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30 Abstract

Liquid turbines can replace throttling valves to recover waste energy and reduce vaporization
 in various industrial systems, such as liquefied natural gas, air separation, supercritical compressed

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33 air energy storage (SC-CAES) systems, et al. However, there were few studies about differences in 34 the preliminary design method between general radial inflow turbines and liquid turbines. In this 35 paper, a preliminary design method of liquid turbines was presented, and the performance of liquid turbines was predicted using CFD methods which were validated with experimental results. The 36 37 efficiency of the designed liquid turbine was 92% and the output power was 65.7 kW. The 38 performance of the turbine predicted by the preliminary method could agree with simulation results 39 of prototype and model turbines near the design working condition, while there was a certain 40 deviation when the flow rate was less than 70%. Through analyzing the presented preliminary 41 design method, it could be found that distinctive differences in thermal properties of working fluids 42 caused that typical design parameters for liquid turbines, like ratios of the blade height, the hub 43 radius and the area, should be selected differently from empirical values for gas radial turbines. The 44 results obtained in this paper could help guide the design of liquid turbines for various systems to 45 promote energy conservation and improve system efficiencies significantly.

Keywords: Liquid turbine; SC-CAES; Throttling valves; Radial inflow turbine; Preliminary design
 method

48 **1 Introduction**

49 Throttling valves are extensively equipped to depressurize the flow in various industrial 50 systems. However, a certain amount of the internal energy is dissipated in the isenthalpic 51 depressurization process and a large fraction of the liquid is vaporized and wasted when the pressure 52 is lower than the saturation pressure. Replacing throating valves with liquid turbines can improve 53 the system performance due to the recovery of the internal energy and the alleviation of the 54 vaporization in many traditional systems [1], such as liquefied natural gas and air separation systems. 55 The liquid turbine studied in this paper is applied in the supercritical compressed air energy storage (SC-CAES) system, which can balance the load and eliminate the dependence on fossil fuel and 56 57 cavern using compressors, expanders, heat exchangers, liquid turbines, cryogenic storage tank and 58 cryopump [2,3]. Compared with other compressed air energy storage (CAES) systems, the benefit 59 of using the liquid turbine in the SC-CAES system is more evident because the system efficiency 60 can be increased by about 10% [2,4,5].

61 The liquid turbine is a kind of turbomachinery designed for recovering waste energy of the 62 liquid or supercritical fluid in traditional throttling processes, and a type of energy recovery 63 expanders [6,7] which includes the positive displacement expander and the liquid turbine. The 64 positive displacement expander was extensively studied in the past because it could be conveniently modified for the expansion process from positive displacement compressors. Taniguchi et al. [8] 65 studied analytically and experimentally a two-phase screw expander which was applied to produce 66 67 power for refrigeration or heat pump cycles. Kliem [9] tested a screw expander with saturated water as the inflow and the maximum efficiency was 56% in the experiment. Other researchers also 68 69 investigated the performance of the screw expander under various fluids, such as R113 [10] and 70 R134a [11]. Vasuthevan and Brümmer [12] and Bianchi et al. [13] modelled the two-phase flow in 71 the twin screw expander for trilateral flash cycle numerically. Kakuda et al. [14] tested a coupled 72 scroll type expander and compressor for CO₂ refrigeration cycle. Wang et al. [15] designed a 73 compliant scroll expander which allowed for the liquid flashing. Xia et al. [16] studied 74 experimentally a vane expander using R410A as the working fluid and suggested that the maximum COP of the refrigeration system with their optimized vane expander could be improved by 8.97% than the system with the throttling valve. Ferrara et al. [17] measured the performance of a radial piston expander for a CO₂ refrigeration system and concluded that the COP of the system could be improved by 7.4%. Galoppi et al. [18] tested the radial piston expander for a heat pump with the R134a as the working fluid. Nickl el al. [19] studied a three-stage expander for replacing the throttle valve in a CO₂ refrigeration system.

81 Liquid turbines can be applied in various industrial systems and classified into several types 82 [20]. Wang et al. [21] carried out experimental and numerical investigation on the cryogenic liquid turbine for air separation and LNG production systems. The maximum total efficiency of the radial 83 84 inflow liquid turbine was 74.8%. Li et al. [22], Song et al. [23,24], He et al. [25] and Sun et al. [26] 85 studied numerically the cavitation behaviour of the liquid turbine. Ren et al. [27] studied the strength of the impeller, while Wang et al. [28] predicted the axial thrust loading on the impeller. Song et al. 86 87 [29] presented an optimization design method for the liquid turbine. Li et al. [30,31] also studied experimentally and numerically a liquid turbine for the SC-CAES system and the maximum 88 89 efficiency was 75%. The radial inflow turbine has been a major type of liquid turbine for cryogenic 90 applications, such as air separation [32] and liquefied natural gas [21] and SC-CAES systems [30]. 91 The radial inflow turbine has benefits in ruggedness, shaft power per stage and manufacture [33] 92 and has been widely applied as energy output devices, such as gas turbines [34], turbochargers [35] 93 and power-producing machines in organic Rankine cycles [36-38] and other systems [39,40]. 94 Design and optimization methods of general radial inflow turbines have been extensively studied 95 for water [41], gas [33,42–46], air [47,48], refrigerant [49–51] and CO₂ [52,53] applications.

However, general design methods for gas radial turbines cannot be directly used for liquid 96 97 turbines. Firstly, the ideal gas state equation or real gas equation brings large errors and high 98 uncertainty when deriving the performance of the liquid turbine. Secondly, mathematical models in 99 general preliminary design methods should be altered for the fluids in the liquid turbine. Thirdly, 100 ranges of empirical parameters for general radial inflow turbines may be not suitable and should be 101 modified for the liquid turbine. Fourthly, conventional design methods of water turbines can not be 102 applied to liquid turbines directly because most liquid turbines have low specific speeds due to low 103 flow rates and high rotational speeds, and working fluids of liquid turbines should be sealed properly 104 to prevent from being mixed with other fluids, including air, oil and so on.

