



Peng, Y. and Unluer, C. (2022) Analyzing the mechanical performance of fly ash-based geopolymer concrete with different machine learning techniques. *Construction and Building Materials*, 316, 125785.

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1 **Analyzing the mechanical performance of fly ash-based geopolymer concrete**
2 **with different machine learning techniques**

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11
12 **Abstract:** Fly ash (FA)-based geopolymer concrete is considered as an alternative
13 system with potentially lower environmental impact than Portland cement mixes.
14 However, the prediction accuracy of compressive strength still needs to be improved.
15 This study demonstrated the feasibility of predicting the 28-day strength of geopolymer
16 concrete through mix proportions and pre-curing conditions by using three machine
17 learning algorithms (backpropagation neural network (BPNN), support vector machine
18 (SVM) and extreme learning machine (ELM)) and provided a comparison of their
19 differences, highlighting variations in prediction accuracy. As a part of the evaluation
20 of model performance and error analysis, the prediction accuracy differences of these
21 three models in training, validation and testing sets were discussed, and the influence
22 weight of each input parameter on results was analyzed by permutation feature
23 importance (PFI) index. Results showed that all models revealed good prediction
24 performance for the overall database. BPNN model had the largest number of instances
25 where the error percentage was within $\pm 20\%$. SVM model showed the highest
26 generalization capability and most stable prediction accuracy among all three. Out of
27 different variables investigated, SiO₂ content in FA had the highest influence on
28 strength, followed by Al₂O₃ content and activator content/concentration. These
29 outcomes can enable reductions in experimental time, labor, materials and costs; and
30 facilitate the adoption of alternative binders in the concrete industry.

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33 **Keywords:** Geopolymers; compressive strength; machine learning; support vector
34 machine; backpropagation neural network; extreme learning machine

1. Introduction

Portland cement (PC), the most frequently used cementitious material for concrete worldwide, is associated with a large energy consumption and heavy carbon dioxide (CO₂) emissions due to the procedures involved in its production. About 4 billion tons of CO₂ are emitted by PC production every year, accounting for 5-7% of total anthropogenic CO₂ emissions [1-4]. Owing to the increasing concerns for environmental protection and climate change, many initiatives have been taken to reduce impacts associated with cement production and use. These include the incorporation of supplementary cementitious materials (SCMs) such as fly ash (FA), limestone powder (LP) and ground granulated blast furnace slag (GGBS) into cement mixes and the recycling of industrial solid wastes to reduce the reliance on PC in new and existing structures [5-9]. However, the proportions of these SCMs used as a replacement for PC are often restricted. Taking FA as an example, although FA demonstrates pozzolanic effects during the different stages of cement hydration, it does not have a major role in strength development in the early stages [10-13]. The addition of FA can reduce the early hydration rate and prolong the setting time of composite pastes [14-16], which can limit its use in large contents.

Obtaining more environmentally friendly cementitious binders by means of alkali activation is one of the most frequently investigated routes for the complete replacement of PC [17]. Alkali-activated materials (AAMs) do not involve the high-temperature and energy intensive calcination process adopted in the production of PC clinker. AAMs such as geopolymers are polymeric aluminosilicate cementitious materials with three-dimensional spatial network structures that involve industrial wastes (e.g. FA) as the main binder under the action of an alkaline activator (e.g. NaOH, Na₂SiO₃) [18-21]. Geopolymers can demonstrate favorable mechanical properties and durability due to their unique chemical structure. From an environmental standpoint, the reuse of industrial wastes as the main binder component makes these systems attractive in comparison to PC-based mixes [22].

FA-based geopolymer concrete not only has comparable mechanical properties to PC-based concrete [23, 24], but also shows improved durability such as high temperature resistance [25], sulfate resistance [26] and chloride penetration resistance [27]. Different compressive strength prediction models for FA-based geopolymer concrete are presented in the literature. Zhang et al. [28] analyzed the residual compressive strength test points of low calcium FA-based geopolymer concrete after exposure to high temperatures. The corresponding coefficients in Gaussian-based mathematical model were calculated in MATLAB to get two prediction equations. The results showed that the experimental strengths were usually larger than the predicted counterparts. Cong et al. [29] established a constitutive model to predict the engineering properties of alkali-activated GGBS/FA concrete according to its stress-strain relationship and elastic modulus under different compression and tension loads. However, the dynamic increase factor obtained from the constitutive model presented in this study was not

79 consistent with the experimental results. Le et al. [30] used the modified Feret and De
80 Larrard models to predict the compressive strength of FA-based geopolymer recycled
81 aggregate concrete. Compared with Feret's model, which requires a modification that
82 replace the parameter for cement (C) with the parameter for binder (B) to ensure
83 reasonable prediction accuracy, De Larrard's model only needs to determine the
84 parameters of natural and recycled aggregates in advance to get satisfactory prediction
85 results. One of the most significant disadvantages of using constitutive or other
86 empirical models to predict the mechanical properties of concrete is that the expression
87 of function is solidified in the calculation process. Although the regression function
88 itself has many undetermined parameters, the solidification of the function expression
89 still hinders the possibility of further optimizing the prediction accuracy.

90
91 The application of artificial intelligence in concrete mix design and performance
92 prediction has gained increased attention in the last few years [31, 32]. The most
93 distinctive advantage of using machine learning as opposed to empirical models in the
94 prediction of the mechanical properties of concrete mixes is its ability to fully consider
95 the nonlinear relationship between independent variables and dependent variables, as
96 well as the influence of various factors on the results. Nonlinearity is the most
97 remarkable characteristic of machine learning (i.e. especially for artificial neural
98 network (ANN)) [33]. Most machine learning algorithms usually divide the database
99 into training set and testing set [34, 35]. If the results of the testing set are not ideal, the
100 algorithm will automatically iterate until the prediction accuracy meets the
101 requirements. In contrast, the empirical model can only iterate once, resulting in an
102 output of fitting parameters, which can explain why simple regression results cannot
103 achieve the desired effect. Some of the most frequently used machine learning models
104 in the analysis of concrete properties include ANN [36-39] and support vector machine
105 (SVM) [40, 41]. These algorithms provide a good support for the optimization of
106 concrete mix design and performance.

107
108 Accordingly, the purpose of this study is to demonstrate the feasibility of predicting the
109 strength of geopolymer concrete by using a recently emerging popular algorithm (i.e.
110 extreme learning machine (ELM)) and provide a comparison with two traditional
111 approaches (i.e. backpropagation neural network (BPNN) and support vector machine
112 (SVM)) to highlight their differences and variations in prediction accuracy. Although
113 there are several studies on concrete performance prediction based on machine learning,
114 few studies focus on the prediction of the strength of geopolymer concrete by using
115 different algorithms. Aiming to fill this gap, this paper presents a detailed analysis
116 involving the use of these three algorithms to predict the 28-day compressive strength
117 of geopolymer concrete according to the chemical composition of the main binder
118 component, mix proportions and pre-curing conditions. Moreover, this paper analyzes
119 the influence of each parameter on the mechanical performance and characterizes the
120 influence weight of each feature parameter by using the permutation feature importance
121 (PFI) index and sensitivity analysis, thereby providing a theoretical basis for optimizing
122 the mechanical properties of geopolymer concrete.

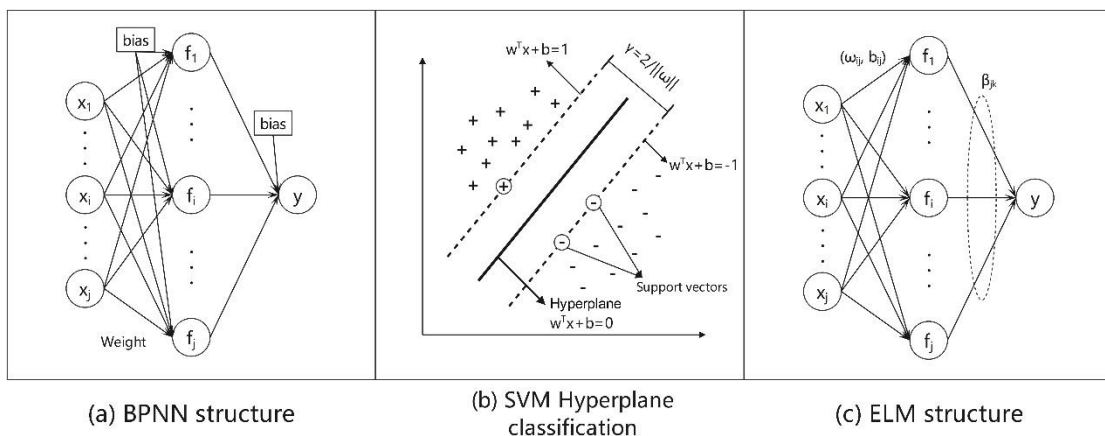
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2. Machine learning approaches and performance evaluation

2.1 Machine learning models

BPNN and SVM are two commonly used algorithms in neural network prediction. Among them, BPNN belongs to multilayer feedforward neural network and uses the difference between the actual outputs and expected outputs to correct the network parameters of each layer from back to front, thereby iteratively optimizing biases and weights, and maximizing the prediction accuracy (Fig. 1(a)). Alternatively, SVM is considered as a generalized linear classifier for binary data classification based on supervised learning. As shown in Fig. 1(b), the goal of this algorithm is to maximize the distance from the hyperplane to the nearest support vectors of each category. It is worth noting that SVM can be used for both classification and parameter prediction. The method used in this study is support vector regression (SVR).

ELM is an advanced machine learning algorithm proposed by Huang et al. [42]. For single hidden layer neural networks, ELM can initialize the input weights and biases randomly and obtain the corresponding output parameters (Fig. 1(c)). According to its operation principle, it is considered as an improvement of the backpropagation algorithm in BPNN. The weights and biases from the input layer to the hidden layer of ELM are set randomly, and there is no need to make constant reverse adjustments to the weights and biases like BPNN. This results in a significant reduction in the amount of computation. Moreover, the connection weights β_{jk} between the hidden layer and the output layer of ELM are determined by solving the equations at one time. Similar to BPNN, ELM also only needs one hidden layer to meet the requirement of prediction accuracy.



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Fig. 1 Graphical representation of different machine learning models (modified from [31] and [8])

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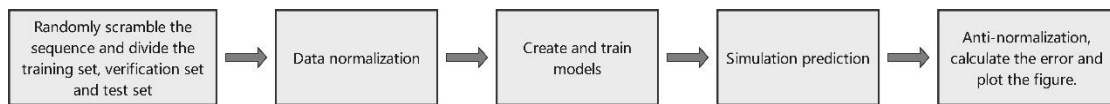
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158 2.2 Training process and evaluation of machine learning models

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160 Fig. 2 shows the unified calculation process of three machine learning algorithms used
161 in this study. Firstly, the collected data was arranged in random order. In order to
162 eliminate the possible impact of various characteristic indicators on the results due to
163 their different dimensions, all input parameters were preprocessed by the same
164 normalization algorithm to unify their dimensions, improve the speed of model training
165 and prevent the decline of prediction accuracy or the failure of algorithm convergence
166 (e.g. for BPNN). The mathematical equation used for data normalization is shown in
167 Eq. (1), where y_{max} and y_{min} are 1 and -1 by default, and x_{max} and x_{min} are the maximum
168 and minimum values of input parameters, respectively [43]. After normalization, all
169 input parameters were in the range of -1 to 1. Finally, the prediction data were
170 denormalized to get the final output data. All the algorithms were implemented in
171 MATLAB 2016a platform.

