

Liu, Y., Horseman, T., Wang, Z., Arafat, H. A., Yin, H., Lin, S. and He, T. (2022) Negative pressure membrane distillation for excellent gypsum scaling resistance and flux enhancement. *Environmental Science and Technology*, 56(2), pp. 1405-1412.

(doi: <u>10.1021/acs.est.1c07144</u>)

This is the Author Accepted Manuscript.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

https://eprints.gla.ac.uk/261961/

Deposited on: 28 April 2022

Enlighten – Research publications by members of the University of Glasgow http://eprints.gla.ac.uk

2	Negative Pressure Membrane Distillation for Excellent
3	Gypsum Scaling Resistance and Flux Enhancement
4	
5	manuscript submitted to
6	Environmental Science & Technology
7	Yongjie Liu <sup>a,b</sup> , Thomas Horseman <sup>c</sup> , Zhangxin Wang <sup>d,e</sup> , Hassan A. Arafat <sup>b</sup> , Huabing Yin <sup>f</sup> ,
8	Shihong Lin <sup>c,g,*</sup> , Tao He <sup>a,*</sup>
9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25	<ul> <li>a. Shanghai Advanced Research Institute, Chinese Academy of Sciences, Shanghai 201210, China</li> <li>b. Center for Membrane and Advanced Water Technology, Khalifa University, Abu Dhabi, United Arab Emirates</li> <li>c. Department of Chemical and Biomolecular Engineering, Vanderbilt University, Nashville, Tennessee 37235-1831, United States</li> <li>d. Key Laboratory for City Cluster Environmental Safety and Green Development of the Ministry of Education, Institute of Environmental and Ecological Engineering, Guangdong University of Technology, Guangzhou, 510006, China</li> <li>e. Guangdong Provincial Key Laboratory of Water Quality Improvement and Ecological Restoration for Watershed, Institute of Environmental and Ecological Engineering, Guangdong University of Technology, Guangzhou, 510006, China</li> <li>f. School of Engineering, University of Glasgow, Glasgow, G12 8LT, UK</li> <li>g. Department of Civil and Environmental Engineering, Vanderbilt University, Nashville, Tennessee 37235-1831, United States</li> </ul>
26	*Corresponding authors:
27	Shihong Lin shihong.lin@vanderbilt.edu Tao He het@sari.ac.cn
28 20	
23	

# 30 **ABSTRACT**

Membrane distillation (MD) has the potential to become a competitive technology for managing 31 hypersaline brine, but not until the critical challenge of mineral scaling is addressed. The state-of-32 33 the-art approach for mitigating mineral scaling in MD involves the use of superhydrophobic membranes that are difficult to fabricate and commercially unavailable. This study explores a 34 novel operational strategy, namely negative pressure direct contact membrane distillation (NP-35 DCMD), that can minimize mineral scaling with commercially available hydrophobic membranes, 36 37 and at the same time enhance water vapor flux substantially. By applying a negative gauge pressure 38 on the feed stream, NP-DCMD achieved prolonged resistance to CaSO<sub>4</sub> scaling and a dramatic vapor flux enhancement up to 62%. The exceptional scaling resistance is attributable to the 39 40 formation of a concave liquid-gas under negative pressure that changes the position of the waterair interface to hinder interfacial nucleation and crystal growth. The substantial flux enhancement 41 is caused by the reduced molecular diffusion resistance within the pores and the enhanced heat 42 transfer kinetics across the boundary layer in NP-DCMD. Achieving substantial performance 43 44 improvement in both scaling resistance and vapor flux with commercial membranes, NP-DCMD is a significant innovation with vast potential for practical adoption due to its simplicity and 45 effectiveness. 46

Keywords: membrane distillation; negative pressure; scaling resistance; water-air interface; slip
boundary

49 Synopsis: Negative feed stream pressure mitigates gypsum scaling and enhances vapor flux in50 direct contact membrane distillation.

# 51 INTRODUCTION

52 Membrane distillation (MD) is a thermally driven desalination process where a microporous hydrophobic membrane acts as a physical barrier of direct liquid transfer between hot 53 feed and cold distillate streams.<sup>1</sup> Due to the transmembrane temperature gradient-induced vapor 54 55 pressure gradient, water vapor transports through the membrane pores from the feed to the distillate. As vapor pressure is weakly dependent on feed salinity, MD is an attractive process for hypersaline 56 57 brine treatment where the osmotic pressure requirements make reverse osmosis (RO) inapplicable.<sup>2</sup> Furthermore, the ability to power MD with low-grade heat, such as solar or 58 geothermal energy and waste heat from industrial processes, makes it attractive from a 59 sustainability perspective. Compared to conventional thermal desalination processes like multi-60 stage flash and multi-effect distillation, the compact modular design of MD makes it more 61 competitive for distributed brine treatment and integration with other modular brine treatment 62 processes. 3, 4 63

Nevertheless, a big challenge of MD, especially in treating hypersaline brine, is mineral 64 scaling (also referred to as inorganic fouling).<sup>5-7</sup> Scaling occurs when the feed solution is 65 66 concentrated beyond its solubility limit, which results in mineral precipitation. The precipitated minerals block the membrane pores and reduce the membrane's water vapor permeability. Scaling 67 by gypsum, silica, calcite, sodium chloride, and mixed salt feed solutions have been investigated. 68 <sup>8-13</sup> Among different types of scalants, gypsum is one of the most challenging and widely studied 69 70 scalants due to its practical relevance and its low and pH insensitive solubility, i.e., scaling by gypsum cannot be mitigated by merely adjusting the pH of the feed solution as in the case of 71 mitigating calcite scaling.<sup>8, 14, 15</sup> 72

