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1 **Performance evaluation of solar hybrid combined cooling, heating and power systems: a**  
2 **multi-objective arithmetic optimization algorithm**

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38 **Performance evaluation of solar hybrid combined cooling, heating and power systems: a**  
39 **multi-objective arithmetic optimization algorithm**

40

41 **Abstract**

42 The coupling of solar thermal and photovoltaic technologies with combined cooling, heating  
43 and power systems has significant impacts on the reduction of fossil fuel consumption and  
44 pollutant emissions. In this study, a mathematical model of a hybrid combined cooling,  
45 heating, and power system consisting of thermal storage units, batteries, microturbines,  
46 photovoltaic units, and solar thermal collectors, is developed. Meanwhile, based on the  
47 following thermal load strategy and following electric load strategy, the following the state of  
48 battery strategy is proposed. A multi-objective arithmetic optimization algorithm is proposed  
49 by using non-dominated sorting, mutation operations, and external archive mechanism to  
50 optimize the configuration of the hybrid system under different strategies. Besides, an  
51 optimal compromise is obtained by technique for order preference by similarity to an ideal  
52 solution method. A large hotel case is used to evaluate the performance of the hybrid system  
53 under different strategies. The optimization results show that the Pareto solutions obtained  
54 by the developed optimization algorithm are uniformly distributed. Moreover, compared  
55 with the hybrid system under the following electric load and following thermal load  
56 strategies, the hybrid system under the proposed strategy achieves better primary energy  
57 saving ratio, carbon dioxide emission reduction ratio, and energy efficiency, and these  
58 indicators reach 46.56%, 54.64%, and 78.51%, respectively.

59 **Keywords:** solar thermal collectors; multi-objective arithmetic optimization algorithm; hybrid  
60 combined cooling, heating and power system; following the state of battery strategy

61

Nomenclature		BESR	boiler energy saving ratio
		$\eta_{\text{CCHP}}$	energy efficiency of CCHP system
<i>Abbreviations</i>		<i>Symbols</i>	
CCHP	combined cooling heating and power	$E$	Electricity
SP	separate production system	$C$	Cooling
FEL	following the electric load	$Q$	Heating
FTL	following the thermal load	$F$	Fuel
FB	follow the state of the battery	$G$	solar radiation
FHL	following a hybrid electric-thermal load	$T$	temperature
FEL-ECR	following electric load with electric cooling ratio	$V$	installation capacity
AOA	arithmetic optimization algorithm	$\eta$	efficiency
MOAOA	multi-objective arithmetic optimization algorithm	$PC$	performance coefficient
MOPSO	Multi-objective particle swarm optimization algorithm	$f$	objective function

MOEA/D	Multi-objective evolutionary algorithm based on decomposition		
		Subscripts	
TOPSIS	technique for order preference by similarity to an ideal solution		
PV	micro turbine		
ST	solar thermal	<i>bat</i>	battery
MT	micro turbine	<i>he</i>	heat exchanger
TES	thermal energy storage	<i>ac</i>	absorption chiller
CSR	cost saving ratio	<i>ec</i>	electric chiller
PESR	primary energy saving ratio	<i>HR</i>	heating recovery
CDERR	carbon dioxide emission reduction ratio	<i>GB</i>	gas boiler

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72 **Performance evaluation of solar hybrid combined cooling, heating and power systems: a**  
73 **multi-objective arithmetic optimization algorithm**

74

75 **1. Introduction**

76 Nowadays, due to population growth, the problems of fossil fuel depletion, global  
77 warming, and increasing energy demand are becoming increasingly serious [1]. The  
78 exploitation of renewable energy sources is an important weapon to deal with these critical  
79 issues. In addition, improving the performance of energy systems is another way to achieve  
80 sustainability [2]. As one of the affordable and accessible renewable energy technologies,  
81 solar energy is effective to confront the high consumption of fossil fuels and substitute  
82 conventional energy sources [3]. Solar radiation is characterized by its high availability and its  
83 ability to be converted into useful electrical or thermal energy [4]. As energy-efficient,  
84 low-carbon, and clean modern systems, combined cooling, heating and power (CCHP)  
85 systems have been widely recognized as effective solutions in terms of addressing and  
86 solving the environmental pollution and resource crisis [5]. Accordingly, the combination of  
87 the fossil fuel-based CCHP system with the solar energy system is considered by some  
88 researchers to be an optimal way to produce many valuable outputs with high efficiency and  
89 clean energy [6]. On the one hand, solar technologies can reduce the consumption of fossil  
90 energy. On the other hand, the CCHP technologies improve reliability and efficiency [7].  
91 However, due to a large number of constraints and variables in the combined supply system  
92 and the relative complexity of the operating strategy [8], the coupling of solar technology

93 with the CCHP system inevitably has impacts on the optimization difficulty and operating  
94 strategy. However, many studies on solar hybrid CCHP systems are not comprehensive. In  
95 addition to design optimization, operational strategy optimization plays an important role in  
96 system performance. Accordingly, in this study, a new operation strategy is proposed for  
97 hybrid CCHP systems, and a new method is developed to solve the problem of optimal  
98 configuration of hybrid CCHP systems.

99

## 100 **2. Literature review**

101 The coupling of conventional CCHP systems with solar thermal (ST) collectors and solar PV  
102 panels has been explored by some researchers. Ai et al. [9] presented a novel CCHP system  
103 that combines a regenerative organic flash cycle (OFC) system with a solar thermal input  
104 system, through comparison with conventional CCHP systems, the primary energy ratio and  
105 external energy efficiency of the novel system reached 53.1% and 38.7%, respectively. Nami  
106 et al. [10] constructed a solar-assisted biomass trigeneration system, including concentrated  
107 solar collectors, and investigated the effects of summer/winter conditions and some decision  
108 parameters on system performance from sustainability and thermodynamic perspective. The  
109 results revealed that the designed system can provide 1 MWe of electricity, 55.35 kW of  
110 chilled water for space cooling, and 1241 kW of space heating. Han et al. [11] optimized the  
111 solar water heater area ratio as a free variable in a novel full-spectrum solar-assisted  
112 methanol CCHP system in a hybrid solar installation, aiming to improve the combined  
113 environmental, economic, and energy performance. The optimization results showed the

114 best overall performance for a solar water heater area ratio of 0.5. The energy efficiencies  
115 and annual energy are improved by 17.3 % and 15.9 %, respectively. The carbon dioxide  
116 emissions are reduced by 70.6 %, the primary energy is saved by 22.6 %, and the total  
117 product cost per unit of energy is increased by 49.0 %. Mehregan et al. [12] presented a  
118 novel trigeneration system driven by flat-plate solar collectors and gas engines and  
119 investigated the performance of a building in terms of economic, environmental, and energy  
120 aspects. The performance of the system is analyzed by varying the capacity of the solar  
121 panels under four different scenarios, and the results indicated that using solar flat plate  
122 collectors and gas engines to meet demand is a suitable solution without the need for an  
123 auxiliary boiler.

124 The devices in a CCHP system focus on energy conversion, while the operational strategy  
125 focuses on managing the flow of energy between devices. Therefore, as one of the factors  
126 influencing a good design of CCHP systems, the operating strategy can achieve the  
127 management of the energy output and affect the system performance [57]. Huang et al. [13]  
128 aimed to address the problem of frequent partial-load operation caused by fluctuations in  
129 customer demand by comparing three different operating strategies, namely turbine inlet  
130 temperature, inlet air throttling, and inlet guide vanes and employing them to modify the  
131 characteristics of the gas turbine to promote the energy-saving performance of the  
132 combined cooling and power system at partial load. The key results indicated that the inlet  
133 deflector blade operation strategy is the best choice for the system. According to the energy  
134 output characteristics of the solar hybrid CCHP system. Yang et al. [14] adapted the operation



135 strategy of the conventional CCHP system according to the energy output characteristics of  
136 the solar hybrid CCHP system and applied it to the solar hybrid CCHP system. The results  
137 show illustrated that the hybrid CCHP system in following electric load with electric cooling  
138 ratio (FEL-ECR) strategy is the best choice. The annual total cost saving ratio (ATCSR), CO<sub>2</sub>  
139 emission reduction ratio (CDERR), and primary energy saving ratio (PESR) of the system  
140 under this strategy reached 4.16%, 53.73%, 36.15%, respectively. Based on the minimum  
141 distance between the building load point and the system power output curve, Zheng et al.  
142 [15] proposed a new operating strategy, and compared it with the following hybrid  
143 electric-thermal load (FHL), following thermal load (FTL) and following electric load (FEL)  
144 strategies. It made the CCHP system match performance to be improved. The optimization  
145 results suggested that the novel strategy enabled the CCHP system matching performance to  
146 be improved. Li et al. [16] came up with hybrid FTL and hybrid FEL based on FTL and FEL and  
147 verified that the proposed strategy improves the energy and environmental performance of  
148 the system. However, the above strategies do not take the state of the energy storage  
149 devices in the system into account, which may cause excess energy to be wasted when the  
150 energy storage devices are full.

151 The optimization configuration of the CCHP system is commonly a complicated  
152 multidimensional optimization issue with a variety of objective functions and non-negligible  
153 constraints. Although the optimization methods for energy systems configuration are many  
154 and generally be divided into two categories: (1) metaheuristics, such as particle swarm  
155 optimization algorithm [17, 18], moth-flame optimization algorithm [19], tunicate swarm

156 algorithm [20] and genetic algorithm [21-25]; and (2) mathematical planning, including linear  
157 planning [26, 27], nonlinear planning [28, 29], and dynamic planning [30, 31]. Compared with  
158 mathematical planning, metaheuristics can obtain the optimal solution quickly even if the  
159 optimal problem is complex. There are no restrictions on the use of metaheuristics, whereas  
160 mathematical planning has limitations such as convexity, nonlinearity, and linearity [32].  
161 Wang et al. [33] used a genetic algorithm to optimize a multi-objective model of a hybrid  
162 CCHP system incorporating flexibility to obtain a Pareto front that takes a less degraded  
163 performance and a larger operational flexibility solution into account. The optimization  
164 results demonstrated a 438.9% increase in potential regulation ability and a 3.6% reduction  
165 in net interaction with the grid and grid connection levels. However, the increase in flexibility  
166 reduces the economic, environmental, and energy benefits achieved by the CCHP system by  
167 3.0%, 56.4%, and 5.1%, respectively. Zeng et al. [34] employed a multi-population genetic  
168 algorithm to optimize a CCHP system coupled with a ground source heat pump (GSHP) with  
169 the critical value of gas engine operation, the heating/cooling to total heating/cooling load  
170 ratio provided by the GSHP system, and the rated heat capacity of the gas engine as free  
171 variables. The results of the case study suggested that the comprehensive performance,  
172 ATCSR, CDERR, and PESR of the hybrid system were 25.42%, 15.13%, 26.10%, and 35.02%,  
173 respectively. Hou et al. [35] optimized the capacity of the main equipment in a solar-assisted  
174 CCHP system containing a heat storage tank, an electric chiller, solar evacuated tube  
175 collectors (ETC), a photovoltaic system, a heat recovery system, and a solid oxide fuel cell  
176 (SOFC) as a power generation unit using a particle swarm (PSO), aiming verify the feasibility

177 of the proposed system architecture. However, the determination of weights in the a priori  
178 method is subjective, because the decision-maker has influences on it [36]. To explore the  
179 variation of system performance of CCHP systems under multiple parameters, researchers  
180 can use posterior multi-objective optimization algorithms [37]. Tan et al. [38] used a  
181 combination of coevolutionary theory, beetle-tentacle search algorithm, and non-dominated  
182 sorting genetic algorithm to optimize the proposed multi-objective model of the CCHP  
183 system. The simulation results indicated that the proposed hybrid algorithm has advantages  
184 in terms of global search performance and fast convergence performance. Ehyaei et al. [39]  
185 performed a multi-objective optimization of a model containing two objective functions of  
186 energy efficiency, power, and cooling cost using the multi-objective particle swarm  
187 optimization algorithm. The optimization results proved the best energy efficiency as well as  
188 power and cooling costs of 6.8% and \$0.0033/kWh, respectively. Yao et al. [40] investigated  
189 the design trade-off between economic objectives and thermodynamics of a novel CCHP  
190 system based on compressed air energy storage using the multi-objective evolutionary  
191 algorithm. The optimization results revealed the best trade-off solution has a total product  
192 unit cost of 20.54 cents/kWh and total energy efficiency of 53.04%. However, as the  
193 complexity of the CCHP system increases, the most significant drawback of these algorithms  
194 is that they do not produce Pareto fronts with a high-quality uniform distribution, as they  
195 may cluster randomly [41].

