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1 **Economic and Environmental Assessment of Organic Waste to**
2 **Biomethane Conversion**

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1 **Abstract**

2 Biomethane and biofertilizer production by anaerobic co-digestion of organic waste serves a
3 promising method for reducing the environmental footprint of organic waste management. This
4 study evaluated the techno-economic feasibility and environmental impacts of organic waste-
5 to-biomethane development in Glasgow, UK using net present value (NPV) analysis and life
6 cycle assessment. Four different biogas upgrading technologies (pressurized water scrubbing,
7 chemical scrubbing, membrane separation, and pressure swing adsorption) were compared.
8 The membrane separation technology-based biomethane production meets 0.8% of the gas
9 demand for Glasgow households with a conversion efficiency of 83%. The organic waste-to-
10 biomethane development saved up to 264 kg CO₂-eq annually per tonne of waste treated, with
11 an NPV ranged between £-9.0 million and £-12.0 million based on the upgrading technology.
12 High costs for waste collection and transportation are primarily responsible for the negative
13 NPV. Carbon taxes between £31.30 and £58.02 per tonne of CO₂ are needed for economically
14 viable biomethane production.

15

16 **Keywords:** Waste Management; Biomethane; Life Cycle Assessment; Cost Benefit
17 Analysis; Global Warming Potential

1 **1 Introduction**

2 The recent uprise in organic waste generation has necessitated governments worldwide to
3 designate organic waste (e.g., food waste (FW) and cow slurry (CS)) as a high-priority waste
4 stream because of its significant and socio-economic and environmental implications (Banks
5 et al., 2011). One of the most widely used organic waste treatment strategies is anaerobic
6 digestion (AD). The process has the potential to generate value-added products such as biogas
7 and digestate, which could be used for combined heat-power generation and soil amendment
8 as a biofertilizer, respectively. The renewable energy derived from biogas and the biofertilizer
9 is characterized by considerably lower carbon footprints than fossil fuel-powered energy and
10 chemical fertilizers (Zglobisz et al., 2010). Ultimately, this contributes to a circular economy
11 concept with the interconnection of organic waste generation and transportation, combined heat
12 and power generation, bio-derived natural gas (i.e. biomethane) production, and eco-friendly
13 fertilizer production (Cucchiella et al., 2019a; Robert, 2020).

14 The AD technology has witnessed a constantly rising deployment in agricultural farms,
15 municipal waste treatment plants, and agricultural farms (Sun et al., 2015). There are two
16 classes of AD plants, (a) dry and (b) wet. Despite the dry AD offering several benefits such as
17 shorter retention time, reduced water usage, and flexibility of feedstock, they are not favourable
18 from economic and energetic perspectives and suffer from the technical challenge of limited
19 mass transfer. In contrast, wet AD systems have lower capital expenditure and a higher amount
20 of annual biogas yield per tonne of waste supplied, making them favourable for the organic
21 waste treatment (Angelonidi & Smith, 2015).

22 Biogas contains approximately 60-70 vol.% CH₄ (Sun et al., 2015), which can be used
23 for biomethane production. Biomethane has several promising applications that can serve to
24 reduce greenhouse gas emissions such as transportation fuel, domestic cooking applications,
25 and supplying to the centralized gas grids. However, the remaining fraction of the biogas
26 contains about 30-40 vol.% CO₂ which is undesirable for the application of biomethane. For
27 example, as per the current government norms, the purity of CH₄ to be injected into the gas
28 grid needs to be greater than 95 vol.% (Yousef et al., 2019), suggesting that an additional biogas
29 upgrading stage is needed for the use of AD-derived biomethane as a displacement of natural
30 gas in the grid.

31 There are four commonly used biogas upgrading technologies: (1) pressurized water
32 scrubbing (PWS), (2) chemical scrubbing, (3) membrane separation, and (4) pressure swing
33 adsorption (PSA), which could achieve a CH₄ purity greater than 95 vol.%. The critical

1 performance parameters of the four technologies are compared and listed in Appendix A. PWS
2 is one of the most popular biogas cleaning techniques that is used to separate CH₄ using the
3 principle of different solubility of gases in water. These systems are featured by their low input
4 energy requirements and provide a CH₄ purity in the range of 94-98% (see Appendix A). The
5 energy consumption in PWS is mainly used for compressing raw gas and processing water by
6 circulation pumps. The second technique, chemical scrubbing (or chemical absorption) differs
7 from physical absorption in the chemical reaction between absorbed substances and solvent.
8 The chemical solvents (such as amines) are preferred over physical solvents when a minute
9 amount of CO₂ is present. Compared to PWS, the chemical scrubbing plants have high energy
10 consumptions (see Appendix A) that is required to regenerate the chemical solvents. Both the
11 PWS and chemical scrubbing plants have comparable efficiencies and capital expenditure for
12 a given plant capacity.

13 The membrane separation technology has several benefits over the former two
14 techniques. It takes advantage of the permeation of smaller CO₂ molecules through a
15 semipermeable surface under high pressure to separate CO₂ from CH₄ (Sun et al., 2015). When
16 compared to chemical scrubbing, the membrane separation-based systems have lower capital
17 expenditure per unit plant capacity and nearly three-fold lower energy requirements (see
18 Appendix A). The fourth technique, PSA is not based on the solubility of the molecules but
19 instead, on the selective adsorption to the surface of the adsorbent. The PSA technology can be
20 used to separate CH₄ from CO₂ since the CH₄ molecule is larger than CO₂ molecules. However,
21 the system has high CH₄ loss percentages (see Appendix A), which become dominant at high
22 CH₄ purity requirements. Despite having a comparable capital expenditure per unit plant
23 capacity, the PSA is often not preferred due to its lower efficiency than the competing
24 technologies. The differences in the technical principles and performance of the upgrading
25 methods suggest the variations of their contributions to the economics and environmental
26 impacts of a practical waste-to-biomethane system. Hence, upon the design of a biomethane
27 production plant, it is important to systematically compare the economic feasibility and
28 environmental impacts of the candidates based on the different upgrading methods.

29 A recent survey by the Waste and Resources Action Programme (WRAP) highlighted
30 around 9.5 million tonnes of FW was generated in the UK during 2018, valued at £19 billion,
31 and is associated with 25 million tonnes of greenhouse emissions (Dray, 2021). Another report
32 indicated that nearly 31.5 million tonnes of undiluted CS is produced in UK annually (Waterton
33 et al., 2017). Hence there is an urgent need to develop strategies integrating organic waste (FW

1 and CS) management systems to the natural gas grids. Although there have been studies
2 evaluating the economics and environmental impacts of organic waste-to-energy production in
3 the UK (Ascher et al., 2019; Ascher et al., 2020), the corresponding information regarding
4 organic waste-to-biomethane development is still limited. Moreover, to the best knowledge of
5 the authors, an extensive comparison of the economics and environmental impacts of
6 biomethane production through the different upgrading technologies is still missing in the
7 literature. To address these, this work evaluates the techno-economic feasibility and
8 environmental impacts of a community-scale organic waste (FW and CS)-to-biomethane
9 conversion system in Glasgow, UK based on the consideration of the four different biogas
10 upgrading technologies. The environmental impacts of the proposed system are evaluated
11 through life cycle assessment (LCA), while the economic aspects are assessed by net present
12 value (NPV) analysis. The results reveal important insights on various economic and
13 environmental factors that will guide the policymakers on planning biomethane generation.