In a scaling process, nucleation of mineral precipitates typically occurs via two concurrent 73 pathways: 1) in the bulk solution, and 2) heterogeneously on the membrane surface.<sup>16-18</sup> On one 74 75 hand, precipitates that nucleate in the bulk solution may deposit on the membrane surface and block the pores, resulting in flux decline and providing potential growth sites for further mineral 76 growth. On the other hand, precipitates that nucleate in the membrane pores (near the surface) not 77 only block the pores but may eventually lead to membrane pore deformation due to crystallization 78 pressure within the membrane pores. Pore deformation often results in membrane pore wetting as 79 the liquid entry pressure decreases and the crystals create a pathway for direct liquid feed 80 permeation through the membrane.<sup>14</sup> Both the fouling and wetting mechanisms of scaling can 81 result in complete process failure.<sup>17</sup> 82

As membrane wetting can be mitigated using omniphobic membranes,<sup>19-21</sup> recent studies 83 have also shown that superhydrophobic membranes are effective for scaling mitigation. 84 Superhydrophobic membranes, sometimes referred to as slippery membranes due to their low 85 86 sliding angles with water, can delay the onset of mineral scaling or even nearly eliminate scaling in some cases, depending on the scaling species and operation mode.<sup>8, 11, 15, 22, 23</sup> For example, 87 88 superhydrophobic membranes alone were shown to dramatically delay scaling by gypsum and entirely inhibit scaling by sodium chloride.<sup>11, 14</sup> When combining superhydrophobic membranes 89 and operational innovations synergistically, even gypsum scaling can be inhibited altogether. <sup>23, 24</sup> 90 91 Furthermore, superhydrophobic membranes have significantly reduced mineral scaling with real industrial wastewaters such as cooling tower blowdown from power plants.<sup>8, 25</sup> The scaling 92 93 resistance can be attributed to the low adhesion, air-filled, superhydrophobic surface that 1) reduces liquid-membrane contact area available for crystal deposition or growth, 2) has a low 94 95 surface energy and thus a low propensity for heterogeneous nucleation, and 3) introduces a slip boundary condition that inhibits concentration polarization and long residence time for crystal
growth and deposition.<sup>17</sup> In most studies, commercial hydrophobic membranes, which lack all
these features, were used as a reference for comparison and have consistently shown very poor
scaling resistance.

However, superhydrophobic membranes are not commercially available and the fabrication thereof adds cost and complexity to the manufacturing process. Additionally, the fabrication of superhydrophobic membranes often involves the use of per-fluorinated compounds and nanoparticles coating, which raises environmental and health concerns to both manufacturing and using such membranes.<sup>15, 26-32</sup> Therefore, despite the great promise superhydrophobic membranes have shown to attain scaling resistance, it is practically much more appealing if scaling resistance can be achieved with conventional and commercially available hydrophobic membranes.

107 In this study, we show that excellent gypsum scaling resistance and flux enhancement can be achieved using conventional commercial hydrophobic membranes with a novel operation mode, 108 109 namely negative pressure direct contact membrane distillation (NP-DCMD). Unlike conventional DCMD, in which the feed pump is placed upstream of the feed channel pushing the feed water 110 111 into the MD cell (or module), the feed pump in NP-DCMD is placed downstream of the feed 112 channel withdrawing water from the MD cell (or module). Consequently, the feed stream in conventional DCMD has a positive gauge pressure (i.e., relative to atmospheric pressure), whereas 113 the feed stream in NP-DCMD has a negative gauge pressure. By peforming DCMD experiments 114 with both positive and negative feed (gauge) pressures, we systematically compare the scaling 115 116 resistance and water vapor flux in these two configurations. We also perform mass and heat transfer modeling to elucidate the mechanism of vapor flux enhancement achieved by NP-DCMD. 117

### 119 MATERIALS AND METHOD

**Membranes and chemicals** A commercial flat-sheet polyvinylidene fluoride (PVDF) hydrophobic membrane (GVHP00010) was purchased from Millipore, USA. The PVDF membrane has been extensively studied in the literature as a benchmark membrane and was fully characterized (as listed in **Table S1**, mean pore size =  $0.22 \mu$ m, thickness =  $125 \mu$ m, water contact angle =  $110^{\circ}$ , and liquid entry pressure (LEP) = 2.4 bar). Calcium chloride (CaCl<sub>2</sub>, analytical grade, Sigma-Aldrich) and sodium sulfate (Na<sub>2</sub>SO<sub>4</sub>, analytical grade, Sigma-Aldrich) were used as received without further purification.

Membrane distillation with positive and negative feed stream pressure The MD performance of the PVDF membrane under various operating conditions was evaluated using a closed-loop bench-scale MD test unit. To avoid the influence of the pulse flow from the peristaltic pump in the feed loop, a pressure buffer was placed at the inlet of the test cell. A gear pump was used to circulate the distillate, and the conductivity of the distillate was constantly monitored using a conductivity sensor. **Fig. 1a** and **b** schematically show the flow direction and the relative position of the pump to create negative and positive gauge pressure in the feed channel of the MD cell.

134 For controlling the level of negative feed pressure, the feed pump was placed downstream of the MD cell and an adjustable needle valve was used to change the inlet pressure (Fig. 1b). By 135 partially closing the valve, a higher degree of vacuum was created in the cell. To compensate for 136 137 flow rate reduction, the pumping speed was adjusted accordingly. The inlet pressure was monitored using a digital pressure sensor (refer to Fig. S1 for a schematic of the DCMD bench-138 scale experimental setup). The difference between the pressures measured at the inlet and the outlet 139 of the MD cell is negligibly small, which suggests minimum pressure drop within the MD cell. 140 We note that the measured pressure outside the MD cell may not accurately reflect the actual 141

pressure inside the MD cell due to the Venturi effect. We have calculated the flow velocity within the feed and distillate channels based on their cross-sectional areas and used the Bernoulli equation to evaluate the pressure within the channels based on the pressure measured outside the cell. The calculation suggests that the difference between the pressures inside and outside the MD cell is negligibly small because velocity head is negligibly small as compared to the pressure head (**Table S2**).





