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1 **Lessons learned from farmers' experience of soil carbon management practices in grazing**  
2 **regimes of Australia**

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17 **Abstract**

18 Previous research on increasing soil carbon sequestration, through soil carbon management (SCM) has not  
19 integrated social components into the ecological system. To understand how experienced farmer's combine  
20 social and ecological components of soil carbon management practices we have used a social-ecological  
21 systems (SES) framework. This study examines the distribution and pattern of farmers' SCM practices,  
22 comparing and contrasting two farming cohorts based on inherent soil fertility in a rotational grazing regime  
23 of sub-tropical temperate grazing lands in Australia. Twenty five grazing farmers with land of low (n= 13)  
24 and moderate (n=12) fertility soils were interviewed about SCM and how they have maintained their  
25 grazing regime despite climatic constraints using the SES framework. Both farming cohorts (low-fertility  
26 farms and moderate-fertility farms) have shown resolve to continue their grazing regime because the  
27 benefits were manifold and affect whole-farm sustainability. Farmers with low-fertility farms highlighted  
28 a number of SCM outcomes but were less confident of achieving them. Farmers were focused on the agri-  
29 environmental benefits of SCM practices in a holistic manner, rather than a single goal of increasing soil  
30 carbon. The interviewed farmers reported a number of benefits that accrue from their grazing regimes,  
31 including improvements in production, soil moisture retention and soil health, even though some of these  
32 benefits were not measured. Farmers in more "stressed" environments, with low soil fertility also  
33 emphasised mental health and landscape aesthetics as outcomes of SCM. These features of the farmers'  
34 SCM provide important benefits that are not easily quantified, but are also instrumental for encouraging  
35 other farmers to manage their soil. Long-term practitioners of rotational grazing such as the farmers in this  
36 study can provide useful insights for a more targeted, customized and nuanced government policy that  
37 focuses on whole-farm sustainability, which can also improve soil carbon stocks in similar regions of  
38 Australia.

39 **Keyword:** Soil stewardship, Land capability, Carbon sequestration, Rotational grazing, Soil health

40

## 41 1. Introduction

42 Carbon sequestration in soil is controlled by a series of systematic processes that include the inputs and  
43 outputs of carbon (Rabbi et al. 2015). The maximum limit of the carbon input into soil is determined by the  
44 net primary productivity of plants, which is controlled by the factors of solar radiation, climate and the  
45 presence of water and nutrients in soil (Sanderman et al. 2009). The soil carbon pool is three times greater  
46 than that of atmospheric carbon (Post and Kwon 2000; Scharlemann et al. 2014) and twice that stored in  
47 terrestrial vegetation (Friedlingstein et al. 2019). Soil carbon management (SCM) in agricultural land has  
48 the potential to sequester 0.4 to 0.8 Pg carbon yr<sup>-1</sup> in soil (Lu et al. 2011). SCM in agricultural lands is  
49 possible through a number of land and soil management techniques that ensure either reduced emissions of  
50 carbon from the soil to the atmosphere or sequestration of more carbon into the soil itself (e.g., Chang et al.  
51 2021; Dumbrell et al. 2016; Kragt et al. 2016; Li Liu et al. 2016).

52 Several studies in various countries of the world, including Australia, have demonstrated that SCM  
53 practices such as no till, reduced tillage, stubble retention, crop rotation and permanent pasture have the  
54 potential to increase soil carbon (Lu et al. 2011; Luo et al. 2010). Whitehead et al. (2018) reviewed the role  
55 of several SCM practices in New Zealand grazing lands to understand the effect on soil carbon stocks such  
56 as application of external inputs (e.g. fertilizer application, manure and dairy effluent), grazing intensity  
57 management, addition of biochar, full inversion tillage and introduction of deep-burrowing earthworms and  
58 dung beetles, but suggested in soils with moderate to high soil carbon stocks there was limited scope to  
59 increase soil carbon stocks, and results so far were inconclusive. Minasny et al. (2017) suggested that  
60 regionally specific SCM efforts had the potential to sequester more carbon in the first 20 years of those  
61 specified practices, where initial stocks of soil organic carbon (SOC) were very low, but rates of soil carbon  
62 sequestration would slow down. Research has indicated the positive relationship between soil carbon  
63 sequestration and changes in land use and management (i.e. cropping to pasture, no tillage, stubble  
64 retention) in the semiarid and subhumid regions of Australia (Cotching et al. 2013; Page et al. 2013; Young  
65 et al. 2005). A recent study by Díaz de Otálora et al. (2021), in Spain, also showed evidence of a higher  
66 potential for soil carbon sequestration through regenerative rotational grazing compared with conventional  
67 set-stocked grazing.

68 Despite the mounting evidence of an increased potential for soil carbon sequestration using SCM practices,  
69 a considerable number of studies have also shown that rainfall and vapour pressure deficits have more  
70 influence than SCM practices on soil carbon storage (Cotching et al. 2013; Hobbey et al. 2015; Hoyle et al.  
71 2013; Rabbi et al. 2015). Despite the modest effect of SCM practices (i.e. conservation tillage in cropping  
72 and conversion to pasture from cropping) on soil carbon sequestration, it is considered to be largely driven  
73 by factors beyond the land manager's control, such as climate (particularly rainfall) and inherent soil

74 properties (e.g. fertility) (Rabbi et al. 2015). The aridity and clay percentages were the dominant factors  
75 that influence SOC stock, and land use effect on SOC stock is higher than soil management. Rabbi et al.  
76 (2014) suggested that land use and soil management induced change of SOC stock needs to consider local  
77 environment and specific climatic situation. SOC could be increased through soil management when high  
78 organic matter input and slow decomposition ensued. Reduced or no till in a cropping system is estimated  
79 to sequester about  $140 \text{ kg C ha}^{-1} \text{ yr}^{-1}$  in the upper 10 cm of soil; however, edaphic and climatic conditions  
80 in the Australian environment have led to an inconclusive result for the rate of carbon sequestration at the  
81 wider temporal and spatial scale (Conant et al. 2001; Lam et al. 2013). Li Liu et al. (2016) revealed that  
82 high temperatures strongly interact with stocking rate approaches to SCM and reduce soil carbon storage  
83 in the pasture system. According to Sanderman et al. (2009), the carbon sequestration potential through  
84 SCM is lower in Australia compared with the northern hemisphere countries due to constraints such as  
85 aridity and edaphic factors such as low soil fertility. Thus the interaction between farmers' SCM practices  
86 and the influences of climate and fertility is essential to optimise the potential for soil carbon sequestration.  
87 SCM practices managed inappropriately can impair soil carbon sequestration potential even under optimal  
88 conditions. Similarly, well managed soil can ensure that the sequestration potential could be enhanced  
89 despite a dry climate or less fertile soils is realised to the fullest extent possible.

90 The *4 per mille Soils for Food Security and Climate* initiative of COP21 aimed at increasing the soil organic  
91 carbon (SOC) stock by 0.4% per year to mitigate greenhouse gas (GHG) emissions globally from  
92 anthropogenic origins (Rumpel et al. 2018). In this regard, to sequester or avoid release of carbon from  
93 agricultural soils, Australia's Emission Reduction Fund (ERF) targets farmers and project proponents to  
94 undertake certain SCM practices (i.e. conversion of cropping to pasture, tree planting in pasture land, native  
95 vegetation establishment and grazing management) in areas previously not managed that way (Australian  
96 Government, 2020; Verschuuren 2017). However, compared with other types of 'carbon farming' (such as  
97 revegetation and abandonment, improving manure and animal effluent management, reducing ruminant  
98 emissions and increasing fertilizer efficiency), SCM initiatives have gained little interest from farmers, and  
99 even those farmers who signed up for a soil carbon project under ERF have been critical of the uncertainty  
100 of the policy and the processes (e.g. measurement of SOC changes) involved (Baumber et al. 2020; Kragt  
101 et al. 2016), such as payment of carbon credits for different types of farming (Amin 2022). SCM practices  
102 currently rewarded by the ERF are mainly focused on conversion to reduce tillage, cropping to pasture,  
103 organic amendment (e.g. bio-solids or compost) and grazing management (Climate Work Australia, 2021).



104

105 **Fig. 1.** Rotational grazing practices in the grazing regimes of New South Wales, Australia (source: Md  
106 Nurul Amin, 2020)

107 Approximately 33 million sq km is occupied by pasturelands which is 70% of the total agricultural land of  
108 the world, and is estimated, to a depth of 1m, to contain about 20% of the world's soil carbon stock (Conant  
109 et al. 2011). Thus, improved pastureland management is highly important for atmospheric carbon  
110 mitigation. Climate and soil conditions have the largest impact on soil carbon sequestration, however,  
111 grazing management can make a significant contribution of 148 to 699 megatons of CO<sub>2</sub>e year<sup>-1</sup> under the  
112 same biophysical and climatic conditions (Bai and Cotrufo, 2022). Grazing regimes cover more than half  
113 of Australia's land area (Fig 1) and have the potential for sequestering soil carbon, particularly in grasslands  
114 of the temperate regions with high summer rainfall (Díaz de Otálora et al. 2021; Waters et al. 2020). Grazing  
115 management such as rotational grazing (Liu et al. 2021) or sparsely grazed land (Chang et al. 2021) and  
116 stock management (Bork et al. 2020) could ensure improved soil carbon sequestration that can contribute  
117 to the emissions reduction target of the SDGs that relate to climate change and food security (2, 3, 6, 13,  
118 12 and 15) (Lal et al. 2021). Grazing lands in Australia have been identified as one of the important areas  
119 for soil carbon sequestration and achieving Australian government's ERF target (Climate Work Australia,  
120 2021).

