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METHOD ARTICLE

REVISED Transcranial direct current stimulation with functional

magnetic resonance imaging: a detailed validation and

operational guide [version 2; peer review: 1 approved, 3

approved with reservations]

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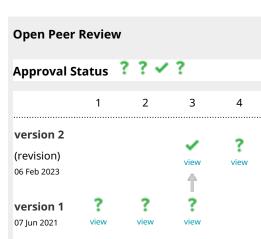
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Abstract

Introduction: Transcranial direct current stimulation (tDCS) is a noninvasive brain stimulation technique used to modulate human brain and behavioural function in both research and clinical interventions. The combination of functional magnetic resonance imaging (fMRI) with tDCS enables researchers to directly test causal contributions of stimulated brain regions, answering questions about the physiology and neural mechanisms underlying behaviour. Despite the promise of the technique, advances have been hampered by technical challenges and methodological variability between studies, confounding comparability/replicability.

Methods: Here tDCS-fMRI at 3T was developed for a series of experiments investigating language recovery after stroke. To validate the method, one healthy volunteer completed an fMRI paradigm with three conditions: No-tDCS, Sham-tDCS, Anodal-tDCS. MR data were analysed with region-of-interest (ROI) analyses of the electrodes and reference site.

Results: Quality assessment indicated no visible signal dropouts or distortions in the brain introduced by the tDCS equipment. After modelling scanner drift, motion-related variance, and temporal autocorrelation, we found that functional MR sensitivity was not degraded or adversely affected by the tDCS set-up and stimulation protocol across conditions in grey matter and in the three ROIs. **Discussion**: Key safety factors and risk mitigation strategies that must



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be taken into consideration when integrating tDCS into an fMRI environment are outlined. To obtain reliable results, we provide practical solutions to technical challenges and complications of the method. It is hoped that sharing these data and Standard Operation Procedure (SOP) will promote methodological replication in future studies, enhancing the quality of tDCS-fMRI application, and improve the reliability of scientific results in this field.

Conclusions: Our method and data provide a technically safe, reliable tDCS-fMRI procedure to obtain high quality MR data. The detailed framework of the SOP systematically reports the technical and procedural elements of our tDCS-fMRI approach, which can be adopted and prove useful in future studies.

Keywords

transcranial direct current stimulation, transcranial electrical brain stimulation, fMRI, functional magnetic resonance imaging, standard operating procedure, safety factors, technical challenges, implementation guide

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Any reports and responses or comments on the article can be found at the end of the article.

REVISED Amendments from Version 1

The revised version of the article contains a number of new features:

1) We formally assess our data using two-sample Kolmogorov-Smirnov tests, and include a table with statistical results;

2) We acknowledge the diversity of current tES methods, protocols and parameters, and present our guideline as a specific tDCS-fMRI setup;

3) We provide further details about our tDCS protocol, montage and parameters used;

4) We introduce and justify the use of the metrics we adopted (t-score of the mean);

5) We broaden the discussion, including the overlap with a recent consensus guide assessing the methodological quality of concurrent tES-fMRI studies (Ekhtiari *et al.*, 2022), and we acknowledge the possible limitations of our protocol and data with respect to other protocols, montages and parameters;

6) We discuss the issue of head repositioning in the scanner as a major influence on tDCS results.

Any further responses from the reviewers can be found at the end of the article

Introduction

Transcranial direct current stimulation (tDCS) is one of several methods of non-invasive transcranial electrical brain stimulation (tES). There is a great deal of variability of protocols that can be used depending on the research questions at hand, but with healthy volunteers these will typically use a small current (1-2 mA) applied via scalp electrodes for up to 20 minutes in human volunteers, although a longer duration of up to 40 minutes has been adopted more recently (Pinto et al., 2018). During tDCS stimulation, current flows between the surface electrodes - passing through the brain to complete a circuit. Increasing interest in the technique has stemmed from a desire to explore and alter the physiological mechanisms underlying basic human motor, perceptual and cognitive processes (Nitsche et al., 2008). Its immediate and long-lasting effects, albeit with unpredictable cognitive results (Thair et al., 2017), its safety and tolerability (Antal et al., 2017; Bikson et al., 2016), non-complex technical requirements, and low cost (Woods et al., 2016) have made it an attractive treatment option for several neurological and psychiatric disorders (Brunoni et al., 2019; Schulz et al., 2013). However, the neural mechanisms by which tDCS modulates human brain and behavioural function are still unclear. An increased understanding of these mechanisms would allow more effective and individualised targeted interventions to be developed.

With the advent of magnetic resonance imaging (MRI)compatible tES devices, concurrent tDCS and functional magnetic resonance imaging (fMRI) is technically feasible. Using the "perturb and measure" approach (Paus, 2005) the casual contributions of a stimulated brain region's function can be directly assessed online, during (cognitive) task performance, offering researchers a unique opportunity to answer basic questions about underlying physiology. Combined with the high spatial resolution that fMRI offers across the entire brain, research has shown that tDCS effects are not spatially restricted to the brain region directly underneath the stimulating electrode. Indeed, tDCS affects multiple regions due in part to distributed current flow and brain connectivity (Abellaneda-Perez et al., 2020; Mondino et al., 2020), which can include anatomically distant, but functionally connected, regions (Chib et al., 2013; Stagg et al., 2013). This has resulted in a number of important guides published on the technique (Meinzer et al., 2014; Thair et al., 2017; Woods et al., 2016). A number of additional studies have illustrated that the technique can be conducted safely (e.g., minimising risk of local electrode heating and skin burning) without posing severe data quality constraints as long as proper procedures are followed (Antal et al., 2014; Esmaeilpour et al., 2020). Given the broad range of stimulation procedures that can be used and research questions that can be asked, it is imperative that any methodological variability be minimised so that the biological and task-relevant variance can be isolated and better understood. However, methodological variability between studies has limited the capacity to compare studies and replicate findings (Esmaeilpour et al., 2020); although a recent consensus guide has started to pave the way to resolving this issue (Ekhtiari et al., 2022).

Surprisingly, despite an increasing number of research Labs using tES and fMRI, a recent systematic review of 222 tES-fMRI experiments (181 tDCS) published before February 1, 2019, found there were no two studies with the same methodological parameters to replicate findings (Ghobadi-Azbari et al., 2020). The authors concluded that, because the methodology progressed largely independently between different research groups, it contributed to diverse protocols and findings across research groups. Importantly, the heterogeneous mixture of findings, cannot always be interpreted independently from the methodological parameters (Nitsche et al., 2015). Indeed, concurrent tES-fMRI studies are more susceptible to artefactual noise than other fMRI scenarios and may risk false positive BOLD (blood oxygen level dependent) signal results (Antal et al., 2014; Woods et al., 2016). Very few studies have provided data on change in the magnetic fields or functional sensitivity in relation to concurrent tDCS-fMRI, the magnitude and nature of which are likely to depend on the exact experimental setup within each Lab, for each fMRI paradigm. This highlights the need for careful consideration of tDCS-fMRI results and how the lack of methodological overlap between studies to date (but see Ekhtiari et al., 2022) makes any meta-analysis and/or conclusion about mechanistic effects of tDCS extremely challenging.

