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Speech onsets and sustained speech contribute differentially to delta and theta speech tracking in auditory cortex

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Abbreviated title

Speech onsets and sustained speech tracking

Abstract

When we attentively listen to an individual's speech, our brain activity dynamically aligns to the incoming acoustic input at multiple timescales. Although this systematic alignment between ongoing brain activity and speech in auditory brain areas is well established, the acoustic events that drive this phase-locking are not fully understood. Here, we use magnetoencephalographic (MEG) recordings of 24 human participants (12 females) while they were listening to a one hour story. We show that whereas speech-brain coupling is associated with sustained acoustic fluctuations in the speech envelope in the theta frequency range (4 - 7 Hz), speech tracking in the low frequency delta (below 1 Hz) was strongest around onsets of speech, like the beginning of a sentence. Crucially, delta tracking in bilateral auditory areas was not sustained after onsets, proposing a delta tracking during continuous speech perception that is driven by speech onsets. We conclude that both onsets and sustained components of speech contribute differentially to speech tracking in delta and theta frequency bands, orchestrating sampling of continuous speech. Thus, our results suggest a temporal dissociation of acoustically driven oscillatory activity in auditory areas during speech tracking, providing valuable implications for orchestration of speech tracking at multiple time scales

Keywords

auditory cortex; magnetoencephalography; mutual-information; speech perception; speech-brain coupling;

1 Introduction

2 Our perceptual system benefits from environmental regularities (Lakatos et al. 2019). When we
3 listen to an individual's speech, amplitude fluctuations of the speech waveform – the so-called
4 *speech envelope* – exhibits temporal regularity at around 5 Hz (Giraud and Poeppel 2012; Ding
5 et al. 2017) (Giraud and Poeppel 2012; Ding et al. 2017; Poeppel and Assaneo 2020). A line of
6 research has shown that the auditory cortex dynamically tracks these fluctuations through the
7 phase of low-frequency oscillations (Luo and Poeppel 2007), a process which is thought to
8 facilitate the parsing and grouping of continuous speech (Giraud and Poeppel 2012) with
9 perceptual relevance (Keitel et al. 2018) and which is conceptually motivated by observations of
10 rhythmic neural activity at different frequencies along the auditory pathway (Giraud and Poeppel
11 2012; Keitel and Gross 2016; Obleser and Kayser 2019; Brodbeck and Simon 2020; Meyer et al.
12 2020).

13 In this line, associations between speech and the brain have been prominently observed in the
14 delta and theta frequency range (Ahissar et al. 2001; Luo and Poeppel 2007; Gross et al. 2013,
15 2013; Ding and Simon 2014, 2014; Kayser, Ince, et al. 2015; Ding et al. 2017; Keitel et al. 2018;
16 Jin et al. 2020); (Ahissar et al. 2001; Luo and Poeppel 2007; Gross et al. 2013; Ding and Simon
17 2014; Kayser, Ince, et al. 2015; Jin et al. 2020), depicted as peaks in the frequency-resolved
18 speech-brain coupling analysis (Gross et al. 2013; Ding and Simon 2014; Ding et al. 2017; Keitel
19 et al. 2018). Speech tracking postulates a simultaneous phase-resetting of ongoing oscillations
20 driven by acoustic landmarks in the speech envelope which in the temporal domain can include
21 acoustic landmarks such as amplitude peaks (Doelling et al. 2014) or edges [peaks in the
22 amplitude's rate of change (Oganian and Chang 2019)]. Crucially, speech tracking analysis
23 benefits from the inclusion of the envelope's rate of change in low delta frequencies (0.6 - 0.8 Hz),
24 but not in the typical theta-band peak at 5 Hz (Chalas et al. 2022), suggesting that speech-brain
25 coupling is differentially driven by distinct temporal landmarks in the delta and theta bands.

26 Speech tracking in the theta band has been interpreted as an index of flexible theta oscillations
27 aligning their phase and marking perceptual segments for further processing (Luo and Poeppel
28 2007; Giraud and Poeppel 2012; Ding and Simon 2014; Doelling et al. 2014) whereas the delta
29 band has been suggested to represent both segmentation of speech components that are closely
30 related to the acoustic structure of speech and linguistic elements (Giraud and Poeppel 2012;
31 Ding et al. 2016; Meyer et al. 2017; Keitel et al. 2018; Boucher et al. 2019; Rimmele et al. 2021).
32 Nevertheless, consensus has not yet been reached regarding the specific role of delta speech
33 tracking and its relationship to theta speech tracking.

34 In natural settings, ongoing sustained speech is interleaved by subsequent periods of silences
35 and speech onsets (Rosen 1992; Zellner 1994), which are crucial for speech segmentation (Dilley
36 and Pitt 2010) and intelligibility (Koning and Wouters 2012). Importantly, neural responses at the
37 beginning of a phrase or a sentence are spatially segregated from sustained responses within
38 superior temporal gyrus (Hamilton et al. 2018). However, different speech components in the time
39 domain (i.e. onsets/offsets and sustained speech) have not been systematically related to neural
40 tracking of speech in the auditory cortex thus far. Therefore, it is yet unclear whether neural
41 tracking of speech at the auditory areas aligns to amplitude fluctuations in ongoing sustained
42 speech or reflects also different temporal landmarks such as speech onsets/offsets at the
43 beginning of a phrase or a sentence.

