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1 **Management of Older Patients with Frailty and Acute Myeloid Leukaemia: A**
2 **British Society for Haematology Good Practice Paper.**

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30 **Methodology**

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32 This Good Practice Paper was compiled according to the BSH process at [[https://b-](https://b-s-h.org.uk/)
33 [s-h.org.uk/](https://b-s-h.org.uk/)]. The British Society for Haematology (BSH) produces Good Practice
34 Papers to recommend good practice in areas where there is a limited evidence base
35 but for which a degree of consensus or uniformity is likely to be beneficial to patient
36 care.

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38 **Review of the manuscript**

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40 Review of the manuscript was performed by the British Society for Haematology
41 (BSH) Guidelines Committee and the sounding board of BSH Haematology
42 Oncology Task Force . It was also on the members section of the BSH website for
43 comment.

44

45 **Introduction**

46 Acute myeloid leukaemia (AML) is a highly heterogeneous haematopoietic stem cell
47 malignant disorder and the most common malignant myeloid disorder in adults, with
48 a median age of 70 years at presentation¹. Within this good practice paper we
49 update on developments specific to the older AML patient with frailty (a distinctive
50 health state related to the ageing process in which multiple body systems gradually
51 lose their in-built reserves) where, historically, the potentially more effective intensive
52 therapies have not been considered standard-of-care. Age is a significant adverse
53 prognosticator, associated with a decreased complete remission (CR) rate, disease
54 free survival (DFS), and overall survival (OS), with higher rates of treatment related
55 mortality (TRM), resistant disease and relapse compared to equivalently treated
56 younger patients^{2,3}. OS rates with recent standard non intensive therapies are poor
57 .⁵. AML in older patients commonly evolves from myelodysplastic syndromes (MDS)

58 or myeloproliferative neoplasms (Heinemann and Jehn 1991) and is associated with
59 adverse karyotypes^{1,6} and frequent unfavourable mutations. In combination, these
60 result in inferior responses to therapy, refractory disease and more frequent
61 infectious complications^{7,8}.

62 In recent years, the role of mutations in both driving the malignant process and
63 determining the response to novel treatment approaches such as small molecule
64 targeted treatments, bispecific antibodies and liposomal chemotherapeutic agents
65 has become clearer⁹. Whilst improvements in supportive care and regimen
66 intensification have been beneficial in younger AML patients, the effects have not
67 been seen in the elderly. Even the few older AML patients who can tolerate, and
68 therefore benefit from, intensive remission-induction approaches¹⁰ suffer increased
69 toxicity¹¹ with increased early mortality (almost 30% at 8 weeks). Most are best
70 managed with less aggressive strategies¹². Unlike younger AML patients,
71 psychosocial factors such as cognitive decline and the presence of adequate social
72 support¹³ factor into treatment decisions.

73 The challenge in treating older adults with AML is to adequately address both patient
74 factors and disease related biological features, in order to maximise the therapeutic
75 benefit and minimise toxicity. In this good practice paper, we review the clinical
76 management of older patients, generally not considered suitable for intensive
77 chemotherapy or stem cell transplantation, a similar guideline approach has recently
78 been published by the American Society for Hematology¹⁴

79 **Diagnostics**

80 The revised 2016 WHO classification^{15,16} updated the classification of AML, and AML
81 diagnosis remains organised according to a number of cytogenetic abnormalities or
82 gene mutations. Older adults who are suspected of having AML and are deemed to

83 be fit to receive anti-leukaemia therapy, should undergo the same diagnostic workup
84 as any other patient (Table 1). Diagnostic specimens should be sent to the
85 appropriate specialist diagnostic laboratory, in line with National Institute for Health
86 and Care Excellence (NICE) guidance¹⁷, and the final integrated report should be
87 discussed in a multi-disciplinary team setting, including clinical and laboratory staff.
88 The growing availability of targeted treatments means that it is imperative that older
89 patients with AML, who are more likely to have unfavourable risk cytogenetics¹, have
90 their disease comprehensively assessed in a timely fashion to ensure that they can
91 be offered the most appropriate therapy.

92 **At Diagnosis**

93 Patients should have a bone marrow examination (aspirate and trephine biopsy) for
94 blast enumeration (with a 300 cell or 500 where indicated differential cell count¹⁸)
95 and flow cytometry to characterise the leukaemic clone immunophenotype to confirm
96 lineage, and because this provides a means of assessing measurable residual
97 disease (MRD) in the absence of a molecular marker¹⁹. For patients with a high
98 white blood count at presentation, diagnostic workup may be performed on the
99 peripheral blood *in lieu* of a bone marrow examination, and this may also be a
100 suitable approach for the frail patient for whom best supportive care is the most
101 appropriate treatment option. Consideration should also be given (with appropriate
102 patient consent) to taking trial samples at the time of diagnostic marrow sampling.

103 Risk stratification remains informed by cytogenetic analysis and given that some
104 treatments (e.g., CPX-351) are currently only licensed in the UK for therapy-related
105 AML or AML with myelodysplasia-related changes (AML-MRC), knowledge of
106 cytogenetic abnormalities is a prerequisite to offer the most appropriate treatment.

107 Genomic classification has increasing therapeutic relevance and the National

108 Genomic Test Directory for Cancer ([https://www.england.nhs.uk/publication/national-](https://www.england.nhs.uk/publication/national-genomic-test-directories/)
109 [genomic-test-directories/](https://www.england.nhs.uk/publication/national-genomic-test-directories/)) currently recommends screening for mutations in several
110 genes (see Table 1). This is likely to be most efficiently achieved using a multi-target
111 next-generation sequencing (NGS) panel approach with a maximum turnaround time
112 of 21 days. However, we recommended rapid screening (turnaround time within 72
113 hours) for mutations of *NPM1*, *FLT3*, *IDH1/2* and *TP53*, given that the presence of
114 *NPM1* and *IDH1/2* mutations may inform treatment decisions (these mutations have
115 been shown to confer a superior response to venetoclax/azacitidine²⁰), whilst *TP53*
116 mutations confer resistance to chemotherapy and an overall poor outcome²¹.

117 **At Relapse**

118 At relapse, patients who remain fit to receive anti-leukaemia therapy, should be re-
119 screened for actionable/targetable mutations. At the least, this should include re-
120 screening for mutations in *FLT3* (both internal tandem duplication; ITD and tyrosine
121 kinase domain; TKD), given the availability of gilteritinib²² in this setting, as well as
122 *IDH1/2* mutations (if trial recruitment is an option). It should be noted that *FLT3*
123 mutations may be acquired or lost at relapse²³, and it is therefore important to
124 reassess for their presence.