Figure 1. Schematic of DCMD with a (a) positive and (b) negative feed pressure. (a) With a 149 positive feed pressure, the pump is placed upstream of the feed channel to push the solution into 150 the feed channel. The local feed pressure is balanced by both the gas pressure in the pore and the 151 interfacial force exerted by the pore edge. (b) With a negative feed pressure, the pump is placed 152 downstream of the feed channel to pull the solution out of the feed channel. A valve is installed 153 154 upstream of the cell so that both the flow rate and pressure can be controlled by adjusting the valve and the pump speed. The effective cell dimension was  $50 \times 20 \times 3$  mm in length, width and height 155 for both feed and distillate channels, respectively. The same feed and distillate flow velocity of 156 157 0.17 m/s was maintained.



159 is balanced by both the gas pressure in the pore ( $P_G > 1$  atm) the interfacial force ( $\gamma_{LS}$ ) imposed by



balance can be described as  $P_L - P_G = 2\gamma_{LS} / a$  with *a* being the pore radius. When a negative (gauge) pressure is applied on the feed stream ( $P_L < 1$  atm), the interfacial force is negligible as the liquid is not pushed into a hydrophobic pore, and the gas pressure within the pore is approximately the same as the sub-atmospheric feed pressure (**Fig. 1b**).

If the feed pressure is only slightly negative, deformation of interface toward the feed solution 165 may increase the gas volume in the pore to account for the required vapor reduction, i.e., the pore 166 volume V must increase to decrease the total gas pressure  $P_G$  according to the ideal gas law 167  $P_G V = nRT$  (where *n* is the mole of gas in the pores, *R* is the ideal gas constant, and *T* is the 168 absolute temperature). However, the deformation of the liquid-gas interface can only occur to a 169 limited extent beyond which non-condensable gases in the pore must be partially removed (i.e., n170 is reduced) via either dissolution into the feed stream or formation of gas bubbles to be carried 171 away by the flowing feed stream. In other words, the reduction of gas pressure within pores occurs 172 simply because the gas pressure must match the liquid pressure in the feed stream, which differs 173 from the mechanisms of gas pressure reduction in vacuum MD or air-gap MD.<sup>33, 34</sup> 174

Gypsum Scaling Experiments A 1.2 L CaSO<sub>4</sub> feed solution (2000 mg/L, saturation 175 index=0.09) was prepared and pre-heated to 70 °C before the MD experiments .<sup>23</sup> The feed and 176 177 distillate streams flowed in a co-current mode. The water vapor flux across the membrane, J (L m<sup>-2</sup> h<sup>-1</sup>), was monitored by measuring the distillate mass change over time. Experiments were 178 terminated at a flux decline of 50%. A feed spacer was used to promote turbulence and provide 179 mechanical support to the membrane. The spacer was 1.2 mm thick and was composed of filaments 180 with a diameter of 1 mm as schematically shown in Fig. S2.35 In addition to performing 181 experiments using commercial PVDF membrane with both positive and negative pressure, we also 182

performed an additional experiment using superhydrophobic membrane with pulse flow, as it is the state-of-the-art method of mitigating gypsum scaling and serves as a benchmark for comparison. The fabrication of the superhydrophobic membrane using micropillar templating and CF<sub>4</sub> plasma and the pulse flow operation were detailed in our previous publication (Supporting Information **S1.4** and **S1.5**). <sup>23</sup>

To identify the scalants on the membrane surfaces, the scaled membrane samples were taken out of the test cell, rinsed with deionized water to remove excess feed solution, and dried (the CaSO<sub>4</sub> precipitates adhered strongly enough to the membrane and were thus not removed by a gentle rinse). The dried membrane with scalant on surface was sputter-coated with a thin layer of gold and analyzed via scanning electron microscopy (HITACH TM-1000).

193

# 194 **RESULTS AND DISCUSSION**

Membrane wetting properties The commercial PVDF membrane exhibited a water contact 195 angle of 110°, but no sliding angle was measured as the drop stayed pinned even on a vertical 196 surface (Table S1). Thus, under zero and positive gauge pressure, liquid intrudes into the 197 membrane pores and the PVDF membrane surface is partially wetted (i.e., the liquid-gas interface 198 is within the pores).<sup>36</sup> Constrained by the pump specifications, the negative feed pressure was 199 limited to a minimum gauge pressure of -30.0 kPa (-0.3 bar), which was far below the liquid entry 200 pressure (LEP) of the PVDF membrane (2.4 bar, Table S1) and thus would not induce penetration 201 202 of distillate into the membrane pores. In all the scaling experiments to be discussed below, the distillate conductivity was  $\sim 10 \pm 1 \mu$ S/cm, which suggests the absence of pore wetting. 203

Scaling resistance with negative feed pressure At a positive feed pressure of 1.0 kPa, the 204 flux of the PVDF membrane quickly declined after 500 mL of distillate was recovered from the 205 feed solution (Fig. 2, green triangles), indicating the onset of precipitous gypsum nucleation and 206 deposition of gypsum crystals that blocked the membrane pores. When a superhydrophobic 207 membrane was used in combination with pulse flow, flux decline was substantially slower after 208 209 recovering 500 mL of distillate (Fig. 2, red circles). This slow flux decline indicates insignificant gypsum scaling, which has been elaborated in our previous study with the support of scanning 210 electron microscopy (SEM) images showing the absence of precipitate on the membrane surface<sup>23</sup>. 211 We showed in same study that neither superhydrophobic membrane nor pulse flow alone could 212 achieve resistance to gypsum scaling, and that the synergy between the two factors is critical.<sup>23</sup> 213