121 Livestock grazing is the largest agricultural enterprise by area in the Australian state of New South Wales  
122 (NSW). Consequently, altering grazing management would have potential to sequester carbon in soil of  
123 this area. Case study research has shown that farm business income can also increase in the 9–39 years after  
124 introducing pasture regeneration as an SCM technique in grazing enterprises of western NSW (Cockfield  
125 et al. 2019). Research evidence indicates a two-sided relationship in altering agricultural management for  
126 climate change mitigation (Chang et al. 2021; Solinas et al. 2021). For instance, by converting cropping  
127 lands into grazing lands, more carbon can be sequestered in the soil (Li et al. 2018), whereas  
128 unsystematically grazed lands with higher livestock numbers can create a source of GHG emissions (Chang  
129 et al. 2021). Systematic grazing techniques such as rotational grazing of livestock enhances soil carbon  
130 sequestration (Liu et al. 2021) and globally, both biophysical and socio-economic factors influence soil  
131 carbon stocks (Duarte-Guardia et al. 2020). Thus, the trade-off between potential soil carbon sequestration  
132 in agricultural lands and risks of GHG emissions from agricultural practices needs to be established. A  
133 framework that explores the social-ecological features that influence SCM could increase our capacity to  
134 develop effective climate policy (Amin et al. 2020).

135 Ostrom's (2007, 2009) social-ecological system (SES) framework has been used for analysing sustainability  
136 of a particular system by examining the interactions and relationships between components (Page et al.  
137 2013). SES frameworks examine the interrelationships between the social and ecological features and  
138 facilitate the examination of the sustainability goals across different levels and scales (Fischer et al. 2015).  
139 For example, SES frameworks have been used to assess the sustainability of food product systems (Marshall  
140 2015), and to unpack the complexity of ecosystem services and human wellbeing at regional levels  
141 (Friedlingstein et al. 2019; Hossain et al. 2020b; Hossain et al. 2020a). Moreover, SES framework was also  
142 used to examine the sustainable management of fisheries and water resources (de Wet and Odume 2019;  
143 Galappaththi et al. 2019). Amin (2022) used Ostrom's SES framework to examine the factors that influence  
144 features of SCM in the studied grazing systems presented here at the farm level. Kröbel et al. (2021)  
145 suggested that sustainability of farming could be improved by farmers participating directly in scientific  
146 research to gain a deeper understanding of agri-environmental problems and to obtain the best management  
147 solution at the farm level.

148 Therefore, this research is examining long-term practitioners of rotational grazing who have continued to  
149 maintain a rotational grazing regime despite the land being subject to permanently limiting variables such  
150 as low clay content soil types with low land capability, which would make soil carbon improvement  
151 difficult. Researchers (Li Liu et al. 2016; Orgill et al. 2018) have found soil carbon stock declined with a  
152 change in grazing management or showed some slight improvement in soil carbon stock with rotational  
153 grazing compared to set-stocked land (Cowie et al., 2013). Our study examines the distribution and pattern

154 of farmers' SCM practices, comparing and contrasting two farming cohorts based on inherent soil fertility  
155 in a rotational grazing regime using a SES framework. By understanding the way farmers' intentions and  
156 motivations interact with the biophysical landscapes of their farms and with the social and economic context  
157 in which their operations exist it may lead to insights about the limitations and opportunities for achieving  
158 carbon reduction goals for the well-being of current and future farming generations. This study focused on  
159 the following research questions:

- 160 • What is the distribution of farmers' SCM practices under a rotational grazing regime in a low  
161 and moderate soil fertility situation?
- 162 • Do particular SES features differ between farming cohorts under a low and moderate soil  
163 fertility situation?
- 164 • What are the lessons from farmers' experiences in customizing SCM interventions?

165 The detail of the methodology (Fig 3) is explained in section 2, before presenting the impacts of SCM  
166 practices by farming cohort (3.2), and distribution of SCM resource features and practices between  
167 moderate and low fertility farming cohorts is described. In section 3.3 network of SCM outcomes and  
168 farmers' SES of SCM is presented in detail. The impact of resource endowment on SCM practices and  
169 network features (4.1) and challenges and potential opportunities for current SCM practices (4.2) is  
170 discussed to reveal the lessons of farmers' experiences in customizing SCM interventions.

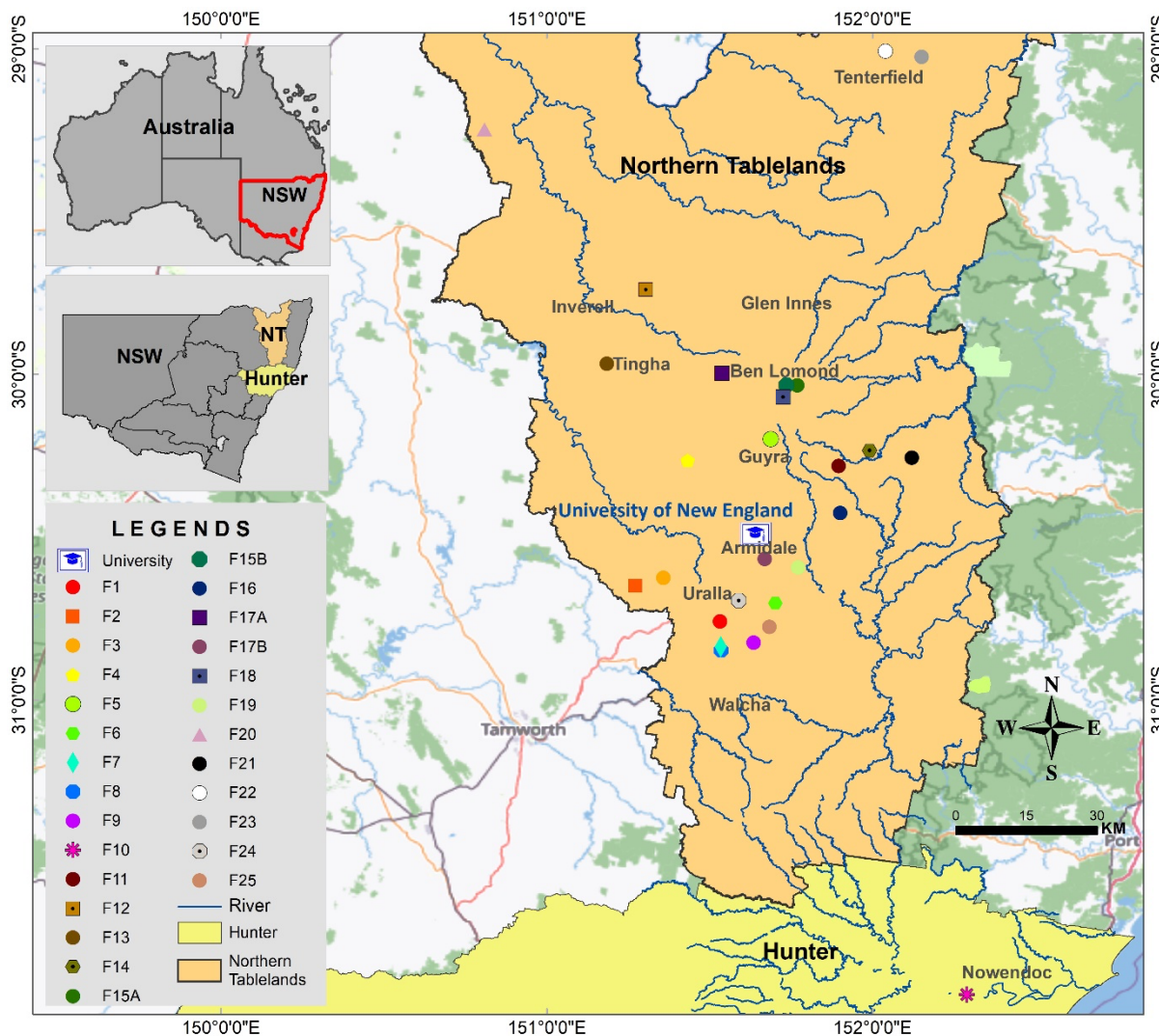
## 171 **2. Methodology**

### 172 **2.1 Selection of study area**

173 Grazing enterprises contribute 86% of the total value of the Northern Tablelands regions agricultural  
174 production, with wool (41.7%) and meat (44.5%) being the dominant products. The farms studied were  
175 predominantly beef and sheep producers with grazed perennial native pastures located in the Northern  
176 Tablelands and Upper Hunter regions of New South Wales (NSW), Australia (Fig. 2). In this area, 68% of  
177 the total land has been used for agriculture which is equivalent to 2.1 million ha. The yearly  
178 average minimum temperature in this region is around 7° C, with maximum temperatures usually not  
179 exceeding 30° C. The rainfall of this area ranges from 750 mm to 800 mm with 60% of the rain falling over  
180 summer. Seasonal drought is common and occurs every 3.5 years on average, and severe drought is  
181 predicted to take place every 10 years (Wilson and Lonergan, 2013). The relevance of this case study region  
182 is that 50% of Australia's land area is used for cattle and sheep grazing enterprises (Climate Work Australia,  
183 2021), and areas of summer-dominated, high-rainfall grazing regimes with high vegetation retention have  
184 the potential to sequester more carbon in the soil (Díaz de Otálora et al. 2021; Reich et al. 2020; Rey et al.  
185 2017). Under this climate regime and in times of grass production (reasonable rainfall and temperature for



186 plant growth), this geographical area could have the potential (Baumber et al. 2020) to increase soil carbon  
187 sequestration.