The preliminary design method for liquid turbines has not been presented and its differences from the method for general gas turbines has not been discussed in previous research. The designed liquid turbine using conventional design methods and empirical parameters of gas radial turbines may not meet requirements of the structure and the strength. Therefore, the special design method of liquid turbines is of high importance to their applications in industrial systems.

In this paper, the preliminary design method and model test model are proposed for liquid turbines in SC-CAES systems. The liquid turbine is designed, while the performance of the liquid turbine is investigated numerically and experimentally. Section 2 describes mathematical models for correlating geometrical and flow parameters. Section 3 illustrates the design procedure and model test method. The experimental and numerical performance of the liquid turbine is presented in Section 4. Ranges of geometrical parameters are discussed in Section 5.

116 2 Thermal, geometrical and mathematical models

117 **2.1 Thermal processes**

The SC-CAES system [5] is a flexible and large-scale mechanical energy storage method. It 118 119 can use compressors and heat exchangers to pressurize and cool the air to the supercritical state 120 when the electrical load is low. When the power supply is insufficient, this energy storage system 121 can generate and supply the electricity using generators and expanders, which can transform the 122 internal energy of the supercritical air to the shaft power of turbines. During the energy storage process, the outflow of the cooler is high-pressure liquid air. For safety and other reasons, the liquid 123 124 in the tank has a low pressure. To depressurize the fluid, the throttling valve has to be used in the 125 system during the energy storage process. However, the deployment of the throttling valve creates 126 much exergy loss and decreases the efficiency of the system.

The liquid turbine can be used in the system to replace the throttling valve, as shown in Figure 1(a). Thus, the deduction of the internal energy of the air can be recovered by the liquid turbine, while the vaporization of the fluid during the depressurization process can be reduced. The liquid turbine can significantly enhance the efficiency of the stem during the energy storage process. Guo et al. [2,5] has discussed the benefit of utilization of the liquid turbine for the SC-CAES system in detail.

133 Although advantages of using liquid turbines have been clarified in previous research, the 134 design and manufacture of liquid turbines for the SC-CAES system have not been understanded sufficiently. The flow process inside liquid turbines is quite different from that of general radial 135 turbines and water turbines, as shown in Figure 1(b). A_0 is the state at the inlet of a liquid turbine, 136 137 A_1 is the state at the outlet of the nozzle of the liquid turbine, and A_3 is the state at the outlet of the 138 liquid turbine. It can be found that the reduction of the temperature is much lower than that of gas radial turbines, the fluid state is liquid and gas state equations are not suitable for deriving 139 140 performance of the liquid turbine. Therefore, it can be implied that conventional design methods of 141 gas radial turbines can not be used for designing liquid turbines.

142 The two-phase turbine [54,55] can also be used in the SC-CAES system. The inflow of the 143 two-phase turbine is in the liquid state, and the outflow is two-phase mixture. Thus, it not only 144 recovers the energy of the liquid, but also the gas-liquid mixture. Ideally, two-phase turbines are 145 more suited to the SC-CAES system than liquid turbines. However, the efficiency of two-phase 146 turbines is much lower than liquid turbines in the current research. The enhancement of two-phase 147 turbines needs further investigation. Thus, the utilization of liquid turbines is more practical for the 148 SC-CAES system, and the development of liquid turbines is feasible based on experiences of 149 designing gas radial turbines and water turbines.







150 2.2 Geometrical model

During the preliminary design process for the liquid turbine, geometries of the nozzle and the rotor are designed and optimized to make the performance of the turbine as high as possible, as shown in Figure 2(a). The passage is often projected and modelled in a meridian plane, and computational stations are defined as illustrated in Figure 2(b). The inlet of the nozzle is at Station 0. The outlet of the nozzle is at Station 1. The inlet of the rotor is at Station 2. The outlet of the rotor is at Station 3. Each station has two control nodes, which represents the hub and the shroud separately.



(a) Nozzle and rotor in three-dimension

(b) Nozzle and rotor in the meridional plane

Figure 2 Structure of the liquid turbine

Flow parameters include relative velocity, absolute velocity, relative flow angle, absolute flow angle, pressure, and so on. Geometrical parameters include positions of nodes, blade angle, height of blades, blade numbers, and so on. Thus, for a computational station, the set of all parameters is

161 $X_{i}(C_{r}, C_{u}, C_{m}, W_{r}, W_{u}, W_{m}, \alpha, \beta, p, r, Z, \theta, K)$ (1)

162 However, due to lacking practices of liquid turbines, effects of seals and gaps on the performance 163 of liquid turbines are not clear. Thus, during the preliminary design, the deduction of the 164 performance caused by seals and gaps is not considered in this paper.

165 **2.3 Mathematical models**

For a general radial inflow turbine, several dimensionless parameters can be defined, such as 166 reaction ratio Ω , speed ratio \overline{u} , rotor radius ratio \overline{D} , velocity ratio σ , loading coefficient Ψ , flow 167 168 rate, coefficient Φ , and so on [45]. These dimensionless parameters are not independent and have 169 separate physical concepts. Mathematical methods for gas turbines cannot be directly used to design liquid turbines. For example, if the working fluid is the liquid nitrogen at the inlet temperature 85 170 171 K and the inlet pressure 7.0 MPa, state points at the inlet of the nozzle and rotor can be illustrated in Figure 2(b), as well as the state point at the outlet of the rotor. It can be found that all state points 172 173 are located in the subcooling zone, and the process line approaches the saturated liquid line. Both 174 the inflow and the outflow of the designed liquid turbine are subcooled liquid nitrogen. All state 175 points are close to each other, and the line of the isentropic process is close to that of the real process 176 in the enthalpy-entropy diagram of the nitrogen. The variation of the fluid's temperature in the liquid turbine is within 1 K. Considering measurement accuracy and inhomogeneity of the flow, design 177 178 errors will be significant when design methods of gas turbines are applied for liquid turbines.