44 Thus, here we aim to address whether different temporal events in the speech envelope can give
45 rise to differential speech tracking in delta and theta bands in bilateral auditory areas. We focused
46 on 0.6 Hz (delta band) and 5 Hz (theta band) as both frequencies occur as peaks in a recent
47 speech-tracking analysis while only speech tracking in 0.6 Hz was benefited by the inclusion of
48 the envelope's derivative (Chalas et al. 2022). While we take 0.6 Hz and 5 Hz as representative
49 frequencies of the delta and theta band respectively, we also show that results generalize within
50 each respective band. We capitalize on the high signal-to-noise ratio of a one hour-long speech

51 listening MEG dataset (Daube et al. 2019) and focus on the temporal segments that drive speech-
52 tracking in delta (below 1 Hz) and theta (5 Hz). We find that events with high amplitude in low-
53 delta and theta bands in the speech envelope correspond to speech onsets/offsets and ongoing
54 sustained speech, respectively. Overall, our results indicate that both frequency bands contribute
55 to speech tracking but that they do so at different times.

56 **Methods**

57 **Participants and study design**

58 Twenty-four participants (12 female; mean age = 24.0 years, age range 18 - 35 years) participated
59 in this study. All participants provided written consent prior to the experiment and received a
60 monetary compensation of £9 per hour. The study was approved by the College of Science and
61 Engineering Ethics Committee at the University of Glasgow (application number: 300170024).
62 Participants were asked to listen attentively to a 55 minute long audiobook, and they were
63 informed that they would have to answer questions relative to the story at the end of the session.
64 Brain activity was monitored with a 248-magnetometer whole-head MEG system (MAGNES 3600,
65 4-D Neuroimaging) in a magnetically shielded room. Weighted T1-MRI images were obtained
66 prior to the experiment from each individual. MEG Data were acquired at a sampling rate of
67 1017.25 Hz for 10 participants and 2035.51 Hz for 14 participants. Individual head shapes were
68 digitized before each recording via five coils attached to the head. Each MEG session was
69 separated into 6 blocks of ~9.16 minutes. The last 10 seconds of each block were repeated in the
70 following block to allow participants to more easily follow the story. The stimulus was delivered
71 using PsychToolBox (Brainard 1997) with two Etymotic ER-30 insert earphones. To assess
72 whether participants paid attention to the story, they answered 18 multiple choice questions at
73 the end of the recording (3 response options each) with the number of correct options varying

74 between 1-3 per question (mean accuracy 0.95; SD 0.05; range 0.78-1). A different analysis of
75 this dataset has been reported elsewhere (Daube et al. 2019; Chalas et al. 2022).

76 **Data preprocessing**

77 MEG data were processed with the Fieldtrip toolbox (Oostenveld et al. 2011) and in-house Matlab
78 scripts [Matlab 2022a (The MathWorks Inc)]. Bad channels were manually detected and
79 interpolated (spherical-spline) from neighboring channels (mean number of rejected channels per
80 block $M = 3.07$; $SD = 3.64$). Squid jumps were replaced with DC patches and continuous data
81 from the onset of the story were denoised by subtracting the projection of the data on orthogonal
82 basis of the reference channels (using *ft_denoise_pca*). Continuous data were further filtered
83 offline with a high-pass filter of 0.5 Hz (fourth-order forward-reverse zero-phase Butterworth) and
84 downsampled to 100 Hz. Independent components from heartbeats and eye movements were
85 visually isolated and removed using the *runica* function of FieldTrip (mean number of rejected
86 components per block $M = 5$; $SD = 5.3$)

87 **Frequency-specific speech envelope components**

88 First, the amplitude-modulated speech envelope was extracted from the continuous speech signal
89 for each experimental block. For this, 31-channel Log-Mel-Spectrograms (124.1 Hz - 7284.1 Hz)
90 were computed and the wide-band speech envelope was estimated by the sum of the absolute
91 values across bands (Schädler et al. 2012) and further resampled at 100 Hz. We were further
92 interested in frequency-specific components of the speech envelope. For that, we transformed
93 the speech envelope to the frequency domain using a continuous morlet wavelet transform (CWT)
94 for 64 frequencies (0.5 to 40 Hz; *cwtfilterbank.m* in Matlab - *wt.m* performs the actual
95 transformation into the frequency domain; the Morse wavelet had symmetry parameter $\gamma =$
96 3 and time-bandwidth = 10). We selected bands centered on 0.6 Hz and 5 Hz as representative
97 of the delta and theta frequency bands (see Introduction) respectively, and we extracted the

98 absolute values of the complex data as a measure of amplitude along with the phase angles for
99 the frequencies of interest (Figure 1A). While we focused on two frequencies (0.6 and 5 Hz), we
100 show that the results presented here generalize across neighboring frequencies in both bands.

101 We aimed to identify time segments in the speech envelope that show strong power in the delta
102 or theta band. Therefore, we extracted 4-s segments centered on the peaks of the cosine of the
103 phase from the speech envelope (using `findpeaks.m` in Matlab) for frequency bins centered on
104 0.6 Hz and 5 Hz (not wavelet-transformed; Figure 1A; *top panel*). Then we sorted these segments
105 by their mean amplitude (0.6 Hz or 5 Hz). This resulted in data segments with high and low power
106 for 0.6 Hz or 5 Hz. We extracted an equal number of segments for each category (n=886; high
107 and low power for delta and theta). Next, we replaced the data in each segment with the original
108 speech envelope. As a result, we obtained segments of the speech envelope corresponding to
109 the four categories (high and low power for delta and theta; see Figure 1B and 1D for segments
110 for delta and theta, respectively). To characterize the temporal structure of the extracted
111 segments, we clustered those exhibiting high power in the delta and theta frequency band using
112 a k-means algorithm. We estimated the optimal number of clusters using a Silhouette evaluation
113 (function `evalclusters` in matlab) which resulted in $k = 2$ for delta (0.6 Hz; Figure 2A) and $k=4$ for
114 theta (5 Hz; Figure 2D). The resulting temporal patterns correspond to prototypical waveforms of
115 the speech envelope when power in the delta or theta frequency band is high.