196 Moreover, it is necessary to select a non-dominated solution in the Pareto optimal  
197 solution set as the best compromise CCHP configuration solution after multi-objective

198 optimization, because there may exist some conflicts between different objective functions.  
199 Boyaghchi et al. [42] employed the linear programming technique for multidimensional  
200 analysis of preference (LINMAP) method and the technique of ordinal preference for  
201 similarity of ideal solutions (TOPSIS) procedure, thus determining the final optimal  
202 performance of the system from the optimal set of solutions obtained from the  
203 multi-objective optimization configuration of the CCHP system. The optimization results  
204 showed that the maximum energy efficiency and product cost improvements within 23.67%  
205 and 33.49% could be obtained by the TOPSIS procedure and the LINMAP method,  
206 respectively. Cao et al. [43] aimed to obtain the optimal compromise solution from the  
207 multi-objective optimal configuration solution set of a novel multi-generation energy system  
208 using Shannon's entropy, TOPSIS, and LINMAP conventional decision methods, respectively.  
209 Among many Multi-Criteria Decision Making (MCDM) methods developed for solving realistic  
210 decision problems, the good performance of the TOPSIS allows it to work satisfactorily in  
211 different application domains [44].

212 In summary, the above studies demonstrate the feasibility and effectiveness of coupling  
213 photovoltaic and solar thermal technologies with CCHP systems. However, the researches on  
214 CCHP systems coupling photovoltaic and solar thermal technologies are not comprehensive.  
215 Most studies only consider design optimization, thus ignoring the effects of operational  
216 strategies on the performance of hybrid CCHP. However, with the introduction of  
217 photovoltaic and solar thermal technologies, the constraints and variables in the hybrid  
218 system are further increased, and the general multi-objective optimization algorithm is prone

219 to fall into local optimality in the optimization process, thereby failing to provide a Pareto  
220 front with the high-quality distribution. In addition, the coupling between equipment  
221 configuration and operation strategy is further deepened, so it is necessary to select  
222 optimization algorithms with good performance to optimize the hybrid CCHP system, as well  
223 as to develop a more appropriate operation strategy to manage the equipment in the hybrid  
224 system. Accordingly, the contribution of this study is presented as follows:

225 (1) Considering the economic, energy, and environmental performance of hybrid CCHP  
226 system which contains batteries, thermal energy storage tanks, gas boilers, solar heaters,  
227 photovoltaic panels, micro gas turbines, and a power grid, a multi-objective optimization  
228 model is established.

229 (2) To avoid the influence of local optima and objectively solve the multi-iterative energy  
230 dispatching problem, a multi-objective arithmetic optimization algorithm is proposed by  
231 introducing mutation operations, non-dominated sorting, and external archive  
232 mechanisms into the arithmetic optimization algorithm.

233 (3) Based on FTL and FEL operating strategies, a new operating strategy is proposed to  
234 improve the performance of the hybrid system. The proposed multi-objective algorithm  
235 optimization algorithm is used to optimize the configuration of the hybrid system under  
236 different strategies, and the optimal compromise solution is selected by the TOPSIS  
237 method.

238 The remainder of this paper is organized as follows: Section 3 describes the structure of  
239 the constructed hybrid CCHP system and the model of the main equipment. Section 4 shows

240 the optimization model, the operation strategies, and the optimization algorithm. Section 5  
241 discusses the optimization results and analyzes the system performance under different  
242 strategies. Section 6 presents the findings, contributions, and future research.

243

### 244 **3. System description**

245 Considering to meet the multiple demands of users, this study develops a hybrid solar  
246 combined cooling, heating, and power system, the specific structure of the system is shown  
247 in Figure 1. When the system works according to a strategy, microturbine (MT) first starts to  
248 provide heat as well as electricity to the users, and when the light intensity reaches a certain  
249 standard, Solar thermal (ST) collectors and Photovoltaic (PV) systems start to deliver heat  
250 and electricity to the users respectively, Gas boiler (GB) starts to work when the system does  
251 not produce enough heat, the battery can absorb electricity when the system has electrical  
252 energy redundancy and release electricity when the system's power generation is insufficient,  
253 Thermal energy storage (TES) tank can absorb thermal energy when the system has thermal  
254 energy redundancy and releases the stored thermal energy when the system does not  
255 produce enough heat. The grid assumes the role of the auxiliary power supply when the  
256 system's power generation is not enough to bear the demand of users.

257

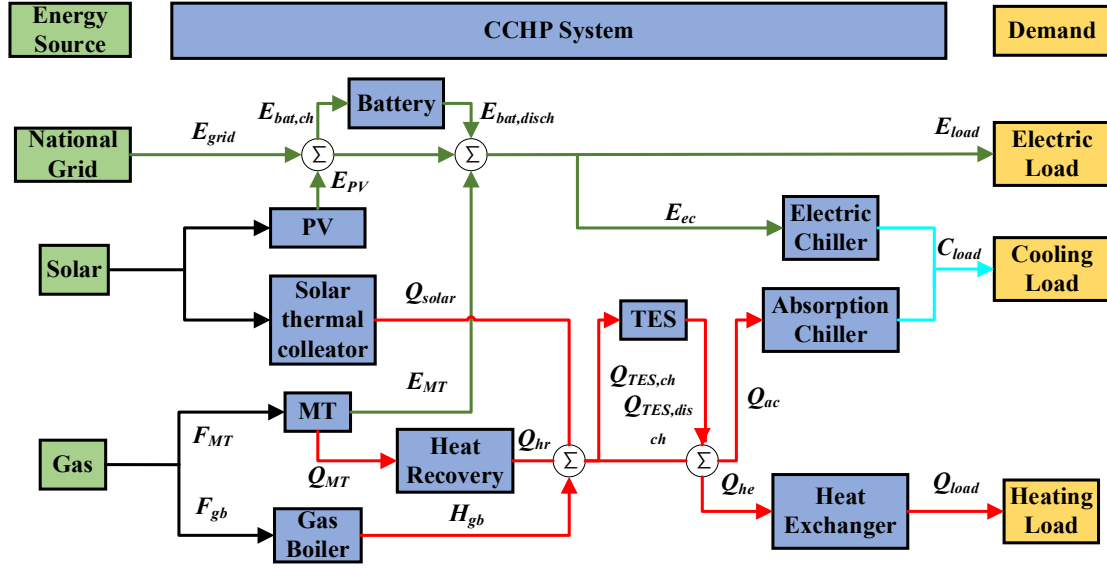


Fig 1. Structure of CCHP system.

### 3.1. Solar thermal collectors

The thermal energy generated by ST collectors is estimated as follows [45]:

$$Q_{st} = A_{ST} I \eta_{st} = A_{ST} I [b_0 - b_1 T^* - b_2 I (T^*)^2] \quad (1)$$

$$T^* = ((T_{out} + T_{in}) / 2 - T_a) / I \quad (2)$$

where  $b_0$  represents the optical efficiency,  $b_2$  and  $b_1$  represent the correction factor. The area of the solar collector is represented by  $A_{ST}$ ;  $I$ ,  $T_{out}$ ,  $T_{in}$ , and  $T_a$  represent the light intensity,  $ST$  external temperature,  $ST$  internal temperature, and air temperature, respectively.

### 3.2. Photovoltaic systems

The electricity generated by the PV systems is estimated as follows [46]:

$$E_{PV} = C_{PV} \cdot I_{PV} / I_{STC} \cdot [1 + \beta \cdot (T_{PV} - T_{STC})] \quad (3)$$

where  $T_{PV}$  represents the PV panel surface temperature,  $I_{PV}$  represents light intensity,  $E_{PV}$  represents the electricity generated by PV;  $T_{STC}$ ,  $I_{STC}$  represent the panel surface temperature

273 and light intensity under laboratory conditions, respectively;  $\theta$  represents the temperature  
 274 coefficient.

### 275 3.3. Microturbine and Waste Heat Recovery Unit

276 While microturbine (MT) provides electricity to customers, the waste heat generated is  
 277 recovered by a waste heat recovery (HR) device to provide heat for users. The electrical  
 278 energy generated by MT and heat energy recovered by HR is estimated as follows [47]:

$$279 \quad E_{MT} = Fuel_{MT} \cdot \eta_{MT} \quad (4)$$

$$280 \quad Q_{HR} = Fuel_{MT} \eta_r (1 - \eta_{MT}) \quad (5)$$

281 where  $\eta_{MT}$ ,  $E_{MT}$ ,  $Fuel_{MT}$  represent the efficiency of MT power generation, the electrical energy  
 282 generated by MT, and consumption of gas by MT, respectively;  $\eta_r$ ,  $Q_{HR}$  represent the  
 283 efficiency of waste heat recovery, the amount of waste heat recovery, respectively.

284

### 285 3.4. Thermal energy storage tank

286 TES reduces the thermal energy waste and replenishes the thermal energy shortage of the  
 287 system by absorbing and releasing thermal energy. When the heat production of the system  
 288 is greater than the heat demand of the user, TES starts to work, and this process is the heat  
 289 absorption process of TES; when the heat production of the system is not enough to bear the  
 290 demand of the user, TES carries out the heat release work. The heat absorption and  
 291 exothermic processes of TES are calculated by the following equation [46].

$$292 \quad \begin{cases} Q_{TES}^t = Q_{TES}^{t-1} \cdot (1 - \gamma_{TES}) + Q_{TES,ch}^t \cdot \eta_{TES,ch} \cdot t \\ Q_{TES}^t = Q_{TES}^{t-1} \cdot (1 - \gamma_{TES}) - Q_{TES,disch}^t / \eta_{TES,disch} \cdot t \end{cases} \quad (6)$$

293 where  $Q_{TES}^{t-1}$  and  $Q_{TES}^t$  denote the heat stored in the thermal storage device at moments  
 294  $t-1$  and  $t$ , respectively;  $\gamma_{TES}$  denote the heat loss rate,  $\eta_{TES,ch}$  and  $\eta_{TES,disch}$  are the



295 transportation efficiency in the process of heat charging and discharging  $t$ , respectively.

296  $Q_{TES,disch}^t$  and  $Q_{TES,ch}^t$  denote the heat released and absorbed by the TES at moment  $t$ ,

297 respectively.

298

### 299 **3.5. Gas boiler**

300 The GB starts to provide heat for users when all the heating equipment cannot meet the

301 heat demand of the users, and the heat energy generated is calculated as follows [47]:

$$302 \quad Q_{GB} = Fuel_{GB} \cdot \eta_{GB} \quad (7)$$

303 where  $\eta_{GB}$ ,  $Fuel_{GB}$ , and  $Q_{GB}$  represent the heat generation efficiency, the gas consumption,

304 and the heat production, respectively.

305

### 306 **3.6. Absorption chiller and electric chiller**

307 Absorption chillers use the heat emitted by the system to cover the cooling needs of the

308 user. Electric chillers can start refrigeration work when absorption chillers cannot meet the

309 needs of the user. The equations for calculating the refrigeration capacity of an absorption

310 chiller and electric chiller are as follows [46,47]:

$$311 \quad Q_{ac} = H_{ac} \cdot \mu_{ac} \quad (8)$$

$$312 \quad Q_{ec} = E_{ec} \cdot \mu_{ec} \quad (9)$$

313 where  $Q_{ac}$  and  $Q_{ec}$  are the refrigeration capacity of the absorption chiller and the electric

314 chiller, respectively,  $H_{ac}$  represents the heat consumption when absorption chiller works,  $E_{ec}$

315 represents the electricity consumption when electric chiller works,  $\mu_{ac}$ , and  $\mu_{ec}$  are the

316 refrigeration coefficients of absorption chiller machine and electric chiller machine,

317 respectively.