14 **2 Methodology**

15 **2.1 Scheme design**

16 The genesis of this work is installing two large anaerobic digestion plants, each with a biogas
17 upgrading system in the city of Glasgow. The city's 23 wards are home to a population of
18 621,020 people. A waste management strategy is to be proposed for the plethora of (1) FW
19 disposed of by these wards and (2) CS generated by the nearby farms of South Lanarkshire. At
20 first, the two sources of wastes will undergo anaerobic co-digestion to produce biogas, which
21 mainly consists of CH₄ and CO₂. Subsequently, the biogas will be cleaned and upgraded,
22 making it suitable for injection into the gas grid. An inherent by-product of the plant, digestate,
23 is sold to the nearby farms as a fertilizer. The ADs operate under wet, mesophilic conditions
24 with a 1:1.8 ratio of FW to CS to produce an expected methane content of 60% (Banks et al.,
25 2011). The aim is to identify the most promising biogas upgrading process that can be
26 integrated with AD through LCA and NPV approaches.

27 **2.2 Anaerobic co-digestion of food waste and cow slurry**

28 Co-digestion of two feedstocks (i.e. FW and CS) is considered in this work as it has several
29 benefits, including an improved breakdown of organic material, ultimately leading to a high
30 rate of waste loading. According to Eurostat (Ec.europa.eu, 2020) and WRAP statistics (Dick,
31 2020) for the UK, a UK citizen generates 463 kg of municipal solid waste annually, out of
32 which 18% was FW, which results in approximately 83 kg annual FW per person. This suggests

1 that the entire population of the city of Glasgow generates approximately 51,544 tonnes of FW
2 annually. However, under a real-world scenario, it is impractical to assume that 100% of the
3 FW will be collected and transported to the waste management system. Therefore, a waste
4 collection of efficiency of 60% is adopted based on the EU target for municipal waste recycling
5 by 2030 (EEA, 2020), resulting in 30,926 tonnes of annual FW available for the AD process.

6 The second source of feedstock is the CS, to be collected from the nearby South
7 Lanarkshire farms. Livestock farms generate slurry, a mix of faeces and urine, mixed with
8 bedding material and cleaning water. The annual slurry production in Scotland, UK varies
9 within a wide range of 250,000 and 500,000 tonnes, ensuring a sufficient supply of CS to be
10 fed to the two co-digesters in Glasgow. **Based on the FW to CS ratio of 1:1.8 (Banks et al.,
11 2011), it is estimated that approximately 55,667 tonnes of CS are required for the AD process.**
12 Therefore, the total annual feedstock loading on the AD plant is 86,593 tonnes.

13 **2.3 Waste collection quantification and modelling**

14 Waste collection and transportation can have a strong impact on the environmental and
15 economic aspects of the proposed scheme. It has been shown that the collection and
16 transportation of feedstocks account for a large percentage of the overall cost of waste handling
17 systems (Ascher et al., 2020). Another work (Edwards et al., 2016) devised a mathematical
18 model (the Municipal Solid Waste - Collect or MSW-Collect model) to accurately predict the
19 energy and time requirements of a collection regime that incorporates the unique characteristics
20 of different locations. As confirmed by (Kristanto & Koven, 2019), the environmental impact
21 is relatively small concerning the rest of the waste treatment and biogas upgrading scheme. The
22 MSW-Collect model was used to derive inputs for the LCA and NPV analysis in their study
23 (Ascher et al., 2020). In the present work, the diesel requirements were adjusted based on the
24 proportions of FW and CS to be collected. It is assumed that 15 FW lorries and 8 CS tankers
25 are required. Tankers carry 3 times the mass of waste that food trucks do. The tankers are also
26 assumed to have the same fuel efficiency as the FW trucks. Consequently, the diesel
27 requirement for this study was 6.92 L/tonne of feedstock. A detailed description on the waste
28 collection modelling can be found in (Ascher et al., 2020).

29 **2.4 Life cycle assessment**

30 LCA is a standard method for evaluating the environmental impact of a process or a system
31 throughout its whole lifecycle. The framework provided by international standard ISO14040
32 to conduct LCA is adopted in this work. The LCA was implanted in the GaBi software, a

1 designated software that contains datasets enabling environmental impact analysis of certain
2 elements of the waste to biomethane project. The impact category of global warming potential
3 (GWP) was evaluated by following the CML 2001 methodology.

4 The carbon that is accumulated during plant growth is referred to as biogenic carbon.
5 There is not yet a consensus on whether biogenic carbon should be included in the LCA.
6 According to the Intergovernmental Panel on Climate Change (IPCC), biogenic carbon should
7 not be included in the national emissions estimates. Therefore, for this study, biogenic carbon
8 is not included in the LCA (Møller et al., 2009).

9 The objective of the LCA is to evaluate the GWP of biomethane production based on
10 FW-CS co-digestion and subsequent biogas upgrading. The system is designed with two main
11 purposes: to treat two waste streams (food and slurry); and to produce biomethane, which can
12 be added to the national grid as a source of green energy. The functional unit (FU) selected for
13 the LCA was the treatment of 1 tonne of the waste comprised of 360 kg FW and 640 kg CS.
14 The assessment is based on avoided greenhouse gas (GHG) emissions, which can be compared
15 with other methods of disposal of the two feedstocks and other methods of natural gas and
16 mineral fertilizer production displaced by the products of the system. These emissions are
17 measured in terms of the GWP over 100 years (GWP100) in conformance with the IPCC. These
18 are measured in terms of carbon dioxide equivalent per tonne of total waste (kg CO₂-eq per
19 tonne TW).

20 A representative flow chart of the process including the system boundary is shown in
21 Figure 1. The scope of this project is limited to the measurement of GHG emissions from the
22 point of waste collection to the injection of the biomethane into the grid. The transportation
23 and application of the biomethane and digestate from the system are not included within the
24 system boundary.

25 **2.4.1 Avoided feedstock emissions**

26 To provide a complete picture of emissions and enable a fair comparison, it is necessary to
27 measure the GHG emissions caused by alternative methods of disposal of both FW and CS. In
28 Scotland, FW is treated in three primary ways – (a) discarded in a landfill, (b) incinerated, or
29 (c) it is anaerobically digested. The present work assumes that the proposed scheme would
30 replace 50% incineration and 50% landfill. Avoided FW emissions data was collected from
31 (Moult et al., 2018), who evaluated the net GHG emissions of several disposal options for FW
32 in the UK, including landfill (with and without methane capture), incineration (with electricity
33 collection), and AD. From this data, the emissions for 1 tonne of FW disposed by incineration

1 and landfill with 70% capture and flaring was taken and adjusted for the FW portion of the FU
2 (360 kg). Consequently, the AD system will result in an avoided GWP of 132 kg CO_{2-eq} for
3 every 360 kg of FW.