In sum, tES studies to date have involved a diversity of research questions, populations tested, and theories of underlying neurophysiological mechanisms, in conjunction with variability in methodologies and parameters adopted. We therefore sought to provide an operational guide that illustrates, in detail, the various procedural steps involved, and mitigation strategies that can be adopted, in order to minimise (or even rule out) the likelihood that differences in methodological implementation

drive variability in findings between studies. This is provided in the form of a step-by-step standard operating procedure (SOP; Nardo et al., 2021a; https://doi.org/10.5281/zenodo.7569833) that governs safe operation of tDCS-fMRI at the Wellcome Centre for Human Neuroimaging (WCHN). The SOP was designed to provide sufficiently detailed methodological information so that publicly available code can be used to replicate the findings reported here. The SOP was developed for a series of concurrent tDCS-fMRI experiments using conventional tDCS configurations and frontal montages. In principle, this can be adapted for any study which uses fMRI to investigate the mechanisms underlying tDCS effects (cf. Discussion). At the time of writing, we have collected high quality data from over 36 stroke patients with aphasia with no reported adverse events or tolerance issues (Ondobaka et al., 2020). The same fMRI-tDCS procedure has also been well tolerated by healthy older adults (Holland et al., 2011; Nardo et al., 2021b). In both studies, participants were unable to reliably detect differences between the stimulation conditions (i.e., anodal 2 mA and sham tDCS).

Here we focus on methods required for the safe use of tDCS equipment in the MRI environment, whilst maintaining high image quality to obtain reliable fMRI results. Detailed investigation of a single subject's data across stimulation conditions compared to a baseline, No-tDCS condition, is used to illustrate the validity of the approach. This is followed by a discussion of the key risk factors (safety and image artefact) associated with concurrent tDCS-fMRI, and the risk mitigation strategies we have implemented. By sharing this information, we aim to aid replication of methodological approaches across studies and sites and by consequence increase replicability of published evidence in the field.

Methods

Ethical considerations

Data were acquired with approval from the UCL Research Ethics Committee (8711/001) and with the informed written consent of a healthy participant (female, scientist, 46 years old) recruited within UCL in September 2020 at the WCHN.

tDCS equipment and procedure

We used MR-compatible tDCS equipment (NeuroConn DC-stimulator) to apply 2 mA anodal tDCS delivered for 20 minutes to the frontal cortex using rectangular rubber electrodes (5×7 cm), allowing for a current density of 0.057 mA/cm2 (Holland et al., 2011). The anode was placed over the left inferior frontal cortex (in correspondence with position FC5 in the 10-20 EEG system), whereas the cathode was placed over the contralateral frontopolar cortex (corresponding to FP2). For anodal stimulation, the current was increased slowly (ramp-up phase) during the first 15 seconds to the desired stimulation threshold (2 mA), then a constant direct current (2 mA) was delivered for 20 minutes. At the end of the stimulation period, the current was decreased to 0 mA over 1 second (ramp-down). For sham stimulation, the ramp-up phase was followed by 15 second of 2 mA stimulation, which was immediately followed by a 1 second ramp-down phase. The stimulator works

with a pre-defined impedance limit ≤ 10 ohm, above which the device does not operate. We ensured that the impedance was as small as possible in both anodal and sham stimulation conditions. In the full Standard Operating Procedure (SOP; Nardo et al., 2021a; https://doi.org/10.5281/zenodo.7569833), we report the detailed procedure step by step. In what follows we only report a brief summary, highlighting key steps we took to optimise our method. In addition to screening for any MRI contraindications, such as metallic implants, a pacemaker or claustrophobia, the participant was screened for additional tDCS-specific contraindications (Poreisz et al., 2007) including: the use of any stimulators or implants, or history of severe or frequent migraines, epilepsy or head trauma because neurochemical changes may modify the flow of the current (Datta et al., 2010). tDCS equipment was set-up in three different environments as per equipment safety guidelines: 1) Testing room - for the initial tDCS electrode setup and testing (DaSilva et al., 2011), to ensure the participant could tolerate the stimulation sensation (Fertonani et al., 2015) and was happy to proceed to the MR environment; 2) MR Control room - where the non-MR compatible tDCS stimulator components reside; 3) Scan room - where the MR compatible tDCS electrodes and stimulator components were used. Before coming to the scanner, participants underwent MR-safety checks and tDCS impedance checks in the Testing room. EEG conductive paste (Ten20) was used as the electrode contact medium, and 3M Coban elastic wrap bandage was used to secure the electrodes in place. In the MR Control room, the tDCS stimulator was placed inside a tailor-made radiofrequency (RF) shielded box during the experiment, to minimise any RF interference between the Scan Room and the external environment. tDCS stimulation was initiated by the scanner via a Fibre-Optic trigger. In the Scan room a tailor-made foam base was used to facilitate equipment and cable set-up in the scanner bore, and guarantee consistent placement of the tDCS cabling across sessions. The participant was connected to the tDCS equipment ensuring that no loops were created in the scanner, or at any other point along the length of the various tDCS cabling in the Scan Room. A detailed procedure to safely remove participants from the scanner and tDCS equipment in case of emergency is also provided in our full SOP (Nardo et al., 2021a). Our procedure involved three people (typically two researchers and one radiographer), whose roles and responsibilities are defined in detail.

Quality assessment of MR images

In MRI, the precessional frequency is directly proportional to the magnetic field. We therefore mapped the deviation of the frequency from the expected resonance condition at 3T to examine whether or not the inhomogeneity of the magnetic field is increased by the introduction of the tDCS equipment.

The amplitude of the MRI signal relative to fluctuations that occur over time is typically characterised by the temporal signal-to-noise ratio (tSNR). The t-score of the mean is a similar measure that can be obtained directly from a general linear model (GLM) analysis. This measure additionally accounts for factors such as temporal auto-correlation, scanner drift, and motion-related variance and has previously been shown to be a better proxy for functional sensitivity than tSNR (cf. Corbin et al., 2018). In the simple case of the design matrix being a unitary vector, with length corresponding to the number of temporal samples, and there being no temporal correlation in the data, this metric reduces to the commonly used temporal signal-to-noise ratio (tSNR) weighted by the square root of the number of samples. We computed this functional sensitivity metric both at the whole-brain level, restricted to grey matter tissue, and within three regions-of-interest (ROIs) located beneath the anodal electrode, the cathodal electrode, and an independent site remote from the electrodes, which was used as a reference. This was done for each of the three stimulation conditions (i.e., No-tDCS setup in place, Sham-tDCS, and Anodal-tDCS). The t-score testing for the mean signal was computed using the Statistical Parametric Mapping software (SPM12) running under Matlab 2020a (MathWorks, Natick, MA). Matlab scripts for the analyses carried out can be accessed here (Nardo et al., 2021a; https://doi.org/10.5281/zenodo.7569833). Matlab scripts are largely compatible with and may be run in the open source alternative GNU Octave.

fMRI acquisition

MR data were collected using a 20-channel head coil on a 3T Siemens PrismaFit system (Siemens, Erlangen, Germany) at the WCHN. Data included a T1-weighted MPRAGE acquisition for anatomical reference (TR = 2.53 s, TE = 3.34 ms, voxel size = $1 \times 1 \times 1$ mm³, field of view = $256 \times 256 \times 176$ mm³), field maps of the B₀ field inhomogeneity and corresponding voxel displacement maps were derived from a dual echo gradient-echo acquisition. This field map was subsequently used to apply distortion correction to the functional images, which were T2*-weighted echo-planar images (EPI) and acquired with the following parameters: TR = 3.36 s, TE = 30 ms, 48 axial slices with ascending slice ordering, slice thickness = 2.5 mm, inter-slice gap = 0.5 mm, in-plane resolution = 3×3 mm, flipangle 90°. A total of 70 volumes (65 of interest and 5 dummies) were acquired in each of three consecutive runs, lasting approximately 4 minutes each. There was no task beyond the use, or not, of the tDCS stimulation.

