

Cao, D., Yuan, J. and Chen, H. (2021) Towards modelling wave-induced forces on an armour layer unit of rubble mound coastal revetments. *Ocean Engineering*, 239, 109811. (doi: [10.1016/j.oceaneng.2021.109811](https://doi.org/10.1016/j.oceaneng.2021.109811)).

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Deposited on: 05 October 2021

# Towards modeling wave-induced forces on an armour layer unit of rubble mound coastal revetments

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## Abstract

Wave-induced forces on an armour layer unit are key parameters for assessing the stability of rubble mound coastal revetment, but how to predict them accurately and efficiently remains an open question. This study explores the feasibility of using the Morison-type equation to convert numerically simulated porous media flow in an armour layer into the forces on a single armour unit. Wave flume tests are conducted, in which the forces on a cuboid placed in the armour layer of a sloped revetment were measured. In conjunction, numerical simulations were performed using an OpenFOAM solver, which treats the revetment as a porous media. The validated flow simulation was synchronized with the force measurement to illustrate the correlations between the predicted porous media flow and the impact force. Based on these correlations, a Morison-type predictor, which consists of inertial force, drag force, pressure gradient force and lift force, is proposed. The calibrated model can reasonably approximate the temporal variation of wave-induced force. However, it is found that the inertial coefficients vary significantly

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with the dynamic stability number and the initial submergence of the armour unit. Additional research is required to give a sufficiently large dataset for calibrating empirical formulae.

*Keywords:* Wave-induced force, Rubble mound revetment, Porous media, Armour layer stability, Numerical simulation

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## 1. Introduction

Rubble mound revetments, due to their easy installation and good ability to dissipate wave energy, are widely used for shoreline protections around the world. Conventionally, an armour layer consisting of large pieces of rocks or artificial concrete units are placed on the seaward slope of a revetment to ensure the stability of the whole structure under extreme wave actions. Thus, quantifying the required size of an armour unit is a focal point of coastal-engineering research. In the past few decades, many experimental studies have been conducted to study the stability of armour layer under various wave conditions and revetment layout (e.g. Losada and Gimenez-Curto, 1979; Moghim and Tørum, 2012; van Gent, 2013; Herrera et al., 2017). There are also many similar studies on toe stability of revetment or breakwaters (e.g. Gerding, 1993; van Gent and van der Werf, 2014; Etemad-Shahidi et al., 2021). The stability number  $N_s = H_s/(\Delta D_{n50})$  is introduced as an index of the stability of the armour layer, where  $H_s$  is the significant incident wave height at the toe of the structure;  $\Delta = \gamma_s - 1$  with  $\gamma_s$  being the specific weight of armour layer material; and  $D_{n50}$  is the nominal median diameter or equivalent cube size of the armour unit. Another commonly used index, the dynamic stability number  $H_0 T_0$  (CIRIA, 2007), which combines the effects

20 of both wave height and wave period, is defined as

$$H_0 T_0 = N_s \times T_0 = H_s T_m / (\Delta D_{n50}) \times \sqrt{g / D_{n50}} \quad (1)$$

21 where  $g$  is the gravitational acceleration and  $T_m$  is the mean wave period.

22 Many formulae have been developed for assessing the stability of armour  
23 layer of rubble mound sloping structures. These formulae were mainly cali-  
24 brated based on scaled model tests, in which the threshold value of a stability  
25 index,  $N_s$ , for a certain damage level was determined. For instance, the well-  
26 known Hudson formula (Hudson and Jackson, 1953) gives a no-damage ( less  
27 than 5% of armour units are displaced) criteria for sloping rubble mound  
28 structure, which is applicable for both non-breaking and breaking waves on  
29 the foreshore. The Hudson formula can be extended for other damage level  
30 (e.g. Van der Meer, 1987), other layout of revetment, such a revetment with  
31 a berm (e.g. PIANC, 2003), and artificial armour units, such as tetrapod  
32 (e.g. van der Meer, 1988), X-bloc (e.g. DMC, 2003) and articulated concrete  
33 block mattress (ACB Mat) (e.g. Yamini et al., 2018, 2019).

34 Due to the complexity of wave interaction with armour layer, the uncer-  
35 tainty of the empirical stability formulae can be quite large, which is mainly  
36 due to the large scatter of the data used in model calibration. More impor-  
37 tantly, these formulae must be used within the parameter space limited by  
38 the calibration dataset. Thus, they are often considered tools for preliminary  
39 design.

40 A process-based evaluation of armour layer stability must be built on  
41 quantitative knowledge on the wave-induced forces on an individual armour  
42 unit. However, the complexity of wave impacting a porous structure makes  
43 it very challenging to study the impact forces on an armour unit. Never-

44 theless, some flume experiments have been reported in the past few decades  
 45 to shed some lights on this topic. Many of them attempted to examine if  
 46 Morison-type equation, which consists of a drag force and an inertial force,  
 47 can be used to link the measured force with the flow velocity close to the  
 48 armour unit. Losada et al. (1988) measured the forces due to solitary waves  
 49 attacking a single cubic block (not surrounded by other blocks) near a flat  
 50 bottom. They proposed that the total force consists of a drag force, an  
 51 inertial force and a lift force, and obtained the values of drag ( $C_D$ ), iner-  
 52 tial ( $C_I$ ) and lift ( $C_L$ ) coefficients by fitting the force measurements using  
 53 flow velocity and acceleration estimated from solitary wave theory. Tørum  
 54 (1994), in his flume experiments of periodic wave attacking a rubble mound  
 55 revetment, measured the forces on a single rock unit in the armour layer and  
 56 also sampled the nearby velocities. He then fit the Morison equation to the  
 57 force components parallel and normal to the revetment surface, separately.  
 58 He found that the normal force component cannot be described by the Mori-  
 59 son equation. Cornett (1995) conducted a large set of flume experiments  
 60 to investigate the spatial and temporal variation of impact force. He found  
 61 that the peak horizontal force is maximized slightly below the still water-  
 62 line, indicating that this is the most vulnerable region. The time history  
 63 of the impact force strongly depends on the type of wave breaking on the  
 64 slope. The strongest force under plunging breakers results from a sudden  
 65 flow reversal under the steep wave crest. The largest force under surging  
 66 breakers is caused by outward seepage flows that occur around the end of  
 67 the run-down phase. Pramono (1997) studied the wave-induced forces on a  
 68 cubical unit on submerged and low-crested breakwaters. He investigated the