4 Storage of slurry is a source of various emissions, e.g., NH₃, H₂S, and GHG, including
5 N₂O, CH₄, and CO₂. A prior work by (Baral et al., 2018) investigated the emissions created
6 under different methods of storing manure, and reported the cumulative GHG emissions during
7 different seasonal periods of a year. The GWP data used in this work was calculated by finding
8 the average GHG emissions over the summer and autumn periods as 143 kg and 4 kg of CO₂₋
9 eq/m³, respectively. Another essential quantity i.e., the amount of total solids (TS) content is
10 obtained as 9.31% from (Banks et al., 2011). Consequently, the density (ρ) was calculated as
11 1,352 kg/m³ using Eq. (1) (Wang et al., 2019). This results in an avoided GWP for 640 kg of
12 slurry (i.e., the FU) as 34.55 kg CO_{2-eq}. Finally, the total avoided GWP from the two feedstocks
13 (FW and CS) is 167.21 kg CO_{2-eq} per tonne feedstock.

$$\rho=162.3TS-159.3 \quad (1)$$

14 **2.4.2 Collection and transportation emissions**

15 Emissions caused by waste collection and transportation (C&T) were calculated based on the
16 diesel requirement per tonne of waste collected determined using the C&T model discussed in
17 Section 2.3. The environmental impact of the diesel that the waste collection vehicles use can
18 be modeled in the GaBi software for both the production and combustion of diesel. Two of the
19 inbuilt GaBi processes: “EU-28: Diesel mix at refinery” and the “US: Truck - Dump Truck /
20 52,000 lb payload - 8b” were utilized for this purpose (Ascher et al., 2020). The first calculates
21 the diesel production impact on the environment and the second calculates the impact of diesel
22 combustion by the collection trucks. It is worthwhile noting that the process is US-specific.
23 However, no such explicit process was available which is UK-specific and the US-specific
24 process is assumed to represent the closest choice to the real-life scenario (Ascher et al., 2020).

25 **2.4.3 Anaerobic digestion plant modelling**

26 The energy required to heat and power the anaerobic digesters was added to the GaBi model
27 using the inbuilt process “EU-28: Electricity grid mix”. For this study, the amount of electricity
28 required to digest 1 tonne of feedstock (640 kg of CS and 360 kg of FW) is assumed to be 0.13
29 kWh as reported by (Cucchiella et al., 2019b) for a biomethane plant case study in Italy. In
30 addition to the electrical power for the AD plant, there is also a power requirement for the
31 upgrading plant. The electricity requirement for the upgrading plant was modeled using EU-

1 28, while the water requirement was modeled using “DE: Drinking water mix” (for water
2 scrubbing plant). Additionally, biogas leakage reported in the literature falls within the range
3 of 0-10% and methane leakage of 0.40-3.28% (Kvist & Aryal, 2019). In this work, a fixed
4 value of 3% for biogas leakage was assumed, which is a conservative estimate.

5 **2.4.4 Emissions from digestate**

6 The volume of digestate is assumed to be the same as the volume of the feedstock introduced
7 to the digester, but the mass reduces to approximately 85% (Turley et al., 2016). The digestate
8 can be sold to nearby farms as a substitute for mineral fertilizers which are often more
9 expensive and harmful to the environment. The emissions that are avoided from not producing
10 these mineral or synthetic fertilizers are taken from the amount of Nitrogen (N), Phosphorous
11 (P), and Potassium (K) that can be found in the feedstock with the assumption that these
12 nutrients are not altered through the AD process. The amount of total solids (TS) from the CS
13 and FW is obtained from (Banks et al., 2011) and the nutrients found in each from the fertilizer
14 manual by Department for Environment, Food and Rural Affairs (DEFRA) (DEFRA, 2010)
15 and the work by (Tampio et al., 2015). Using these values, one tonne of feedstock contains
16 2.82 kg of N, 0.37 kg of P and 1.07 kg of K resulting in total avoided emissions of 26.66 kg
17 CO_{2-eq} per tonne of feedstock (see Table 1). The emissions for each of the nutrients were taken
18 from the study by (Evangelisti et al., 2014), on waste-to-energy for a UK AD plant.

19 **2.4.5 Upgrading plant modelling**

20 Once the biogas is acquired from the AD process, it is sent to the upgrading plant for the next
21 stage. The transportation of the biogas to the upgrading facility is assumed to be insignificant
22 as it is on the same site as the digester and any leakages are assumed to be negligible. According
23 to (Kapoor et al., 2019), the four most common upgrading technologies, i.e. PWS, chemical
24 scrubbing, PSA, and membrane separation, comprise 92% of the systems operating in the
25 market and are compared in this work. Essential parameters that influence the efficiency of the
26 upgrading systems are detailed in Table 2. It is also assumed that the biomethane can be
27 injected on site for which there are no transport costs associated with the end product. As the
28 GaBi software does not provide the information related to the upgrading processes, the inputs
29 and output data shown in Table 2 were entered manually.

30 **2.4.6 Natural gas emissions**

31 Avoided emissions are calculated by subtracting the emissions from the production of natural
32 gas from the emissions from the production of biomethane. The GWP of the natural gas process
33 is 0.506 kg CO_{2-eq}/kWh according to the United States Department of Energy (Skone et al.,

1 2016). This value represents the life cycle emissions for an average natural gas power plant.
2 When converted for the FU, the value is between 150 and 164 kg CO_{2-eq} per tonne of feedstock
3 depending on the upgrading method used. This value was used as an input in GaBi. For this
4 study, it is assumed that, in terms of the energy content, one unit of natural gas is equivalent to
5 one unit of biomethane.

6 2.5 Net present value analysis

7 The economic feasibility of a project is analyzed by evaluating its net present value (NPV).
8 The associated cash flows are taken into account over a certain period and cast in an equivalent
9 comparable form of present date cash flows. A positive value of NPV dictates that a project is
10 economically profitable, and therefore suitable for implementation. The economic components
11 considered herein for the NPV analysis are (1) capital expenditure (CAPEX) associated with
12 constructing the anaerobic digestion plant and the upgrading plant, (2) operations and
13 maintenance (O&M) cost, (3) collection and transportation (C&T) cost, (4) income from
14 renewable heat incentive (RHI), (5) the income generated by selling the digestate (DS), (6)
15 revenues generated by receiving compensation for intaking waste i.e. gate fees (GF), (7)
16 income from grid injection (GI) of biomethane, and (8) revenues from selling biomethane
17 certificates (BMC) to energy producers. **The NPV depending on the present values of all the**
18 **financial components is as follows,**

$$19 \quad NPV=[PV_{CAPEX}+PV_{O\&M}+PV_{C\&T}]-[PV_{RHI}+PV_{DS}+PV_{GF}+PV_{GI}+PV_{BMC}] \quad (2)$$

where PV denotes the present cash flow value of each economic element, given by,

$$20 \quad PV=\frac{CF_t}{(1+i)^t} \quad (3)$$

21 Here CF is the annual cash flow, i stands for the discount rate, and t denotes the period of the
22 case study. For this case values for $i=5\%$ and $t=20$ years were assumed, consistent with the
23 literature (Ascher et al., 2019; Ascher et al., 2020; Chang & Pires, 2015).

24 2.5.1 Capital (CAPEX), operational, and maintenance (O&M) costs of the plant

25 The initial investment for the AD plant was calculated based on the amount of feedstock the
26 plant could handle. The work by (Angelonidi & Smith, 2015) reported CAPEX data of several
AD plants installed in Europe, out of which two were located in the UK. This data assisted by

1 an additional database from Anaerobic Digestion and Bioresources Association (ADBA)
2 (Robert, 2020) provides an educated estimate of £7,718,974 for each AD plant.

