

Zhang, S., Elsayed, M., Chen, Y., Zhang, Y., Neale, S. L. and Wheeler, A. R. (2022) Influence of light pattern thickness on moving behaviors of dielectric microparticles manipulated by optoelectronic tweezers. *Photonics Research*, 10(2), pp. 550-556. (doi: <u>10.1364/PRJ.437528</u>).

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Deposited on: 25 November 2021

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# Influence of light pattern thickness on moving behaviors of dielectric microparticles manipulated by optoelectronic tweezers

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### Abstract

Optoelectronic tweezers (OET) is a useful optical micromanipulation technology that has been demonstrated for many useful applications. In this work, we studied the use of light patterns with different shapes and thickness to manipulate dielectric microparticles with OET. It was demonstrate that the maximum velocities of the microparticles increase to a peak and then gradually decrease as the light

pattern's thickness increases. Numerical simulations were run to clarify the underlying physical mechanisms and it was demonstrated that the observed phenomenon is due to co-influence of horizontal and vertical DEP forces related to light pattern's thickness. Further experiments were run on light patterns with different shapes and objects with different sizes and structures. The experimental results indicate that the physical mechanism elucidated in this research is a universal one that applies for different light pattern shapes and different objects, which is useful for enabling users to optimize OET settings for various micromanipulation applications in future.

**Key words:** Optoelectronic tweezers, optical micromanipulation, dielectrophoresis, microparticle, micromotor

Optoelectronic tweezers (OET) is an optical micromanipulation technology that relies on opticallyinduced-dielectrophoresis (ODEP) force for the control and actuation of micro-/nano-scale objects.<sup>1-5</sup> Based on light patterned electric fields, OET is capable of exerting pico-to-nano Newton manipulation forces,<sup>6,7</sup> and is well suited for parallel and independent control of multiple objects.<sup>1,3,8,9</sup> Because of these outstanding micromanipulation capabilities, OET has been widely used to manipulate and assemble bioanalytes and molecules,<sup>10-12</sup> cells of different species,<sup>13-20</sup> nano-/micro- particles,<sup>8,21-25</sup> electronic/photonic components,<sup>26-32</sup> and microrobots,<sup>9</sup> thus offering a useful scientific tool to investigate microscopic world for physical, chemical and biological studies. More recently, OET technology has been successfully commercialized and used in the biopharmaceutical industry for antibody discovery and cell therapy development,<sup>33</sup> demonstrating the prospect of this technology in both research and industrial settings.



Figure 1. (a) Schematic of an OET device, in which microparticles are manipulated via positive or negative DEP forces. (b) A video frame showing the use of dynamic light pattern to move/rotate multiple 10 µm polystyrene microbeads at an angular velocity of 0.42 rad/s. Red and yellow arrows represent the counter-clockwise and clockwise rotational directions of the microbeads in the central and surrounding regions, respectively. See Supplementary Video 1 (clip 1) for more details. (c) Microscope image

showing the illumination of the microbead suspension with a light pattern depicting a stylized caricature of Marie Curie with symbols of Erlenmeyer flask and radiation. (d) Microscope image of the OET-assembled micropattern. See Supplementary Video 1 (clip 2) for more details.

In an OET system, light pattern is a key factor that influences the moving behaviors of the controlled micro-objects. Previous studies have shown that both the wavelength and optical intensity of light pattern can influence the performance of OET for particle manipulation.<sup>34,35</sup> However, for a standard OET system with given light sources, there is little room to adjust the properties of light sources to optimize the performance of OET. Since OET is based on ODEP forces, the distribution of light patterned electric field has a big influence on the moving behaviors of micro-objects. Therefore, in this work, we studied the influence of different-shaped light patterns and their thickness on the moving behaviors of microparticles and clarified the underlying physical mechanisms for the observed phenomenon. This is important for enabling users to optimize OET settings for efficient manipulation of micro-objects. Simulations in COMSOL Multiphysics (COMSOL Inc., USA) were carried out to clarify the experimental results and provide insights to the physical mechanisms. It was demonstrated that thicker light patterns can induce stronger electric field gradient in the horizontal plane, exerting stronger horizontal DEP forces and thus a higher moving velocity for the microparticles. However, light patterns beyond certain thickness can increase the DEP force in the vertical plane, making the microparticles escape from the OET trap at a lower moving velocity. Therefore, two physical mechanisms should be considered when choosing the rightful parameters for light patterns to achieve best OET performance for micromanipulation.

