



[Reynolds, B.](#), O'Connell, S. and [Cullen, B.](#) (2022) Validation of the Distress Thermometer in Parkinson's disease. *[Progress in Neurology and Psychiatry](#)*, 26(4), pp. 32-35. (doi: [10.1002/pnp.769](https://doi.org/10.1002/pnp.769))

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1 **Preliminary Validation of the Distress Thermometer in People with**  
2 **Parkinson’s Disease**

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19 Running title: Distress Thermometer in PD

20 Word count of main text: 1886

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## **Summary**

23 The Distress Thermometer (DT) has been validated across various medical conditions, but no  
24 studies have investigated its performance in people with Parkinson's disease (PD). In this study,  
25 the authors aimed to determine if the DT is valid and reliable in a sample of participants with PD  
26 recruited from a movement disorder clinic. Use of a tool such as the DT allows staff to identify  
27 and address the most distressing symptoms and refer patients on for specialist input when  
28 needed.

29

## **Keywords**

31 Parkinson Disease; psychological distress; validation; questionnaire

32

33

## Introduction

34 Psychological distress, including anxiety and depression, has been widely shown to be under-  
35 reported in people with Parkinson's disease (PD) and to have a negative impact on quality of  
36 life.<sup>1</sup> It is essential that healthcare professionals are aware of the impact of distress and can  
37 screen for this in medical settings. One widely-used brief screen is the Distress Thermometer  
38 (DT), originally developed for use with cancer patients<sup>2</sup> and since validated in other clinical  
39 populations. It is a visual analogue scale ranging from 0-10 with individuals required to circle the  
40 number which best represents their levels of distress in the past week, with higher scores  
41 indicating higher levels of distress. They are then asked to indicate the source of this distress  
42 under domains including practical, family and/or emotional problems, by completing the  
43 accompanying problem list (PL).

44 National Institute for Health and Care Excellence (NICE) guidelines for chronic health  
45 conditions state that screening tools should be used when depression is suspected, in order to  
46 inform stepped care interventions, with the DT mentioned as being a useful screening tool.<sup>3</sup> It  
47 can be of particular use when an individual has motor or literacy difficulties. The use of a tool  
48 such as the DT allows staff to identify and address the most distressing symptoms and refer  
49 patients on for specialist input when needed. However, the validity and reliability of the DT for  
50 identifying distress in people with PD has not been formally examined in the research literature.

51 This study aimed to assess the validity of the DT for detecting distress in people with PD. Test-  
52 retest reliability was also evaluated to assess the stability of the DT before and after a routine  
53 medical consultation. Lastly, this study examined responses on the PL to identify its usefulness  
54 as a potential explanation of participants' DT scores.

## Materials and Methods

55

### 56 **Participants**

57 This study was approved by the Research and Development Department in NHS Ayrshire and  
58 Arran (reference 2019AA030) and NHS Grampian Research Ethics Committee (reference  
59 19/NS/0112). All participants gave written informed consent after reading the study information  
60 sheet and having the opportunity to ask questions. Participants were recruited from the NHS  
61 Ayrshire and Arran Movement Disorders Clinic (MDC) in Scotland, UK. Inclusion criteria were  
62 diagnosis of idiopathic PD; registered with an MDC Consultant; able to give informed consent;  
63 able to understand and respond to the study questionnaires (carer/staff may assist with writing if  
64 necessary); aged 18 years or older. Other comorbid neurodegenerative disease (except dementia)  
65 was an exclusion criterion. The target sample size was  $N = 102$  (see power calculation in  
66 Supplementary Information online at <https://osf.io/mjt7k/>). Recruitment began in October 2019  
67 and was forced to cease in March 2020 due to the outbreak of COVID-19 and subsequent  
68 lockdown restrictions.

### 69 **Measures and Procedure**

70 The DT is an 11-point visual scale. The associated problem list used in this study was tailored for  
71 movement disorders (MD-PL; see Supplementary Tables online at <https://osf.io/mjt7k/>). The  
72 measure used as the comparator to examine validity was the Hospital Anxiety and Depression  
73 Scale (HADS),<sup>4</sup> which is a self-report measure that yields separate totals for depression and  
74 anxiety (range 0-21).