172



173

174

175 **Fig. 2** Construction and data prediction process of machine learning models

176

177

178

$$179 \quad y = \frac{(y_{max} - y_{min}) \times (x - x_{min})}{(x_{max} - x_{min})} + y_{min} \quad (1)$$

180

181 The hyperparameters of BPNN, SVM and ELM used in this study are presented in Table
182 1. The activation functions of BPNN and ELM adopted the default sigmoid function,
183 which is shown in Eq. (2).

184

$$185 \quad s(x) = \frac{1}{1 + e^{-x}} \quad (2)$$

186

187 The number of nodes in the hidden layer was determined by the calculation accuracy
188 of validation data. In the training process of BPNN and ELM, results showed that when
189 the hidden layer was set to 18 and 20, respectively, the goodness of fit reached the
190 maximum and the calculation error was the lowest. The kernel function of SVM was
191 RBF function. In order to enhance the generalization ability, the K-fold cross validation
192 method is mainly utilized in SVM due to the presence of several hyperparameters. As
193 BPNN and ELM involve a limited number of hyperparameters, they mainly optimize
194 their hyperparameters according to the prediction outcomes of the training set. All
195 algorithms divided the data into training set, validation set and testing set in line with

196 the proportion of 70%, 15% and 15%, respectively.

197

198

Table 1 Hyperparameters of machine learning models

199

Machine learning algorithms	Hyperparameters	Values/categories
BPNN	Activation function	Sigmoid
	Hidden layer numbers	1
	Number of hidden layer nodes	18
SVM	SVM type	e-SVR
	Kernel function	RBF
	Tolerance	0.001
	epsilon	0.1
	Shrinking	True
ELM	Hidden layer nodes	20
	Activation function Mode	Sigmoid Regression

200

201 In order to evaluate the deviation between the predicted value and measured value for
 202 a single sample, error and error percentage were used to characterize their similarity, as
 203 shown in Eq. (3) and (4), where y'_i is the predicted value and y_i is the actual value.

204

$$205 \quad \text{Error} = y'_i - y_i \quad (3)$$

$$206 \quad \text{Error percentage} = \frac{y'_i - y_i}{y_i} \quad (4)$$

207

208 As the above evaluation criteria were only for a single sample, in order to further
 209 quantify the comprehensive prediction results, various statistical methods were used.
 210 This led to the evaluation of the performance of given models, including coefficient of
 211 determination (R^2), mean square error (MSE), root mean square error ($RMSE$) and mean
 212 absolute error (MAE). These parameters can not only quantify the prediction accuracy
 213 of a single algorithm, but also compare the performance of different models, identifying
 214 the most suitable model with the highest applicability for the specific database. The
 215 expressions of these evaluation indexes are shown in Eq. (5)-(8).

216

$$217 \quad R^2 = 1 - \frac{\sum_{i=1}^n (y'_i - y_i)^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \quad (5)$$

$$218 \quad MSE = \frac{\sum_{i=1}^n (y'_i - y_i)^2}{n} \quad (6)$$

$$219 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (y'_i - y_i)^2}{n}} \quad (7)$$

220

$$MAE = \frac{1}{n} \sum_{i=1}^n |y'_i - y_i| \quad (8)$$

221

222 **3. Data collection**

223

224 The data used in this study were gathered from 8 different references [44-51], resulting
 225 in a total of 110 mix proportions. Table 2 shows a representative mix proportion, which
 226 comprehensively considers the influence of FA, coarse and fine aggregates, alkaline
 227 activator, water and chemical admixture. Alkaline activators were mainly composed of
 228 sodium hydroxide (NaOH) and sodium silicate (Na₂SiO₃). In addition to their dosage,
 229 the molar concentrations of these activators used in the solutions were considered.
 230 Polycarboxylate superplasticizer (PCE) is commonly used as a chemical admixture in
 231 these formulations. Among the relevant studies used for the main source of data, some
 232 mixed water and the alkaline activator together before mixing with powder, while
 233 others calculated the mass of water separately. In order to obtain a uniform content of
 234 water across different mixes, the total mass of water, shown in Table 2, was obtained
 235 by adding up the mass of water in NaOH and Na₂SiO₃ solutions and added water.

236

237 **Table 2** A sample mix proportion of FA-based geopolymer concrete representing
 238 those used this study

239

FA (kg/ m ³)	Coarse aggreg (kg/m ³)	Fine aggreg (kg/m ³)	NaO H soluti on (kg/ m ³)	NaO H (M)	Na ₂ Si O ₃ soluti on (kg/m ³)	Na ₂ SiO ₃ /N aOH	AA/ FA	Wate r (kg/ m ³)	PCE (kg/ m ³)
400	875	875	40	16	100	2.5	0.35	112. 4	10.5

240

241

242 Another factor that was considered in the analysis was the variation in the chemical
 243 compositions of FA used across different studies (Table 3). In line with the findings of
 244 previous studies [22, 52, 53], where the key roles of Si and Al contents in FA on the
 245 strength development of FA-based mixes were reported, the analysis presented in this
 246 study also incorporated the SiO₂ and Al₂O₃ contents in FA as input parameters. Other
 247 than the binder component, aggregate characteristics such as composition and physical
 248 properties (e.g. particle size), as shown in Table 4, were also involved in the analysis.
 249 Owing to its difficulty of being quantified as a parameter, the influence of aggregate
 250 size distribution on strength was not considered in the presented analysis. In addition,
 251 the high temperature pre-curing regimes usually employed to accelerate the early
 252 hydration rate of FA-based mixes were also considered. The combination of these
 253 approaches led to the identification of 14 factors as input variables. However, it should
 254 be noted that all the input variables must be independent. While the analysis involves 3

255 variables that are related to the incorporation of NaOH, they all have an independent
 256 impact on the final results. Accordingly, mix proportions can change solely on the
 257 content of NaOH solution, while keeping the molar concentration of NaOH constant,
 258 indicating that they can be regarded as mutually independent variables [44]. The
 259 relatively large range of each parameter, as listed in Table 5, could indicate the
 260 applicability of the prediction model.

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Table 3 Chemical composition of FA obtained from different sources

Reference	SiO ₂	Al ₂ O ₃	CaO	SO ₃	Fe ₂ O ₃	MgO	LOI	
[44]	71.50	9.20	6.72	2.40	2.37	0.60	3.67	
[45]	61.89	28.05	0.87	1.32	4.11	0.38	0.49	
[46]	49	31	5	-	3	3	-	
[47]	62.30	28.10	0.5	0.40	2.10	1.00	2.50	
	Type I	47.87	28.0	3.81	0.27	14.09	0.93	0.43
[48]	Type II	49.37	31.25	4.80	0.24	4.47	1.28	0.51
	Type III	53.82	29.95	1.03	0.34	9.24	0.58	0.63
[49]		53.71	27.20	1.90	0.30	11.17	-	0.68
[50]		45.23	19.95	15.51	-	13.15	-	-
[51]		31.32	13.96	25.79	3.29	15.64	2.94	1.30

265 LOI = Loss on ignition

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Table 4 Particle size parameters of coarse and fine aggregates obtained from different sources

Reference	Coarse aggregates		Fine aggregates		Fineness modulus
	Raw materials	Size range (mm)	Raw materials	Size range (mm)	
[44]	-	9.5-12.5	River sand	-	2.35
[45]	-	6.3-20	Graded river sand	< 4.75	-
[46]	Crushed bluestone gravel	7	Graded sand	< 0.4	-

[47]	Pink limestone	1.18-9.5	Natural siliceous sand	0.075-4.75	2.45
[48]	Crushed basalt aggregate	7-10	Uncrushed river sand	-	3.0
[49]	Crushed granite	7-20	Sand	-	1.97
[50]	Limestone	20	River sand	-	2.90
[51]	Crushed limestone	7-16	River sand	-	2.20

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Table 5 Mean, standard deviation and range of input/output parameters

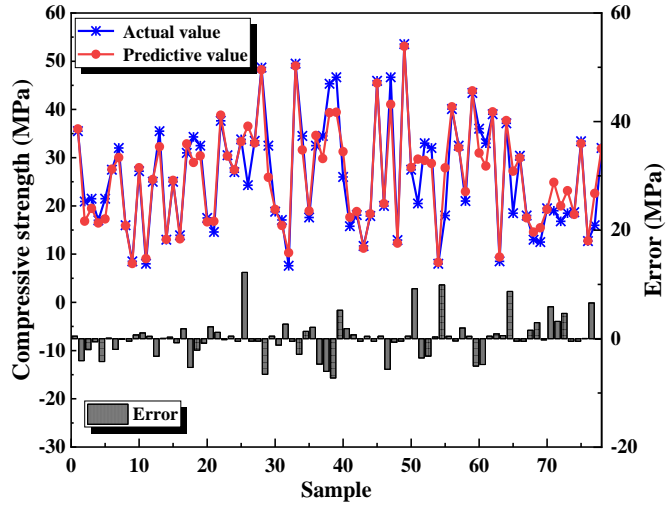
Notation	Input parameter	Mean/count	Standard deviation	Range/categories
X_1	FA (kg/m ³)	396.63	44.29	250-523
X_2	SiO ₂ (%)	56.34	15.93	31.32-71.5
X_3	Al ₂ O ₃ (%)	16.55	8.01	9.2-31.25
X_4	Coarse aggregate (kg/m ³)	1196.76	155.86	840-1567
X_5	Fine aggregate (kg/m ³)	582.25	127.59	320-910
X_6	NaOH (kg/m ³)	71.96	28.35	11.78-118
X_7	NaOH (M)	13.32	3.01	8-20
X_8	Na ₂ SiO ₃ (kg/m ³)	116.72	34.12	29.51-292
X_9	Na ₂ SiO ₃ /NaOH	1.84	0.71	1-4.5
X_{10}	AA/FA	0.48	0.13	0.09-0.86
X_{11}	Water (kg/m ³)	119.76	23.29	52.5-197.23
X_{12}	PCE (kg/m ³)	2.36	3.41	0-10.5
X_{13}	Temperature (°C)	0/50/60/80	-	4
X_{14}	Duration (h)	0/24/48	-	3