116 **Regions of interest**

117 For source analysis, individual T1-weighted MRIs were used to estimate the individuals' head
118 models. MRIs were coregistered to the MEG coordinate system, using the digitized head shapes
119 and an iterative closest point algorithm (Besl and McKay 1992). Single-shell volume conductor
120 models were generated from individual MRI after segmentation to white matter, gray matter, and
121 cerebrospinal fluid (Nolte 2003). To estimate source activity, LCMV Beamformer was used to

122 estimate coefficients from the MEG time series for each voxel on a 5 mm grid (Van Veen et al.
123 1997). The sensor covariance matrix used was computed across all blocks and the λ
124 regularization parameter was set to 0%. Time series were extracted for each dipole orientation,
125 resulting in three time series per voxel. We applied an atlas-based parcellation of cortical space,
126 resulting in 181 ROIs per hemisphere (Glasser et al. 2016). We constrained our analysis to
127 auditory responses so we combined bilateral A1, Mbelt, LBelt, PBelt, and RI parcels, resulting in
128 a combined parcel that is referred to as Early Auditory Cortex. Source time series of these parcels
129 were concatenated across voxels and orientations and we extracted the first three principal
130 components for the speech tracking analysis.

131 **Speech tracking and statistical analysis**

132 We computed statistical dependencies between the speech envelope and the bilateral early
133 auditory cortex on the basis of information theory (Shannon 1948). We estimated mutual
134 information (MI) using Gaussian Copula MI (GCMI) between speech signals and the first three
135 principal components from the regions of interest (Ince et al. 2017). GCMI performs analytical
136 computation of MI between two signals after gaussian copula transformation. This approach has
137 the advantage of being computationally efficient, avoiding the estimation of probability
138 distributions from discrete variables which requires an excessive number of data samples, while
139 it doesn't require an a-priori assumption of the marginal distributions.

140 To identify frequency specific interactions in speech and brain signals, we also applied a
141 continuous wavelet transformation (CWT) for 64 frequencies (from 0.5 Hz to 40 Hz) to each time
142 series extracted from bilateral early auditory cortex (three principal components per ROI; see
143 above). The estimation resulted in GCMI spectra indicating phase alignment between speech and
144 brain at zero delay. Speech-tracking (as depicted in GCMI values) can vary in temporal delays
145 for different frequencies, but we did not have a specific hypothesis for temporal delays operating

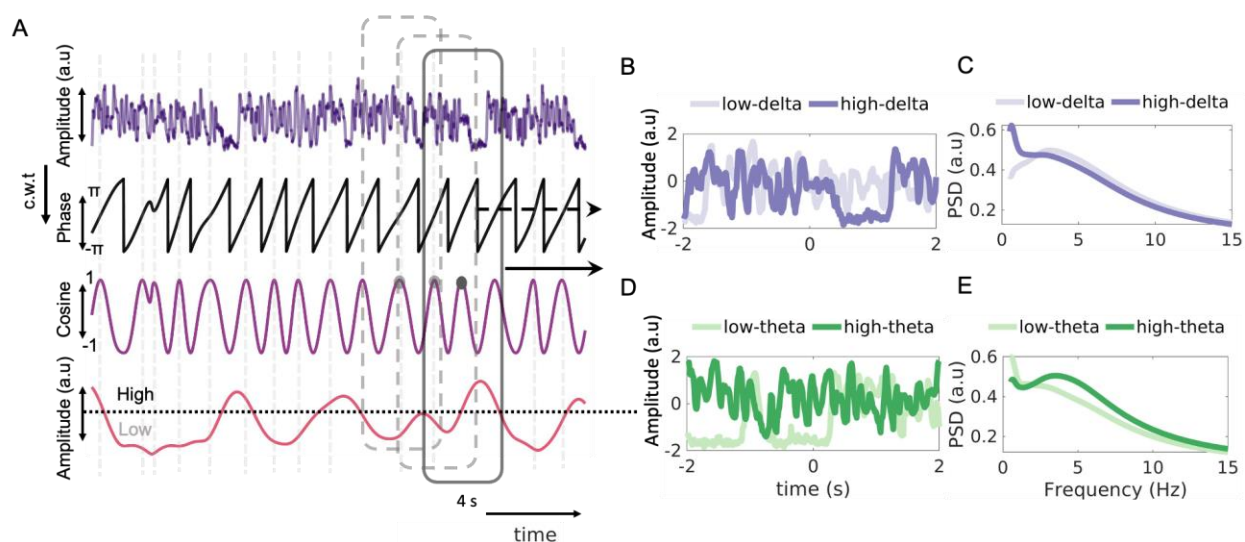
146 for delta and theta frequency bands. Thus, we decided to report speech-brain coupling at zero
147 delay, but we replicated the same analysis for various positive delays (from 10 to 300 ms with
148 steps of 10 ms) and the results were qualitatively similar (see Supplementary Figure 2). Then,
149 significance of GCMI values at the group level were determined with a series of two-tailed
150 permutation tests (Maris and Oostenveld 2007) comparing individual GCMI per parcels,
151 frequencies and conditions (high and low power) and thresholded at $p=0.05$ and corrected for
152 multiple comparisons with False Discovery Rate (Benjamini 2010).

153 Results

154 Speech envelope related to onsets and sustained speech

155 We hypothesized that not all speech segments contribute equally in frequency-resolved
156 speech-brain coupling. To identify temporal segments that differentially lead to delta and theta
157 coupling, first we aimed to characterize temporal segments of the acoustic speech that give rise
158 to high and low amplitudes in delta and theta frequency bands in the speech envelope.

