



Wei, M., Huang, S., Li, L., Zhang, T., Akram, W., Khatoon, Z. and Renaud, F. G. (2022) Evolution of water quality and biota in the Panjiakou Reservoir, China as a consequence of social and economic development: implications for synergies and trade-offs between Sustainable Development Goals. *Sustainability Science*, 17, pp. 1385-1404. (doi: [10.1007/s11625-021-01046-2](https://doi.org/10.1007/s11625-021-01046-2)).

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Deposited on: 10 October 2021

1        **Evolution of water quality and biota in the Panjiakou Reservoir, China as a**  
2        **consequence of social and economic development: implications for synergies and**  
3        **trade-offs between Sustainable Development Goals**

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14

15 **Abstract**

16 Water shortages caused by poor water quality severely affect the sustainable social  
17 and economic development of Tianjin City and Tangshan City in China. The Panjiakou  
18 Reservoir is located in the Luanhe River mainstream and provides water to the public  
19 in both cities since 1983. The evolution of water quality and aquatic community  
20 structure in the Reservoir and interactions with the social and economic development  
21 of its surroundings (Tangshan City and Chengde City) were analyzed. The relations  
22 between these changes and the various interrelated Sustainable Development Goals  
23 (SDGs) were also evaluated. From 1984 to 2019, the overall trend of total nitrogen (TN),  
24 total phosphorus (TP), chemical oxygen demand (COD<sub>Mn</sub>), and ammonia nitrogen  
25 (NH<sub>3</sub>-N) concentrations first increased and then decreased with economic growth. The  
26 organisms with strong ecological adaptability and pollution resistance became  
27 dominant species in the aquatic community. These included chlorophyta, cyanophyta  
28 and bacillariophyta among phytoplankton, copepods among zooplankton, and  
29 tubificidae and chironomidae among zoobenthos. The completion of Panjiakou  
30 Reservoir satisfied the water demand of Tianjin City and Tangshan City as well as  
31 benefited the economic and social development of the surroundings. However, high  
32 levels of pollutants produced by cage fish culture, mineral processing, and tourism  
33 threatened the water environment, which would in turn harm society and the economy.  
34 Therefore, fish cages were removed and other environmental protection measures were  
35 implemented to ensure the safety of drinking water. This study demonstrated that

36 considering comprehensively the individual needs inside and across catchments to  
37 minimize trade-offs and maximize synergies was of great significance for coordinating  
38 the relations among society, economy, and water resources to achieve sustainable  
39 development at the sub-national scale.

40

41 **Keywords:** Sustainable development, Evolution of Water quality and biota, Society  
42 and economy, Synergy and trade-off, Panjiakou Reservoir

## 43 **1. Introduction**

44 The shortage of freshwater resources is an important factor restricting regional  
45 economic and social development. As a result, developing the water environment and  
46 ecosystem management system is important for the sustainable development of water  
47 supplies and the social economy (Li et al. 2020; Biswas 1991). However, in many cities  
48 around the world, population growth, rapid socioeconomic development, and global  
49 environmental changes, have resulted in a cycle of floods and droughts (Hirabayashi et  
50 al. 2008).

51 Tianjin City, a metropolis with a population more than 15 million, is situated at  
52 the confluence of the Haihe River mainstream and its major tributaries. Before 1965,  
53 Tianjin's water consumption could be met by the Haihe River. Due to frequent drought  
54 disasters, however, the water level of the Haihe River dropped to 0.48 m below sea  
55 level, resulting in saline water intrusion and a water supply crisis (Liu 1983). The water  
56 consumption of residents dropped from 70 L d<sup>-1</sup> per capita to 65 L d<sup>-1</sup> per capita, and  
57 part of the water was bitter saltwater containing chloride concentrations greater than  
58 1000 mg L<sup>-1</sup> (Jowett 1986). People's livelihoods, as well as industrial and agricultural  
59 production, have begun to face unprecedented challenges. Between 1965 and 1972,  
60 water from Beijing Miyun Reservoir was transferred to Tianjin City to supplement the  
61 water supply. However, following a severe drought in 1972, the Miyun Reservoir was  
62 unable to support Tianjin City's water supply. As a result, water from the Yellow River  
63 had to be transferred to Tianjin City (Jowett 1986), which can only be used as an

64 emergency source of water and cannot solve the problem of water scarcity in a  
65 sustainable and perennial way. Furthermore, severe land subsidence in Tianjin City was  
66 caused by over-exploitation of groundwater (Yi et al 2011).

67 Tangshan City, with a population of 7.96 million people, is also a major heavy  
68 industrial city in northern China. Tangshan City's water consumption was low before  
69 1952. Tangshan City's water consumption, however, has increased rapidly as a result  
70 of the development of industry and agriculture, resulting in a severe shortage of water  
71 resources. The average annual per capita water resources of Tangshan is only 305 m<sup>3</sup>,  
72 accounting for 1/8 of the average level in China (Gong et al. 2009). Groundwater was  
73 the main supply of water in Tangshan City. Due to long-term over-exploitation of  
74 groundwater, groundwater depletion occurred in many places, which caused land  
75 subsidence, seawater intrusion, severe land desertification, and salinization, resulting  
76 in large economic losses (Liu et al. 2017).

77 Water resource shortage severely affected the lives of citizens, industrial and  
78 agricultural production, and social stability in Tianjin City and Tangshan City. It  
79 became a bottleneck for economic and social development. The only way to effectively  
80 alleviate the water crisis was to carry out external replenishment (Li and Zheng 2002;  
81 Liu and Yang 2002). The Luanhe River, located in the northeastern part of North China,  
82 flows directly to Bo Hai Sea with a general direction from northwest to southeast. The  
83 total length of the main river channel is 888 km, with a drainage area of 44750 km<sup>2</sup>  
84 (Figure 1). In 1987, it was considered that the Luanhe River basin had abundant water

85 resources and good water quality (Li and Zheng 2002). For many years the average  
86 amount of surface water source was 5.51 billion m<sup>3</sup> and the number of groundwater  
87 resources was 2.95 billion m<sup>3</sup>, representing the richest northern water resources (Tian  
88 et al. 2019). The State Development Planning Commission of China was instructed to  
89 speed up the construction of the Luanhe River water conservancy project in 1973 to  
90 alleviate the fundamental imbalance between supply and demand for water in Tianjin  
91 City and Tangshan City. Following this, the construction of "Luanhe River Diversion  
92 Project" (LRDP) was officially launched.

93 The Panjiakou and Daheiting Reservoirs, the "Diversion Canal from Luanhe to  
94 Tianjin City" (DCLTJ), and the "Diversion Canal from Luanhe to Tangshan City"  
95 (DCLTS) are all part of the LRDP (Figure 1). The Panjiakou Reservoir is the water  
96 source of the LRDP, and has an area of 33,700 km<sup>2</sup>, with a total storage capacity of  
97 2.93 billion m<sup>3</sup> and an annual average runoff of 2.45 billion m<sup>3</sup> (53% of the total runoff  
98 in the Luan River basin) (Tian et al. 2019). The reservoir is mainly used to adjust the  
99 water volume to supply water to Tianjin City and Tangshan City while preventing  
100 flooding and generating electricity. The Daheiting Reservoir, about 30 km downstream  
101 of the Panjiakou Reservoir, receives incoming water from upstream and raises the water  
102 level for counter-regulation. Together with the Panjiakou Reservoir, it is used to divert  
103 water to Tianjin City and Tangshan City through the DCLTJ and DCLTS. The  
104 Panjiakou Reservoir began supplying water to Tianjin City and Tangshan City in 1983  
105 and 1985, respectively. By 2020, Panjiakou Reservoir has supplied 19.4 billion m<sup>3</sup> of

106 water to Tianjin City, with an average annual supply of 520 million m<sup>3</sup>, and 5.6 billion  
107 m<sup>3</sup> to Tangshan City, with an average annual supply of 160 million m<sup>3</sup>, which has made  
108 significant contributions to the Tianjin City and Tangshan City sustainable socio-  
109 economic development as well as ecological civilization construction (Wang 2020).

110 Domestic sewage, industrial and agricultural wastewater were excessively  
111 discharged into the reservoir with the development of the economy and society, and a  
112 large number of nutrients were imported from cage fish culture, both of which  
113 aggravated the pollution load and significantly increased the trophic state of the water  
114 body (Domagalski et al. 2007). Some studies revealed that the reservoir's water quality  
115 was generally Class V or inferior V (See Table 1 for an explanation of the quality  
116 standards), and the trophic state had progressed from oligotrophic to eutrophic (Luo et  
117 al. 2011). Furthermore, the community structure of aquatic organisms in the reservoir  
118 had changed dramatically in response to changes in water quality. Organisms with  
119 strong pollution tolerance became dominant species, while the species with poor  
120 ecological adaptability almost disappeared (Li et al. 2012). Water shortage caused by  
121 poor overall water quality has become the main factor restricting the sustainable  
122 development of water resources, society, and economy in Tianjin City and Tangshan  
123 City. As a result, it is urgent to balance the development of the Luanhe River basin's  
124 economy with the effective utilization and protection of the water resources of the  
125 Panjiakou Reservoir.