Shown in Figure 1(a) is a schematic of an OET device, compromising two plates. The top plate is an indium tin oxide (ITO)-coated glass slide; the bottom plate is also an ITO-coated glass slide, but deposited with an additional photoconductive layer of hydrogenated-amorphous-silicon (a-Si:H). The two plates were mounted together via a 150-µm-thick spacer to form a microchamber, in which the micromanipulation was performed. When a bias voltage is applied between the two plates and a light pattern is projected on the a-Si:H layer, a non-uniform electric field is generated in the liquid microchamber, which interacts with the micro-objects and produces DEP forces. If the micro-object is more polarizable than the surrounding medium [i.e. the real part of the Clausius-Mossotti (CM) factor is above 0], it will be attracted to the illuminated region due to positive DEP force; if the micro-object is less polarizable than the surrounding medium (i.e. the real part of the CM factor is below 0), it will be repelled from the illuminated region due to negative DEP force.<sup>36</sup> In this work, 10 µm spherical polystyrene microbeads (Polysciences Inc., USA) were used, which were suspended in deionized water containing "Tween 20" (0.05% v/v) and pipetted into the microchamber of the OET device. The OET device was driven by an AC potential (10 Vpp 20 kHz square wave). On applying the AC voltage, the polystyrene beads were repelled by the illuminated region due to negative DEP force, allowing the use of hollow light patterns consisting of illuminated and dark regions to move and rotate the beads, as shown in Figure 1(b) and Supplementary Video 1 (clip 1). Besides, when a static light pattern is projected [Figure 1 (c)], the microbeads were repelled from the illuminated region and accumulated at the dark region, forming desired micropattern [Figure 1(d)] featuring the used light pattern. More details can be found in Supplementary Video 1 (clip 2). These results demonstrate the versatility of the OET technology for microparticle manipulation based on different light patterns, and also highlights the importance to investigate the influence of different light pattern parameters on electric field distribution and related microparticle's moving behaviors in an OET system.



Figure 2. (a)-(c) Microscope images of a 10  $\mu$ m polystyrene microbead trapped by "doughnut"-shaped light patterns with the same inner diameter but different ring thickness (i.e. 5  $\mu$ m, 20  $\mu$ m and 110  $\mu$ m), and moving at 180  $\mu$ m/s, 430  $\mu$ m/s and 320  $\mu$ m/s, respectively. See Supplementary Video 2 for more details. Red arrow represents the moving direction. (d) Maximum velocity and maximum DEP force versus light pattern's ring thickness. Error bars represent standard deviation for five replicates.

To investigate the influence of light pattern on microparticle's moving behavior, a single 10- $\mu$ m-diameter bead was trapped by "doughnut"-shaped light patterns with fixed inner diameter at 80  $\mu$ m but different ring thickness, as shown in Figure 2(a)-(c) and Supplementary Video 2 (worth noting that "doughnut"shape is the most widely used light pattern shape for OET manipulation). In this case, the light pattern was kept stationary while the motorized stage was programmed to move linearly, and the maximum moving velocity of the bead was measured by gradually increasing the speed of the motorized stage until the bead fell out of the trap. Using this method, the maximum moving velocities of the bead manipulated by light patterns with different ring thickness were measured. As shown in Figure 2(d), the maximum moving velocity of the bead increases rapidly to a peak and then slightly decreases as the ring thickness increases from 2.5  $\mu$ m to 135  $\mu$ m. According to Stoke's law, the DEP actuation force exerted on the bead is equal to the viscous drag force,<sup>7,17,24,37</sup> i.e:

$$F_{DEP} = F_{drag} = 6\pi\eta r\nu \tag{1.}$$

where  $\eta$  is the viscosity of the liquid, r is the radius of the bead and v is velocity of the bead. Since gravity forced the bead to sit in proximity to the a-Si:H surface, Faxen's correction needs to be applied to adjust the calculation of the viscous drag force and the DEP force.<sup>6,17,37</sup> Therefore, the maximum DEP force exerted on a single bead can be calculated for the "doughnut"-shaped light pattern with different ring thickness, as shown in Figure 2(d). These experimental results demonstrate the influence of light pattern thickness on the moving behaviors of microparticles under OET manipulation.

To clarify the physical mechanism for the observed experimental results, simulations were carried out in COMSOL Multiphysics based on a 2D simulation model. The model length (X axis) and height (Y axis) were set to 500 µm and 150 µm, respectively. The OET trap created by the "doughnut"-shaped light pattern is located at the bottom of the model, with its inner diameter set to 80 µm and thickness set to different values used in the experiment (using the parameter sweep function). Other simulation parameters (voltage, frequency, medium conductivity, etc.) were set according to the actual experimental parameters. To simulate the electric potential distribution, an AC/DC module of COMSOL Multiphysics was applied and specific boundary conditions were implemented for the simulation model. In this work, electric insulation was set for the sides of the model and the top side of the model was set as ground (0 V), while the bottom side of the model was given an electric potential of 10 V. Different parts in the simulation model were defined as sub-domains and the interfaces between different sub-domains were set to continuity boundary condition. Then, the electric potential and electric field was computed by solving the continuity equations:

$$\nabla \cdot J = Q_{j,\nu} \tag{2.}$$

$$J = \sigma E + j\omega D + J_e \tag{3.}$$

$$E = -\nabla V \tag{4.}$$

where J is the current density,  $Q_{j,v}$  is the volumetric source of current,  $\sigma$  is the electrical conductivity, E is the electric field,  $\omega$  is the angular frequency, D is the electric displacement,  $J_e$  is externally generated current density, V is the electrical potential.