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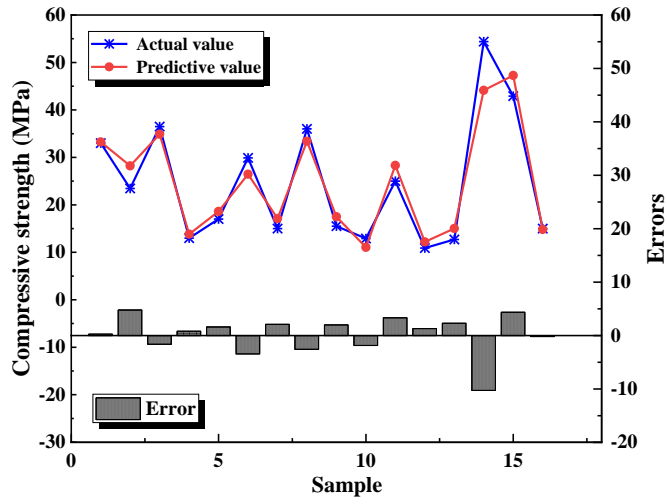
4. Results and Discussion

4.1 Performances of machine learning models

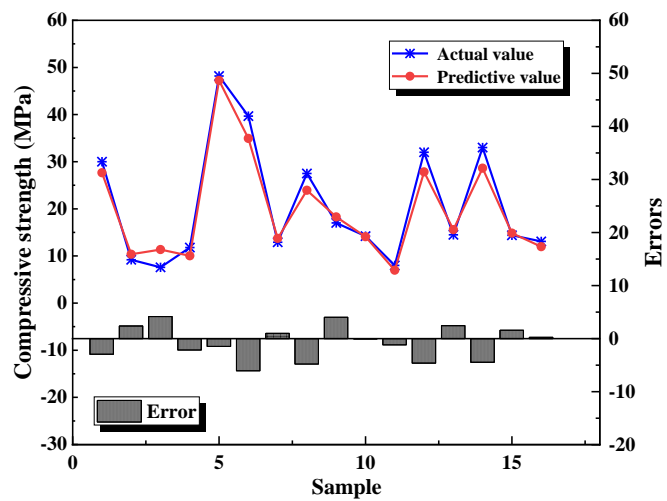
Figs. 3-5 show the machine learning prediction results of the 28-day compressive strength of FA-based geopolymer concrete mixes. The red points in each figure represent the predicted values, while the blue points are measured values. The gray histogram below these values corresponds to their errors. For all the three algorithms, the predicted and measured values of the training set were relatively close. However, several errors calculated by SVM and BPNN were more than 10 MPa. The analysis of the testing set revealed that some predictive points of SVM and ELM deviated greatly from the actual compressive strength values, while BPNN maintained relatively stable prediction results.



(a) Training set



(b) Validation set



(c) Testing set

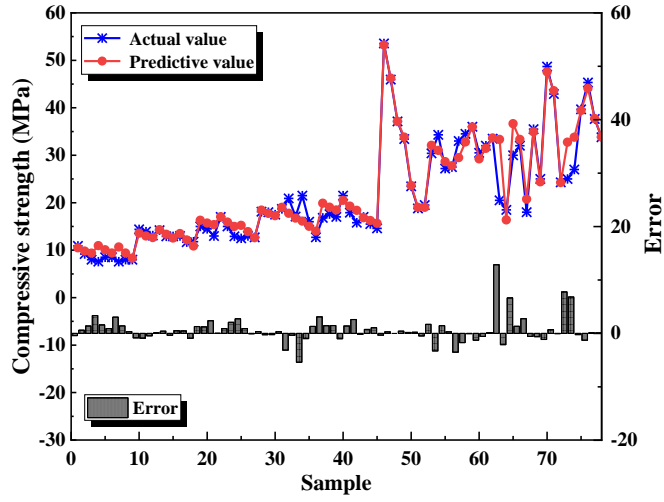
Fig. 3 Target and predicted compressive strength calculated by SVM

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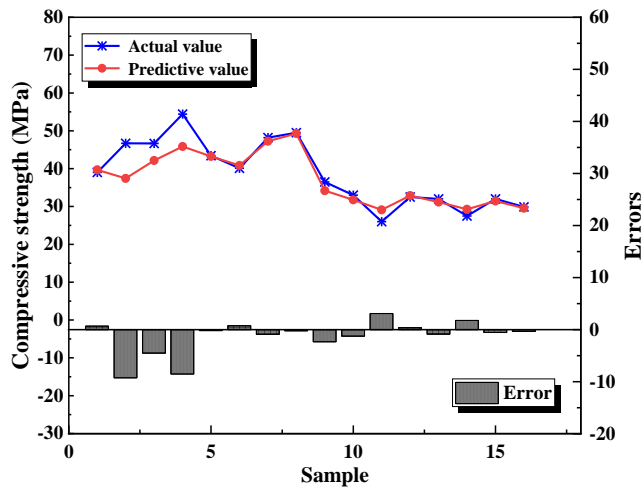
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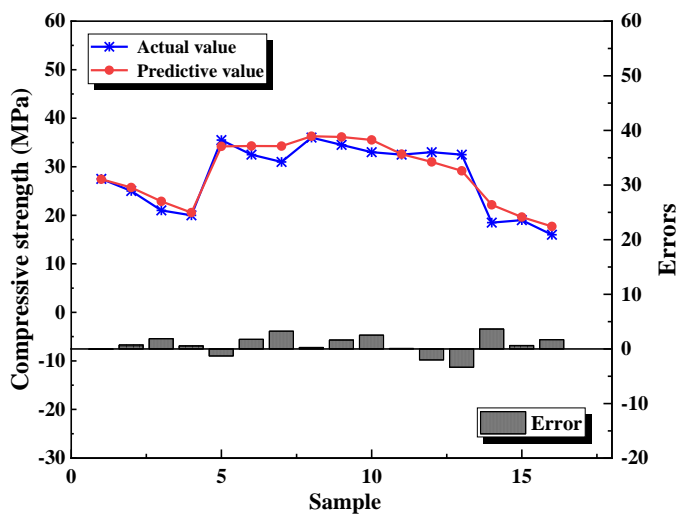
(a) Training set

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(b) Validation set

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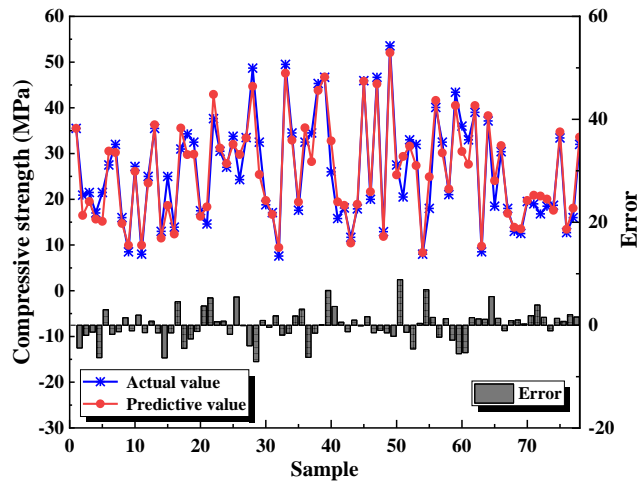


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(c) Testing set

Fig. 4 Target and predicted compressive strength calculated by BPNN

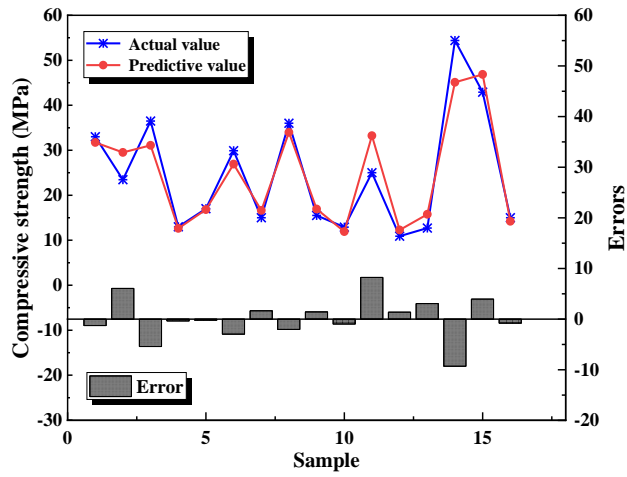
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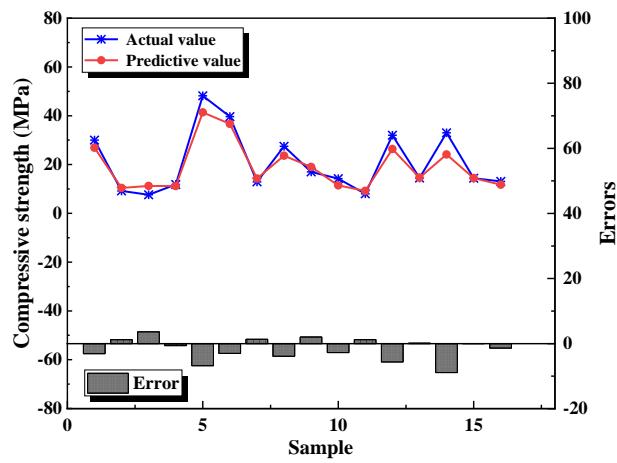
(a) Training set



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(b) Validation set



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(c) Testing set

Fig. 5 Target and predicted compressive strength calculated by ELM

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316 **4.2 Comparison of different models**

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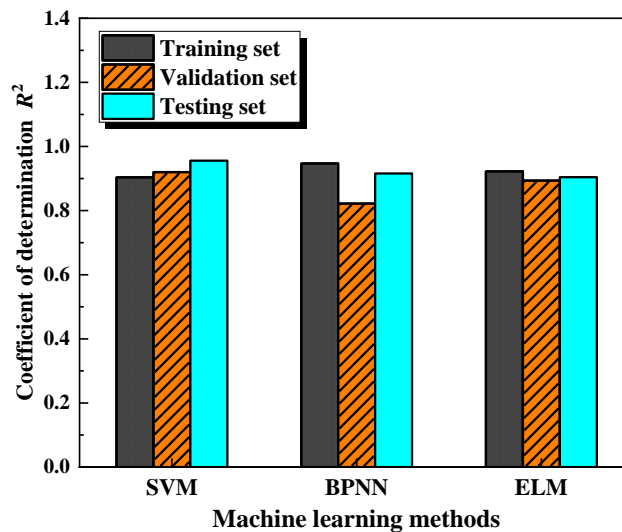
318 Table 6 lists the evaluation parameters obtained by the three models, which revealed
 319 similar changing trends. In general, the accuracy of BPNN, characterized by the R^2 of
 320 the total database, was the highest among all three algorithms (see the “All” column in
 321 Table 6). However, when the prediction performance of different sets was compared,
 322 the R^2 of BPNN validation set was 0.8221, which was far lower than that of the training
 323 and testing sets. Meanwhile, the R^2 of ELM testing set was only 0.7032, showing that
 324 although ELM could predict the function relationship of a given database, it does not
 325 have good generalization ability. Other evaluation parameters (MSE , $RMSE$ and MAE)
 326 also verified this over fitting phenomenon. When compared to BPNN and ELM, SVM
 327 revealed a more stable prediction accuracy in all three sets, as reflected in Fig. 6.