126 With growing attention to sustainable development issues, the Sustainable  
127 Development Goals (SDGs) were put forward in 2015, and include 17 goals, 169 targets,  
128 and 231 unique indicators (Allen et al. 2019). However, there are synergies and trade-  
129 offs between the goals and targets which cannot be ignored. For instance, dams and  
130 reservoirs are built to generate energy (SDG 7), while these infrastructures may harm  
131 ecosystems (SDG 15). Only by minimizing trade-offs and maximizing synergies in the  
132 process of economic and social development can sustainable development be achieved  
133 (Nilsson et al. 2016).

134 The goals of this study were to 1) investigate the inter-annual variations in water  
135 quality and aquatic community structure in the Panjiakou Reservoir, 2) analyze the  
136 driving mechanism and interactions with social and economic development in the  
137 surroundings of the reservoir, and 3) evaluate the links between these changes and the  
138 various interrelated goals and targets of SDGs. Sustainable development can only be  
139 achieved by properly coordinating the relationships between water, society, and the  
140 economy.

141

## 142 **2. Materials and methods**

### 143 **2.1 Study area and sampling locations**

144 The Panjiakou Reservoir is located on the Luanhe River's mainstream, on the  
145 border of Kuancheng Manchu Autonomous County, Chengde City and Qianxi County,  
146 Tangshan City. It is located within the Luanhe River basin. Luanhe River basin is

147 located in the northeastern part of the north China plain (115°57'–119°59' E and  
148 39°42'–42°70' N) with a drainage area of 54,400 km<sup>2</sup> (Figure 1). It belongs to a typical  
149 temperate continental monsoon climate. From 1956 to the present, the average annual  
150 temperature of the basin is 5~12°C. The average annual precipitation is 560 mm, and  
151 about 70%~80% of the precipitation falls from June to September (Li and Feng 2007).

152 Samples for water quality and biota (phytoplankton, zooplankton, and zoobenthos)  
153 were collected from three sampling locations in the Panjiakou Reservoir, including  
154 Yanziyu (118°17'41"E, 40°27'59"N), Reservoir Center (118°17'8"E, 40°25'39"N), and  
155 Dam Neighbourhood (118°16'59"E, 40°23'40"N) (Figure 1). Water quality samples  
156 were collected monthly (except for the freezing period: December to March) from 1984  
157 to 2019. The sampling periods of phytoplankton, zooplankton and zoobenthos were  
158 shown in Tables 2, 3, and 4. Arithmetic average concentrations of water quality  
159 indicators at the above three sampling places within a year were used for that year. It  
160 was considered by many researchers (e.g., Wang 2020; Xing et al. 2009; Dai et al. 2018)  
161 that the selection of these sampling locations was reasonable and the data can represent  
162 the water quality and water ecological environment evolution of the Panjiakou  
163 Reservoir reliably. In addition, there were no specific sampling locations for the fish  
164 community structure because they are mainly affected by the cage fish culture.

165

## 166 2.2 Environmental Kuznets Curve

167 Grossman and Krueger's (1991) study sparked interest in the relationship between  
168 economic growth and environmental degradation. They proposed the Environmental  
169 Kuznets Curve (EKC) hypothesis after studying the relationship using the Kuznets  
170 Curve proposed by American economist Kuznets. This hypothesis held that in the early  
171 stage of economic development, environmental quality decreased with the increase of  
172 per capita income, while once income reached the inflection point, economic growth  
173 would help to improve environmental quality, namely, the two showed an inverted U-  
174 shaped relationship. Since the proposal of EKC, an increasing number of scholars have  
175 conducted EKC empirical studies on relevant data from various countries or regions  
176 (Copeland et al. 2004; Arrow et al. 1995; Cole et al. 1997; Ongan et al. 2021), greatly  
177 enhancing ECK's formation theory. In this study, EKC was also applied to simulate the  
178 relationship between economic growth and water quality in the Panjiakou Reservoir, to  
179 reflect the relationship between social and economic development and quality of the  
180 water environment.

181 At present, there are three types of basic EKC models: quadratic function (1), cubic  
182 function (2), and logarithmic function (3) as follows (Ongan et al. 2021):

$$183 \quad y=a+b_1 \times x+b_2 \times x^2 \quad (1)$$

$$184 \quad y=a+b_1 \times x+b_2 \times x^2+b_3 \times x^3 \quad (2)$$

$$185 \quad \ln y=a+b_1 \times \ln x+b_2 \times (\ln x)^2+b_3 \times (\ln x)^3 \quad (3)$$

186       Where  $y$  is the environmental pressure, usually expressed by environmental  
187       quality indicators or pollutant discharges;  $x$  is the economic output of the country or  
188       region, generally expressed by GDP or per capita GDP;  $a$  is a constant;  $b_1$ ,  $b_2$ , and  $b_3$   
189       are model related parameters.

190       Since Panjiakou Reservoir sits on Luanhe River mainstream and is an open water  
191       body, its water quality driving forces are very complex and theoretically numerous from  
192       a microscopic point of view. However, water qualities in the reservoir are mainly  
193       dependent on several aspects practically and macroscopically, for example, pollutants  
194       carried by the Luanhe River mainstream and its tributaries in the upstream of the  
195       Panjiakou Reservoir (these areas are mainly administered by Chengde City), pollutants  
196       produced by cage fish culture in the reservoir (Panjiakou Reservoir and its close  
197       surroundings are mainly administered by Tanshan City), pollutants discharged out with  
198       releasing water downstream through the dam and pollutants degraded due to chemical  
199       and biological action in the water.

200       In reality, it is quasi-impossible to find GDP data generated by people and  
201       industries located clearly around the Panjiakou Reservoir. The GDP values used in this  
202       paper are for Chengde City and Tangshan City, two large surrounding zones of the  
203       Panjiakou Reservoir. The former was used to reflect the effect of GDP values from  
204       areas upstream of the Panjiakou Reservoir on the water qualities in the reservoir or  
205       account for the effect of pollutants generated by various economic activities and carried  
206       in by Luanhe River mainstream and its tributaries upstream of the Panjiakou Reservoir

207 and the latter was applied here to explain the effect of GDP values from areas closely  
208 around the reservoir, including the GDP generated by cage fish culture in the reservoir.  
209 Since Tianjin City is not part of the Luan River Basin and its social and economic  
210 development does not affect the water quality of the Panjiakou Reservoir, Tianjin City's  
211 GDP was excluded in this study.

212

### 213 **2.3 Data sources and analysis**

214 The topography and area of the basin were determined by the high-resolution (30  
215 m) digital elevation data from the Digital Elevation Model of the Shuttle Radar  
216 Topography Mission (SRTM DEM), using the GCS\_Beijing\_1954 geographic  
217 coordinate system. The water quality, aquatic community structure and inflow of the  
218 Panjiakou Reservoir were obtained by referring to published papers. Due to the large  
219 number of references cited, they were not listed here but were listed in each section  
220 separately for greater clarity. The social and economic development of the surroundings  
221 of the reservoir were obtained by consulting statistical yearbooks. In addition, through  
222 field research and visiting specialised agencies, the relevant policy changes in the  
223 Panjiakou Reservoir and its surroundings were obtained. The relevant policies for  
224 Panjiakou Reservoir and its surroundings over the years were shown in Table S1 in the  
225 Supplementary Material.

226 Statistical analyses were performed using the Statistical Program for Social  
227 Sciences (SPSS) 11.0 software. The interactions between variables were investigated

228 using regression and correlation analyses, with a  $p$ -value of 0.05 used to determine  
229 significance. All data were graphed and descriptively analyzed using Origin 10.5  
230 software. The map of the study area was created using ArcGIS 11.2. Based on a  
231 comprehensive literature review (Nilsson et al. 2016; Mainali et al. 2018; Wiegleb and  
232 Bruns; Colloff et al. 2019; Allen et al. 2019; Le et al. 2015) and the research of our  
233 project, the figures of relationships between goals and targets of SDGs were drawn with  
234 Gephi 0.9.2.