159



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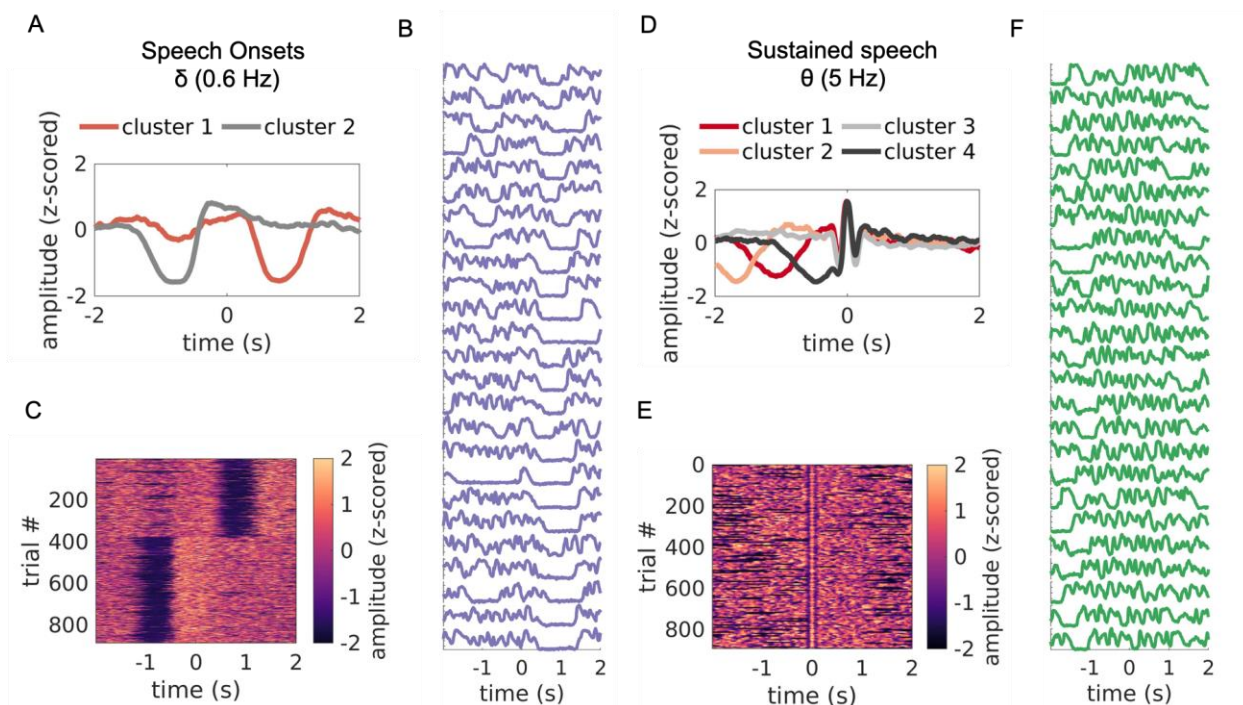
161 **Figure 1: Procedure of identifying segments of high/low power in delta and theta frequency bands.**
162 (A) Speech envelope (top panel) was transformed in the frequency domain with a continuous Morlet wavelet
163 transform (c.w.t). We identified peaks of the cosine of the phase (third row) exhibiting high/low amplitude
164 (fourth row) and we sorted them according to their amplitude to high and low. (B) Examples of single-
165 segments for high (dark purple) and low power at 0.6 Hz (light purple). (C) Power spectral densities for
166 segments with high power at 0.6 Hz (high delta; dark purple) and low power at 0.6 Hz (low theta; light
167 green). (D) Examples of single-segments for high (dark green) and low power in 5 Hz (light green). (E)
168 Power spectral densities for segments with high power in 5 Hz (high theta; dark green) and low power in 5
169 Hz (low theta; light green).

170 In Figure 1B, we plot representative single-trial segments for high and low power in 0.6
171 Hz. We observed that the high-delta segment (dark purple) contains a gap in the speech signal
172 near time 0s, which was absent in a segment with low delta power (light purple). Figure 1C shows
173 the power spectral densities of segments with high and low power at 0.6 Hz (high delta, low delta).
174 It is evident that there is a broad 1/f profile, with increased power at progressively lower
175 frequencies with no clear deviating peak, corroborating that segments with high delta power do
176 not exhibit sustained periodic activity. In contrast, with a similar approach power spectral density
177 of high theta shows a clear peak in 5 Hz (Figure 1E) suggesting sustained speech activity,
178 evidence in single trial segments (Figure 1D; see below for a more detailed description).

179 To identify the temporal structure giving rise to high delta power, high-delta segments were
180 subjected to k-means clustering (k=2; see Methods). Figure 2A shows the two resulting clusters
181 and Figure 2C all the high delta trials sorted according to the k-means clustering. In figure 2B we
182 plot 25 representative segments with high-delta power at 0.6 Hz. It is evident that segments with
183 high delta power at 0.6 Hz correspond to trials containing speech onsets in the envelope, such
184 the ones at the beginning of a sentence (Supplementary figure 1B).

185 We employed a similar approach for the theta band and extracted data segments with
186 high theta amplitude in 5Hz (See Methods). We note that the modulation spectrum of our stimulus
187 material peaks at 5 Hz (Supplementary figure 1C), thus we were expecting sustained periodic
188 activity in the speech envelope segment's exhibiting high theta power in 5 Hz. We identified 858
189 segments with high theta power (Figure 2E). In Figure 1D, we plot representative single-trial

190 segments for high theta power. As expected, the segment with high theta power exhibited
 191 sustained speech activity, opposed to the low theta segment. We wanted to see if this generalizes
 192 to all segments with high theta power. In this case, k-means clustering ($k=4$; see Methods) did
 193 not yield interpretable results as the higher frequency of the theta band results in higher temporal
 194 variability and thus precludes the identification of clear temporal structure (Figure 2D). We
 195 illustrate the temporal structure giving rise to high theta amplitude by plotting the speech envelope
 196 segments with highest theta amplitude. As expected, these speech envelope segments reveal
 197 sustained rhythmic structure in the theta frequency band (Figure 2F).