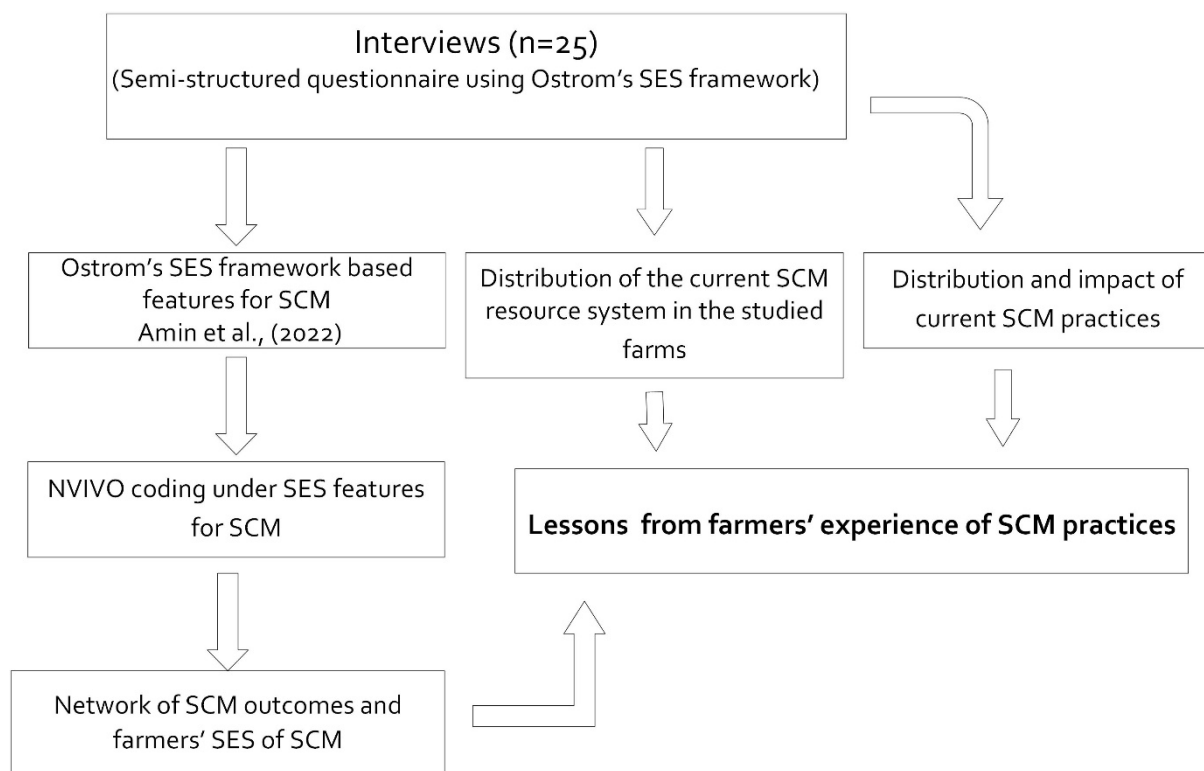


188  
189 **Fig. 2.** Farm location in Northern Tablelands and Upper Hunter regions of New South Wales. Here, the  
190 identification numbers represent different farms (e.g., F1, F2) and a letter after the numbers represent farms  
191 owned by the same farmers (e.g., F17A, F17B).

## 192 2.2 Conceptual framework for understanding SCM practices

193 The SES framework is considered to be the most inclusive conceptual framework for studying a system's  
194 interrelationships and the outcome of those relationships to monitor the state of the sustained practices of a  
195 system (Pacheco-Romero et al. 2020; Partelow 2018). We studied the ecological and social features of  
196 current SCM in grazing regimes of the NSW Northern Tablelands and Upper Hunter, Australia, using  
197 Ostrom's SES framework as a conceptual lens to understand farmers' experience with SCM practices in

198 grazing regimes (Fig 3). By providing a common classification system, Ostrom’s SES framework (Ostrom  
 199 2007, 2009) can enhance our understanding of the complex management practices implemented to improve  
 200 sustainability (Gurney et al. 2019; Pacheco-Romero et al. 2020; Seghezzo et al. 2020). Our study applied  
 201 Ostrom’s first-tier features of resource system, resource units, governance, actors and interaction-output  
 202 (SCM outcomes) to analyse the use of SCM practices in the grazing systems of Australia. When using the  
 203 higher category of Ostrom’s SES features, the study focused on the size, productivity, location and  
 204 predictability of the system as the resource system features, and the spatial-temporal status of the resources,  
 205 economic value, growth rate and resource management systems were considered under the resource units.  
 206 The governance system focused on government and non-government organizations, monitoring rules,  
 207 policy, social networks and operational rules, and the actor category focused on relevant actors, trust and  
 208 attitudes of the actors. The interaction-output (SCM outcomes) focused on the product of the social-  
 209 ecological interactions of the features in the SES for SCM as efficiency (e.g. soil moisture) and  
 210 sustainability (e.g. soil carbon content).



211

212 **Fig 3.** Flow chart of the conceptual framework for study methodology

213 **2.3 Farmer interview protocol and content analysis**

214 The first step in our information collection was face-to-face interviews using a semi-structured question  
 215 schedule between November 2019 and February 2020. The interview participants were initially selected

216 based on having at least five years' experience in practicing at least two SCM practices that were known to  
217 have a positive impact on soil carbon stock (e.g. Díaz de Otálora et al. 2021; Dumbrell et al. 2016; Li Liu  
218 et al. 2016). The interviewed farmers were selected with the assistance of two organizations, Northern  
219 Tablelands Local Land Services, which is a government organization, and Southern New England  
220 Landcare, which is a local non-government organization. The farmers were purposively or deliberately  
221 chosen because they were long-term practitioners of SCM practices. The majority of the study participants  
222 are leading graziers who are highly motivated by land stewardship. All landholdings are subject to periods  
223 of recurring drought, exacerbated in some instances by inherent low fertility soils with low land capability.  
224 The interviewed farmers (n=25) were of mixed ages (40–79 years) and highly experienced, having  
225 undertaken SCM practices for several decades (Table 1). Among the interviewed farmers, more than half  
226 (68%) were highly educated (Bachelor to PhD), with around half of them having a university degree and  
227 around one third of them having an MSc or PhD. The face-to-face interviews lasted up to 90 minutes. The  
228 interviews were recorded and later transcribed by a transcription service. The human ethics approval of this  
229 study was granted by the University of New England, Australia (approval number HE19-149).

230 The aim of the interview was to understand the distribution and pattern of current SES features of SCM in  
231 order to identify the potential for soil carbon sequestration through sustained use of SCM practices on  
232 grazing lands (SI Table 1). The interview questions covered information about current SCM practices at  
233 farm level, as well as questions relevant to Ostrom's SES first-tier features of resource system, resource  
234 units, governance system, actors and interaction-output (McGinnis and Ostrom 2014; Ostrom 2007, 2009).  
235 The interview questions covered three aspects: first, farmer socio-demographic data ; second, questions on  
236 farm data (e.g. types of SCM, economic aspects, governance systems, relevant actors) and their  
237 relationships in the current SCM system; and third, co-benefits for social and ecological features.

238 The SES features were determined from interview data with 25 highly experienced rotational graziers who  
239 were long term practitioners of practices associated with SCM. The transcribed interviews were coded to  
240 themes under Ostrom's first-tier SES categories using NVivo12 (SI Table 1). NVivo is a software that  
241 enables the researcher to efficiently code comments and insights in interview transcripts into themes, as  
242 well as organising coded segments for analysis and retrieval for each SCM feature data was coded from the  
243 farmer interviews under each SES higher-level category. For example, where farmers explained about the  
244 support of government or non-government organizations it was coded under the 'governance system'  
245 category. Given the importance of soil fertility and land capabilities for SCM, we confirmed the soil type  
246 and land capabilities of the farms examined through the NSW Government's online land capability and soil  
247 mapping service eSPADE version 2 ( Office of the Environment and Heritage 2018). Locations of the farms  
248 was georeferenced to determine the dominant soil types (underlying granite, sedimentary and basalt

249 geology) and land capability eSPADE ( Office of the Environment and Heritage 2018) (a database of 80,000  
250 soil profiles for NSW, April 2020). The interviewed farmers were comprised of two cohorts, one with  
251 moderate-fertility farms and the other with low-fertility farms. In addition, SCM features (SI Table 1) were  
252 analyzed to explore the distribution and patterns relevant to the SES categories according to soil fertility  
253 potential. . The patterns of SCM practices were visualized in a one-mode network diagram (Section 4.3.3)  
254 for both low- and moderate-fertility farms using the i-graph package of RStudio. The perceived influence  
255 of the SCM practices was visualized in a stacked bar chart using the ggplot2 package of RStudio to identify  
256 differences between the farm cohorts. From the farmer interviews under Ostrom’s first-tier features, the  
257 challenges of and potential solutions to the sustainability of current SCM practices were collated and  
258 visualized in a Sankey network graph using the network3D package of RStudio.

## 259 **2.4 Network map**

260 A one-mode network represents the connectivity of one set of features with another set of features. A one-  
261 mode network was employed to visualize the SCM under both situations - ‘moderate-soil fertility farms’  
262 and ‘low- soil fertility farms’. This network visualizes the influence of SCM outcomes and the resources  
263 unit features (i.e. SCM practices, SCM cost, change of income and agri-environmental benefits) on other  
264 features of SCM. The responses of each farmer were coded by assigning a number as a weight (1 and 0),  
265 where ‘1’ represented a positive response and ‘0’ represented a negative response about the influence of  
266 SCM output or resource unit features on other SES features (SI Table 3). The resource system features that  
267 determined the farm status, such as the size of the farm, farming type, proprietorship and loan status were  
268 represented as a numeric relationship with the SCM outcome and resource unit features in the network (SI  
269 Table 3 and 4). In the network diagram, each feature is represented as a circle (e.g. SCM cost, trust) and  
270 connections from one feature to another are ‘lines’. The width of the line indicates the number of positive  
271 responses for each connection.

## 272 **3. Results**

273 The distribution of the SCM features was examined based on the underlying soil fertility of the farm. Soil  
274 fertility, which is based on soil texture and underlying geology, is a variable that relates strongly to the  
275 processing and storage of soil carbon, and is a defining characteristic of land capability due to its stable  
276 nature over time. The results from these two cohorts (i.e. moderate-fertility and low-fertility farms) are  
277 presented to examine their ability to sustain SCM practices over an extended period and also to identify the  
278 particular SES features that have allowed them to do so, given that those on land of lesser fertility and land  
279 capability would be considered more vulnerable and less likely to improve soil condition.

### 280 **3.1 Distribution of SCM resource features and practices between farming cohorts**

281 The distribution of the SCM resource system features were identified from farmer interviews (n=25) and  
282 categorized according to underlying fertility and land capability, with almost equal division between  
283 moderate and low soil fertility (Table 1). The majority of farms were sheep grazing enterprises with  
284 livestock for meat (n=21, SI Table 2), and a few also had cattle and sheep breeding. A few of the farms  
285 (n=4) were mixed farming with grazing and limited cropping (mainly fodder crops) for livestock feed. Our  
286 study revealed that the distribution of SCM practices between the farm types were broadly similar, although  
287 in a few instances, differences were apparent. The soil fertility status was identified by the farmer and  
288 further corroborated by the information from eSPADE on land capability (Table 1). The debt status for low-  
289 fertility farms was mostly moderate (62%) and a smaller proportion had high debt levels (15%), whereas  
290 more than half of the moderate-fertility farms were under no financial obligation (59%) or had moderate  
291 debt (33%) (Table 1). The distribution of farm size was similar for both cohorts, with more than half of the  
292 farms being large farms (>500 ha) for both moderate-fertility farms (58%) and low-fertility farms (62%)  
293 (Table 1). Human capital was similar for both cohorts in terms of farmers' age (around 60 years old) and  
294 farming experience in the area (23 years) (Table 1). A large proportion of farmers (80%) manage only one  
295 property and a smaller proportion (20%) manage between two to four properties.