235

### 236 **3. Results**

#### 237 **3.1 Inter-annual variation of inflow of the Panjiakou Reservoir**

238 In the past 60 years (1956~2016), the annual inflow of the Panjiakou Reservoir  
239 has shown a significant downward trend, from around  $40 \times 10^8 \text{ m}^3 \text{ a}^{-1}$  to  $10 \times 10^8 \text{ m}^3 \text{ a}^{-1}$   
240 <sup>1</sup>. The inter-annual variation of the inflow of the reservoir is usually greatly affected by  
241 weather patterns and human activities (Scanlon et al. 2007; Jie 2007). As can be seen  
242 from Figure 2, the rainfall decreased from 729 mm to 335 mm over the period, with an  
243 average of 489 mm. It was essentially consistent with the variation tendency of inflow  
244 of the Panjiakou Reservoir, which showed that rainfall was the direct driving factor for  
245 inflow (Zeng et al. 2012). Furthermore, human activity had an important impact on the  
246 Panjiakou Reservoir's inflow. The average water consumption in the upstream river  
247 increased from  $1.2 \times 10^8 \text{ m}^3 \text{ a}^{-1}$  to  $6.7 \times 10^8 \text{ m}^3 \text{ a}^{-1}$ , leading to the decrease of the inflow  
248 of the Panjiakou Reservoir (Xu et al. 2013). The shortage and spatiotemporal variability

249 of water resources have been exacerbated by the reduction in reservoir inflow. It had a  
250 direct impact on the river's ecosystem's physical, chemical, and biological processes, as  
251 well as the reasonable allocation, development, and use of water resources (Li and Feng,  
252 2007). Thus, the sustainable economic, social developments and ecological security of  
253 the basin were threatened.

254

### 255 **3.2 Inter-annual variation of water quality in the Panjiakou Reservoir**

256 The water quality of the Panjiakou Reservoir was mainly affected by cage fish  
257 culture in the reservoir directly and social and economic growth indirectly in its upstream  
258 and surrounding areas. The scale of cage fish culture expanded rapidly from 1990 to  
259 meet local people's need of improving living standard (Wang and Liu 2008). Since the  
260 reform and opening up in 1987, China attached great importance to economic  
261 development (Lu et al. 2019). However, the coastal areas developed first, while the  
262 inland areas developed slowly. It was not until after 2000 that the economic  
263 development of the surroundings of the Panjiakou Reservoir began to enter the "golden  
264 decade" due to the support of China's economic policies (Lin 2011). In addition, China  
265 has paid more attention to water environmental protection and issued a number of  
266 policies in the past decade, such as the "Opinions on Strengthening Key Environmental  
267 Protection Work" issued by China State Council in 2011, "The Action Plan for Water  
268 Pollution Prevention and Control" issued by China State Council in 2015, and "The  
269 comprehensive improvement of the rural environment in the 13<sup>th</sup> Five-Year Plan"

270 issued by National Development and Reform Commission and Ministry of Housing and  
271 Urban-Rural Development in 2016. The water environment management has been  
272 strengthened continuously (Xie 2020). Besides, fish cages began to be removed at the  
273 end of 2016 and fully removed in May, 2017 to ensure the safety of the water source  
274 (Wang 2020). Taking these factors into consideration, this study analyzed variation of  
275 main pollutants in the Panjiakou Reservoir from 1984 to 2000, 2001 to 2010, 2011 to  
276 2015, and 2016 to 2019.

277 In this study, the four most representative pollution indicators, namely total  
278 nitrogen (TN), total phosphorus (TP), chemical oxygen demand (COD<sub>Mn</sub>), and  
279 ammonia nitrogen (NH<sub>3</sub>-N), were selected to represent the inter-annual variation of  
280 water quality in Panjiakou Reservoir. These indicators were closely related to the  
281 economic and social activities in the Panjiakou Reservoir, its surroundings and  
282 upstream of the Panjiakou Reservoir. To be more specific, quite a large amount of fish  
283 food containing organic matter, nitrogen and phosphorus were utilized to feed  
284 carnivorous and omnivorous fish in the reservoir. As a result, fish food residues and  
285 excreta contribute significantly to nutrient contaminants (Degefu et al. 2011, Huang  
286 and Xiang 2015). Furthermore, sewage discharged from both industrial and residential  
287 operations had high levels of ammonia nitrogen and organic contaminants (Wang 2020).  
288 Figure 3 displays changes in the concentrations of these four indicators in the Panjiakou  
289 Reservoir from 1984 to 2019. From the initial use of the reservoir in 1984 to 2000, TN  
290 concentrations exceeded 2.00 mg L<sup>-1</sup> in 1984 and 1989, which could not meet Class V



291 of the Chinese Environmental Quality Standards for Surface Water (EQS). While in the  
292 remaining years they were relatively stable, maintaining a level below  $1.50 \text{ mg L}^{-1}$ ,  
293 which could meet EQS Class IV. TP concentrations were relatively high in 1991, at  
294  $0.075 \text{ mg L}^{-1}$ , while its average value in other years was  $0.034 \text{ mg L}^{-1}$ , which was in  
295 line with EQS Class III.  $\text{COD}_{\text{Mn}}$  concentrations decreased from  $3.38 \text{ mg L}^{-1}$  to  $0.81 \text{ mg}$   
296  $\text{L}^{-1}$  from 1984 to 1995, which upgraded from EQS Class II to EQS Class I. However, it  
297 increased continuously with a maximum value of  $3.16 \text{ mg L}^{-1}$  from 1995 to 2000, which  
298 could meet EQS Class II.  $\text{NH}_3\text{-N}$  concentrations ranged from 0.01 to  $0.46 \text{ mg L}^{-1}$ , with  
299 an average value of  $0.143 \text{ mg L}^{-1}$ , which could meet EQS Class II.

300 During the first decade of the 21<sup>st</sup> century, TN concentrations showed an  
301 increasing trend and reached a maximum value of  $5.3 \text{ mg L}^{-1}$ , which could not meet the  
302 EQS Class V. TP concentrations increased slowly with a range of  $0.020 \sim 0.091 \text{ mg L}^{-1}$   
303 and an average value of  $0.043 \text{ mg L}^{-1}$ , which met EQS Class III.  $\text{COD}_{\text{Mn}}$   
304 concentrations varied from  $2.29 \text{ mg L}^{-1}$  to  $3.58 \text{ mg L}^{-1}$  with an average of  $3.03 \text{ mg L}^{-1}$ ,  
305 which could reach EQS Class II.  $\text{NH}_3\text{-N}$  concentrations varied from  $0.09 \text{ mg L}^{-1}$  to  $0.82$   
306  $\text{mg L}^{-1}$ , which could meet EQS Class III.

307 From 2011 to 2015, TN concentrations decreased to  $3.16 \text{ mg L}^{-1}$ , while TP  
308 concentrations gradually increased to  $0.179 \text{ mg L}^{-1}$ , both of which were below the class  
309 V of EQS. The concentrations of  $\text{COD}_{\text{Mn}}$  ranged from  $3.35 \text{ mg L}^{-1}$  to  $4.40 \text{ mg L}^{-1}$ , with  
310 an average value of  $4.03 \text{ mg L}^{-1}$ , which could meet EQS Class III.  $\text{NH}_3\text{-N}$  decreased  
311 continuously and dropped to  $0.40 \text{ mg L}^{-1}$ , which met EQS Class II.

312 Removal of the fish cages in the Panjiakou Reservoir started at the end of 2016  
313 and all fish cages in the reservoir and its upstream were removed, resulting in a  
314 significant drop in the concentrations of TP, NH<sub>3</sub>-N, COD<sub>Mn</sub>. In 2019, the  
315 concentrations of TP, NH<sub>3</sub>-N, and COD<sub>Mn</sub> were 0.060 mg L<sup>-1</sup>, 0.057 mg L<sup>-1</sup> and 2.0 mg  
316 L<sup>-1</sup>, respectively, which were in line with EQS Class III, I, and I, respectively. However,  
317 TN showed a slight upward trend, rising to 4.11 mg L<sup>-1</sup> in 2019, which was lower to  
318 EQS Class V. The classification of water quality is shown in Table 1.

319

### 320 **3.3 Inter-annual variations of aquatic community structure**

#### 321 **3.3.1 Inter-annual variations of phytoplankton community structure**

322 The six phytoplankton community structure data of the Panjiakou Reservoir for  
323 the years 1987~1988, 2001~2002, 2009, 2010, 2015, and 2019 are shown in Table 2. It  
324 can be seen that the diversity and density of phytoplankton species in the Panjiakou  
325 Reservoir varied greatly over time. From 1987 to 2019, the overall trend of  
326 phytoplankton species diversity in the reservoir gradually declined, from 83 genera to  
327 47 genera. According to the data, the species diversity was relatively stable after 2000,  
328 while the phytoplankton density increased from  $1.88 \times 10^6$  cells L<sup>-1</sup> in 1987 to  $113.6 \times$   
329  $10^6$  cells L<sup>-1</sup> in 2015, an approximately 60 fold increase. However, in 2019, the  
330 phytoplankton density decreased to  $35.1 \times 10^6$  cells L<sup>-1</sup>.

331 The phytoplankton community structure of the Panjiakou Reservoir was also  
332 varying constantly. The community structure was bacillariophyta type for the years of

333 1987~1988 and 2001~2002, but changed to cyanophyta-chlorophyta type both in 2009  
334 and 2010. The community structure converted into chrysophyta-cyanophyta type by  
335 2015, but altered into chlorophyta-bacillariophyta in 2019. It can be seen that there was  
336 a trend of transformation from a single bacillariophyta to chlorophyta, cyanophyta, and  
337 bacillariophyta in the Panjiakou Reservoir, which was discussed in detail in Section 4.1.