125 **Recommendations:**

- 126 • **All patients should have their disease assessed by morphology,**
127 **immunophenotyping, cytogenetics and molecular studies at presentation.**
- 128 • **At relapse, patients should be re-screened for *FLT3* mutations as a**
129 **minimum.**
- 130 • **Peripheral blood is a suitable alternative to bone marrow for the**
131 **diagnostic evaluations if there are circulating blast cells.**

- 132 • **All cases should be discussed at an appropriate local or regional**
133 **haemato-oncology MDT**

134 **Evaluation of Ability to Tolerate Therapy (Comorbidity Assessment and**
135 **Mortality Prediction)**

136 *Evaluation of fitness for treatment in older AML patients*

137 All patients should be assessed for their suitability to receive intensive induction
138 therapy at presentation. Personalised plans, for treatment and survivorship, that
139 account for heterogeneity of ageing and address patient-centred goals such as
140 quality of life, are needed. Although chronological age is informative, it should not be
141 the sole determinant of suitability of a patient for intensive therapy. Different
142 algorithms using chronological age, performance status and cytogenetic/molecular
143 data have been used to determine the probability of CR, early mortality and
144 survival^{24,25} but have not been adopted widely into clinical practice as decision
145 making tools.

146 *Comorbidities*

147 The likelihood of comorbidities increases with age and can affect treatment
148 administration and toxicity^{1,26,27}. Patients with comorbidities are often excluded from
149 clinical studies, limiting data to inform treatment decisions. However, comorbidity
150 indices, such as the Charlson comorbidity index (CCI) and the Hematopoietic Cell
151 Transplantation–specific Comorbidity Index (HCT-CI), have been validated for
152 prediction of outcome in AML patients^{28,29}. The HCT-CI includes objective definitions
153 of comorbidities as well as an assessment of their level of severity^{30,31}. Among 177
154 AML patients aged >60 years and treated with intensive chemotherapy, those with
155 an HCT-CI score ≥ 3 had an early mortality rate of 29% versus 3% and 11% in

156 patients with scores of 0 and 1–2, respectively ($P < 0.001$)²⁹. However, ageing and
157 frailty related to ageing are not entirely a function of comorbidities. Patients with
158 several well-managed comorbidities may be reasonably fit and vice versa. Thus,
159 patients should have an assessment of comorbidities and frailty to better define
160 fitness for intensive therapy³⁰.

161 *Performance Score (PS)*

162 Oncology PS measures, such as the Eastern Cooperative Oncology Group (ECOG)
163 Performance Status (PS) or the Karnofsky PS (KPS), can aid in identifying higher-
164 risk AML patients independently of age. Treatment toxicity assessed by early
165 mortality is higher in older adults with poor PS³². A retrospective analysis assessed
166 outcomes and prognostic factors for 998 patients aged ≥ 65 years with AML or high-
167 risk MDS receiving intensive therapy between 1980 and 2004³³. A multivariate
168 analysis in these patients identified poor ECOG PS (> 2) among the prognostic
169 factors associated with worse CR rate, 8-week mortality, and OS³³. An analysis of
170 2767 AML patients in the Swedish Acute Leukemia Registry evaluated the effect of
171 the decision to treat on outcomes³⁴. In this study, 30-day mortality rates were
172 dependent on both age and PS; however older patients with good PS had low early
173 death rates and patients with poor PS had increased early mortality across all ages.
174 Early death was reported for 36% of patients aged 76–89 years with a PS of 3–4
175 who were given intensive therapy versus 52% of patients who received palliation
176 only ($P = 0.023$). While the early mortality rate was higher in patients with impaired PS
177 across age groups, there were some long-term survivors, suggesting intensive
178 therapy may be of benefit for selected patients³⁴.

179 *Comprehensive Geriatric Assessments*

180 Geriatric assessment (GA) provides a multidimensional characterisation of an older
181 adult, including physical and cognitive function, socioenvironmental status, nutrition,
182 psychological health and medications. The use of comprehensive geriatric
183 assessments (CGA) can help unmask vulnerabilities in older patients and can be
184 used to categorise patients as 'fit', 'prefrail/ vulnerable' or 'frail'. Multiple GA tools
185 have been evaluated in the haematology setting including in AML (Table 2), and
186 have been shown to be predictive of treatment-related toxicity and survival
187 outcomes^{35,36}.

188 The geriatric domain with best evidence supporting prognostic value is physical
189 function and this can be assessed by patient reported surveys such as activities of
190 daily living (ADL) and can be objectively measured using specific tests such as short
191 physical performance battery (SPPB- gait speed, balance, get up to go, grip
192 strength)³⁵. Cognition and polypharmacy have also been shown to predict survival
193 independently in intensively treated AML patients³² and should be assessed and
194 managed with the help of specialists. Indeed in a recent randomised clinical trial of
195 patients with AML, integrated oncology and palliative care led to substantial
196 improvements in quality of life, psychological distress and end of life care³⁷.

197 **Recommendations:**

- 198 • **All patients should be evaluated for their ability to tolerate intensive**
199 **chemotherapy – this should be on the basis of geriatric assessment (GA)**
200 **with emphasis on premorbid performance status, physical function and**
201 **comorbidities. In general, a score of >10 on Edmonton frailty scale, >3 on**
202 **HCT-CI and >2 on ECOG PS would be at a higher risk for treatment related**

203 morbidity and mortality with intensive chemotherapy (See appendices 1-3
204 for recommended GA including age, comorbidities, performance score).

- 205 • Where possible, assess organ function/comorbidities with specialists and
206 tests e.g. echocardiography (ECHO), lung function tests, to aid treatment
207 decisions. Develop the use of a geriatric assessment tool in your local
208 setting.
- 209 • Those considered 'fit' should be additionally evaluated for the most
210 appropriate treatment according to the biological diagnostic features of the
211 AML.

212 Supportive Care

213 *Transfusion*

214 It is standard practice in the UK that cellular blood products are leucodepleted. In
215 recent years, all blood products used routinely are cytomegalovirus (CMV)
216 unselected. Comprehensive transfusion guidelines are available at [https://b-s-
217 h.org.uk/guidelines/](https://b-s-h.org.uk/guidelines/).

218 *Antimicrobials*

219 Antibiotic prophylaxis with quinolones such as ciprofloxacin can be considered in
220 patients at risk of febrile neutropenia or with severe protracted neutropenia when
221 receiving chemotherapy and should be guided by local antimicrobial policy. The
222 importance of local microbiology resistance patterns should be taken into
223 consideration when deciding on quinolone prophylaxis in high-risk neutropenic
224 patients. A Cochrane review demonstrated that the use of prophylactic antibiotics
225 when compared to placebo is effective in reducing overall mortality and infection-
226 related mortality in neutropenic patients³⁸. This effect is most marked in individuals

227 receiving quinolone antibiotics³⁹ such that European Leukemia Net recommends
228 their use². Posaconazole or another mould-active azole is essential to prevent
229 invasive fungal infections during remission-induction therapy and in patients with
230 prolonged neutropenia^{2, 40}. Herpetic virus reactivation is common in the older frail
231 population, a systematic review by the Multinational Association of Supportive Care
232 in Cancer /International Society of Oral Oncology showed that aciclovir is effective in
233 preventing oral herpetic viral disease in patients with hematologic malignancies⁴¹
234 prophylactic aciclovir is relatively common in clinical practice and recent guidelines
235 support this approach⁴².

236 Baseline human immunodeficiency virus (HIV) and hepatitis B/C serology must be
237 checked prior to starting chemotherapy and patients with past or active hepatitis B/C
238 should receive appropriate prophylaxis and be discussed with a
239 hepatologist/virologist.