296

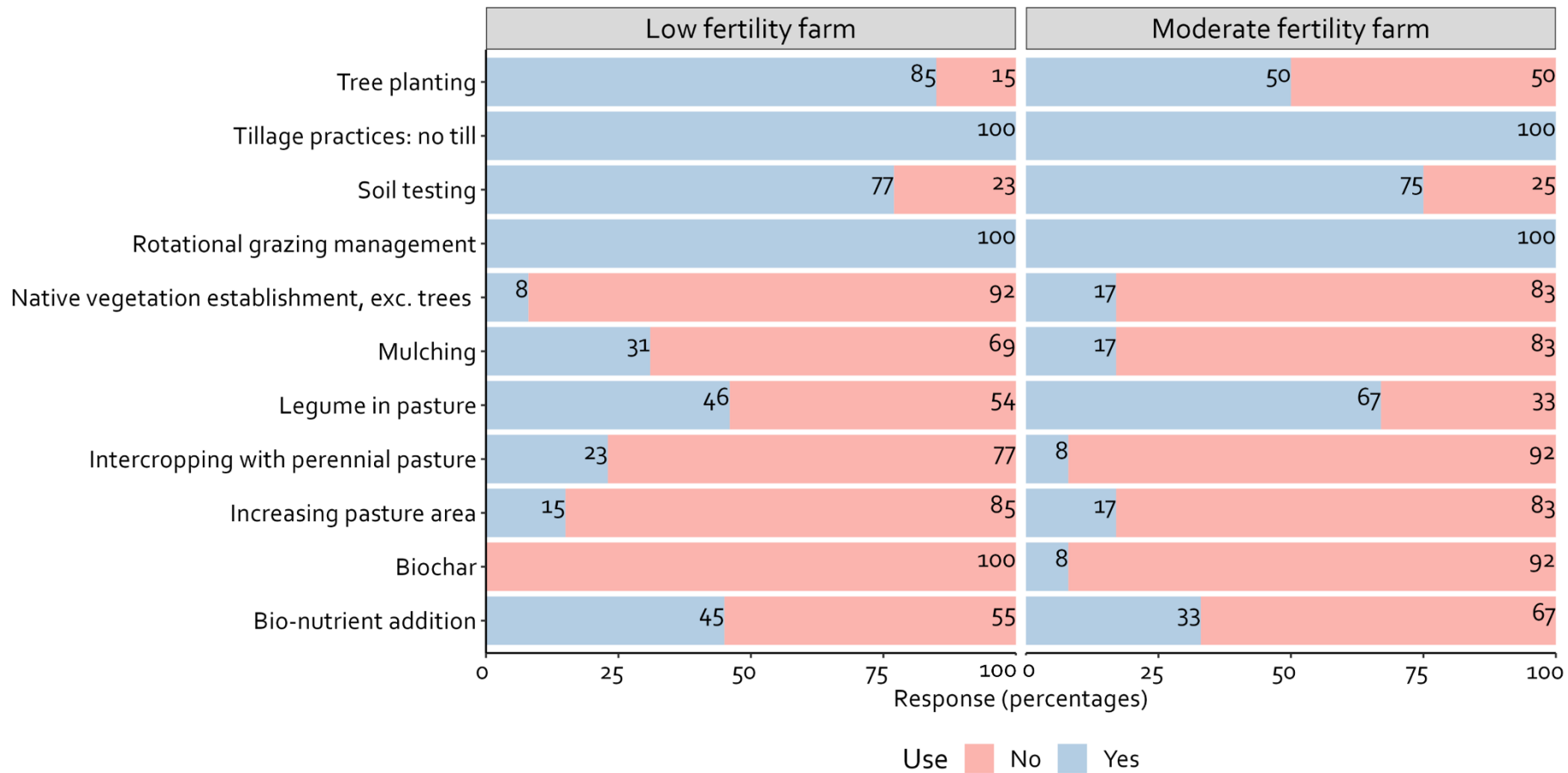
297 **Table 1.** Distribution of the current soil carbon management resource system in the studied farms (n=25)

Resource system features	Distribution criteria of Resource system features	Farm type resource status	
		Low-fertility farm (n =13)	Moderate-fertility farm (n =12)
Land Capability (Percentage)	Slight but significant limitation	0	67
	Moderate to severe limitation	0	33
	Severe limitation	54	0
	Very severe limitation	46	0
Debts (Percentage)	None	23	59
	Moderate	62	33
	High	15	8
Farm Size (Percentage)	Small farm <500 ha	38	42
	Large farm >500 ha	62	58
Human Capital (Year)	Age	59	63
	Farming experience in locality	26	21

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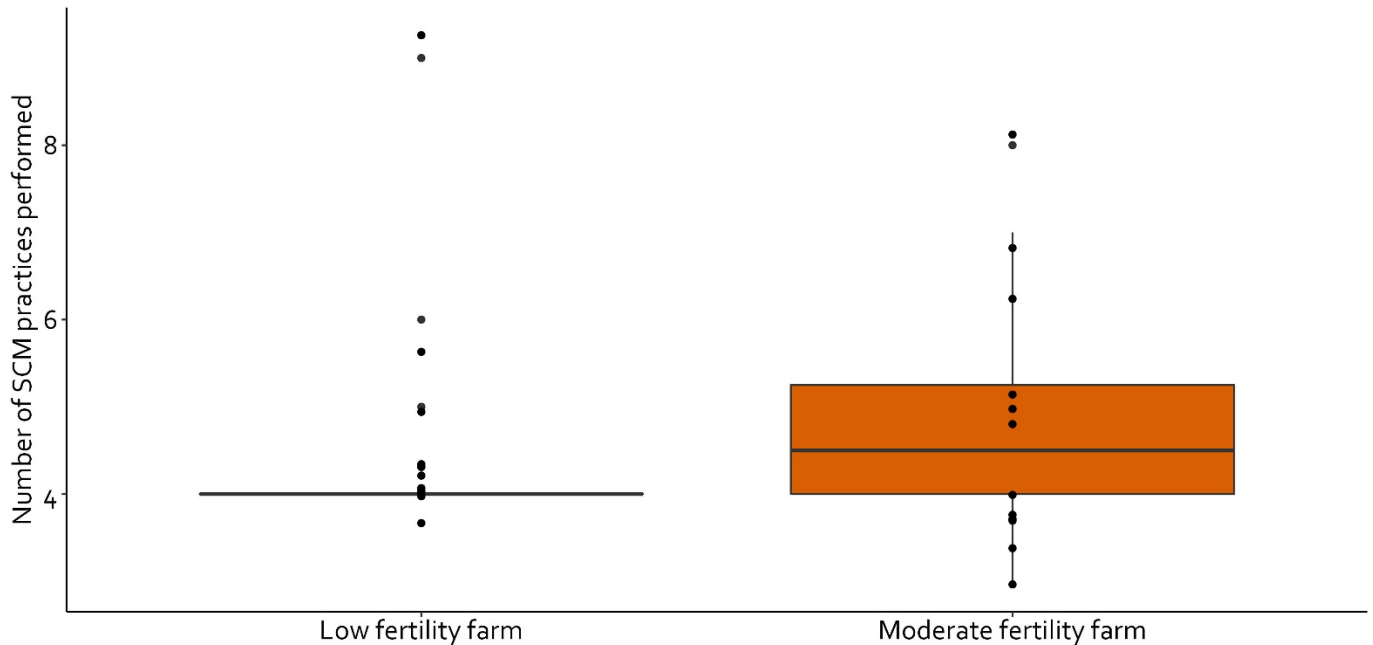
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301

302 **Fig 4.** Application of the current soil carbon management practices (in percentage) in low- and moderate-fertility farms (n=25)

303 All of the interviewed farmers (100%) were undertaking rotational grazing, although farmers referred  
 304 to it differently (SI Table 2). Other than rotational grazing practices, no-till for sowing of introduced  
 305 pasture species, legumes in pasture and tree planting were the most frequently used SCM practices in  
 306 the farms studied. A few farmers were using intercropping with perennial pasture, usually in limited  
 307 trials to understand the future potential for their farm (e.g. F19, F24).



308

309 **Fig. 5.** Distribution of soil carbon management practices (n=11) between the low-fertility and moderate-  
 310 fertility farms in the grazing regimes of the Northern Tablelands and Upper Hunter (n=25)

311 Up to four different types of SCM practices were used by 77% (n= 10) of the low-fertility farms and  
 312 50% (n= 6) of the moderate fertility farms (Fig. 5). Conversely, more than four SCM practices were  
 313 used on 50% of the moderate-fertility farms and 23% of the low-fertility farms Fig 5). However, one  
 314 low-fertility farm (F4) practiced the highest variety of SCM practices (n=9) (Fig 5). The distribution of  
 315 SCM practices varied between the farming cohorts depending on fertility or land capability (Fig 5). A  
 316 point of difference in distribution of SCM practices was that farmers with low-fertility farms were  
 317 undertaking tree planting at a higher proportion (85%) compared to the farmers with moderate-fertility  
 318 farms (50%) (Fig 4). The SCM practice of establishing native vegetation (e.g. grass) other than trees  
 319 (8% to 17%) was low for both farming cohorts and depended on the level or type of existing vegetation  
 320 on the studied farms. The distribution of bio-nutrient use (i.e. nutrients that have bio-active properties)  
 321 was similar for both farmer cohorts (Fig 4). Usually, the addition of nutrients to the soils was in the  
 322 form of manure, compost and biodynamics (i.e., holistic, spiritual and ecological approach to treat soil  
 323 fertility, plant growth and livestock) but this practice was undertaken by less than 30% of those  
 324 interviewed (Fig 4). More than half of the farmers with moderate-fertility (67%) and low-fertility farms



325 (55%) did not apply additional nutrients, although a few farmers were using balanced chemical  
326 fertilizers after soil testing (Fig 4). Three-quarters of the farms (~75%) that had been soil tested were  
327 tested either before or after starting the SCM practices (Fig 4). A quarter of both farming cohorts had  
328 not undertaken soil testing at all.