215 Figure 2. Scaling resistance (to gypsum as the scalant) of commercial hydrophobic membrane under positive pressure (green triangles, 1.0 kPa), under negative pressure (blue squares, -30.0 216 kPa), and superhydrophobic (slippery) membrane with pulse flow operation (red circles).<sup>23</sup> The 217 feed solution contained 2000 mg L<sup>-1</sup> CaSO<sub>4</sub> solution (saturation index, SI=0.09). The temperatures 218 of the feed solution and distillate were 70 °C and 20 °C, respectively. The same crossflow velocity 219 of 0.17 m/s was used in both the feed and distillate streams. The experiments were stopped when 220 the cumulative distillate volume reached 800 mL because the feed volume became insufficient for 221 flow circulation. (Reproductive experimental data are in the Supporting Information Fig. S3) 222

In this study, we observe that the same excellent resistance to gypsum scaling, as achieved 223 using superhydrophobic membrane with pulse flow, can also be achieved with a conventional 224 225 hydrophobic membrane and a negative feed pressure of -30.0 kPa (Fig. 2, Blue squares). Even with a cumulative distillate volume of 800 mL (corresponding to a water recovery over 66%), only 226 a small degree of gradual flux decline was observed possibly due to the reduction of partial vapor 227 pressure at high salinity. At this water recovery, the CaSO<sub>4</sub> concentration in the feed solution was 228 above 5000 mg  $L^{-1}$  (SI = 0.48) and the solution was far beyond saturation. Surprisingly, the vapor 229 flux was  $\sim 60\%$  higher with operation using negative gauge pressure than that using positive gauge 230 pressure, which will be elucidated in more detail in the following section. 231

At negative feed pressure, the majority of CaSO<sub>4</sub> crystals on the PVDF membrane formed 232 in the regions contacting the spacer (Fig. 3a,c,d). Accumulation of crystals next to the spacer 233 filament (Fig. 3d) was likely due to the presence of hydrodynamically stagnant regions that favor 234 (1) deposition of mineral precipitates,<sup>37, 38</sup> and (2) more severe concentration polarization that 235 facilitates nucleation and crystal growth.<sup>39, 40</sup> Far from the spacer filament (Fig. 3c), where 236 negative feed pressure has greater influence on the shape and position of the liquid-gas interface, 237 significantly fewer crystal precipitates were observed. In contrast, the PVDF membrane operated 238 at positive feed pressure of 1.0 kPa was fully covered with CaSO<sub>4</sub> crystals (Fig. 3b,e). These 239 observations confirm the results (e.g., flux decline) from the DCMD experiments, that the novel 240 241 operating strategy using negative feed pressure can effectively mitigate membrane scaling (by gypsum) even if only a commercial hydrophobic membrane is used. 242



243

Figure 3. Photographic images of the membrane surface after experiments of (a) NP-DCMD and (b) conventional DCMD. SEM images of the membrane surface after experiments of (c, d) NP-DCMD and (e) conventional DCMD. Specifically, panel (c) shows the area uncovered by the spacer filament and panel (d) shows the area covered by or near to the spacer filament. All photographic and SEM images were obtained using commercial hydrophobic membrane (C-PVDF).

The excellent scaling resistance observed with negative feed pressure on a commercial 250 hydrophobic membrane can be attributed to the influence of the negative feed pressure on the 251 shape and position of the liquid-gas interface (Fig. 4). In conventional DCMD with a hydrophobic 252 membrane and a positive feed pressure, the meniscus (i.e., the liquid-gas interface) is convex and 253 254 the membrane pores are partially wetted near the pore mouths (Fig. 4a). This partial intrusion of feed solution, along with the non-slip boundary condition, creates hydrodynamically stagnant 255 zones in the pores near the membrane surface. These stagnant zones exacerbate concentration 256 polarization and increase the residence time for crystal deposition and growth. When a negative 257

feed pressure is applied, however, these stagnant zones and the non-slip boundary conditions no
longer exist, as the liquid-gas interface becomes concave and curved into the feed solution (Fig.
4b).



Figure 4. Schematic illustration of the proposed mechanisms for the scaling resistance and enhanced flux. (a) With a positive feed pressure, the meniscus (i.e., the water-air interface) is convex, the area for water-membrane contact is larger, the flow of the feed stream has a non-slip boundary condition and there are stagnant zones at the entrance of the pores. (b) With a negative feed pressure, the meniscus is concave, the area for water-membrane contact is smaller, the flow of the feed stream has a slip boundary condition and there is no stagnant zone near the membrane surface.

The scaling resistance imparted by the concave liquid-gas interface has two possible 269 mechanisms: (1) the concave liquid-gas interface reduces the liquid-membrane contact area 270 available for crystal adhesion and growth; (2) the concave liquid-gas interface introduces a slip 271 boundary condition at the feed solution-membrane interface, which mitigates concentration 272 polarization and decreases the residence time for crystal deposition and growth.<sup>11, 41</sup> Both effects 273 possibly have contributed to the scaling resistance of a superhydrophobic membrane (in regular 274 MD) that reduces the convexity of the water-air interface and minimizes the liquid intrusion into 275 pores. But the concave interface and the complete elimination of pore intrusion in NP-DCMD with 276 277 hydrophobic membranes are likely even more effective in mitigating mineral scaling than regular DCMD with superhydrophobic membranes. 278

Flux enhancement with negative feed pressure The initial flux of negative pressure direct 279 contact membrane distillation (NP-DCMD) was 48.6 L m<sup>-2</sup> h<sup>-1</sup>, which was 62 % higher than that 280 281 of conventional DCMD under positive pressure (Fig. 2). To better understand this remarkable flux enhancement, the NP-DCMD vapor flux was measured experimentally over a range of feed 282 pressures and temperatures (Fig. 5). Water vapor flux increased with decreasing feed pressure at 283 any given feed temperature. Increasing temperature resulted in a nonlinear increase in water vapor 284 flux due to the exponential dependence of water vapor pressure on temperature. We estimated the 285 vapor flux for NP-DCMD using the Dusty-Gas model by considering the effects of temperature 286 and pressure on: (1) molecular diffusion resistance, which influenced the membrane permeability 287 coefficient, and (2) the heat transfer, which influences the temperature profile, and thus, the partial 288 289 vapor pressure across the membrane.