fMRI preprocessing

Functional data were preprocessed and analysed in native space as defined by (i.e., following co-registration to) the anatomical image using the Statistical Parametric Mapping software (SPM12) running under Matlab 2020a (MathWorks, Natick, MA). All functional volumes of interest (the first five volumes were discarded to allow the magnetisation to reach steady state) were realigned and unwarped using the session-specific voxel displacement maps derived from the B_0 mapping data. The structural image was segmented into grey matter, white matter, and cerebrospinal fluid.

fMRI analysis

Statistical analyses were performed in a run-specific fashion. Parameter estimates were calculated for all brain voxels using the General Linear Model (GLM) in SPM12. To remove any low-frequency scanner drifts, data were high-pass filtered using a set of discrete cosine functions with a cut-off period of 128s. A GLM consisting of the nuisance regressors describing motion-related variance (given the experiment was task-free) and temporal autocorrelation was evaluated, and the t-score testing for the mean signal extracted.

Regions-of-interest (ROIs)

To test more specifically for any local changes within the vicinity of the stimulating electrodes, a series of regions of interest (ROIs) were created in two steps. First, spheres with a 40 mm radius were created around the cortical projections (using the anatomical image as a reference) of the anode electrode (corresponding to FC5 in a 10-20 system), cathode electrode (corresponding to FP2), and an independent site remote from both electrodes as a reference (corresponding to PZ), using the **MarsBaR** toolbox for SPM. Second, each of these spherical ROIs (in native space) was (inclusively) combined with the whole-brain segmented grey matter tissue from the T1-weighted image. Figure 1 illustrates the locations of the three ROIs tested overlaid on axial brain sections.

Results

Quality assessment of whole brain MR images indicated no visible signal dropouts or image distortions in the brain introduced by the tDCS electrodes, equipment and/or conductive paste (Nardo *et al.*, 2021a). This was the case for both the high-resolution (1 mm, isotropic) structural T1-weighted image and, more notably, the EPI images across the three conditions (No-tDCS, Sham, and Anodal stimulation). The functional sensitivity measure (i.e., t-scores of the mean) was broadly comparable at a whole-brain level across the three conditions. This indicates that functional MR sensitivity was not degraded or adversely affected by the tDCS set-up and stimulation protocol. These results are displayed in Figure 2.

Region-Of-Interest (ROI) analyses investigated the frequency distribution and t-score of the mean values extracted from the grey matter (GM) anode (FC5), cathode (FP2) and reference (PZ) ROIs. The results illustrated in Figure 3 found there was a high level of overlap for the t-score of the mean distribution values in the Sham- and No-tDCS conditions extracted from each of the ROIs. A shift to higher t-score of the mean values was evident for the Anodal-tDCS case. The width of the frequency distributions in each ROI, reflecting field inhomogeneity, was not increased across conditions indicating that no field inhomogeneity was introduced by the tDCS equipment or stimulation condition (see Table 1). In the cathode ROI (FP2), a shift to higher frequency offsets, as well as greater inhomogeneity (higher IQR), was observed across all stimulation conditions, indicative of poorer field homogeneity and greater difficulty shimming in this ROI.

In order to formally compare the differences between the stimulation conditions, for each of the assessment metrics (i.e., t-score of the mean and off-resonance frequency), and in each ROI, a two-sample Kolmogorov-Smirnov test was used to test the null hypothesis that the distributions across conditions (e.g., Anodal-tDCS vs. No-tDCS) came from the same continuous distributions. The results of the statistical comparisons are summarised in Table 1.

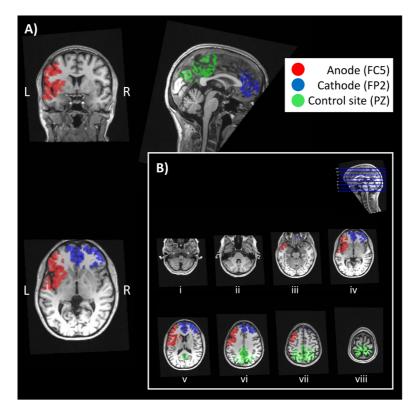


Figure 1. Regions-of-interest (ROIs). A) Locations of the three ROIs tested overlaid on the coronal, sagittal, and axial sections of a T1-weighted image. ROIs were defined beneath the anodal electrode (in red, corresponding to FC5 in a 10-20 system), cathodal electrode (in blue, corresponding to FP2) and at an independent site remote from the electrodes, used as a reference (in green, corresponding to PZ). **B**) Locations of the three ROIs tested overlaid on the axial sections shown in Figure 2.

In summary, taken together these whole-brain and ROI results indicate functional sensitivity was not degraded, nor was field inhomogeneity introduced by, the tDCS equipment or stimulation conditions.

Discussion

Combining non-invasive neuro-stimulation and functional neuroimaging techniques can provide a unique opportunity to understand the immediate and long-lasting effects of tDCS on the brain. At the WCHN, tDCS is being used alongside fMRI in order to understand the neural mechanisms underlying tDCS' behavioural effects. The combination of fMRI and tDCS methods, including simultaneous/concurrent tDCS-fMRI can provide unique insight into the neuromodulatory effects of tDCS not only in the targeted brain regions, but also their interconnected networks. The ultimate aim of these mechanistic experiments is to find a relationship between behavioural and neural responses to tDCS. Here, we present our detailed procedural methodologies with the aim of increasing replicability of tDCS-fMRI methods and the reliability of results for future studies. We hope this will in turn enable the field to gain greater insight into the mechanisms underpinning neural and behavioural modulation by tDCS, which would open up new directions within scientific research and clinical applications, such as developing targeted and meaningful therapies.

In this section, we first focus on discussing the identified safety risks and accompanying risk mitigations that are specific to the incorporation of the tDCS equipment into the MRI environment that were considered critical during the writing of this operational procedure. Then we discuss the specific challenges of concurrent tDCS-fMRI and how acquisition of appropriate B_0 field mapping data can help allay concerns over artefacts and false positive functional results from perturbation of the magnetic field. To date, this protocol has proved a safe and reliable means of obtaining high quality fMRI data concurrently with the application of 2 mA anodal tDCS (20 minutes) in over 18 healthy older adults and 36 aphasic stroke patients (Nardo *et al.*, 2021b; Ondobaka *et al.*, 2020).