75 A PD nurse screened upcoming MDC appointment schedules to identify eligible patients and  
76 mailed out the study information sheet. The DT was completed by the patient in the clinic just

77 before their appointment (as per current routine practice). During the appointment, the healthcare  
78 professional asked eligible patients if they were willing to talk to the researcher about the study.  
79 Those who were willing met with the researcher in the clinic immediately after their  
80 appointment. After giving informed consent, participants completed the study questionnaires in  
81 the clinic (the DT again plus the HADS). Information was also collected on gender, age,  
82 employment and relationship status, year of PD diagnosis, and whether the DT score changed  
83 after the appointment compared to beforehand.

#### 84 **Statistical analysis**

85 Data were analysed using SPSS version 26. Descriptive statistics were used to characterise the  
86 sample. Spearman correlations quantified the relationship between the DT and the HADS (both  
87 post-appointment). As the Bonferroni-corrected significance threshold was 0.025 for these  
88 analyses (see power calculation in Supplementary Information online at <https://osf.io/mjt7k/>), the  
89 98% confidence interval (CI) is presented with these correlation estimates instead of the usual  
90 95% CI. Spearman correlations were also used to examine test-retest stability between the pre-  
91 and post-appointment DT scores.

92 Descriptive statistics were reported for the number and type of problems endorsed on the MD-  
93 PL, pre- and post-appointment. Spearman correlations quantified the relationship between the  
94 DT score and number of problems identified by participants, both overall and within each of the  
95 seven problem domains. Due to the large number of correlations this entailed, Bonferroni  
96 correction was deemed to be too restrictive, and the false discovery rate (FDR) correction<sup>5</sup> was  
97 applied instead across these MD-PL analyses using an online calculator  
98 (<https://www.sdmproject.com/utilities/?show=FDR>). As the false positive rate is already

99 controlled by this method, the significance threshold for these results was 0.05 and the 95% CI is  
100 reported.

## 101 **Results**

102 Forty people took part; see Supplementary Information (online at <https://osf.io/mjt7k/>) for a  
103 flowchart of recruitment. Demographic information is presented in Table 1 and descriptive  
104 statistics for the DT and HADS in Table 2.

### 105 **Is the DT a valid measure to detect distress in patients with PD?**

106 The HADS anxiety subscale demonstrated a large, significant correlation of 0.68 with the DT,  $p$   
107  $<0.001$ , 98% CI 0.38 to 0.85. The HADS depression subscale also demonstrated a large,  
108 significant correlation of 0.58 with the DT,  $p <0.001$ , 98% CI 0.24 to 0.79.

### 109 **Does the DT show test-retest stability in PD patients when measured before and after a** 110 **medical appointment relating to their PD care?**

111 A very large, significant pre-post correlation was observed:  $\rho$  0.98,  $p <0.001$ , 95% CI 0.96 to  
112 0.99. The DT scores changed post-appointment for eight participants: two participants' scores  
113 decreased by one point and the other six increased by 1-2 points.

114 For the two participants whose DT scores had decreased, both explained that they had felt  
115 reassured talking about their diagnosis with their PD nurse. Varied reasons were given for  
116 increased scores. One participant noted that seeing all the potential problems written down  
117 increased their distress, and another reported that since completing the pre-appointment DT, they  
118 had thought more about the problems listed and identified more, leading to a higher reported  
119 level of overall distress. A third participant reported increased distress due to time pressure while

120 completing the study measures (needing to go to another appointment). Other reasons were  
121 linked to the emotional domain of the PL and involved issues arising during their appointment  
122 regarding limitations of treatment.

123 **What are the most common problems endorsed on the MD-PL in this population?**

124 Medians and quartiles for the number of problems identified per domain pre- and post-  
125 appointment are given in Supplementary Table 1 (online at <https://osf.io/mjt7k/>). Supplementary  
126 Table 2 (online at <https://osf.io/mjt7k/>) lists the types of problems endorsed.