329 In both farming cohorts, the main goal for undertaking SCM was sustainable farm production.  
330 According to the practicing farmers sustainable farm production related to conservation of soil health  
331 that ensures continuous production even during adverse climatic condition (e.g., prolonged drought).  
332 Precipitation was perceived in both cohorts as being very important for soil carbon storage and pasture  
333 production of the farms. Regardless of underlying soil fertility, both cohorts of farmers perceived that  
334 favourable climatic conditions improve grass production. Thus, the farmers' main focus was on  
335 adapting to the current climatic situation by applying holistic livestock grazing management and  
336 regenerative agricultural practices (Box 1).

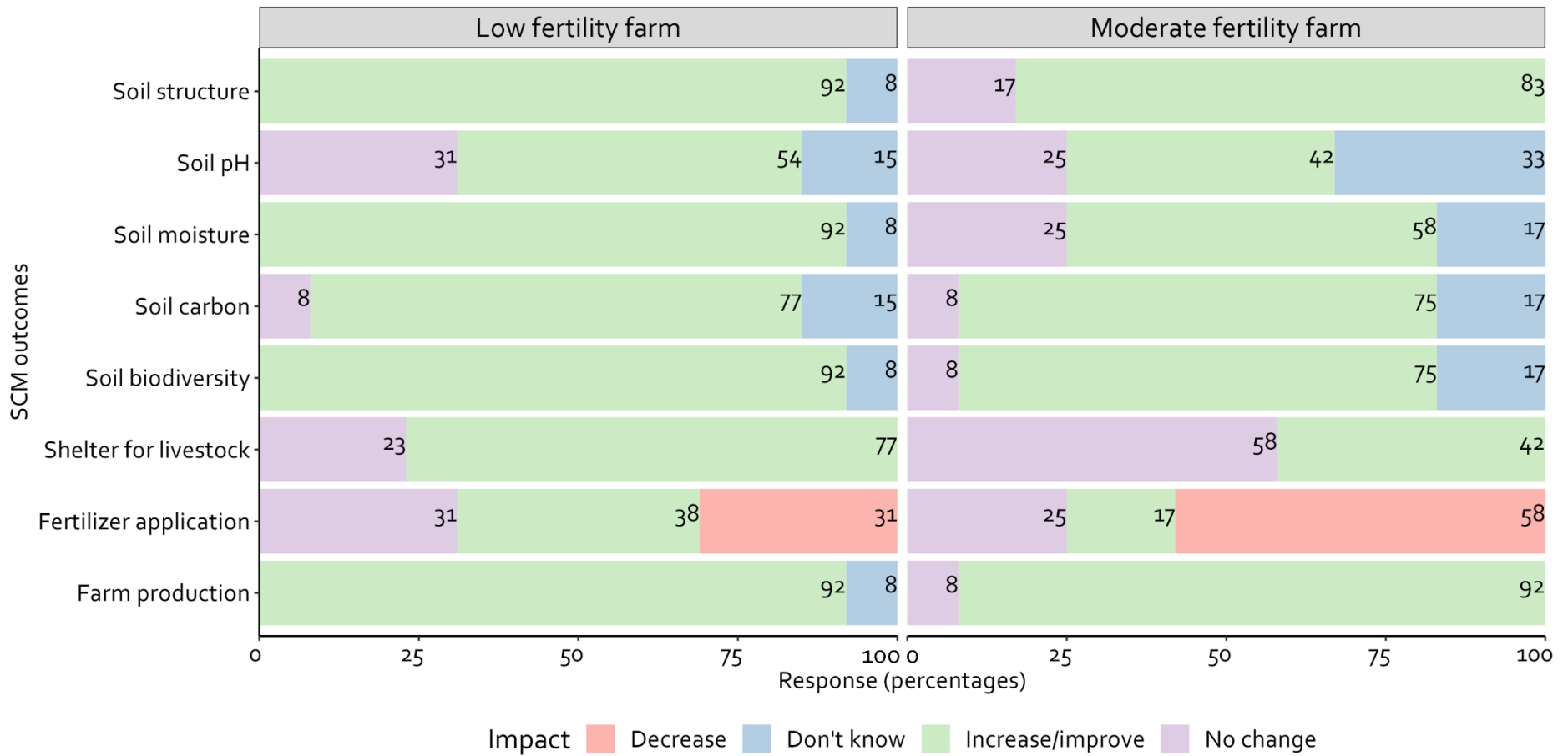
### 337 **3.2 Observation of outcomes of SCM practices by farming cohort**

338 We studied the common and contrasting outcomes of SCM practices on the low-fertility and moderate-  
339 fertility farms. The majority of farmers experienced increased or optimized income throughout the  
340 season after the long-term application of SCM practices, although in the short term, the investment for  
341 installing water management infrastructure and fencing represented a substantial impact on the farm  
342 income. SCM infrastructure along with other SCM costs such as soil testing, manure, fertilizer and  
343 compost were typical concerns when starting the specialized SCM practices for both the moderate-  
344 fertility and low-fertility farms.

345 Both types of farming cohorts explained in their interviews similar agri-environmental benefits after  
346 adopting SCM practices, such as high levels of ground cover throughout the year, even during severe  
347 drought periods, less water erosion and increased soil moisture retention in grazing lands. Farmers from  
348 both cohorts believed that farm production (i.e. pasture and livestock production) had increased  
349 regardless of underlying soil fertility (Fig. 6). A high proportion (85%) of the low-fertility farms were  
350 tree planting (Fig. 4), with a greater proportion of farmers indicating an increase in shelter for livestock  
351 (77%) compared with the moderate-fertility farms (42%), where tree planting was practiced on 50% of  
352 farms (Fig. 6). Moderate-fertility farms (58%) reported a decrease in the use of additional nutrient  
353 applications after introducing SCM practices. More than 50% of the low-fertility farms and 42% of the  
354 moderate-fertility farms reported an increase in soil pH (i.e. became more alkaline) after introducing  
355 SCM, which indicated an improvement in soil condition where soils were normally acidic. A higher  
356 proportion of farmers (92%) in the low-fertility farms indicated improvements in soil moisture retention  
357 and soil structure as these SCM outcomes were associated with higher plant production (Fig. 6).  
358 Similarly, for the moderate-fertility farms, 58% of farmers suggested an increase in soil moisture, and  
359 83% of farmers also suggested an improvement in soil structure. Similar to soil moisture retention,

360 farmers assumed with higher plant growth and soil moisture retention it would have a positive effect on  
361 soil biodiversity, which was also considered to have improved, more so, in the low-fertility farms (92%).  
362 The other benefits mentioned during the interviews were improved mental health even in adverse  
363 climatic events such as drought, minimized soil erosion, maximized water cycling and maximized  
364 nutrient cycling. Enhanced sustainability and good soil health reduced farmers' anxiety about adopting  
365 SCM practices in both cohorts. The farmers' belief in positive changes in soil condition after  
366 introducing SCM reflects the association of multiple benefits with undertaking SCM practices.

367 Both farming cohorts suggested after the introduction of SCM, especially rotational grazing, there was  
368 an increase in grass production with higher levels of ground cover all-year-round (Fig. 6). They  
369 understood that an increase in grass production, and coverage would also lead to greater below ground  
370 biomass, leading to improvements in water retention in situ (i.e less runoff). Thus by undertaking the  
371 current SCM practices, farmers in both cohorts, observed greater plant production, and associate such  
372 improvements with soil health (which could include soil pH, soil organic carbon, and soil structure)  
373 (Fig. 6). Despite not precisely measuring changes in soil condition after introducing a SCM practice,  
374 farmers understood such positive changes in plant production would improve overall soil health (Fig.  
375 3), as reflected in this quote from F8: *“Making the soil a better soil is one big thing, and therefore,  
376 we're able to hold more moisture, we're able to grow more grass .... ...[O]n top of that, we're getting  
377 the reward through that system of storing the carbon....[T]he carbon then helps to make it more  
378 productive as well....[O]ur trees...in some of those areas ... seem to be healthier than they used to be.  
379 So, it's through the management system we're improving this land”.*



380

381 **Fig. 6.** Farmers' judgement of soil carbon management outcomes in the low-fertility farms and moderate-fertility farms of the Northern Tablelands and Upper  
 382 Hunter under rotational grazing regimes (n=25)

### 383 **3.3 Network of SCM outcomes and farmers' SES of SCM**

384 Using network figures (Fig. 7), we visualise the influence of the SCM outcomes and resource unit  
385 features (SCM practices, SCM cost, change of income, agri-environmental benefits) on the other SES  
386 features (resource system, governance system, actors) for moderate-fertility and low-fertility farms. The  
387 network figures show the degree of connectivity (weak or strong) between the SES features (Fig. 7).  
388 What is immediately noticeable is the complexity of the diagrams, which reflects the complexity of the  
389 processes at work in social-ecological systems (Fig. 7). There are many relevant factors of interest (the  
390 features shown as circles) and these interact with multiple other features. Next, it is possible to observe  
391 that some features are more important in the farmers' estimation than others, indicated by larger circles.  
392 Some features interact more frequently with other features, indicated by the number and thickness of  
393 lines radiating out of the feature circle. For both farming cohorts the connectivity between the SES  
394 features indicated by the circle sizes were for the most part similar (Fig. 7). In particular for the soil  
395 health, independent advisor, social network and SCM attitudes features were similar in circle size (i.e.  
396 similar in importance in the network) for both farming cohorts. However, a small number of features  
397 differed in importance, as shown by the circle size of the feature, between the moderate-fertility and  
398 low-fertility farms, and these are discussed in the following section.



400 **Fig. 7.** Soil carbon management features connectivity network based on the influence of SCM outcomes  
401 (in centre) and resource unit features (SCM practices, SCM cost, change of income, agri-environmental  
402 benefits) for (A) Low-fertility farms and (B) Moderate-fertility farms. The circle size relates to the  
403 importance of the feature for SCM. The lines represent connectivity between features. The complete  
404 list of social-ecological system features is provided in SI Table 1.

