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1	HMGB1 as a predictive biomarker for drug-resistant epilepsy: a proof-
2 3	of-concept study
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29 Summary

30 Currently no sensitive and specific biomarkers exist to predict drug-resistant epilepsy. We 31 determined whether blood levels of high mobility group box-1, a mediator of neuroinflammation 32 implicated in drug-resistant epilepsies, identifies patients with drug-resistant seizures. Patients with drug-resistant epilepsy express significantly higher levels of blood HMGB1 than those with drug-33 34 responsive, well-controlled seizures and healthy controls. No correlation existed between blood 35 HMGB1 levels and total pre-treatment seizure count or days since last seizure at new epilepsy diagnosis indicating that blood HMGB1 does not solely reflect ongoing seizures. HMGB1 36 37 distinguishes with high specificity and selectivity drug-resistant vs drug-responsive patients. This protein has therefore potential clinical utility to act as a biomarker for predicting response to 38 therapy which should be addressed in prospective clinical studies. 39

40 key words: epilepsy, drug-resistant, HMGB1, biomarker, neuroinflammation

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42 Introduction

Drug-resistant seizures affect about a third of people with epilepsy. The discovery of biomarkers that
 can predict patients at risk of developing drug-resistance is likely to be critical to the success of
 clinical trials of novel therapeutics for the treatment or prevention of drug-resistance.

46

Mounting evidence suggests that dysregulated neuroinflammation in brain contributes to continued
 seizures and disease progression in drug-resistant structural epilepsies, and may modulate seizure
 response to anti-seizure drugs (ASDs) ¹.

50

51 High Mobility Group Box 1 (HMGB1) mediates sterile neuroinflammation evoked by epileptogenic injury and recurrent seizures². HMGB1 increases in neurons, glia and endothelial cells of the blood 52 brain barrier (BBB) in human drug-resistant epilepsy foci, and in corresponding animal models ^{2,3}. 53 54 Blood levels of HMGB1 appear to mirror brain changes in animal models of acquired epilepsy³. Mice 55 injected intracerebrally with HMGB1 develop more seizures in response to chemoconvulsants 56 whereas mice injected with anti-HMGB1 drugs or anti-HMGB1 monoclonal antibody or lacking HMGB1-activated TLR4 are less susceptible to seizures and less prone to develop epilepsy ^{2,3}. Finally, 57 the HMGB1-TLR4 axis contributes to the overexpression of Pgp, a BBB protein, which is induced in 58 drug-resistant epilepsy foci and extrudes various ASDs from the brain in human ^{4,5} and rodent 59 epilepsy ^{6,7}. Thus, the collective evidence suggests that HMGB1 is implicated in epilepsy and may 60 have therapeutic utility as a mechanistic biomarker for drug-resistance. We sought to elucidate 61 62 whether blood HMGB1 level identifies epilepsy patients with drug-resistant vs drug-sensitive

63 seizures. This information is essential for developing prospective clinical studies to test the 64 predictivity value of HMGB1 for drug-resistance.

65 Methods

66 We examined HMGB1 levels in sera from 65 patients with drug-resistant epilepsy (37 women and 28 67 men, mean age 34.8 years, range 17-65 years, Suppl. Table 1) attending for continuous inpatient 68 video-EEG monitoring, 20 patients with well-controlled epilepsy (seizure-free on ASD therapy for >6 69 months, Suppl. Table 2) included as drug-responsive epilepsy controls, and 74 healthy controls. In a 70 separate pilot study, we examined HMGB1 in the peripheral blood of 26 patients with newly 71 diagnosed epilepsy at baseline. Patients were taking mono (12.5%), dual (37%), triple (38%) or 4 or 72 more therapies (12.5%). There was no statistically significant relationship with the number of drugs administered and the level of serum HMGB1. 73

The protocols for the study involved identification of pharmacoresistance at recruitment. This was
defined as failure of adequate trials of two tolerated, appropriately chosen and used ASD schedules
plus listing for inpatient video-EEG as part of pre-surgical work-up for potential epilepsy surgery.

All patients provided written informed consent. The study protocols for the chronic epilepsy cohort were approved by the local ethics and research committees of both sites, North-West UK REC-Haydock (10/H1010/55) and the ethics committee at Tampere University Hospital. The newlydiagnosed cohort was approved by the West Research Ethics Committee, North Glasgow University (Glasgow, United Kingdom) NHS Trust in August 2003 (ref: 03/74, 1).