69 effect of the projected area of the armour unit on wave loading by changing  
 70 the orientation of the unit. The wave loading was found to increase with  
 71 increasing projected area of a unit. They also found that a rapid pressure  
 72 gradient change can produce a shock pressure or impact on the armour unit.  
 73 They set up a wave-induced force model by adding the pressure gradient  
 74 induced force into Morison equation. Hofland (2005) studied the drag force  
 75 acting on a single rock placed on a horizontal bed, and proposed to use the  
 76 conventional quadratic law for estimating the drag force with a reference flow  
 77 velocity at 0.15 times rock size above the bed. Although these studies have  
 78 made solid contributions to revealing the wave loading on an armour unit, the  
 79 problem remains largely open. A major concern is that the fitted coefficients  
 80 (e.g.,  $C_D$ ,  $C_L$ , and  $C_I$ ) have significant variations among these studies, which  
 81 is partly because the reference flow velocity used in the Morison equation  
 82 might be defined differently. For instance, some studies used the flow above  
 83 the designated armour unit as the reference, which cannot represent the flow  
 84 at the location of the unit.

85 Generally speaking, there are two groups of numerical work on the interac-  
 86 tion between wave and a rubble mound structure in the published literatures.  
 87 In the first group, the individual armour units are directly resolved. The typ-  
 88 ical numerical methods for this group are smoothed particle hydrodynamics  
 89 (SPH) (Altomare et al., 2014) or combination of SPH and the discrete ele-  
 90 ment method (DEM) (Ren et al., 2014; Sarfaraz and Pak, 2017, 2018). There  
 91 are also some studies (Latham et al., 2009; Anastasaki et al., 2015; Xiang  
 92 et al., 2019) that used combined finite and discrete element (FEMDEM)  
 93 methods to model the wave-rock interaction in a rubble mound breakwater.

94 In the second group, the breakwater is generalized as a porous media,  
 95 so the individual armour units are not resolved. These models focused on  
 96 predicting the flow behaviour. In these numerical models, Volume-averaged  
 97 Reynolds-averaged Navier-Stokes (VARANS) equations were used to describe  
 98 flows inside a porous media. For instance, del Jesus et al. (2012) developed  
 99 the model IH-3VOF for simulating 3-dimensional wave-structure interaction  
 100 based on VARANS equations and a volume-of-fluid (VOF) method for track-  
 101 ing free water surface. OpenFOAM, which is an open-source toolbox for the  
 102 development of customized solvers, is becoming popular in the coastal engi-  
 103 neering community, and a number of OpenFOAM solvers based on VARANS  
 104 have been developed. Higuera et al. (2014) implemented the VARANS equa-  
 105 tions and a set of wave generation and absorption methods in OpenFOAM.  
 106 Similarly, the VARANS equations proposed in Jensen et al. (2014) were also  
 107 implemented in waves2foam toolbox developed by Jacobsen et al. (2012).  
 108 When the momentum equation is volume averaged, two terms (frictional  
 109 forces from the porous media and pressure forces from the individual grains)  
 110 were modeled using Darcy-Forchheimer equation that includes two resistance  
 111 coefficients which need to be determined. Higuera et al. (2014) tried differ-  
 112 ent combinations of the two coefficients till the simulated results best fitted  
 113 the measurements, while Jensen et al. (2014) proposed a method to deter-  
 114 mine the two coefficients which depends on the flow regime in the porous  
 115 media. For the modeling the turbulence in the case of wave interaction with  
 116 rubble mound structures, although different turbulence closure models were  
 117 tested, i.e., Higuera et al. (2014) used both  $k - \epsilon$  and SST  $k - \omega$  models,  
 118 Jensen et al. (2014) did not use turbulence model, acceptable agreements

119 with experimental measurements were found in both papers. More recently,  
120 Larsen and Fuhrman (2018) proposed a new turbulence closure model, which  
121 demonstrated a better stability for a long duration of RANS simulation of  
122 surface waves.

123 The computational expense of the VARANS models is becoming afford-  
124 able for practical applications due to the fast advancement of computational  
125 resources in recent years, so coastal engineers or researchers can use them  
126 as numerical wave tanks to obtain the flow characteristics within a porous  
127 coastal structure with a reasonable accuracy. The wave loading on a single  
128 armour unit is correlated with the volume-averaged flow at its location. If a  
129 Morison-type equation can be used to translate certain representative local  
130 flow parameters into the impact force, a ‘short cut’ will be established, which  
131 enables the VARANS-based models to evaluate the wave loading on a single  
132 armour unit. The aim of this paper is to explore if such a ‘short cut’ can be  
133 developed. Wave flume tests were conducted to obtain wave-induced forces  
134 on a single armour unit, and VARANS numerical simulations of the same  
135 flume tests provided the flow parameters. As such, a comprehensive dataset  
136 was established for relating the wave-induced forces on an armour unit with  
137 the volume-averaged flow parameters. We should point out that the same  
138 idea has been explored by some other researchers. For instance, Kobayashi  
139 and Otta (1987) used a numerical model that solves the depth-averaged flow  
140 on an impermeable slope and used Morison equation for assessing the impact  
141 force on an armour unit. Our work’s advantage is that we use the state-of-  
142 the-art numerical model and direct measurements of impact force. The rest  
143 of the paper is arranged as follows. Section 2 describes the experiment setup



144 and test conditions. Section 3 presents the numerical setup and validation.  
 145 Surface flow over the revetment slope and wave-induced forces on a single  
 146 armour unit are described in Section 4. Section 5 presents the set up of a  
 147 Morison-type force predictor and the calibrated model coefficients. Finally,  
 148 conclusions are given in Section 6.