127 In the physical domain, the most frequently reported problems were sleep problems and fatigue;  
128 sleep problems were endorsed by 65% both pre- and post-appointment, and fatigue was endorsed  
129 by 57.5% pre and 55% post. In the motor domain the most reported problem was walking,  
130 followed by stiffness. There were six problems not reported by any participants: odd/bizarre  
131 behaviour (cognitive domain), housing problems (practical domain), problems with  
132 relatives/friends (family domain), and none of the three problems were endorsed in the spiritual  
133 domain. Other problems not on the MD-PL but which were reported by individual participants  
134 were backache, senses, symptom change and speech impairment.

135 **What is the correlation between pre- and post-appointment DT scores and number of**  
136 **problems endorsed on the PL?**

137 A similar pattern of correlations was observed both pre- and post-appointment (Supplementary  
138 Table 3 online at <https://osf.io/mjt7k/>). The emotional domain demonstrated the highest  
139 correlation with the DT pre-appointment, while the cognitive domain showed the lowest  
140 correlation with the DT both pre- and post-appointment.



## Discussion

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The results indicate that the DT may be a valid measure to screen for distress in the PD population. The correlation sizes found in this study are at the larger end of those that have typically been found in the literature.<sup>6 7</sup> The present results are in line with the literature in other respects, as the HADS anxiety subscale tends to be more highly correlated with the DT than the depression subscale.<sup>8-10</sup> Although promising, it is important to note that the use of the DT as a screening tool should enhance, not replace, clinician judgement.<sup>11</sup>

The test-retest reliability results in this study indicate that the DT likely measures stable, not transient, levels of distress. This is congruent with a study in cancer patients, which found a test-retest reliability coefficient of 0.80 after 7-10 days.<sup>12</sup> The larger coefficient found in the present study may be due to the short duration between the two measurements.

It is important to highlight that three participants stated that their level of distress post-appointment was itself influenced by being asked to rate their distress. This may indicate that the DT can have an unintended adverse effect of increasing distress, instead of serving the intended purpose of identifying existing distress in order to provide help. This effect has been acknowledged in previous DT research.<sup>13</sup>

The number of problems in the physical, motor and emotional domains of the MD-PL were highly correlated with the DT score. A study in people with cancer similarly found that the DT score was most highly correlated with the physical and emotional domains.<sup>14</sup> In the present sample only four participants wrote in problems not represented in the MD-PL, so it is likely that the seven PL domains accurately captured the main sources of participant distress. Previous

162 research has indicated that the most burdensome problems in PD are in the motor domain and  
163 that concerns about these tend to increase as the disease progresses.<sup>15</sup>

#### 164 **Strengths, limitations and future directions**

165 This is the first study to validate the use of the DT in the PD population. A strength is that it  
166 explored the PL as well as the overall DT rating of distress, as this is not typically explored in  
167 similar studies.

168 However, the possibility of type 1 error in this study is increased as the sample size was below  
169 the target amount determined by *a priori* power analysis. Given the lower bounds of the CIs  
170 observed in this study, it is possible that the true correlations are of a magnitude that is not  
171 reliably detectable by the current study (see sensitivity power analysis in the Supplementary  
172 Information online at <https://osf.io/mjt7k/>). This is a significant limitation of the current study  
173 and so the results should be interpreted with caution and require replication.

174 Another limitation is the largely cross-sectional nature of the study (repeated measures  
175 conducted on the same day), as the sensitivity of the DT in tracking distress over time could not  
176 be determined. Assessing distress using the DT immediately post-appointment and one week  
177 post-appointment would allow for stability over time to be assessed, in the absence of clinical  
178 influence. It could be argued that the use of the HADS as the comparator in this study was not  
179 ideal, as the HADS is itself a self-report screen. However, using more detailed diagnostic  
180 schedules to assess construct validity would not be appropriate here, as this study did not set out  
181 to identify diagnosable clinical disorders.

182 **Conclusion**

183 The DT shows promise and relevance as a screening tool for distress in individuals with PD;  
184 however, replication of these results is needed in a larger sample to determine reliability,  
185 generalisability and the optimal cut-off score to maximise sensitivity and specificity.