318

### 319 3.7. Battery

320 The introduction of the battery is an important method to reduce the waste of energy in  
321 the system. When the system power generation exceeds the user's demand, the excess  
322 power is absorbed by the battery. The power stored in the battery will be released when the  
323 system power generation is insufficient. The discharging process and the charging process of  
324 the battery are calculated by the following equations [46].

$$325 \quad \begin{cases} E_{bat}^t = E_{bat}^{t-1} \cdot (1 - \lambda_{bat}) + E_{bat,ch}^t \cdot \eta_{bat,ch} \cdot t \\ E_{bat}^t = E_{bat}^{t-1} \cdot (1 - \lambda_{bat}) - E_{bat,disch}^t / \eta_{bat,disch} \cdot t \end{cases} \quad (23)$$

326 where  $E_{bat}^{t-1}$  and  $E_{bat}^t$  are the amount of power stored in the battery at moment  $t-1$  and  
327 moment  $t$ , respectively.  $E_{bat,disch}^t$  and  $E_{bat,ch}^t$  are the discharging and charging of the battery  
328 at moment  $t$ , respectively;  $\lambda_{bat}$  denote the electric loss rate,  $\eta_{bat,ch}$  and  $\eta_{bat,disch}$  are the  
329 transportation efficiency in the process of charging and discharging, respectively.

330

### 331 3.8. Constraints

332 The electrical energy balance is expressed as follows:

$$333 \quad \begin{cases} \text{if } E_{PV}^t + E_{MT}^t \geq E_{load}^t + E_{ec}^t + E_{bat,ch}^t \\ E_{waste}^t = E_{PV}^t + E_{MT}^t - (E_{load}^t + E_{ec}^t + E_{bat,ch}^t) \\ \text{if } E_{PV}^t + E_{MT}^t < E_{load}^t + E_{ec}^t + E_{bat,ch}^t \\ E_{grid,in}^t = (E_{load}^t + E_{ec}^t + E_{bat,ch}^t) - E_{PV}^t - E_{MT}^t \end{cases} \quad (11)$$

334 where  $E_{load}^t$  represents the users' electrical energy demand at time  $t$ ;  $E_{waste}^t$  represents  
335 the system power waste at time  $t$ ;  $E_{grid,in}^t$  represents the power purchased from the grid at  
336 time  $t$ ; and  $E_{ec}^t$  represents the electric chiller power consumption at time  $t$ .

337 The heat balance of the system is shown as follows:

338 
$$Q_{st}^t + Q_{hr}^t + Q_{lst,disch}^t + Q_{gb}^t + Q_{vacancy}^t = Q_{load}^t + Q_{ac}^t + Q_{lst,ch}^t + Q_{waste}^t \quad (12)$$

339 in which  $Q_{vacancy}^t$  represents the thermal energy vacancy of the system at moment  $t$ ;  $Q_{waste}^t$   
 340 represents the thermal energy wasted by the system at moment  $t$ .

341

#### 342 **4. Problems and optimization methods**

343 This study establishes a hybrid system optimization model including environmental, energy,  
 344 and economic objective functions and adopts MOAOA to optimize the configuration of the  
 345 hybrid system, the optimization model and the optimization algorithm are described in detail  
 346 as follows.

347

##### 348 **4.1. Decision variables**

349 For hybrid CCHP systems, the MT plays a decisive role in determining the capacity of the  
 350 other equipment as the core component for supplying energy to the customer, the GB, as a  
 351 key component of auxiliary heating, plays a key role in avoiding insufficient heat production  
 352 in the system. As a renewable energy source, the introduction of photovoltaics and solar  
 353 thermal collectors increases the diversity of system energy sources and reduces carbon  
 354 emissions and fossil fuel consumption. However, their output is uncertain. Therefore, the  
 355 optimal PV capacity and ST capacity may lead to a trade-off between installed capacity and  
 356 initial investment. In addition, user's load demand, light intensity, and temperature are in a  
 357 state of flux, energy storage devices also have a positive effect on the performance of the  
 358 system. Therefore, to obtain the optimal installed capacity of hybrid CCHP system equipment,  
 359 seven equipment capacities of the system were selected as decision variables.

360 
$$X = [V_{grid}, V_{TES}, V_{bat}, V_{GB}, V_{ST}, V_{PV}, V_{MT}] \quad (13)$$

361 in which  $V_{grid}$  indicates the upper limit of power purchased from the grid by the system;  $V_{TES}$ ,  
 362  $V_{bat}$ ,  $V_{GB}$ ,  $V_{ST}$ ,  $V_{PV}$ ,  $V_{MT}$  are capacities of TES, battery, GB, ST, PV, MT, respectively. The upper  
 363 and lower capacity limits for each device and the charging and discharging constraints for the  
 364 battery and TES are shown in Table 1.

365 Table 1. The upper and lower bounds of the hybrid system equipment capacity.

Device	Value	Unit
Capacity of Grid	[0, 300]	kW
Charge and discharge limit of Battery	(0, 0.4 $V_{bat}$ )	kW
Charge and discharge limit of TES	(0, 0.4 $V_{TES}$ )	kW
Capacity of Battery	[0, 200]	kW
Capacity of TES	[0, 300]	kW
Capacity Gas Boiler	[0, 1000]	kW
Area of ST	[0,500]	m <sup>2</sup>
Capacity of PV	[0,300]	kW
Capacity of MT	[0,500]	kW

366

## 367 4.2. Objective functions

368 The greenhouse gas emissions, fuel consumption and economic cost objective functions  
 369 of the system are established to evaluate the comprehensive performance of the system.

370 (1) Greenhouse gas emissions

371 The greenhouse gas emissions objective function is defined as follows:

$$f_1 = \sum_{t=1}^T \left( E_{grid,in} \cdot \beta_{CO_2,e} + (Fuel_{MT} + Fuel_{GB}) \cdot \beta_{CO_2,g} \right) \quad (14)$$

where  $E_{grid,in}$  represents the electricity purchased from the grid,  $Fuel_{GB}$  and  $Fuel_{MT}$  represent the consumption of GB and MT fuel, respectively;  $\beta_{CO_2,e}$  and  $\beta_{CO_2,g}$  represent the equivalent emission factors of electricity and the grid, respectively.

### (2) Fuel consumption

The fuel consumption objective function for the system is defined as follows:

$$f_2 = \sum_{t=1}^T (Fuel_{MT}^t + Fuel_{GB}^t + Fuel_{grid}^t) \quad (15)$$

where  $Fuel_{MT}^t$ ,  $Fuel_{GB}^t$  and  $Fuel_{grid}^t$  represent the MT, GB and grid fuel consumption during system operation, respectively.

### (3) Economic cost

The operating cost of the system consists of the initial investment cost, the cost of purchasing power from the grid, the fuel consumption cost, and the penalty cost. This study sets the penalty cost to maximize the use of electrical and thermal energy in the optimization process. The above four components of operating cost can be calculated as follows:

$$C_{inv} = p \cdot \sum_{k=1}^n V_k \cdot C_k \quad (16)$$

$$C_{grid} = \sum_{t=1}^T E_{grid,in}^t \cdot C_e \quad (17)$$

$$C_{fuel} = \sum_{t=1}^T \sum_{k=1}^k Fuel_k^t \cdot C_f \quad (18)$$

$$C_{waste} = \sum_{t=1}^T (\lambda_e \cdot E_{waste}^t + \lambda_h \cdot Q_{waste}^t) \quad (19)$$

$$f_3 = C_{inv} + C_{grid} + C_{fuel} + C_{waste} \quad (20)$$

391 where  $p$  denotes the investment coefficient;  $C_k$ ,  $N_k$  represent the unit investment cost and  
392 installed capacity of the  $k$ th device, respectively;  $E_{grid,in}^t$  is the amount of electricity  
393 purchased by the system from the grid at time  $t$ ;  $Fuel_k^t$  denotes the amount of gas  
394 consumed by the  $k$ th equipment during the operation time;  $C_f$  and  $C_e$  represent the price of  
395 fuel and electricity, respectively;  $E_{waste}^t$  and  $Q_{waste}^t$  are the amount of electricity and heat  
396 energy wasted by the system, respectively;  $\lambda_e$ ,  $\lambda_q$  are the penalty coefficients for electricity  
397 and heat energy wastage, respectively;  $T$  represents the total operation time.

398

### 399 **4.3. Operation strategy**

400 To reduce energy redundancy and waste in the system, this study proposes a strategy for  
401 following the state of battery energy storage based on the traditional FTL and FEL strategies.

#### 402 (1) FEL strategy

403 When the system is running under the FEL strategy, the MT starts to provide electricity for  
404 the customers first. When the light intensity reaches a certain standard, the photovoltaic  
405 system starts to generate electricity to meet the users' demand, and when the MT and  
406 photovoltaic power generation are not enough to meet the users' demand, a part of  
407 electricity will be purchased from the grid to meet the needs of users. In terms of system  
408 heat supply, the heat recovered in the MT power generation process provides heat for the  
409 users, and the ST starts to provide heat for the users when the light intensity reaches a  
410 certain standard. The GB plays its role of auxiliary heat supply when the above two parts of  
411 heat cannot meet the needs of the users, however, the excess heat will be stored in when  
412 the recovered heat in the MT power generation process and the heat generated by the ST  
413 exceeds the heat required by the users, and when the heat stored in the TES exceeds its

414 capacity limit, there is a waste of heat energy.

415 (2) FTL strategy

416 When the system operates under the FTL strategy, the MT first starts to work to provide  
417 thermal energy to the customers. When the light intensity reaches a certain standard, ST  
418 starts to generate thermal energy to meet the thermal energy demand of users. When the  
419 thermal energy recovered during MT generation and the thermal energy generated by ST is  
420 not enough to meet the thermal energy demand of users, GB starts to play its role of  
421 auxiliary heat supply. For the system power supply, the power generated by MT will first  
422 provide electricity for users, and when the light intensity reaches a certain standard, the PV  
423 system will start to work to provide electricity for users, and when the above two parts of  
424 electricity cannot meet the needs of users, electricity will be purchased from the grid to  
425 meet the electricity needs of users. When the power generated by the MT and PV system  
426 exceeds the power required by users, the excess power will be stored in the battery, and  
427 when the power stored in the battery exceeds its capacity limit, there will be a waste of  
428 power.

429 (3) FB strategy

430 This study proposes a strategy for following the state of the battery (FB). The energy  
431 storage status of the battery will be detected during system operation, and when the battery  
432 dischargeable criterion is reached, the system will execute the FEL strategy. On the contrary,  
433 when the stored energy in the battery is less than the dischargeable standard, the system will  
434 execute the FTL strategy, and the excess power will be stored by the battery during operation,  
435 and when the battery dischargeable standard is reached, the system will execute the FEL  
436 strategy again. The FB strategy can switch the strategy according to the stored energy state  
437 of the battery.

438

### 439 **4.3. Optimization method**

440 Arithmetic optimization algorithm (AOA), as a novel heuristic algorithm, can optimize  
441 problems containing multiple constraints, and the output is highly competitive. To obtain  
442 uniformly distributed Pareto solutions and reduce clustering of solutions, this study  
443 introduces non-dominated sorting, external archive mechanism and mutation operations to  
444 the original AOA to obtain MOAOA.