328

329 **Table 6** Precision of three machine learning algorithms for the 28-day compressive
 330 strength of FA-based geopolymer concrete

331

	SVM				BPNN				ELM			
	Training set	Validation set	Testing set	All	Training set	Validation set	Testing set	All	Training set	Validation set	Testing set	All
R^2	0.9038	0.9200	0.9559	0.9148	0.9472	0.8221	0.9156	0.9323	0.9224	0.8936	0.9042	0.9146
MSE	12.16	12.60	6.41	11.39	6.26	13.54	3.80	6.83	9.81	16.75	13.91	11.41
$RMSE$	3.49	3.55	2.53	3.37	2.5	3.68	1.95	2.61	3.13	4.09	3.73	3.38
MAE	2.28	2.68	2.04	2.30	1.48	2.92	1.59	1.61	2.41	3.07	2.85	2.57



332

333 **Fig. 6** Coefficient of determination (R^2) of three machine learning algorithms

334

335 Fig. 7 shows the results of predicted and experimental compressive strength obtained
336 by SVM, BPNN and ELM. Most of the data points produced by the three algorithms
337 were very close to the center line and were basically located in the area within an error
338 range of 20%. Across all models, a small part of the errors between the actual and
339 predicted values were more than 20%. The higher number of testing data points outside
340 the error boundary of $\pm 20\%$ shown in Fig. 7(c) was an indication of the low
341 performance of ELM in the testing set in comparison to other models.

342

343 Fig. 8 shows the error percentages based on different machine learning algorithms and
344 their number of instances in the corresponding range. Considering that relative error
345 could reflect the credibility of measurement better, error percentage instead of error was
346 calculated to fit normal distribution. When the actual compressive strength of a certain
347 mix proportion was relatively small, a sample with the same absolute error showed a
348 greater deviation than a sample with a large compressive strength. The use of error
349 percentage could avoid this situation. According to the fitting results and percentage
350 distributions, the average percentages of three algorithms were all close to zero. By
351 contrast, there were more samples within the error range of -20% and 20% for BPNN,
352 resulting in the steepest fitting curve. Considering that the most ideal error percentage
353 distribution scenario involved minimizing of all errors by bringing them as close to 0%
354 as possible, BPNN demonstrated the most concentrated distribution among the three
355 algorithms. Furthermore, it can be seen from Figs. 7 and 8 that although the number of
356 samples in the -20% to 20% range was higher in BPNN than SVM, the prediction result
357 obtained by SVM was closer to the actual result (i.e. more sample points were
358 concentrated near the black line shown in Fig. 7). These outcomes support the earlier
359 observations on the higher prediction accuracy of SVM.

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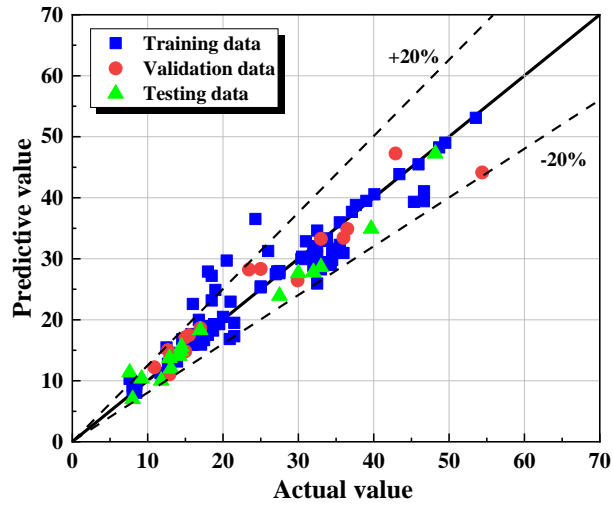
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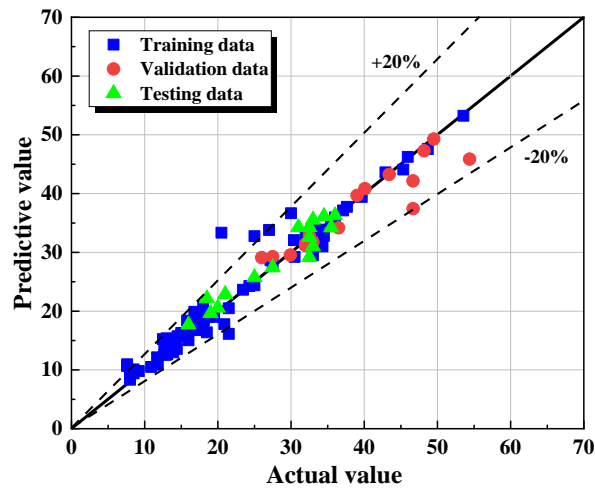
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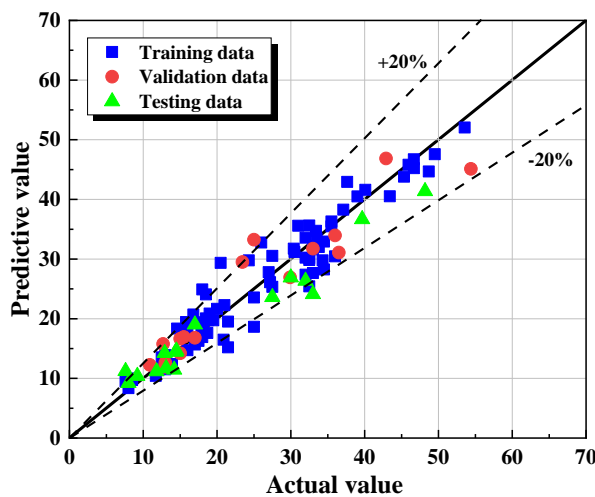
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(a) SVM



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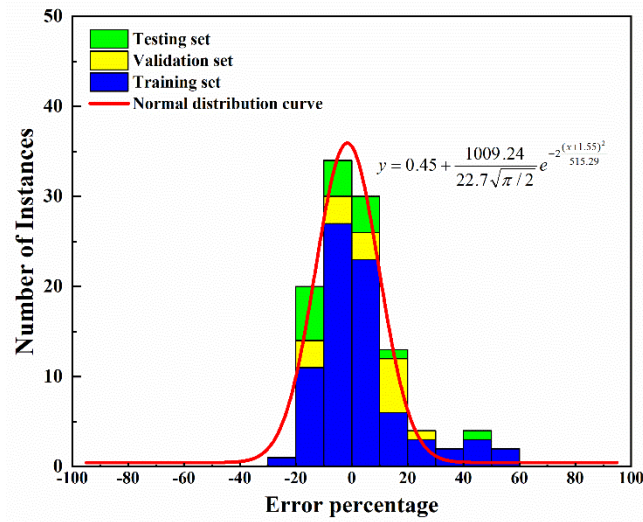
(b) BPNN



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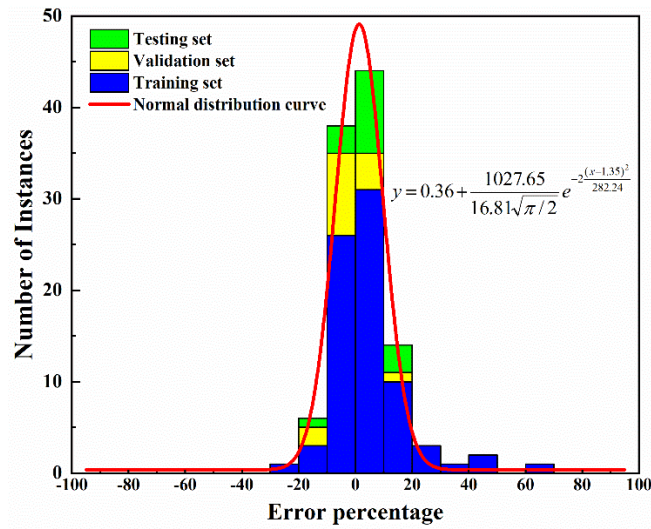
(c) ELM

Fig. 7 Measured value vs. predicted value of three machine learning algorithms



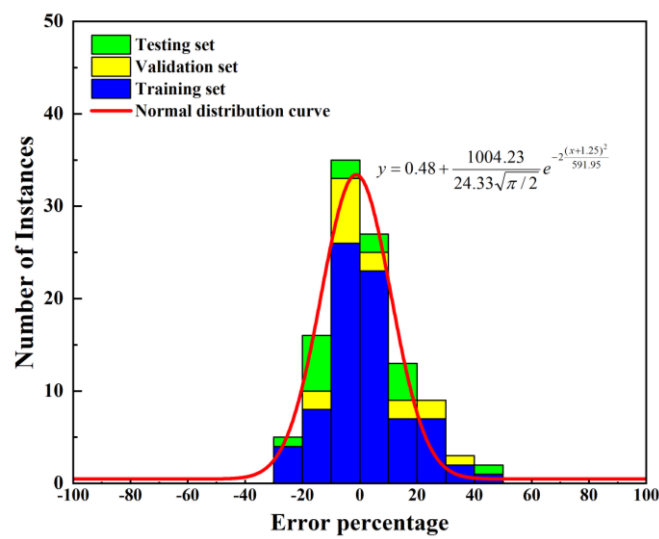
374
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(a) SVM



