



Mahrholz, G., McAleer, P. and Norrbo, M. (2020) Developing voice perception: an overview of current research and models in affect and identity recognition in children and adults. *Cognitive Psychology Bulletin*, 5, pp. 58-65.

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Deposited on: 8 February 2022

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1 Developing voice perception: an overview of current research and models in affect and
2 identity recognition in children and adults

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11

12 The human voice is a significant source of social information with an important role in
13 conveying not only the identify of a speaker (Lavan, Burton, Scott, & McGettigan, 2019;
14 Lavan, Scott, & McGettigan, 2016), but also their intentions, beliefs, and emotional states
15 (Banse & Scherer, 1996; Mahrholz, Belin, & McAleer, 2018; McAleer, Todorov, & Belin,
16 2014; Pell & Skorup, 2008). Consider, for example, the ease in which we can identify a loved
17 one, and their mood, from a mere “Hello” on the telephone. The underlying mechanisms
18 involved were originally proposed in the *auditory face* model of voice processing by Belin,
19 Fecteau and Bedard (2004), based on Bruce and Young’s face model (1986). After an initial
20 layer of low-level acoustical analysis, information is extracted from voices in three separate
21 but interconnected pathways: speech analysis, affect analysis, recognition units. However,
22 despite the prevalence of this model, much of the research that has been used to support
23 the model has focussed on participants of late adolescences or early adulthood, and as such
24 important questions as to how we develop these skills in our youth, and how these abilities
25 change as we age, are as yet unanswered. In this brief review, we highlight key questions

26 that must be considered if we are to obtain an understanding of how voice processing
27 develops and matures from childhood to adulthood. In doing so, we will only focus on the
28 nodes of affect analysis and voice recognition, allowing more specialist groups to focus on
29 the development of speech analysis.

30

31 **Affect Analysis**

32 It is suggested that emotion recognition from auditory stimuli develops and improves
33 gradually during childhood (Chronaki, Hadwin, Garner, Maurage, & Sonuga-Barke, 2015;
34 Sauter, Panattoni, & Happe, 2013), reaching adult-like consensus by early- to mid-
35 adolescence (Aguert, Laval, Lacroix, Gil, & Le Bigot, 2013; Brosgole & Weisman, 1995;
36 Chronaki et al., 2015; Zupan, 2015). However, recent findings of a continued maturation of
37 the *social brain network* well into late adolescence (Kilford, Garrett, & Blakemore, 2016)
38 suggest that more fine-tuning of emotional maturation could occur between adolescence
39 and adulthood (Morningstar, Ly, Feldman, & Dirks, 2018).

40

41 Whilst statistical mean averages suggest an overall level of ability comparable to
42 adult level, emotion recognition between childhood and adulthood may actually vary by
43 emotion type, intensity, and modality. For example, adults outperform adolescents on
44 recognition of sadness, fear, and anger from voices (Morningstar et al., 2018), yet the
45 accurate recognition of sadness, in both vocal and facial domains, is suggested to develop at
46 a later stage than happiness and anger (Chronaki et al., 2015; - though see Jack, Garrod, &
47 Schyns, 2014 for discussion on temporal distinction of emotion). On the other hand, Nelson

48 and Russell (2011), and Quam and Swingley (2012), found toddlers and pre-schoolers could
49 recognise emotion from facial or body language cues but not from voice-only cues, with pre-
50 schoolers better at recognising sadness than anger, happiness, and fear (Nelson & Russell,
51 2011). Finally, Chronaki (2015) showed that emotion intensity may also play a role in
52 successful vocal emotion recognition. Children aged 10-11 were less accurate in emotion
53 recognition compared to adults when listening to 50% and 75% morphs of emotional states
54 (between emotion and neutral). As such, it is still unclear as to when and how an accurate
55 representation of different emotions develop in childhood.

56

57 However, one factor to potentially influence emotion recognition, and perhaps
58 explaining competing results, is the complexity of the task and the ability of children to
59 understand and correctly apply emotional categories. Typically, emotion research with
60 young participants employs paradigms with a subset of two to four of the Ekman and
61 Friesen (1971) canonical emotions: happiness, sadness, anger, surprise, fear, and disgust
62 (Chronaki et al., 2015; Morningstar et al., 2018; Nelson & Russell, 2011; Quam & Swingley,
63 2012). Whilst little evidence exists as to whether or not children perform better when fewer
64 emotion types are involved, it can be speculated that fewer categories would mean less
65 cognitive load, leading to improved accuracy. Furthermore, emotion word knowledge may
66 be an influencing factor to emotion recognition. One suggestion is that representations of
67 emotional concepts develop from a mono-dimensional focus (between positive and
68 negative) to a bi-dimensional focus (valence & arousal) between the ages of 6 and 25, and
69 that increasing verbal knowledge mediates the development of emotion representation
70 (Gendron, Lindquist, Barsalou, & Barrett, 2012; Nook, Sasse, Lambert, McLaughlin, &

71 Somerville, 2017). As such, varying results could be due to the age groups involved, the
72 modality tested, and/or the complexity of the experimental paradigm.