338

### 339 **3.3.2 Inter-annual variations of zooplankton community structure**

340 The inter-annual variations of the zooplankton community structure in the  
341 Panjiakou Reservoir and sampling periods are shown in Figure 4 and table 3 for the  
342 years 2001~2002, 2010 and 2015. In terms of species diversity, the highest number of  
343 species was 194 in 2010 and the lowest was 22 in 2015. The zooplankton density did  
344 not alter significantly over time, with an average of 525.7 ind L<sup>-1</sup>. Protozoon and rotifers  
345 dominated the species composition in 2001 and 2002, and rotifers dominated in 2010  
346 and 2015. However, copepoda was always the dominant species in terms of density  
347 composition.

348

### 349 **3.3.3 Inter-annual variation of zoobenthos community structure**

350 According to the data from the community structure of zoobenthos in the  
351 Panjiakou Reservoir for the years 1986~1987, 1997, 1999~2000, 2001~2002, and 2015  
352 (Table 4), it can be seen that the maximum species richness occurred in 2001, while the  
353 minimum appeared in 2015. The density of zoobenthos exceeded 2000 ind m<sup>-2</sup> in

354 1999~2000 and 2015, while it was around 1500 ind m<sup>-2</sup> in other years. The dominant  
355 species were tubificidae (oligochaete) and chironomidae with strong ecological  
356 adaptability and pollution tolerance. Also, the Goodnight-Whitley Index (GI) was  
357 employed to assess the water environment. GI was expressed as the ratio of oligochaete  
358 densities and zoobenthos densities. The different GI indicated different water quality  
359 status. When GI is lower than 60%, it indicates that the water body is in good condition,  
360 between 60% and 80% moderately polluted, and larger than 80% seriously polluted. As  
361 can be seen from Table 4, GI for 1986~1987, 1997, 1999~2000, 2001~2002, and 2015  
362 was 37%, 39%, 55%, 83%, and 97%, respectively, showing a gradually increasing trend  
363 of pollution.

364

#### 365 **3.3.4 Inter-annual variations of fish community structure**

366 Cage culture had a significant impact on the fish community structure of the  
367 Panjiakou Reservoir (see 3.5 for the development of cage fish culture). Cage fish culture  
368 in the Panjiakou Reservoir began in the late 1980s. Initially, only a small amount of  
369 filter-feeding fish such as silver carp and bighead carp were bred in cages at the entrance  
370 of the upstream river of the reservoir. In the early 1990s, the scale of breeding began to  
371 rapidly expand, from a few hundred cages to tens of thousands of cages. Breeding  
372 species were also gradually increasing, and feed-eating fish such as carp and crucian  
373 appeared (Wang and Liu 2008). In the 1990s, about 20 species of fish were discovered  
374 in the reservoir, primarily carp, crucian carp, silver carp, bighead carp, grass carp,

375 catfish, and so on (Kang et al. 2020). Food-eating fishes like carp and grass carp, as  
376 well as filter-feeding fishes like white carp and silver carp, dominated the fish  
377 community structure (Liu et al. 2019).

378

### 379 **3.4 Economic development changes in the surroundings of the Panjiakou**

#### 380 **Reservoir**

381 The rate of economic development in the surroundings of Panjiakou Reservoir  
382 accelerated with the completion and use of the Panjiakou Reservoir. From 1984 to 2019,  
383 the GDP of Chengde City and Tangshan City increased significantly, from  $0.13 \times 10^6$   
384 ten thousand yuan to  $16.61 \times 10^6$  ten thousand yuan and  $0.48 \times 10^6$  ten thousand yuan  
385 to  $75.04 \times 10^6$  ten thousand yuan, respectively (Figure 5). The primary, secondary, and  
386 tertiary industries, particularly the secondary and tertiary industries, grew very rapidly.  
387 The proportion of the production value of the secondary and tertiary industries in GDP  
388 has increased significantly. Chengde City and Tangshan City's per capita GDP has also  
389 shown a clear upward trend. The per capita GDP of Chengde City increased from 410  
390 yuan to 46,800 yuan, and that of Tangshan City from 790 yuan to 95,800 yuan. People's  
391 living standards significantly improved.

392

### 393 **3.5 Development and changes in cage fish culture**

394 At the beginning of the construction of the Panjiakou Reservoir, 153 residential  
395 groups in 20 administrative villages and 4 townships were submerged, and 28,000

396 people were relocated. The residents who remained in the reservoir area had only 80  
397 m<sup>2</sup> per capita of steep slope land. In the 1980s, the government encouraged residents in  
398 the reservoir area to develop cage fish culture, which was a type of high-cost, high-  
399 output fishery culture mode, to help them solve their basic livelihood problems (Kang  
400 et al. 2020). The cage fish culture was easy to manage and resulted in significant social  
401 and economic benefits.

402 A small amount of cage fish culture was initially tested at the upstream river's  
403 entrance. It began to be fully promoted in the 1990s and became one of the main  
404 economic development modes in the reservoir area (Wang and Liu 2008). Figure 6  
405 shows the situation of cage fish culture in Qianxi County, Tangshan City and  
406 Kuancheng Manchu Autonomous County, Chende City, where the Panjiakou Reservoir  
407 was located. From 1991 to 2006, the area of cage fish culture in Qianxi County  
408 gradually increased from  $0.16 \times 10^8$  m<sup>2</sup> to  $0.38 \times 10^8$  m<sup>2</sup>, the cage fish culture  
409 production increased from  $0.08 \times 10^4$  t a<sup>-1</sup> to  $1.91 \times 10^4$  t a<sup>-1</sup>, and the cage fish culture  
410 production value surged from  $0.03 \times 10^4$  ten thousand yuan to  $1.27 \times 10^4$  ten thousand  
411 yuan.

412 In 2007, there was a large area of dead fish that materialised in the Panjiakou  
413 Reservoir, with more than 9,000 boxes of dead fish. The culture area in Qianxi County  
414 was reduced to  $0.06 \times 10^8$  m<sup>2</sup>, which led to a decrease in production and production  
415 value. After 2007, the production and production value of Qianxi County resumed an  
416 upward trend. The maximum production and maximum production value appeared in

417 2015, reaching  $3.41 \times 10^4$  t a<sup>-1</sup> and  $4.77 \times 10^4$  ten thousand yuan, respectively  
418 (Tangshan City Statistical Yearbook 1990~2016). The area of cage fish culture in  
419 Kuancheng Manchu Autonomous County was stable at  $0.23 \times 10^8$  m<sup>2</sup> from 2013 to  
420 2016, while the culture production increased from  $1.50 \times 10^4$  t a<sup>-1</sup> to  $1.90 \times 10^4$  t a<sup>-1</sup>,  
421 and the production value increased from  $1.72 \times 10^4$  ten thousand yuan to  $1.95 \times 10^4$  ten  
422 thousand yuan (Chengde City Statistical Yearbook 2013~2016). Cage fish culture had  
423 become the main source of income for residents in the reservoir area.

424 However, the number of fish cultured cages grew uncontrollably due to the  
425 significant economic benefits generated by this activity. Large amounts of exogenous  
426 food were used to boost fishery production, posing a serious threat to the water  
427 environment of the reservoir. From the end of 2016, Qianxi County and Kuanchang  
428 Manchu Autonomous County began removing the cages to ensure the safety of the  
429 water source. By May 20, 2017, a total of 86,463.5 t of fish and 79,687 cages in the  
430 Panjiakou Reservoir and its upstream main river had been removed completely (Wang  
431 2020).

432

## 433 **4. Discussion**

### 434 **4.1 Factors influencing inter-annual variations of aquatic community structure**

435 Because aquatic organisms are more sensitive to changes in water quality, species  
436 diversity, dominant species, and density are important indicators of water pollution and  
437 trophic states (Zhou et al. 2014a). The current status of the Panjiakou Reservoir's

438 aquatic ecological environment was further clarified in this study by examining inter-  
439 annual variations in phytoplankton, zooplankton, and zoobenthos community structures.

440 From the reservoir's operation in 1987 to 2019, the overall trend of reservoir's  
441 phytoplankton species diversity gradually decreased, from 83 genera to 47 genera,  
442 while the density increased from  $1.88 \times 10^6$  cells  $L^{-1}$  in 1987 to  $35.1 \times 10^6$  cells  $L^{-1}$  in  
443 2019. This could be due to the impact of paper mills and dyeing plants upstream of the  
444 reservoir, which wiped out some species that were less resistant to organic pollution (Li  
445 et al. 2010). Because of the decrease in species diversity, a single species developed a  
446 competitive advantage under favorable conditions, resulting in bloom (Carstensen and  
447 Conley 2004). Furthermore, the phytoplankton community structure of the Panjiakou  
448 Reservoir tended to change from a single bacillariophyta to chlorophyta, cyanophyta,  
449 and bacillariophyta, according to the study. Since cyanophyta and chlorophyta tended  
450 to become dominant phylum in eutrophic waters (Wei et al. 2019), the change of  
451 phytoplankton community structure reflected the increase of trophic state in the  
452 Panjiakou Reservoir.