Bernoulli's equation, as illustrated in Equation (2), can be used in liquid turbines under a series

of assumptions, including steady and rotational flow, potential mass force and barotropic fluid. If the total pressure of the fluid is constant, the ideal velocity at rotor outlet C_0 is $\sqrt{2(p_0^0 - p_3)/\rho}$.

183
$$Z_h + \frac{p}{\rho g} + \frac{C^2}{2g} = \text{Const}$$
(2)

In a relative coordinate, Bernoulli's equation can be changed to Equation (3) if the variationof the altitude is neglected.

186
$$\frac{p}{\rho g} + \frac{W^2 - U^2}{2g} = \text{Const}_{rel}$$
(3)

187 For the nozzle, the nozzle efficiency η_N can be defined as

188
$$\eta_N = \frac{C_2^2}{2(p_0^0 - p_2)/\rho}$$
(4)

189 From Equation (4), the total pressure loss in the nozzle can be deduced as

190
$$\Delta p_N = \frac{\rho C_2^2}{2} \frac{1 - \eta_N}{\eta_N} \tag{5}$$

191 If the variation of the fluid's density is small, the reaction degree Ω can be read as

192
$$\Omega \cong \frac{p_2 - p_3}{p_0^0 - p_3}$$
(6)

193 The speed ratio \bar{u} , which is related directly to the turbine efficiency, is derived in Equation (7). 194 For a large Ψ , the speed ratio \bar{u} increases rapidly as β_2 decreases. If β_2 is very small, the magnitude 195 of the increment is relatively low.

196
$$\overline{u} = \sqrt{\frac{(1-\Omega)\eta_N}{\Psi^2 + \cot^2\beta_2(1-\Psi)^2}}$$
(7)

Based on Euler equation, the turbine efficiency is defined as Equation (8) without the leakage,friction and mechanical losses included.

199
$$\eta_u = \frac{2(C_{u2}U_2 - C_{u3}U_3)}{C_0^2}$$
(8)

If parameters of the loading coefficient Ψ , flow coefficient Φ , velocity ratio \bar{u} and absolute flow angle α_3 are given, the circumferential velocity at the inlet of the rotor C_{u2} is ΨU_2 , the axial velocity at the outlet of the rotor C_{m3} is ΦU_2 , and the blade velocity at the outlet of the rotor U_3 is $\overline{D}U_2$. Velocity triangles at the inlet and outlet of the rotor are shown in Figure 3. In this paper, the flow angle is positive when the direction of circumferential velocity is the same as the rotational direction.



(a) Rotor inlet

(b) Rotor outlet

Figure 3 Velocity triangles at the inlet and outlet of the rotor

206 Using conversation equations and geometrical relationships, typical geometrical parameters 207 can be derived and summarized in Table 1. The efficiency of a liquid turbine is

208
$$\eta = 2\bar{u}^2 \left(\Psi + \bar{D}\Phi \tan \alpha_3\right)$$
(9)

209 The absolute flow angle α_2 at the inlet of the rotor is

$$\alpha_2 = \arctan\left(\frac{\Psi \tan \beta_2}{\Psi - 1}\right) \tag{10}$$

211 The absolute flow angle β_3 at the outlet of the rotor is

212
$$\beta_3 = \arccos\left[\frac{\Phi\sin\beta_2}{\sigma(\Psi-1)}\right]$$
(11)

213

210

Table 1 Expression of dimensionless parameters

Express	sion
the impeller between the outlet and $\overline{D} = \sqrt{\frac{\sigma^2 (1-\Psi)^2}{\sin^2 \beta_2} - \Phi^2} - \Phi \tan \alpha$	x ₃ (12)
the blade at the inlet of the rotor \bar{h}_2 $\bar{h}_2 = \frac{\pi \tan \beta_2}{3600\sqrt{2}(\Psi - 1)\bar{u}^3} \frac{n_q^2}{g^{1.5}}$	(13)
the blade at the outlet of the rotor \bar{h}_3 $\bar{h}_3 = \frac{\pi}{3600\sqrt{2}} \frac{1}{\bar{u}^3 \Phi \bar{D}} \frac{n_q^2}{g^{1.5}}$	(14)
the flow passage between the inlet and $\overline{A} = \frac{\Psi - 1}{\Phi \tan \beta_2}$	(15)
e flow passage between the inlet and $\overline{A} = \frac{\Psi - 1}{\Phi \tan \beta_2}$ shows the variation of the officiency with Ψ . Φ and α using the second	ha ahaya ralat

Figure 4 shows the variation of the efficiency with Ψ , Φ and α_3 using the above relationship when \bar{u} is 0.7 and σ is 1.3. The efficiency of the liquid turbine increases as the loading coefficient increases and the flow coefficient decreases. It can be found that when α_3 is negative, contours of the efficiency are upwards convex; when α_3 is positive, the contours are upwards concave; and when a₃ is equal to zero, the contours are in the horizontal direction and the efficiency could be simplified to $2\overline{u}^2\Psi$. The three situations for α_3 represent different conditions for the rotor. It indicates that a negative α_3 is beneficial for a higher turbine efficiency, but α_3 cannot be as low as possible due to limitations from the structure. Thus, compromises have to be made to meet structure requirements and enhance the turbine efficiency.