It needs to be stressed that the procedures, safety measures and image quality issues discussed in the present work are specific to conventional tDCS configurations and frontal montages, which may need adjustment for substantially different set-ups (e.g., high-definition-tDCS configurations, anterior-posterior tDCS montages, etc.). Given the above-mentioned impact of research questions, methods and parameters variability on tDCS studies (cf. Introduction), we cannot assume that the present procedures and validation results can be generalised to other types of tDCS protocols with different characteristics, for which targeted further studies are needed. We should also point out that the safety and image quality considerations discussed

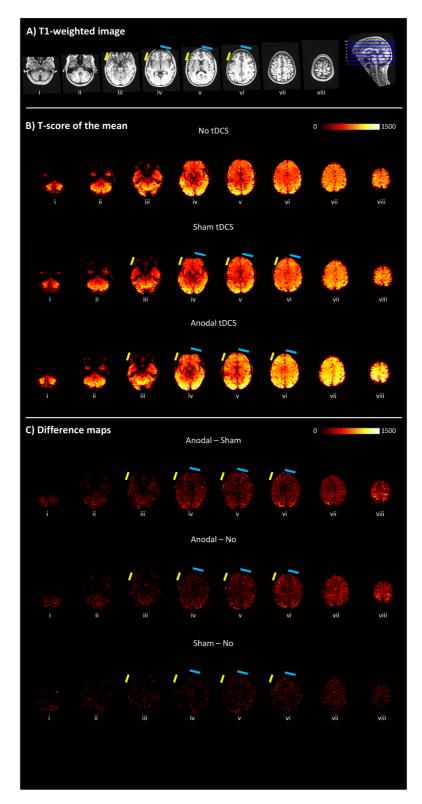


Figure 2. Quality assessment of MR images acquired on one participant. A) High-resolution (1 mm, isotropic) structural T1-weighted image denoting electrode locations shown as a reference. The approximate position of the electrodes is indicated by the coloured small rectangles (anodal = yellow; cathodal = light blue). B) t-score of the mean maps. In all panels, axial slices (in ascending order from *i* to *viii* with location denoted by the blue lines on the sagittal section in A) are 15 mm apart. There are no visible signal dropouts or distortions introduced by the electrodes and/or conductive paste. The functional sensitivity measures (t-scores of the mean) are comparable across stimulating conditions, though highest in the Anodal-tDCS case. **C**) Difference maps between conditions.

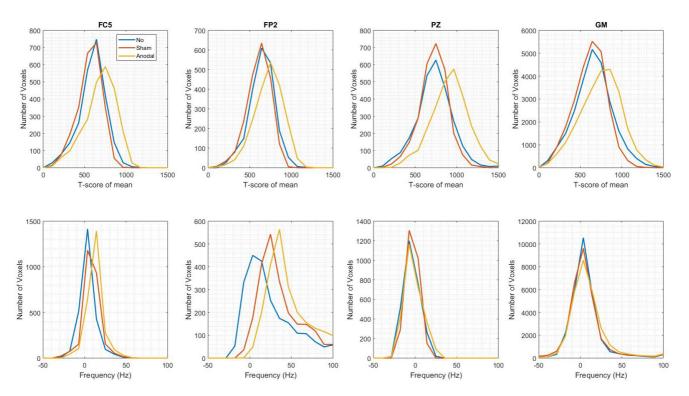


Figure 3. Functional sensitivity metrics across conditions in the three regions of interest (ROIs) (from left to right: anodal electrode - FC5, cathodal electrode - FP2, independent site remote from the electrodes - PZ) and in grey matter (GM), (rightmost column). Top row: t-score of the mean extracted from the GLM used for the functional analyses. This provides a tSNR-like measure while additionally accounting for scanner drift, motion-related variance, and temporal autocorrelation. Kolmogorov-Smirnov tests identify no significant differences between the distributions across conditions (cf. Table 1). However, the t-score of the mean is higher in the Anodal-tDCS condition. This discrepancy is attributed to positioning differences, whereby the participant was closer to the coils in this condition due to the absence of a cushion at the crown of the head. The higher proximity to the coil leads to higher signal because of the higher frequency is observed for the anodal (FC2) electrodes, indicating a field offset in these regions. However, Kolmogorov-Smirnov tests identify no significant differences between the distributions across conditions (cf. Table 1).

below overlap with some of the key factors identified by Ekhtiari *et al.* in their checklist for assessing the methodological quality of tES-fMRI studies (Ekhtiari *et al.*, 2022). Indeed, this recently published consensus guide represents a notable step toward increased standardisation, inter-Lab communication, methodological transparency and reproducibility of tES-fMRI studies across a variety of contexts. Building on this, the present work translates Ekhtiari *et al.*'s guidelines into practice for a specific tDCS-fMRI setup.

Safety considerations for tDCS in the MRI environment

The MRI environment poses a number of significant risk factors, primarily due to the various comparatively strong magnetic fields used, which can vary in both space and time. The main magnetic field (3 Tesla in our case) can exert significant forces on ferrous objects or current-carrying conductors. The primary risk to be mitigated against is the introduction of any ferrous components. The NeuroConn DC stimulator Outer-Box contains an RF filter with ferrous components. This Outer-Box should never enter the Scan Room to prevent it from becoming a projectile under the force exerted by the main magnetic field. It should be housed within the waveguide and always be placed and removed via the Control Room. The time-varying magnetic fields used to achieve the excitation process in MRI have associated electric fields (i.e., this is an electromagnetic RF field). These can induce current flow, both in the participant and any equipment, and lead to heating. During scanning, the MRI system continually monitors the transmitted power to ensure it is as expected, and within regulatory limits by modelling the specific absorption rate (SAR), i.e., the energy deposition in the participant, in the absence of any equipment. To accommodate the introduction of the tDCS equipment, and ensure it does not invalidate the model, further mitigation strategies are adopted. Care is taken to arrange cables without introducing any closed loops in which current could flow, and the electrode leads are run along the centre of the bore using the bespoke foam-base. This base has a groove that maximises the safety of the cabling configuration by ensuring it is parallel with the bore, centred within the transmitting RF coil's volume without any loops, and running away from the participant. The tDCS equipment also has multiple RF filters incorporated, and a high input impedance to minimise the currents that could flow as a result of any induced voltage, which could also be caused by the rapidly switching imaging gradients. Resistors are incorporated into the leads adjacent to the electrode pads to further limit **Table 1. Top**: descriptive statistics (median and interquartile range) to characterise frequency distributions for each metric (t-score of the mean, off-resonance frequency), in each ROI (FC5, FP2, PZ, and grey matter – GM), and for each stimulation condition (No-tDCS, Sham-tDCS, Anodal-tDCS). **Bottom**: results (p-values) of the two-sample Kolmogorov-Smirnov tests to test the null hypothesis that the distributions across conditions came from the same continuous distributions. None of the statistical comparisons returned a significant result.

ROI		FC5		FP2		PZ		GM	
metric	descriptive stats	median	IQR	median	IQR	median	IQR	median	IQR
t-score	No	609	189	656	180	734	253	661	283
	Sham	580	184	620	181	732	215	632	259
	Anodal	710	245	743	227	925	282	761	332
frequency	No	4.4	9.5	15.3	37.6	-4.4	13.0	3.7	15.7
	Sham	8.0	8.7	29.7	32.6	-3.1	10.0	2.6	16.5
	Anodal	12.3	8.9	39.3	31.5	-3.5	14.7	5.0	19.8
ROI		FC5		FP2		PZ		GM	
t-score	No vs. Sham	0.678		0.954		0.508		0.241	
	Sham vs. Anodal	0.841		0.678		0.241		0.241	
	No vs. Anodal	0.996		0.841		0.954		0.954	
frequency	No vs. Sham	0.996		0.996		0.996		0.954	
	Sham vs. Anodal	1.000		0.996		0.841		0.954	
	No vs. Anodal	1.000		0.841		1.000		0.841	

any possible current flow. The MRI compatible electrodes are made from an electrically conductive rubber. It is possible that circumferential RF currents could be set up directly within these relatively large pads but, at least for the low SAR sequences used here, heating is negligible, as confirmed by previous experiments (Holland *et al.*, 2011). As a further risk mitigation strategy, in our Lab only low power imaging sequences are used.