3 In addition to the AD plant, the procurement costs for upgrading systems need to be
4 accounted for. Table 3 lists capital costs for the plants of the four upgrading technologies as a
5 function of the plant capacity. The resulting CAPEX for various upgrading technologies falls
6 within the range of £950,000 to just over £1,300,000. It is worthwhile mentioning that the
7 CAPEX associated with the biogas upgrading infrastructure is within the range of 12-17% of
8 the CAPEX of the AD plant.

9 Following (Vo et al., 2018) the maintenance costs for the AD and upgrading plants are
10 assumed to be 3% and 4% of the CAPEX, respectively. Each plant is assumed to have 5
11 employees: 2 operators for the AD plant, 2 operators for the upgrading plant, and 1 manager
12 per site. In total, there are 10 employees with the managers earning £70,000/year and the
13 operators earning £30,000/year (Vo et al., 2018).

14 **2.5.2 Expenditure for collection and transportation**

15 The main costs for collection and transportation (C&T) are the capital cost of the trucks, fuel,
16 and wages. In 2018, the Swansea Council ordered 38 new refuse vehicles at a total price of £6
17 million (Dalton, 2018). This implies a cost of just under £160,000 which serves as the estimate
18 used in this study. Another assumption made is the lifespan of the vehicles. The lifespan of the
19 vehicles is conservatively estimated at 10 years so in the 11th year the vehicles are replaced,
20 meaning there is a large cash outflow at that point (Ascher et al., 2020). The price of vehicles
21 is adjusted for 2% inflation. The maintenance cost of trucks was estimated to be £2,725 per
22 year (conversion from Euros to Pounds Sterling) based on (Groot et al., 2014). Each truck
23 requires one driver and two operators to load the waste. Each staff is paid a wage of £8/hr so
24 the wages for one truck are costed at £24/hr. The wage bill is £1.68 million per annum. The
25 cost of diesel in Scotland is 116.8p/L, and the annual fuel cost is estimated to be £675,000.

26 **2.5.3 Income from digestate**

27 The income from digestate is calculated based on a study by (Renda et al., 2016). The value of
28 €15/tonne is used and adjusted for inflation. In Pounds Sterling, the price is £15.12 which
29 contributes £1,072,692 of annual income.

1 **2.5.4 Income from gate fees**

2 It is common that a waste management plant will require some form of compensation for taking
3 the discarded waste. Usually, this comes in the form of gate fees which can contribute a
4 significant proportion of the overall profitability of the project. The WRAP publishes an annual
5 comparison of waste treatment options in the UK, including the gate fees that are used. The
6 average gate fee for AD in the UK in 2019 was £27 per tonne of waste (Wrap.org.uk, 2020).
7 However, the gate fees for FW are usually higher than the average gate fee. Gate fees are
8 volatile year-on-year, but a price of £45/tonne of FW, which is lower than some estimates
9 found in the literature (Ascher et al., 2020), is used in this project which assumes that increased
10 supply will be mandated putting upward pressure on the price. For the CS section of the
11 feedstock, there are currently no accompanying gate fees. The total income from gate fees is
12 £1,352,133 per annum.

13 **2.5.5 Income from RHI and selling biomethane**

14 The wholesale price of gas, the price paid to producers of gas by buyers of gas, is traded in the
15 market and varies markedly over time (12p - 86p/therm) ($1 \text{ therm} = 1.055 \times 10^8 \text{ joules}$). An
16 average price of 51p/therm (1.75p/kWh) is used to estimate the income for the project, which
17 is consistent with the data obtained from ADBA (Robert, 2020).

18 The UK Government also offers a subsidy in the form of a renewable heat incentive
19 (RHI) for producers injecting biomethane into the grid. The 3-tier biomethane scheme is as
20 follows: the first 40,000MWh yields 4.92p/kWh; the second 40,000MWh receives 2.9p/kWh;
21 and any remaining production receives 2.24p/kWh (Turley et al., 2016). The biomethane
22 produced by the four upgrading systems ranges from 24,200MWh to 26,800MWh and would
23 receive the highest tariff. To estimate the cash flows, it is assumed that the current RHI subsidy
24 scheme is extended for the lifespan of the project.

25 **2.5.6 Income from selling biomethane certificates**

26 The final component of cash flow is the income from biomethane certificates. These are
27 market-driven entities affected by the demand for renewable gas. Biomethane producers can
28 sell these certificates to energy providers to demonstrate their renewable credentials or fulfill
29 sustainability quotas. According to ADBA (Robert, 2020), the price of the certificates has risen
30 from £2 to £9. The estimated income is dependent on system biomethane output. Given
31 expectations of increased demand for renewable energy, the higher value of £9 per certificate
32 is used in this study.

1 **3 Results and Discussion**

2 **3.1 Energy efficiency and total energy production**

3 The goal of upgrading biogas produced by co-digestion of FW-CS mixture is to separate CH₄
4 from CO₂ and other chemical compounds. This enhances the calorific value of the gas,
5 ultimately reducing the NO_x emissions and making it suitable for injection into the gas grid.
6 The selection of upgrading facility for the city of Glasgow is dictated by government-regulated
7 injection quality to the gas grid (Bozorg et al., 2020). For all the four classes of upgrading
8 plants the CH₄ purity, i.e. the content of CH₄ in biomethane is high enough to be injected into
9 the gas grid (Barbera et al., 2019). This is due to low losses (or CH₄ slip) during the biogas
10 upgradation.

11 Table 4 shows the energy generation, energy efficiency, and the number of equivalent
12 households that can be powered by the AD plant with specific upgrading technologies. The
13 efficiency is calculated as (Sun et al., 2015),

$$\eta = \frac{E_{\text{upgraded_gas}}}{E_{\text{raw_gas}} + E_{\text{upgrading}}} \quad (4)$$

14 where $E_{\text{upgraded_gas}}$ is the energy content in the upgraded biomethane and ($E_{\text{raw_gas}} +$
15 $E_{\text{upgrading}}$) is the total input energy required by the entire plant. Based on the total number of
16 households in the city of Glasgow 285,693 (GCC, 2017) and average annual UK household
17 gas consumption of 12,000 kWh (OFGEM, 2020), the number of households that can be
18 supplied with biomethane was calculated and shown in Table 4 for each case.

19 Although the chemical scrubbing technique generates the highest amount of energy,
20 thus powering the largest number of households (2,260) in the city of Glasgow, the membrane
21 separation technique has the highest efficiency (83%). Hence, the AD plant with the membrane
22 separation upgrading technology has been considered for further implementation, which would
23 generate sufficient energy to power 2252 households (or 0.8% of total) in Glasgow. In this
24 context, it is important to note that the efficiency of combined heat and power systems is in the
25 range of 50-80% (Ascher et al., 2020). This makes biomethane production an efficient
26 alternative to the combined heat and power (CHP) systems. Even though the PSA offers the
27 poorest efficiency amongst all (76%), it falls within the upper band of efficiency for CHP
28 systems. In contrast, the existing natural gas systems have efficiencies up to 60%.

1 3.2 Environmental impact

2 Figure 2 shows contributions of eight GWP components for the four different upgrading
3 technologies: (1) emissions resulting from CS, (2) emissions from FW, (3) emissions avoided
4 by using digestate as biofertilizer, (4) natural gas emissions avoided by utilizing biomethane
5 as a substitute, (5) emissions resulted from collection and transportation of FW and CS, (6)
6 emissions due to grid electricity consumption, (7) emissions from biogas leakage in the AD
7 plant, and (8) off-gas emissions resulted from the biogas upgrading process.