240 Yearly influenza vaccination with inactivated vaccine is recommended for all patients
241 receiving chemotherapy, for all family and household contacts, and for health care
242 providers. Guidance related to COVID-19 is discussed below.

243 *Symptom Management*

244 Supportive treatment with antiemetics, antidiarrhoeals and analgesia are vital
245 aspects of patient care. Early involvement by the palliative care team for symptom
246 management is recommended. Older patients undergoing chemotherapy can be
247 particularly vulnerable to anxiety, depressive symptoms, weight loss, fatigue and lack
248 of social support. Polypharmacy is common so vigilance for drug interactions is
249 required. A multidisciplinary team including dietitians, nurse specialists,
250 physiotherapists and occupational therapists along with clinical psychology support
251 will help patients through their disease course.

252 **Recommendations:**

- 253 • **Supportive care with transfusion therapy and antimicrobials is less**
254 **evidence based in the older AML patient but has become established**
255 **practice.**
- 256 • **Patients on disease modifying therapy, which induces significant**
257 **neutropenia, should have primary prophylaxis with a quinolone antibiotic,**
258 **mould-active azole and aciclovir, in accordance with local prescribing**
259 **practice.**
- 260 • **Preservation of quality of life is a key goal and timely involvement of**
261 **palliative care and community teams should be encouraged.**

262 **Less Intensive Chemotherapy**

263 *Low dose Cytarabine*

264 Low dose Cytarabine (LDAC) became the standard non-intensive approach for older
265 patients following the results of the AML14 study which showed a marked median
266 survival advantage for LDAC compared to the previous standard-of-care⁴³. In this
267 study, 18% of patients receiving LDAC 20 mg bd for 10 days in a repeating 28-day
268 cycle achieved a CR compared with 1% on standard-of-care. Those who achieved
269 CR, after a median of 114 days treatment, had a significantly longer survival
270 compared with non-remitters: 19.1 months v 2.2 months. Despite this improvement,
271 OS remained poor with 2-year survival of around 9%. All of the advantage of LDAC
272 was seen in patients with favourable or standard risk cytogenetics, with no CRs in
273 unfavourable risk patients. Subsequently, more extensive experience in the Li1 trial
274 has confirmed that few patients with adverse cytogenetics or *FLT3*-ITD respond to
275 LDAC^{44,45}. Significant side effects include marked cytopenia and blood counts may

276 worsen initially. The median time to response is 95 days (3 cycles of treatment); with
277 fewer than 25% of responders achieving complete remission/complete remission
278 with incomplete hematologic recovery (CR/CRi) within 60 days⁴⁴. Hence, for patients
279 tolerating treatment, it is important to persevere with these treatments even if not
280 achieving CR with the initial cycles.

281 *Azacitidine*

282 Azacitidine is a hypomethylating agent (HMA) recommended by NICE for use in
283 patients with high-risk MDS or AML with fewer than 30% bone marrow blasts⁴⁶
284 based on the MDS001 study^{47,48}. Although there is evidence of efficacy in AML with
285 higher blast percentages with similar CR rates compared to conventional
286 chemotherapy (27.8% v 25.1%)⁴⁹ our recommendations follow the NICE guidance.
287 Patients who respond have a significantly improved OS (12.1 months v 6.9;
288 $p=0.019$), with a trend to improved survival even in the absence of true CR⁴¹. Initial
289 studies gave azacitidine 75 mg/m² on seven consecutive days. It is now
290 conventionally given for 5 days, with a 2-day break over a weekend, followed by 2
291 days in the second week – the so-called 5-2-2 schedule. There is no evidence that
292 this divided dosing impairs efficacy. Most patients will experience marked
293 thrombocytopenia with therapy, although this usually recovers and early recovery of
294 platelet counts may predict response⁵⁰. If prolonged cytopenia occurs, the dose of
295 azacitidine will need to be reduced, either by reducing the daily dose, or the number
296 of days of therapy, or by increasing the interval between cycles. The interval should
297 not be increased beyond 6 weeks as it is less likely to be effective at longer intervals.
298 Treatment should be continued for as long as there is haematological benefit.
299 Historically recommendations have been to give a minimum of 4 cycles of therapy,
300 however depending on availability of emerging therapies this may no longer be valid.

301 Decitabine is another HMA approved by the European Medicines Agency (EMA) for
302 patients with newly diagnosed de novo or secondary AML who are not candidates for
303 standard induction chemotherapy. NICE technology evaluation has not been sought,
304 and as a consequence, its use within the UK is largely confined to clinical trials.
305 Within a large real-world data retrospective study in the US⁵¹ there were no
306 differences in survival between decitabine and azacitidine.

307 *Venetoclax*

308 Venetoclax is an oral synthetic inhibitor of the anti-apoptotic protein BCL2 that is
309 overexpressed in AML cells. BCL-2 mediates resistance to apoptosis by
310 sequestering the pro-apoptotic protein BAX. The inhibition of BCL2 by venetoclax
311 releases BAX, resulting in permeabilisation of the mitochondrial outer membrane and
312 apoptotic cell death in AML and other malignancies^{52,53}.

313 Venetoclax was approved by the Food and Drug Administration FDA in 2018 for the
314 treatment of newly diagnosed elderly AML patients, ineligible for intensive
315 chemotherapy, in combination with either HMA or LDAC. The EMA approved
316 venetoclax in combination with azacitidine in May 2021, interim approval by NHS
317 England for treating subtypes of AML in patients aged over 50 years deemed fit for
318 intensive chemotherapy was possible during the COVID-19 pandemic⁵⁴ and finally
319 NICE approval was confirmed December 2021.

320 The early phase studies^{55,56} of venetoclax combined with HMA or cytarabine,
321 demonstrated efficacy with composite CR rates in excess of 60%, with similar
322 response for secondary AML, median time to response of 1.2 months and low
323 treatment-related mortality of 3-6% at 30 days. The follow-on VIALE- A phase 3
324 study randomising venetoclax combined with azacitidine vs azacitidine alone
325 established improved OS (14.7 vs 9.6 months, hazard ratio for death, 0.66; 95%

326 confidence interval, 0.52 to 0.85; $P < 0.001$) for the combination in untreated patients
327 with AML over the age of 75 years. The VIALE-C study randomising to venetoclax or
328 placebo combined with LDAC did not reach its primary endpoint of establishing a
329 significantly better OS, although a post hoc analysis showed improved survival in the
330 combination arm⁵⁷.

331 High response rates and durable remissions occur in those patients with *IDH1/2* and
332 *NPM1* mutations (median response duration 21 and 49 months, respectively). Most
333 of the *NPM1*-mutated AML patients achieved MRD negativity⁵⁸. For the subgroup
334 with *NPM1*-mutant AML, the cytarabine combination has similar efficacy to the
335 azacitidine venetoclax combination and is a suitable alternative. The combination
336 showed efficacy in patients who had prior treatment with HMA although at a reduced
337 rate. *TP53* or *FLT3* mutations had lower CR/CRi rates (30% and 44%,
338 respectively)⁵⁹. As these response rates are superior to existing therapies, the
339 combination is recommended for treating all subtypes of AML. Whilst there is clinical
340 interest in a switch of venetoclax partner from azacitidine to cytarabine where there
341 is disease resistance or intolerance currently data of efficacy is lacking.