The MRI scanner is situated within a Faraday cage, a continuous copper foil on a wooden support structure, designed to be impermeable to RF fields and often referred to as the RF cage. The purpose of this cage is to contain any internally-generated RF sources, e.g. the transmit coil, within the Scan Room and to prevent any external sources from the everyday environment, which could reduce image quality, from entering the Scan Room. The RF cage is explicitly grounded at a single point to prevent unintended connections to the building's electrical ground. To preserve this condition, all electrical connections to the cage are made via a so called "penetration panel" and are RF filtered to maintain the intended RF isolation. Most RF filters used for this purpose are formed of capacitors and inductors in which the capacitors are connected to the RF screen of the cage, which is itself connected to ground. This means that the filters provide a pathway to ground. If any equipment entering the Scan Room in this way is connected to the participant, they too become part of a grounded circuit. The tDCS

equipment has been designed for stimulation of human participants in the MRI environment according to the International Electrotechnical Commission standards (60601-1 Class 1 [battery powered] with Type BF [Body Floating; i.e., no possible route to ground] applied part standard). The NeuroConn manufacturer achieves this by using electrically insulated non-grounded filters, which ensure that the participant being scanned is not connected to ground. This is an intrinsically safe arrangement because, even if a fault condition were to develop during scanning, such that the participant was brought into contact with high voltages, no conducting path is available for a dangerous current to flow through the participant to ground via the tDCS apparatus. To maintain this BF safety status, the penetration panel has not been used to integrate the tDCS equipment in our Lab.

The other means of penetrating the RF cage is via a waveguide – a long cylindrical tube that will only allow signals above a certain frequency, known as the cut-off frequency, to pass and therefore can be used to exclude RF signals that would otherwise interfere with imaging. This is the approach we have used to integrate the tDCS equipment into the scanner environment. While this approach ensures that the equipment and participant are not connected to ground, it also introduces the risk of violating the RF isolation (a data quality requirement) and allowing RF from the external environment into the Scan Room.

Two filters are used to attenuate current flow: the MR-compatible "Inner-Box" minimises any currents flowing in the section of the electrode lead in the bore, while the MR-incompatible "Outer-Box" in the waveguide itself prevents current flow in the outer cabling entering the Scan Room. However, these RF filters have limited performance meaning that a risk of RF interference compromising the imaging remains, particularly if there is an equipment fault. This data quality risk motivated the extension of our RF cage to enclose the entire stimulator within a shielded box attached, via shielded flexible metallic tubing, to the outside of the waveguide. This additional box has a removable shielding lid, which creates a robust RF seal via fingerstrip gaskets (e.g., https://hollandshielding.com/Shieldinggasket-solutions-materials#Fingerstrips) and a viewing aperture that is small enough not to compromise the RF shield but sufficient for operation and monitoring of the tDCS device. The lid also proves a useful means of ensuring that the researcher can remain blind to the experimental tDCS condition.

The electrical signal used by the trigger input to drive the stimulator is galvanically isolated from the rest of the circuitry by the manufacturer (cf. NeuroConn manual). When the box is manually triggered, this isolation can be verified by visual inspection since no wires are connected to anything that could be grounded. In theory, an electrical cable can normally be connected directly from the controlling computer, as long as the galvanic isolation is certain. However, in our case such a connection would also have compromised the additional RF screening by providing a path for RF current to flow. Therefore, a fibre-optic trigger signal from the Stimulus PC enters the shielded box through a small waveguide and is subsequently converted to an electrical signal, via battery-control, to drive the stimulator.

tDCS and fMRI image quality and safety control study

Prior to any neuroscience experiments, and in particular due to the extension to the RF cage, the tDCS equipment setup should be tested to ensure that the integrity of the RF cage isolation had not been compromised. In our case, this was done by measuring the cross-talk between two adjacent MRI scanners with and without the tDCS equipment in place. These tests confirmed that, with the RF shielding solution employed here, the RF noise level was equivalent regardless of the presence of the tDCS equipment. As with any experimental setup, routine quality assurance should also be employed. All equipment should be regularly inspected for damage and maintained in keeping with manufacturer guidelines.

The introduction of an electrical current into the scanner's magnetic field results in further warping of the magnetic field (i.e., field artefact). This artefact is of critical concern for BOLD fMRI protocols, as it may result in false positive patterns in BOLD signal (Antal *et al.*, 2014; Woods *et al.*, 2016). Online tES-fMRI studies are therefore more susceptible to artefactual noise than other fMRI scenarios, the magnitude and nature of which are likely to depend on the exact experimental setup within each Lab, for each experiment, across participants (cf. Weiskopf *et al.*, 2009, for related considerations using TMS). We believe that careful experimental designing is an essential factor here. This highlights the importance of having a replicable set-up with properly placed and shielded electrode cables and stimulation equipment within the scanning area. For example, one study demonstrated evidence of BOLD signal within brains of two cadavers during a concurrent tDCS and fMRI protocol (Antal *et al.*, 2014). Whilst a previous study from our Lab demonstrated visual evidence of change in echo-planar imaging (EPI) field maps that was limited to the scalp/surface near to the electrode site (Holland *et al.*, 2011). These contrasting cases demonstrate the need for careful consideration of concurrent tDCS-fMRI data, and acquisition of appropriate field map data to allay concerns over false positive functional results from perturbation of the magnetic field.

To address this, prior to scanning human participants, we recommend a control tDCS concurrent with fMRI study for evaluation of the set-up in each Lab. The purpose of the control study is twofold: (i) to ensure the safety of concurrent tDCS and fMRI, and (ii) to quantify any noise effects in the images induced by tDCS delivered simultaneously with the task stimuli. For example, in a previous experiment we delivered 2 mA anodal tDCS stimulation for 20 minutes concurrently with the identical stimulus delivery set-up as used in the main study to an inert object (a watermelon; cf. Holland *et al.*, 2011). Results indicated that: (i) during stimulation no significant changes in surface temperature were detected over time; and (ii) in distortion correction field maps only minimal perturbation of signal was observed at the electrode site (see Figure 4).

The signal distortion was restricted to the surface of the watermelon only. Processing of the acquired functional data found no effects of tDCS on sham or stimulated runs. Together, these results indicated no imaging artefacts induced by the tDCS/ fMRI set-up that could account for the effects of Anodal-tDCS reported in the subsequent human experimental study (cf. Holland *et al.*, 2011 for full details of effects of tDCS on EPI data). A control study such as this, comparing fMRI data for a short duration of time under two tDCS conditions, e.g. anodal vs. sham, can be adapted for any experimental paradigm and tDCS-fMRI set-up.