8 The GHG emissions released during C&T totaled 7 kg CO_{2-eq} per tonne of feedstock,
9 while that from powering the plants varied with the different technologies in the range of 6 to
10 15 kg CO_{2-eq} per tonne of feedstock. These emissions could be avoided because (1) FW is not
11 disposed of in a landfill or incinerated, (2) CS was not left untreated, and (3) the biogas
12 generated from the plant partly reduces the demand for natural gas production. Avoided gases
13 include CH₄, N₂O, NH₃, and CO₂. Avoided emissions overwhelm the emissions from the
14 systems for all four biogas upgrading technologies, and net negative carbon emissions are
15 achieved.

16 In Figure 2, the emissions are shown above the baseline (zero-level), while the avoided
17 emissions are below the baseline. To conduct a like-for-like comparison across different
18 upgrading technologies, the anaerobic co-digestion of the feedstock process preceding the
19 biogas upgrading step is kept constant. Hence, the emission components from CS, FW,
20 digestate, C&T, and biogas leakage are the same for all the upgrading technologies. The largest
21 contributor to the carbon savings was the avoided emission from the displacement of natural
22 gas (4.89 kg CO_{2-eq}/m³ natural gas). Although chemical scrubbing significantly replaces natural
23 gas and results in the lowest emissions from off-gas, it has the highest power requirement
24 amongst all (0.87 kWh/m³), which increases the overall emission. This scheme has a total
25 carbon saving of 264 kg CO_{2-eq} per tonne of feedstock. The membrane separation plant yields
26 a similar carbon saving compared to the chemical scrubbing, but offers a higher efficiency (4%
27 greater) of biomethane production (see Table 4). In addition, the digestate production
28 contributes to carbon mitigation (saving 27 kg CO_{2-eq} per tonne of feedstock), while the biogas
29 leakage results in positive emission (22 kg CO_{2-eq} per tonne of feedstock). It is evident from
30 the net carbon emission savings that the PSA upgrading technology is unfavourable due to its
31 high off-gas emissions (which is mostly CO₂), which can be further reduced by off-gas
32 treatment (Sun et al., 2015).

1 3.3 Economic assessment

2 3.3.1 Contribution of economic components

3 The NPVs of anaerobic co-digestion of FW and CS with the four different upgrading
4 technologies are shown in Figure 3. Overall, the project's NPV irrespective of the upgrading
5 technology falls within the range of around £ -9.0 to £ -12.0 million (see Figure 3). The negative
6 value of NPV indicates that the developments are not economically viable under the current
7 circumstance. Hence, a deeper investigation of the NPV components are essential.

8 Salient sources of expenses for the proposed developments are (1) C&T costs, (2)
9 capital costs, and (3) O&M costs. It is evident from Figure 3 that C&T of waste has the highest
10 negative NPV (£-38.0 million), of which £ -21.7 million is contributed by wages. The NPV of
11 diesel costs for operating the truck is the second most significant component (£-10.8 million),
12 while the CAPEX of trucks contributes to £ -5.5 million. The CAPEX of the AD plant with
13 biogas upgrading is another significant component towards the negative NPV of the project.
14 The NPV of the AD plant is £-15.44 million, while the NPV of biogas upgrading plant varies
15 since the CAPEX associated with the various upgrading technologies are different (see Table
16 3). The total NPVs for the four different upgrading techniques are as follows: (a) £-1.21 million
17 for PWS, (b) £-1.32 million for chemical scrubbing, (c) £-1.2 million for PSA, and (d) £-0.95
18 million for membrane separation. O&M costs are another significant contributor which varies
19 between a small range of £-12.0 million to £-12.4 million depending on the upgrading
20 technology.

21 Shown as the positive components of NPV in Figure 3, the proposed developments
22 have five income sources: (1) gaining RHI selling digestate, (2) injecting biomethane into the
23 grid at a wholesale rate, (3) selling biomethane certificates, (4) gate fees, and (5) selling
24 digestate. Although the RHI subsidy scheme provided by the government is likely to end in
25 2021, it is assumed that it will be replaced by an identical or similar scheme for the next 20
26 years. The Energy White Paper "Powering our Net Zero Future" published by the Secretary of
27 State for Business, Energy and Industrial Strategy (BEIS) on 14th December 2020 is silent on
28 the detail. However, they promise a Green Gas Support Scheme in the autumn of 2021 that will
29 endorse the continued deployment of AD biomethane plants with the prospect of trebling the
30 amount of green gas in the grid between 2018 and 2030 (Robert, 2020).

31 For the present sizes of plants investigated, the energy content of biomethane injected
32 into the grid would not exceed 40,000 MWh, providing a tier-1 RHI subsidy of 4.92 p/kWh.

1 Chemical scrubbing achieves the highest biomethane generation, and therefore, has the highest
2 income for £15.99 per tonne of feedstock. In contrast, PSA only achieves £14.40 due to the
3 lower CH₄ purity achieved (Appendix A) and a relatively low CH₄ recovery rate (90.29%). The
4 importance of the RHI scheme is clear for all the technologies considering its 28-30%
5 contribution towards the total annual cash inflow.

6 The income from biomethane injection to the grid is dictated by the wholesale price of
7 gas and the amount of biomethane injected. The total annual income from this ranges between
8 £0.43 to £0.47 millions. Therefore, the revenues generated from RHI, grid injection, and
9 biomethane production totals to a range of £21.5 to £23.7 millions. The third source of the
10 income i.e., the biomethane certificate at £9 per certificate will contribute a total NPV of £2.9 to
11 £3.2 million, making it 5% of the total income of the system.

12 The cash inflows of selling the digestate and gate fees are not directly dependent on the
13 upgrading technologies. It is shown that selling the digestate contributes £15.12 per tonne of
14 feedstock and generates an income of £1.1 million annually at the full capacity of the plant.
15 Gate fees contribute a positive NPV of £17.7 million. However, it is worthwhile noting that
16 there is potential uncertainty in its magnitude. Currently, there are gate fees for FW, but no
17 gate fees for CS, which can be mitigated through strict regulations on the storage of CS.
18 Moreover, the FW gate fee widely differs across the UK and lacks a proper database. Further
19 research is required to address this discrepancy, which is beyond the scope of the present work.
20 With no gate fees from CS, the total gate fee per tonne of feedstock is £16.20.

21 Since the NPV of the project, regardless of the biogas upgrading technology, is
22 negative, the project is not economically profitable (see Figure 3). However, it is possible to
23 make policies that will penalize fossil fuel-based technologies and will ascribe sufficiently a
24 high carbon tax to break even the NPV. The influence of carbon tax is investigated in later
25 sections. It is also noted that future changes in gate fees, population size, recycling behavior,
26 and waste production per capita may significantly affect the available feedstock and the
27 required size and related costs of the AD plants, but are not considered in this work. Hence,
28 future works are recommended to focus on the analysis and quantification of the potential
29 uncertainties.