73

74 A secondary element of affect analysis is perception of personality, reportedly linked
75 to emotion through the overgeneralisation hypothesis (McArthur & Baron, 1983; Secord,
76 1958; Zebrowitz & Collins, 1997; Zebrowitz & Montepare, 2008). Though less researched
77 than emotion, studies on vocal attractiveness have found that female adolescents and
78 adults, but not children, perceive lower-pitched male voices as more attractive (Saxton,
79 Caryl, & Roberts, 2006; Saxton, Debruine, Jones, Little, & Roberts, 2009, 2013). This suggests
80 that perceived attractiveness changes during adolescence when mate-selection becomes
81 important. However, younger but not older boys preferred higher-pitched female voices
82 (Saxton et al., 2009, 2013), often rated as more attractive by adult men (e.g. Borkowska &
83 Pawlowski, 2011), meaning sex and developmental stage may in fact interact across the
84 lifespan.

85

86 Trustworthiness, a key trait in the *social voice space* model (McAleer et al., 2014),
87 has been shown to elicit reliable judgements from adult listeners in both faces and voices,
88 even after very brief exposure (for example, Mahrholz et al., 2018; McAleer et al., 2014;
89 Oosterhof & Todorov, 2008; Willis & Todorov, 2006). However, how the percept of vocal
90 trustworthiness develops and matures between childhood and adulthood is less clear. In the
91 face research literature, an ability to judge how trustworthy emotionally neutral faces
92 appear, develops early on in life (Caulfield, Ewing, Bank, & Rhodes, 2016; Cogsdill, Todorov,

93 Spelke, & Banaji, 2014; Ewing, Caulfield, Read, & Rhodes, 2015). Given the similarities
94 between the visual and auditory modality, we would expect vocal trustworthiness to
95 emerge during childhood as well. Though at what age adult-like consensus is reached may
96 depend on task difficulty and study paradigm, similarly to emotion research.

97

98 Overall, much work remains to establish how we develop and utilise concepts of
99 emotions and personalities from voices. From face research we can propose that different
100 emotions establish at different rates but with a lack of standardised approaches, it is unclear
101 as to what this time-frame is and whether it is consistent with face research, or whether one
102 modality benefits one percept over another. By taking a more systematic approach, using a
103 consistent set of stimuli arrays, and treating age as the continuous variable that it is, we may
104 start to draw a more fine-grained understanding of the development of perceiving emotion
105 and personality from voices.

106

107 **Voice Identity Recognition**

108 To form a stable percept of a speaker's identity, a listener must determine whether
109 differences between utterances are better explained by between-speaker (interspeaker)
110 variability or within-speaker (intraspeaker) variability. Therefore, the process of perceiving
111 identity in voices can be divided into two sub-processes, *telling people apart* i.e. successful
112 discrimination between two different people, and *telling people together* (Burton, 2013) i.e.
113 recognition of when two utterances originate from the same person. Whereas acoustic
114 differences between speakers have been studied for some time, research into the extent

115 and nature of within-speaker variability has only recently started emerging (see Lavan,
116 Burton, et al., 2019). As rightly argued by Lavan et al., (2019), to fully understand the
117 perceptual processes involved in voice identity perception, it is essential to include within-
118 speaker variability into future voice identity experiments and models.

119

120 An individual speaker's voice is subject to both naturally occurring variation as well
121 as intentional changes made by the speaker. Natural variation may occur as a result of
122 physiological and psychological changes as well as environmental stress. For example, there
123 is evidence that changes in emotional state (Ozseven, Dugenci, Doruk, & Kahraman, 2018),
124 hydration levels (Alves, Kruger, Pillay, van Lierde, & van der Linde, 2019), phase of the
125 menstrual cycle (Banai, 2017), and prolonged use (Laukkanen & Kankare, 2006) may impact
126 an individual's voice through changes in acoustic properties. Intentional variation is used to
127 adapt to the social context (in a library versus in a loud concert), who we are speaking to (a
128 child versus a close friend), and what emotion we are trying to convey (irony versus telling
129 an exciting story). Even familiar speakers can become very difficult to identify if they
130 intentionally alter their voices. In fact, recognition rates for familiar voices can be found to
131 decrease from 97% in normal undisguised voices to 4% when utterances are spoken in
132 falsetto (Wagner & Koster, 1999) highlighting substantial scope for within-speaker
133 variability. Likewise, Lavan and colleagues (Lavan, Burston, & Garrido, 2019), using a sorting
134 task paradigm, showed that introducing within-speaker variability in voice identity
135 experiments reduces accuracy of recognition, especially for listeners who are unfamiliar
136 with the voices: unfamiliar listeners categorise any perceived difference between two
137 utterances as two different speakers rather than recognising that differences could be the
138 result of within-speaker variability. As such, considering the potential variation within an