In the human validation study presented here, the variability introduced by the tDCS equipment appears to be far below the level of variability arising from participant repositioning, reaffirming the great importance of careful positioning. Generally higher t-score of the mean values were observed for the Anodal-tDCS case (cf. Figure 1 and Figure 3). However, given that we would not expect an increase in functional sensitivity when using tDCS, this is more likely to originate from variability in participant repositioning, and scanner adjustments, across the different experimental conditions. Indeed, in the Anodal-tDCS case, a cushion was inadvertently not placed at the crown of the head, resulting in the participant being positioned furthest into (superiorly) the sensitive volume of the receiving coil, boosting sensitivity. Receiver coils have a nonuniform sensitivity profile that decreases with distance from the coil. We therefore attribute the higher sensitivity observed in the Anodal-tDCS case to the greater proximity of the participant to the coil that was specific to this case. The cathode ROI (FP2) had greatest field inhomogeneity regardless of imaging condition. A frequency offset was observed in this ROI for the Anodal-tDCS case, however, there was no broadening of the frequency distribution. This indicates that the introduction

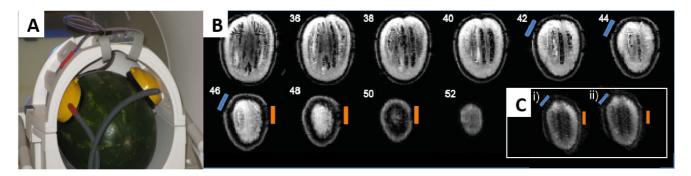


Figure 4. Control concurrent tDCS and fMRI study. (**A**) A watermelon of similar size to a human adult head was chosen as a continuous 2 mA anodal DC could be passed through the surface. The headphones and tDCS electrodes were positioned on the object in the same orientation, and with the same tDCS/fMRI set-up as was used for the main human study (cf. Holland *et al.*, 2011); (**B**) Multi-slice coronal view of the watermelon field distortion. The blue bar indicates the location of the anode electrode, orange the cathode electrode where mild perturbation of the signal is evident in slices 42-50 at the surface layer of the watermelon under each electrode; (**C**) EPI from slice 46 (watermelon data) for (i) un-stimulated (sham) and (ii) stimulated (A-tDCS) fMRI runs. This figure has been used with permission from Holland *et al.*, 2011.

of the tDCS equipment did not increase the field inhomogeneity. In fact, the broadest distribution was observed in this ROI for the No-tDCS condition. Reiterating the critical issue of participant repositioning inside the scanner, a better option for the No-tDCS (i.e., control) condition would perhaps have been to only have the electrodes attached but with no cables/ stimulator connected enabling a more consistent comparison between different conditions.

Conclusion

In this paper, we deliver the Wellcome Centre for Human Neuroimaging standard operating protocol (SOP) for technically sound and safe application of tDCS concurrently with fMRI. Although the MR-compatible tDCS technique is seemingly simple and easy to apply, we discussed specific aspects that must be taken into consideration when integrating the approach into an MRI environment to obtain both a safe experimental set-up and reliable results with maximal image quality. This SOP and the experimental data validating its efficacy is provided as a detailed framework to systematically report the main technical elements of tDCS-fMRI, which can be adopted and used as a baseline for prospective real-world applicability. It is hoped that this will enhance the quality of tDCS-fMRI application in future studies, help provide practical solutions to the technical challenges and complications of the method, and therefore improve the quality of scientific work in this field further.

Data availability

Underlying data

Zenodo: WCHN/tDCS_fMRI: tDCS for fMRI SOP Release. https://doi.org/10.5281/zenodo.7569833 (Nardo *et al.*, 2021a).

This project contains the following underlying data:

- Andodal_tDCS
- MPRAGE
- No_tDCS
- Sham_tDCS

Extended data

Zenodo: WCHN/tDCS_fMRI: tDCS for fMRI SOP Release. https:// doi.org/10.5281/zenodo.7569833 (Nardo *et al.*, 2021a).

This project contains the following underlying data:

 WCHN_tDCS_fMRI_SOP.pdf (Standard operating procedures)

Software availability

- Source code available from: https://github.com/WCHN/ tDCS_fMRI/tree/v1.1.0
- Archived source code at time of publication: https://doi. org/10.5281/zenodo.7569833 (Nardo *et al.*, 2021a).
- License: GNU General Public License v3.0

Acknowledgments

Bradbury, D^3 . Laboratory manager – contributed to reviewing safety documentation, risk assessments, and smooth implementation of the SOP. Schellhorn, K. from NeuroConn for his technical assistance and guidance in setting up 2 mA tDCSfMRI at WCHN. Permission has been granted for these persons to be included in this manuscript. The reviewers of this paper, for their clever and helpful suggestions, and for their constructive attitude during the peer review process.

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Version 2

Reviewer Report 17 July 2023

https://doi.org/10.21956/wellcomeopenres.21018.r60585

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Lars G Hanson

¹ Copenhagen University Hospital Amager and Hvidovre, Hvidovre, Denmark ² DTU Health Tech, Technical University of Denmark, Kgs. Lyngby, Denmark

This study presents safety considerations and quality assurance for a specific tDCS-fMRI setup, exemplified by data from one subject. The considerations are aimed to be generalizable, however. The study is conducted with the help of a shared standard operation procedure (SOP) for tDCS-fMRI, and the example data and analysis software are also shared. The specific tDCS set-up was found to not introduce significant quality differences between conditions (No-tDCS, Sham-tDCS, Anodal-tDCS). This was based on evaluation of t-score of the signal mean and of field inhomogeneities in regions of interest. The SOP and QA evaluation may be valuable for researchers establishing tDCS-fMRI and similar protocols. Some safety aspects need further elaboration, however:

The manuscript states that "resistors are incorporated into the leads adjacent to the electrode pads to further limit any possible current flow". Such safety resistors (here 5.4 kiloohms) indeed limit the current, but importantly only effectively at the site of the resistor. At the relevant MHz frequencies, standing electro-magnetic waves may occur on either side of a localized resistor inserted in a high-conductivity cable (the resistor will be at a zero-current node). In the present case, the safety resistor is near the head (centimeters), thus preventing standing waves to occur between the head and the safety resistor. However, standing waves may still occur on the other side of the resistor, and the resulting high voltages occurring at the resistor can damage both the resistors (consistent finding for the used equipment), and any nearby tissue. Hence the importance of keeping safety resistors away from tissue should be stressed in the otherwise detailed safety description.

An alternative approach to avoid standing cable waves altogether is to use distributed impedance instead of localized safety resistors (Gregersen *et al.*, 2021¹). This approach was recently adopted by the manufacturer of the stimulation equipment, and it furthermore allows the overall cable impedance to be reduced such that stimulation significantly above 2 mA current strength can be used during fMRI. Also, distributing the impedance allows the cables to be oriented along the B0 field, reducing the effects of tDCS currents on the MRI quality as discussed in the manuscript (the

SOP and the manufacturers safety manual prescribe orthogonal cable orientation near the head to avoid damage to the safety resistors, but currents in this direction distorts the z-component of the magnetic field that MRI relies on).

Other comments:

The manuscript states that "the stimulator works with a pre-defined impedance limit ≤ 10 ohm, above which the device does not operate". Probably it should be 10 kiloohms, or it should be clarified how this fits with the safety resistors alone being 5.4 kiloohms.

The authors attribute the higher sensitivity observed in the Anodal-tDCS case to the proximity of the participant to the coil that was specific to the Anodal-tDCS case. This may indeed be, but in general, the conductive electrode pads also affect RF fields which may locally increase the coil sensitivities. This effect will be independent of stimulation. Field distortions (B0 and B1) speak in favor of also using electrodes and cables during tDCS-MRI control conditions.

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Is the rationale for developing the new method (or application) clearly explained?

Yes

Is the description of the method technically sound?

Yes

Are sufficient details provided to allow replication of the method development and its use by others?

Yes

If any results are presented, are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions about the method and its performance adequately supported by the findings presented in the article?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: MRI methods and applications

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Reviewer Report 28 March 2023

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Marius Moisa 回

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The authors have addressed all my comments. I would like to congratulate the authors for sharing this SOP for the online combination of tDCS with fMRI. I think this is very useful. I advise all the researchers to take this SOP into consideration when setting up this combination of methods.