Figure 3. Plots for (a) simulated electric potential, and (b) simulated electric field for an OET trap formed by illuminating a light pattern on the photoconductive layer of an OET device. The simulated electric potential and electric field are plotted in heat maps (blue = low, red = high). The inset in (b) is a magnified

view of the main-panel data in the dashed square. Simulated gradients of electric field square (c) along X axis (horizontal plane), and (d) Y axis (vertical plane) above the edge of light patterns with different thickness (2.5-85  $\mu$ m).

Shown in Figure 3(a) and (b) are the heat maps of the simulated electric potential and electric field distribution (i.e. cross-sectional view of an OET device). As shown, there is a big potential change at the edge of the light pattern resulting in a region of strong electric field with sharp field variation. This is caused by the difference in conductivity between the illuminated and dark a-Si:H surface. Since the bead reaches its maximum velocity at the edge of the light pattern, it is of great importance to investigate the DEP manipulation force exerted to the bead at the edge of the light pattern. Based on classic DEP theory for spherical microparticles,<sup>36</sup> the DEP force is given by:

$$F_{DEP} = 2\pi r^3 \varepsilon_m Re[K(\omega)] \nabla E^2$$
(5.)

where r is the radius of the bead,  $\varepsilon_m$  is the permittivity of the medium,  $Re[K(\omega)]$  is the real component of the CM factor,  $\nabla E^2$  is the gradient of the external electric field's square. Therefore, the DEP force exerted to the microbead is proportional to the gradient of electric field square (i.e.  $F_{DEP} \propto \nabla E^2$ ). Based on the electric field distribution in Figure 3(b), the gradients of electric field square in X and Y directions (i.e. in horizontal and vertical planes) at the edge of the light pattern can be calculated for light patterns with different thickness, as shown in Figure 3(c) and (d), respectively. Based on the results in Figure 3(c), it can be inferred that the horizontal DEP force exerted to the bead increases as the light pattern thickness increases and the force saturates after the light pattern thickness reaches 20 µm. Besides, based on the results in Figure 3(d), it can be inferred that the vertical DEP force exerted to the bead increases as the light pattern increases and no sign of force saturation is observed. These simulation results provide important insights to the possible physical mechanism for the observed phenomenon on the moving behaviors of microbeads when they are manipulated by light patterns with different thickness. When the light pattern's thickness increases, the horizontal DEP force to balance the fluid viscous drag force also increases, therefore the maximum velocity of the bead increases along with the increase of the light pattern thickness. When the light pattern thickness increases to around 20 µm, the horizontal DEP force starts to saturate, resulting in the saturation of the bead's moving velocity. However, when the light pattern thickness increases to above 20 µm, the vertical DEP force continues to increases and the bead will experience stronger upward DEP force, which can lift the bead and make it escape with a hop. This interesting bead escaping behavior (i.e. the hopping behavior) has been investigated in our previous work and was shown mainly due to vertical DEP force.<sup>38</sup> Therefore, for light patterns above 20 µm, the induced horizontal DEP forces are similar but the induced vertical DEP forces are stronger for light patterns with larger thickness. As a result, the bead is more likely to be lifted up when manipulated by light patterns with larger thickness and thus can escape the OET trap at a slightly lower velocity. This explains why the moving velocity of bead increases to a peak and then start to decreases as the light pattern thickness increases (Figure 2).



Figure 4. (a)-(c) Microscope images of a 10  $\mu$ m polystyrene microbead trapped by rectangular-shaped light patterns with same length but different thickness (i.e. 5  $\mu$ m, 25  $\mu$ m and 110  $\mu$ m), and moving at 35  $\mu$ m/s, 125  $\mu$ m/s and 90  $\mu$ m/s, respectively. Red arrow represents the moving direction. (d) Maximum velocity and maximum DEP force versus light pattern's thickness. Error bars represent standard deviation for five replicates.