198
 199 **Figure 2: Onsets and sustained speech components in high delta and theta speech envelope**
 200 **segments:** (A) Clustering of segments exhibiting high power in 0.6 Hz with k-means ($k=2$). (B) Example of
 201 25 segments with high power in 0.6 Hz. (C) Segments of speech envelope with high delta power in 0.6 Hz
 202 sorted according to a k-means algorithm ($k=2$; see Methods). (D) Clustering of segments exhibiting high
 203 power in 5 Hz with k-means ($k=4$). (E) Segments of speech envelope with high theta power in 5 Hz. (F)
 204 Example of 25 segments with high power in 5 Hz.

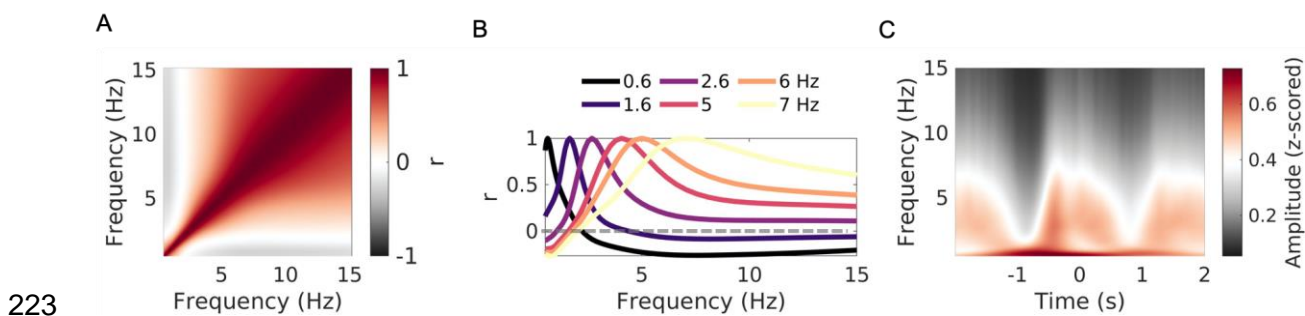
205 In summary, we find that the two frequency bands most often discussed in the literature of speech
 206 tracking, namely delta and theta, correspond to different temporal phenomena in the speech
 207 envelope: While high delta power coincides with abrupt amplitude step changes in the speech

208 envelope (energy resets such as during speech onset), high theta power reflects sustained
209 rhythmic temporal structure at 5 Hz, reflecting the peak at modulation spectra of the stimuli.

210 **High delta and theta speech envelope segments are temporally dissociated**

211 Since speech onsets (associated with high delta power) and sustained speech (related to high
212 theta power) correspond to different time segments in the speech envelope, we hypothesized that
213 the amplitude of both frequency bands is anti-correlated. Figure 3 shows that this is indeed the
214 case. In fact, correlating 0.6 Hz amplitude with the amplitude of all frequencies up to 15 Hz (Figure
215 3A) reveals the strongest negative correlation in the theta band which is not evident for other
216 frequencies ($r(32998) = -0.2$; $p < .001$; Figure 3B)

217 Surprisingly, we observed that the low theta segment (Figure 1D) is mostly characterized by
218 periods of silence, dynamics that we found to characterize high delta segments. This suggested
219 a phase-amplitude relationship between delta and theta segments. Indeed, plotting the grand
220 average amplitude of speech segments locked to high delta events reveals that amplitude of theta
221 follows phase of delta (Figure 3C), which is not the case for other frequencies in the delta band
222 (see Supplementary Figure 2).



224 Figure 3: **Phase and amplitude relation of delta and theta band in the speech envelope.** (A) Correlation
225 matrix for power of 0.5 - 15 Hz after continuous Morlet wavelet transformation of the speech envelope. (B)
226 Individual frequency correlations of 0.6, 1.6, 2.6, 5, 6, and 7 Hz amplitude with all frequencies up to 15 Hz
227 (*bottom*). (C) theta amplitude follows delta phase of 0.6 Hz segments in the speech envelope.

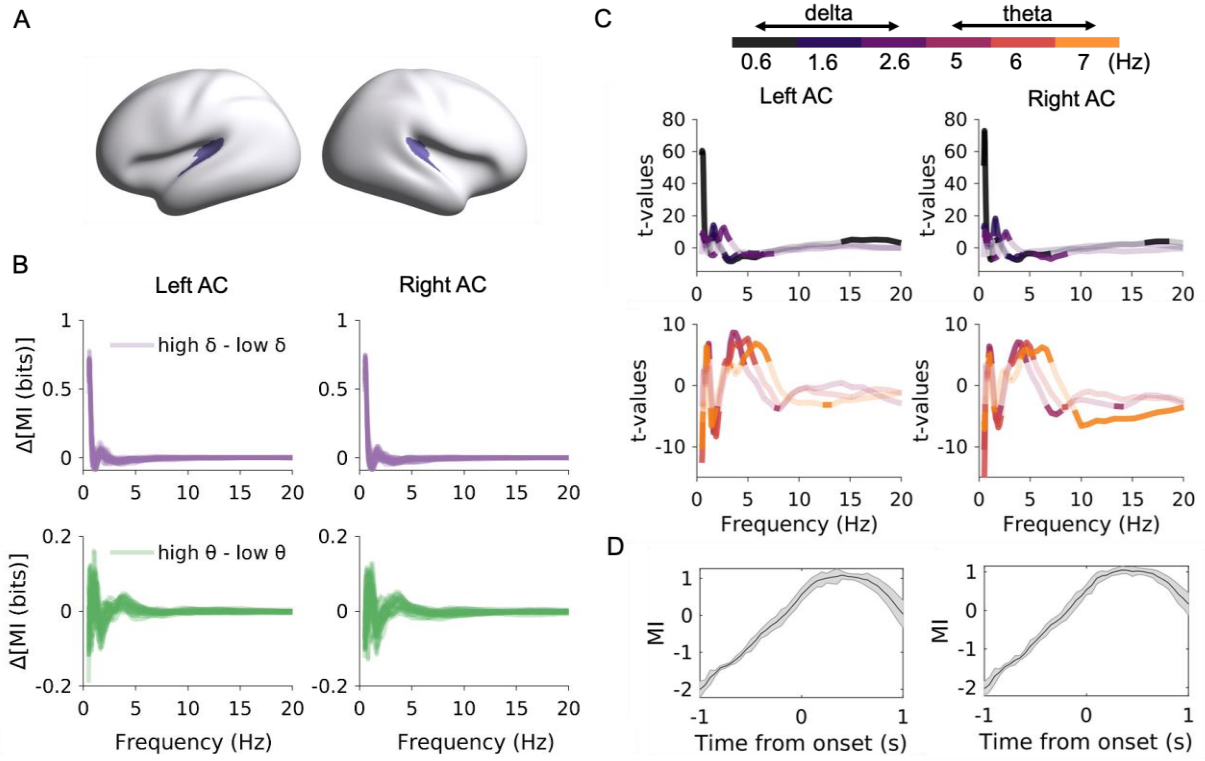
228 **Differential high and low delta and theta speech tracking in auditory areas**