### 405 **3.3.1 Comparing and contrasting farming cohorts relationships between SES features**

406 Change of income in relation to SCM was found to be slightly less important in the low-fertility farms  
407 compared with the moderate-fertility farms despite SCM cost, SCM practices, agri-environmental  
408 benefits and production potential influencing SCM equally for both farming cohorts. This is shown in  
409 Figure 7 by the slightly smaller circle for 'change of income' in item A (low-fertility farms) compared  
410 with B (moderate-fertility farms), while the other resource unit features were similar in circle size. For  
411 both cohorts, farmers experienced higher costs when initiating SCM practices that lessened over time,  
412 and income improved as SCM practices became more established. The network map also revealed that  
413 SCM outcomes were similar in both farming cohorts for soil water-holding capacity and soil carbon  
414 content (Fig. 7). The positive outcomes resulting from SCM in relation to mental health, landscape  
415 aesthetic, soil moisture, soil biodiversity and soil acidity level were more pronounced in the low-fertility  
416 farms (as indicated by the larger circles for these features in item A) than the moderate-fertility farms,  
417 whereas soil erosion control was considered to be a more important outcome of SCM for the moderate-  
418 fertility farms (i.e. a larger circle for this feature in item B of Fig. 7). The main contribution of current  
419 SCM practices was sustained farm production throughout the year (e.g. pasture, livestock and wool),  
420 which in turn was favourably linked to the mental health of the practicing farmers. Farmers from both  
421 cohorts reported improved farm outcomes compared to conventional farmers during adverse climatic  
422 events such as prolonged drought (the interviews were conducted during the 2019 drought and  
423 bushfires). By retaining soil moisture and improving soil structure, farmers from both cohorts have  
424 maintained high levels of ground cover throughout the year, even in adverse seasons. The positive  
425 mental health benefits for farmers practicing SCM is reflected in the quote from F1: “[T]he big [benefit]  
426 is mental health because you’re never stressed out about anything, so we’re completely destocked at  
427 the moment but the drought has absolutely zero impact on my mental health... [W]hat you’re doing is  
428 reducing soil erosion, you’re fixing up other types of degradation in the system”.

### 429 **3.3.2 Relationships between governance and actors in SES of SCM**

430 The features in the SCM governance and actor categories exhibited a similar pattern of importance to  
431 both farming cohorts (Fig 7). Of the 13 governance features mentioned by farmers (n=25), from most  
432 to least common influences on SCM were: training and education support (96%, n=24), social network  
433 (80%, n=20), soil carbon policy (56%, n=14), and carbon pricing and monitoring (48%, n=12). Of the  
434 eight actor features mentioned by farmers (n=25), from most to least common influences on SCM were:

435 other farmers (100%, n=25), independent advisors (96%, n=24), soil stewardship ethics (68%, n=17),  
436 and government officer (12%, n=4). The complete list of governance and actor features appears in SI  
437 Table 1. The most to least important features (indicated by size of circle) in these two categories were  
438 social network, independent advisors, expert information, trusted expert network, non-government  
439 organization, scientific support, education and training support, government organization and  
440 government officer. However, governance features such as government investment were minor  
441 contributors (i.e. smaller circles) for both cohorts, with moderate-fertility farmers not seeking  
442 government investment on their farms after introducing SCM practices (Fig. 7). The majority of farmers  
443 from both cohorts undertook their current SCM without any support from government organizations,  
444 although a few had received some financial support from state government organizations such as Local  
445 Land Services. Independent advisors were an important source of advice for most interviewed farmers  
446 in both farming cohorts, especially on soil testing or making choices about SCM practices. Moderate-  
447 fertility farms (50%, n=6) were less involved than low-fertility farms with educational institutions for  
448 technical know-how (85%, n=11). Farmers from both cohorts believed they were successful in building  
449 trust among other farmers in the same network and motivating them to adopt SCM.

450 Another difference (albeit smaller) between the two cohorts in the network map (Fig. 7) was technology,  
451 with low-fertility farms experiencing a higher need for available technologies than moderate-fertility  
452 farms. A similar proportion of the interviewed farmers (88%) from both cohorts had received funding  
453 for small on-farm projects, which they used for fencing, soil testing and water management  
454 infrastructure. Farmers from both cohorts would like to have more support to conduct on-farm research  
455 in the form of grants or soil testing from the government or flexible financing from private sources.  
456 Farmers in both farming cohorts believed that government allocation of funding is general and not  
457 specific to different soil and farm types, which is essential when considering SCM. Farmers from the  
458 low-fertility farm cohort emphasized a need for more on-farm research grants compared with moderate-  
459 fertility farms.

460 The network map showed that farmers with moderate-fertility farms would be more confident than the  
461 farmers with low-fertility farms about receiving payments for the SCM practice from the government  
462 (i.e. a larger circle for this feature in item B of Fig. 7), although the feature was less important compared  
463 to other governance features. Farmer confidence in the certainty of payment for SCM from the  
464 government was less pronounced in the low-fertility farms compared to the moderate-fertility farms,  
465 even with improvements (i.e. improved soil moisture, improved soil biodiversity) associated with SCM.  
466 This is because certainty of payment for SCM is singularly focused on soil carbon content, and farmers  
467 from both farming cohorts suggested similar changes in carbon content on their farm soil but with  
468 different levels of effort required. Carbon pricing and monitoring were more important for the farmers  
469 in the low-fertility farm cohort compared with the farmers in the moderate-fertility farm cohort, but  
470 overall, it was poorly connected to other features of SCM (i.e. a larger circle for this feature in item A,

471 and more lines connecting these features, but not necessarily to other features of the network in Fig. 7).  
472 Only a few of the farmers (16%, n=4) expressed an awareness of the carbon pricing and monitoring  
473 mechanism under the Australian Government's ERF. Those who were aware or are participating in the  
474 ERF remain uncertain about the outcomes of the government policy. The quote from F2 demonstrates  
475 the mixed messages around soil carbon sequestration and distance from policy initiatives. *"I understand  
476 that you can do carbon offsets....[A]nd I understand that you can have a covenant for 100 years or  
477 something to, for example, take all the cattle off and look after my native vegetation only. So that would  
478 be a change in farm enterprise. And I'm not interested in those initiatives because I'm not interested in  
479 being involved with the government policy that I feel can change when the government changes....[I]t  
480 seems that there's no long-term planning and I don't have any faith in the system. I'm going to be dead  
481 before 100 years probably, so... it just doesn't seem like a very sensible approach, given that I have no  
482 confidence in the government being able to provide a responsible and long ranging policy around  
483 carbon".*

484 A majority of the farmers (84%, n=21) were attracted to the possibility of location-specific scientific  
485 information from the experts on SCM through a trusted expert network. Most of the farmers were highly  
486 motivated and had adopted their current management practices after completing courses such as holistic  
487 management and seeking out information from different experiences such as field days, seminars or  
488 workshops. Most of the farmers self-funded their participation in courses that were co-incidentally  
489 related to SCM but more closely related to whole-farm management. Most of the interviewed farmers  
490 were interested in further training and educational support to understand the trajectory of their current  
491 SCM. All the interviewed farmers emphasised the role of their social network, and in a majority of the  
492 cases the motivation to undertake SCM originated from the local social network.

493 Again, the features under SCM actors of similar importance in both farming cohorts were SCM  
494 attitudes, independent advisors, scientists, farmers and trust. The influence of soil stewardship ethics on  
495 SCM was more pronounced for the moderate-fertility farms than the low-fertility farms (i.e. a larger  
496 circle for this feature in item B of Fig. 7). In this study, farmers defined soil stewardship ethics as  
497 instilling a sense of soil conservation responsibility from the currently practicing farmers to other  
498 farmers in the community through SCM. Most farmers (88%) argued that government was considering  
499 paying farmers for increasing "storage of carbon in soil"; however, the farmers' main aim is to restore  
500 soil health for better production, which is a process that would not necessarily increase soil carbon  
501 levels. Improving soil carbon in soil is one part of their soil health management agenda, but their agenda  
502 also involves pasture and animal management. According to most of the farmers (87%), soil carbon is  
503 not their sole focus, as reflected in this quote from F2: *"It had nothing to do with the price of soil  
504 carbon....[T]he price of carbon is so low that it's laughable at the moment, but we didn't do it to store  
505 carbon. What we did was to make the landscape as resilient as we could possibly make it, and as*



506 *productive as we could possibly make it, and if we built any soil organic matter or soil organic carbon*  
507 *as a result of that, then that was good”.*

#### 508 **4. Discussion**

##### 509 **4.1. Impact of resource endowment on SCM practices and network features**

510 The SES approach was used to understand the distribution and pattern of farmers’ SCM practices in  
511 grazing regimes of moderate-fertility and low-fertility farms of the NSW Northern Tablelands and  
512 Upper Hunter regions, Australia. This approach is also used in relation to level of resource endowment  
513 in a low fertility farm where there are inherent limitations to soil carbon sequestration. This study thus  
514 revealed the current farm-level SES dynamics in terms of soil fertility of the grazing regimes in sub-  
515 tropical temperate grazing lands of Australia. This study finding also suggested that moderate-fertility  
516 farms have adopted diversified practices for improving soil health and production at the farm level (Fig.  
517 5). There were generally more SCM practices used in moderate-fertility farms than low-fertility farms.  
518 Even though, low-fertility farms chose fewer interventions (e.g. two to four SCM in most of the farms)  
519 (Fig 5), the farmers reported more SCM outcomes (Table 1). The higher prevalence of tree planting  
520 practice on the low-fertility farms, which had areas that were unsuitable for grazing production, and  
521 therefore by planting trees they are gaining other benefits such as shade and shelter for livestock and  
522 landscape aesthetics. Farms with hills and ridges with shallow stony soils might be better off planted to  
523 trees in order to prevent soil erosion, improve amenity value and provide shade and shelter for stock.  
524 Subsidies for the costs of tree planting, would make it more attractive to implement, even with in-kind  
525 labor contributions by farmers. However, the farmers with moderate-fertility farms may not be prepared  
526 to forego production, and the land is too valuable to exclude grazing unless accompanied by other  
527 substantial benefits.