342 Recommended dosing schedules are outlined in Table 3. Consideration should be
343 given to admitting the patient to initiate administration of the first cycle of treatment
344 where there is a potential risk of tumour lysis syndrome (TLS) (eg high circulating
345 blasts, favourable molecular profile or pre-existing renal impairment), however the
346 incidence of TLS is low and therapy is increasingly administered in an ambulatory
347 setting for stable patients. In general, venetoclax is well tolerated with nausea,
348 diarrhoea, constipation, decreased appetite, hypokalaemia and fatigue as the main
349 side effects. Cytopenias are frequent and dose adjustment, by reducing the duration
350 of venetoclax, is necessary between cycles for prolonged neutropenia. Response to

351 the combination is rapid⁶⁰. In VIALE A the median time to response of 1.0 month
352 and median time to best response of 2.1 months. 65% of the responses to meet
353 CR/CRi criteria occurred by C1; 76% by two cycles, a further 9% achieved this by
354 cycle 4 and 9% by cycle 6. The comparative responses for VIALE C was CRc
355 achieved in 41% by C2 and 8% responded beyond C2. In both studies achievement
356 of a morphological leukaemia free state by Cycle 2 predicted for later responses, A
357 50% reduction in bone marrow blast percentage compared to baseline also predicted
358 for late responses. In addition, for those achieving CRc, the achievement of a >3 log
359 reduction (flow MRD) first occurred by the end of C1 in 25%; C4 in 27%, C7 in 27%
360 with 21% taking longer. The median duration of response was not reached in patient
361 who achieved this level of MRD at any time⁶¹. (Pratz K, Jonas B, Pullarkat V et al
362 Minimal Residual disease response and prognosis in Treatment Naïve Acute
363 Myeloid Leukemia with Venetoclax and azacytidine . JCO 2022; 40; 855-865).
364 Although the optimal number of cycles has yet to be determined, MRD monitoring
365 should be utilised where applicable eg. *NPM1* molecular monitoring. Treatment
366 should be continued until the patient loses response or experiences severe toxicity.
367 In practice, monthly cycles are given for the first 4 cycles and 6–8 weekly thereafter,
368 dependent on the recovery of blood counts and patient tolerance. The use of
369 granulocyte colony-stimulating factor (G-CSF) is recommended to aid neutrophil
370 recovery once a bone marrow examination demonstrates blast clearance. Patients
371 with an MRD marker should have stringent MRD monitoring to assess response to
372 venetoclax combinations and guide therapy.

373 The bulk of primary or adaptive resistance to venetoclax is accounted for by
374 activating mutations in kinase signalling pathways such as *FLT3*, *RAS* or biallelic
375 mutations/disruptions of *TP53*⁹. Treatment of refractory cases need to take into

376 account the relevant mutational resistance pattern with *FLT3*-TKD displaying
377 resistance to quizartinib and sorafenib⁶²; *FLT3* N676 displays resistance to
378 midostaurin⁶³ and *NRAS* mutations resistance to gilteritinib⁶⁴.

379 The perceived frailty or deterioration in performance status of patients usually
380 improves following the achievement of remission, this allows ongoing maintenance
381 type therapy in the ambulatory setting. There are however a number of retrospective
382 studies which have identified the possibility of allogeneic transplant for a small
383 minority of such patients which encouraging outcomes^{65,66}.

384 **Recommendations:**

- 385 • **The new standard therapy for older AML patients considered unfit for**
386 **intensive chemotherapy is venetoclax and azacitidine.**
- 387 • **Venetoclax combined with LDAC is an alternative combination for those**
388 **patients with *NPM1* mutation.**

389 **Secondary Disease**

390 Secondary AML may represent 25-30% of all cases⁶⁷. It is associated with an
391 adverse outcome compared to de novo disease due to increased disease resistance,
392 relapse and accumulation of secondary genetic lesions⁶⁸. Non-intensive options,
393 including monotherapy LDAC and azacitidine, are used but have limited
394 effectiveness and, like primary disease, are now managed with venetoclax
395 combinations. CPX-351 has recently been approved as an intensive therapy option
396 for patients with secondary AML⁶⁹. This liposomal formulation of fixed ratio
397 cytarabine and daunorubicin avoids some toxicity of conventional chemotherapy but
398 is still associated with cytopenia and septic complications, attenuated schedules
399 designed for the older AML patient with frailty remain investigational.

400 **Emerging Targeted Therapies**

401 The last 3 years has seen the approval of several targeted therapies for the
402 treatment of AML. This has enabled patients with specific molecular lesions, e.g.,
403 *FLT3*-ITD/TKD or *IDH1* or *IDH2* mutation, to benefit from orally delivered targeted
404 approaches. However, there are restrictions on when, and to whom, these drugs can
405 be prescribed, so not everyone will have the opportunity to benefit. Table 4 provides
406 an overview of approvals with specific relevance to the elderly population.

407 Midostaurin inhibits multiple receptor tyrosine kinases, including *FLT3* and *KIT*.

408 Following a positive outcome in the RATIFY trial⁷⁰, it is NICE/Scottish Medicines
409 Consortium (SMC) approved for patients with newly diagnosed *FLT3*-mutated AML,
410 providing it is administered with standard daunorubicin and cytarabine induction
411 therapy. In 2020, the third generation *FLT3* inhibitor, gilteritinib was NICE/SMC
412 approved as monotherapy for adult patients with relapsed or refractory *FLT3*-
413 mutated AML, following data from the ADMIRAL trial showing the superiority of
414 gilteritinib to conventional chemotherapy in this setting, only a small proportion of the
415 study population received low intensity chemotherapy with a median duration of only
416 4 weeks highlighting the lack of efficacy with treatment such as LDAC and AZA²².

417 Ivosidenib and enasidenib are orally available, targeted inhibitors of mutated *IDH1*
418 and *IDH2*, respectively. Both are FDA approved for *IDH1/2*-mutated relapsed or
419 refractory AML based on the positive results from early phase, single arm clinical
420 trials^{71,72}; neither agent is approved in Europe or the UK, although further clinical
421 trials are ongoing, including combination regimens, in both the newly diagnosed and
422 relapsed/refractory setting.