82 Chronic epilepsy cohort. Serum samples were obtained at baseline admission to the unit (minimum 83 of 12 hours post-seizure) and prior to withdrawal of ASDs. Continuous video-84 electroencephalography (EEG) undertaken all (60:5 monitoring was in patients 85 symptomatic:idiopathic) at the Walton Centre NHS Foundation Trust (n=15, prospective collection 86 2010-2013) and Tampere University Hospital, Finland (n=50, prospective collection 2004-2007). Ictal 87 scalp recordings were obtained using synchronous digital video and 24-channel standard bipolar EEG 88 for electroclinical characterization of their seizures as part of the routine clinical evaluation for 89 possible epilepsy surgery. Magnetic resonance imaging (MRI) examination was undertaken on a 1.5 90 Tesla machine (General Electric Signa HD, Milwaukee, WI, U.S.A.). The International League Against 91 Epilepsy (ILAE) diagnostic criteria were used to classify seizures and epileptic syndromes. Patients 92 with any other co-morbidities other than epilepsy were excluded from analysis.

93 *Controls.* Healthy volunteers (n=74) without history of seizures (39 women and 35 men, mean age
94 34.1 years, range 19-66 years). Epilepsy controls comprised twenty patients with established in
95 parenthesis12:8 monotherapy:dual therapy) who had been seizure free for longer than 6 months (12

96 women and 8 men, mean age 33 years, range 18-59 years). Baseline blood samples were obtained at
97 the start of scalp recording.

98 Newly diagnosed cohort. Serum samples were obtained at pre-treatment baseline from a 99 randomized trial of ASD monotherapy in newly diagnosed epilepsy conducted at Glasgow Western 100 Infirmary, Scotland. This cohort comprised 26 patients (14 male) with a mean age at diagnosis of 29 101 years (range 17–60). Of these 26 patients, 13 had an initial diagnosis of focal epilepsy, 1 had primary 102 generalized epilepsy, and 12 were unclassified at the time of randomization.

HMGB1 measurement. Serum extracted from whole blood was collected and aliquoted, then stored at -70°C until assayed. Serum samples were assigned random, linked numerical identifiers by the investigator aliquoting the samples. Total HMGB1 level was determined by a commercially available ELISA (Shino-test Corp, Sagamihara, Japan) according to the manufacturer's guidelines as described previously.

108 Statistical analysis

109 Statistical analysis was performed in SPSS. HMGB1 was compared between subjects using one-way 110 ANOVA followed by Dunn's test, the association between MRI abnormalities and HMGB1 by t-test, 111 sub-group analysis of MRI abnormalities by one-way ANOVA and compared to the normal MRI group 112 via pairwise t-test with Bonferroni correction. ANOVA was performed to examine relationship 113 between epilepsy subtype and baseline HMGB1. The false discovery rate was calculated for each test 114 owing to the multiple tests undertaken. Continuous clinical variables were tested for association 115 with HMGB1 using the t-test and categorical variables using χ 2 or Fisher's exact test.

116 Results

117 Chronic epilepsy cohort. Patients with drug-resistant epilepsy had higher levels of HMGB1 (8.70 ± 118 0.47 ng/ml, n=65) than both healthy controls $(1.11 \pm 0.07 \text{ ng/ml}, p<0.01, n=74)$ and patients with 119 drug-responsive epilepsy (1.25 ± 0.15 ng/ml, p<0.01; n=20, Fig. 1a). Drug-resistant patients with an 120 abnormal brain MRI had significantly higher HMGB1 levels (mean ± s.e.m., 9.8 ± 0.7 ng/ml, n=35) 121 than those without imaging abnormalities $(7.4 \pm 0.5 \text{ ng/ml}, n=30, p<0.01; Fig. 1b)$. Sub-group 122 analysis of the abnormal MRI group, comparing focal lesions (hippocampal sclerosis, n=16 or focal 123 cortical dysplasia, n= 8 or other abnormalities, n=11) did not identify any particular lesional 124 abnormality associated with higher levels of HMGB1 (data not shown). Furthermore, comparison 125 within focal epilepsy between those with drug-resistant epilepsy (n=58 out of 65) and well-126 controlled epilepsy (n= 9 out of 20) did not identify a significant difference in HMGB1 levels (data not 127 shown), likely due to small sample size.