30 **3.3.2 Influence of input parameters**

31 The results of this study (negative NPV regardless of upgrading technology) point to the need
32 for financial support for the proposed systems producing biomethane from the co-digestion of

1 FW and CS. Subsidies accounted for £18.8 million to £20.7 million of the NPV, which is
2 consistent with prior works (Cucchiella et al., 2019a; Cucchiella et al., 2019b). Another work
3 (Benato & Macor, 2019) investigated the history of the biogas industry, where they noted the
4 impact of generosity of subsidies in Germany and Italy. Given the catastrophic consequence of
5 climate change, biomethane production can play a vital role in reducing GHG emissions. In
6 this context, support in the UK should be forthcoming as indicated in the recently published
7 BEIS white paper (BEIS, 2020).

8 Although it is beyond the scope of this work to propose an appropriate policy mix, the
9 sensitivity analysis of the NPV based on the variations of techno-economic parameters is
10 essential. This will provide the policymakers with some clarity on the conditions required to
11 make an AD investment with the membrane separation technology (i.e. the most economically
12 viable option according to this study) financially attractive. Figure 4 and Table 5 show NPVs
13 for a wide range of parametric sensitivity scenarios. Essential parameters investigated are (1)
14 biogas yield, (2) RHI subsidy, (3) digestate selling price, (4) gate fees, (5) number of waste
15 collection vehicles, (6) wages of waste collection and transportation personnel, (7) biomethane
16 certificate price, and (8) wholesale price of biomethane. To investigate the relative importance
17 of different parameters, a sensitivity ratio SR is defined as,

$$SR = \frac{\Delta_{\text{output}}}{\Delta_{\text{input}}} \quad (5)$$

18 where Δ_{output} is the percentage of change in output quantity i.e., the NPV and Δ_{input} is the
19 percentage of changes in input parameters. A higher value of SR corresponds to a more
20 significant change in the NPV due to input parameter alteration.

21 As shown in Figure 4 and Table 5, the NPV is most sensitive to biogas yield
22 (SR = 2.63), RHI subsidies (SR = 1.94), gate fees (SR = 1.98), and selling price of
23 digestate (SR = 1.57). The price of biomethane certificates and wholesale gas prices have a
24 less severe impact on the NPV (SR < 0.7). The sensitivity analysis also indicates the financial
25 vulnerability of the project subject to market changes. Gate fees, digestate prices, and the
26 wholesale price of gas for grid injection are relatively uncertain and time-varying as compared
27 to the RHI and biomethane certificate schemes.

1 3.3.3 *Influence of carbon tax alteration*

2 An essential factor to generate economic benefit while adhering to the climate change
3 guidelines is through assigning carbon taxes. Carbon pricing creates the signal for economic
4 actors to redeploy capital away from fossil fuels to low carbon alternatives. Evidence from
5 Sweden, which has the highest carbon tax in the world at US\$ per tonne of CO₂ (WB, 2020),
6 indicates that after implementation of the tax, initially at US\$ 30 per tonne, CO₂ emissions
7 from transport declined almost 11% relative to a synthetic control group of OECD countries
8 with lower carbon taxes. It was estimated that carbon prices of at least US\$ 50–100 per tonne
9 of CO₂ by 2030 are required to cost-effectively reduce GHG emissions to fulfill the temperature
10 goals of the Paris Agreement (WB, 2020).

11 By keeping all other assumptions constant, the financial model was used to calculate
12 the impact of a carbon tax on NPV for the systems (see Table 6). A biomethane producer would
13 receive revenue reflecting their contribution to society by avoiding emissions. The analysis
14 shows that a carbon tax between £31.30 and £58.02 per tonne of CO₂ would be required to
15 make the four schemes return positive NPVs.

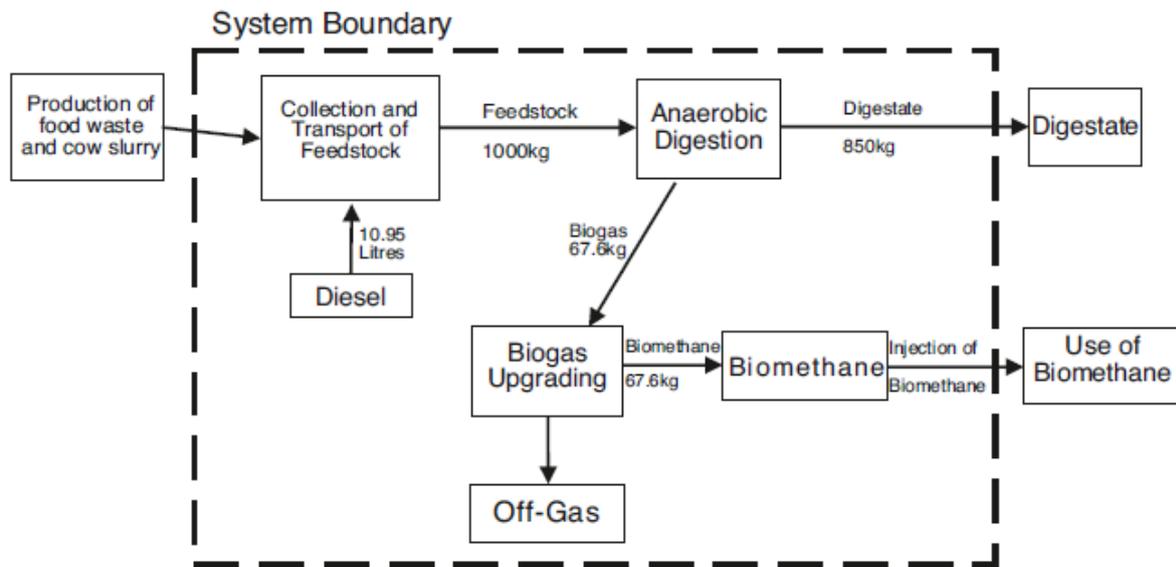
16 Although a truly efficient carbon tax would require all market participants to apply the
17 same tax, it is possible to apply it unilaterally to help achieve the desired outcome at least
18 locally. In the absence of global cooperation, other policies can spur faster development of
19 biomethane production and other low carbon technologies, through an increase in tariffs or
20 minimization of expenditure, such as grants for research and development and reduced
21 planning and regulatory burden.

22 **4 Conclusions**

23 **The proposed biomethane-biofertilizer production scheme contributes to the concept of circular**
24 **economy and organic waste recycling to tackle global warming. Membrane separation-assisted**
25 **AD meets 0.8% of the natural gas demand of Glasgow households with a conversion efficiency**
26 **of 83%. This mitigates 264 kg CO₂-eq per tonne of organic waste. However, high CAPEX for**
27 **the AD plant with the membrane separation-based technology (NPV of £-9.0 million) and high**
28 **costs of waste C&T (NPV of £-38.1 million) renders the scheme economically unfavourable**
29 **under current circumstances, unless a carbon tax £31.30 per tonne of CO₂ saved is provided to**
30 **support the scheme.**