## 149 **2. Experiments**

### 150 *2.1. Experiment setup*

151 Experiments were conducted in a wave flume in the Hydraulics Labora-  
 152 tory at National University of Singapore. This wave flume is 36 m-long, 1.3  
 153 m-deep and 2 m-wide. A piston-type wave maker supplied by HR Walling-  
 154 ford for generating waves is located at one end of the flume. A 1-on-3 sloped  
 155 rubble mound revetment is installed near the other end of the flume. This  
 156 revetment model follows the design of a new revetment in Singapore, which  
 157 includes three layers, as illustrated in Fig. 1. A geometric scale of about 40  
 158 was used. The Froude number  $F_r = U/\sqrt{gD_{n50}}$ , where  $U = \sqrt{gH}$ , is main-  
 159 tained by geometric scaling. Following Tirindelli and Lamberti (2004), the  
 160 viscous effect can be neglected when the Reynolds number  $Re > 10000 \sim 30000$   
 161 in the main flow. Yamini et al. (2018) also reported that viscous effect is neg-  
 162 ligible when  $Re > 2000$  in the armour layer. In the present study, an  $Re$ ,  
 163 defined as  $\sqrt{gH}D_{n50}/\nu$  ( $\nu$  is the kinematic viscosity), is larger than 30000 in  
 164 the main flow and larger than 2000 in the armour layer. The armour layer  
 165 consists of gravels with mass = 40 to 100 g and  $D_{n50} = 0.03$  m. The filter  
 166 layer, which is between the armour layer and core, consists of gravels with  
 167 mass = 2.4 to 4.8 g and  $D_{n50} = 0.012$  m. The core layer consists of gravels

with mass = 0.48 to 2.4 g and  $D_{n50} = 0.007$  m. The model was installed with its toe at 24.89 m downstream of the wave maker. The toe is 0.36 m above the bottom of the flume. In front of the toe, there is a 3.2 m long foreshore with a slope  $\approx 1:9$ . A vertical steel plate was installed at the onshore end of the rubble mound revetment to support the model and block water. Two photos of the revetment model are provided in Fig. 2.

In this study, the forces acting on a cuboid (made of Perspex) placed within the armour layer were measured. We chose to use a cuboid as an approximation of rock armour unit, because its geometry is well defined and it can be easily mounted onto a force sensor. The dimensions of the cuboid can also be changed (Fig. 3) to study the shape effect on wave loading. The cuboid was mounted to a three-axis force sensor, which was bolted onto a rigid bar sitting on top of the flume. There was a small gap between the cuboid and the surrounding rock armour units. This gap ensures that the cuboid will not touch any other objects during an experiment, so that the wave-induced force on the cuboid can be measured. Moreover, the water depth in the flume can be varied to investigate the effect of cuboid submergence.

## 2.2. Measurement instruments

The physical quantities measured in this study are: (a) free surface elevations in the flume, (b) the pore pressures in the core of the rubble mound revetment, (c) wave forces on the cuboid.

Five capacitance type wave gauges were installed to measure the surface elevations at a few selected locations. The gauges can measure a maximum wave height of 40 cm with an uncertainty of about 1 mm. Two wave gauges (named as CG1 and CG2) were installed at  $X = 14.24$  and 14.8 m from the

Figure 1: Illustrative sketch of the revetment model and the location of measurement instruments (all dimension and distances are in [m]; not to scale).