Is the rationale for developing the new method (or application) clearly explained? $\ensuremath{\mathsf{Yes}}$

Is the description of the method technically sound?

Yes

Are sufficient details provided to allow replication of the method development and its use by others?

Yes

If any results are presented, are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions about the method and its performance adequately supported by the findings presented in the article?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Online combination of tCS with fMRI, neuroscience, brain stimulation, fMRI.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Version 1

Reviewer Report 24 January 2022

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Marius Moisa 匝

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In the current study, the authors provide a step-by-step guide through the standard operating procedure that is in place at Wellcome Centre for Human Neuroimaging for the online combination of tDCS with fMRI. These detailed methodological procedures should allow researchers to implement such a setup in a safe, artefact-free, and in a replicable manner. I find this SOP/paper very useful for the interested research community, however, more details and a few clarifications should be addressed before publication.

1. The electric field distribution of a standard tDCS montage (like the one implied in the current paper) has a maximum electric field between the two tDCS electrodes. I would recommend the authors, if possible, to simulate the electric field for the applied tDCS montage and perform similar analyses in two additional ROIs:

In an ROI where the electric field is maximum, i.e. between the two tDCS electrodes.

In a whole gray matter mask. This is particularly important since the aim of combining brain stimulation with fMRI is to investigate stimulation effects at the whole-brain level.

- 2. For the condition "No-tDCS setup in place", means that no tDCS electrodes are attached to the head? In this case, the participant's head was removed from the MR head coil and the position of the head inside the MR coil might be slightly different for the control condition as compared to "sham tDCS" and "anodal tDCS" conditions. Thus the t-maps could be affected by this, e.g. due to different spatial sensitivity of the MR coil. For future tests the authors might consider a better control condition, e.g. only the electrodes attached and no cables/stimulator connected that could make the comparison between different conditions more reliable.
- 3. The authors should describe in more detail the setup and the stimulation protocol: size of the tDCS electrodes, sham-tDCS (was it only ramping up and ramping down or also a few seconds of stimulation in between), length of the ramp-up/ramp-down for the anodal-tDCS condition. Is the size of the radius of the ROIs related to the size of the electrodes?
- 4. I agree with another reviewer that a more systematic way of quantifying the differences between different stimulation conditions should be provided. Thus, these analyses could be applied/reproduced by any other researcher that would like to combine tDCS with fMRI.
- 5. Reproducibility issue: If it is not much of an effort, the authors could test if the presented results are validated by the data acquired in a second participant. Or at least discuss this issue.

6. The authors briefly mention the problem of possible false positive functional results due to the tDCS stimulation. However, none of the data/analysis presented in this paper addresses this issue. The authors should discuss this in more detail or address this issue with new sets of data. Based on several sets of data acquired in a watermelon in our lab (we used a similar block design as in Weiskopf et al. 2009¹) this problem always occurs and should be taken into consideration when designing a tDCS-fMRI experiment.

Minor comments:

- 1. Page 4, Results section: The authors state "no visible signal dropouts or signal distortion". Is this valid for the brain, if yes the authors should mention this. Based on my experience at least for the setup that we have in our lab (also a NeuroConn setup) we do see static distortion in the skull under the MR-compatible tDCS-electrodes.
- 2. The authors should discuss the overlap between the current paper and a consortium paper by Hamed Ekhtiari and colleagues².

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Is the rationale for developing the new method (or application) clearly explained? $\ensuremath{\mathsf{Yes}}$

Is the description of the method technically sound?

Yes

Are sufficient details provided to allow replication of the method development and its use by others?

Partly

If any results are presented, are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions about the method and its performance adequately supported by the findings presented in the article?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Online combination of tCS with fMRI, neuroscience, brain stimulation, fMRI.

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Reviewer Report 13 January 2022

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Danielle Kurtin 问

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In this article, the authors provide a technical guide on how they have implemented a simultaneous tDCS and fMRI protocol. Alongside the article, they provide a detailed SOP and data which can be a helpful resource to researchers combining these techniques, particularly to those who are novel to the field. Together, these resources are important to promote open research practices and replicability of future studies.

The article focuses on fMRI data from one participant tested across three conditions, no-tDCS, sham-tDCS and anodal-tDCS. Data quality across conditions was investigated in grey matter and in three predefined regions-of-interest (ROIs). The discussion on 'Safety considerations for tDCS in the MRI environment' is well achieved and insightful.

However, we believe that there are a number of points that could be addressed to enable others to make full use of the methodology presented in this article. We have highlighted our main questions below (minor issues are summarised at the end).

- 1. While the article is presented as focusing "on the methods required for the safe use of tDCS equipment in the MRI environment" both the article and SOP only focus on the usage of one of the multiple tDCS equipments that are available for combined tES+fMRI experiments. Specific methodologies will vary depending on the equipment used. The Introduction should make this clearer.
- 2. Though the authors point out there is a gap in the literature regarding studies that quantify the influence of tDCS-fMRI on the magnetic field, they do not introduce the two metrics used in this study that assess image quality across stimulation conditions. The Introduction would be strengthened by providing a rationale for using the author's chosen metrics.
- 3. In the "tDCS equipment and procedure" section the authors state they "ensure the participant was happy with the stimulation sensation.". Could the authors provide more information on how this was assessed? Perhaps information on what is acceptable as tolerable could be added to the SOP?
- 4. More information on the ROIs would be useful. In particular: 1) what is the rationale for the

ROI size? 2) how were the coordinates for Pz defined (our understanding is that the montage only included two electrodes that were used for the FP2 and FC5 ROIs)?; 3) what is the rationale to choose Pz as the control ROI?

- 5. Can the authors provide more details about the electrode size, shape and how the location was defined on the participant in the "tDCS equipment and procedure" section? Here can the authors also provide information about the sham acquisition (i.e. duration of ramp-up/down, current intensity, etc.) and the impedances used across sham and anodal acquisitions?
- 6. Can the authors provide more information about how data analyses were performed for the sham condition (i.e. did it include ramps)?
- 7. An important issue with the current version of the manuscript is that there are no statistical analyses to support the statements of lack of differences across conditions. How could a researcher trying to follow your protocol define whether the data quality is acceptable? If the t-mean scores for anodal were left skewed instead of right should researchers assume that their data is contaminated with noise? Recommendations on what would be acceptable deviations from t-means and central frequencies would be more useful to support replications.
- 8. The discussion introduces the issue of variability associated to participant repositioning. If variation in the participants' positioning within the coil resulted in their offset T-score of the mean signal, what measures do the authors suggest to prevent such variability in future studies? For example, Person 3 in the detailed SOP is responsible for participant positioning- do the authors think there should be added guidance for Person 3 on where participants should be placed, and how they check positioning?
- 9. In a similar vein to what the authors intended here, other efforts have been done to summarise previous simultaneous tES+fMRI studies and provide researchers with a checklist for assessing the methodological quality of such studies (see Ekhtiari *et al.*, 2020 medRxiv 2020.12.23.20248579).¹ It could be useful to reflect on whether the safety and image quality considerations the authors highlight are addressed by this checklist.

Minor:

- 1. In the first paragraph of the Introduction it is stated that the techniques uses currents 1-2 mA and durations of up to 20 min. There is however a great variability of protocols that can be employed depending on research questions. Please rephrase these initial sentences.
- 2. To avoid confusion, the authors should standardize how they refer to the already published SOP (Callaghan, 2021) and this manuscript, as the current manuscript is described both as an SOP and as a guide to the previously published SOP.
- 3. Add references (presumably Holland *et al.*, 2011 and Ondobaka *et al.*, 2020) for the studies cited in the final line of the first section of the Discussion.
- 4. Discussion, Safety considerations for tDCS in the MRI environment: After "specific absorption rate" add "(SAR)".