229 We identified temporal segments in the speech envelope that exhibit differences in the amplitude
230 power in the delta and theta frequency bands. Next, we wanted to test whether this high or low
231 delta and theta power in the speech envelope is reflected in speech-brain tracking. To quantify
232 speech tracking, we followed an information-theoretic approach and quantified the frequency-
233 specific interactions of speech envelope segments with source-estimated auditory activity
234 following previously validated measures (Gross et al. 2021). For estimating an individual's
235 auditory activity, we combined activity from early auditory parcels of the HCP-MMP1 atlas,
236 concatenated them, and extracted the first three principal components (see Methods). In Figure
237 4A, we show the cortical location of the parcels that were combined to extract auditory activity.

238 We computed GCMI (Ince et al. 2017) between speech envelope and brain activity from left and
239 right early auditory cortex for time segments of high and low delta power in 0.6 Hz (Figure 4B, *top*
240 *panel*) and statistically compared them to obtain t-value spectra at the group level. The black line
241 in Figure 4C shows the corresponding t-values for high versus low power at 0.6 Hz. As expected,
242 higher delta power at 0.6 Hz in the speech envelope leads to significantly higher GCMI values at
243 this frequency (black line; group statistics; $p < 0.05$; FDR corrected). Interestingly, the same
244 contrast reveals significantly lower theta and higher beta (β) GCMI (group statistics; $p < 0.05$; FDR
245 corrected). This means that time segments with high delta power in the speech envelope
246 (corresponding to speech onsets) are associated with high delta and beta but low theta speech
247 tracking. This effect is significant in the left and right early auditory cortex. To check if this holds
248 for more frequencies inside the delta band, we repeated this analysis for two more frequencies
249 (1.6 and 2.6 Hz) and we found a similar but less prominent effect beyond the 0.6 Hz (Figure 4B,
250 *top panel*). We also directly compared the difference in delta and theta tracking between left and
251 right AC. For that we computed and we statistically compared the difference between high- and
252 low- for delta and theta tracking in left and right AC. We found no significant difference between
253 left and right AC (Supplementary Figure 2).

254 Next, we proceeded with the estimation of speech tracking for high and low theta power in 5 Hz
255 (Figure 4B, *lower panel*). Again, we statistically contrasted them, resulting in t-value spectra at
256 the group level. We find that high theta power in the speech envelope is associated with
257 significantly higher GCMI in the theta band and significantly lower GCMI in the delta and alpha
258 (α) frequency band (red line; group statistics; $p < 0.05$; FDR corrected). To test if this effect
259 generalized in the theta band we repeated the same procedure for different frequencies inside
260 the theta band. As expected, the effect was robust across different frequencies inside the theta
261 frequency range (6 and 7 Hz), indicating speech-tracking in the theta timescale through flexible
262 oscillators, as previously proposed (Giraud and Poeppel 2012; Hyafil et al. 2015; Rimmele et al.
263 2018).

264 In summary, we observed high delta speech tracking to segments containing energy onsets, such
265 as silent gaps before the start of the sentence. We hypothesized that the high GCMI observed is
266 specific to the transition from silence to speech, and thus it would drop after speech unfolds after
267 onset. To test this, we further characterized the time course of delta speech tracking relative to
268 onsets. We computed delta MI between speech envelope and brain activity in left and right early
269 auditory cortices in 2 second-long windows moving from -1 second to 1 second (steps of 50 ms)
270 relative to the center of 450 onsets (Figure 4D). As expected, we observed MI increase around
271 speech onsets. However, this effect was not sustained, but GCMI decreased already shortly after
272 onsets. This further supports our finding that delta speech tracking is maximal near rapid speech
273 envelope changes.



275

276 **Figure 4: High- and low- delta and theta speech components contributing to speech tracking:** (A)
 277 Early auditory areas where regions-of-interest source activity was extracted. Analysis included the first three
 278 principal components per region-of-interest (B) Individuals' speech tracking difference (GCMi values) for 4
 279 second time segments between high and low power in 0.6 Hz (*top panel*) and 5 Hz (*bottom panel*) for left
 280 (*left panels*) and right (*right panels*) auditory early cortex. (C) Statistical comparison for high and low power
 281 in delta and theta speech envelope segments. A two-tailed non-parametric test was applied to compare
 282 high-delta vs low-delta (*top panels*) and high-theta vs low-theta (*bottom panels*) for left (*left panels*) and for
 283 right early auditory cortex (*right panels*). High opacity illustrates statistically significant tracking ($p < 0.05$,
 284 FDR corrected). (D) Speech tracking (GCMi values, z-scored) at 0.6 Hz across delays (steps of 50 ms) for
 285 2 second time segments with high power in 0.6 Hz centered on onsets (n=450).