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(b) BPNN



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(c) ELM

Fig. 8 Error percentage distribution and normal distribution fitting of models

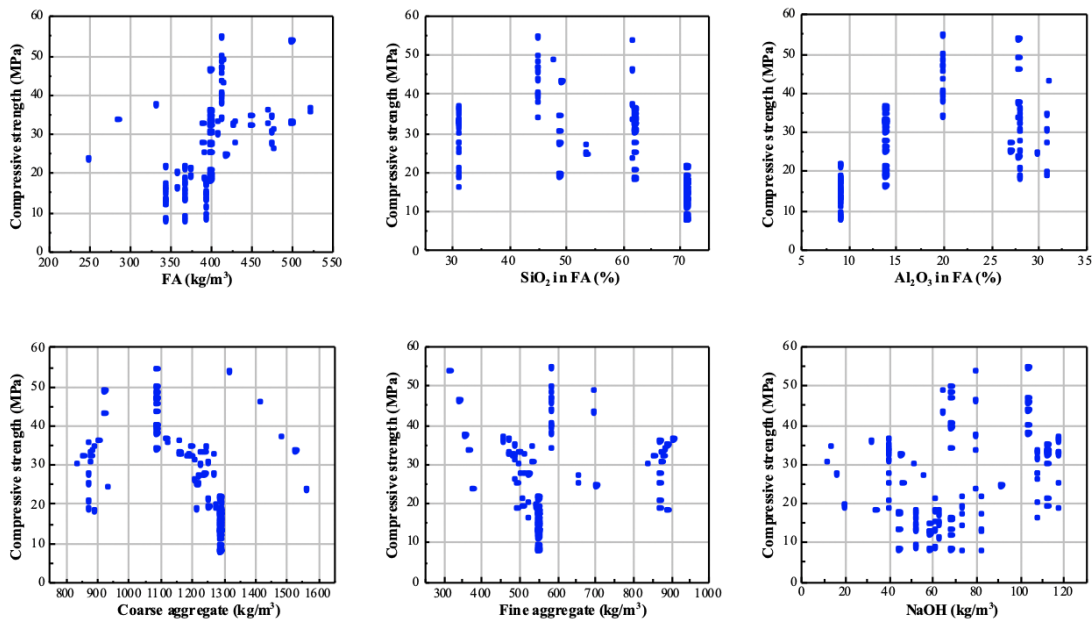
382

383 4.3 Effects of different input parameters

384

385 Fig. 9 shows the effect of different mix design variables on the compressive strength of
386 FA-based geopolymer concrete. The presented results revealed the lack of any
387 significant positive or negative relationship between any of the variables and
388 compressive strength, which may be due to the large number of variables and their wide
389 ranges adopted in this study, resulting in a small influence weight of any single factor
390 on the results. However, some potential trends could still be identified through the
391 relationship between some independent variables and dependent variables. For instance,
392 the results of SiO_2 and Al_2O_3 contents highlighted the existence of an optimal
393 $\text{SiO}_2/\text{Al}_2\text{O}_3$ ratio, which enhanced the contribution of FA to compressive strength. The
394 content and molar concentration of NaOH and Na_2SiO_3 also affected compressive
395 strength. Accordingly, an increase in the molar concentration of NaOH solution
396 generally improved the overall compressive strength, especially when the NaOH molar
397 concentration was over 12 M. Alternatively, an increase in the water content beyond
398 100 kg/m^3 reduced strength. The contents of coarse and fine aggregates did not seem to
399 have a significant effect on strength. This trend was in line with the findings of previous
400 studies, where it was reported that the interface transition zone (ITZ), morphology and
401 particle size distribution of the aggregates was more influential in strength development
402 than their contents [30, 54]. Finally, Table 7 elucidates the effect of pre-curing on the
403 compressive strength of FA-based geopolymer concrete, revealing the role pre-curing
404 plays in improving the minimum, average and maximum strength values of all data sets.

405



406

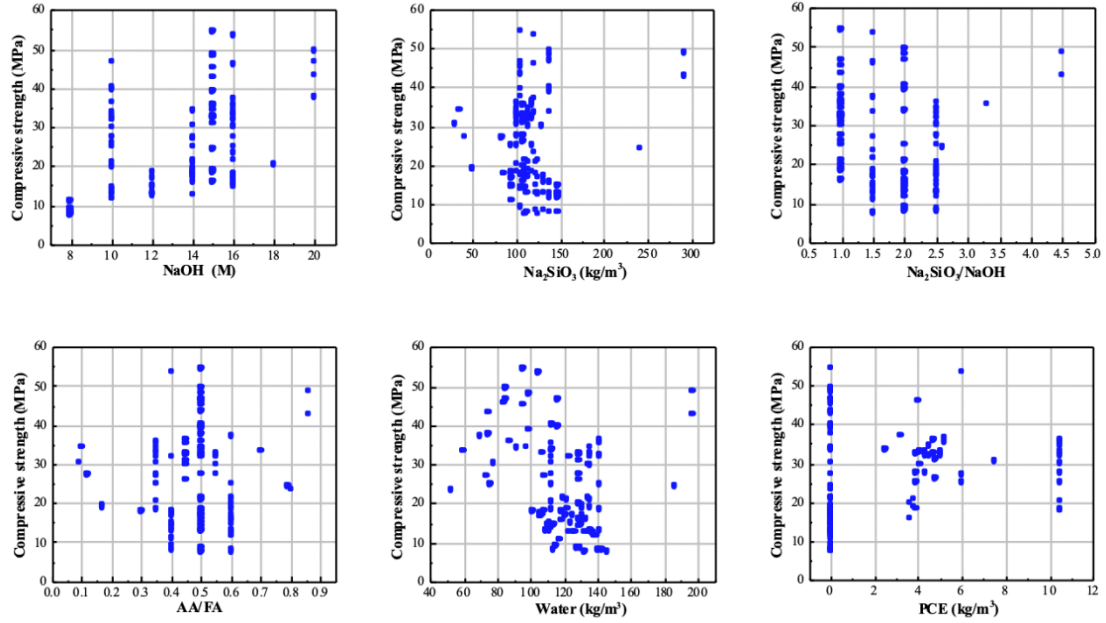


Fig. 9 Correlation between mix design variables and compressive strength

Table 7 Effect of pre-curing on the 28-day compressive strength of FA-based geopolymer concrete

Curing condition	No pre-curing			With pre-curing (up to 80°C and 48h)		
Compressive strength (MPa)	Min	Max	Ave.	Min	Max	Ave.
	7.6	46.7	21.4	18.0	54.4	35.7

In addition to understanding the potential impact of each input parameter (i.e. feature) on the prediction results, it is also necessary to compare their importance for the result influence. This approach can enable the identification of certain features that have a greater impact on the final results, and also reveal those with relatively small impacts. A common method to measure the influence of different features on the results is permutation feature importance (*PFI*) [55]. The core idea of *PFI* is that if a certain input variable (X_i) has a great influence on the result, the prediction accuracy will significantly decrease by randomly arranging X_i , during which the order of other variables is unchanged. According to this definition, the calculation expression of the *PFI* value for a specific variable obtained by using *MAE* as error measurement function is shown in Eq. (9), where MAE_{perm} and MAE_{orig} are mean absolute error before and after randomly adjusting X_i sequence, respectively.

$$PFI = MAE_{perm} - MAE_{orig} \quad (9)$$

In line with this approach, when the *PFI* value was close to zero, changing a certain feature had a lower influence on the output value; whereas when *PFI* was large, the influence of the feature on the output value was significant. Table 8 shows the feature

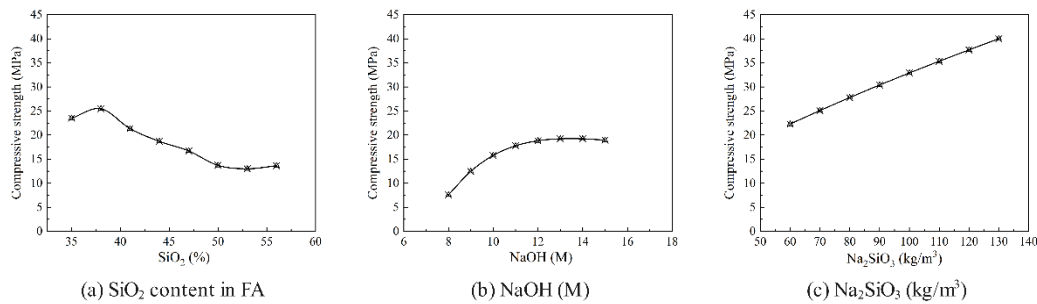
432 importance of 14 input variables obtained by the SVM algorithm. The *PFI* values
 433 presented in the last column indicated that the SiO₂ and Al₂O₃ contents in FA has
 434 significant effects on the strength results. This is due to the fact that the overall degree
 435 and rate of hydration determines the mechanical properties of cement-based mixes. In
 436 this respect, the chemical composition of FA will directly affect the hydration process
 437 and the formation of hydration products, thus playing a key role in strength
 438 development [56, 57]. These were followed by the activator contents/ratios and PCE
 439 content introduced in the initial mix design. In contrast, other parameters such as FA,
 440 coarse and fine aggregate and water contents; as well as pre-curing conditions revealed
 441 lower *PFI* values. Although *PFI* index can represent the influence of a single
 442 influencing factor on the final result, it can only reveal the relationship between input
 443 parameters and output parameters from the perspective of single correspondence
 444 because the final compressive strength of concrete is often caused by the
 445 comprehensive action of several factors.

446
 447 **Table 8** *PFI* values of different input parameters
 448

Notation	Input parameter	MAE_{perm}	<i>PFI</i>
X_1	FA (kg/m ³)	2.33	0.03
X_2	SiO ₂ (%)	3.01	0.71
X_3	Al ₂ O ₃ (%)	2.31	0.21
X_4	Coarse aggregate (kg/m ³)	2.33	0.03
X_5	Fine aggregate (kg/m ³)	2.32	0.02
X_6	NaOH (kg/m ³)	2.38	0.08
X_7	NaOH (M)	2.55	0.25
X_8	Na ₂ SiO ₃ (kg/m ³)	2.6	0.30
X_9	Na ₂ SiO ₃ /NaOH	2.42	0.12
X_{10}	AA/FA	2.36	0.06
X_{11}	Water (kg/m ³)	2.39	0.09
X_{12}	PCE (kg/m ³)	2.56	0.26
X_{13}	Temperature (°C)	2.37	0.07
X_{14}	Duration (h)	2.39	0.09

449
 450 According to the findings of the preceding investigation, the impact of
 451 different input factors on the ultimate compressive strength varies. Accordingly,
 452 changing some of these parameters may considerably increase or decrease the strength
 453 of concrete, while altering other parameters has minimal influence. Based on the *PFI*
 454 parameter analysis presented above, it is clear that the chemical composition of FA
 455 (particularly the SiO₂ content), molar concentration of NaOH and mass fraction of
 456 Na₂SiO₃ solution all have significant effect weights. Considering that machine learning
 457 methods cannot provide an exact equation between input and output variables, a
 458 sensitivity analysis can be utilized to analyze and evaluate the probable changes in
 459 compressive strength when ideally only one single parameter value is changed [58, 59].
 460 Fig. 10 depicts the variance in compressive strength derived by using the BPNN model

461 and the abovementioned three input parameters. It can be seen from Fig. 10 that when
 462 the SiO₂ content in FA increases over a certain value, the compressive strength steadily
 463 decreases. Alternatively, increasing the concentration of NaOH and the content of
 464 Na₂SiO₃ solution improves the compressive strength, within the ranges presented in
 465 this study. These observed trends are consistent with the results proposed by previous
 466 relevant studies [44, 47].
 467



468 **Fig. 10** Sensitivity analysis of feature parameters based on BPNN model
 469
 470
 471

472 5. Conclusions

473
 474 In this study, three different machine learning algorithms (BPNN, SVM and ELM) were
 475 used to predict the 28-day compressive strength of FA-based geopolymer concrete.
 476 Through a detailed literature search, 110 groups of mix proportion data were collected.
 477 The collected data included 14 different variables such as FA content and composition
 478 (i.e. SiO₂ and Al₂O₃ contents), coarse and fine aggregate contents, NaOH and Na₂SiO₃
 479 content and ratio, AA/FA ratio, water and PCE contents, pre-curing temperature and
 480 duration. The performance of each algorithm was evaluated by R^2 , MSE , $RMSE$ and
 481 MAE . The prediction performance of the three models and the influence weight of
 482 different input parameters on the results were compared. The following conclusions
 483 were drawn from the obtained results:

484
 485 1. BPNN, SVM and ELM all revealed good prediction performance. The predicted
 486 values based on the three models were close to the actual values, and their error ranges
 487 were generally within 20%.