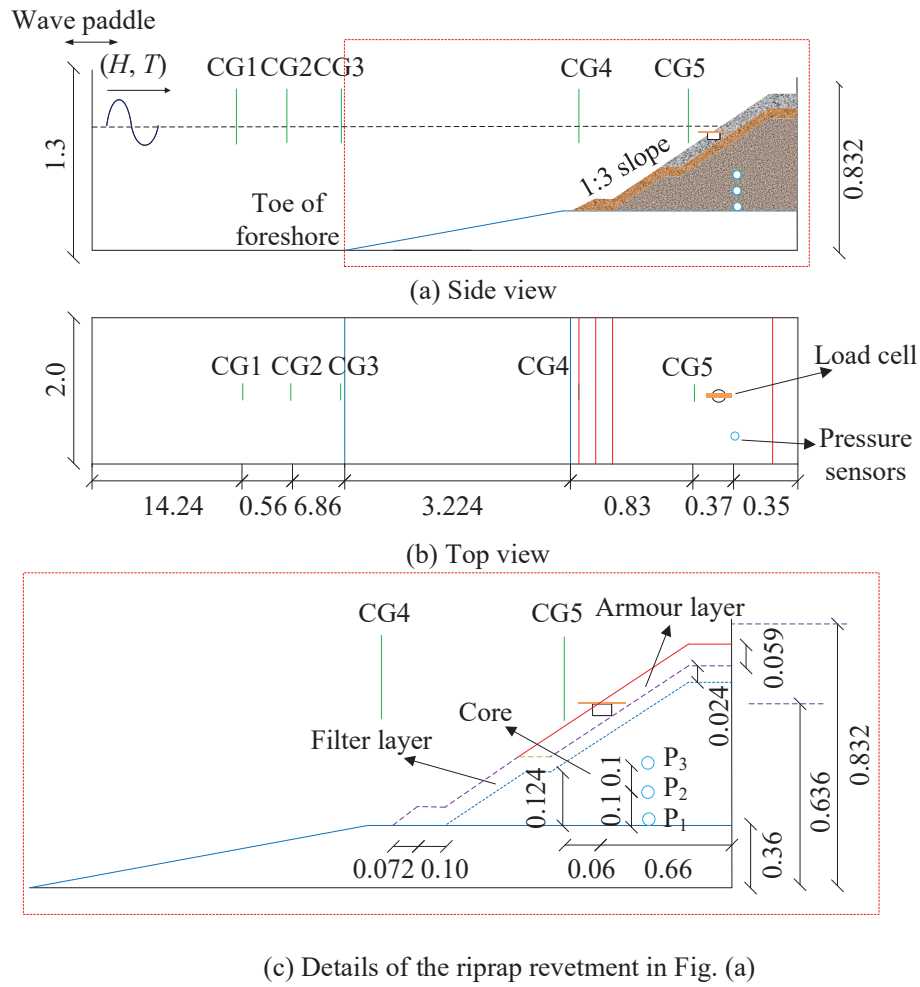
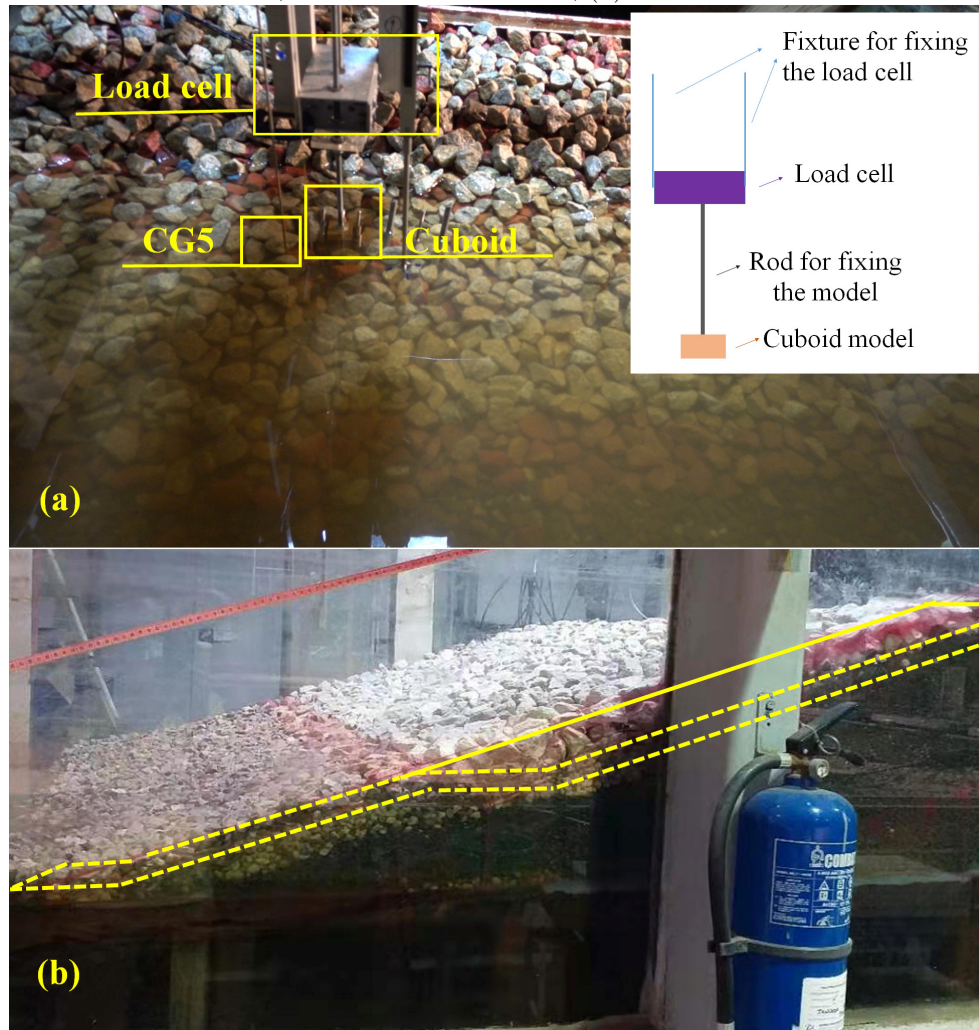


Figure 2: Photos of the rubble mound revetment and the experimental setup: (a) top view with sketch of the cuboid, load cell and the fixture; (b) side view



193 wave paddle for determining the incident and reflected waves through wave  
194 reflection analysis (see Section 3.4 for more details). The third and forth  
195 ones, CG3 and CG4, were installed slightly before the foreshore toe ( $X =$   
196 21.66 m) and the toe of rubble mound revetment ( $X = 24.89$  m), respectively,  
197 for monitoring the wave condition right in front of the foreshore and that at  
198 the toe of the rubble mound revetment. Finally, a fifth wave gauge, CG5,  
199 was installed at  $X = 25.72$  m to measure the surface elevation slightly before  
200 the cuboid.

201 Three pressure sensors (brand: STS 8370 Sirnach) with a measuring range  
202 of 0~50 mbar and measuring accuracy of  $\pm 12.5$  Pa were buried in the core  
203 layer (the detailed locations are shown in Fig. 1) for measuring the pore pres-  
204 sure changes. A Sony high-speed camera, with a sampling rate of up to 100  
205 frame per second and a resolution of  $1920 \times 1080$  pixels, was used to cap-  
206 ture the process of wave-structure interaction. A three-component load cell  
207 (LSM-B-SA1, KYOWA) was deployed for measuring the force acting on the  
208 cuboid. This unit is a strain gauge based 3-component force transducer for  
209 simultaneously measuring force in 3 directions. It has a measuring capacity  
210 of 50 N and a natural frequency of 800 Hz. The measurement was sampled at  
211 a frequency of 200 Hz. A National Instrument (NI) data acquisition system  
212 was used to synchronize the signals of wave gauges and force sensor. The  
213 captured videos were synchronized with other instruments by identifying the  
214 moment when the flow touched the cuboid for the first time.