31 **Acknowledgments**

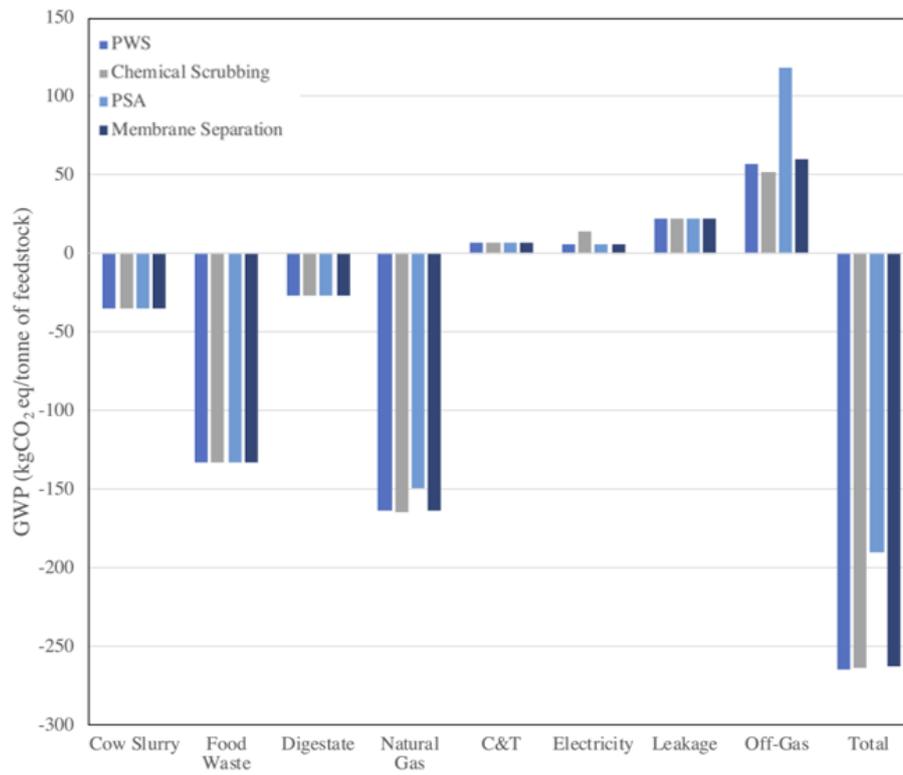
32 The authors would like to acknowledge the financial support from the Engineering and Physical
33 Sciences Research Council (EPSRC) Programme Grant (EP/V030515/1).



1

2 **Figure 1:** Flowchart of the waste to biomethane conversion system with LCA system boundary
 3 and salient processes.

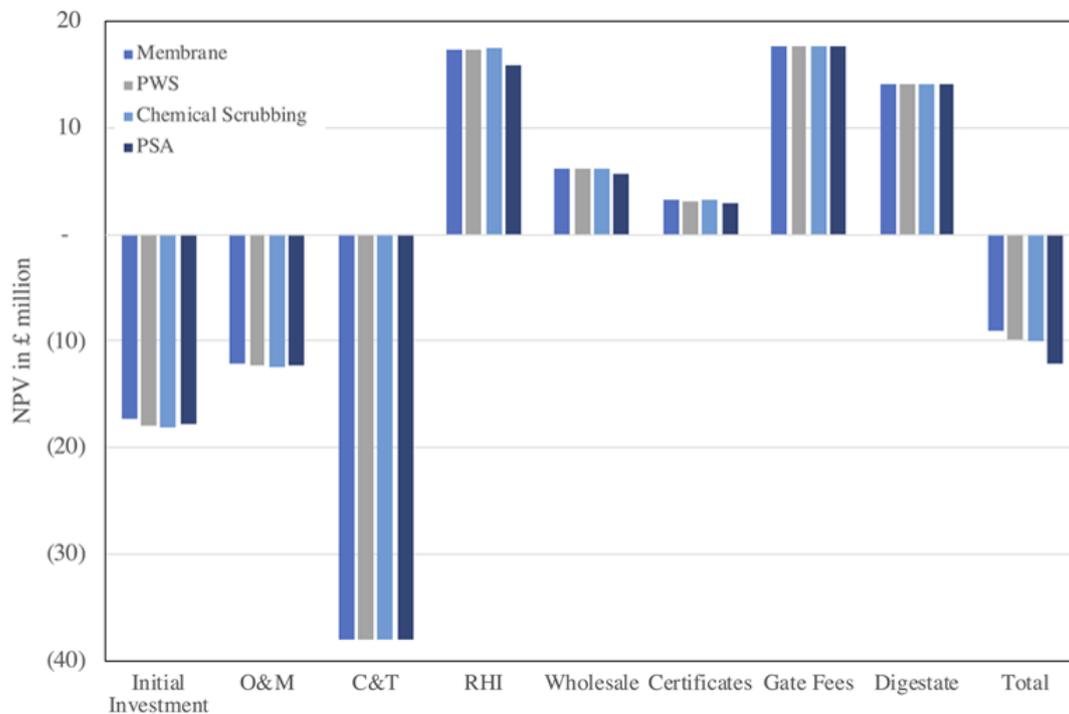
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2 **Figure 2:** GWP breakdown for the four different biogas upgrading techniques, i.e. PWS,
 3 chemical scrubbing, PSA, and membrane separation.

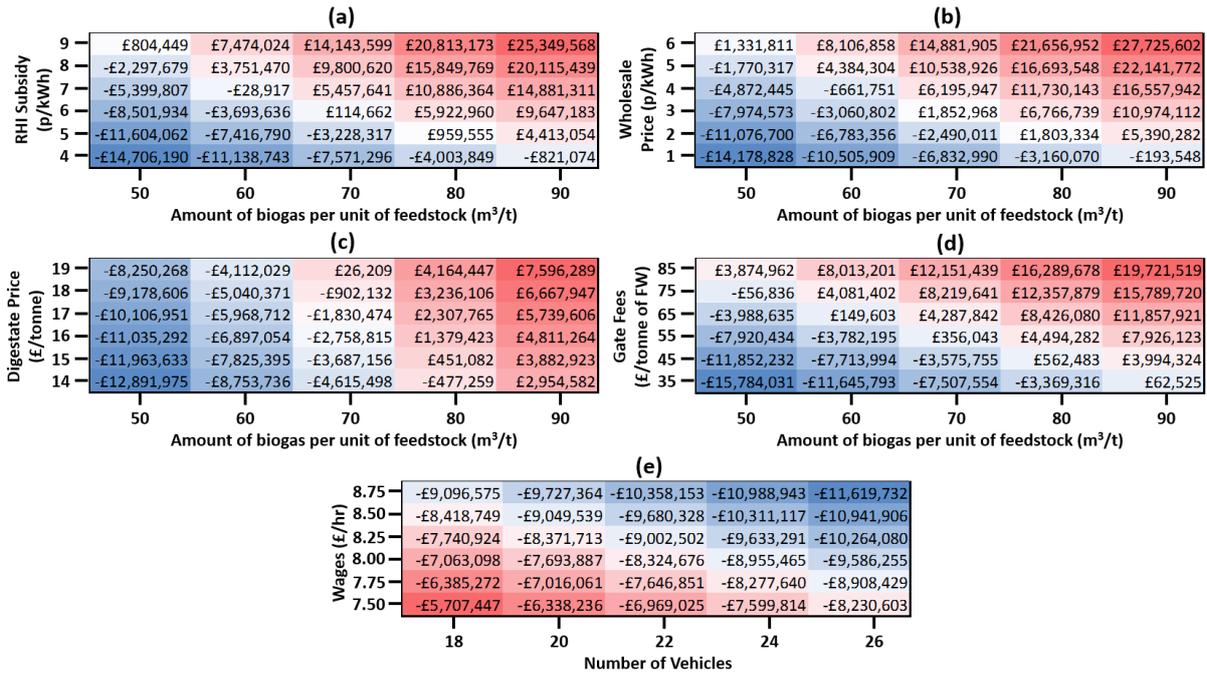
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1

2 **Figure 3:** Components of net present value (NPV) of cash flows in £ million for the four
 3 different biogas upgrading technologies, i.e. PWS, chemical scrubbing, PSA, and membrane
 4 separation. Negative values on the y-axis are shown in brackets. The total NPVs for the four
 5 technologies in £ are -9,886,001 (PWS), -9,996,129 (chemical scrubbing), -12,034,405 (PSA),
 6 and -8,955,465 (membrane separation).