Figure 4 Contours of η under various Ψ , Φ and α_3

223	Based on the dimensionless equations in Table 1, geometrical and flow parameters can be
224	derived, as listed in Table 2. Different from equations in Table 1, equations in Table 2 are
225	dimensional and can be used to determine the shape of the rotor if mass flow rate Q , pressure
226	difference ΔP and thermal properties are given.

227

Table 2 Equations of geometrical and flo	ow parameters for the liquid turbine
--	--------------------------------------

Parameter	Expression	
Radius of the impeller at the inlet of the rotor r_2	$r_2 = \frac{30\sqrt{2}g^{1.5}}{\pi} \frac{\bar{u}}{n_q} \frac{Q^{0.5}}{\Delta p \rho^{0.25}}$	(16)
Height of the blade at the inlet of the rotor h_2	$h_2 = \frac{\tan \beta_2}{120(\Psi - 1)\bar{\mu}^3} \frac{Q^{0.5}}{\Delta p} \frac{n_q}{\rho^{0.25}}$	(17)
Shroud radius at the outlet of the rotor r_{3s}	$r_{3s} = \left(\frac{30\sqrt{2}}{\pi}\overline{D}\overline{u} + \frac{1}{240\overline{D}\overline{u}^2\Phi}\frac{n_q^2}{g^{1.5}}\right)\frac{1}{N}\sqrt{\frac{\Delta p}{\rho}}$	(18)

Hub radius at the outlet of the rotor
$$r_{3h}$$

 $r_{3h} = \left(\frac{30\sqrt{2}}{\pi}\overline{D}\overline{u} - \frac{1}{240\overline{D}\overline{u}^2\Phi}\frac{n_q^2}{g^{1.5}}\right)\frac{1}{N}\sqrt{\frac{\Delta p}{\rho}}$ (19)
Absolute velocity at the inlet of the rotor C_2
 $C_2 = \overline{u}\sqrt{2\left[\Psi^2 + \left(\frac{\Psi-1}{\tan\beta_2}\right)^2\right]\frac{\Delta p}{\rho}}$ (20)
Relative velocity at the inlet of the rotor W_2
 $W_2 = \overline{u}\sqrt{\frac{2(\Psi-1)}{\sin\beta_2}\frac{\Delta p}{\rho}}$ (21)
Absolute velocity at the outlet of the rotor C_3
 $C_3 = \frac{\Phi}{\sin\alpha_3}\sqrt{\frac{2\Delta p}{\rho}}$ (22)

Relative velocity at the outlet of the rotor W_3

$$W_3 = \bar{u}\sigma_{\sqrt{\frac{2(\Psi-1)}{\sin\beta_2}\frac{\Delta p}{\rho}}}$$
(23)

3 Preliminary design and model test method

A procedure for designing a liquid turbine is illustrated in Figure 5. Equations for evaluating flow losses are needed to calculate the outlet total pressure. The radius at the inlet of the rotor r_2 is iterated in the design procedure. Geometrical and flow parameters can be derived using equations in Table 2. Design parameters, such as N, Ψ , Φ , β_2 and α_3 , need to be adjusted during the design to meet the requirements of the structure and material.

In this paper, the considered flow losses include the incidence loss and the passage loss. The incidence loss can be evaluated using Equation (24), which is derived by Futral and Wasserbauer [56]. The blade angle at the rotor inlet γ_2 is zero and a certain negative incidence angle at the rotor inlet can improve the performance of the rotor for gas turbines.

239
$$L_{i} = \frac{1}{2}W_{2}^{2}\sin^{2}|\beta_{2} - \gamma_{2}|$$
(24)

240 There are several correlations for evaluating the passage loss in gas turbines. Although the most 241 accurate formula and coefficients for liquid turbines should be different from those used in gas 242 turbines, the formula proposed by Wasserbauer and Glassman [57] is used in this paper.

243
$$L_{p} = \frac{1}{2} K \left(W_{2}^{2} \cos^{2} i + W_{3}^{2} \right)$$
(25)

244 where *K* is 0.3.

245 Because the relative flow angle at the rotor exit may not be equal to the blade angle, it causes 246 errors in evaluating the blade loading and the performance of the turbine. However, due to lacking 247 sufficient information, it is hard to correlate the deviation angle for radial inflow turbines [45,58,59]. 248 The ratio of the blade height to the hub radius at the rotor exit of radial inflow turbines can be 249 relatively high compared with axial turbines, so the deviation angle may vary significantly in the radial direction. In addition, due to the secondary flow, the deviation angle also changes in the 250 251 rotational direction. The complexity of the deviation angle can be illustrated using CFD methods 252 [60]. Thus, in this paper, the mean deviation angle is shown through CFD results.



253 254

Figure 5 Flow chart of the procedure

255 Design requirements from SC-CAES are listed in Table 3. The saturation pressure is 0.229 MPa for the isothermal expansion. Flashing in liquid turbines are not permitted in the design method. 256 Therefore, the outflow of the turbine is depressurized further to 0.1 MPa by a throttling valve which 257 258 is installed downstream the liquid turbine. The flow angle at the outlet of the rotor is zero. The 259 length factor of the rotor is 0.3. 260

Table 3 Working conditions for the liquid turbine in SC-CAES

8 1	
Parameters	Value
Fluid	Nitrogen
Inlet total pressure p_0^0	7.0 MPa
Inlet temperature T_0	85 K
Outlet pressure p_3	0.7 MPa
Mass flow rate Q	8.8 kg/s

261 Based on working conditions in Table 3, design parameters for this study are chosen and listed 262 in Table 4. The deviation of the flow angle between the outlet of the nozzle and the inlet of the rotor 263 is set as 7°, which is validated by numerical results.