### 215 2.3. Test conditions

216 Totally 3 groups of tests were performed in this study. The first group  
217 (Group 1) tests various wave conditions (wave height  $H$  and wave period  $T$ )

218 for the same initial submergence (local water depth above the top surface of  
 219 the cuboid,  $h_l = 0.05$  m) and the same cuboid shape (height  $h_m = 2$  cm,  
 220 width  $w_m = 4$  cm and length  $l_m = 4$  cm).

221 The second group (Group 2) tests similar wave conditions and the same  
 222 cuboid shape ( $h_m = 2$  cm,  $w_m = 4$  cm and  $l_m = 4$  cm), but various initial  
 223 submergence with  $h_l = 0.01$  to  $0.07$  m or  $h_l/D_{n50} = 0.31$  to  $2.2$ . A very large  
 224 initial submergence (e.g.,  $h_l/D_{n50} \approx \infty$ ) reduces the impact force to almost  
 225 zero, since the surface wave no longer produces a strong flow around a deeply  
 226 submerged armour unit. Also, a very large emergence (e.g.,  $h_l/D_{n50} \approx -\infty$ )  
 227 also gives a zero impact force, since the run-up flow can no longer reach the  
 228 armour unit at a very high level. Thus, it can be expected that the impact  
 229 force is maximized around the still water line. We also limit our study to  
 230 positive initial submergence ( $h_l/D_{n50} > 0$ ), so the armour unit is ensured to  
 231 be fully submerged when the peak value of impact force occurs.

232 The third group (Group 3) tests the same wave conditions and the same  
 233 initial submergence ( $h_l = 0.05$  m), but various cuboid shapes. The configu-  
 234 rations of the cuboid models used in the present study are shown in Fig. 3.  
 235  $D_{n50}$  of the cuboid in Fig. 3b and c are very close to that in Fig. 3a, but the  
 236 projected areas in the parallel (denoted by  $\xi$  in Fig. 3a, which is equivalent  
 237 to  $//$ ) and normal directions ( $\tau$  in Fig. 3a, which is equivalent to  $\perp$ ) are  
 238 changed, so the effect of projected area can be studied.

239 The details of the test conditions are listed in Table 1. Note that in  
 240 the table, the Iribarren number is defined as  $I_r = \tan \beta / \sqrt{H/L_0}$  and  $L_0$  is  
 241 the deep water wave length,  $L_0 = gT^2/(2\pi)$ . A larger  $I_r$  means that wave  
 242 breaking is more surging and a smaller  $I_r$  means that the wave breaking is

Table 1: Experimental test conditions

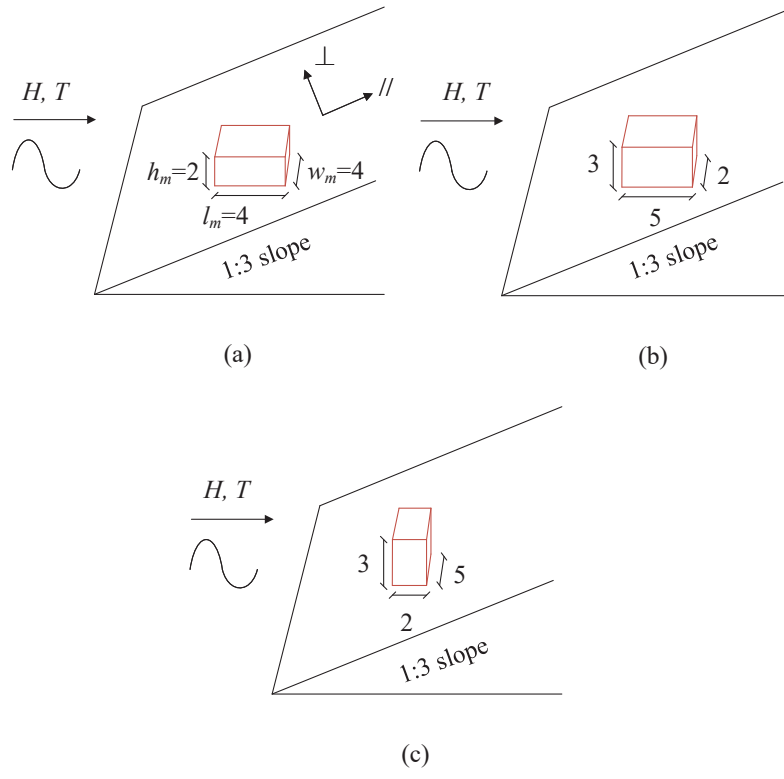
Group No.	Test ID	$h$ [m]	$h_l$ [m]	$H$ [m]	$T$ [s]	$I_r$	$H_0T_0$	Cuboid dimensions [cm]
1	A1			0.133	1.0	1.14	44.63	
	A2			0.132	1.2	1.38	53.15	
	A3			0.134	1.5	1.71	67.45	
	A4			0.134	1.8	2.05	80.94	
	A5	0.686	0.050	0.054	2.0	3.58	36.24	4×4×2 (see Fig. 3a)
	A6			0.091	2.0	2.76	61.07	
	A7			0.109	2.0	2.52	73.15	
	A8			0.137	2.0	2.25	91.95	
	A9			0.163	2.0	2.05	109.4	
2	B1	0.646	0.010	0.131		2.30	87.92	
	B2	0.666	0.030	0.133	2	2.28	89.26	4×4×2 ((see Fig. 3a)
	B3	0.686	0.050	0.137		2.25	91.95	
	B4	0.706	0.070	0.138		2.24	92.62	
3	C1						91.95	4×4×2 (see Fig. 3a)
	C2	0.686	0.050	0.137	2	2.25	94.96	2×5×3 (see Fig. 3b)
	C3						94.96	5×2×3 (see Fig. 3c)

more plunging.