To test the universality of the physical mechanism, rectangular-shaped light patterns with fixed length at 270  $\mu$ m but different thickness were used to manipulate a microbead, as shown in Figure 4(a)-(c) (worth noting that rectangular-shaped light patterns are widely used for cell/microparticle sorting in OET-integrated microfluidic devices<sup>17,39-43</sup>). The maximum velocity and maximum DEP force of the bead were measured/calculated accordingly, as shown in Figure 4(d). For a single microbead manipulated by rectangular-shaped light patterns with different thickness, its maximum velocity and maximum DEP force increase rapidly to a peak and then slightly decrease as the light pattern thickness increases from 2.5  $\mu$ m to 135  $\mu$ m. These results are similar to those observed experimentally for "doughnut"-shaped light patterns, suggesting the underlying physical mechanism a universal one that applies for light patterns with different shapes.



Figure 5. (a) SEM image of a micro-gear. (b)-(d) Microscope images of a micro-gear trapped by "doughnut"-shaped light patterns with same inner diameter but different ring thickness (i.e. 10  $\mu$ m, 40  $\mu$ m and 110  $\mu$ m), and moving at 300  $\mu$ m/s, 410  $\mu$ m/s and 350  $\mu$ m/s, respectively. Red arrow represents the moving direction. See Supplementary Video 3 (clip 1-3) for more details. (e) Maximum velocity of the micromotor versus light pattern's thickness. Error bars represent standard deviation for five replicates. (f)-(h) Microscope images showing the escape of a micro-gear from an OET trap with flipping behavior. See Supplementary Video 3 (clip 4) for more details.

Apart from microparticles, more experiments were carried out on other micro-objects, such as gearshaped micromotors, as shown in Figure 5(a). The micromotor is made of SU8 materials and fabricated using standard photolithography technique.<sup>9</sup> It has a size of 200 µm and a height of 60 µm, much larger than the used 10 µm polystyrene microbead. The micromotor can be made to move at different velocities by "doughnut"-shaped light patterns with same inner diameter but different ring thickness, as shown in Figure 5(b)-(d) and Supplementary Video 3 (clip 1-3). The maximum velocity of the micromotor was measured for light patterns with different ring thickness, as shown in Figure 5(e). A similar trend was observed as for the cases with the microbeads, in which the maximum velocity increases to a peak and then slightly decreases as the light pattern thickness increases. These results demonstrate the universality of the physical mechanisms governing the moving behaviors of micro-objects regardless of the size, structure and material of the micro-objects when they are manipulated by OET with light patterns of different thickness. Shown in Figure 5(f)-(h) are microscope images of a micromotor escaping from a "doughnut"-shaped light pattern (from Supplementary Video 3 clip 4). Due to the vertical DEP force, the micromotor is lifted up and flip, and then escape the OET trap. These results help visualize the escaping behaviors of micro-objects in an OET system upon reaching its maximum moving velocity and also highlight the influence of vertical DEP force and related lifting effect on the micro-objects under OET manipulation.

In conclusion, we studied the influence of different light pattern thickness on the moving behaviors of microparticles and micromotors manipulated by OET. The experiments were supported by numerical simulations. It was found that the light pattern thickness can influence both the horizontal and vertical DEP forces significantly, leading to a first increase and then a decrease of the moving velocity of the micro-objects as the light pattern thickness increases. This work provides important information of optimizing light pattern parameters to achieve better OET performance for micromanipulation applications. In particular, users should consider both the horizontal and vertical DEP forces when selecting appropriate light pattern parameters to increase the moving velocities of micro-objects and exert stronger manipulation forces, which is important for improving OET performance for many applications.

#### **Supporting Information**

Descriptions of experimental setup, OET device structure and sample preparation are provided in the Supporting Information file.

#### Acknowledgements

This research was supported by the Natural Sciences and Engineering Research Council of Canada (Grant Nos. RGPIN 2019-04867, CREATE 482073-16, ALLRP 548593-19, and RTI-2019-00300), and National Natural Science Foundation of China (Grant Nos. 11774437, 61975243). The authors acknowledge the support from the Centre for Nanostructure Imaging at the Department of Chemistry, University of Toronto for assistance in collecting SEM images, and the Centre for Research and Applications in Fluidic Technologies (CRAFT) for assistance in micromotor fabrication. A.R.W. acknowledges the Canada Research Chair (CRC) program.

#### Author contributions

S.Z. conceived the idea, built the simulation model, and carried out the the experiments. S.Z. and M.E. built the experimental setup and fabricated the OET devices. Y.C. and Y.Z. deposited the a-Si:H materials. S.Z., S.L.N and A.R.W. wrote the manuscript. All authors discussed the results and commented on the manuscript. S.Z., S.L.N and A.R.W. coordinated and supervised the project.

## **Conflict of Interest**

The authors declare no conflict of interest.

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