423 For those patients who do not have a specific targetable mutation, therapies that
424 target proteins or pathways common to many different AML subtypes are

425 increasingly being explored. For example, the CD33 monoclonal antibody-drug
426 conjugate gemtuzumab ozogamicin (GO) is approved for use in patients with newly
427 diagnosed AML, in combination with daunorubicin and cytarabine, who have either
428 favourable, intermediate or unknown cytogenetic risk, but not known adverse risk.
429 This is based on data from several clinical trials showing improved outcomes for
430 patients receiving GO^{73,74}. Monoclonal antibodies targeting the cell surface
431 molecules CD47 (magrolimab)⁷⁵ and CD70 (cusatuzumab)⁷⁶ are in early phase
432 clinical trials in AML, including in elderly patients with relapsed/refractory disease
433 and appear to be well tolerated with some efficacy, but additional data is urgently
434 needed. Other approaches that show some promise in AML are targeting self-
435 renewal pathways, cell cycle or apoptosis, usually in combination with conventional
436 therapy. For example, the smoothened (SMO) antagonist, glasdegib, has been
437 approved by the FDA, in combination with LDAC for the treatment of elderly patients
438 with newly diagnosed AML⁷⁷; it is not yet approved in Europe or the UK. Several
439 MCL1 inhibitors are being combined with venetoclax in early phase clinical trials and
440 results are eagerly anticipated⁷⁸.

441 **Management of Relapse**

442 The median survival for elderly patients with relapsed AML is measured in months,
443 hence the management of relapse in this scenario is generally considered palliative.
444 For patients wishing to explore experimental therapies, early consideration of an
445 investigational approach in a trial or extended access programme should be
446 undertaken. Particular issues likely to influence management decisions in this
447 population include frailty, co-morbidities, distance from treating centre and availability
448 of caregiver or social support.

449 At the time of relapse, evaluation should focus on patient fitness and holistic
450 decision making as to whether further therapy is appropriate.

451 In conclusion, management of relapse in the elderly or unfit is complex, requiring
452 understanding of the interplay of disease characteristics, psychosocial scenarios,
453 fitness, and analysis of the benefits versus otherwise of potentially toxic therapies.
454 Given the very poor prognosis in this situation, where possible we strongly
455 recommend exploration of the possibility of clinical trials for this patient population.

456 **Recommendations:**

- 457 • **All patients should have their disease assessed by morphology at relapse;**
458 **immunophenotyping, cytogenetics and molecular studies are also**
459 **indicated for select patients at relapse.**
- 460 • **A previous good response to therapy may encourage a repeat challenge.**
- 461 • **Investigational approaches may be appropriate for patients who have**
462 **targetable lesions, lack comorbidity and have a good performance status.**
- 463 • **Survival from relapse is short with palliative care usually being**
464 **appropriate.**

465 **Clinical Trials**

466 This patient population has historically contributed low recruitment to clinical trials
467 due to ineffective therapies, adverse disease biology and physical limitations of the
468 older AML patient – thus the population has not been well served by conventional
469 clinical trials. However, with relatively short survival, innovation can rapidly lead to
470 patient benefit as seen with venetoclax.

471 Some trial designs, most notably “Pick-a-winner”⁷⁰, have allowed enrolment of a
472 small number of patients to consider the efficacy of the agent with regard to a

473 survival surrogate (remission). Progression of the agent towards comparison of
474 survival against standard-of-care, may include the initial patients and can happen
475 contemporaneously with review of other agents. Where remission is accepted as a
476 suitable surrogate, this design improves efficiency of evaluating multiple new
477 therapies sequentially⁷⁹.

478 Whilst emerging therapies will be required to demonstrate an improvement in OS,
479 regulatory drug approval agencies accept improvement in Quality of Life (QoL) as a
480 criterion for drug approval and QoL has received some attention in this patient
481 population. Data⁸⁰ suggest that patients who achieve CR demonstrate improved
482 QoL. However, further work is required to consider more focused tools for QoL and
483 Patient Reported Outcomes Measures (PROMs) and to include patients not currently
484 served by treatment trials.

485 Inclusion of several collaborative groups has accelerated completion of some trial
486 protocols in this population. In order to recruit to sufficiently powered questions in
487 genetic profile designated sub-populations, in this rare disease, wider international
488 collaboration is essential.

489 **Recommendations:**

- 490 • **Older patients with AML continue to have a short life expectancy – clinical**
491 **trials present an opportunity for improving outcome and should be**
492 **considered in all patients at presentation and relapse.**
- 493 • **Future research is needed to extend findings with a focus on reserve**
494 **capacity, resilience, quality of life and the effectiveness of non-oncological**
495 **interventions.**
- 496 • **Novel trial designs that serve this rare complex population are warranted.**

497 **Special Situations**

498 *COVID-19 and AML*

499 Patients may present with **coronavirus disease 2019 (COVID-19)** at the time of
500 diagnosis of AML or, more probably, during their treatment for AML. Such patients
501 should be managed in isolation facilities, or in cohorts and this presents challenges
502 for the delivery of chemotherapy. NICE has issued rapid guidance for blood and
503 marrow transplantation during the pandemic (NG164) and the National Cancer
504 Research Institute (NCRI) AML Subgroup has issued guidance for chemotherapy in
505 AML⁵⁴. Consideration should be given to minimising the amount of time spent in
506 hospital, delaying/deferring treatment where possible.

507 *Acute Promyelocytic Leukaemia*

508 Acute promyelocytic leukaemia (APL) is relatively rare in the older AML patient; early
509 mortality rate in clinical trials was high and ranged between 10 and 18%^{81,82}. Early
510 deaths occur due to bleeding and from infections/sepsis or multiorgan failure.^{1,2,3}
511 Registry data further demonstrate that age over 60 years was associated with a
512 significantly shorter OS, mainly influenced by the high rate of early mortality^{83–86}.
513 Once APL is suspected, *all-trans*-retinoic acid (ATRA) should be initiated
514 immediately. Due to the high incidence of early mortality, transfusions of fibrinogen
515 and/or cryoprecipitate, platelets, and fresh-frozen plasma should be given to
516 maintain the fibrinogen concentration above 1.0-1.5 g/l, platelet count above 30-50 x
517 10⁹/l and the International Normalised Ratio (INR) below 1.5⁸⁷.
518 Older patients are able to achieve durable remissions and hence should be treated
519 with this intention.

520 High risk fit older patients with high-risk APL (white cell count $>10 \times 10^9/l$) can be
521 treated with a similar treatment approach to that used in younger patients, although
522 dose reduction should be considered with chemotherapy (especially anthracyclines)-
523 based regimens⁸⁷⁻⁹⁰. Patients with a high white cell count $>10 \times 10^9/l$ should receive
524 prophylactic corticosteroids which can potentially reduce the risk of APL
525 differentiation syndrome^{87,89}. Dexamethasone 10 mg intravenously twice a day
526 should be started immediately at the earliest clinical suspicion of APL differentiation
527 syndrome.

528 Standard risk: standard risk patients are candidates to receive arsenic trioxide
529 (ATO)-based regimens. Results from two randomised trials have shown safety and
530 efficacy of an ATO plus ATRA approach in older patients^{90,91}.

531 **Recommendations:**

- 532 • **Remission rates and early mortality are high – as with APL in younger**
533 **patients immediate supportive measures and ATRA treatment are**
534 **mandated. ATRA and ATO are suitable for most patients.**
- 535 • **High risk patients should be considered for dose-reduced idarubicin based**
536 **therapy.**

537 **Extramedullary Manifestations**

538 Extramedullary manifestations of AML include myeloid sarcoma (granulocytic
539 sarcoma or chloroma) and leukaemia cutis. Baseline imaging with computer
540 tomography (CT), positron emission tomography-CT (PET-CT) or magnetic
541 resonance imaging (MRI) can help with diagnosis and monitoring treatment
542 response and should be part of the initial diagnostic work-up where extramedullary
543 disease is suspected.