264

Table 4 Selected and derived parameters

i beneede and denived pa	
Parameters	Value
Load coefficient Ψ	0.90
Flow rate coefficient Φ	0.25
Rotational speed N	25017 rpm
Velocity ratio \bar{u}	0.70
Radius ratio \overline{D}	0.33
Acceleration factor σ	1.41
Radius at the inlet of the nozzle r_0	45.20 mm
Radius at the outlet of the nozzle r_1	36.51 mm
Radius at the inlet of the rotor r_2	34.77 mm
Shroud radius at the outlet of the rotor r_{3s}	15.03 mm
Hub radius at the outlet of the rotor r_{3h}	8.19 mm
Relative flow angle at the inlet of the rotor β_2	-20.0°
Absolute flow angle at the inlet of the rotor α_2	73.0°
Relative flow angle at the outlet of the rotor β_3	-52.5°
Output power P	63.3 kW
Efficiency η	90.8%

The 3D geometry of the nozzle and the rotor is designed as shown in Figure 6. The profile of 265 266 the hub and the shroud should be carefully adjusted to make the curvature of these curves smooth. Distributions of meridional passage lines, blade angle, and blade thickness are fitted with Bezier 267 268 curves. The 3D model of the designed nozzle and the rotor is shown in Figure 6(a). Similar blade 269 shapes are commonly used in radial inflow gas turbines [45]. As show in Figure 6(b), the area of the 270 passage in the nozzle decreases gradually from the inlet to the outlet due to the reflection of the 271 blade and deduction of the radius, while the height of the nozzle blade is constant. Thus, the flow in 272 the nozzle accelerates and the pressure in the nozzle decreases gradually. The area of the passage in 273 the rotor is constant from the 20% streamwise position to the 70% streamwise position. The constant 274 area can help to transfer the thermal energy of the flow into the shaft power while the rotor is rotating, 275 and the flow does not accelerate significantly. As shown in Figure 6(c), the inlet blade angle is zero, so the incidence angle is equal to the negative relative flow angle. This type is beneficial for 276 277 increasing the strength [61] and reducing the circulation [58]. The radial inflow liquid turbine has a 278 small specific speed because it is commonly used as the turbine for recovering waste energy in 279 industrial systems. The geometry of the liquid turbine is different from that of the hydraulic turbine, 280 because the type of hydraulic turbines is Pelton turbine when the specific speed is small [61].



Figure 6 3D model of the designed liquid turbine

281 **3.2 Model test method**

282 Due to the limitation of testing, it is hard to construct the corresponding experimental test rig to measure the performance of the designed turbine in the lab. However, model test methods are 283 284 useful in obtaining the performance of Francis turbines and gas turbines. Dimensionless numbers, 285 for example, Reynold number, are the same among the prototype and the modelled turbine. In addition to dimensionless numbers, principles of the similarities have to be met for pumps and 286 287 Francis turbines. In this paper, the prototype turbine will be scaled to reduce the rotational speed 288 and increase the diameter of the impeller. In addition, the working fluid is changed from liquid 289 nitrogen to liquid water. Thus, in order to meet the similarity principles, working conditions have to 290 be altered.

291 The procedure of the model test in this paper consists of two steps, as shown in Figure 7. The 292 prototype turbine is called TA. In the first step, the working fluid is changed from nitrogen to water. 293 The flow rate and the rotational speed is also changed, but the inlet pressure and profiles of the 294 nozzle and the rotor are constant with TA. The turbine at this phase is called TB. In the second step, 295 the geometry of the turbine is reduced, and the inlet pressure and the mass flow rate are also changed. 296 The turbine at this phase is called TC. TC can be tested experimentally on the test rig. In sum, the 297 working fluid is changed from the liquid nitrogen to the water in TB, while the dimension of the 298 turbine is enlarged and the working conditions are also altered in TC.



299 300

Figure 7 Flow chart of the model test procedure

In the first step, the scale factor δ_a is $\sqrt{\rho^*/\rho}$. If the pressure difference is unchanged with working fluid changed from nitrogen to water, mass flow rate Q_a^* is $Q\delta_a$ and rotational speed N_a^* is N/δ_a . In the second step, the scale factor δ_b is derived by an iteration process based on flow rate similarity, rotation speed similarity and output power similarity. When the pressure difference Δp_b^* is given, the geometry is scaled by δ_b . Both mass flow rate Q_b^* and rotational speed N_b^* are calculated during iteration. It can be found that the model test method in the second step has been used widely for water turbines. In this paper, δ_a is 1.137 and δ_b is 4.441.

308 4 Experimental and numerical results

309 4.1 Experimental apparatus

The working conditions needed by the liquid turbine are provided by the test rig. The flow chart is shown in Figure 8(a) and described detailly by Li et al. [30]. The performance of the liquid turbine is measured by pressure sensors (sensors: a, b, c and d) at the inlet and outlet of the liquid turbine, a torque sensor (sensor: e) on the shaft between the liquid turbine and the electric dynamometer, and a rotational speed sensor (sensor: f) at the end of the dynamometer's shaft. The test rig is constructed and shown in Figure 8(b). TC is manufactured and installed in the test section.



(a) Flow chart



(b) Test rig

Figure 8 Flow chart and test rig [30]

The accuracy of sensors and other devices is listed in Table 5. The largest measurement error is given by the flowmeter and affects both the accuracy of the measured flow rate and turbine efficiency. The measured output power is calculated using the torque sensor (mounted on the shaft between the turbine and the generator) and the rotational speed sensor (mounted on the end of the generator shaft).