#### 2.4. Data analysis

In the tests, most of the incoming wave energy is dissipated by wave breaking on the revetment slope and a small portion is reflected back to the wave paddle. Since our wave maker does not have the active wave-absorption function, the reflected waves are re-reflected by the wave maker towards the model, which is unrealistic and will contaminate the experiment results. Therefore, in the present study, only the experiment results before the leading re-reflected wave reaches the revetment are considered valid. The arrival times of the first mature wave and the first re-reflected wave at a given

Figure 3: Dimensions and orientation of the cuboid: (a)  $4 \times 4 \times 2$  cm cuboid; (b)  $2 \times 5 \times 3$  cm cuboid; (c)  $5 \times 2 \times 3$  cm cuboid.





location in the flume were estimated using the wave celerity from linear-wave dispersion relation. The time window of valid measurements was defined by the two arrival times. Only the forces and the velocities within the time window were analysed. The free surface elevation measurements from the two neighboring wave gauges (CG1 and CG2) within the selected time window were then used for separation of incident and reflected waves using the method of Goda and Suzuki (1976). The obtained incident wave heights are listed in Table 1. The measured wave reflection coefficient (=ratio of reflected and incident wave height) is about 0.4~10% for  $I_r = 1.14 \sim 3.58$ , which is smaller than those of similar rubble mound breakwater as reported in Díaz-Carrasco et al. (2021) and those of ACB Mat as reported in Yamini et al. (2019). This indicates that the energy of the incident waves was mostly dissipated and absorbed by the porous rubble mound revetment in the present study and wave reflection is very small.

The dry weight of the cuboid (about 0.37 N for the Perspex armour unit in Fig. 3a) was first subtracted from the vertical component of force measurement. Since we are interested in the force components normal ( $F_{\perp}$ ) and parallel ( $F_{//}$ ) to the slope, the measured force components in the horizontal and the vertical directions are projected onto the slope-parallel and slope-normal directions. The force measurements contained some high-frequency noises, so the raw measurements were filtered using a low-pass filter with a cut-off frequency of 10 Hz. Fig. 4 shows the processed data of an example case  $h0.706H0.15T2.0$  ( $h = 0.706$  m,  $H = 0.15$  m,  $T = 2.0$  s), including surface elevations (Figs. 4a and b), dynamic pore water pressures (with hydrostatic pressure subtracted and that the dynamic pore pressures are normalized by

dividing  $\rho g$ , Fig. 4c) and force components (Fig. 4d). Because the buoyancy was included in the vertical component of force measurement in Fig. 4d,  $F_{\perp}$  is always positive. Since the armour unit is mostly submerged in the water, buoyancy (about 0.32 N for the armour unit in Fig. 3a) is deducted from the total force. By doing so, the dynamic part of the total force is better presented, which will be the focus of the following context and simply referred to as the ‘force’.

### 3. Numerical simulation

A number of openFOAM solvers based on VARANS equation are available, e.g. IHFOAM (e.g. del Jesus et al., 2012), waves2Foam (e.g. Jensen et al., 2014) and olaFlow (e.g. Higuera, 2015). Here we choose the olaFlow in this study, but our findings can be applied to other solvers of the same type.

#### 3.1. Governing equations

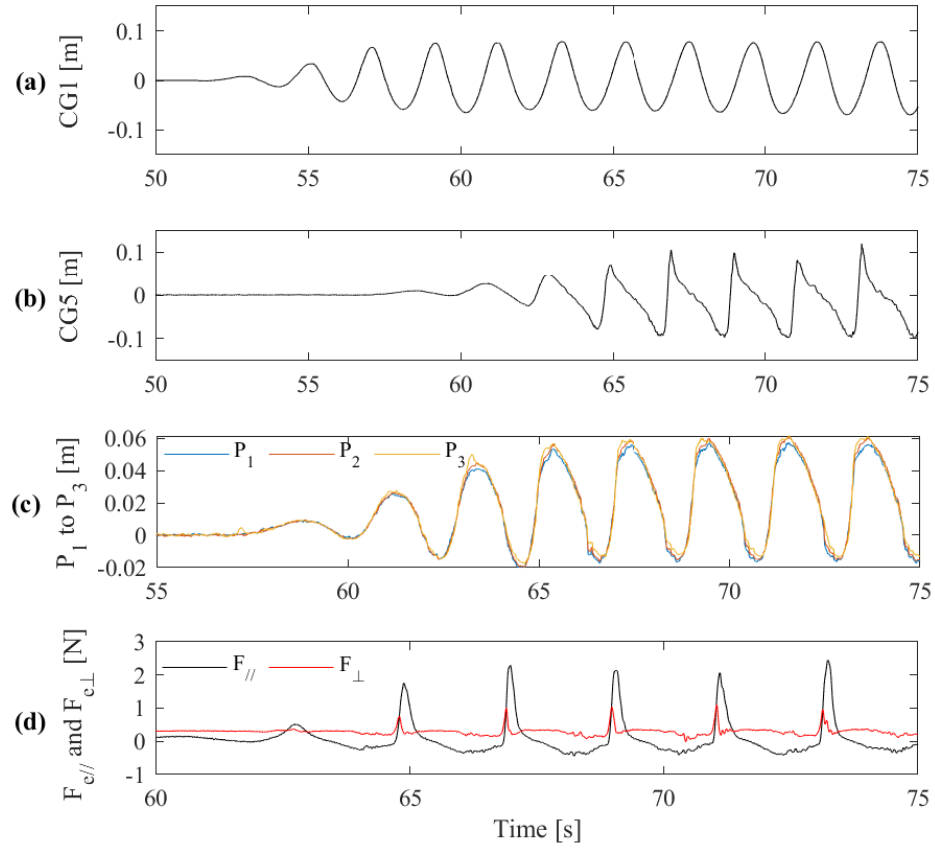
The model was based on Volume-averaged Navier-Stokes equations for two-phase flow. Readers are referred to Higuera (2015) for more details. Here the governing equations are reproduced below.