286

287 Overall, our results indicate that the delta and theta speech tracking is at least partly caused by
288 different temporal patterns in the speech envelope. The delta band in the speech envelope
289 reflects temporal patterns in the speech envelope containing energy resets such as onsets of
290 speech that lead to high phase coupling to brain activity in the auditory cortex. In contrast, theta
291 band activity in the speech envelope corresponds to a sustained, rhythmic pattern and leads to
292 high phase coupling to brain activity in the auditory cortex in the theta band.

293 **Discussion**

294 Systematic speech-brain alignment occurs in two distinct timescales in low frequencies,
295 delta (delta: 0.5 - 3 Hz) and theta (theta: 4 - 7 Hz). Do all temporal segments of continuous speech
296 contribute equally to speech tracking? Here, we find that neural tracking at delta and theta
297 frequencies can be acoustically driven both by temporally dissociated onsets and sustained
298 speech, respectively. Specifically, while tracking in the theta range reflects speech components
299 with sustained periodic activity, neural tracking at the delta frequency range was related to speech
300 segments containing onsets of speech with no sustained periodic activity.

301 **Speech envelope tracking in delta and theta frequency bands**

302 Human communication is rich in temporal complexity (Giraud and Poeppel 2012).
303 Encoding speech with high fidelity requires the human brain to temporally sample speech signals
304 at different scales (Poeppel 2003; Kiebel et al. 2008), resulting in the temporal multiplexing of
305 neural information (Panzeri et al. 2010; Gross et al. 2013). Our analysis links speech tracking
306 measures (in our case, GCMI) in the delta and theta frequency bands to the speech envelope
307 signal. The results are relatively straightforward for the theta band: Time segments of the speech
308 envelope with high theta power are characterized by sustained periodic components in the
309 envelope, which is reflected by a peak in the modulation spectrum of the stimuli (Ding et al. 2017).
310 Statistically comparing speech tracking of segments of high versus low theta power shows

311 significantly higher speech tracking (higher GCMI values) in the theta band for segments with high
312 compared to low theta power. This indicates that time segments in the envelope with highly
313 sustained speech at 5 Hz lead to strong theta speech tracking, consistent with previous reports
314 (Luo and Poeppel 2007; Gross et al. 2013; Zion Golumbic et al. 2013; Ding and Simon 2014).
315 However, we note that these results are based on a statistical contrast of speech tracking between
316 segments categorized by power. A more direct approach would contrast segments of high versus
317 low theta speech tracking, but this is highly non-trivial since speech tracking is quantified with
318 connectivity measures that are computed across many samples or segments. In summary, our
319 findings support the notion that theta speech tracking represents alignment of neural activity to
320 periodic and sustained patterns of speech at the modulation rate.

321 However, that's not the case for the delta band. Speech segments with high delta power coincide
322 with acoustic onsets rather than with low-frequency patterns during sustained speech. More
323 precisely, time points of strongest delta power mark the transition from acoustic gaps to a speech
324 onset. In addition, delta power scales with the magnitude of the acoustic step change where a
325 transition from silence to high acoustic amplitude leads to high delta power. Similar to the theta
326 band, higher delta power in the speech envelope is associated with higher delta speech tracking
327 (and reduced theta speech tracking). Our results show that speech onsets specifically drive delta
328 speech tracking in frequencies below 1 Hz, which in turn is anticorrelated with theta tracking. This
329 is evident both in speech envelope power (Figure 3B) and in speech tracking MI (Figure 4C). Our
330 observed anti-correlation suggests a partial temporal dissociation between both frequency bands.
331 Previously, it was shown that sustained speech (high theta power) and onsets (high delta power)
332 are dissociated spatially within superior temporal gyrus with high-frequency activity (above 70 Hz;
333 (Hamilton et al. 2018) and in the temporal lobe with fMRI (Davis et al. 2011). We find that time
334 periods of high delta power in the speech envelope coincide with periods of low theta power and
335 vice versa, consistent with the view that delta signals represent onsets, whereas theta represents

336 sustained periodicities at the modulation rate. We thus suggest that delta speech tracking – below
337 1 Hz – is not a marker representing continuous tracking of speech features, but rather aligns to
338 salient energy resets during speech perception.

339 **Acoustically driven delta speech tracking**

340 We report acoustic-triggered delta speech tracking related to speech onsets. Previously, delta
341 was found to track characteristic “temporal marks of articulated sounds” (Boucher et al. 2019). In
342 a recent MEG study, speech tracking was higher in delta when compared to continuous white
343 noise or spectrally inverted speech, while theta activity showed sustained coupling across varied
344 conditions (Molinaro and Lizarazu 2018). Traditionally, low-frequency oscillations have been
345 associated with sensory selection (Schroeder and Lakatos 2009). Energy resets (i.e., onsets of
346 speech) could serve as a bottom-up update of the sensory gain to the attentive speech (Obleser
347 and Kayser 2019) following the framework of active sensing in the auditory domain (Bajcsy 1988;
348 Schroeder et al. 2010; Prescott et al. 2011; Bajcsy et al. 2018). Speech-tracking at energy onsets
349 showed also higher coupling in the beta frequency range suggesting an interaction between
350 bottom-up delta and beta, which previously was found to serve top-down predictive streams (Park
351 et al. 2015). This seems to be specific for speech onsets as we observe low alpha and beta
352 tracking during segments with high-theta power. We suggest that low- α during high-theta
353 segments might reflect the cortical-excitability attenuation during high sensory gain, previously
354 reported in an animal study (Kayser, Wilson, et al. 2015) and also related to attenuated temporal
355 anticipation processes during sustained speech (Rohenkohl and Nobre 2011; Samaha et al.
356 2015).