290



Figure. 5 Left: Schematic illustration of temperature distribution T and air pressure in the pores *P<sub>air</sub>* in both of DCMD and NP-DCMD. The subscript *b*, *m*, *f* and *d* of *T* denote the bulk, membrane surface, feed and distillate side, respectively. **Right:** Vapor flux as functions of feed gauge pressure (1.0 kPa to -30.0 kPa) at 60 °C (red), 65 °C (blue) and 70 °C (green), respectively. The filled circles represent the experimental data whereas the empty circles represent the simulated results based on the Dusty-Gas model (shown in **Section S4: MATLAB code of mass and heat transfer in NP-DCMD**). The error bar of experimental results was shown in **Table S3**.

300	To quantify the effect of pore air pressure on vapor transfer resistance, we considered the
301	Knudsen flow and molecular diffusion resistances, which are the two major resistances of vapor
302	transfer through membrane pores in DCMD (model derivations are presented in Section S2:
303	Vapor transport in MD). <sup>1</sup> The Knudsen resistance increases negligibly with feed pressure and
304	temperature due to the slight increase in the average membrane pore temperature with decreasing
305	feed pressure (Eq. S2.1, Fig. 6a). However, the molecular diffusion resistance decreases
306	dramatically as feed pressure decreases because the negative feed pressure directly reduces the
307	air pressure inside the membrane pores (Eq. S2.2, Fig. 6b), which increases the membrane
308	permeability coefficient, and thus, increases the water vapor flux. In fact, in vacuum enhanced
309	DCMD, where negative gauge pressure is applied to the distillate stream, a similar mechanism
310	for flux enhancement has been proposed. <sup>42</sup> However, the magnitude of flux enhancement
311	predicted based on changes of Knudsen and molecular diffusion resistances is significantly and
312	consistently less than experimental observations (Fig. S4), which suggests that the impact of
313	negative pressure on enhanced vapor transport alone is insufficient to explain the observed flux
314	enhancement.



Figure 6. (a) Resistance for Knudsen diffusion. (b) Resistance for molecular diffusion. (c) Temperature at membrane surface contacting the feed stream. (d) Temperature at membrane surface contacting the distillate stream. (e) Water vapor pressure differene across the pores of an MD membrane. (f) Thermal efficiency. All parameters are evaluated for four different feed gauge pressures, including positive (1.0 kPa) and negative (-10, -20 and -30 kPa) pressures, and for three feed bulk temperatures (60, 65 and 70 °C) with distillate bulk temperature maintained at 20 °C.

322 Next, the effect of heat transfer on water vapor flux with negative feed pressure was considered.

323 The liquid-gas interface on the feed side of the membrane is convex with a positive feed pressure

and concave with a negative feed pressure. The slip boundary induced by the concave meniscus

in NP-DCMD results in a larger convective heat transfer coefficient,  $h_f$ , relative to that in a

326 regular DCMD process. The enhanced convective heat transfer reduces the feed side temperature

- 327 polarization and increases the local temperature at the feed solution/membrane interface (Eq.
- **S3.2**, **Fig. 6c**).<sup>43</sup> The concave meniscus also reduces the liquid-solid interfacial area while
- 329 increasing the liquid-gas interfacial area available for evaporation, decreasing overall conductive
- heat transfer coefficient of the membrane,  $h_{m}$  (Eq. S3.4). To account for these differences in heat
- transfer in NP-DCMD,  $h_f$  was multiplied by a correction factor  $\varphi_1$  which accounts for the

enhanced hydrodynamics in the boundary layer, and  $h_m$  was multiplied by a correction factor  $\varphi_2$ which accounts for the impact of concave meniscus on conductive heat transfer. In conventional DCMD with positive feed pressure,  $\varphi_1$  and  $\varphi_2$  are taken to be unity (i.e., no correction is applied) and the heat transfer coefficients are extracted from fitting the experimental data. Using the same set of parameters extracted from conventional DCMD (except  $\varphi_1$  and  $\varphi_2$ ), we find that  $\varphi_1 = 1.7$ and  $\varphi_2 = 0.7$  when a negative feed pressure was applied (More information could be found in

#### **338** Section 3: Detailed description of heat transfer in MD).

Heat transfer has a direct impact on the driving force for vapor transfer. The slip boundary 339 condition and the reduced trans-membrane conductive heat transfer, both resulting from the 340 concave liquid-gas interface, contribute to reduced temperature polarization in the feed stream and 341 affect the temperature at the feed/membrane interface,  $T_{m,f}$  (Fig. 6c), and that at the 342 distillate/membrane interface,  $T_{m,d}$  (Fig. 6d). The changes in  $T_{m,f}$  and  $T_{m,d}$  result in the change 343 of vapor pressure difference which is the driving force for vapor transfer (Fig. 6e). While the 344 345 calculated change of driving force with more negative feed pressure is not monotonic, the observed monotonic increase in flux (Fig. 5) is a result of both non-monotonic variation in driving force and 346 monotonic reduction in vapor transfer resistance. Considering both the impacts of negative 347 pressure on the trans-membrane vapor pressure difference (Fig. 6e) and the vapor transport 348 resistances (Fig. 6a,b), the revised mass transfer transfer for NP-DCMD can accurately explain 349 the experimentally observed flux enhancement (Fig. 5). 350