488
 489 2. For the total data sets, the R^2 order of three algorithms from high to low was BPNN >
 490 ELM > SVM. However, the analysis of different sets (i.e. training set, validation set
 491 and testing set) revealed that the R^2 of validation set calculated by BPNN was 0.8221,
 492 while the R^2 of testing set obtained by ELM was only 0.7032, indicating that the
 493 generalization abilities of BPNN and ELM were weak. In all the three sets, SVM
 494 achieved the most stable prediction ability. Compared with the other two models, the
 495 error percentage of BPNN was more concentrated in the range of -20% to 20%,
 496 supported by a steep fitted normal distribution curve.

497

498 3. Through the influence analysis of single feature on compressive strength, it was
499 found that the SiO_2 and Al_2O_3 contents in FA had a direct influence on the compressive
500 strength of geopolymer concrete. The content and concentration of the alkaline
501 activator also contributed to the enhancement of compressive strength, while the
502 increase of water content beyond a certain value reduced the strength, and the aggregate
503 contents were not as influential on strength development as these other mix design
504 variables. Furthermore, the use of *PFI* index revealed the influence of each individual
505 feature on the output value. Among them, the SiO_2 content in FA had the most
506 significant effect on the strength prediction results, followed by Al_2O_3 content in FA,
507 and activator and PCE contents, which further verified the results of single feature
508 analysis.

509

510 Using machine learning to predict the mechanical properties of FA-based geopolymer
511 concrete can support the traditional empirical models by revealing the prediction results
512 more quickly and conveniently, enabling the prediction of the performance of concrete
513 with an unknown mix proportion through deep learning. This approach can facilitate
514 reductions in experimental workload, and labor and material consumption; improve
515 time efficiency; and provide a theoretical and practical guidance for optimizing
516 concrete mix proportions. These initiatives can accelerate the development and
517 application of sustainable concrete formulations involving alternative binders (e.g.
518 geopolymers) with minimum operational costs and environmental impact.

519

520

521

522 **Acknowledgement:**

523

524 This work was funded by The Royal Society (project ref: ICA\R1\201310) and China
525 Scholarship Council (grant number: 202006370082).

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List of Tables:

Table 1 Hyperparameters of machine learning models

Machine learning algorithms	Hyperparameters	Values/categories
BPNN	Activation function	Sigmoid
	Hidden layer numbers	1
	Number of hidden layer nodes	18
SVM	SVM type	e-SVR
	Kernel function	RBF
	Tolerance	0.001
	epsilon	0.1
	Shrinking	True
ELM	Hidden layer nodes	20
	Activation function	Sigmoid
	Mode	Regression

Table 2 A sample mix proportion of FA-based geopolymer concrete representing those used this study

FA (kg/m ³)	Coarse aggregate (kg/m ³)	Fine aggregate (kg/m ³)	NaOH solution (kg/m ³)	NaOH (M)	Na ₂ SiO ₃ solution (kg/m ³)	Na ₂ SiO ₃ /NaOH	AA/FA	Water (kg/m ³)	PCE (kg/m ³)
400	875	875	40	16	100	2.5	0.35	112.4	10.5

Table 3 Chemical composition of FA obtained from different sources

Reference	SiO ₂	Al ₂ O ₃	CaO	SO ₃	Fe ₂ O ₃	MgO	LOI	
[44]	71.50	9.20	6.72	2.40	2.37	0.60	3.67	
[45]	61.89	28.05	0.87	1.32	4.11	0.38	0.49	
[46]	49	31	5	-	3	3	-	
[47]	62.30	28.10	0.5	0.40	2.10	1.00	2.50	
	Type I	47.87	28.0	3.81	0.27	14.09	0.93	0.43
[48]	Type II	49.37	31.25	4.80	0.24	4.47	1.28	0.51
	Type III	53.82	29.95	1.03	0.34	9.24	0.58	0.63
[49]		53.71	27.20	1.90	0.30	11.17	-	0.68
[50]		45.23	19.95	15.51	-	13.15	-	-
[51]		31.32	13.96	25.79	3.29	15.64	2.94	1.30

LOI = Loss on ignition

Table 4 Particle size parameters of coarse and fine aggregates obtained from different sources

Reference	Coarse aggregates		Fine aggregates		Fineness modulus
	Raw materials	Size range (mm)	Raw materials	Size range (mm)	
[44]	-	9.5-12.5	River sand	-	2.35
[45]	-	6.3-20	Graded river sand	< 4.75	-
[46]	Crushed bluestone gravel	7	Graded sand	< 0.4	-
[47]	Pink limestone	1.18-9.5	Natural siliceous sand	0.075-4.75	2.45
[48]	Crushed basalt aggregate	7-10	Uncrushed river sand	-	3.0
[49]	Crushed granite	7-20	Sand	-	1.97
[50]	Limestone	20	River sand	-	2.90
[51]	Crushed limestone	7-16	River sand	-	2.20

Table 5 Mean, standard deviation and range of input/output parameters

Notation	Input parameter	Mean/count	Standard deviation	Range/categories
X_1	FA (kg/m ³)	396.63	44.29	250-523
X_2	SiO ₂ (%)	56.34	15.93	31.32-71.5
X_3	Al ₂ O ₃ (%)	16.55	8.01	9.2-31.25
X_4	Coarse aggregate (kg/m ³)	1196.76	155.86	840-1567
X_5	Fine aggregate (kg/m ³)	582.25	127.59	320-910
X_6	NaOH (kg/m ³)	71.96	28.35	11.78-118
X_7	NaOH (M)	13.32	3.01	8-20
X_8	Na ₂ SiO ₃ (kg/m ³)	116.72	34.12	29.51-292
X_9	Na ₂ SiO ₃ /NaOH	1.84	0.71	1-4.5
X_{10}	AA/FA	0.48	0.13	0.09-0.86
X_{11}	Water (kg/m ³)	119.76	23.29	52.5-197.23
X_{12}	PCE (kg/m ³)	2.36	3.41	0-10.5
X_{13}	Temperature (°C)	0/50/60/80	-	4
X_{14}	Duration (h)	0/24/48	-	3

Table 6 Precision of three machine learning algorithms for the 28-day compressive strength of FA-based geopolymer concrete

	SVM				BPNN				ELM			
	Training set	Validation set	Testing set	All	Training set	Validation set	Testing set	All	Training set	Validation set	Testing set	All
R^2	0.9038	0.9200	0.9559	0.9148	0.9472	0.8221	0.9156	0.9323	0.9224	0.8936	0.9042	0.9146
MSE	12.16	12.60	6.41	11.39	6.26	13.54	3.80	6.83	9.81	16.75	13.91	11.41
$RMSE$	3.49	3.55	2.53	3.37	2.5	3.68	1.95	2.61	3.13	4.09	3.73	3.38
MAE	2.28	2.68	2.04	2.30	1.48	2.92	1.59	1.61	2.41	3.07	2.85	2.57

Table 7 Effect of pre-curing on the 28-day compressive strength of FA-based geopolymer concrete

Curing condition	No pre-curing			With pre-curing (up to 80°C and 48h)		
	Min	Max	Ave.	Min	Max	Ave.
Compressive strength (MPa)	7.6	46.7	21.4	18.0	54.4	35.7

Table 8 *PFI* values of different input parameters

Notation	Input parameter	MAE_{perm}	<i>PFI</i>
X_1	FA (kg/m ³)	2.33	0.03
X_2	SiO ₂ (%)	3.01	0.71
X_3	Al ₂ O ₃ (%)	2.31	0.21
X_4	Coarse aggregate (kg/m ³)	2.33	0.03
X_5	Fine aggregate (kg/m ³)	2.32	0.02
X_6	NaOH (kg/m ³)	2.38	0.08
X_7	NaOH (M)	2.55	0.25
X_8	Na ₂ SiO ₃ (kg/m ³)	2.6	0.30
X_9	Na ₂ SiO ₃ /NaOH	2.42	0.12
X_{10}	AA/FA	2.36	0.06
X_{11}	Water (kg/m ³)	2.39	0.09
X_{12}	PCE (kg/m ³)	2.56	0.26
X_{13}	Temperature (°C)	2.37	0.07
X_{14}	Duration (h)	2.39	0.09

List of Figures:

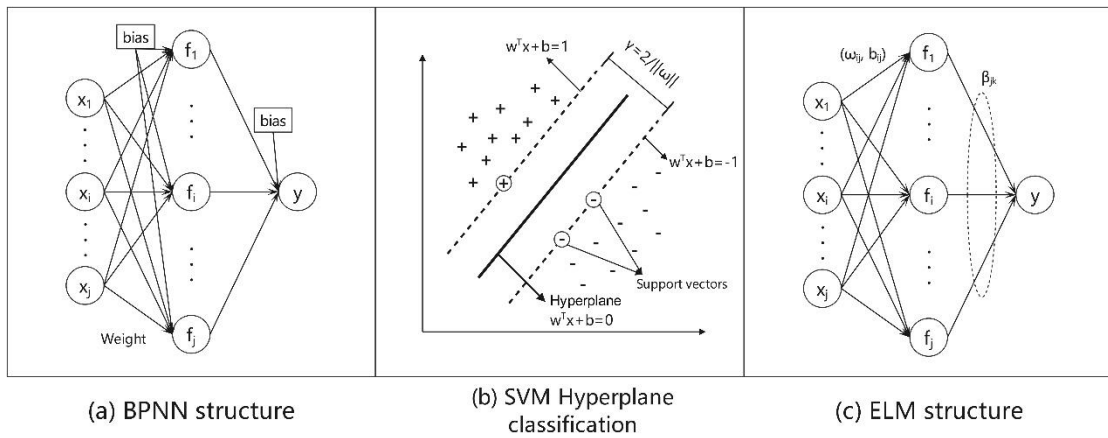


Fig. 1 Graphical representation of different machine learning models (modified from [31] and [8])

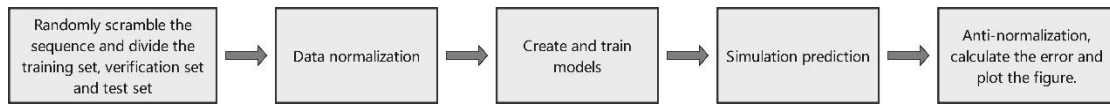
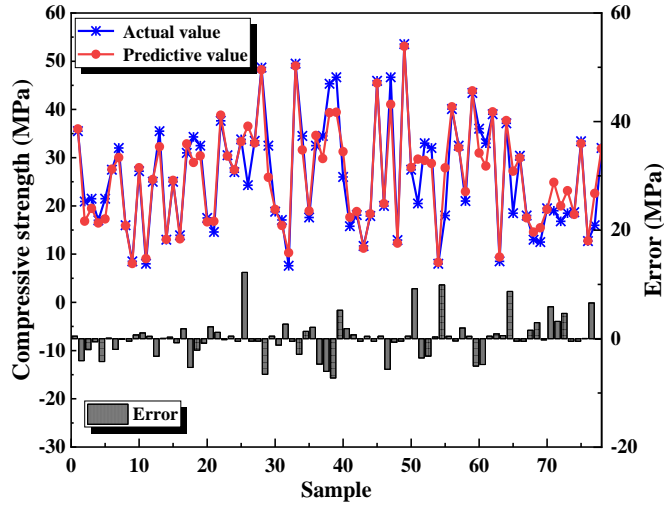
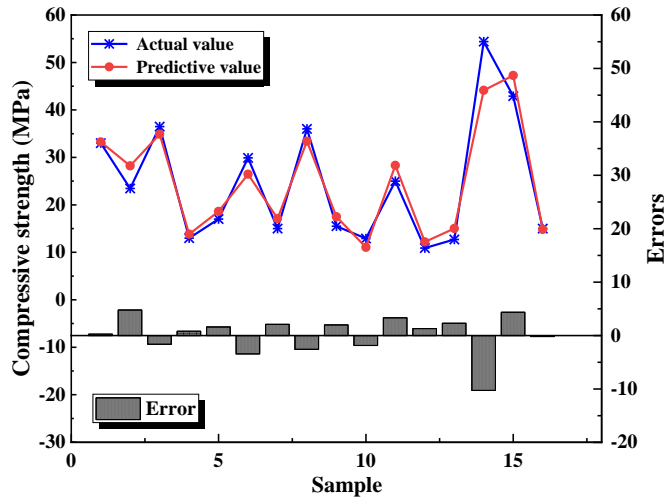