445

#### 446 **4.3.1. Arithmetic optimization algorithm**

447 AOA, inspired by the behaviors of the distributions of arithmetic operators commonly used  
448 in math [48]. The exploitation phase and exploration phase are the two main phases of its  
449 search process. In the AOA search process, a math optimization accelerator is used as a  
450 coefficient to select the exploration or utilization, whose value is defined as:

$$451 \quad MOA(iter) = MIN + iter \times (MAX - MIN) / Max\_iter \quad (21)$$

452 where  $MAX$  and  $MIN$  represent the maximum and minimum values of the optimizer,  
453 respectively.

#### 454 (1) Exploration phase

455 The AOA exploration operator performs random exploration on multiple regions of the  
456 search space, and its search mechanism is based on the division search mechanism and  
457 multiplication search mechanism to find better candidate solutions. The mathematical  
458 expression of the search mechanism is defined as follows:

$$459 \quad w_j = ((ub_j - lb_j) \times \mu + lb_j) \quad (22)$$



460 
$$x_{i,j}(iter+1) = \begin{cases} best_j \div (MOP + \epsilon) \times w_j, & r_2 < 0.5 \\ best_j \times MOP \times w_j, & otherwise \end{cases} \quad (23)$$

461 
$$MOP(iter) = 1 - 1 / Max\_iter^{1/Delta} \quad (24)$$

462 where  $\mu$  is an adjustable variable used to regulate the search process,  $iter$  is the current  
 463 number of iterations,  $\epsilon$  is a small integer,  $best_j$  denotes the  $j$ th coordinate of the optimum  
 464 individual of the current iteration,  $x_{i,j}(iter)$  is the  $j$ th coordinate of the  $i$ th individual under the  
 465 current number of iterations,  $lb_j$  and  $ub_j$  denote the lower and upper bounds of the  $j$ th  
 466 coordinate, respectively. The coefficient of the math optimization accelerator is represented  
 467 by  $MOP$ ,  $Delta$  is a sensitive parameter that represents the development precision during the  
 468 process of iteration.

469 (2) Exploitation phase

470 In the algorithm exploitation phase, compared to other operators, the mathematical  
 471 operators of addition and subtraction have low dispersion but high density and use in the  
 472 exploitation phase to infer the candidate that is closer to the optimal value through multiple  
 473 iterations. The mathematical model is defined as follows:

474 
$$x_{i,j}(iter+1) = \begin{cases} best_j - (MOP + \epsilon) \times w_j, & r_3 < 0.5 \\ best_j + MOP \times w_j, & otherwise \end{cases} \quad (25)$$

475

476 **4.3.2. Multi-objective arithmetic optimization algorithm**

477 In this study, MOAOA is obtained by introducing mutation operation, external archive, and  
 478 non-dominated sorting mechanism in AOA.

479 (1) polynomial mutation

480 The variation operator performs random variation operations on individuals according to  
 481 certain variation probabilities, and in this study, the polynomial mutation is utilized in the

482 elite solution set to increase the diversity of the population [49].

$$483 \quad p'_k = p_k + \delta(ub_k - lb_k) \quad (26)$$

$$484 \quad \begin{cases} [2u + (1-2u)(1-\delta_1)^{\eta_m+1}]^{1/\eta_m+1} & \text{if } u \leq 0.5 \\ 1 - [2(1-u) + 2(u-0.5)(1-\delta_2)^{\eta_m+1}]^{1/\eta_m+1} & \text{if } u > 0.5 \end{cases} \quad (27)$$

$$485 \quad \delta_1 = (p_k - lb_k)/(ub_k - lb_k) \quad (28)$$

$$486 \quad \delta_2 = (ub_k - p_k)/(ub_k - lb_k) \quad (29)$$

487 where  $p_k$  denotes a parent individual,  $u$  represents a number between 0 and 1, and  $\eta_m$  is the  
488 distribution index.

### 489 (2) Cauchy mutation

490 The inclusion of the Cauchy mutation not only maintains population diversity but also  
491 allows the algorithm to avoid falling into local optima when solving a complex optimization  
492 problem [50].

$$493 \quad \begin{cases} P_i = P_i \times (1 + 0.3 \times Cauchy(0,1)) \\ Cauchy(0,1) = \tan((rand - 0.5) \times \pi) \end{cases} \quad (30)$$

494 where  $P_i$  is the current position,  $rand$  is a random value uniformly distributed in  $[0,1]$ ,  
495  $Cauchy(0,1)$  is a standard Cauchy-distributed random value.

### 496 (3) Crowding distance

497 The crowding distance is utilized to characterize the distribution of non-dominated  
498 solutions. In the solution set obtained by the algorithm, each non-dominated solution has a  
499 crowding distance, which is utilized to represent the sum of the distances of the nearest  
500 non-dominated solutions in each objective function dimension. While in the boundary  
501 solutions in the Pareto front, the crowding distance is set to inf, and the crowding distance of  
502 other solutions is defined as:

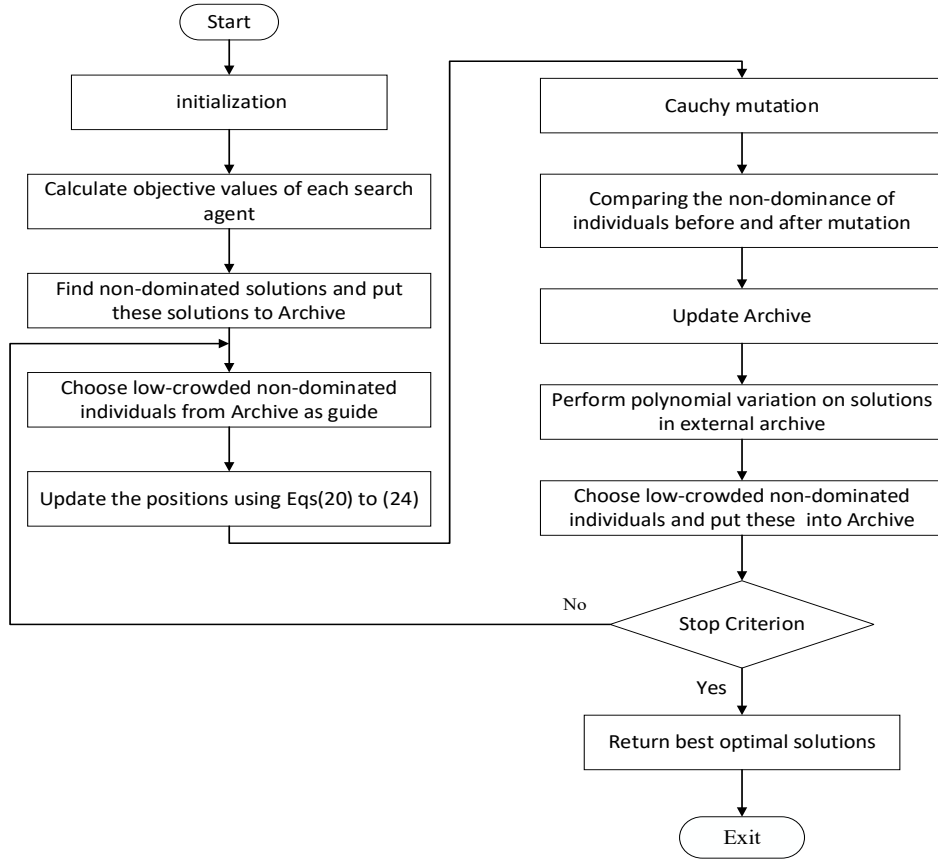
503 
$$P(i)_{distance} = \sum_{m=1}^D (P(i+1).m - P(i-1).m) / f_m^{\max} - f_m^{\min} \quad (31)$$

504 where  $D$  represents the dimensionality of the objective function,  $P(i-1).m$  denotes the  $m$ th  
505 dimensional objective function value that is second only to the  $i$ th solution after sorting the  
506  $m$ th objective function value in the non-dominated solution set,  $f_m^{\min}$  and  $f_m^{\max}$  represent  
507 the minimal and maximal of the  $m$ th objective function value, respectively.

508 (4) Non-dominated sorting

509 First, all non-dominated individuals in the population are identified to obtain the first  
510 non-dominated optimal layer; then, the individuals in the first non-dominated layer are  
511 ignored and the other individuals in the population are stratified according to the  
512 dominant-non-dominated relationship to obtain the second non-dominated optimal layer,  
513 and the above operation is continued for the remaining individuals until all individuals in the  
514 population are stratified.

515 The above describes the process of introducing a non-dominated sorting mechanism and  
516 an external archive mechanism to form MOAOA in AOA. The flow chart of MOAOA is  
517 displayed in Figure 2.



518

519

Fig 2. Flowchart of the proposed MOAOA algorithm.

520 (5) TOPSIS Decision-making

521 When solving a problem using a multi-objective optimization algorithm, the optimal  
 522 compromise solution is not directly available, so it is necessary to use certain methods to  
 523 process the optimal solution set to select the optimal compromise solution. In this study, the  
 524 TOPSIS method is used in the decision phase to select from the optimal set of solutions.

525 (1) Decision Matrix Normalization

526 
$$\delta_{i,j} = \gamma_{i,j} / \sqrt{\sum_{i=1}^M \gamma_{i,j}^2} \quad i = 1, 2 \dots M - 1, M; \quad j = 1, 2, 3 \quad (32)$$

527 
$$\gamma_{i,j} = \max_i (b_{i,j}) - b_{i,j} \quad (33)$$

528 in which  $\delta_{i,j}$  represents the normalized elements;  $M$  represents the number of optimal  
 529 solutions;  $b_{i,j}$  represents the elements in decision-making matrix;  $\gamma_{i,j}$  represents the  
 530 formalized elements.

531 (2) Optimal and inferior solution calculation

$$532 \quad \begin{cases} \gamma_j^+ = \max_i(\delta_{i,j}) & j = 1, 2, 3 \\ \gamma_j^- = \min_i(\delta_{i,j}) & j = 1, 2, 3 \end{cases} \quad (34)$$

533 in which  $\gamma_j^+$  and  $\gamma_j^-$  represent the optimal solution and the inferior solution, respectively.

534 (3) Calculation of distance

$$535 \quad \begin{cases} Dis_i^+ = \sqrt{\sum_{j=1}^3 (\gamma_{i,j} - \gamma_j^+)^2} \\ Dis_i^- = \sqrt{\sum_{j=1}^3 (\gamma_{i,j} - \gamma_j^-)^2} \end{cases} \quad (35)$$

536 in which  $Dis_i^+$  and  $Dis_i^-$  are the distances of the  $i$ th optimum solution to the optimal and

537 inferior solution, respectively.

538 (4) Comprehensive distance calculation

$$539 \quad W_i = Dis_i^- / (Dis_i^+ + Dis_i^-) \quad (36)$$

540 in which  $W_i$  represents the comprehensive distance, and the larger  $W_i$  means the higher  
541 score of the  $i$ th compromise solution.

542

#### 543 4.4. Testing of algorithm performance

544 The series of ZDT (ZDT1-ZDT4, ZDT6) [51] test functions are adopted for the algorithm  
545 performance comparison experiments in this study. The algorithms for convergence  
546 performance comparison with MOAOA in this study consist of multi-objective evolutionary  
547 based on decomposition (MOEA/D) and multi-objective particle swarm optimization  
548 (MOPSO).

549 To ensure the fairness of the comparison experiment, the proposed algorithm and the  
550 comparison algorithms are run 30 times independently on each test function. The population  
551 size is 100 and the maximum number of iterations is 100. Inverted Generation Distance (IGD)

552 [52] is used to evaluate the distribution performance and convergence performance of an  
553 algorithm. IGD evaluates the overall performance of an algorithm by computing the  
554 minimum sum of distances between each solution on the real Pareto front surface and the  
555 set of solutions obtained by the algorithm. The smaller the value, the better the convergence  
556 and distribution performance of the algorithm. Spacing metric (SP) [53] is a measure of the  
557 uniformity of the solution set distribution obtained by the algorithm by calculating the  
558 standard deviation of the minimum distance of each solution to the other solutions. The  
559 smaller the Spacing value, the more uniform the solution set is.