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## **Acknowledgements**

188 The authors thank the staff of the NHS Ayrshire and Arran Movement Disorder Clinic for  
189 hosting the project and administering the recruitment process.

190

## **Author Roles**

191 1. Research project: A. Conception, B. Organization, C. Execution

192 2. Statistical Analysis: A. Design, B. Execution, C. Review and Critique

193 3. Manuscript Preparation: A. Writing of the first draft, B. Review and Critique

194 BR: 1A, 1B, 1C, 2A, 2B, 3A.

195 SO: 1A, 1B, 2C, 3B.

196 BC: 1A, 1B, 2A, 2C, 3B.

197

## **Disclosures**

198 No specific funding was received for this work. The authors declare that there are no conflicts of  
199 interest relevant to this work.

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247

249 **Table 1:** Demographic Characteristics of the Sample (N = 40)

	N	%
<b>Age in years</b>		
55-64	7	17.5
65-74	14	35
75 or older	19	47.5
<b>Gender</b>		
Male	23	57.5
Female	17	42.5
<b>Living arrangements</b>		
Alone	10	25
With family or friend	30	75
<b>Relationship status</b>		
Single	4	10
Married or have partner	29	72.5
Widowed	6	15
Other	1	2.5
<b>Employment status</b>		
Employed/self-employed	3	7.5
Retired	37	92.5



251

252 **Table 2:** Descriptive Statistics for Clinical Measures

	<b>Median</b>	<b>Percentile</b>	
		<b>25th</b>	<b>75th</b>
Duration of Parkinson's disease (years)	3.5	1	6
Distress Thermometer pre-appointment	3.5	1	6
Distress Thermometer post-appointment	4	1	6
HADS Anxiety	5	3	8
HADS Depression	6	4	10

253 HADS = Hospital Anxiety and Depression Scale

**Supplementary Information for ‘Preliminary Validation of the Distress Thermometer in People with Parkinson’s Disease’ by Bronagh Reynolds et al.**

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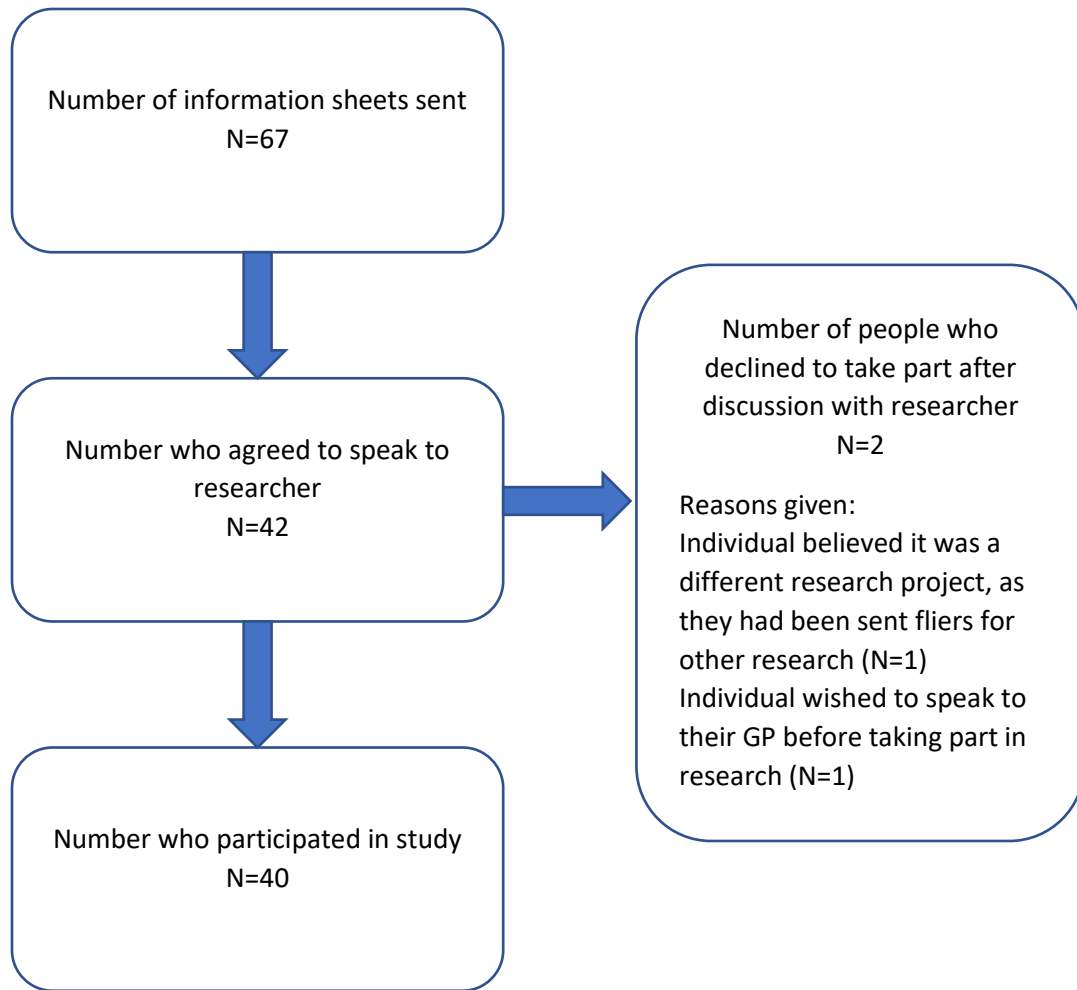
## Power and sample size calculations