Lastly, the enhanced vapor flux and reduced conductive heat transfer due to negative feed pressure result in a higher thermal efficiency (**Fig. 6f**), i.e., more efficient utilization of driving force for vapor transfer. In other words, NP-DCMD also has extra kinetic (i.e., high vapor flux) and energetic (i.e., higher energy efficiency) benefits in addition to the exceptional scaling
 resistance. The thermal efficiency achieved using NP-DCMD with a commercial hydrophobic
 membrane is among the highest in all DCMD processes reported in literature. <sup>44, 45</sup>

357

### 358 **IMPLICATIONS**

Instead of resorting to complicated membrane design based on multi-step surface 359 modifications with chemical or/and physical approaches, our study demonstrates a much simpler 360 and practically more appealing approach of scaling mitigation using the novel operation strategy 361 of NP-DCMD which also offers the additional benefit of substantial enhancement of flux and 362 thermal efficiency. The very effective scaling mitigation achieved by NP-DCMD may potentially 363 enable MD to push the limit of water recovery for brine volume minimization or even zero liquid 364 discharge. To reach that goal, more work needs to be performed to understand the effectiveness of 365 NP-DCMD in mitigating other types of scaling, particularly when it is challenged with real feed 366 water with a complex composition. <sup>46, 47</sup> In addition, the effectiveness of NP-DCMD for scaling 367 368 mitigation should also be benchmarked against that of using antiscalants. The combination of NP-DCMD and antiscalants is also worthy of investigation. Moreover, while we can easily control the 369 pressure in a bench-scale system, pressure drop along a full-scale MD module will result in spatial 370 distribution of feed pressure and effectiveness of scaling mitigation. Future research to address 371 these unexplored aspects will further advance NP-DCMD to become potentially the most effective 372 approach for addressing scaling which is arguably the most critical challenge in MD for high-373 salinity and high-recovery applications. 374

# 375 ASSOCIATED CONTENT

#### 376 Supporting Information

Experimental details for membrane characterization and performance test (S1), Vapor transport in 377 378 MD (S2), Detailed description of heat transfer in MD (S3), MATLAB code of mass and heat transfer in NP-DCMD (S4), Characteristics of the commercial PVDF and CF<sub>4</sub>-MP-PVDF (Table 379 S1), The pressures measured outside the tube and the pressures in the feed channel calculated based 380 on Bernoulli equation (Table S2), Mean value (and standard deviation) water flux under different 381 temperature and pressures (Table S3), Schematic and photographic image and of the DCMD 382 experimental setup (Fig. S1), Schematic illustration of the spacer structure (Fig. S2), Replicate 383 data of NP-DCMD experiment with a feed solution containing 2000 ppm CaSO<sub>4</sub> (Fig. S3), 384 Simulation results without considering the heat transfer (Fig. S4) 385

386

### 387 ACKNOWLEDGMENT

The research was partially supported by National Natural Science Foundation of China (No. 21978315, 52011530031), Newton Advanced Fellowship from Royal Society (No. NA170113), CAS International Collaboration (No. GJHZ2080) and US National Science Foundation (No. 1903685). We also thank the frame work research consortium for partially financial support (RFBR No. 18-58-80031, NSFC No. 51861145313, DST IPN/7864, NRT No.116020, CNPq/BRICS-STI-2-442229/2017-8).

394

### 395 **REFERENCES**

Alkhudhiri, A.; Darwish, N.; Hilal, N., Membrane distillation: A comprehensive review. *Desalination* **2012**, *287*, 2-18.

Tong, T.; Elimelech, M., The Global Rise of Zero Liquid Discharge for Wastewater Management:
 Drivers, Technologies, and Future Directions. *Environmental Science & Technology* 2016, *50*, (13), 6846 55.

3. Deshmukh, A.; Boo, C.; Karanikola, V.; Lin, S.; Straub, A. P.; Tong, T.; Warsinger, D. M.; Elimelech,
M., Membrane distillation at the water-energy nexus: limits, opportunities, and challenges. *Energy & Environmental Science* 2018, *11*, (5), 1177-1196.

404 4. Ghaffour, N.; Soukane, S.; Lee, J. G.; Kim, Y.; Alpatova, A., Membrane distillation hybrids for water 405 production and energy efficiency enhancement: A critical review. *Applied Energy* **2019**, *254*, 113698.

406 5. Naidu, G.; Jeong, S.; Vigneswaran, S.; Hwang, T.-M.; Choi, Y.-J.; Kim, S.-H., A review on fouling of 407 membrane distillation. *Desalin. Water Treat.* **2015**, *57*, (22), 10052-10076.

408 6. Warsinger, D. M.; Swaminathan, J.; Guillen-Burrieza, E.; Arafat, H. A.; Lienhard V, J. H., Scaling and 409 fouling in membrane distillation for desalination applications: A review. *Desalination* **2015**, *356*, 294-313.

Liu, L.; Xiao, Z.; Liu, Y.; Li, X.; Yin, H.; Volkov, A.; He, T., Understanding the fouling/scaling resistance
of superhydrophobic/omniphobic membranes in membrane distillation. *Desalination* **2021**, *499*, 114864.

Karanikola, V.; Boo, C.; Rolf, J.; Elimelech, M., Engineered Slippery Surface to Mitigate Gypsum
 Scaling in Membrane Distillation for Treatment of Hypersaline Industrial Wastewaters. *Environmental Science & Technology* 2018, *52*, (24), 14362-14370.