321

Table 5 Accuracy of sensors [48]				
Models	Range	Accuracy		
STS ATM.IST	0–1.6 MPa	$\pm 0.05\%$		
Krone Optiflux 2100c	0-400 m ³ /h	±0.5%		
YB2	0–1000 N·m	$\pm 0.5\%$		
YB2	0-3000 r/min	±1 r/min		
	Models STS ATM.IST Krone Optiflux 2100c YB2 YB2	NodelsRangeSTS ATM.IST0–1.6 MPaKrone Optiflux 2100c0–400 m³/hYB20–1000 N·mYB20–3000 r/min		

The test section is shown in Figure 9(a). The electric dynamometer can convert the shaft power 322 323 of the turbine to the electric power and control the rotational speed of the turbine using Frequency converter 3. The toque sensor is installed between the liquid turbine and the dynamometer to 324 325 measure the torque of the turbine's shaft power and calculate the output power of the liquid turbine. The liquid enters the liquid turbine in the vertical direction and flows out of the turbine in the axial 326 327 direction. The inner view of the manufactured turbine is shown in Figure 9(b). The rotor is 328 assembled at the inner side of the nozzle and its position is adjusted by the nut assembled on the 329 head of the shaft. The position of the nozzle is adjusted by a plate. Ball bearings are used and 330 installed inside the bearing box. The clearance between the shaft and the volute is sealed by the 331 mechanical seal. A cover of the nozzle is assembled on the top surface of the nozzle. There is a 332 clearance between the cover and the shroud of the rotor. Apart of fluid flows through this clearance and produces the leakage loss. 333



Figure 9 Test section and liquid turbine

The volute, nozzle and rotor are shown in Figure 10. The volute is made of 304 stainless steel

and its inner side of the volute is a cylinder for convenience in machining, as shown in Figure 10(a).

336 The material of the nozzle is also 304 stainless steel, as shown in Figure 10(b). The material of the

- rotor is aluminum alloy, and its shroud is melted and fixed on the blades of the rotor through brazing,
- as shown in Figure 10(c). Detailed performance of the turbine under various working conditions is
- 339 discussed in the following sections.



Figure 10 Components in the liquid turbine [31]

340 **4.2 Numerical simulation**

341 ANSYS CFX is used in the paper to evaluate and compare the performance of TA, TB and TC. However, because the tested turbine consists not only of nozzles and rotor blades but also the volute 342 343 and clearances, the computational domain includes all flow passages in the tested turbine as shown 344 in Figure 11. The structural mesh of the nozzle and the rotor is constructed by using TurboGrid, as 345 shown in Figure 11(c). The distance between the first layer in the nozzle domain and hub/shroud/blade walls is 0.04 mm, the distance in the rotor domain between the first layer and 346 347 blade walls is 0.04 mm, and the distance in the rotor domain between the first layer and hub/shroud 348 walls is 0.07 mm. By using ANSYS ICEM, the nonstructural mesh of the volute is constructed as 349 shown in Figure 11(b), while the mesh of Gap B is structural and the mesh of Gap A is unstructured, 350 as shown in Figure 11(d) and (e). Gap B is the chamber between the back of the rotor and the volute. 351 The fluid rotates in Gap B and produces frictional losses. The Gap A is another chamber between

- 352 the front of the rotor and the nozzle cover. The fluid in Gap A produces not only frictional losses
- 353 but also leakage losses.



Figure 11 Mesh of the flow passage

The amount of elements in the computational domain is listed in Table 6. The turbulence model is k- ε . The inlet boundary condition is mass flow inlet. The outlet boundary condition is the pressure outlet. The rotational speed is set in the rotor domain and on rotating walls in Gap A and Gap B. Values of the boundary conditions are given according to experimental working conditions.

357 358

Table 6 The amount of elements in the flow passage (Unit: Million)

Component	Volute	Nozzle	Clearance	Rotor	Gap A	Gap B	Outlet section	Total
Amount	8.3	9.2	0.2	8.9	5.4	4.5	4.0	42.9

359 4.3 Performance results

The measured and simulated performance of the liquid turbine is illustrated in Figure 12. The experimental flow rate is 61.0 kg/s, the output power is 30.4 kW, and the efficiency is 75.2% at the design working condition. The efficiency of the turbine increases with the flow rate when the working flow rate is lower than the designed flow rate. The output power increases with the flow rate. Detailed analysis of the experimental data can be referred to [30]. The agreement between the experiment and CFD suggests that the numerical simulation is valid to predict the performance of the liquid turbine.



Figure 12 Comparison between the numerical simulation results and the experimental results of TC

367 In order to compare the performance of the nozzle and the rotor among TA, TB and TC. Using 368 the same numerical methods, predicted performance of TA, TB and TCs' nozzle and rotor can be 369 derived. The total number of elements in the nozzle and the rotor reaches 0.975 million. Working conditions and performance results are compared in Table 7. For TA, the deviation of the output 370 371 power between design and CFD is 4.4%, and the deviation of the efficiency deviation is 0.0%. For 372 TB, the deviation of the output power is 4.8%, and the deviation of the efficiency is 0.9%. For TC, 373 the deviation of the output power is 4.5%, and the deviation of the efficiency is 2.1%. It is shown 374 that parameters of design agree with CFD in terms of the total performance of turbines because the 375 maximum deviation is less than 5%.

Table 7 Comparison of turbines' performance between the design and CFD Parameters TA TΒ TC Fluid Water Nitrogen Water Density 771 kg/m³ 997 kg/m³ 997 kg/m³ 10.0 kg/s Mass flow rate 8.8 kg/s 61.5 kg/s Rotational speed 25,017 rpm 22,000 rpm 1,550 rpm Design Simulation Design Simulation Design Simulation Output power 63.3 kW 60.5 kW 55.7 kW 53.0 kW 33.1 kW 31.6 kW Efficiency 90.8% 90.8 % 90.8 % 90.0% 90.8% 88.9% Nozzle inlet total pressure 7.0 MPa 6.8 MPa 7.0 MPa 6.8 MPa 1.3 MPa 1,3 MPa 4.0 MPa 1.0 MPa Rotor inlet pressure 4.0 MPa 3.9 MPa 4.0 MPa _ Rotor outlet pressure 0.7 MPa 0.7 MPa 0.7 MPa 0.7 MPa 0.7 MPa 0.7 MPa

376

377 Contours of pressure coefficient are shown in Figure 13. The pressure decreases gradually from 378 the inlet to the outlet through flow passages. From the inlet of the nozzle to and middle of the rotor, 379 the contours are in the direction of quasi-orthogonal lines. Because the contours of the pressure 380 coefficient are similar among TA, TB and TC, it indicates that the flow in the modelled turbines (TB 381 and TC) using the model test method is similar to the prototype turbine (TA).





