based on NSGA-II were proposed. 503 Experimental results of several instances show that the designed hybrid heuristic algorithm is 504 an effective method to solve the proposed mathematical model. And a real-world case study 505 indicates that the designed CSN-EPWR has positive implications for resource saving and 506 carbon footprint reduction. In terms of resource saving, the net costs of CSN-EPWR were 507 47.68% less than Option 1 and 36.07% less than Option 2. From the perspective of carbon 508 footprint reduction, the net footprint of CSN-EPWR were 219% less than Option 1 and 298% 509 less than Option 2. Moreover, the sensitivity analysis of EPW reuse rate shows that the 510 solution with high reuse rate is the pursued goal, and the sensitivity analysis of vehicle type 511 indicates that the use of vehicles with large capacity and high endurance can reduce carbon 512 footprint, but bear additional vehicle costs.

513 In short, this study provides a comprehensive framework and methodological system to 514 understand the benefits and performance of optimizing the EPW recycling network, and offers 515 implications for the promotion of UrS strategy to help cities achieve green governance and 516 express companies toward sustainable development. In the future, the government or industry 517 associations can promote the circular economy development of express packaging recycling 518 by encouraging enterprise cooperation, guiding model innovation, developing industry 519 standards, building infrastructure and other measures. Express companies can form service 520 alliances to explore new models of express packaging recycling and build EPW recycling network to promote EPW centralized processing. The improvement of consumers' 521 522 environmental awareness is the key to increasing EPW reuse rate. A circular symbiosis network for EPW recycling constructed in conjunction with UrS strategy is a useful way to
solve resource waste and environmental problems in the express delivery industry.

525 It is worth mentioning that the proposed mathematical model is general and can be used 526 for the design of EPW recycling networks in other regions. This study explores the 527 application of UrS strategy in EPW recycling optimization, which still has some limitations as 528 it is an exploratory attempt between two fields. Due to the availability of data sources, this 529 study only divides express packaging into two categories: plastic and paper. In future research, 530 the classification of express packaging can be further refined. In addition, because the benefits 531 brought by the application of service alliance and UrS strategy may reversely affect the unit 532 costs in the system, the reverse impact of the introduction of UrS strategy on the economic 533 price in the EPW recycling system is also an interesting topic.

534

# **CRediT** authorship contribution statement

Yuhe Shi: Conceptualization, Methodology, Software, Writing - Original Draft, Formal
analysis, Writing - Review & Editing. Yun Lin: Resources, Supervision, Funding acquisition,
Writing - Review & Editing. Songyi Wang: Methodology, Software, Visualization. Haolin
Wen: Conceptualization, Visualization, Software. Ming K. Lim: Methodology, Validation,
Writing - Review & Editing. Ming-Lang Tseng: Validation, Writing - Review & Editing.

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#### 545 Appendix A. Supplementary material

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## 743 Figures and tables



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Fig.1. The framework and organization of the circular symbiosis network for EPW recycling.

746



Fig.3 Comparison of logistics operations between service collaboration and non-service collaborative.





Fig. 7. Results for different reuse rates.



Fig. 8. Results for different vehicle type.

Instance	HNSGA-II			CMOPSO				MOEA/DD				
	E (kg)	C (¥)	Time(s)	HV	E (kg)	C (¥)	Time(s)	HV	E (kg)	C (¥)	Time(s)	HV
50-1	1293.94	22753.17	119.26	0.5295	1307.47	22763.25	141.07	0.5046	1304.67	22761.16	139.09	0.5056
50-2	1858.55	22179.8	99.63	0.5173	1878.74	22194.85	128.74	0.492	1875.91	22192.74	129.09	0.4928
75-1	1945.5	30539.07	187.11	0.4105	1960.47	30549.91	202.63	0.3874	1956.43	30546.9	209.65	0.3871
75-2	2985.13	30834.84	175.17	0.4573	3009.66	30853.13	215.41	0.4328	3062.53	30892.53	190.34	0.4223
100-1	2277.5	36736.79	233.8	0.4824	2295.49	36750.21	248.87	0.4583	2285.25	36742.57	246.72	0.4606
100-2	3135.89	37328.81	239.09	0.4298	3163.95	37349.72	252.75	0.4047	3151.84	37340.7	273.14	0.4069
200-1	6061.9	66516.16	395.1	0.3877	6103.2	66546.94	410.78	0.3635	6107.71	66550.31	415.46	0.3631
200-2	5902.55	64866.2	477.83	0.4038	5958.72	64908.07	490.5	0.3781	5919.76	64879.03	503.17	0.382
AVG	3182.62	38969.36	240.87	0.4523	3209.71	38989.51	261.34	0.4277	3208.013	38988.24	263.33	0.4276
<i>t</i> -test	-	-	-	-	-5.21	-5.18	-6.00	84.88	-2.98	-2.96	-9.02	16.35
<i>p</i> -value	-	-	-	-	1.24E-03	1.28E-03	5.41E- 04	8.30E- 12	2.06E-02	2.10E-02	4.20E- 05	7.79E- 07

767 Table 1. Results of different instances using three algorithms.