560 (1) Inverted Generation Distance

$$561 \quad \text{IGD} = \frac{\sum_{i=1}^n d_i}{n} \quad (37)$$

562 where the shortest Euclidean distance among all points on the true frontier and the vectors  
563 in the target space is represented by a  $d_i$ .

564 (2) spacing metric

$$565 \quad \text{SP} = \sqrt{\frac{1}{n-1} \sum_1^n (\bar{d} - d_i)^2} \quad (38)$$

566 where  $\bar{d}$  represents the average of all shortest Euclidean distances,  $d_i$  denotes the  
567 minimum Euclidean distance from the  $i$ th solution in the solution set to the other solutions,  
568 and  $n$  is the number of solutions.

569 The performance metrics of MOAOA and the comparison algorithm on the ZDT test  
570 functions are shown in Table 2, Table 3. The results show that the proposed multi-objective  
571 arithmetic optimization algorithm can provide the best results on all statistical metrics of ZDT,  
572 and IGD is the performance measure that shows the accuracy and convergence of the  
573 algorithm. The MOAOA algorithm can provide superior convergence on ZDT. Similarly, the

574 spacing metric is a performance measure of the uniformity of the distribution of the  
 575 solutions obtained by the algorithm. Therefore, it can also be shown that MOAOA is able to  
 576 provide solutions with more uniform distribution.

577 Table 2. The SP statistics on ZDT1-ZDT4 and ZDT6.

SP	MOAOA				MOEA/D				MOPSO			
	Average	Std	Worst	Best	Average	Std	Worst	Best	Average	Std	Worst	Best
ZDT1	5.85E-03	3.51E-04	6.39E-03	5.50E-03	6.23E-02	4.51E-02	1.09E-01	9.47E-03	1.21E-02	4.75E-02	1.62E-02	8.96E-03
ZDT2	5.32E-03	3.95E-04	5.98E-03	4.92E-03	2.46E-02	1.49E-02	4.65E-02	1.23E-02	4.67E-02	3.23E-03	7.35E-02	1.98E-02
ZDT3	6.28E-03	8.63E-04	7.31E-03	5.60E-03	1.21E-01	1.75E-01	4.32E-01	2.34E-02	3.35E-02	4.25E-02	4.65E-02	1.91E-02
ZDT4	5.87E-03	5.49E-04	6.36E-03	5.18E-03	6.50E-01	3.73E-01	1.08E+00	1.90E-01	2.64E+00	1.13E-02	5.15E+00	1.23E-01
ZDT6	5.05E-03	4.34E-04	5.73E-03	4.60E-03	5.99E-02	4.75E-02	1.34E-01	2.28E-02	8.72E-02	3.33E-01	2.22E-01	1.86E-02

578

579 Table 3. The IGD statistics on ZDT1-ZDT4 and ZDT6.

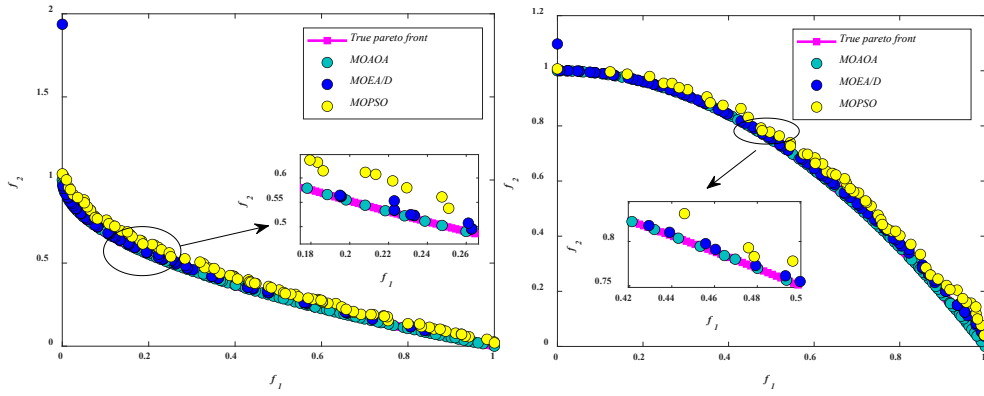
IGD	MOAOA				MOEA/D				MOPSO			
	Average	Std.	Worst	Best	Average	Std	Worst	Best	Average	Std	Worst	Best
ZDT1	4.63E-03	1.95E-04	4.93E-03	4.43E-03	6.23E-02	7.39E-02	1.25E-01	2.97E-01	1.21E-02	7.52E-03	3.33E-02	1.48E-02
ZDT2	4.54E-03	2.58E-04	4.96E-03	4.32E-03	1.05E+00	6.33E-01	1.61E+00	8.45E-03	2.98E-01	2.90E-01	7.72E-01	2.46E-02
ZDT3	6.12E-03	1.24E-03	7.11E-03	4.68E-03	7.68E-02	1.18E-01	2.86E-01	8.72E-03	6.05E-02	1.72E-02	8.76E-02	4.13E-02
ZDT4	4.80E-03	2.70E-04	5.22E-03	4.47E-03	3.71E+00	2.33E+00	6.45E+00	7.77E-01	4.75E+01	2.43E+01	7.45E+01	1.94E+01
ZDT6	3.33E-03	4.37E-04	3.82E-03	2.69E-03	5.69E-02	2.02E-02	8.47E-02	3.55E-02	5.16E-01	1.14E+00	2.55E+00	3.89E-03

580

581 Figure 3 provides the Pareto optimal fronts obtained by the three algorithms on the test

582 functions ZDT1-ZDT4 and ZDT6. The convergence status of the three algorithms on the ZDT1  
583 test function is displayed in Figure 3(a). The solution set obtained by the proposed MOAOA  
584 can effectively and uniformly converge to the true Pareto front, however, MOEA/D can only  
585 converge to the first half of the true Pareto front, while MOPSO cannot efficiently converge  
586 to the true Pareto front, which is also confirmed by the local enlargement shown in Figure  
587 3(a). The convergence of the three algorithms on the ZDT2 test function is shown in Figure  
588 3(b). The solution set of MOAOA converges uniformly to the true Pareto front. However, the  
589 solution set of MOPSO does not converge to the true Pareto front, and the solution set of  
590 MOEA/D converges well at the front end of the true Pareto front, but does not fully converge  
591 to the true Pareto front after the second half. The results are also confirmed by the local  
592 enlargement shown in Figure 3(b). The convergence of the three algorithms on the ZDT3 test  
593 function is shown in Figure 3(c), and the solution set of the proposed algorithm converges  
594 uniformly to the true Pareto front, while the solution set of MOEA/D falls into the local  
595 optimum, and the solution set of MOPSO fails to converge to the true Pareto front. The  
596 convergence states of the three algorithms for the ZDT4 test function are shown in Figure  
597 3(d). The solution set of the proposed algorithm converges to the true Pareto front  
598 homogeneously, while the solution sets of both MOEA/D and MOPSO fail to converge to the  
599 true Pareto front. The convergence status of the three algorithms with respect to the ZDT6  
600 test function is shown in Figure 3(e), the solution set of the proposed algorithm converges  
601 homogeneously to the true Pareto front, however, the solution set of MOEA/D does not  
602 converge to the true Pareto front, while a large portion of the solution set of MOPSO  
603 converges to the true Pareto front, but their distribution is uneven.



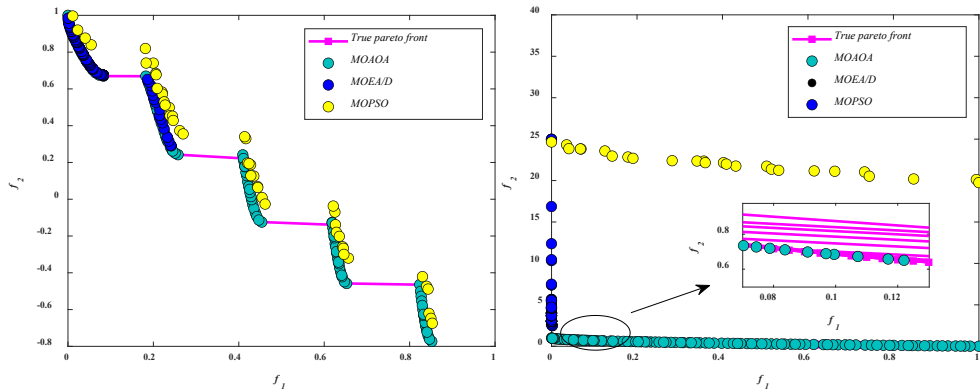


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(a)

(b)

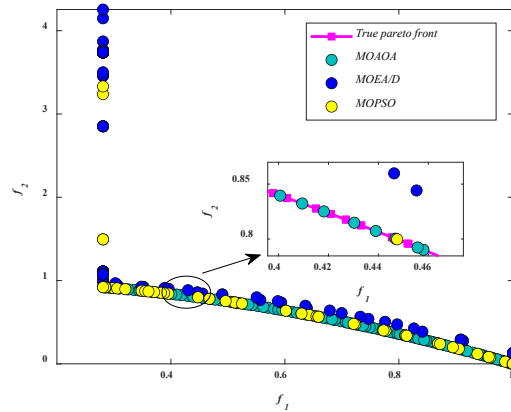


606

607

(c)

(d)



608

609

(e)

610

Fig 3. Pareto fronts of different algorithms on test problems ZDT1-ZDT4 and ZDT6

611

612 **5. Results and discussions**

613

Large hotels have a steady demand for electricity, heating, and cooling throughout the

614 year. Therefore, in this study, the load data of a large hotel, one of the 16 commercial  
 615 reference buildings provided by the U.S. Department of Energy (DOE) [54], is used as a case  
 616 study to simulate and analyze the established solar combined cooling, heating and power  
 617 system using the proposed optimization algorithm.

618 In this study, the operating conditions of typical days are chosen in order to illustrate more  
 619 clearly the output of each equipment of the hybrid system under the three strategies. Typical  
 620 daily load curves for a large hotel are depicted in Figure 4 [55]. The reference hotel has a  
 621 steady electrical load throughout the year, and the heating load demand increases as the  
 622 weather gets colder and the cooling load demand decreases as the weather gets warmer. In  
 623 addition, since the load curves for spring and fall are similar, spring and fall are considered as  
 624 the transition season, separate operation analyses for spring and fall are no longer  
 625 conducted. To ensure the universality of the hybrid system under the proposed strategy, a  
 626 day with relatively low heat load demand and high cooling load demand in summer was  
 627 chosen as a typical summer day, and a day with high heat load demand and low cooling load  
 628 demand in winter was chosen as a typical winter day.

629 The technical parameters of the equipment are shown in Table 4.

630 Table 4. Equipment technical parameters of hybrid CCHP system.

Equipment	Value	Symbol	Parameter
Thermal energy storage	0.8	$\eta_{TES,disch}, \eta_{TES,ch}$	Discharge/charge efficiency
tank [46]	0.04	$\eta_{TES,loss}$	Self-exothermic rate
Battery [46]	0.95	$\eta_{bat,disch}, \eta_{bat,ch}$	Discharge/discharge efficiency
	0.04	$\eta_{bat,loss}$	Self-discharge rate

---

Gas boiler [47]	0.8	$\eta_{GB}$	Efficiency
Heat exchanger [47]	0.8	$\eta_{he}$	Efficiency
Heat recovery [46]	0.8	$\eta_{HR}$	Efficiency
MT [46]	0.3	$\eta_{MT}$	Efficiency
Electric chiller [46]	3	$PC_{ec}$	Performance coefficient
Absorption chiller [47]	0.7	$PC_{ac}$	Performance coefficient

---

631

632

The unit investment costs of the equipment in the hybrid system are shown in Table 5.

633

Table 5. Unit price of equipment of the hybrid CCHP system.