A priori power analysis was conducted before the study commenced, using G\*power 3.1.9.2<sup>1</sup> to determine the required sample size. The minimum correlation level was set at 0.3 based on the estimated correlation between the DT and HADS subscales: 0.51 to 0.56 for the anxiety subscale, and 0.36 to 0.69 for the depression subscale.<sup>2,3</sup> As the primary research question involved two statistical tests (separately for the depression and anxiety subscales), a Bonferroni correction was applied to reduce the likelihood of type 1 error (p-value = 0.025). The parameters were:  $r = 0.3$  (medium),  $p = 0.025$  (two-tailed), power  $(1-\beta) = 0.80$ .<sup>4</sup> The required sample size was determined to be 102 participants.

The final sample size was  $N = 40$ , however, owing to the cessation of research activity in March 2020 as a result of the COVID-19 pandemic. A sensitivity power analysis was conducted before the data were analysed, to determine the minimum correlation size that a sample of 40 would be able to detect reliably with 80% power and two-tailed  $p = 0.025$ . This determined that a correlation of 0.46 or higher could reliably be detected by the current study. Given the lower bounds of the CIs we observed in our analyses, it is possible that the true correlations are below 0.46 and therefore not reliably detectable by the current study.

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### Supplementary Figure 1: Recruitment Flowchart



**Supplementary Table 1:** Descriptive Statistics for Movement Disorder Problem List Pre- and Post-Appointment

<b>Domain</b>	<b>No.</b>	<b>Number of problems endorsed</b>			<b>Number of problems endorsed</b>		
		<b>Pre</b>	<b>Percentile</b>		<b>Post</b>	<b>Percentile</b>	
<b>problems listed</b>							
		<b>Median</b>	<b>25th</b>	<b>75th</b>	<b>Median</b>	<b>25th</b>	<b>75th</b>
Physical	22	5	3	6.75	5	3	7
Motor	8	3	1	4	2.5	1.25	3.75
Cognitive	6	1	0	2	1	0	2
Practical	4	0	0	0	0	0	0
Family	4	0	0	0	0	0	0
Emotional	7	1	0	2	1	0	2
Spiritual	3	0	0	0	0	0	0
<b>Total</b>	<b>54</b>	<b>9.5</b>	<b>6</b>	<b>13</b>	<b>9.5</b>	<b>6</b>	<b>13</b>