Bush, J. A.; Vanneste, J.; Gustafson, E. M.; Waechter, C. A.; Jassby, D.; Turchi, C. S.; Cath, T. Y.,
Prevention and management of silica scaling in membrane distillation using pH adjustment. *J. Membr. Sci.* **2018**, *554*, 366-377.

418 10. Curcio, E.; Ji, X.; Di Profio, G.; Sulaiman, A. O.; Fontananova, E.; Drioli, E., Membrane distillation 419 operated at high seawater concentration factors: Role of the membrane on CaCO3 scaling in presence of 420 humic acid. *J. Membr. Sci.* **2010**, *346*, (2), 263-269.

Xiao, Z.; Zheng, R.; Liu, Y.; He, H.; Yuan, X.; Ji, Y.; Li, D.; Yin, H.; Zhang, Y.; Li, X. M.; He, T., Slippery
for scaling resistance in membrane distillation: A novel porous micropillared superhydrophobic surface. *Water Res* 2019, *155*, 152-161.

424 12. Mericq, J. P.; Laborie, S.; Cabassud, C., Vacuum membrane distillation of seawater reverse osmosis
425 brines. *Water Res* 2010, 44, (18), 5260-73.

Lim, J.; Son, K. P.; Kang, S. M.; Park, J.; Min, S.; Cho, H.; Kim, S.-H.; Lee, S.; Chae, S.; Park, P.-K.,
Correlation between the feed composition and membrane wetting in a direct contact membrane
distillation process. *Environmental Science: Water Research & Technology* **2021**, *7*, (6), 1020-1031.

429 14. Christie, K. S. S.; Yin, Y.; Lin, S.; Tong, T., Distinct Behaviors between Gypsum and Silica Scaling in
430 Membrane Distillation. *Environmental Science & Technology* **2020**, *54*, (1), 568-576.

431 15. Su, C.; Horseman, T.; Cao, H.; Christie, K.; Li, Y.; Lin, S., Robust Superhydrophobic Membrane for
432 Membrane Distillation with Excellent Scaling Resistance. *Environmental Science & Technology* 2019, *53*,
433 (20), 11801-11809.

Tong, T.; Wallace, A. F.; Zhao, S.; Wang, Z., Mineral scaling in membrane desalination: Mechanisms,
mitigation strategies, and feasibility of scaling-resistant membranes. *J. Membr. Sci.* 2019, *579*, 52-69.

Horseman, T.; Yin, Y.; Christie, K. S. S.; Wang, Z.; Tong, T.; Lin, S., Wetting, Scaling, and Fouling in
Membrane Distillation: State-of-the-Art Insights on Fundamental Mechanisms and Mitigation Strategies.
ACS ES&T Engineering 2020, 1, (1), 117-140.

Xiao, Z.; Li, Z.; Guo, H.; Liu, Y.; Wang, Y.; Yin, H.; Li, X.; Song, J.; Nghiem, L. D.; He, T., Scaling
mitigation in membrane distillation: From superhydrophobic to slippery. *Desalination* **2019**, *466*, 36-43.

441 19. Lin, S.; Nejati, S.; Boo, C.; Hu, Y.; Osuji, C. O.; Elimelech, M., Omniphobic Membrane for Robust

442 Membrane Distillation. *Environ. Sci. Technol. Lett.* **2014**, *1*, (11), 443-447.

443 20. Boo, C.; Lee, J.; Elimelech, M., Omniphobic Polyvinylidene Fluoride (PVDF) Membrane for
444 Desalination of Shale Gas Produced Water by Membrane Distillation. *Environmental Science & Technology*445 **2016**, *50*, (22), 12275-12282.

Chen, L.-H.; Huang, A.; Chen, Y.-R.; Chen, C.-H.; Hsu, C.-C.; Tsai, F.-Y.; Tung, K.-L., Omniphobic
membranes for direct contact membrane distillation: Effective deposition of zinc oxide nanoparticles. *Desalination* 2018, 428, 255-263.

Chen, Y.; Lu, K. J.; Chung, T.-S., An omniphobic slippery membrane with simultaneous anti-wetting
and anti-scaling properties for robust membrane distillation. *J. Membr. Sci.* 2020, *595*, 117572.

Liu, Y.; Li, Z.; Xiao, Z.; Yin, H.; Li, X.; He, T., Synergy of slippery surface and pulse flow: An antiscaling solution for direct contact membrane distillation. *J. Membr. Sci.* **2020**, *603*, 118035.

453 24. Horseman, T.; Su, C.; Christie, K. S. S.; Lin, S., Highly Effective Scaling Mitigation in Membrane
454 Distillation Using a Superhydrophobic Membrane with Gas Purging. *Environ. Sci. Technol. Lett.* 2019, *6*, (7),
455 423-429.

Robbins, C. A.; Grauberger, B. M.; Garland, S. D.; Carlson, K. H.; Lin, S.; Bandhauer, T. M.; Tong, T.,
On-site treatment capacity of membrane distillation powered by waste heat or natural gas for
unconventional oil and gas wastewater in the Denver-Julesburg Basin. *Environ Int* 2020, *145*, 106142.

Lohmann, R.; Cousins, I. T.; DeWitt, J. C.; Gluge, J.; Goldenman, G.; Herzke, D.; Lindstrom, A. B.;
Miller, M. F.; Ng, C. A.; Patton, S.; Scheringer, M.; Trier, X.; Wang, Z., Are Fluoropolymers Really of Low
Concern for Human and Environmental Health and Separate from Other PFAS? *Environmental Science & Technology* 2020, *54*, (20), 12820-12828.