---

Equipment	Price	unit
Thermal energy storage tank [46]	33	\$/Kw
Battery [46]	33	\$/Kw
Gas boiler [47]	42.8	\$/Kw
Heat exchanger [47]	22	\$/Kw
ST [48]	200	\$/m <sup>2</sup>
MT [46]	969.7	\$/Kw
PV [47]	2039	\$/Kw
Electric chiller [46]	350	\$/Kw
Absorption chiller [47]	225	\$/Kw

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634

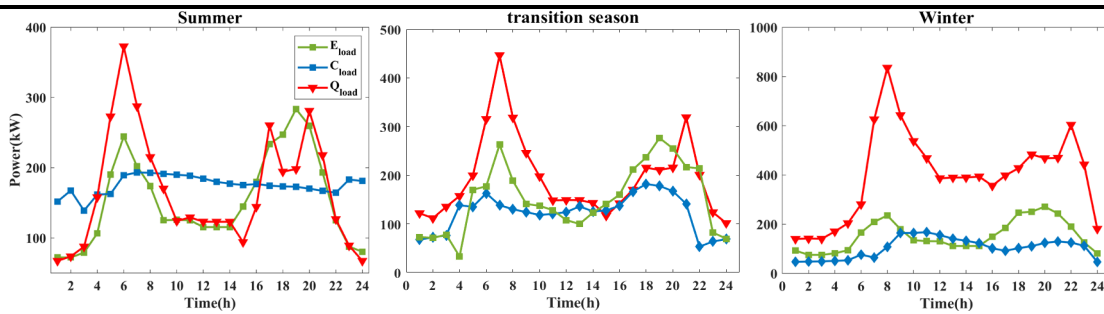
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The fuel prices and electricity prices are shown in Table 6.

636

Table 6. Gas and electricity prices [47].

	Period	Unit price(\$/kWh)
Electricity	23:00-7:00	0.0547
	7:00-10:00, 15:00-16:00,	0.1285
	17:00-18:00, 21:00-23:00	0.1285
	10:00-11:00, 13:00-15:00,	0.2060
	18:00-21:00	0.2252
Natural gas	---	0.0366



637

638

Fig 4. Hourly energy demand of typical days.

639

This study utilizes MOAOA to optimize the configuration of hybrid and traditional systems running under different strategies. The MOAOA parameters are set as follows: maximum number of iterations, number of populations, and maximum number of external archive stores are set to 500,100,100, respectively. The research experiments are conducted on Matlab2021a running on Intel(R) Core (TM) i5-5200U CPU 2.20Ghz, 12GB of RAM, and Windows 10 operating system.

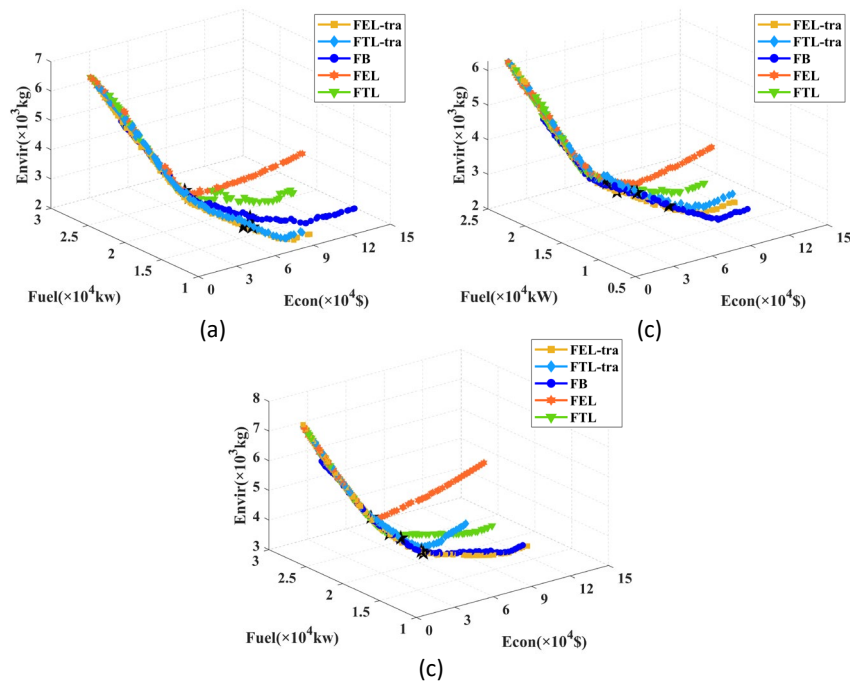
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### 646 5.1. Optimization results

647

The system is optimized using MOAOA, and the Pareto solution of traditional and hybrid

648 systems operating under the three strategies is shown in Figure 5.



649

650 Fig 5. The Pareto scheme gained by MOAOA under various strategies.

651 FEL-tra represents traditional CCHP running under FEL strategy, FTL-tra represents  
652 traditional CCHP system running under FTL strategy, FB represents hybrid system running  
653 under the proposed strategy, FEL represents hybrid system running under FEL strategy, FTL  
654 represents hybrid system running under FTL strategy.

655 Figure 5(a) shows the spatial distribution of the Pareto solutions obtained by optimization  
656 through MOAOA on a typical summer day. Each point in Figure 5(a) represents the optimal  
657 solution obtained in the external archive concerning the three objective functions, and the  
658 optimal set of solutions obtained for the system running under the different operation  
659 strategies has a similar distribution, and it is clear that MOAOA provides multiple solutions  
660 for the optimal configuration of the CCHP system. The distribution of the Pareto solution  
661 shows that the hybrid system has a better performance concerning fuel consumption and  
662 greenhouse gas emissions. In addition, the optimal compromise determined by TOPSIS is

663 marked with a black pentagram in Figure 5(a).

664 Figure 5(b) shows the spatial distribution of the Pareto solution obtained by MOAOA  
665 optimization on a typical day of the transition season. Each point in Figure 5(b) is the optimal  
666 solution obtained by MOAOA concerning the economy, fuel, and environment objective  
667 functions. Similarly, the obtained set of Pareto solutions is uniformly distributed in space.  
668 Moreover, the distributional behavior of the Pareto solution set indicates that both fuel  
669 consumption and greenhouse gas emissions of the hybrid system have smaller values.

670 Figure 5(c) displays the spatial distribution of the Pareto solution obtained by MOAOA  
671 optimization on a typical day of the winter season. Each point in Figure 5(c) is an optimal  
672 compromise solution obtained by MOAOA concerning the three objective functions of  
673 economy, fuel, and environment. In addition, the behavior of the spatial distribution of the  
674 optimal solution is of uniformity. The optimal solution of the hybrid system performs better  
675 in terms of fuel and environmental performance.

676 In summary, the spatial distribution of Pareto solutions for conventional and hybrid  
677 systems under different strategies indicates that hybrid systems have better environmental  
678 and energy performance. However, the cost of the hybrid system is higher because there is  
679 more equipment in the hybrid system. In addition, the uniformity of the obtained Pareto  
680 solutions distribution indicates the effectiveness of the developed multi-objective  
681 optimization algorithm.

682

## 683 **5.2. Performance evaluation indicators**

684 Five basic indicators, consisting of system energy efficiency ( $\eta_{CCHP}$ ), boiler energy savings  
685 rate (*BESR*), carbon dioxide reduction rate (*CDERR*), primary energy savings rate (*PESR*), and  
686 cost savings rate (*CSR*), are utilized in this study to evaluate the performance of the system.

687 The  $\eta_{CCHP}$  indicator is used to evaluate the relationship between inputs and outputs, the *BESR*  
 688 indicator is used to reflect the operation of boilers in the system, the *PESR* indicator is used  
 689 to evaluate the primary energy use in the system, the *CDERR* indicator is used to evaluate the  
 690 greenhouse gas emissions of the system, and the *CSR* indicator is used to evaluate the  
 691 economic cost of the system.

692 The  $\eta_{CCHP}$  is used to represent the ratio between the output and the input of the system, it  
 693 is defined as follows [56]:

$$694 \quad \eta_{CCHP} = \frac{E_{load} + Q_{load} + C_{load}}{Fuel + \sum_{t=1}^T E_{PV}^t + \sum_{t=1}^T Q_{ST}^t} \quad (39)$$

695 where  $E_{load}$ ,  $Q_{load}$ ,  $C_{load}$ ,  $Fuel$ ,  $E_{PV}^t$  and  $Q_{ST}^t$  denote the customer's electrical energy demand,  
 696 thermal energy demand, cooling demand, fuel consumption, electricity generated by PV, and  
 697 heat generated by ST, respectively.

698 The *BESR* is used to evaluate the fuel consumption of the boiler in system and is calculated  
 699 as follows:

$$700 \quad BESR = \frac{BEC_{SP} - BEC_{CCHP}}{BEC_{SP}} \quad (40)$$

701 where the fuel consumption of GB in system is represented by the *BEC*.

702 *PESR* is used to evaluate the primary energy consumption of the system, *PESR* indicator of  
 703 the system is calculated as follows:

$$704 \quad PESR = \frac{Fuel_{SP} - Fuel_{CCHP}}{Fuel_{SP}} \quad (41)$$

705 where the fuel consumption of system is represented by the *PESR*.

706 *CDERR* is used to evaluate the greenhouse gas emissions from the system, *CDERR* indicator  
 707 is estimated as follows:

708 
$$CDERR = \frac{CDE_{SP} - CDE_{CCHP}}{CDE_{SP}} \quad (42)$$

709 where the carbon dioxide emissions of system are represented by *CDE*.

710 *CSR* is used to evaluate the cost of the system. *CSR* indicator is estimated as follows:

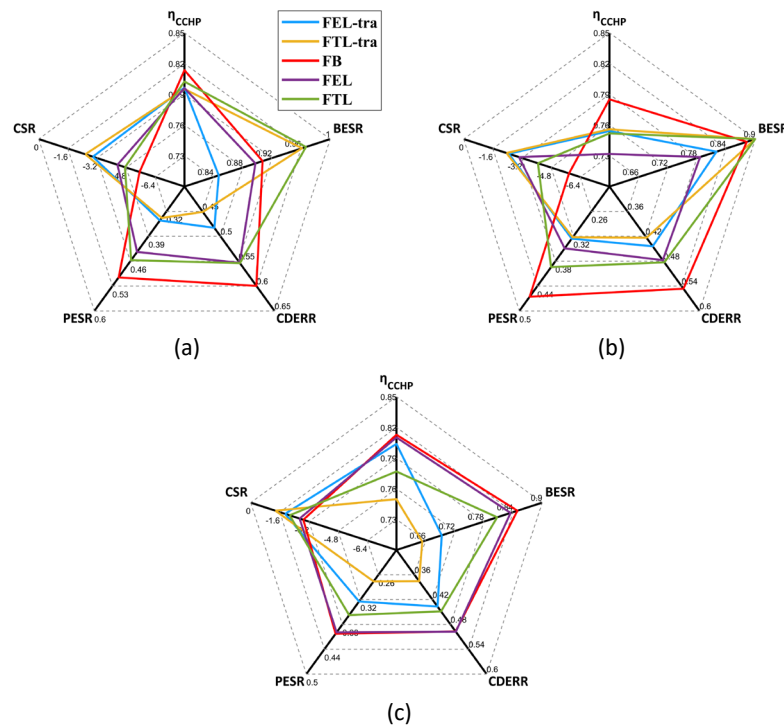
711 
$$CSR = \frac{Cost_{SP} - Cost_{CCHP}}{Cost_{SP}} \quad (43)$$

712 where the economic cost of the system is represented by *CSR*.

713 A set of feasible solutions are obtained by MOAOA. The optimum solution is acquired by

714 the TOPSIS approach from the set of solutions. The performance metrics of the optimal

715 compromise solution are shown in Figure 6.



716 (a) (b) (c)

717 Fig 6. Performance metrics under different strategies.

718 FEL-tra represents traditional CCHP running under FEL strategy, FTL-tra represents

719 traditional CCHP system running under FTL strategy, FB represents hybrid system running

720 under the proposed strategy, FEL represents hybrid system running under FEL strategy, FTL

721 represents hybrid system running under FTL strategy.