$$\frac{\partial}{\partial x_i} u_i = 0 \quad (2)$$

$$(1 + c) \frac{1}{n} \frac{\partial(\rho u_i)}{\partial t} + \frac{1}{n} \frac{\partial}{\partial x_j} \left( \frac{\rho u_i u_j}{n} \right) = - \frac{\partial p^*}{\partial x_i} - g_j x_j \frac{\partial \rho}{\partial x_i} + \frac{1}{n} \frac{\partial}{\partial x_j} (\mu + \mu_t) \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) - A u_i - B \rho \sqrt{u_j u_j} u_i \quad (3)$$

$$\frac{\partial \alpha_1}{\partial t} + \frac{1}{n} \frac{\partial u_i \alpha_1}{\partial x_i} + \frac{1}{n} \frac{\partial}{\partial x_i} (u_i^r \alpha_1 (1 - \alpha_1)) = 0. \quad (4)$$

Figure 4: An example of: (a) measured surface elevations CG1; and (b) CG5; (c) measured pore pressures  $P_1$  to  $P_3$ ; (d) the force components parallel and normal to the revetment slope ( $h0.706H0.15T2.0$ ,  $t = 0$  in this figure is the beginning of data acquisition.)



where  $u_i$  and  $u_j$  are the volume-averaged velocities in Cartesian coordinates;  $u^r$  is the relative velocity between fluid and air;  $x_i$  and  $x_j$  are the Cartesian coordinates;  $n$  is the porosity ( $n = 1$  for water);  $\rho$  is the fluid density;  $p^*$  is the pseudo-dynamic pressure;  $g$  is the gravitational acceleration;  $\mu$  is the dynamic molecular viscosity of fluid and  $\mu_t$  is the dynamic turbulent viscosity of fluid;  $\alpha_1$  is the volume of fluid (VOF) indicator function;  $c$  is the coefficient for added mass;  $A$  and  $B$  are two model coefficients.

Any property of the fluid in each cell is calculated by weighting them by the VOF function. For example, density of the fluid in a cell  $\rho$  is computed as,

$$\rho = \alpha_1 \rho_w + (1 - \alpha_1) \rho_a \quad (5)$$

where  $\rho_w$  and  $\rho_a$  are the densities of water and air phase.

According to some previous studies (del Jesus et al., 2012; Higuera et al., 2014; Higuera, 2015),  $c = 0.34$  is recommended.  $A$  and  $B$  are defined as,

$$A = \alpha \frac{(1 - n)^3}{n^3} \frac{\mu}{D_{n50}^2} \quad (6)$$

$$B = \beta \left(1 + \frac{7.5}{KC}\right) \frac{1 - n}{n^3} \frac{\rho}{D_{n50}} \quad (7)$$

where  $\alpha$  and  $\beta$  are two model coefficients that can be tuned by the user and  $KC$  is the Keulegan-Carpenter number, which is defined as,

$$KC = \frac{U_M T}{n D_{n50}} \quad (8)$$

In Eq. (8),  $U_M$  is the maximum oscillatory velocity and  $T$  is the period of the oscillation.

In the present study, the turbulence is mostly generated at the moment of wave breaking on the slope of the revetment. Here we chose SST  $k - \omega$  model

Table 2: Parameters used in the numerical simulation

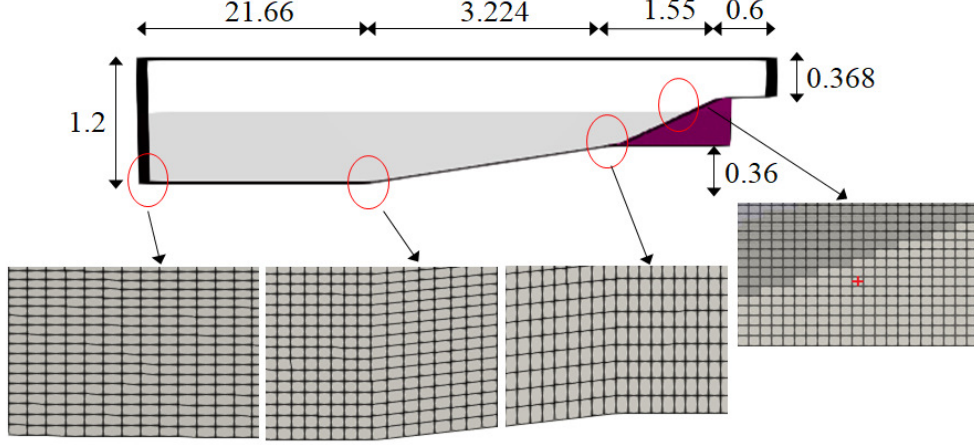
Material	$D_{n50}$ [m]	Porosity $n$	$\alpha$	$\beta$
armour layer	0.03	0.45	50	0.6
Filter layer	0.012	0.43	50	2.0
Core	0.007	0.35	50	1.2

(one of the turbulence models provided in OpenFOAM) as the turbulence closure model. We noticed that there are many other turbulence closure models, among which the modified turbulence model by Larsen and Fuhrman (2018) is able to avoid the unphysical growth of eddy viscosity and inevitable wave decay. This modification is important for a long duration of simulation (more than 40~50 wave cycles), e.g., Fig. 4 of Larsen and Fuhrman (2018). In the present study, we only simulated less than 32 wave cycles to obtain a periodic result. Therefore, we chose SST  $k - \omega$  turbulence model for the simplicity of use in OpenFOAM. We also noted that the simulated results would not be significantly affected even without using a turbulence closure model, which is possibly because the turbulence terms are much smaller than the drag and inertia terms in the porous zone.