528 The farmers who own the moderate-fertility farms reported less use of additional nutrients after  
529 introducing the SCM practices, which might be because of the inherently higher soil fertility with less  
530 constrained land capability of those farms (Table 1). Low-fertility farms were less likely to reduce  
531 fertilizer applications (Fig. 5), and the land had inherently low capability. Farmers from both farming  
532 cohort perceived similar importance for climate and other non-climatic features (e.g. production  
533 potential, soil health, SCM cost) (Fig 7), which is in contrast to local studies (Rabbi et al. 2015) and  
534 reflects the farm level implications of farmers SCM in the grazing regimes. In relation to management-  
535 induced changes in SOC it was clear from the review of the literature that the scale of measurement was  
536 at a regional or state level where the recognised drivers of SOC are mainly climate, soil type and land  
537 use, but as the scale becomes more fine-grained, to a farm-scale, then land management can have a  
538 greater impact where climatic and soil type conditions are similar. The majority of interviewed farmers  
539 accept the consequences of climate change but by introducing SCM practices, such as rotational grazing  
540 they can be more resilient to its impacts. The influence of soil stewardship ethics on SCM was more

541 pronounced for the moderate-fertility farms than the low-fertility farms because we hypothesize that  
542 the moderate-fertility farms have inherently better land quality and more time to consider the wider  
543 issues of soil stewardship. For example, farmers with moderate-fertility farms use multiple SCM  
544 practices (Fig. 4), while low-fertility farms do not, allowing the former to experiment with SCM options  
545 for soil health improvement with a minimum risk of farm production loss. Regardless of resource  
546 endowment, all farmers considered their social networks to be a platform for sharing their experiences  
547 related to the challenges and opportunities of certain SCM practices to the wider community.

548 Understanding the features that motivates farmers to adopt a particular form of agricultural management  
549 (e.g. climate smart agriculture) can ensure sustainable policies, support materials and incentives are  
550 designed appropriately (Gosnell, 2021). In our study, for both farming cohorts, the outcomes (i.e. soil  
551 moisture, farm production) of SCM practices were the main motivating factor for persisting with SCM.  
552 SCM outcomes such as mental health, soil moisture, biodiversity and pH were more highly connected  
553 to the SCM practices of the low-fertility farms compared with the moderate-fertility farms. Farmers  
554 from both cohorts were in favour of financial support and incentives in the form of training and  
555 education support, and for maintaining the social network for information on SCM. High reliance on  
556 independent advisors was common among the farmers from both cohorts when choosing SCM  
557 management practices (Fig. 7). The interviews revealed that these independent advisors were one of the  
558 most substantial influences on farmers' decisions and behaviours in relation to SCM practices for both  
559 types of farms. An individual adviser supports farmers to adopt SCM practices and often becomes the  
560 main sources of information for understanding the techniques of practices, and achieving sustainable  
561 benefits (Nettle et al. 2018). Government organizations had less influence as actors, whereas private  
562 organizations and an individual's own stewardship ethics were more influential compared with any  
563 other actors in the current SCM system. All of the farmers had medium-to-extensive experience (Table  
564 1) in the existing practices of land management, but there were few instances of systematic long term  
565 monitoring of soil change, through soil testing, with the implementation of an SCM practice, even  
566 though a high proportion of farmers had undertaken soil testing at some point. Despite this lack of  
567 documented evidence, our study showed that the overwhelming experience of farmers was positive in  
568 terms of SCM co-benefits and improving soil health (Fig. 5). Irrespective of farming cohort, soil carbon  
569 stock and the successful outcome of the current SCM practices were captured by "good soil health".  
570 The majority of farmers believed that the reward of their current SCM is agri-environmental benefits  
571 such as improved soil health and soil pH changes, even if they cannot quantify these benefits in precise  
572 terms. Regardless of negative climatic events and physical constraints such as low soil fertility in the  
573 study area long-term capacity to maintain certain level of stocking densities, would help better  
574 understand the "real" impact of approaches that farmers apply as SCM. Compensation or incentives for  
575 storing carbon was just one of the numerous benefits of the SCM practices and one area of government

576 policy most farmers were not cognizant of. Farmers valued real or perceived environmental benefits  
577 over soil carbon storage.

578 This study found that grazing farmers from both farming cohorts have observed improvements in plant  
579 and animal production that have persisted with their grazing management despite socio-economic and  
580 environmental constraints. Farmers from the two farming cohorts experienced varying levels of  
581 confidence in achieving their goals when undertaking the SCM practices, with low-fertility farmers less  
582 confident of the outcomes. Our study also showed farmers in the studied grazing regimes are focused  
583 on a number of outcomes from SCM, including improvements in soil health and farm production of  
584 pasture, wool and meat. Most farmers focus on the agri-environmental benefits of SCM practices by  
585 increasing soil carbon in a holistic manner, more than knowing the actual amount of soil carbon held in  
586 the soil. Therefore, soil carbon credits as a policy lever may not be useful to individual farmers nor have  
587 much influence on their management activities especially for early adopters that are prepared to  
588 undertake SCM without any soil carbon payment.

589

#### 590 **4.2. Challenges and potential opportunities for current SCM practices**

591 The interviewed farmers identified 13 challenges and potential opportunities for future adoption by  
592 other farmers of the current SCM practices (Fig. 8). A Sankey diagram is used to visually highlight the  
593 commonalities and/or differences in the SES features on the basis of the farm's dominant soil fertility.  
594 The key challenge within the resource system is drought, and challenges within the governance system  
595 are carbon trading, finance for labor, fertilizer price and carbon pricing (Fig. 8). In the resource unit and  
596 actor systems, there were more challenges for low-fertility farms than moderate-fertility farms. The  
597 challenges for farmers in the resource unit features were related to soil and land management, such as  
598 implementation of rotational grazing techniques and financing for fencing and water management  
599 infrastructure. Investing in SCM was challenging for farms with low land capability given the  
600 uncertainty of how long the return on investment with improved farm production would take. Thus,  
601 farmers suggested that flexible financing and funding could address this challenge. The motivation for  
602 other farmers to adopt a new practice depends on proof of concept; however, it is extremely challenging  
603 to demonstrate gains on low-fertility farms. Thus, the practicing farmers suggested showcasing their  
604 day-to-day changes in SCM approaches via field days and leveraging their social networks (Fig. 8).

605 Farmers in both farming cohorts (n=6) nominated water and fencing infrastructure development and  
606 drought as major challenges for SCM through rotational grazing (Fig. 8). This is because rotational  
607 grazing requires investment in fencing to create smaller paddocks and providing each paddock with a  
608 watering point, and the interview period also was during the mega-drought of 2019 in Eastern Australia.  
609 Low-fertility farmers struggle more than the moderate-fertility farmers to influence other farmers'  
610 attitudes towards a change in grazing management (Fig. 8). However, a third of farmers in both farming

611 cohorts believed that demonstrating successful SCM and building scientific support around their  
612 grazing management might motivate other farmers to take up rotational grazing practices. Farmers in  
613 the moderate-fertility farms (42%) considered it more difficult to participate in carbon trading and  
614 access a price on carbon compared to the low-fertility farms (23%) (Fig. 8). This difference in  
615 perception between farming cohorts might be related to the moderate-fertility farms, after several  
616 decades of rotational grazing, have reached a new soil carbon equilibrium and unlikely to increase their  
617 soil carbon stocks further (Badgery et al. 2020).

618 For a system to function effectively, actors usually interact with resource unit features directly or  
619 indirectly under the governance system (Petursdottir et al. 2020). However, SCM policy interventions  
620 by government, either at federal or state level, are very weakly connected to the studied grazing regimes,  
621 with negligible interaction with farmers' trusted sources of information or advisors. Most of the  
622 interviewed farmers thought that carbon is currently priced very low, with other studies corroborating  
623 that there is poor understanding and uncertainty about the carbon trading mechanism in Australia  
624 amongst stakeholders (Badgery et al. 2020; Kragt et al. 2016). The potential opportunities proposed by  
625 the farmers from both farming cohorts to resolve these challenges were introducing practice-oriented  
626 schemes e.g., practice-oriented carbon pricing and monitoring mechanisms for particular SCM  
627 approach such as rotational grazing on low-fertility farms and alternative settings for carbon pricing  
628 (Fig. 8). For instance, the practice-oriented schemes and pricing could include allocation of carbon  
629 credits and schemes depending on farmers' current practice length, farm soil condition, and the type of  
630 current and previous practices in terms of soil carbon sequestration potential (Martin and Lawson,  
631 2022). The current scheme in Australia is not considering the SCM currently being practiced and its  
632 effect on soil carbon level; therefore, for these farmers to participate in the scheme they need to acquire  
633 new land. In addition, the potential opportunity proposed to overcome farmers' reticence to participate  
634 in carbon trading was to allocate credits for the co-benefits of SCM (Baumber et al. 2019), and soil  
635 carbon sequestration would then occur as an indirect consequence of practice change.

636 The particular set of challenges experienced by a small proportion of the low-fertility farms (31%), and  
637 were not considered by moderate-fertility farms were lack of knowledge on best management practices,  
638 conversion of cultivable lands to pasture or abandoning agriculture and training on grazing-based land  
639 management such as rotational grazing (e.g. cell grazing, time control grazing, holistic grazing) (Fig.  
640 8). Farmers with the moderate-fertility farms were more agile in adopting a diversified SCM approach  
641 because the inherent land capability of their farms allowed them greater choice of land management  
642 techniques. Thus, they could experiment more in the SCM approach without compromising their farm  
643 production. Securing finance for additional labor was a particular challenge for moderate-fertility farms,  
644 whereas fertilizer price was a particular challenge for the low-fertility farms (Fig. 8). The potential  
645 solutions proposed by most farmers were the provision of flexible financing (52%) by banks and other  
646 financial organizations and using the SCM approach (56%) with a smaller proportion of farmers