722 Figure 6(a) shows the performance metrics of the conventional and hybrid systems during  
723 a typical summer day. Figure 6(a) reveals that the *PESR*, *CDERR*, and  $\eta_{CCHP}$  indexes of the  
724 hybrid system are better than those of the conventional system. The *CSR* value for the solar  
725 hybrid system operating under the proposed strategy is -5.54, which is slightly worse than  
726 the *CSR* values under the FTL and FEL strategies. For *PESR*, the *PESR* value of 0.51 for FB  
727 strategy is better than the *PESR* of a hybrid CCHP system running under two other basic  
728 strategies, which indicates that the system can reduce primary energy consumption by  
729 running the proposed FB strategy. For *CDERR*, the proposed strategy has a *CDERR* value of  
730 0.60, which is better than *CDERR* under FTL and FEL strategies, which indicates that the  
731 system can significantly achieve carbon dioxide emission reduction under the proposed FB  
732 strategy. For *BESR*, the *BESR* indicator of the proposed FB strategy is second only to that of  
733 the FTL strategy. For system efficiency, the solar hybrid CCHP system running under the  
734 proposed FB strategy eliminates waste of electrical energy and thermal waste, thus its  
735 system efficiency is the highest with a value of 0.81.

736 Figure 6(b) presents the operational performance metrics of the conventional and hybrid  
737 systems during a typical transition season day. The  $\eta_{CCHP}$ , *CDERR*, and *PESR* of the hybrid  
738 system are better than those of the traditional system, but the *CSR* index of the hybrid  
739 system performs worse due to the introduction of more equipment. The *CSR* value of the  
740 solar hybrid CCHP system operating under the proposed FB strategy is -5.78, which is slightly  
741 worse than the *CSR* values under the FTL and FEL strategies. In terms of *PESR*, the hybrid  
742 system running under the FB strategy has a *PESR* value of 0.47, which indicates that a  
743 significant reduction in primary energy consumption is achieved by the hybrid system  
744 running under the proposed FB strategy. In terms of *CDERR*, the *CDERR* value under the  
745 proposed FB strategy is 0.55, which indicates that the hybrid system operating under the

746 proposed FB strategy can achieve significant greenhouse gas emission reductions. As for the  
747 BESR, the BESR value for the hybrid system running under the FB strategy is 0.88, which  
748 indicates that the energy consumption of the gas boiler of the hybrid system under the  
749 proposed strategy is less. In terms of system efficiency, the solar hybrid CCHP system has no  
750 energy wastage when operating under the proposed strategy, and therefore has the highest  
751 efficiency of 0.78.

752 Figure 6(c) presents the operational performance metrics of the traditional and hybrid  
753 systems during a typical day in winter. Figure 6(c), the hybrid system outperforms the  
754 conventional CCHP system in terms of  $\eta_{CCHP}$ ,  $CDERR$ , and  $PESR$ .  $CSR$  value of solar hybrid  
755 CCHP system running proposed strategy is -2.89, which is slightly worse than the  $CSR$  values  
756 under the FTL and FEL strategies. For  $BESR$ ,  $CDERR$ , and  $PESR$ , the values of  $BESR$ ,  $CDERR$ , and  
757  $PESR$  for the solar hybrid CCHP system running under the proposed strategy are 0.85, 0.50,  
758 and 0.40, respectively. The proposed strategy enables the hybrid system to have better  
759 energy and environmental performance than the hybrid system running under the FEL and  
760 FTL strategies. In addition, the hybrid system under the proposed strategy generated no  
761 energy waste, the system efficiency value of the hybrid system running under the proposed  
762 strategy is 0.81.

763 In summary, this study employed MOAOA for the system optimization configuration of  
764 both traditional and hybrid systems. Firstly, the hybrid system outperformed the traditional  
765 CCHP system in energy and environmental performance. Secondly, the solar hybrid CCHP  
766 system operated under the proposed strategy produced no energy waste, and the obtained  
767 evaluation indexes performed well except for the cost-saving ratio. These fully demonstrate  
768 the excellent performance of the proposed FB strategy in fuel-saving, environmental  
769 pollution reduction, and system efficiency improvement.

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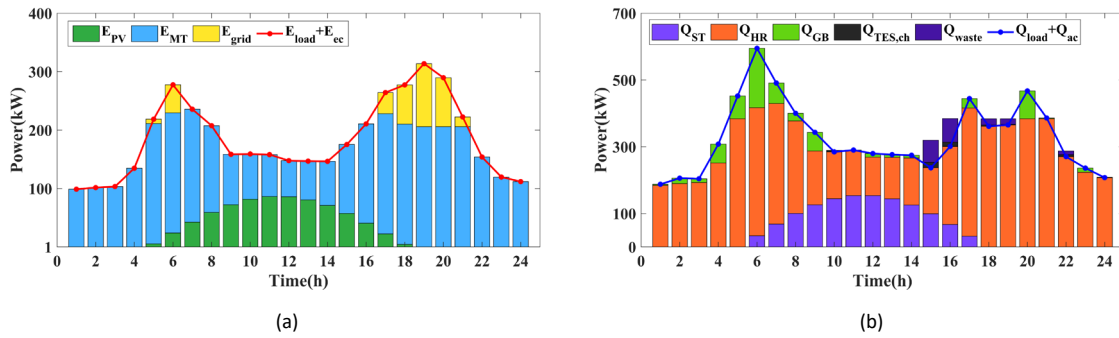
### 771 5.3. Analysis of operation

772 The optimum solution is selected from the set of solutions obtained by MOAOA using the  
773 TOPSIS method, the operation of the optimal solution is also analyzed, the results are as  
774 follows.

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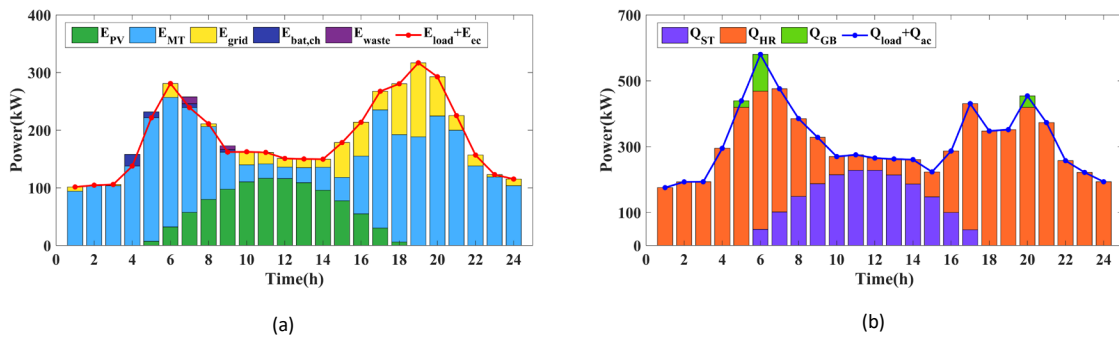
#### 776 5.3.1. Analysis of operation in a representative summer day

777 The operation of the system during a typical summer day is as follows:



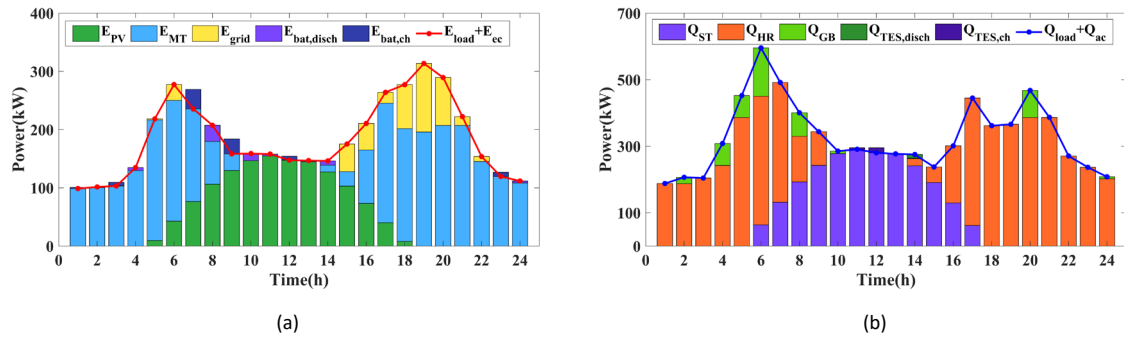
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779 Fig 7. FEL energy balance in a representative summer day.



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781 Fig 8. FTL energy balance in a representative summer day.



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783 Fig 9. FB energy balance in a representative summer day.

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Figure 7(a), during the period from 1:00 to 4:00, MT of the hybrid system under the FEL strategy bears the electricity demand of customers, during the period from 5:00 to 18:00, PV and MT generation bear most of the electricity demand of customers, and during the period from 19:00 to 24:00, MT bears most of the electricity demand, and a small amount of customer electricity demand is borne by the grid. Figure 8(a), during the period 1:00 to 4:00, MT of the hybrid system under the FTL strategy undertakes the all power demand of the customers, yet there is a small amount of power redundancy and power waste, during the period 5:00 to 18:00, the PV and MT generation undertakes most of the power demand of the customers, yet there is power redundancy and waste, and during the period 19:00 to 24:00, the MT takes up most of the electricity demand, and a small amount of customer electricity demand is taken up by the grid, a small amount of electricity redundancy and waste still exists. Figure 9(a), the battery under the proposed strategy starts charging when there is electrical energy redundancy in the hybrid system and can discharge in time when there is insufficient power generation in the hybrid system. Therefore, the hybrid system under the proposed strategy has no power redundancy and waste.

Figure 7(b), MT and GB undertake the thermal energy demand of users from 0:00 to 5:00, ST and MT undertake most of the thermal energy demand of users from 6:00 to 17:00, there is some thermal energy redundancy, and MT and GB undertake all the thermal energy

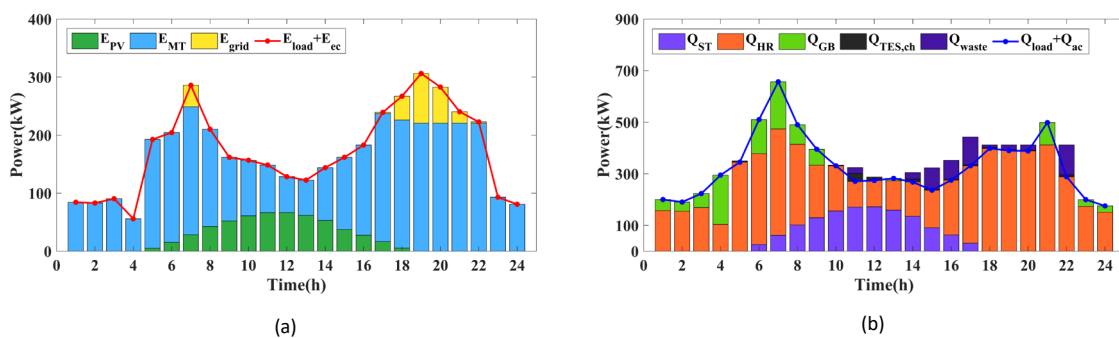
802 demand of users from 18:00 to 24:00, there is some thermal energy redundancy and waste.  
 803 Figure 8(b), MT and GB bear the thermal energy demand of customers from 0:00 to 5:00, ST  
 804 and MT bear most of the thermal energy demand of customers from 6:00 to 17:00, and MT  
 805 and GB undertake all the thermal energy demand of customers from 18:00 to 24:00. As  
 806 shown in Figure 9(b), the TES can start absorbing heat when the system has electrical energy  
 807 redundancy and release heat in time when the system is not producing enough heat.  
 808 Therefore, the hybrid system under the proposed strategy does not have thermal  
 809 redundancy and waste.

810 To sum up, the system generates electrical energy and thermal energy wastage under FTL  
 811 and FEL strategies, respectively. The system operates under the proposed FB strategy and  
 812 switches the operation strategy according to the energy storage state of the battery, which  
 813 results in the elimination of the thermal energy waste under the FEL strategy and the  
 814 electrical energy waste under the FTL strategy, respectively.