544 Treatment options include chemotherapy (intensive or non-intensive) or localised
545 radiotherapy. Older patients unfit for chemotherapy may be offered localised
546 palliative radiotherapy for symptom control.

547 **Summary**

548 Older patients with AML account for nearly half of those with the disease. Because
549 they are perceived to be unfit, unwilling, or unlikely to benefit from conventional
550 intensive chemotherapy they represent an important unmet need. The observation
551 that LDAC improved survival and apparent QoL compared to best supportive care
552 established a standard-of-care for the older AML patient. The introduction of HMAs
553 improved survival without substantially improving the rate of remission and became
554 globally considered the new standard. Newer combinations show considerable
555 promise, and indeed have received regulatory approval for this patient group.
556 Venetoclax combinations are the new standard-of-care – the challenge is now to
557 investigate their optimal use in treatment algorithms based on patient and disease
558 profile. Future evaluations with additional or alternative partner therapies based on
559 disease biology should improve the prognosis for such patients further.

560

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575

576 Disclaimer

577 While the advice and information in this guidance is believed to be true and accurate
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Tables/Figures

Table 1: Diagnostic Investigations for AML in the Older Adult

Diagnostic	Diagnosis	Relapse
Bone marrow aspirate	√	√
Bone marrow trephine biopsy	√	√
Flow cytometric immunophenotyping	√	√
Cytogenetic analysis (G-banding and FISH panels) (within 5 working days)	√	√ ^a
Rapid <i>FLT3</i> ITD and TKD mutation screen (within 72 hours)	√	√
Rapid <i>NPM1</i> mutation screen (within 72 hours)	√	
Multi-target NGS panel including minimum of: <i>IDH1/2</i> screen <i>TP53</i> screen <i>ASXL1</i> screen <i>RUNX1</i> screen	√ √ ^{b,c} √ ^{b,c} √ √	
<p>Abbreviations: AML, acute myeloid leukaemia; FISH, fluorescence <i>in situ</i> hybridisation</p> <p>a If no unfavourable risk cytogenetic abnormalities were found at diagnosis, or if the patient has experienced a prolonged remission (>12 months), then cytogenetics should be rechecked at relapse. b Rapid mutation screening assays for <i>IDH1/2</i> and <i>TP53</i> are recommended as these mutations may impact early treatment decisions.</p> <p>c National Genomic Test directory for Cancer (https://www.england.nhs.uk/publication/national-genomic-test-directories/) currently recommends screening for mutations in following genes at diagnosis; <i>NPM1</i>, <i>CEBPA</i>, <i>RUNX1</i>, <i>FLT3</i>, <i>IDH1</i>, <i>IDH2</i>, <i>KIT</i>, <i>WT1</i>, <i>ASXL1</i>, <i>SRSF2</i>, <i>STAG2</i>, <i>RAD21</i>, <i>TP53</i>, <i>KRAS</i>, <i>NRAS</i>, <i>MLL (KMT2A)</i>-PTD, <i>PPM1D</i></p>		

Table 2 Geriatric Assessment Domains

Geriatric Assessment Domain	Tests/tools Used
Comorbidity	Charlson Comorbidity Index (CCI) Cumulative Illness Rating Scale-Geriatric (CIRS-G) Hematopoietic Cell Transplant-specific Comorbidity Index (HCT-CI)
Cognition	Blessed Orientation-Memory-Concentration (BOMC) Mini-Mental State Examination (MMSE) Modified mini-mental state examination (3MS)
Mental Health	Hospital Anxiety and Depression Scale (HADS) Geriatric Depression Scale-15 (GDS-15) Mental Health Inventory-17 (MHI-17) Medical outcomes short form-36 health-related quality of life questionnaire-Mental Component Score (SF36-MCS)
Functional status	Activities of Daily Living (ADL) Instrumental Activities of Daily Living (IADL) Eastern Cooperative Oncology Group Performance Status (ECOG PS) Karnofsky Performance Status (KPS) Medical outcomes short form-36 health-related quality of life questionnaire (SF36-PCS) Falls Short Physical Performance Battery (SPPB) Grip strength Timed up and go test Walk speed
Frailty	Fried frailty index Rockwood Frailty scale The Edmonton Frailty scale
Nutrition	Body Mass Index (BMI) Weight loss Serum albumin
Polypharmacy	Number of medications
Social support	Medical Outcomes Study (MOS) social activity limitations/social support subscales
Quality of life	European Organization for Research and Treatment of Cancer Quality of Life Questionnaire (EORTC QLQ-C30)

Table 3: Dosing Recommendations for Venetoclax from the NCRI AML Working Party

The following treatment schedule is recommended (other schedules may be used according to established local practice)	
Azacitidine schedule	<ul style="list-style-type: none"> • Azacitidine 75 mg/m² SC, once a day D1-7 (or D1-5 and D8-9) • Venetoclax (cycle 1) 100 mg D1, 200 mg D2, 300 mg D3 and 100 mg* D4-D28 orally once daily • Cycle 2 onwards: Venetoclax 100 mg D1-D28 orally (see below for guidance on changing number of days per cycle) • Posaconazole (cycle 1) 300 mg twice daily on D4 and once daily on D5-28 (cycle 2 onwards) 300 mg once daily on D1-D28 or • Voriconazole (cycle 1) 400 mg twice daily on D4 and once daily on D5-28 (cycle 2 onwards) 200 mg twice daily on D1-D28
Cytarabine schedule	<ul style="list-style-type: none"> • Cytarabine 20 mg/m² SC once a day on D1 to 10 • Venetoclax (cycle 1) 100 mg D1, 200 mg D2, 300 mg D3 and 100 mg* D4-D28 orally once daily • Cycle 2 onwards: Venetoclax 100 mg D1-D28 orally (see below for guidance on changing number of days per cycle) • Posaconazole (cycle 1) 300 mg twice daily on D4 and once daily on D5-28 (cycle 2 onwards) 300 mg once daily on D1-D28 or • Voriconazole (cycle 1) 400 mg twice daily on D4 and once daily on D5-28 (cycle 2 onwards) 200 mg twice daily on D1-D28
Dose adjustments for haematological toxicity	<p>Venetoclax-based regimens are associated with significant haematological toxicity. We recommend considering hospital admission for at least the first 5 days of cycle 1; it may be safer in some cases that patients remain admitted until count recovery after cycle 1.</p> <ul style="list-style-type: none"> • Venetoclax should not be interrupted for haematological toxicity prior to documentation of marrow response on D21-28 • If blast clearance is confirmed and the patient has grade 4 neutropenia, G-CSF may be commenced until neutrophil recovery • Commence next cycle when neutrophil count >1 x 10⁹/l and platelet count >75 x 10⁹/l • If counts have not recovered above these levels by D42 a bone marrow aspirate should be performed • Once in CR, if grade 4 neutropenia or thrombocytopenia develops, cease venetoclax and commence G-CSF until resolution of grade 4 neutropenia • If grade 4 toxicity persists beyond day 42 of the previous cycle, the duration of venetoclax may be reduced to 14-21 days • If prolonged treatment-related grade 4 neutropenia or thrombocytopenia occurs in subsequent cycles, azacitidine treatment could also be reduced to 5 days