**Supplementary Table 2:** Types of Problems Endorsed from the Movement Disorder Problem

List

Problem List	Pre		Post	
	N	%	N	%
<b>Physical</b>				
Your Appearance	2	5	3	7.5
Bathing or dressing	8	20	7	17.5
Dribbling saliva	11	27.5	11	27.5
Swallowing problems	4	10	4	10
Eating/Appetite	4	10	7	17.5
Change in weight	6	15	5	12.5
Sore/dry mouth	9	22.5	9	22.5
Eating/Appetite	3	7.5	3	7.5
Nausea/Vomiting	3	7.5	3	7.5
Urinary problems	17	42.5	17	42.5
Bowel problems	12	30	14	35
Sleep problems	26	65	26	65
Nightmares	2	5	3	7.5
Acting out in sleep	3	7.5	3	7.5
Need to move legs at night	10	25	10	25
Day time sleepiness	21	52.5	16	40
Fatigue or tiredness	23	57.5	22	55
Swollen legs	8	20	9	22.5

<b>Problem List</b>	<b>Pre</b>		<b>Post</b>	
	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Pain	13	32.5	13	32.5
Sweats	5	12.5	4	10
Sexual concerns	2	5	2	5
Taking medication	3	7.5	4	10
<b>Motor</b>				
Tremor	19	47.5	17	42.5
Fine motor control	6	15	6	15
Walking	24	60	22	55
Stiffness	18	45	19	47.5
Weakness	15	37	16	40
Freezing	9	22.5	10	25
Bed/Chair mobility	8	20	8	20
Falls	6	15	4	10
<b>Cognitive</b>				
Memory	13	32.5	13	32.5
Speed of thinking	11	27.5	12	30
Concentration and attention	8	20	7	17.5
Judging distance/Space	4	10	5	12.5
Odd/Bizarre behaviour	0	0	0	0
Impulsive	4	10	4	10
<b>Practical</b>				

<b>Problem List</b>	<b>Pre</b>		<b>Post</b>	
	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>
Caring responsibilities	2	5	2	5
Finances, work	2	5	2	5
Housing	0	0	1	2.5
Transport/Driving	3	7.5	3	7.5
<b>Family</b>				
Relationship with children	1	2.5	1	2.5
Relationship with partner	1	2.5	1	2.5
Relationship with relatives/Friends	0	0	0	0
Burden (on family, friends etc)	4	10	4	10
<b>Emotional</b>				
Sadness or depression	10	25	11	27.5
Loneliness or isolation	5	12.5	4	10
Hopelessness	2	5	2	5
Worry, fear or anxiety	14	35	14	35
Loss of control or freedom	7	17.5	7	17.5
Anger or frustration	9	22.5	10	25
Seeing/Hearing things not there	5	12.5	5	12.5
<b>Spiritual</b>				
Spiritual concerns	0	0	0	0
Religious concerns	0	0	0	0
Other spiritual concerns	0	0	0	0



**Supplementary Table 3:** Correlations between the Distress Thermometer Score and Number of Problems Endorsed

	<b>Pre</b>			<b>Post</b>		
	<b>Correlation</b>	<b>FDR</b>	<b>95%</b>	<b>Correlation</b>	<b>FDR</b>	<b>95%</b>
	<b>(rho)</b>	<b>corrected</b>	<b>confidence</b>	<b>(rho)</b>	<b>corrected</b>	<b>confidence</b>
		<b>p-value</b>	<b>interval</b>		<b>p-value</b>	<b>interval</b>
Physical	0.62	<0.001	0.36 to 0.79	0.61	<0.001	0.34 to 0.79
Motor	0.64	<0.001	0.38 to 0.80	0.52	<0.001	0.23 to 0.73
Cognitive	0.21	0.21	-0.11 to 0.49	0.22	0.18	-0.10 to 0.50
Practical	0.21	0.21	-0.11 to 0.49	0.31	0.07	-0.01 to 0.57
Family	0.45	<0.001	0.15 to 0.68	0.35	0.04	0.03 to 0.60
Emotional	0.68	<0.001	0.44 to 0.83	0.57	<0.001	0.29 to 0.76
Total	0.76	<0.001	0.56 to 0.88	0.71	<0.001	0.48 to 0.85

FDR = False Discovery Rate

Note: No problems were endorsed in the spiritual domain and so no correlation could be calculated for this.