128 ROC analysis showed that total HMGB1 level clearly separated drug-resistant from drug-responsive 129 epilepsy (total ROC-AUC 0.99, P = 0.0001; sensitivity at 95% specificity: ROC-AUC 0.94 (95% CI 0.85– 0.98) with a cut-off HMGB1 concentration of 2.3 ng/ml) or healthy controls (AUC=0.99, p=0.0001;
sensitivity at 95% specificity: ROC-AUC of 0.93 (95% CI 0.85–0.99) with a cut-off of HMGB1
concentration of 2.3 ng/ml) (Fig. 1c).

No significant association was identified between index seizure duration, seizure frequency (p=0.61)
in the previous month or epilepsy sub-type (p=0.87) and baseline HMGB1 level.

Newly diagnosed epilepsy cohort. In patients at the time of diagnosis the baseline HMGB1 level in serum was 5.30 ± 4.60 ng/ml (mean±SD; n=28). No correlation was identified between blood HMGB1 level and the total pre-treatment seizure count (n=26, **Fig. 2a**), nor was there any correlation between HMGB1 and the number of days since last seizure at pre-treatment baseline (n=26, **Fig. 2b**). There was no significant difference between HMGB1 levels between those with normal and abnormal MRI scans (data not shown).

141

142 Discussion

We report that patients with long-standing, drug-resistant epilepsy had significantly higher HMGB1 levels in blood compared to patients with drug-responsive, well-controlled epilepsy or healthy controls. Previously, HMGB1 and TLR4 have been shown to be significantly elevated in peripheral blood following acute seizures in patients with epilepsy compared with healthy controls ⁸.

147 Nucleus-to-cytoplasmic translocation of HMGB1, a known mechanism for allowing cellular release, has been demonstrated in neurons and astrocytes in human tissue resected at surgery from drug-148 resistant epilepsy foci^{2,9}. It is possible therefore that blood levels reflect brain-to-blood passage 149 although one cannot exclude that circulating HMGB1 may also be derived from peripheral 150 leukocytes. Additionally, HMGB1 is up-regulated in skeletal muscle inflammation and is released 151 upon tissue injury ¹⁰, therefore suggesting a possible source being muscle due to generalised seizure 152 153 activity; however, this would not explain the high levels of HMGB1 measured in patients with focal-154 only seizures. Notably, we found that blood HMGB1 levels were higher in long-standing, drug-155 resistant epilepsy patients with MRI abnormalities known to be associated with a greater risk of developing drug resistance ¹¹, possibly reflecting more extensive reactive gliosis due to structural 156 damage. There was no significant association with imaging abnormalities in either well-controlled or 157 newly-diagnosed patients with epilepsy (however, only 5 out of 26 patients in this cohort had MRI 158 159 scans reported as abnormal). Furthermore, comparison of focal epilepsy between those with drug-160 resistant epilepsy and well-controlled epilepsy did not identify a significant difference in HMGB1 161 levels, likely due to small sample size. Focal epilepsy predominates in the drug-resistant group, reflecting real-world practice. Indeed, generalized epilepsies, largely presumed to be genetic in 162 163 origin, are generally easier to control on monotherapy. Focal epilepsies arise as a consequence of varied and multifactorial lesional abnormalities and have a more complex response to therapy. Thus,
the higher baseline levels of HMGB1 seen in drug-resistant epilepsy may also reflect the underlying
brain pathology of focal epilepsy, but still serves as a potentially valuable biomarker regardless.

167 Different epilepsy types do not appear to significantly impact on HMGB1 levels, as reported in a 168 recent study ¹². In particular, this study confirmed in independent patient cohorts that serum levels of HMGB1 are significantly elevated in drug-resistant patients vs controls. Notably, in the drug-169 170 resistant cohort there was no significant difference in serum HMGB1 levels between patients with 171 symptomatic etiology ($4.98 \pm 2.90 \text{ ng/mL}$, n =15) and cryptogenic etiology ($3.97 \pm 2.72 \text{ ng/mL}$, n =12, 172 p =0.323). Additionally, no significant difference in serum HMGB1 levels was found among drug-173 resistant patients with focal onset seizures (4.58 ±2.88 ng/mL, n = 12), generalized onset seizures 174 (3.46 ± 2.47 ng/mL, n = 7), and unknown onset seizures (5.40 ±3.03 ng/mL, n =8; p =0.526). In epileptic dogs, with regard to seizure etiologies, serum HMGB1 concentrations of idiopathic 175 176 epilepsy and structural epilepsy were significantly higher than those of the healthy control dogs. 177 However, there were no significant differences between the serum HMGB1 concentrations of idiopathic vs structural epilepsy dogs $^{13}(P = .41)$. 178

The available data therefore support that higher levels of HMGB1 in serum of drug-resistant vs drugresponsive patients are unlikely to reflect the different epilepsy types or different seizure types but rather they likely reflect intrinsic propensity of the patient to respond or not respond to antiseizure medication.