453 The zooplankton species richness in the Panjiakou Reservoir was highest in 2010  
454 and lowest in 2015. This could be because some species with low pollution resistance  
455 became extinct as pollution became more intense, while rotifers, which were highly  
456 adaptable, became the dominant species. There was no significant change in  
457 zooplankton density, and copepods were always the dominant species (Feng et al. 2011;  
458 Zhang et al. 2016). This could be due to the Panjiakou Reservoir's intensive cage fish



459 culture, where fish predation activities directly affected the zooplankton community  
460 structure, making copepod the dominant species (Korponai et al. 1997; Yang et al.  
461 2005). Moreover, it was found that copepods dominate easily in eutrophic water. In  
462 particular, the appearance of cyanobacteria blooms was conducive to copepods to  
463 become a dominant species (Geng et al. 2005; Hogfors et al. 2014). With the increase  
464 of trophic states in the Panjiakou Reservoir, cyanobacteria became the dominant species,  
465 which may also be one of the reasons for the dominance of copepods in the reservoir.

466 Tubificidae and chironomidae of the zoobenthos, with strong ecological  
467 adaptability and pollution resistance, tended to dominate in areas rich in organic matter,  
468 indicating the presence of organic pollution in the Luanhe River basin (Nijboer et  
469 al.2004; Nogaro and Burgin 2014). Furthermore, the GI of the Panjiakou Reservoir was  
470 rising, indicating that water pollution was gradually increasing and the water body was  
471 vulnerable to eutrophication.

472

#### 473 **4.2 Impact of economic growth of the surroundings of the Panjiakou Reservoir** 474 **on the variation of water quality**

475 The per capita GDP of Chengde City and Tangshan City from 1984 to 2019 was  
476 chosen as the independent variable, the average concentrations of TN, TP, NH<sub>3</sub>-N, and  
477 COD<sub>Mn</sub> of the Panjiakou Reservoir during the same period were chosen as the  
478 dependent variable, to better explain the influence of economic growth on variations of  
479 water qualities. The EKC theory's mathematical model was used to run regression

480 simulations, and a polynomial model was created to fit the data. The optimal result with  
481 a good degree of fit was selected. Table 5 showed that the fitting  $R^2$  of the four main  
482 pollutants in Chengde City and Tangshan City were all greater than 0.75, and the  $p$ -  
483 value was always less than 0.05, indicating that the regression equations were effective  
484 and had a good fitting degree, which was of sufficient statistical significance for EKC  
485 and could explain the relationship between water pollution and per capita GDP.

486 In this study, the simulated trends of the econometric models in Chengde City and  
487 Tangshan City were shown to be similar. The relationship between selected water  
488 quality indicators and per capita GDP all presented a typical "inverted U-shaped" curve  
489 (Fig. 7), that is, the pollutant concentration first increased and then decreased with  
490 economic growth, which conformed to the general rule of the relationship between  
491 economic development and environmental quality. People paid more attention to the  
492 growth rate of GDP in the early stages of economic development to meet basic living  
493 needs, even if it was based on the over-exploitation of natural resources (Partow 1989).  
494 From the initial use of the reservoir in 1984 to 2000, the per capita GDP of Chengde  
495 and Tangshan City increased from 416 yuan to 4486 yuan and from 797 yuan to 13,129  
496 yuan, respectively. During this stage, the TN, TP, and  $\text{NH}_3\text{-N}$  concentrations changed  
497 little and were relatively low, indicating that input of the nitrogen and phosphorus loads  
498 to the water environment by economic activities were relatively low. However,  $\text{COD}_{\text{Mn}}$ ,  
499 during this period, showed a significant upward trend from 1995. The source of this

500 load was industrial wastewater from paper mills and dyeing plants upstream of the  
501 reservoir, which had only received basic treatment (Huang and Xiang 2015).

502 For the first decade of the 21<sup>st</sup> century, the industry and agriculture developed  
503 rapidly, with the per capita GDP growth of Chengde City and Tangshan City ranging  
504 from 4,979 yuan to 25,698 yuan and 14,379 yuan to 59,389 yuan, respectively. The  
505 economic development brought a lot of nutrients, and the concentrations of TN, TP,  
506 NH<sub>3</sub>-N, and COD<sub>Mn</sub> all showed an obvious trend of increase. Monitoring data in 2010  
507 showed that the water quality of the Panjiakou Reservoir was classified as Class V. In  
508 fact, not only China, but many countries pursued economic development at the expense  
509 of the environment. For example, many countries such as Indonesia, Brazil, Thailand,  
510 Congo, Madagascar, had ignored the cost of soil erosion and timber extraction in pursuit  
511 of GDP growth (Sorrell 2010). The United States also pursued GDP without  
512 considering the cost of ozone degradation (Salih 2003). However, this mode of  
513 economic growth at the expense of the natural environment is not sustainable.

514 With the growth of per capita GDP, people were no longer limited to material  
515 satisfaction as per capita GDP increased, and they began to pay more attention to  
516 environmental protection. Generally speaking, they needed clean air and water and  
517 pursued sustainable development (Grossman 1993). The protection of the natural  
518 environment was considered a part of the cost of economic development in Tianjin City  
519 and Tangshan City to achieve sustainable development and ensure water safety (Yang  
520 et al. 2014). More environmental protection funds were invested, resulting in a

521 downward trend in the concentrations of major pollutants. From 2011 to 2015, TN,  
522 NH<sub>3</sub>-N, and COD<sub>Mn</sub> concentrations in the Panjiakou Reservoir were decreasing.  
523 According to EKC, the inflection points of TN and NH<sub>3</sub>-N concentrations in Chengde  
524 City occurred when the per capita GDP reached 25,211 yuan and 24,718 yuan  
525 respectively (Fig. 7a, c), and the inflection points of TN and NH<sub>3</sub>-N concentrations in  
526 Tangshan City appeared when the per capita GDP reached 59,455 yuan and 68,957  
527 yuan respectively (Fig. 7e, g), both were in 2011. The concentration of COD<sub>Mn</sub> also first  
528 rose and then decreased with economic growth after 2011, when the per capita GDP of  
529 Chengde and Tangshan City was 29,715 yuan and 61,823 yuan, respectively, the  
530 inflection points appeared (Fig. 7d, h). This is probably because many policies of  
531 environmental protection were issued (as mentioned above) and more funds were  
532 invested in environmental protection since 2011. As can be seen from Figure 3, NH<sub>3</sub>-N  
533 and COD<sub>Mn</sub> did show an obvious downward trend after 2011, which was consistent  
534 with the fitting results of EKC curve. However, TN showed a minor increase trend after  
535 2017 due to the release of nitrogen maybe from the bottom sediment, but it was still  
536 lower than the concentration before 2011. Therefore, EKC curve can reflect the general  
537 trend of the relationship between environmental status and economic growth and have  
538 a certain reference value.

539 In 2016, the fish cages started to be removed in the Panjiakou Reservoir and its  
540 upstream mainstream to further improve the water quality, which significantly reduced  
541 the concentration of TP. According to EKC, TP concentrations in Chengde City and

542 Tangshan City crossed the inflection point in 2015, later than other indicators. At this  
543 time, the per capita GDP of Chengde City and Tangshan City was 37,018 yuan and  
544 76,857 yuan, respectively (Fig 7b, f). However, from Fig 3a, peak values of TP  
545 concentrations were reached in 2016 and 2017 and a sharp drop of TP concentrations  
546 happened in 2018 and 2019 due to the complete removal of the fish cages. This showed  
547 the EKC theory's limitation to some extent.

548

#### 549 **4.3 Impact of cage fish culture and agricultural development (primary industry)** 550 **on the water quality**

551 Water pollution was gradually worsening as the scale and intensity of cage fish  
552 culture grew (Guo and Li 2003). Many studies had assessed the impact of cage  
553 aquaculture on the water environment quantitatively. Penczak et al. (1982) discovered  
554 that every kilogram of rainbow trout produced in Lake Glebokie in Poland would input  
555 0.75 kg C, 0.023 kg P, and 0.10 kg N to the lake. Huang and Xiang (2015) calculated  
556 that the annual N and P loads generated by aquaculture in the Panjiakou Reservoir were  
557 about 8,700 t and 1,300 t respectively. Uneaten fish food, fertilizer, and fish excrement  
558 were the main sources of pollution.