Figure 13 Contours of pressure coefficient on the mid-span blade to blade plane

382 For various working conditions, the predicted performance of design, TA, TB and TC is 383 illustrated in Figure 14. Computational domains in Figure 14 only include the nozzle and the rotor, so the design performance can be compared with simulation results, which are derived using the 384 385 same method in Figure 12. As shown in Figure 14(a), the efficiency of TA, TB and TC is lower than the design in the entire range of flow rate. Among TA, TB and TC, the efficiency of TA is the closest 386 to the design and the deviation between TA and the design is less than 2% in the entire range of the 387 388 flow rate. The deviation of the efficiency between TB and the design decreases as the flow rate 389 increases if the flow rate is lower than 80% and increases with the flow rate if the flow rate is higher than 80%. The variation trend of the deviation between TC and the design is consistent with that 390 391 between TB and the design. It should be noted that the deviation of efficiency between TB and TC 392 is closest when the flow rate is 50%. Figure 14(b) shows the normalization of the efficiency can reflect the trend of the efficiency and is defined as $(\eta - \eta_{min})/(\eta_{max} - \eta_{min})$. In the entire range 393 394 of the flow rate, the variation of the normalized efficiency is similar among the design, TA, TB and 395 TC. The normalized efficiency of TB and TC is higher than that of the design if the flow rate is between 60% and 90%, but the normalized efficiency of the TA is a little higher than the design if 396 the flow rate is 60% and lower than the design if the flow rate is between 70% and 100%. In Figure 397 398 14(c), the output power increases with the flow rate. TA's output power is higher than the design 399 and the deviation between TA and the design increases with the flow rate. TB's output power is lower than the design and the deviation between TB and the design is almost constant within the 400 401 entire range of the flow rate. Due to the model testing, the output power of TC is lower than the 402 output power of the design, TA and TC. However, as shown in Figure 14(d), the normalized output 403 power of TC agrees with the design, TA and TB.



Figure 14 Comparison of turbines' performance under various flow rates between the design and CFD

404 405

In Figure 14(e), the pressure difference is defined as the pressure reduction between the inlet of the nozzle and the outlet of the rotor. The pressure difference increases with the flow rate. There 406 is a constant deviation between the design and TA and TB within the entire flow rate range. The

deviation of the pressure difference indicates that the predicted flow loss in the design is lower than
that in TA and TB. TC's pressure difference is much lower than the pressure difference of the design,
TA and TB due to the model testing. In Figure 14(f), the normalized pressure difference of TC agrees
with the design, TA and TB.

411 **5 Discussions**

412 Reasonable ranges of geometrical and flow parameters for liquid turbines are different from 413 those of gas turbines because the thermal properties of the liquid are different from the steam and 414 the gas. The height of the blade at the inlet of the rotor h_2 and the hub radius of the rotor r_{3h} should 415 not be too small due to the requirements from the structure, the strength and the flow. The area ratio 416 \overline{A} should be in the appropriate range to reduce the flow loss in the rotor. The three parameters are 417 dependent and related to many other parameters. Each of them cannot be determined by one criterion. 418 **5 1** The blade height at the noter inlet

418 **5.1 The blade height at the rotor inlet**

The height ratio \bar{h}_2 can be evaluated using Equation (13), as shown in Figure 15(a). The reasonable range for \bar{h}_2 is 0.04~0.32 for gas turbines. When β_2 is larger than -10°, it is hard to adjust Ψ and \bar{u} o make \bar{h}_2 in the reasonable range. Ψ should be large enough, and \bar{u} has to be reduced to a sufficiently low value. When β_2 is lower than -10°, it will be much easier to choose Ψ and \bar{u} . Thus, large inlet flow angle is beneficial to select Ψ and \bar{u} to make \bar{h}_2 large enough.

424 The inlet rotor height is determined by \bar{h}_2 and working conditions. h_2 could be derived as

425
$$h_2 = \frac{\tan \beta_2}{120(\Psi - 1)\overline{u}^2} \left(\frac{Q^2}{g\Delta p}\right)^{\overline{4}} gn_q \tag{26}$$

Using the working conditions given in Table 3, the height of the blade h_2 is calculated, as shown in Figure 15. For structure reasons, h_2 should not be too small. In Figure 15(b), n_q is equal to 5. When \bar{u} is 0.5, Ψ is 0.85, and β_2 is -10°, h_2 is equal to 0.394 mm. The height decreases as \bar{u} increases if other geometry parameters are unchanged. In Figure 15(c), n_q is equal to 30. When \bar{u} is 0.7, Ψ is 0.85, and β_2 is -10°, h_2 is 1.207 mm. When β_2 is -20°, h_2 is 2.490 mm.