183 Importantly, our data indicate that changes in blood HMGB1 do not solely reflect ongoing seizure 184 activity, although repeated generalized seizure activity may give risk to low-level HMGB1 release and 185 is consistent with low HMGB1 levels in those with well-controlled epilepsy. However, we report a lack of correlation between blood HMGB1 level and pre-treatment seizure count consistent with the 186 187 lack of correlation between HMGB1 blood levels and the number of seizures in chronic epileptic rats with long-standing spontaneous seizures¹⁴. Moreover, should HMGB1 purely reflect seizure activity, 188 then one would expect those patients with the most recent seizures to express higher levels of the 189 190 biomarker. We did not find this to be case in our studies.

This proof-of-concept study shows that blood HMGB1 differentiates drug-resistant from wellcontrolled epilepsy, and that the blood levels are not merely determined by ongoing seizures, suggesting that HMGB1 reflects intrinsic pathogenesis of drug-resistant seizures. Thus, this novel evidence supports the hypothesis that blood HMGB1 might identify patients with the highest risk of developing drug-resistant epilepsy. Prospective clinical studies examining the prognostic value of blood HMGB1 at epilepsy diagnosis, along with elucidating the extent to which structural, focal abnormalities contribute to elevated HMGB1, are needed. Additionally, whether HMGB1 acts as a 198 biomarker for predicting response to therapy in patients treated with either available ASDs or new 199 agents in development. This would be consistent with studies in a rat model of drug-resistant epilepsy which have shown that blood HMGB1 levels are good predictors of the therapeutic effects 200 of both anti-inflammatory and anti-oxidant drugs^{14,15}. Targeting inflammation may represent a novel 201 202 therapeutic strategy for epilepsy, and circulating biomarkers able to demonstrate both target engagement and treatment response are of high value to guide drug discovery. Existing anti-203 204 inflammatory drugs could be repurposed towards drug-resistant epilepsy, at doses sufficient to 205 pharmacokinetic and pharmacodynamic parameters capable of achieve modulating 206 neuroinflammation. One notable example is the recent use of anakinra, the IL-1 receptor antagonist, to control unremitting seizures in patients with new onset refractory status epilepticus¹⁶. 207

208

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219 We confirm that we have read the Journal's position on issues involved in ethical publication and

affirm that this report is consistent with those guidelines.

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- 267 Figure Legends
- 268
- Figure 1. HMGB1 levels in serum of drug-resistant and drug-sensitive epilepsy patients and healthy
 controls
- 271 Panel (A): Dot blot (left) shows total HMGB1 levels in healthy controls (1.1 ± 0.07 ng/ml, n=74; light
- 272 blue symbol) and patients with well-controlled epilepsy (1.2 ± 0.15 ng/ml, n=20; dark blue symbol)
- or drug-resistant epilepsy (8.7 ± 0.47 ng/ml, n=65; p<0.01; red symbol). Data are presented in each
- group as individual values as well as the mean value ± s.e.m.; **p<0.001 by one way ANOVA.
- 275 ROC analysis (right) of total HMGB1 discriminates (AUC 0.99) between patients with drug-resistant
- 276 seizures and both healthy controls and those with well-controlled seizures.
- 277 Panel (B): Total HMGB1 levels in blood serum of drug-resistant epilepsy patients (mean ± SEM, n=65)
- with (n=35) or without (n=30) MRI abnormalities. *p< 0.01 by t-test.
- 279
- 280 **Figure 2.** *HMGB1 and pre-treatment seizures*
- Lack of correlation between high mobility group box-1 (HMGB1) and the total pre-treatment seizure
- count reckoned at diagnosis (baseline visit, n=26; panel A) and the number of days since last seizure
- 283 (panel B) in patients with newly diagnosed epilepsy.

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