357 For the latter, the high-beta tracking during high-delta segments suggests a delta-beta interaction
358 which might underline temporal predictions during speech onsets (Arnal et al. 2015). In any case,
359 speech perception entails temporal prediction processes (Engel et al. 2001; Arnal and Giraud

360 2012; Rimmele et al. 2018). As speech in natural settings does not show robust temporal
361 rhythmicity in all timescales, acoustic edges at the delta timescale could provide the temporal
362 coding for adjusting and re-aligning neural tracking in multiple timescales. Temporal expectations
363 align delta oscillations in time in rhythmic (Stefanics et al. 2010; Herbst and Obleser 2019; Daume
364 et al. 2021) and non-rhythmic tasks (Daume et al. 2021). During speech perception, delta tracking
365 was previously disrupted when randomly altering the length of silences, yielding the speech rate
366 temporally irregular (Kayser, Ince, et al. 2015). As temporal processing is relevant to the motor
367 system (Chen et al. 2008), motor origins of temporal predictions in auditory tasks have also been
368 previously described in delta band (Morillon and Baillet 2017) with relevance for speech
369 perception (Morillon et al. 2019) suggesting a motor control over delta speech tracking, which
370 remained out of the scope of this study and thus requires further investigation. In any case, we
371 suggest that delta speech tracking during speech onsets employs temporal predictive processes.

372 Previously, delta tracking has also been implied to track linguistic elements of speech.
373 Specifically, spectral peaks were observed corresponding to phrasal units (using isochronous
374 syllable sequence = 1 Hz), indicating that delta oscillations may align to linguistic content,
375 irrespective of acoustic evidence (Ding et al. 2016). Despite a lack of acoustic cues, participants
376 were able to chunk syntactic phrases through the phase of delta oscillations (Meyer et al. 2017).
377 delta-phase coupling to speech envelope has been previously reported (Bourguignon et al. 2013)
378 and it was found to be stronger in forward compared to backward-played speech (Gross et al.
379 2013; Park et al. 2015), which also argues against purely acoustic-driven delta tracking. Though,
380 while the energy modulations between forward and backward speech are equal, the listener's
381 attention level – and thus speech tracking – is inherently affected (Zion Golumbic et al. 2013).
382 Delta tracking has been associated with phrasal structure (Keitel et al. 2018), with syntactic
383 phrases sharing common structure and timing, while being distinguishable due to prominent
384 energy resets (i.e., pauses). Thus, it remains unclear to what extent delta activity in auditory areas

385 reflects knowledge-based linguistic units, or whether it is driven by certain events of the speech
386 as stress, intonation, or pitch contour (Himmelman et al. 2018). Disentangling purely syntactic
387 markers from acoustic events remains challenging. Even if clear prosodic influences are absent,
388 top-down implicit prosodic features may still influence processing (Glushko et al. 2020;
389 Kalenkovich et al. 2022), thus interfering with bottom-up acoustic and top-down linguistic
390 encoding of spoken narratives (Jin et al. 2020).

391 A limitation of our study is that we proceeded with a description of the speech signal only in terms
392 of acoustic dynamics. As mentioned, linguistic content is also known to influence speech tracking
393 (Peelle et al. 2013; Haegens and Zion Golumbic 2018; Rimmele et al. 2018) which might interfere
394 with onset-related delta tracking. Further research needs to address this potential confound.
395 Relevant to that, another drawback is the subsequent interpretation of delta and theta tracking,
396 after the identification of higher- and lower-power segments in the frequencies of interest (0.6 and
397 5 Hz). Inevitably, this approach does not allow the characterization of other – temporal – features
398 in the speech signal (besides acoustic step functions) that give rise to delta speech tracking.
399 Furthermore, while our dataset has the advantage of high signal-to-noise ratio, it lacks
400 experimental conditions and measures of behavioral performance, in which the relevance of high
401 power delta events could be directly tested at the neural and behavioral level.

402 Our analysis focused on speech envelope without considering linguistic annotations of sentence,
403 phrase or word onsets. Thus, we find that sharp acoustic transitions from silence to energy onsets
404 are adequate to result in delta speech tracking in bilateral auditory cortex, irrespective of high-
405 level constructs such as sentence, phrase or word onsets. Although, inherently in a natural
406 speech setting (i.e listening to an audiobook) the silent pauses most frequently mark the beginning
407 of a phrase or a sentence. In supplementary figure 1B we show that the length of silences marking
408 sentence onsets match the speech onsets that we find to contribute to delta speech tracking. This
409 is not a direct comparison - which remained outside the scope of this study - but provides a

410 valuable indication that the speech onsets described here can mark the beginning of a sentence
411 or a phrase. We note that in a natural speech setting, the annotation of ‘phrases’ is not trivial and
412 the length and total number can vary according to dependency definition parameters, so we
413 mainly focused on the sentences onsets. Importantly, we find that delta speech tracking is specific
414 to pauses before- and drops after- an onset (analysis in figure 4D). That rules out the possibility
415 that the delta speech tracking described in the study serves as a processing mechanism of a
416 whole sentence, as we find it to be active and temporally specific during the pauses.

417 In summary, our results indicate that the strongest drivers in the speech envelope for speech-
418 brain coupling differ for delta and theta. Theta effects are strongest during sustained speech while
419 delta effects are strongest around gaps. While this does not rule out a role of delta rhythms in
420 chunking it calls for caution when interpreting speech-brain coupling - especially in the delta band.

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427 **Conflicts of Interest**

428 The authors declare no conflict of interest.

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