139 individual voice, and that no two utterances from the same speaker ever sound the same
140 (Van Lancker Sidtis & Kreiman, 2011), models and theories of voice identity perception that
141 do not account for within-speaker variability are missing a large part of the picture.

142

143 Though little research currently exists that specifically focuses on how children
144 recognise identity from voices, one area that has received focus is that of developmental
145 disorders, such as Autism Spectrum Disorder (ASD), which appear to affect the ability to
146 discriminate between others. These deficits are more evidenced in the face identity
147 literature (cf. Weigelt, Koldewyn, & Kanwisher, 2012), however, there is a growing body of
148 research indicating reduced voice identity perception abilities in children and adults
149 diagnosed with ASD (Boucher, Lewis, & Collis, 1998; Schelinski, Riedel, & von Kriegstein,
150 2014; Schelinski, Roswandowitz, & von Kriegstein, 2017). Additionally, autistic traits, i.e.
151 higher scores on the autism quotient (AQ), in the general population, have also been
152 associated with reduced performance in voice identity perception tasks (Skuk, Palermo,
153 Broemer, & Schweinberger, 2019). These findings are important as deficits in person
154 identity processing can lead to social interaction difficulties, anxiety and avoidance of social
155 situations (Yardley, McDermott, Pisarski, Duchaine, & Nakayama, 2008). Whilst the above
156 psychosocial consequences were found in relation to deficits in face identity processing, it
157 can be assumed that they also apply to situations in which voice identity becomes
158 important, e.g. on the telephone.

159

160 There are several reasons why individuals diagnosed with ASD may display reduced
161 performance in voice identity tasks. For example, there may be perceptual impairments in
162 vocal pitch perception, and associated difficulties merging acoustical signals into a stable

163 and coherent perception of a voice. Schelinski et al., (2017), for example, found evidence for
164 such a deficit, as opposed to a deficit of linking voice to identity, in a sample of high-
165 functioning ASD participants. Relatedly, irregular function in neural regions involved in voice
166 identity perception have also been demonstrated in both people with ASD (Schelinski,
167 Borowiak, & von Kriegstein, 2016) and people with developmental phonagnosia
168 (Roswandowitz, Schelinski, & von Kriegstein, 2017); a rare disorder where patients display
169 an inability to recognise familiar voices and impaired discrimination between voices.
170 Contrary to neurodegenerative and lesion-induced forms of phonagnosia, developmental
171 phonagnosia shows no impairment of cortical structures (Garrido et al., 2009). However,
172 more studies are needed to understand the extent and nature of developmental differences
173 in voice identity perception as our knowledge this far is hampered by the limited scope of
174 the available research.

175

176 Overall, the field in general has largely neglected the role of within-speaker
177 variability, focussing mainly on between-speaker variability for one reason or another.
178 However, as a result of this knowledge gap, we currently know little as to how children,
179 outwith those with a developmental disorder, cope with recognition of identifying people
180 from their voice in the presence of other speakers. To better understand developmental
181 difficulties and deficits in voice identity perception, we must first look to further elucidate
182 the processes and steps involved in voice identity perception, incorporating studies with
183 participants across the lifespan. From there, we will start to understand how we utilise
184 strategies for voice identity perception, how these adapt from voice to voice, from listener
185 to listener, and how these individual differences explain the discrepancies in voice
186 recognition ability between neurotypicals and those with developmental disorders.

187

188 **Competing Models of Perception**

189 At the heart of the above research lies the overarching puzzle of how identities and
190 emotions develop and are stored in the brain. Emotion research, has largely focussed on
191 finite emotions (Ekman & Friesen, 1971), once thought to be culturally universal, and
192 recognised through rigid, distinct, innate, neural pathways. Recent work now suggests that
193 these are largely Westernised stereotypes (Chen & Jack, 2017; Jack et al., 2014) as
194 evidenced via high cross-cultural variability in recognition ability. And whilst the Ekman
195 emotions do give us understanding of cultural stereotypical norms, recent theoretical
196 arguments maintain that each emotion, identity, and personality trait are not stored as one
197 rigid structure ready for comparison when a decision is required.