(a) Rotor inlet height ratio varied with β_2 , Ψ , \bar{u}



Figure 15 Rotor inlet blade height and height ratio

431 **5.2 The hub radius at the rotor outlet**

432 Using mass conservation and geometry relationship,
$$r_{3h}$$
 is derived as

433
$$r_{3h} = \left(\frac{30\sqrt{2}}{\pi} \frac{\bar{u}\bar{D}}{n_q} - \frac{1}{240g^{1.5}} \frac{n_q^2}{\bar{u}^2 \Phi \bar{D}}\right) \left(\frac{Q^2}{\rho \Delta p}\right)^{\frac{1}{4}}$$
(27)

If r_{3h} is not large enough, there is not sufficient space near the hub to install the blades, and the flow near the root of the blade may be choked. The relationship in Equation (27) can be illustrated in Figure 17. Although there is a peak value for r_{3h} according to Equation (27), r_{3h} increases as \overline{D} and \overline{u} increases, and Φ and n_q decrease within the range in Figure 16. When Φ is 0.29, \overline{D} is 0.3, n_q is 5, and n_q is 0.5, r_{3h} is 23.104 mm. When \overline{u} is 0.8, r_{3h} is 39.297 mm as shown in Figure 16(a).





Figure 16 Rotor inlet blade hub radius

440 **5.3 The area ratio**

441 There is a strong connection between the slip ratio σ and the area ratio \overline{A} as derived in 442 Equation (28). Because the slip ratio σ affects the flow separation and the viscous losses in the flow 443 passage, \overline{A} should be carefully chosen.

$$\sigma = \frac{\cos \beta_2}{\overline{A} \cos \beta_2} \tag{28}$$

The relationship in Equation (28) can be illustrated in Figure 18. When σ is between 1 and 1.5 and β_3 is lower than -55°, \overline{A} should be set between 1 and 2. Compared to β_2 , β_3 affects more significantly as shown in Figure 17.



448 449

451

444

Figure 17 The acceleration ratio σ varied with \bar{A} , β_2 and β_3

450 Using mass conversation, \overline{A} can read as

$$\overline{A} = \frac{\Psi - 1}{\Phi \tan \beta_2} \tag{29}$$

Figure 18(a) illustrates the relationship of parameters in Equation (29). The loading coefficient affects Ψ more remarkably than \overline{A} . If the inlet rotor relative flow angle β_2 is less than -10°, it will be hard to choose appropriate set of parameters to make the area ratio within the reasonable range. Using the geometrical relationship, \overline{A} is also equal to $\overline{D}\overline{h}$, as shown in Figure 18(b).





456 6 Conclusion

This paper proposed a preliminary design and model test method of the liquid turbine used in SC-CAES systems. Relationships of geometry and flow parameters are derived, and the performance of the liquid turbine is evaluated.

The efficiency of the designed liquid turbine is 92%, the power is 65.7 kW, and the rotational speed is 25,017 rpm. Performance of two other turbines derived by model test methods is studied using CFD methods which are validated by experimental results. It indicates that the design performance can agree with that of model turbines near the design working condition, while there is a certain deviation when the flow rate is less than 70%.

The relationship between geometrical and flow parameters are discussed. Appropriate ranges of design parameters are different from gas turbines due to the deviation of thermal properties between the liquid and the gas. Design parameters will be optimized and considerations about correlations of exit deviations and pressure losses in future research.

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474 **Nomenclature**

475

	Variables
Passage area, m ²	A
Passage ratio	$ar{A}$
Absolute velocity, m/s	С
Rotor radius ratio	\overline{D}
Blade height, m	h
Blade height ratio	\overline{h}
Acceleration of gravity, m/s ²	g
Incidence angle, °	i
Enthalpy, J	Ι
Empirical coefficient	Κ
Flow path length, m	l
Energy of loss, J	L
Mass flow rate, kg/s	т
Specific rotational speed	n_q
Rotational speed, rpm	Ν
Pressure, MPa	р
Radius, m	r
Temperature, K	Т
Blade peripheral speed, m/s	U
Speed ratio	ū

	Р	Output power, kW
	Х	Parameter set at any computational location
	У	Blade loading ratio
	Y	Blade loading, J
	W	Relative velocity, m/s
	Ζ	Axial locations, m
	Z_h	Height location, m
476		
477	Greek symbols	
	α	Absolute flow angle, °
	β	Relative flow angle, °
	δ	Scale factor
	η	Efficiency, %
	θ	Circumferential angle, °
	Θ	Radius ratio distribution
	ξ	Pressure coefficient distribution
	ρ	Density, kg/m ³
	σ	Acceleration factor
	τ	Relative velocity ratio distribution
	Φ	Flow rate coefficient
	χ	Distribution of relative velocity ratio between the real flow and isentropic flow
	Ψ	Loading coefficient
	Ω	Reaction degree
478		
479	Subcripts	
	0	Nozzle inlet
	1	Nozzle outlet
	2	Rotor inlet
	3	Rotor outlet
	a	The first step of the model test procedure
	b	The second step of the model test procedure
	g	Gas
	h	Hub
	i	Incidence
	j	Computational station
	m	Streamwise location
	Ν	Nozzle
	р	Passage
	r	Radial direction
	R	Rotor
	S	Shroud
	ts	Iotal to static
	tt	Total to total
	u	Circumferential direction

```
480

481 Supercripts

0 Total state

* Modeled parameter
```

482

483 Author Contributions

H.L. mainly contributes in the methodology, investigation, experiment, CFD, flow analysis and
original draft preparation; Z.S. mainly contributes in the experiment; X.Z. mainly contributes in the
methodology and experiment; Y.Z. mainly contributes in the methodology; W.L. mainly contributes
in the methodology, experiment, flow analysis and draft review and editing; H.C. mainly contributes
in the draft review and editing, project administration and funding acquisition; Z.Y. mainly
contributes in the flow analysis and draft review. All authors have read and agreed to the published
version of the manuscript.

491 **Conflicts of Interest**

The authors declare that they have no known competing financial interests or personal relationshipsthat could have appeared to influence the work reported in this paper.

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