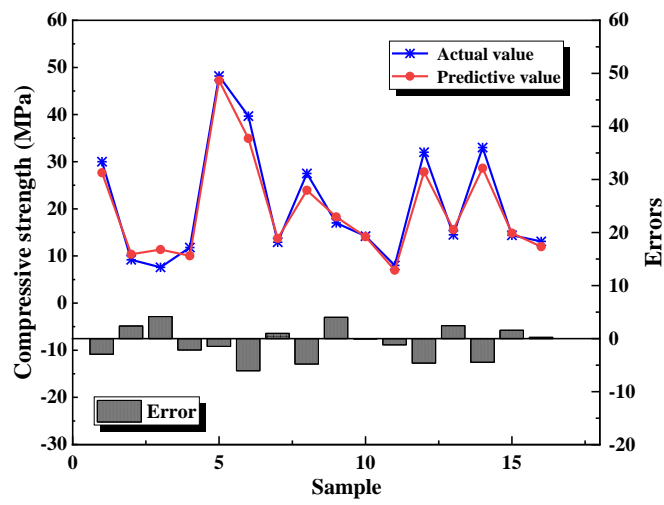
Fig. 2 Construction and data prediction process of machine learning models



(a) Training set

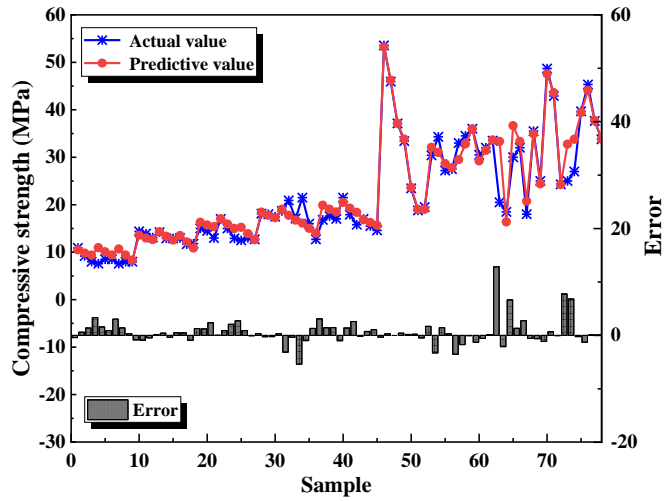


(b) Validation set

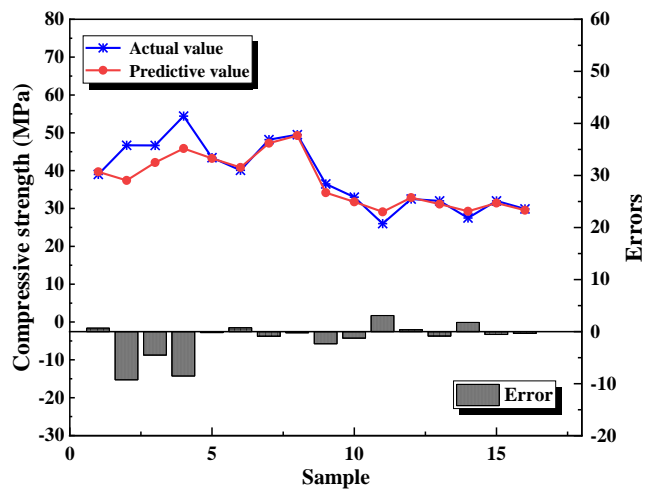


(c) Testing set

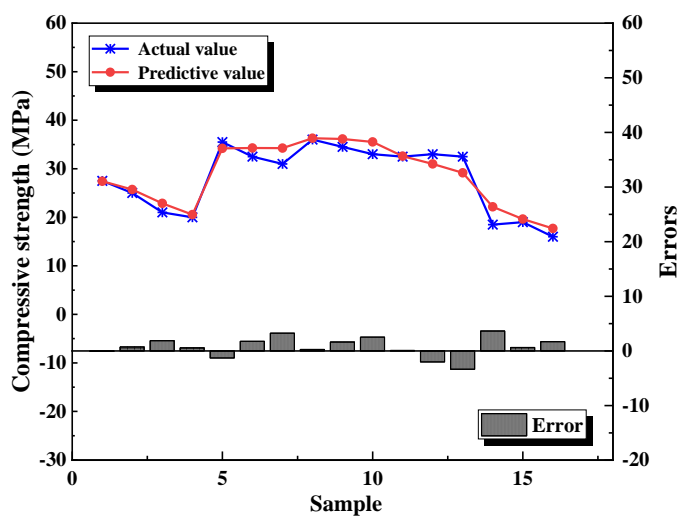
Fig. 3 Target and predicted compressive strength calculated by SVM



(a) Training set

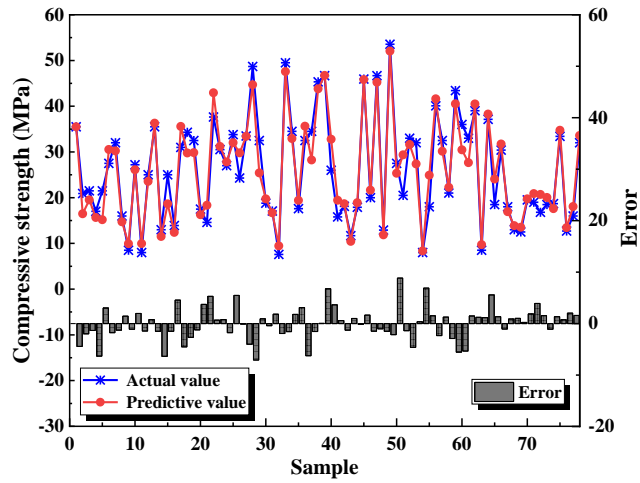


(b) Validation set

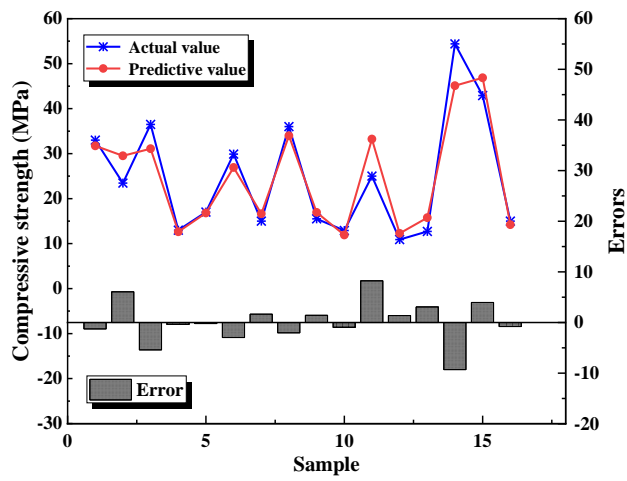


(c) Testing set

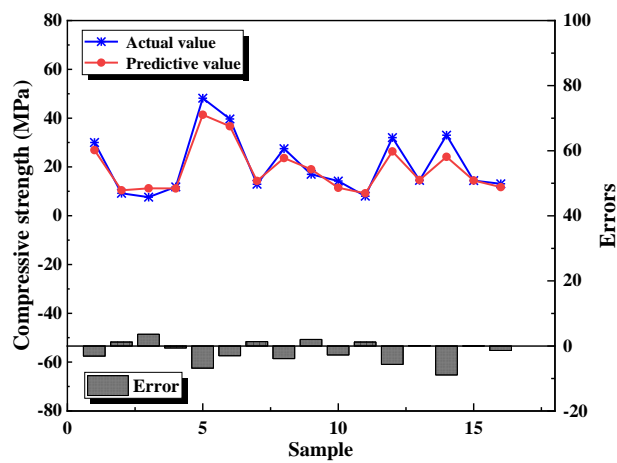
Fig. 4 Target and predicted compressive strength calculated by BPNN



(a) Training set



(b) Validation set



(c) Testing set

Fig. 5 Target and predicted compressive strength calculated by ELM

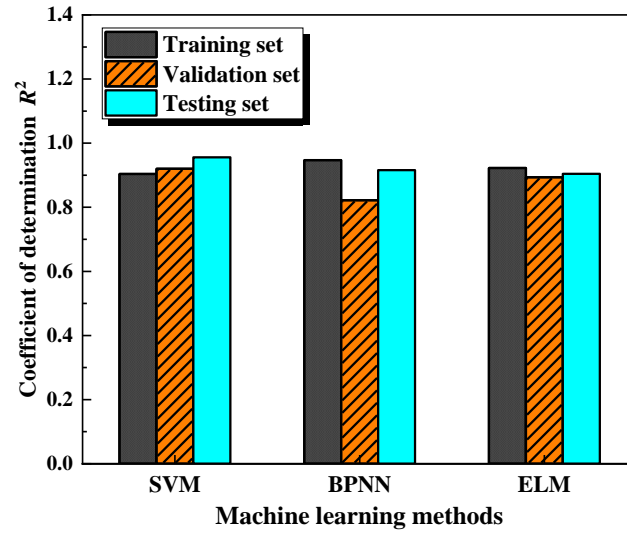
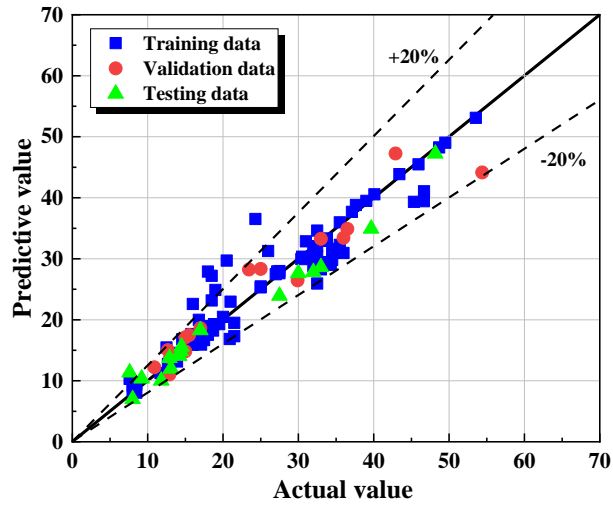
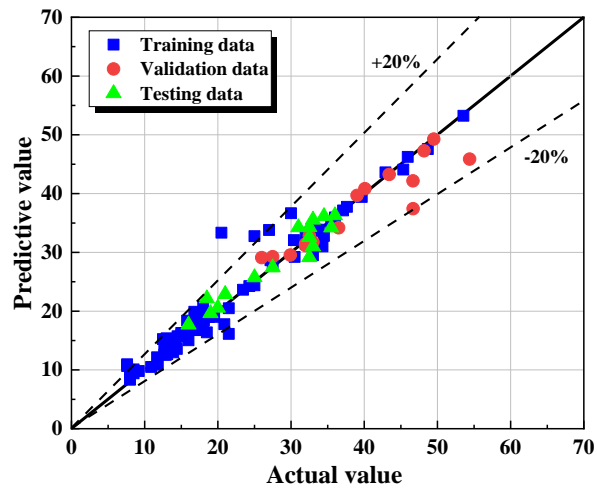