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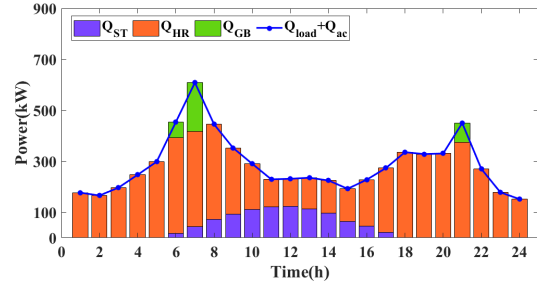
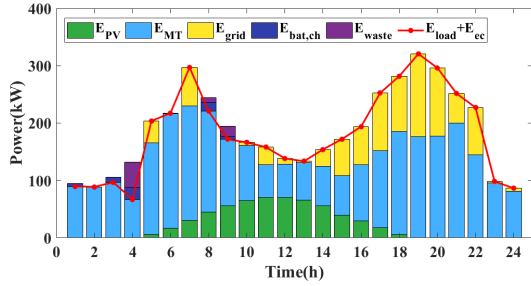
### 816 5.3.2. Analysis of operation in a representative transition season day

817 The operation of the system during a typical transition season day is as follows:



818

819 Fig 10. FEL energy balance in a representative transition season day.



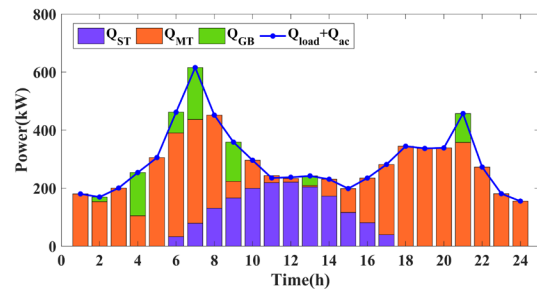
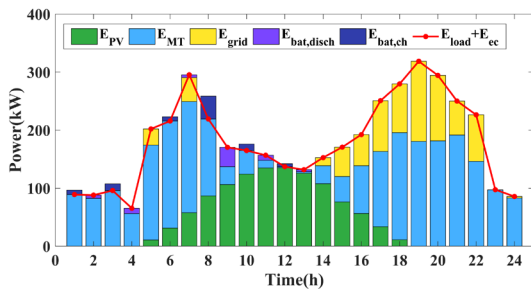
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(a)

(b)

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Fig 11. FTL energy balance in a representative transition season day.



822

(a)

(b)

823

Fig 12. FB energy balance in a representative transition season day.

824

Figure 10(a), 11(a), and 12(a), the power balance diagram indicates that the system under

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the FTL and FEL strategies is supplied with the majority of power by the MT for the customer,

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the PV starts to provide power to customers when the light intensity reaches a certain

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condition. When the electricity demand of users exceeds the generation capacity of the

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system, electricity is purchased from the grid to supplement this deficiency. The battery

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under the proposed strategy can absorb excess power and discharge it in time when the

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system is not generating enough power, thus eliminating power redundancy and waste.

831

Figure 10(b), 11(b), and 12(b), the system has thermal redundancy and waste when it runs

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under the FEL strategy, and the system can reliably bear the thermal energy demand of users

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when it runs the FTL strategy; for the system running under the FB strategy, the excess heat

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in the system is absorbed by the TES, and the thermal energy stored in the TES is released in

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time, and the proposed FB strategy does not cause thermal redundancy and thermal energy

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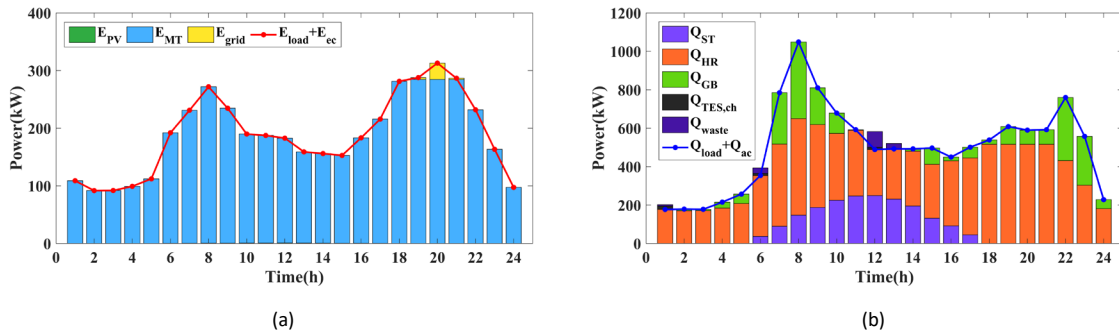
waste in the system compared with the FEL strategy.

837 In summary, a hybrid system operating under all three strategies can provide a reliable  
 838 energy supply to the user. However, there is thermal energy redundancy and thermal energy  
 839 waste under the FEL strategy, and there is electric energy redundancy and electric energy  
 840 waste under the FTL strategy. The proposed strategy eliminates energy redundancy and  
 841 waste by switching the strategy according to the battery storage state.

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### 843 5.3.3. Analysis of operation in a representative winter day

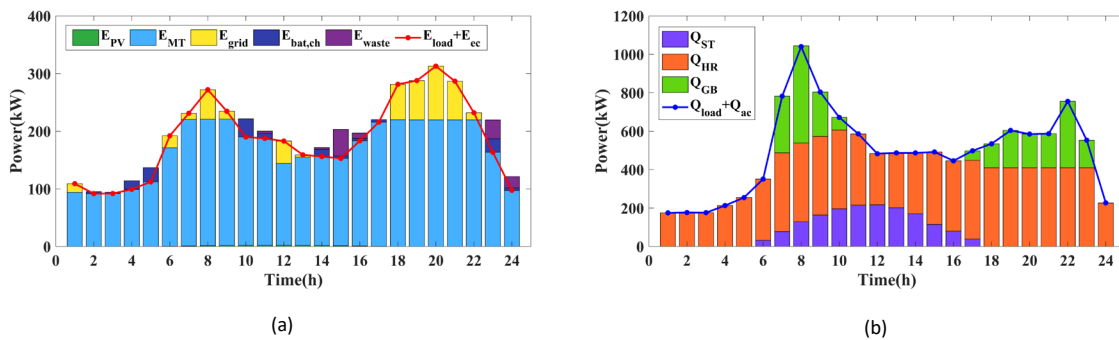
844 The operation of the system during a typical winter day is as follows:



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Fig 13. FEL energy balance in a representative winter day.



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Fig 14. FTL energy balance in a representative winter day.

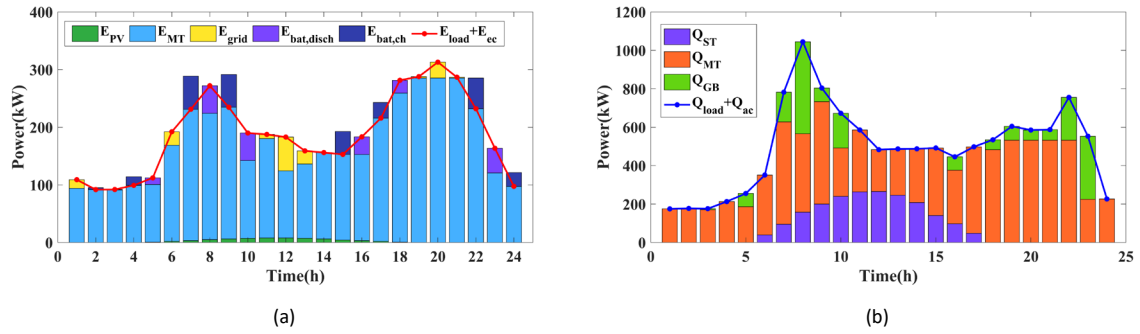


Fig 15. FB energy balance in a representative winter day.

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Figure 13, 14, and 15, the hybrid system under the FEL strategy can reliably meet the electrical energy demand of the users. When the system is running under the FTL strategy, the power demand of users is mainly provided by the MT, and when the hybrid system does not generate enough power to meet the users' demand, the grid will supply a portion of the electricity to users. However, the hybrid system under the FTL strategy has power redundancy and waste. The proposed strategy allows the system to switch between the two basic strategies according to the state of the battery storage, thus eliminating the redundancy and waste of power under the FTL strategy.

Figure 13, 14, and 15, the hybrid system under the FEL strategy can reliably meet the electrical energy demand of the users. When the system is running under the FTL strategy, the power demand of users is mainly provided by the MT, when the hybrid system does not generate enough power to meet the users, the grid supplies a portion of the electricity to users. However, the hybrid system under the FTL strategy has power redundancy and waste. The proposed strategy allows the system to switch between the two basic strategies according to the state of the battery storage, thus eliminating the redundancy and waste of power under the FTL strategy.

The above analysis indicated that the solar hybrid CCHP system constructed in this study achieved better environmental and energy performance in comparison to the conventional



869 CCHP system. In addition, the proposed operating strategy results in a hybrid system with  
870 better environmental and energy performance and eliminates energy redundancy and waste  
871 compared to the FTL and FEL operating strategies, thus improving the efficiency of the  
872 system, which facilitates better design and evaluation of hybrid CCHP systems.

873

## 874 **6. Concluding Remarks**

875 Coupling solar technologies into traditional combined cooling, heating, and power system  
876 is widely recognized as an effective way to solve energy-related problems. Therefore, this  
877 study establishes a mathematical model of a hybrid combined cooling, heating, and power  
878 system consisting of solar thermal and solar power technologies and proposes a novel  
879 operating strategy. In addition, the configuration of the hybrid system is optimized by a  
880 multi-objective arithmetic optimization algorithm. The findings contain the following  
881 sections:

- 882 • A multi-objective arithmetic optimization algorithm is developed by introducing  
883 mutation strategies, external archive mechanisms, and a non-dominated sorting strategy  
884 into the arithmetic optimization algorithm.
- 885 • The performance of the multi-objective arithmetic optimization algorithm is verified  
886 using a series of test functions.
- 887 • Considering Photovoltaic power and solar thermal technologies, a mathematical model  
888 of the hybrid combined cooling, heating, and power system is established. And a  
889 strategy to follow the energy storage state of the battery is proposed based on  
890 traditional operation strategies.
- 891 • The developed algorithm is used to optimize the configuration of hybrid systems under  
892 different operating strategies. The values of efficiency, boiler energy saving ratio, carbon

893 dioxide emission reduction ratio, and primary energy saving ratio indicators for the  
894 hybrid system under the proposed strategy are 78.51%, 88.26%, 54.64%, and 46.56%,  
895 respectively. These indicate that the hybrid system under the proposed strategy has  
896 significant effectiveness in improving system efficiency, reducing carbon dioxide  
897 emissions, and reducing primary energy consumption.

898 The contributions of this study are as follows: (1) Considering the equation constraint,  
899 capacity constraint, and climbing constraint, a mathematical model of a hybrid system is  
900 established; (2) A novel operation strategy is proposed, a novel multi-objective optimization  
901 approach is proposed to solve hybrid system configuration problem; (3) The distribution of  
902 the obtained Pareto optimal solution set is uniform, this proves the effectiveness of the  
903 proposed multi-objective arithmetic optimization algorithm in multi-objective optimization  
904 problems. Moreover, the research results contribute to improving the environmental  
905 performance and energy performance of the hybrid combined cooling, heating, and power  
906 system

907 The proposed algorithm achieved satisfactory results for the optimization of the hybrid  
908 system. Moreover, the proposed hybrid strategy improves the energy and environmental  
909 performance of the system. Future research needs to focus on applying the combined  
910 cooling, heating, and power system to other commercial buildings, and introducing other  
911 new energy sources into the combined cooling, heating, and power system.

912

### 913 **Acknowledgements**

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916 No. E2018202282].

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