559 As the scale of cage fish culture expanded, a large amount of fish food was thrown  
560 into the water. Phillips et al. (1986) found that the fish food utilization rate was usually  
561 low, only 25%~35% was used to increase the weight of fish, and the remaining 65%~75%  
562 was left in the water environment. Wu (1995) found that 85% P, 80%~88% C, and

563 52%~95% N of the fish food were added into the water environment due to excessive  
564 feed. Organic and inorganic fertilizers were discharged into the water body in addition  
565 to fish food, promoting the growth of plankton and aquatic plants, providing natural  
566 nutrition for filter-feeding fish (Degefu et al. 2011). Unfortunately, these fertilizers  
567 contained a variety of pollutants, including organic matter, nitrogen, phosphorus, and  
568 suspended solids, resulting in significant water pollution. Furthermore, the impact of  
569 fish excrement on the water environment cannot be ignored. Reid et al. (2009) found  
570 that 15% of salmon feed was excreted in the form of excrement. Hakanson (1988)  
571 calculated that 15% N and 70% P of fish food were lost by fish excrement. Overall, the  
572 development of cage fish culture resulted in an excess of nutrients, which posed a  
573 serious threat to the water's ecological environment and hastened the eutrophication  
574 process.

575 The government issued a ban on cage fish culture in the Panjiakou Reservoir in  
576 November 2016, forcing the removal of all cages within a few months, to protect the  
577 water environment and ensure access to safe drinking water in downstream cities.  
578 Figure 8 shows the changes in the concentrations of TN, TP, and COD<sub>Mn</sub> in the  
579 Panjiakou Reservoir before (in 2015) and after (in 2018) the cages were removed. It  
580 was found that after the cages were removed, the average concentration of TP decreased  
581 from 0.18 mg L<sup>-1</sup> to 0.10 mg L<sup>-1</sup>, and the average concentration of COD<sub>Mn</sub> decreased  
582 from 4.13 mg L<sup>-1</sup> to 3.13 mg L<sup>-1</sup>. The quality of the water showed a significant  
583 improvement, indicating that the removal of the fish cages had an obvious, immediate

584 impact on improving the ecological environment of the Panjiakou Reservoir. However,  
585 after the cages were removed, the concentration of TN in the water increased slightly,  
586 with the average concentration rising from 3.13 mg L<sup>-1</sup> to 3.90 mg L<sup>-1</sup>, possibly due to  
587 the release of nitrogen from the sediment and input from atmosphere. It was estimated  
588 that the TN release flux in the sediment of the Panjiakou Reservoir was 68 mg m<sup>-2</sup> d<sup>-1</sup>  
589 (Yao and Guo 2020). These nutrients were transferred from the bottom waters to the  
590 photic zone as the reservoir turned over (Rueda et al. 2007), further affecting the water  
591 quality and the growth of algae. Therefore, it can be estimated that the reduction in TN  
592 concentration after removing the fish cages may take several years to become apparent.

593       Agricultural activities have a substantial impact on the Panjiakou Reservoir's water  
594 quality. A large number of nitrogen fertilizers and organophosphorus pesticides were  
595 applied to the agricultural land at the upper basin of the Panjiakou Reservoir (Wang  
596 and Xing 2002). Furthermore, serious soil erosion exists in some regions in the upper  
597 basin of the Panjiakou Reservoir (Zhou et al. 2014b), causing fertilizers, pesticides, and  
598 silt to enter the Luanhe River's mainstream and tributaries with rainstorm runoff, and  
599 then flow into the reservoir, increasing the reservoir's degree of eutrophication.  
600 Therefore, agricultural non-point source pollution also increased the concentrations of  
601 TN, TP, and NH<sub>3</sub>-N in the reservoir. Wang et al. (2009) found that the mainstream of  
602 Luanhe River imported 3,467 tons of TN and 68 tons of TP into the Panjiakou Reservoir  
603 in 2005 due to non-point source pollution.

604

605 **4.4 Impact of the secondary and tertiary industries in the surroundings of the**  
606 **Panjiakou Reservoir on the water quality**

607 There are many mineral processing enterprises, which are the pillar industries of  
608 the secondary industry, due to the abundant mineral resources around the Panjiakou  
609 Reservoir and the upstream area. As of 2019, there are 103 mineral processing  
610 enterprises around the Panjiakou Reservoir, with 7.5 million t a<sup>-1</sup> of ore processed, and  
611 1.44 billion t a<sup>-1</sup> of water consumption (Wang 2020). However, most enterprises did  
612 not have sewage treatment facilities. Yao and Guo (2020) found that these mineral  
613 processing enterprises discharged 130 million t a<sup>-1</sup> sewage, including 190,000 t a<sup>-1</sup> COD  
614 and 3,800 t a<sup>-1</sup> NH<sub>3</sub>-N, far exceeding the water body's pollution holding capacity. Zhou  
615 et al. (2014b) found that the Qinghe River, which had been heavily polluted by mineral  
616 processing before it merged with the Luanhe River, contained suspended solids larger  
617 than 10,000 mg L<sup>-1</sup>. Besides, there are 22 tailings around the Panjiakou Reservoir,  
618 which have accumulated about 10 million m<sup>3</sup> of tailings so far. These tailings are  
619 stacked around the reservoir along the river (Yao and Guo 2020). In the event of a major  
620 flood, the tailings will be discharged with the water and will fill the reservoir, putting  
621 the reservoir's capacity at risk. According to statistics, the current tailings have occupied  
622 5.69 million m<sup>3</sup> of reservoir capacity (Yao and Guo 2020). To increase the sustainability  
623 of the mining processing industry, the construction of urban centralized sewage  
624 treatment plant should be accelerated in the future to achieve the standard discharge of  
625 industrial wastewater, and the reuse rate of industrial wastewater should also be



626 improved to reduce the use of water resources. Furthermore, to avoid threats to reservoir  
627 capacity, random stacking of tailings along the river should be prohibited.

628       Among the three major industries in the economic structure, the tertiary industry  
629 has the least impact on the environment (Grossman 1993), but the pollution load  
630 brought by tourism in the Panjiakou Reservoir cannot be overlooked. Due to removing  
631 of the fish cages, the living income of more than 30,000 residents in the reservoir area  
632 dropped sharply. Therefore, the local government encouraged the development of  
633 tourism to increase households' income. Qianxi County of Tangshan City has been  
634 designated as "regional tourism model county", and Kuancheng Manchu Autonomous  
635 County and Xinglong County of Chengde City have also taken the Panjiakou Reservoir  
636 tourism as an important development project (Xie 2020). At present, there are more  
637 than 70 tourist service places around the reservoir, such as various sanatoriums, resorts,  
638 agritainment, floating restaurants, and so on, and the number of tourist service places  
639 keeps increasing every year (Wang 2020). Furthermore, the wastewater was essentially  
640 directly discharged into the Panjiakou Reservoir, with an inflow of 32.88 million t a<sup>-1</sup>  
641 sewage, including 2,895 t a<sup>-1</sup> COD and 42 t a<sup>-1</sup> NH<sub>3</sub>-N (Yao and Guo 2020). This  
642 resulted in an excessive pollution load to the water body. It is well known that good  
643 environmental quality is the material basis for the healthy development of tourism. As  
644 a result, in the future, the relationship between environmental protection and tourism  
645 development should be coordinated to achieve sustainable tourism development. First  
646 and foremost, the layout of tourist facilities in the Panjiakou Reservoir must be carefully

647 planned. Large tourist facilities should be built outside the reservoir protection area.  
648 Only a few simple and locally distinctive rest and accommodation facilities should be  
649 constructed in the area, which is environmentally friendly. At the same time, the  
650 discharge of domestic sewage to a high standard should be applauded.

651

#### 652 **4.5 Potential synergies and trade-offs among the SDGs during the development** 653 **of the Panjiakou Reservoir**

654 Based on a comprehensive literature review, this study analyzed qualitatively the  
655 interactions between various factors before and after the construction of the Panjiakou  
656 Reservoir and after the prohibition of cage fish culture, and mapped them to the relevant  
657 goals and targets of SDGs. It should be noted that the SDGs were proposed in 2015,  
658 however, the economic and social activities of the Panjiakou Reservoir and its  
659 surrounding areas in the above three periods can still be linked to the relevant goals and  
660 targets today. Social and economic activities consistent with the goals and targets of  
661 SDGs were considered as positive factors. Conversely, social and economic activities  
662 contrary to the goals and targets of SDGs were considered as negative factors. The  
663 synergies and trade-offs between all the factors were also analyzed in detail.

664 Before the construction of the Panjiakou Reservoir, the Luanhe River had good  
665 water quality (Target 6.3, SDG 6) and abundant species (Target 15.5, SDG 15).  
666 However, it faced slow economic development (Target 8.1, SDG 8), low levels of  
667 urbanization (Target 11.1, SDG 11) and low energy supply (Target 7.1, SDG 7), which

668 may have generated potential synergies and trade-offs with the water environment. In  
669 addition, there were frequent floods in the basin (Target 11.5, SDG 11), but the lack of  
670 flood control infrastructure was difficult to cope with climate change (Target 13.1, SDG  
671 13), which had obvious trade-off relationships with the water environment (Fig. 9a).