198

199 Allowing for more flexibility in internal representation, voice identity, as well as
200 emotion and personality from voices, has thus far been explained within the framework of a
201 prototype-based model (Latinus & Belin, 2011). Such a model incorporates a fluid norm-
202 based coding of voices around an average representation of an individual's voice in relation
203 to a population voice space (Lavan, Knight, & McGettigan, 2019). When a new voice is
204 encountered, the population voice space is used to make new/old (familiar/unfamiliar)
205 judgements of the voice based on the similarity or dissimilarity of that stimulus to the
206 internal norm. With more exposure to variable utterances from the new identity,
207 incorporating a feedback system, the voice space that represents that identity may become
208 more diverse, extended, or fluid, and as such more useful for managing previously unheard
209 variability (Lavan, Knight, Hazan, & McGettigan, 2019). Further support for a flexible

210 prototype model comes from the finding that individual voice spaces are of similar structure
211 to population voice spaces, so that listeners can map each voice in relation to the
212 population prototype (Lee, Keating, & Kreiman, 2019).

213

214 Barrett and colleagues (2019) offer an extensive review of several models varying in
215 their understanding of how different traits are stored across neuronal firing, and how
216 flexible the underlying prototypes are. For example, the norm-based coding model of voices
217 lacks definition in the developmental process, underlining how we form a concept of certain
218 percepts. Alternatively, a proposed prediction model (Barrett, 2017) suggests that there is
219 no stored prototype within the brain, flexible or not, and instead percepts of emotion,
220 personality, and identity, are constructed in each instance, when required, through
221 statistical probability and predictions based on past experience, via an intersecting cascade
222 of bottom-up and top-down neuronal firing. If this is indeed the case then, arguably, there is
223 no innate sense of emotion or personality, as once previously thought, and children are
224 merely hard-wired to be rapid statistical learners (Xu & Kushnir, 2013). Work by Denison
225 and Xu (2010) would appear to support this idea, finding that infants as young as ten
226 months old can show preferences based on estimated probabilities. Likewise, Mehr, Song
227 and Spelke (2016) showed that 5-month-old infants will show a preference for a novel
228 female singing a melody that they, the child, have become familiar to through their parents,
229 proposing this as evidence for how we develop an understanding of our social network. In
230 short, infants, through statistical probability, determine that the novel person singing the
231 same song as their parents must be of the same group and therefore approachable.

232

233 Whether or not this prediction model is indeed how we develop concepts of
234 emotions, identities and personalities, is a compelling suggestion but it remains to be
235 tested. Degeneracy in brain networks (Edelman & Gally, 2001), that multiple neural
236 pathways lead to the same percept, would all but rule out the idea of rigid stereotypes used
237 for a continual game of pair matching. Yet, whilst the research does suggest that children
238 can base judgements on a combination of probability and prediction, key questions remain,
239 as highlighted above, as to how our internal representation models develop and change
240 with time and ageing. These questions will undeniably keep cognitive and perception
241 researchers occupied for the foreseeable future in both the behavioural and neural
242 domains.

243

244 **Conclusion**

245 The *auditory face* model of voice processing (Belin et al., 2004) has been important in
246 establishing a conceptual understanding of how we garner various strands of information
247 from a speaker. However, it does not propose how we arrive at the conclusion that our
248 perception matches the signal, or indeed how we first develop the ability to extract this
249 information. Whilst the functioning behind these issues is currently debated between norm-
250 based coding (Latinus & Belin, 2011) and prediction theory models (Barrett, 2017; Barrett et
251 al., 2019), by theoretically combining the models we start to get a realistic sense of the
252 process of extracting information from voices. Yet, as highlighted above, to fully harness this
253 information, and to make use of it within the technological age, given the influx of attention
254 towards voice-activated systems and Social Robotics (de Melo, Marsella, & Gratch, 2019;
255 Mills, Bunnell, & Patel, 2014; Ponsot, Burred, Belin, & Aucouturier, 2018), we would do well

256 to extend the field of voice research into both younger and older age ranges, focussing on
257 such questions as proposed above, neglected in older ages as they are in younger ages. Only
258 through doing so will we be able to arrive at a complete model of voice processing in the
259 developing human.

260

261 **Acknowledgements**

262 Gaby Mahrholz is funded through a 1+3 Economic and Social Research Council (ESRC) award
263 from the Scottish Graduate School of Social Science (SGSSS) [ES/J500136/1]. Mandy Norrbo
264 was funded through the British Psychological Society Undergraduate Research Assistantship
265 Scheme, Summer 2019.

266

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