7



1

2 **Figure 4:** Heatmaps showing the sensitivity of NPVs in case of an AD plant with membrane
 3 separation for various dual-parametric alteration scenarios, i.e. (a) RHI subsidy vs. biogas yield,
 4 (b) wholesale price vs. biogas yield, (c) digestate price vs. biogas yield, (d) gate fees for FW
 5 collection vs. biogas yield, and (e) hourly wages vs. the number of vehicles. Blue and red colors
 6 are mapped for unfavourable (higher negative values) and favourable (values tending towards
 7 zeros or positive) NPVs, respectively.

8

1 **Table 1:** Avoided emissions from digestate production (Banks et al., 2011; DEFRA, 2010;
 2 Evangelisti et al., 2014; Tampio et al., 2015).

Nutrients	Food waste (g/kgTS) ^a	Cow slurry (g/kgTS) ^b	Content per tonne of feedstock (kg)	Emissions per kg (kg CO ₂ -eq)	Emissions per tonne of feedstock (kg CO ₂ -eq) ^c
Nitrogen	30.7	3.3	2.82	8.85	24.96
Phosphorus	3.8	0.8	0.37	1.80	0.67
Potassium	11.4	1.6	1.07	0.96	1.03
Total				11.61	26.66

a: TS in FW: 23.74% (Evangelisti et al., 2014)

b: TS in CS: 9.31% (Evangelisti et al., 2014)

c: Feedstock = FW:CS 1:1.8 (Banks et al., 2011)

3
4

1 **Table 2:** Input and output data for modeling the biogas upgrading processes in GaBi software.

Technology	Input		Output	
	Quantity	Value	Quantity	Value
PWS	Biogas ^a	55.29 m ³	Biomethane ^b	33.3 m ³
	Electricity ^b	0.27 kWh/m ³	Off-gas ^c	57.3 kg CO _{2-eq}
Chemical scrubbing	Biogas ^a	55.29 m ³	Biomethane ^b	33.6 m ³
	Electricity ^b	0.87 kWh/m ³	Off-gas ^c	51.5 kg CO _{2-eq}
Membrane separation	Biogas ^a	55.29 m ³	Biomethane ^d	33.5 m ³
	Electricity ^d	0.26 kWh/m ³	Off-gas ^c	60.5 kg CO _{2-eq}
PSA	Biogas ^a	55.29 m ³	Biomethane ^b	30.6 m ³
	Electricity ^b	0.26 kWh/m ³	Off-gas ^c	118.7 kg CO _{2-eq}

a: (Banks et al., 2011)

b: (Barbera et al., 2019)

c: calculated

d: (Bozorg et al., 2020)

2

1 **Table 3:** Captial expenditure as a function of plant capacity in m³/h for four different biogas
 2 upgrading technologies.

Upgrading Technique	Capacity (m ³ /h)	Total cost (£)	Reference
PWS	100	1,099,933	(Chen et al., 2015)
	250	1,497,432	(Chen et al., 2015)
	500	1,905,823	(Chen et al., 2015)
	2000	3,470,628	(Sun et al., 2015)
	200	1,397,545	(Sun et al., 2015)
	600	1,699,699	(Sun et al., 2015)
Chemical scrubbing	100	1,034,912	(Chen et al., 2015)
	250	1,361,725	(Chen et al., 2015)
	500	1,906,416	(Chen et al., 2015)
	100	1,004,390	(Sun et al., 2015)
	500	2,517,573	(Sun et al., 2015)
	1800	4,394,310	(Sun et al., 2015)
PSA	100	1,132,956	(Chen et al., 2015)
	250	1,470,664	(Chen et al., 2015)
	500	2,015,355	(Chen et al., 2015)
	130	740,779	(Sun et al., 2015)
	1000	3,051,604	(Sun et al., 2015)
	500	2,212,413	(Angelonidi & Smith, 2015)
Membrane separation	100	811,587	(Chen et al., 2015)
	250	1,307,256	(Chen et al., 2015)
	500	1,960,884	(Chen et al., 2015)
	1000	2,015,355	(Sun et al., 2015)
	130	253,826	(Sun et al., 2015)
	300	1,373,222	(Sun et al., 2015)

3

1 **Table 4:** Biomethane generation for domestic use with the four different upgrading
 2 technologies.

Upgrading Technique	Input Energy (kWh)	Energy Produced (kWh)	Efficiency (%)	Households Supplied
Membrane Separation	32,601,935	27,025,900	83	2,252
PWS	32,625,755	26,878,038	82	2,240
Chemical Scrubbing	34,315,195	27,119,473	79	2,260
PSA	32,487,344	24,660,925	76	2,055

3

1 **Table 5:** Sensitivity of NPV to input parameter changes for waste to biomethane conversion
 2 system with membrane separation upgrading technique.

Parameter	Change of Input (%)	NPV (£)	Change of Output (%)	SR
Base Case	-	-8,955,465	-	-
Biogas Yield	-40	-18,390,649	-105	2.63
	-20	-13,673,057	-53	2.63
	20	-4,237,874	53	2.63
	40	-479,718	105	2.63
RHI Subsidies	-40	-15,915,151	-78	1.94
	-20	-12,435,308	-39	1.94
	20	-5,475,623	39	1.94
	40	-1,995,780	78	1.94
Gate Fees	-40	-16,032,703	-79	1.98
	-20	-12,494,084	-40	1.98
	20	-5,416,847	40	1.98
	40	-1,878,228	79	1.98
Digestate	-40	-14,570,074	-63	1.57
	-20	-11,762,770	-31	1.57
	20	-6,148,161	31	1.57
	40	-3,340,857	63	1.57
Certificates	-40	-10,233,054	-14	0.36
	-20	-9,594,260	-7	0.36
	20	-8,316,671	7	0.36
	40	-7,677,877	14	0.36
Wholesale Price	-40	-11,430,963	-28	0.69
	-20	-10,193,214	-14	0.69
	20	-7,717,716	14	0.69
	40	-6,479,967	28	0.69

3

1 **Table 6:** Impact of the carbon tax on NPV for different upgrading techniques.

Carbon tax (£ per tonne)	Upgrading Technique			
	Membrane Separation	PWS	Chemical Scrubbing	PSA
10	-6,094,405	-7,001,311	-7,094,384	-9,960,360
20	-3,233,344	-4,116,622	-4,219,640	-7,886,316
30	-372,283	-1,231,932	-1,344,895	-5,812,271
40	2,488,777	1,652,757	1,529,849	-3,738,227
50	5,349,838	4,537,447	4,404,594	-1,664,183
60	8,210,899	7,422,136	7,279,338	409,862

2

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