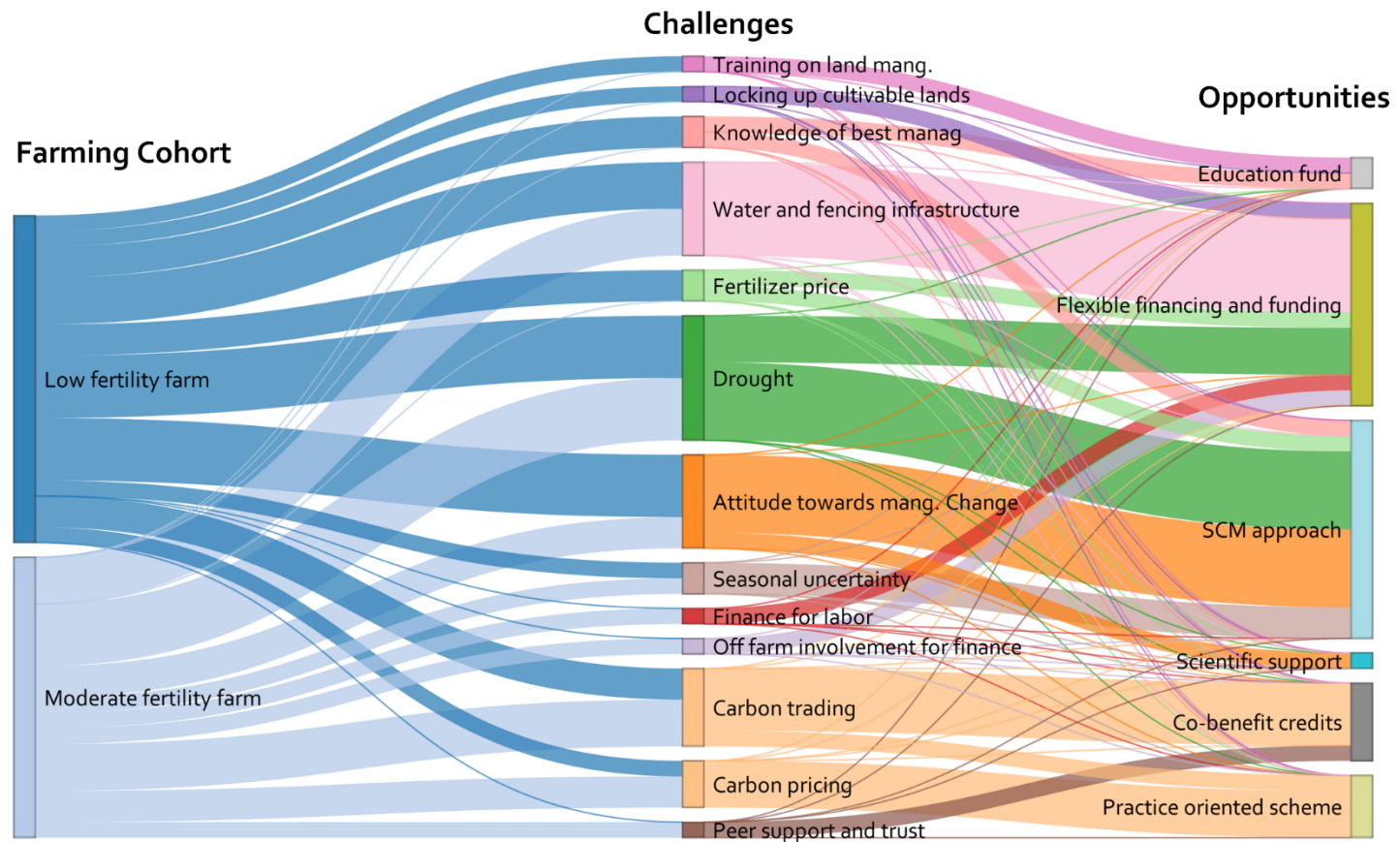
647 suggesting increased training and educational support (8%). A new initiative into the Australian soil  
648 carbon scheme would be funding loans based on improvements to natural capital resulting from  
649 farmers' current management practices, and this could be achieved through a participatory discussion  
650 with the farmers' social networks such as landcare groups or collectives as how best to proceed  
651 (Skaalsveen et al. 2020) (Fig. 8).

652 Although the farmers have experienced weak connections with government organizations in the current  
653 SES, there is an opportunity for governments to contribute via economic incentives or further education.  
654 The experience repeatedly shared by the farmers in the moderate-fertility farms was that the peer  
655 support and trust in their SCM increased after observing the co-benefits of improved soil health, farm  
656 production and ground cover during the recent drought period of 2019–2020 (Figs 5 and 6). Farmers in  
657 both farming cohorts have relied more on independent advisors and organizations such as Landcare  
658 rather than government, and yet have retained a sense of optimism that they can overcome the impact  
659 of drought through their SCM practices with the support of flexible financing (Fig. 8). Farmers from  
660 both farming cohorts (low-fertility farm, 77%; moderate-fertility farm, 33%) believed that other  
661 farmers' negative attitudes towards a change in grazing management could be resolved by sharing their  
662 SCM successes through the farmers' social networks (e.g., neighbours, regenerative agriculture group  
663 or landcare group).

664 The results highlighted the distinctive characteristics of farmers in both the moderate-fertility and low-  
665 fertility farms who have sustained SCM, largely through grazing management, for a number of decades.  
666 The reality that the practices that enabled these farmers to sustain their SCM were largely self-taught  
667 with little external support is instructive for policymakers when considering wider engagement of  
668 landholders in climate change mitigation at the farm level in Australia. Farmers were either unaware of  
669 the details of current initiatives, presumed that government policy was difficult to navigate and were  
670 uncertain about its targets and outcomes. From the farmers' point of view, future potential emissions  
671 reduction mechanisms need to be focused on the whole farming approach to address soil carbon  
672 sequestration at the farm level.

673

674



675

676 **Fig. 8.** Challenges experienced by farmers from low-fertility and moderate-fertility farms (n=25), and proposed potential opportunities under the existing SCM  
 677 practices in grazing regimes categorized according to farm type. Here the weight or thickness of a line indicates the level of connection to other aspects, with  
 678 thicker lines indicating stronger connections, and a single line “no connection” between related challenges and opportunities for each SES feature. The color on  
 679 the extreme right of the Sankey highlights the individual opportunities (right panel) to address challenges of SCM (centre panel) as suggested by the farmers  
 680 from both farming cohorts (left panel).

681 This study found that grazing farmers, especially those with low-fertility soils and low land capability  
682 have persisted with their grazing management despite the obstacles because they have observed  
683 improvements, mainly in grass production and animal health. Even though soil carbon sequestration  
684 and improvement are considered more challenging in low-fertility soils (Abaker et al. 2018), these  
685 famers have maintained a high level of commitment to their grazing regimes. This study revealed that  
686 farmers from both farming cohorts have shown resolve to continue their grazing practices because the  
687 SCM co-benefits are manifold and benefit whole-farm sustainability. Farmers were focused on a  
688 number of benefits they believe accrue from SCM under their current grazing regime, namely soil  
689 health, improved productivity, soil moisture retention, nutrient cycling and increased soil biodiversity  
690 (Amin et al. 2020; Baumber et al. 2019). These SCM co-benefits were similar for both farming cohorts  
691 with the additional focus for those in the more “stressed” SES of mental health and landscape aesthetics.  
692 Although these important SCM co-benefits are not easily quantified compared to other outcomes such  
693 as soil pH, they are particularly important for a resilient SES for SCM in these grazing regimes.

694 Although the SES is based on a small subset of farmers, they represent highly skilled and long-term  
695 practitioners of rotational grazing who have been largely self-taught. The SES under consideration  
696 represents those farmers current SCM in grazing regime of sub-tropical temperate grazing lands in  
697 Australia. Even though it may not reflect the wider community of graziers not presently engaged in  
698 SCM, it could assist them through providing a farmers’ perspective on what contributes to SCM and  
699 what does not help them in their current system. Future research could examine the longitudinal impacts  
700 of grazing management on soil carbon with more investment in long-term research and working with  
701 long-term practitioners of rotational grazing as well as less experienced ones. This evidence-based  
702 approach would then parameterize the anecdotal benefits of SCM that farmers have identified primarily  
703 through observational records on soil moisture, pasture production and financial records, rather than by  
704 soil testing, which has been shown to have a low uptake (Lobry de Bruyn and Andrews 2016). This  
705 study focused on farmers’ perceptions of various aspects of agriculture, including resource quality and  
706 socio-economic capacity, within the context of grazing strategy, SCM, and societal attributes. Future  
707 studies also need to calibrate farmer’s perceptions of resource quality, economic capacity against actual  
708 measurements.

## 709 **5. Conclusion**

710 Farmers from both farming cohorts persisted with their SCM despite the socio-economic and  
711 environmental challenges, even though for the low-fertility farming cohort their level of confidence in  
712 reaching their goal of improved farm production was found to be lower compared to the moderate-  
713 fertility farming cohort. Despite the lower confidence levels in achieving improved farm production for  
714 the low-fertility farming cohort they had a more optimistic assessment of SCM outcomes compared to  
715 the other farming cohort. Importantly, the majority of the studied farmers focused on holistic benefits

716 or whole farm system improvement by managing soil carbon rather than knowing the actual soil carbon  
717 level they had achieved. This study revealed that SES for SCM of long-term practitioners in rotational  
718 grazing needs to be considered for a more targeted, customized and nuanced government policy, and  
719 what may attract less experienced farmers to undertake rotational grazing. Also the experience of  
720 farmers who have managed to sustain their SCM through challenging times needs to be communicated  
721 to younger and less experienced farmers, so that the broader system dynamics that sustain farming and  
722 contribute to improvements in soil carbon sequestration can be addressed.

723

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### 731 **Conflict of interest**

732 The authors declare no competing interests.

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### 736 **Consent for publication**

737 All authors have approved and have agreed to submit the manuscript to this journal.

### 738 **Consent to participate**

739 The authors affirm that human research participants provided informed consent for publication of  
740 collected information.

### 741 **Ethics approval**

742 The human ethics approval of this study was granted by the University of New England, Australia  
743 (approval number HE19-149).

### 744 **Data availability statement**

745 The datasets generated during and/or analyzed during the current study are not publicly available but  
746 are available from the corresponding author on reasonable request.



747 **Code availability**

748 The codes (Rstudio) generated during and/or analyzed during the current study are available from the  
749 corresponding author on reasonable request.

750 **Authors Contribution**

751 Md Nurul Amin: Conceptualization; Data curation; Formal analysis; Methodology; Visualization;  
752 Roles/Writing - original draft. Lisa Lobry de Bruyn: Supervision; Methodology; Writing - review &  
753 editing. Md Sarwar Hossain: Supervision; Writing - review & editing. Andrew Lawson: Supervision;  
754 Writing - review & editing. Brian Wilson: Supervision; Writing - review & editing.

755

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