Razmjou, A.; Arifin, E.; Dong, G.; Mansouri, J.; Chen, V., Superhydrophobic modification of TiO2
nanocomposite PVDF membranes for applications in membrane distillation. *J. Membr. Sci.* 2012, 415-416,
850-863.

Lee, E.-J.; An, A. K.; He, T.; Woo, Y. C.; Shon, H. K., Electrospun nanofiber membranes incorporating
fluorosilane-coated TiO2 nanocomposite for direct contact membrane distillation. *J. Membr. Sci.* 2016, *520*, 145-154.

Zhang, H.; Li, B.; Sun, D.; Miao, X.; Gu, Y., SiO2-PDMS-PVDF hollow fiber membrane with high flux
for vacuum membrane distillation. *Desalination* **2018**, *429*, 33-43.

471 30. Efome, J. E.; Baghbanzadeh, M.; Rana, D.; Matsuura, T.; Lan, C. Q., Effects of superhydrophobic
472 SiO2 nanoparticles on the performance of PVDF flat sheet membranes for vacuum membrane distillation.
473 *Desalination* 2015, *373*, 47-57.

31. Zheng, R.; Chen, Y.; Wang, J.; Song, J.; Li, X.-M.; He, T., Preparation of omniphobic PVDF membrane
with hierarchical structure for treating saline oily wastewater using direct contact membrane distillation.
J. Membr. Sci. 2018, 555, 197-205.

477 32. Wang, W.; Du, X.; Vahabi, H.; Zhao, S.; Yin, Y.; Kota, A. K.; Tong, T., Trade-off in membrane 478 distillation with monolithic omniphobic membranes. *Nat Commun* **2019**, *10*, (1), 3220.

Alsaadi, A. S.; Alpatova, A.; Lee, J.-G.; Francis, L.; Ghaffour, N., Flashed-feed VMD configuration as
a novel method for eliminating temperature polarization effect and enhancing water vapor flux. *J. Membr. Sci.* 2018, *563*, 175-182.

482 34. Alsaadi, A. S.; Francis, L.; Maab, H.; Amy, G. L.; Ghaffour, N., Evaluation of air gap membrane
483 distillation process running under sub-atmospheric conditions: Experimental and simulation studies. *J.*484 *Membr. Sci.* 2015, 489, 73-80.

485 35. Yang, C.; Tian, M.; Xie, Y.; Li, X.-M.; Zhao, B.; He, T.; Liu, J., Effective evaporation of CF4 plasma 486 modified PVDF membranes in direct contact membrane distillation. *J. Membr. Sci.* **2015**, *482*, 25-32.

487 36. Nagayama, G.; Zhang, D., Intermediate wetting state at nano/microstructured surfaces. *Soft* 488 *Matter* **2020**, *16*, (14), 3514-3521.

489 37. Al-Sharif, S.; Albeirutty, M.; Cipollina, A.; Micale, G., Modelling flow and heat transfer in spacer-490 filled membrane distillation channels using open source CFD code. *Desalination* **2013**, *311*, 103-112.

- 491 38. Haidari, A. H.; Heijman, S. G. J.; van der Meer, W. G. J., Optimal design of spacers in reverse 492 osmosis. *Separation and Purification Technology* **2018**, *192*, 441-456.
- 493 39. Fane, A. G.; Beatson, P.; Li, H., Membrane fouling and its control in environmental applications.
  494 Water Sci. Technol. 2000, 41, (10-11), 303-308.
- 495 40. Thomas, N.; Sreedhar, N.; Al-Ketan, O.; Rowshan, R.; Abu Al-Rub, R. K.; Arafat, H., 3D printed 496 spacers based on TPMS architectures for scaling control in membrane distillation. *J. Membr. Sci.* **2019**, 497 *581*, 38-49.
- 498 41. Warsinger, D. M.; Tow, E. W.; Swaminathan, J.; Lienhard V, J. H., Theoretical framework for
  499 predicting inorganic fouling in membrane distillation and experimental validation with calcium sulfate. *J.*500 *Membr. Sci.* 2017, *528*, 381-390.
- 501 42. Cath, T. Y.; Adams, V. D.; Childress, A. E. Vacuum enhanced direct contact membrane distillation.
  502 US 7,608,188 B2, 2009.
- 503 43. Enright, R.; Hodes, M.; Salamon, T.; Muzychka, Y., Isoflux Nusselt Number and Slip Length
  504 Formulae for Superhydrophobic Microchannels. *Journal of Heat Transfer* 2014, *136*, (1), 012402.
- 505 44. Zhang, Y.; Peng, Y.; Ji, S.; Li, Z.; Chen, P., Review of thermal efficiency and heat recycling in 506 membrane distillation processes. *Desalination* **2015**, *367*, 223-239.
- 45. Leitch, M. E.; Li, C.; Ikkala, O.; Mauter, M. S.; Lowry, G. V., Bacterial Nanocellulose Aerogel Membranes: Novel High-Porosity Materials for Membrane Distillation. *Environ. Sci. Technol. Lett.* **2016**, *3*,
- 509 (3), 85-91.
- 46. Lee, J.-G.; Jang, Y.; Fortunato, L.; Jeong, S.; Lee, S.; Leiknes, T.; Ghaffour, N., An advanced online
  monitoring approach to study the scaling behavior in direct contact membrane distillation. *J. Membr. Sci.* **2018**, *546*, 50-60.
- 513 47. Fortunato, L.; Jang, Y.; Lee, J.-G.; Jeong, S.; Lee, S.; Leiknes, T.; Ghaffour, N., Fouling development
- in direct contact membrane distillation: Non-invasive monitoring and destructive analysis. *Water research* **2018**, *132*, 34-41.
- 516 **TOC**