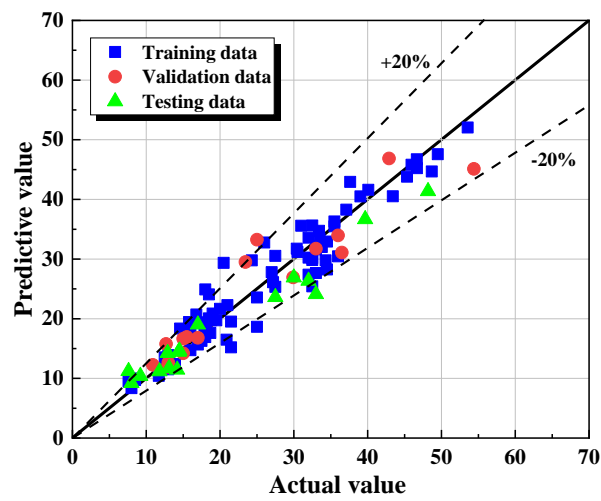
Fig. 6 Coefficient of determination (R^2) of three machine learning algorithms



(a) SVM

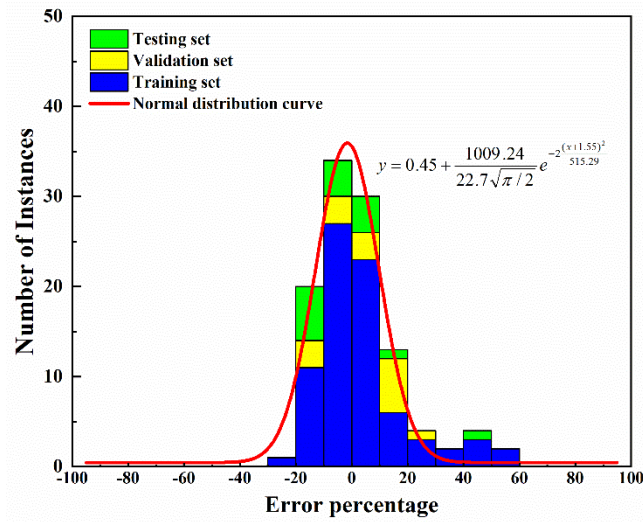


(b) BPNN

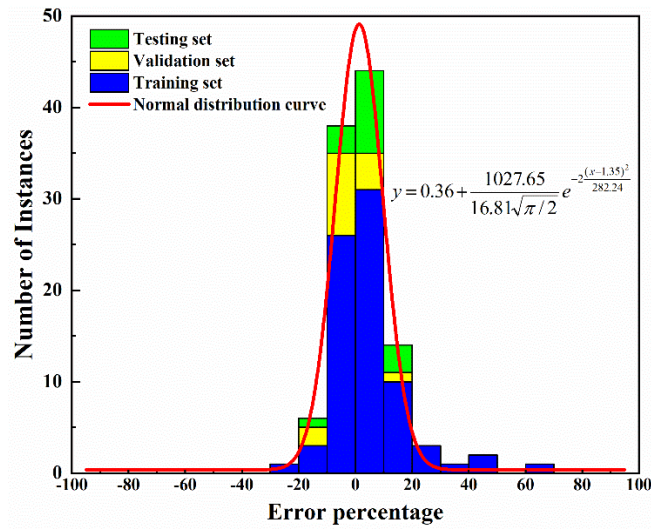


(c) ELM

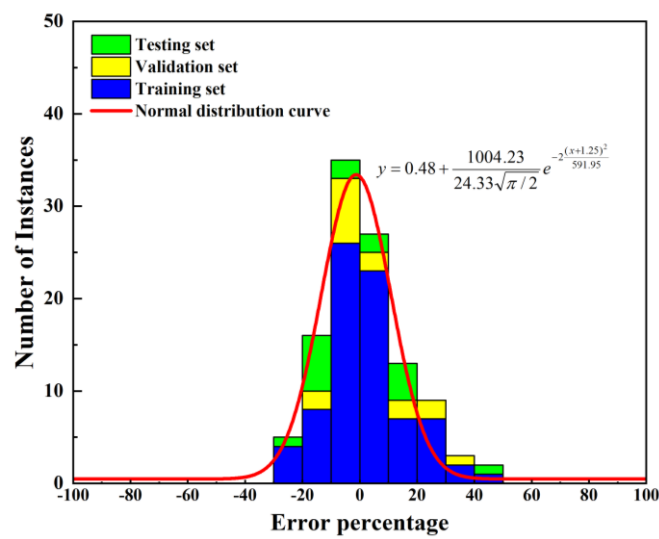
Fig. 7 Measured value vs. predicted value of three machine learning algorithms



(a) SVM



(b) BPNN



(c) ELM

Fig. 8 Error percentage distribution and normal distribution fitting of models

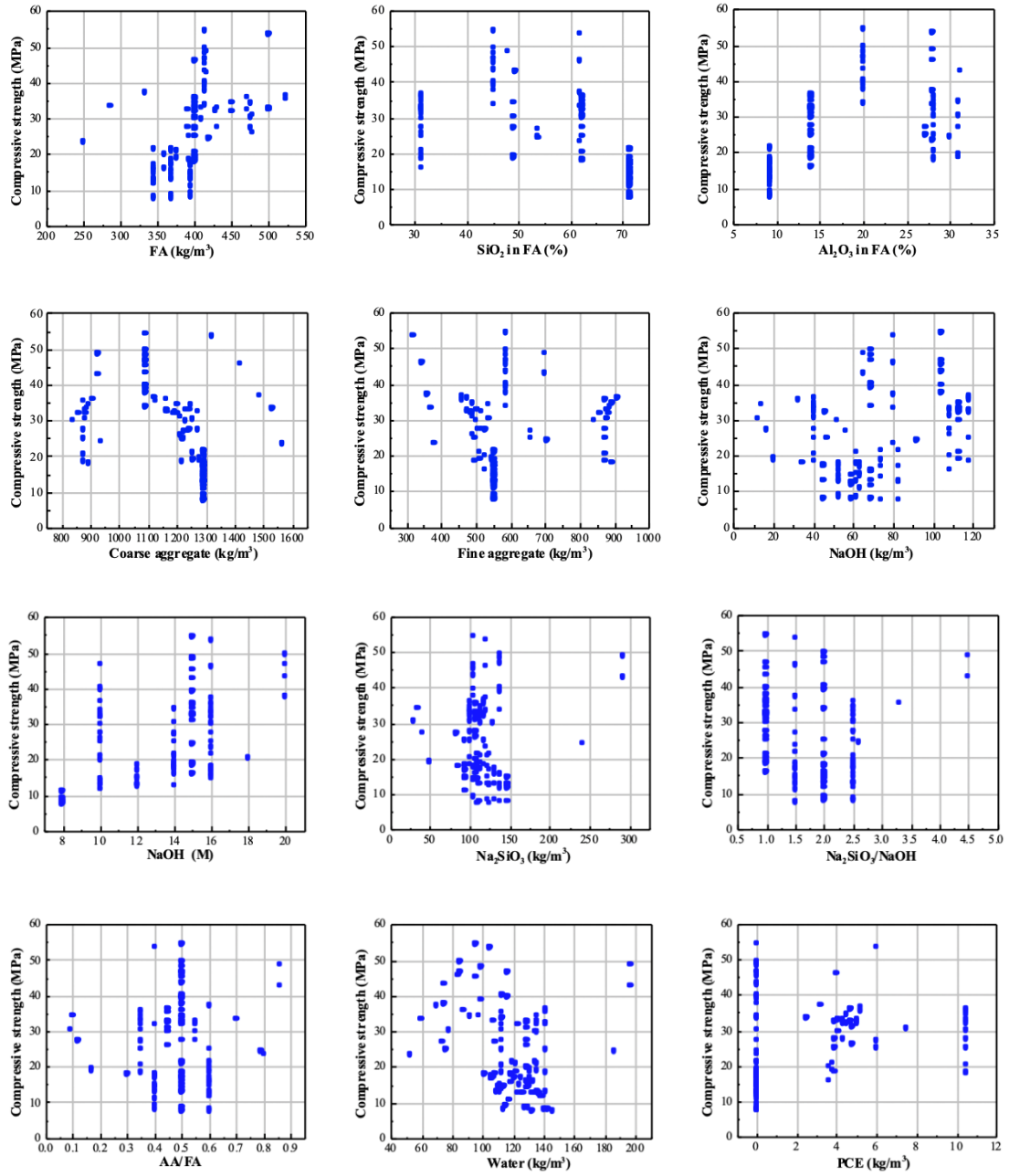


Fig. 9 Correlation between mix design variables and compressive strength

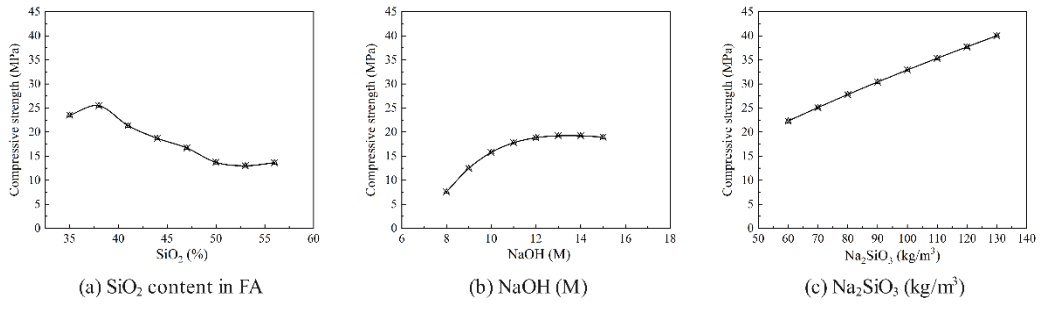


Fig. 10 Sensitivity analysis of feature parameters based on BPNN model