672 The Panjiakou Reservoir started being built in 1973 to solve the water shortage in  
673 Tianjin City and Tangshan City. It was difficult for local residents to make their living  
674 because a lot of farmland was submerged due to the construction of the reservoir. Thus,  
675 the government encouraged the development of cage fish culture to solve the basic  
676 livelihood problem of residents (Target 2.1, SDG 2). With the completion of the  
677 Panjiakou Reservoir in 1983 and the support of China's economic policies after 2000,  
678 the level of industrial (Target 9.2, SDG 9) and agricultural productivity (Target 2.3,  
679 SDG 2) improved, which benefited the economic development (Target 8.1, SDG 8) and  
680 urbanization (Target 11.1, SDG 11), that is, there were synergies between them. At the  
681 same time, the Panjiakou Reservoir also played a role in flood prevention and power  
682 generation (Target 11.5, SDG 11), thereby strengthening resilience to climate change  
683 (Target 13.1, SDG 13) and contributing to social and economic development (Target  
684 8.1, SDG 8).

685 Unfortunately, there were also obvious trade-offs in the process of social and  
686 economic development. Although cage fish culture produced great economic benefits,  
687 intensive development of aquaculture without proper management generated large  
688 amounts of unutilized food, fertilizer, and fish excrement and caused serious water

689 pollution from pollutants such as suspended solids, nitrogen, and phosphorus.  
690 Moreover, large amounts of solid waste (Target 11.6, SDG 11) and sewage (Target 6.3,  
691 SDG 6) generated by social and economic development were discharged due to a lack  
692 of effective water resource management. These pollutants from cage fish culture and  
693 economy development caused water pollution (Target 6.3, SDG 6), unsustainable use  
694 of freshwater resources (Target 15.1, SDG 15), destruction of natural habitats and  
695 biodiversity (Target 15.5, SDG 15), and affected ecosystem services (Target 15.5, SDG  
696 15). The negative effects on the environment would in turn harm society and the  
697 economy, including adverse impacts on agricultural (Target 2.3, SDG 2) and industrial  
698 productivity (Target 9.2, SDG 9), economic growth (Target 8.1, SDG 8), increasing  
699 conflicts between upstream and downstream (Target 6.5, SDG 6), and so on (Fig. 9b).

700 To address this urgent issue, cage fish culture was banned from the end of 2016 to  
701 force the removal of all the cages within a couple of months (Target 2.1, SDG 2), which  
702 aimed to improve the water quality (Target 6.3, SDG 6) and protect water-related  
703 ecosystems (Target 6.6, SDG 6). However, suddenly stopping local economic activities  
704 had affected the livelihoods of aquaculture farmers (Target 8.3, SDG 8), which had  
705 trade-offs with the eradication of poverty (Target 1.b, SDG 1) and inequalities (Target  
706 10.4, SDG 10). Therefore, the local government encouraged the development of  
707 tourism to increase income (Target 12.b, SDG 12). Furthermore, with rising  
708 environmental awareness (Target 12.8, SDG 12), the wastewater treatment system  
709 (Target 6.3, SDG 6) and solid waste management (Target 11.6, SDG 11) will be

710 improved in the future, allowing for the sustainable use of water resources (Target 15.1,  
711 SDG 15) (Fig. 9c). Besides the policies had already been implemented, the cross-  
712 provincial ecological compensation mechanism should be established and effectively  
713 implemented in the future, so as to compensate the residents neighbour the Panjiakou  
714 Reservoir for protecting the water quality at the expense of the local economy (Cage  
715 fish culture), thus minimizing the trade-off.

716         Some lessons can be drawn from the actual case of the Panjiakou reservoir.  
717 Looking back at the development process of the Panjiakou Reservoir and its  
718 surroundings, the area initially only focused on economic development and vigorously  
719 developed cage fish culture, which solved the livelihood problem of local residents.  
720 However, excessive fish food has caused serious pollution of the water environment.  
721 Water quality degradation can compromise the supply of safe water to downstream  
722 Tianjin City and Tanshan City (actually, in some years neither would like to buy the  
723 water because of heavy pollution). In order to solve this problem, the local government  
724 strived to find an environment-friendly way to develop the economy. Consequently,  
725 cage fish culture had been completely removed and replaced by the development of  
726 tourism with a relatively small environmental impact. This is a typical case of  
727 minimizing trade-offs and maximizing synergies. To prevent these trade-offs in the  
728 future, the Panjiakou Reservoir's water resources management should take into account  
729 the individual needs inside and across catchments to accomplish long-term social,  
730 economic, and environmental development at the subnational level. To be more specific,

731 policymakers must prioritise environmental protection while developing the economy  
732 to assure the security of water supplies. For example, domestic sewage and industrial  
733 wastewater should be discharged after reaching specific quality standards, large-scale  
734 tourism facilities should be built outside the reservoir protection area, and so on.  
735 Simultaneously, a cross-provincial ecological compensation mechanism can be devised  
736 and successfully executed to ensure that local citizens' livelihoods are not jeopardized  
737 (Xu et al. 2021). Properly dealing with the trade-off and synergy between the SDGs is  
738 not only beneficial to the sustainable development of the catchment, but also plays a  
739 positive role in the sustainable development of areas (such as Tianjin City and Tangshan  
740 City) outside the catchment.

741

## 742 **5. Conclusions**

743 This study partly reflected the real socio-economic and environmental situation of  
744 the Panjiakou Reservoir by analyzing the existing data from a macroscopic point of  
745 view. The concentrations of TN, TP, NH<sub>3</sub>-N, and COD<sub>Mn</sub> in the Panjiakou Reservoir  
746 have followed an overall trend of increasing first and then decreasing with economic  
747 growth and cage fish culture development (to 2016) and removal (after 2016) from the  
748 reservoir's inception to the present. From 1984 to 2000, TN, TP and NH<sub>3</sub>-N  
749 concentrations were rarely affected by economic development, while COD<sub>Mn</sub>  
750 concentration increased with economic growth. For the first decade of the 21<sup>st</sup> century,  
751 cage fish culture and economic activities imported a lot of nutrients, leading to an

752 obvious increase in TN, TP, NH<sub>3</sub>-N, and COD<sub>Mn</sub> concentrations. From 2010 to 2016,  
753 sustainable development was pursued, and the concentration of TN, NH<sub>3</sub>-N, and  
754 COD<sub>Mn</sub> reduced constantly. After the removal of fish cages in 2017, TP concentration  
755 declined while TN concentration rose slightly.

756 In the aquatic community structure, organisms with high ecological adaptability  
757 and pollution resistance, such as chlorophyta, cyanophyta, and bacillariophyta among  
758 phytoplankton, copepods among zooplankton, and tubificidae and chironomidae among  
759 zoobenthos, became dominant. Cage fish culture had the greatest impact on fish  
760 population, which ranged from filter-feeding fishes like silver carp and bighead carp to  
761 feed-eating fishes like carp, grass carp, and filter-feeding fishes like white carp and  
762 silver carp.

763 Mineral processing companies dominated the secondary industry, which produced  
764 a lot of sewage and tailings. Tourism, which produced a lot of domestic sewage,  
765 dominated the tertiary industry. Economic development would put a lot of strain on the  
766 environment if it wasn't managed properly.

767 The completion of Panjiakou Reservoir had solved the water shortage problem in  
768 Tianjin City and Tangshan City. At the same time, the power generation and irrigation  
769 using the reservoir as well as cage fish culture in the reservoir were beneficial to the  
770 economic and social development of the surroundings. However, a great deal of  
771 pollution brought by cage fish culture and other social and economic activities posed  
772 environmental risks such as water pollution, unsustainable water resource use,

773 ecological destruction, and so on, all of which would in turn harm society and the  
774 economy. Therefore, cage fish culture was removed and the wastewater treatment  
775 system and the solid waste management were improved to ensure the safety of drinking  
776 water. Futhermore, the development of tourism was encouraged and the cross-  
777 provincial ecological compensation mechanism should be established and effectively  
778 implemented to maintain livelihood of local residents. The actual case here  
779 demonstrated that individual needs inside and across catchments must be considered  
780 comprehensively in the integrated water resources management process to minimize  
781 trade-offs and maximize synergies, so as to achieve long-term sustainability at the sub-  
782 national scale.



783 **Funding**

784 The study was financially supported by National Natural Science Foundation of  
785 China (11672139, 51861135314, 41911530081), Natural Science Foundation of  
786 Tianjin (18YFZCSF00510), and China-Poland Science and Technology Cooperation  
787 Committee Regular Meeting Exchange Program (37-14). Fabrice G. Renaud  
788 acknowledges funding from the UK Research and Innovation (UKRI) through the  
789 Natural Environment Research Council's (NERC) Towards a Sustainable Earth  
790 (TaSE) programme, for the project "River basins as 'living laboratories' for achieving  
791 sustainable development goals across national and sub-national scales" (Grant no.  
792 NE/S012427/1)

793 **Author contributions**

794 Suiliang Huang and Mengjiao Wei conceived and designed the research;  
795 Mengjiao Wei, Ling Li, Tianqi Zhang collected the data; Suiliang Huang, Mengjiao  
796 Wei, Ling Li, Tianqi Zhang analyzed the data; Mengjiao Wei, Suiliang Huang wrote  
797 the article; Fabrice G. Renaud oversaw the section on the SDGs; Fabrice G. Renaud,  
798 Suiliang Huang, Zobia Khatoon, Waseem Akram edited the manuscript. Fabrice G.  
799 Renaud and Suiliang Huang supervised and edited the manuscript. All authors read  
800 and approved the final manuscript. All authors agreed to authorship and submission of  
801 the manuscript for peer review.