The following treatment schedule is recommended (other schedules may be used according to established local practice)	
	<ul style="list-style-type: none"> • In patients who have not yet been confirmed to be in CR[†], the length of treatment cycles should not be altered
Dose adjustments for non-haematological toxicity	<ul style="list-style-type: none"> • In patients with grade 3-4 abnormal liver function tests (i.e., ALT, AST and bilirubin), venetoclax and any potentially hepatotoxic drugs (including azole antifungals) should be withheld until these have resolved to grade 2 or below and then venetoclax (and the azole antifungal if applicable) should be restarted at the original dose • Venetoclax should not be interrupted for any other non-haematological toxicity for patients who are not in CR • In patients in CR with grade 3 or 4 non-haematological toxicity thought to be related to venetoclax, this should be withheld until the toxicity has resolved to grade 2 or below and then restarted at the original dose
<p>Abbreviations: ALT, alanine aminotransferase; AST, aspartate aminotransferase; CR, complete response; D, day; G-CSF, granulocyte colony-stimulating factor</p> <p>* Please note the dose drops on D4 to account for the azole loading</p> <p>† Patients who do not achieve CR after cycle 2 should be discussed at a Multidisciplinary Team Meeting.</p>	

Table 4: Emerging Targeted Therapies, Approval Status and Clinical Data Supporting Use in Elderly AML Patients

	Indication/ target	Approval status	Clinical data in elderly AML patients	References
Midostaurin	<i>FLT3</i> -mutated, newly diagnosed AML	FDA, EMA, NICE & SMC approved in combination with daunorubicin and cytarabine induction chemotherapy	In combination with intensive chemotherapy in 61-70 years age group (n=86): CR/CRi 77.9%, 2yr EFS 34% & OS 46%.	(Schlenk, <i>et al</i> 2019) ⁹²
			In combination with azacitidine in <i>FLT3</i> wildtype AML (age range 59-85; n=24): clinical response 29%, medical survival 244 days. Multiple cycles poorly tolerated.	(Tomlinson, <i>et al</i> 2020) ⁹³
Gilteritinib	<i>FLT3</i> -mutated, R/R AML	FDA, EMA, NICE & SMC approved as a single agent	ADMIRAL trial median age 62 years; hazard risk for death 0.64 for gilteritinib vs salvage chemotherapy in ≥65 year old population (n=106). Median OS 9.3 months overall.	(Perl, <i>et al</i> 2019) ²²
Quizartinib	<i>FLT3</i> , newly diagnosed, R/R AML	Not approved	LI-1 trial in combination with LDAC in unselected elderly AML, median age 77 yr (range 60-89; n=201); CR/CRi 16% for quizartinib +LDAC versus 10% for LDAC; no difference in OS. Monotherapy in R/R elderly (>60 years) AML population (92 <i>FLT3</i> -ITD+; 41 <i>FLT3</i> -ITD negative). CRp/CRi in <i>FLT3</i> -ITD+ 54%; median OS 25 weeks.	(Dennis <i>et al</i> , 2020) ⁴⁵ (Cortes, <i>et al</i> 2019b) ⁹⁴
Sorafenib	<i>FLT3</i> -mutated, newly diagnosed	Not approved in AML. FDA approved in patients with inoperable hepatocellular cancer and advanced renal cell carcinoma	Unselected AML: In combination with 7+3 regimen in elderly fit population, median age 67 (range 61-78; n=102), no improvement in EFS or OS.	(Serve, <i>et al</i> 2013) ⁹⁵
			<i>FLT3</i> -mutated AML: In combination with 7+3 regimen in elderly fit population, median age 67 yr (range 60-83; n=54)– 1-year OS 62% and median OS 12.2 months.	(Uy, <i>et al</i> 2017) ⁹⁶
			<i>FLT3</i> -mutated AML: In combination with azacitidine in less fit elderly population; median age 74 (age range 61-86; n=27), clinical response 78%, Median OS 8.3 months.	(Ohanian, <i>et al</i> 2018) ⁹⁷
Ivosidenib	<i>IDH1</i> -mutated, newly diagnosed elderly & R/R AML	FDA approved 2018/19; not approved by EMA in 2020	FDA approval as single agent in 2019 for newly diagnosed AML in patients ≥75 years with a susceptible <i>IDH1</i> mutation and unfit for intensive therapy. This was based on trial NCT02074839 subgroup analysis; 34 patients treated, median age 76.5 years (range 64-87 years); 14/33 (42.4%) CR/CRh.	(Roboz, <i>et al</i> 2020) ⁹⁸
			Combination with azacitidine (NCT02677922): 23 patients, median age 76 years (range 61-88); ORR 78.3%; CR 60.9%, 12 month OS 82%, median OS not reached at 16 months	(DiNardo, <i>et al</i> 2021) ⁹⁹

	Indication/ target	Approval status	Clinical data in elderly AML patients	References
Enasidenib	IDH2- mutated, R/R AML	FDA approved 2017; not approved by EMA in 2019	Phase I/II trial as single agent in elderly unfit AML patients, median age 77 years (range 58-87; n=39). Overall response rate 31% with CR 18%; median OS 11.3 months.	(Pollyea, <i>et al</i> 2019) ¹⁰⁰
Gemtuzumab ozogamicin	CD33- positive, newly diagnosed AML	FDA, EMA, NICE & SMC approved in combination with daunorubicin and cytarabine induction chemotherapy	Approved in combination with daunorubicin and cytarabine induction therapy for adults with good and intermediate prognosis AML based on following data: ALFA0701 – newly diagnosed AML, median age 62 years (range 50-70; n=280). No difference in CR/CRi (81% vs 75%), but improved 2-year EFS and OS (41% and 53% with addition of GO versus 17% and 42% with no GO, respectively). AML16 (UK) – newly diagnosed AML, median age 67 years (range 51-84; n=1111). No difference in CR/CRi (70% vs 68%), but reduced 3-year relapse and improved OS (68% and 25% with addition of GO versus 76% and 20% with no GO, respectively). However, in comparison, AML17 (EORTC+GIMEMA) -472 patients aged 61-75 years showed no benefit of addition of GO to treatment of older patients.	(Burnett, <i>et al</i> 2012) ¹⁰¹ (Castaigne, <i>et al</i> 2012) ¹⁰² (Amadori, <i>et al</i> 2013) ¹⁰³
Magrolimab	CD47	Not approved; currently in Phase 2 trials	Phase I/II clinical trial in combination with azacitidine in patients unfit for intensive treatment, median age 73 years (range 31-89; n=52 with 34 evaluable); CR/CRi 48%; median OS 12.9 months in TP53mutated and 18.9 months in TP53-wild-type.	(Sallmann <i>et al</i> , 2020) ¹⁰⁴
Cusatuzumab	CD70	Not approved; currently in Phase 2 trials	Phase 1 trial in combination with azacitidine in newly diagnosed elderly AML patients, median age 75 years (range 64-84; n=12). CR/CRi/CRp in 12/12 – 100%; median PFS not reached at data cut off.	(Riether, <i>et al</i> 2020) ¹⁰⁵
Glasdegib	Smoothened SMO	FDA approved in combination with LDAC in newly diagnosed elderly unfit AML	Randomised phase 2 trial comparing LDAC + glasdegib (n=88) vs LDAC (n=44), median age 76 years, CR 17% vs 2.3% and OS 8.8 months vs 4.9 months, respectively.	(Cortes, <i>et al</i> 2019a) ⁷⁷