References

1. Ekhtiari H, Ghobadi-Azbari P, Thielscher A, Antal A, et al.: A Checklist for Assessing the Methodological Quality of Concurrent tES-fMRI Studies (ContES Checklist): A Consensus Study and Statement. medRxiv. 2020. Publisher Full Text

Is the rationale for developing the new method (or application) clearly explained? Yes

Is the description of the method technically sound?

Yes

Are sufficient details provided to allow replication of the method development and its use by others?

Partly

If any results are presented, are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions about the method and its performance adequately supported by the findings presented in the article?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: neuroscience, brain stimulation, tES, neuromodulation, neuroimaging

We confirm that we have read this submission and believe that we have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however we have significant reservations, as outlined above.

Reviewer Report 29 June 2021

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? Priyanka Shah-Basak 匝

Medical College of Wisconsin, Milwaukee, WI, USA

The authors provide a detailed guide on how to implement tDCS concurrently with fMRI, evaluate the effects of tDCS on MR images and artefacts in 1 healthy volunteer, and discuss safety risks and risk mitigation strategies. The goal for such detailed guide and validation is to minimize inconsistencies across tDCS for fMRI protocols adopted at different institutions around the world, and in turn improve the quality of research involving tDCS-fMRI applications.

The report is very well-written and informative, especially for labs just starting out and interested in incorporating tDCS+fMRI for the first time. I also value the authors' rigor in the development of the tDCS for fMRI SOP as well as for providing access to the data that they collected as part of this study to the wider scientific community who may be interested in delving deeper.

My comments below are minor and focused primarily on improving the readability of the Introduction, and the depth of reporting in the Results. I also have a few clarification questions that the authors should address. I am not an imaging expert and therefore my comments overall are limited to the evaluation of tDCS more generally. I would recommend that, if not already done, an imaging expert should review the relevancy of different measures that are used in this study to evaluate image quality with and without tDCS, and confirm the authors' interpretation of the results.

Introduction

The authors mention the use of tDCS for up to 20 minutes. However, there are several recent articles that have increased the stimulation duration to up to 40 minutes outside the scanner [e.g., review on chronic pain by Pinto *et al.* (2019) Transcranial direct current stimulation as a therapeutic tool in chronic pain. J ECT].¹ The authors should update this to a range from 20-40 minutes, to be consistent with recent studies using tDCS.

The authors mention that several guides already exist on the concurrent implementation of tDCS and MRI. But there is still a lack of methodological consensus of tDCS-fMRI procedures between studies. While I agree with the authors that methodological variability exists and can hamper large-scale meta-analyses, the constraint, in my opinion, is not the availability of operational guides (because as the authors pointed out there are a couple of them out there already), but in fact the variability in research questions that different labs around the world are attempting to address using tDCS-fMRI. The parametric space of tDCS is large, involving decisions regarding (but not limited to) the location (target), dose, polarity, training during tDCS, and the use and type of control conditions. These are typically dependent on the research questions of interest, the population of interest, and the current theories related to the neurophysiological mechanisms of interest. Thus, the implication that a manual or an operational guide could help reduce tDCSrelated methodological variability and improve replicability of findings is weak, considering that there might still be large methodological variability, stemming from the decisions regarding tDCS parameters and their influence on the BOLD response. For example, we know that the effects of tDCS are highly influenced by the brain state during stimulation and thus regardless of methodological overlap the extent of task load during stimulation could change BOLD activity and introduce another 'layer' of variability that cannot be addressed by following the step-by-step procedures laid out in this paper.

That said, I do think there is a need for better operational guides with procedural steps that are as detailed as the one provided in this paper, to minimize or rule out differences in methodology in tDCS-fMRI implementation as being the main source of significant findings. I believe the authors need to make this point much clearer in the Introduction, given the perplexity related to the

variability between tDCS protocols.

The other point that is not clear from the Introduction is the type of tDCS configuration (conventional or high-definition [HD]), and montages that the authors used in their validation studies. On a related note to the earlier point, the authors should comment on the generalizability or external validity of their procedures and validation results to other types of tDCS protocols, involving different regional targets, polarity, and configurations. I assumed before reading the Methods that the procedures described are specific to conventional sponge-rubber electrode configurations with a reference or cathodal electrode placed on a cephalic site. But this should be described earlier in the Introduction.

tDCS equipment and procedure

How was the determination that the participant was *happy* with stimulation sensation made? Was this a yes/no question that the authors asked? I suggest replacing "happy" with "tolerate".

Results

The authors mention that the "functional sensitivity scores were broadly comparable at a wholebrain level across the three conditions". How is comparable quantified here? Did the authors run a statistical test to evaluate differences between no-tDCS and sham-tDCS, and no-tDCS and anodaltDCS, and anodal-tDCS and sham-tDCS? It would help if Figure 2 also included a statistical map comparing the no- and sham-tDCS with the anodal-tDCS condition (or a difference map between stimulation conditions).

ROI analyses: The authors should run a statistical test to quantify the degree of overlap of t-score distributions across different stimulation conditions. Otherwise, I am not clear how the authors have described the 'high' level of overlap, given a shift in values for the anodal-tDCS condition, not found for the other two control conditions. Visually, there does not appear to be a high degree of overlap in top panels in Figure 3.

Please provide the width of the frequency distribution in each ROI and for each stimulation condition in a Table and associated statistical test results that you ran to conclude that the width was not increased across stimulation conditions.

Again: the statistical results are not described for the frequency distributions (Figure 3 bottom panel). Please describe the statistical tests you ran to compare these across stimulation conditions and their outputs to arrive at your conclusion regarding substantial overlap. The distributions for GM and Pz do appear to overlap but they are non-overlapping for FC5 and FP2; the FP2 results are reported to have emerged from poorer image quality of the no-tDCS condition data, but what about for FC5?

Discussion

It will help the reader if the authors are a bit more specific about their tDCS protocol in the second paragraph in the Discussion. The authors should mention the tDCS targets (cephalic, frontal anodal and cathodal tDCS electrode placement) and tDCS configurations (conventional and HD) that they assessed. These details are important to highlight that the procedures and safety measures, and image quality discussed in this paper are specific to conventional tDCS

configurations and frontal montages, which would have to do be modified, say to be used with HD-tDCS configurations and for an anterior-posterior (e.g., frontal-parietal intra or interhemispheric) tDCS montage.

Minor:

First line in the Introduction: Consider replacing with "one of several methods of non-invasive ...".

tDCS equipment and procedure: in point (1), replace . with ,

Discussion: replace 'tDCS behavioural effects' with tDCS' behavioural effects.

References

1. Pinto CB, Teixeira Costa B, Duarte D, Fregni F: Transcranial Direct Current Stimulation as a Therapeutic Tool for Chronic Pain.*J ECT.* **34** (3): e36-e50 PubMed Abstract | Publisher Full Text

Is the rationale for developing the new method (or application) clearly explained? $\ensuremath{\mathsf{Yes}}$

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If any results are presented, are all the source data underlying the results available to ensure full reproducibility?

Yes

Are the conclusions about the method and its performance adequately supported by the findings presented in the article?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: non-invasive brain stimulation, language, aphasia

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

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