802 **Conflict of interest**

803 The authors declare no competing financial interests.

804 **Statement of informed consent, human/animal rights**

805 No conflicts, informed consent, human or animal rights applicable.

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1017 **Table 1.** Chinese Environmental Quality Standards for Surface Water (National

1018 Standards of the People's Republic of China 2002).

Level	TN (mg L <sup>-1</sup> )	TP (mg L <sup>-1</sup> )	COD <sub>Mn</sub> (mg L <sup>-1</sup> )	NH <sub>3</sub> -N (mg L <sup>-1</sup> )	Application
I	≤ 0.2	≤ 0.01	≤ 2	≤ 0.15	● Source water, national nature reserves
II	≤ 0.5	≤ 0.025	≤ 4	≤ 0.5	● First-level protection zone for centralized surface water source of drinking water ● The habitat of rare aquatic organisms ● The production of fish and shrimp
III	≤ 1	≤ 0.05	≤ 6	≤ 1	● Second-level protection zone for centralized surface water source of drinking water ● Wintering ground, migration channels, aquaculture areas of fish and shrimp ● Swimming
IV	≤ 1.5	≤ 0.1	≤ 10	≤ 1.5	● General industrial water ● Recreational water that are not in direct contact with human bodies
V	≤ 2	≤ 0.2	≤ 15	≤ 2	● Agricultural water ● General landscape requires water

1019

1020 **Table 2.** Inter-annual variations of phytoplankton community structure in the  
 1021 Panjiakou Reservoir (data source: Luanhe River Diversion Project Management  
 1022 Bureau, Haihe Water Resources Commission 1989, 2020; Wang et al. 2004; Gao et  
 1023 al. 2012; Li et al. 2012; Zhang et al. 2016).

Sampling time	Sampling periods	Species	Density (10 <sup>6</sup> cells L <sup>-1</sup> )	Community structure
1987~1988	Monthly	83 genus	1.88	Bacillariophyta
2001~2002	Sept. 2001, Apr. 2002	52 genus 76 species	1.10	Bacillariophyta
2009	May to Nov.: monthly	37 genus	6.69	Cyanophyta, Chlorophyta
2010	May to Nov.: monthly	41 genus	32.6	Cyanophyta, Chlorophyta
2015	May	52 genus	113.6	Chrysophyta, Cyanophyta
2019	Monthly	47 genus 58 species	35.1	Chlorophyta Bacillariophyta

1024

1025 **Table 3.** Sampling periods of zooplankton in the Panjiakou Reservoir (data source:  
1026 Wang et al. 2003; Feng et al. 2010; Zhang et al. 2016).

Sampling time	Sampling periods
2001~2002	Sept. 2001, Apr. 2002
2010	May to Nov.: every 15 days
2015	May

1027

1028

1029 **Table 4.** Inter-annual variations of the density of zoobenthos in the Panjiakou

1030 Reservoir (data source: Ji et al. 2005; Zhang et al. 2016).

Sampling time	Sampling periods	Species	Tubificidae (ind m <sup>-2</sup> )	Chironomid ae (ind m <sup>-2</sup> )	Mollusk (ind m <sup>-2</sup> )	Other (ind m <sup>-2</sup> )	Total (ind m <sup>-2</sup> )	GI
1986~1987	Sept. and Nov. 1986, Apr. and Jul. 1987	38 species	587	942	51	-	1580	37%
1997	Apr., Jul., Sept. and Nov. 1997	25 species	597	621	327	-	1545	39%
1999~2000	Sept. and Nov. 1996, Apr. and Jul. 2000	28 species	1635	1300	16	42	2993	55%
2001~2002	Sept. and Nov. 2001, Apr. and Jul. 2002	57 species	1285	167	-	105	1557	83%
2015	May	15 species	2552	122	-	-	2674	97%

1031



1032 **Table 5.** Simulation results of economic growth and main pollutant concentrations of  
 1033 the Panjiakou Reservoir.

City	Main pollutants	Optimal regression equation	R <sup>2</sup>	<i>p</i>	Curve type
Chengde	TN	$y=9*10^{-14} \times x^3 - 1*10^{-8} \times x^2 + 0.0004 \times x + 0.38$	0.77	0.00	Inverted U
	TP	$y=-1*10^{-14} \times x^3 + 8*10^{-10} \times x^2 - 9*10^{-6} \times x + 0.05$	0.80	0.00	Inverted U
	NH <sub>3</sub> -N	$y=-2*10^{-14} \times x^3 + 4*10^{-9} \times x^2 + 2*10^{-5} \times x + 0.07$	0.78	0.00	Inverted U
	COD <sub>Mn</sub>	$y=-7*10^{-14} \times x^3 + 1*10^{-9} \times x^2 + 0.0001 \times x + 1.16$	0.84	0.00	Inverted U
Tangshan	TN	$y=2*10^{-15} \times x^3 - 1*10^{-9} \times x^2 + 0.0002 \times x + 0.62$	0.82	0.00	Inverted U
	TP	$y=-1*10^{-15} \times x^3 + 2*10^{-10} \times x^2 - 4*10^{-6} \times x + 0.05$	0.76	0.00	Inverted U
	NH <sub>3</sub> -N	$y=-4*10^{-15} \times x^3 + 4*10^{-10} \times x^2 + 2*10^{-5} \times x + 0.10$	0.75	0.00	Inverted U
	COD <sub>Mn</sub>	$y=-9*10^{-15} \times x^3 + 3*10^{-10} \times x^2 + 6*10^{-5} \times x + 1.13$	0.81	0.00	Inverted U

1034 R<sup>2</sup> takes a value between 0-1, and is a coefficient indicating the degree of fit. The  
 1035 larger the value, the better fit of the equation. *p* represented the significance probability.  
 1036 When it was less than 0.05, the regression equation was valid.

1037 **Figure legends:**

1038 **Figure 1.** Location of the Luanhe River basin and the sampling locations in the  
1039 Panjiakou Reservoir (own figure)

1040 **Figure 2.** The annual inflow, water consumption and rainfall of the Panjiakou Reservoir  
1041 in the Luanhe River basin from 1956 to 2016 (data source: Li et al. 2019; Li and Feng  
1042 2007; Zeng et al. 2012; Tian and Zhang 2011; China Meteorological Administration  
1043 (<https://data.cma.cn/>))

1044 **Figure 3.** Inter-annual variations of (a) total nitrogen (TN) and total phosphorus (TP),  
1045 (b) chemical oxygen demand (COD<sub>Mn</sub>) and ammonia-nitrogen (NH<sub>3</sub>-N) in the  
1046 Panjiakou Reservoir from 1984 to 2019 (data source: Wang 2020; Xing et al. 2009)

1047 **Figure 4.** Inter-annual variations of (a) species and (b) density of zooplankton in the  
1048 Panjiakou Reservoir (data source: Wang et al. 2003; Feng et al. 2010; Zhang et al. 2016)

1049 **Figure 5.** Economic growth trends of (a) Chengde City and (b) Tangshan City (data  
1050 source: Statistical Yearbook of Chengde City and Tangshan City 1984~2019)

1051 **Figure 6.** Cage fish culture of the Panjiakou Reservoir in (a) Qianxi County, Tangshan  
1052 City and (b) Kuancheng Manchu Autonomous County, Chende City (data source:  
1053 Tangshan City Statistical Yearbook 1990~2016, Chengde City Statistical Yearbook  
1054 2013~2016)

1055 **Figure 7.** EKC fitting curves of main pollutants and per capita GDP in (a-d) Chengde  
1056 City and (e-h) Tangshan City

1057 **Figure 8.** Comparisons of pollutant concentrations before (in 2015) and after (in 2018)  
1058 the fish cages were removed in the Panjiakou Reservoir (data source: Liu et al. 2019)

1059 **Figure 9.** The SDG synergies and trade-offs in the Panjiakou Reservoir and its  
1060 surroundings (a) before and (b) after the construction of the Panjiakou Reservoir and  
1061 (c) the prohibition of cage fish culture. Green nodes represent factors which consistent  
1062 with the goals and targets of SDGs, and red nodes represent factors which contrary to  
1063 the goals and targets of SDGs. Each line with an arrow indicates a causal link between  
1064 the paired factors. The grey lines represent a potential trade-off, the yellow lines  
1065 represent a potential synergy, and the blue lines represent a link that can be either a  
1066 trade-off or a synergy. The number of links a node has is reflected in its size