Abbreviations: AML, acute myeloid leukemia; CR, complete remission; CRh, complete remission with partial recovery of peripheral blood counts; Cri, complete remission with incomplete hematologic recovery; CRp, complete remission with incomplete platelet recovery; FDA, Food and Drug Administration; EFS, event-free survival; EMA, European Medicines Agency; GO, gemtuzumab ozogamicin; LDAC, low-dose cytarabine; NICE, National Institute for Health and Care Excellence; OS, overall survival; ORR, overall response rate; PFS, progression-free survival; R/R, relative risk; SMC, Scottish Medicines Consortium

Appendix 1

Figure 1

The Edmonton Frail Scale

NAME : _____

d.o.b. : _____ **DATE :** _____

Frailty domain	Item	0 point	1 point	2 points
Cognition	Please imagine that this pre-drawn circle is a clock. I would like you to place the numbers in the correct positions then place the hands to indicate a time of 'ten after eleven'	No errors	Minor spacing errors	Other errors
General health status	In the past year, how many times have you been admitted to a hospital?	0	1-2	≥2
	In general, how would you describe your health?	'Excellent', 'Very good', 'Good'	'Fair'	'Poor'
Functional independence	With how many of the following activities do you require help? (meal preparation, shopping, transportation, telephone, housekeeping, laundry, managing money, taking medications)	0-1	2-4	5-8
Social support	When you need help, can you count on someone who is willing and able to meet your needs?	Always	Sometimes	Never
Medication use	Do you use five or more different prescription medications on a regular basis?	No	Yes	
	At times, do you forget to take your prescription medications?	No	Yes	
Nutrition	Have you recently lost weight such that your clothing has become looser?	No	Yes	
Mood	Do you often feel sad or depressed?	No	Yes	
Continence	Do you have a problem with losing control of urine when you don't want to?	No	Yes	
Functional performance	I would like you to sit in this chair with your back and arms resting. Then, when I say 'GO', please stand up and walk at a safe and comfortable pace to the mark on the floor (approximately 3 m away), return to the chair and sit down'	0-10 s	11-20 s	One of : >20 s , or patient unwilling , or requires assistance
Totals	Final score is the sum of column totals			

Scoring :

0 - 5 = Not Frail

6 - 7 = Vulnerable

8 - 9 = Mild Frailty

10-11 = Moderate Frailty

12-17 = Severe Frailty

TOTAL

/17

Administered by : _____

Appendix 2

Figure 2

Assign scores appropriately if the recipient has any of these co-morbidities
 UPN _____ CIBMTR Center # _____ CRID _____ Date _____

<u>Co-morbidity</u>	<u>Definition/compartments</u>	<u>Yes</u>	<u>Score</u>
1. Arrhythmia	-Atrial fibrillation* -Atrial flutter* -Sick sinus syndrome* -Ventricular arrhythmia*	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	1
2. Cardiovascular	-Coronary artery disease* -Congestive heart failure* -Myocardial infarction* -Ejection fraction $\leq 50\%$ §	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	1
3. Inflammatory bowel disease	-Crohn's disease* -Ulcerative colitis*	<input type="checkbox"/> <input type="checkbox"/>	1
4. Diabetes	-Treated with insulin or oral hypoglycemic drugs§	→	1
5. Cerebro-vascular	-Transient ischemic attacks* -Cerebro-vascular ischemic or hemorrhagic stroke*	<input type="checkbox"/> <input type="checkbox"/>	1
6. Depression/anxiety	-Requiring psychological consultation and/or specific treatments§	→	1
7. Hepatic - mild	-Chronic hepatitis§ -Bilirubin $>ULN- 1.5 \times ULN$ § -AST/ALT $>ULN- 2.5 \times ULN$ §	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	1
8. Obesity	-Body mass index >35 (adults)§ -Body mass index-for-age $\geq 95\%$ percentile (children)§	<input type="checkbox"/> <input type="checkbox"/>	1
9. Infection	-Requiring anti-microbial treatment before, during, and after the start of conditioning§	→	1
10. Rheumatologic	-Requiring Treatment*	→	2
11. Peptic ulcer	-Confirmed by endoscopy and requiring treatment*	→	2
12. Renal	-Serum creatinine $>2mg/dl$ (or $>177\mu mol/L$)§ -On dialysis§ -Prior renal transplantation*	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2
13. Pulmonary - Moderate	-DLco corrected for hemoglobin 66-80% of predicted§ -FEV1 66-80% of predicted§ -Dyspnea on slight activity§	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	2
14. Pulmonary - Severe	-DLco corrected for hemoglobin $\leq 65\%$ of predicted§ -FEV1 $\leq 65\%$ of predicted§ -Dyspnea at rest or requiring oxygen therapy§	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
15. Heart valve disease	-Except asymptomatic mitral valve prolapse§	→	3
16. Prior solid malignancy	-Treated with surgery, chemotherapy, and/or radiotherapy, excluding non-melanoma skin cancer*	→	3
17. Hepatic - moderate/severe	-Liver cirrhosis§ -Bilirubin $> 1.5 \times ULN$ § -AST/ALT $> 2.5 \times ULN$ §	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	3
Total Score			□

*Diagnosed at any time in the patient's past history
 §Detected at the time of pretransplant assessment - ULN indicates upper limit of normal; DLco, diffusion capacity of carbon monoxide; FEV1, forced expiratory volume in one second; AST, aspartate aminotransferase; and ALT, alanine aminotransferase

Appendix 3

Figure 3

ECOG Performance Status

These scales and criteria are used by doctors and researchers to assess how a patient's disease is progressing, assess how the disease affects the daily living abilities of the patient, and determine appropriate treatment and prognosis. They are included here for health care professionals to access.

ECOG PERFORMANCE STATUS*	
Grade	ECOG
0	Fully active, able to carry on all pre-disease performance without restriction
1	Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, e.g., light house work, office work
2	Ambulatory and capable of all selfcare but unable to carry out any work activities. Up and about more than 50% of waking hours
3	Capable of only limited selfcare, confined to bed or chair more than 50% of waking hours
4	Completely disabled. Cannot carry on any selfcare. Totally confined to bed or chair
5	Dead

* As published in Am. J. Clin. Oncol.:

Oken, M.M., Creech, R.H., Tormey, D.C., Horton, J., Davis, T.E., McFadden, E.T., Carbone, P.P.: Toxicity And Response Criteria Of The Eastern Cooperative Oncology Group. Am J Clin Oncol 5:649-655, 1982.