The wave was generated on the left patch with active absorption. The solver olaFlow in OpenFOAM was used to solve the VARANS equations. The specific physical properties of the porous media are given in Table 2. Note that the default values of  $\alpha$  and  $\beta$  as proposed in Higuera (2015) are used.

The numerical model predicts volume-average flow and pressure gradient at the location corresponding to the centroid of an artificial armour unit, which will be used in the force predictor in Section 5.1.

Figure 5: Computational domain and mesh (unit: [m]; not to scale; red cross denotes the artificial cuboid)



### 3.2. Computational domain and mesh

A 2D numerical model was set up to reproduce the above experiments. The domain, as shown in Fig. 5, has a length of 21.66 m from the left inlet to the toe of foreshore, 3.224 m long foreshore and 1.55 m long revetment. The heights of the revetment and the whole domain are 0.832 m and 1.2 m, respectively. The rubble mound revetment was modeled as a porous media consisting of three different layers with different porosity  $n$  and  $D_{n50}$ .

The whole domain consists of three sections, i.e., the wave maker region, the foreshore region and the revetment region. The cell size in the revetment region is the smallest, and is about 1/3 of that in the wave making region. The artificial cuboid centroid is marked with a red cross in Fig. 5. Since the cuboid is much larger than the cell size, the simulated flow at the cuboid centroid is extracted from a cell inside the porous media, so this flow is a porous media flow.

### 3.3. Convergence study

Four types of grids with different cell sizes have been tested for the case  $h0.686H0.06T2.0$ , as shown in the Table 3. The comparisons of surface elevations at CG1 and CG5 are shown in Fig. 6. It can be seen that the results generally resemble each other. To show the convergence, the root-mean-square error (RMSE) for  $i$ -th grid ( $i = 1, 2, 3, 4$ ) compared to Grid 4 is first calculated and then normalized by RMS of Grid 4, for CG1 and 5, respectively. As shown in Table 3, the RMSE (in percentage) of CG1 and CG5 reduces as grid becomes finer and is less than 5% for Grid 3, which can be considered negligible. Hence, Grid 3 is applied for all the simulations used in the following discussions.

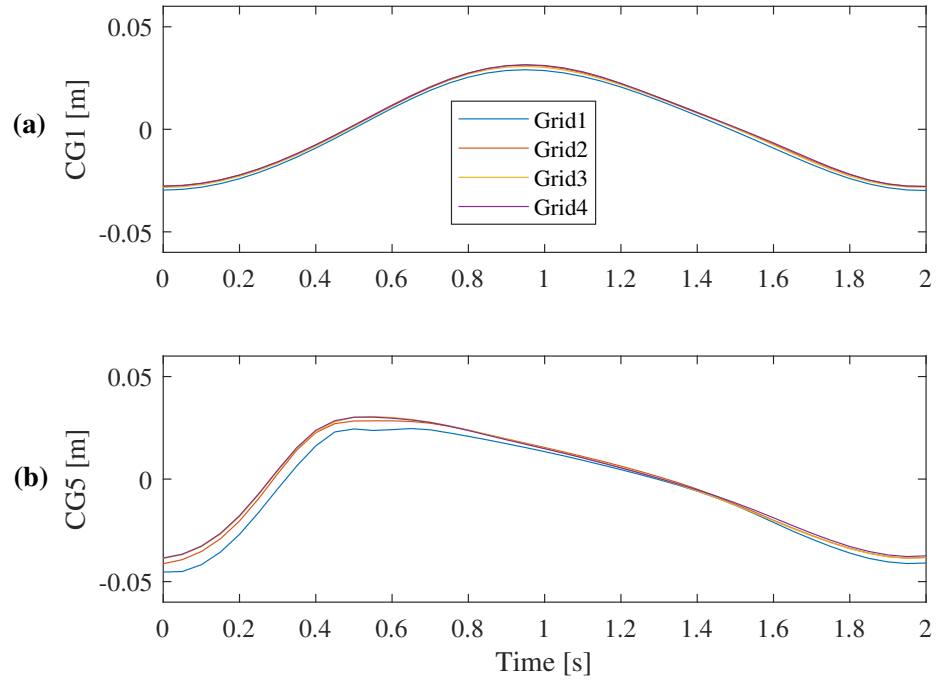
Table 3: Mesh parameters for the convergence study and RMSE normalized by RMS of CG1 and CG5 based on Grid 4 for each grid size. The cell size is the averaged size at the free surface area, as there is a smooth refinement from the far end near the wave-maker boundary to the area near the revetment.

Grid No.	Wave-making region		Foreshore region		revetment region		Mesh No.	RMSE [%]	
	$\Delta x[cm]$	$\Delta z[cm]$	$\Delta x[cm]$	$\Delta z[cm]$	$\Delta x[cm]$	$\Delta z[cm]$		[million]	CG1 CG5
1	3.09	0.80	1.61	0.69	0.75	0.62	0.15	9.9	20.4
2	2.58	0.66	1.34	0.58	0.63	0.51	0.21	2.9	5.6
3	2.06	0.53	1.07	0.46	0.50	0.41	0.33	2.0	2.8
4	1.55	0.40	0.80	0.35	0.38	0.31	0.58	-	-

### 3.4. Validation

The simulation and the measurement are synchronized by matching simulated and measured CG5 time series. More specifically, we took out a piece of CG5 measurement, which has 2~3 periodic cycles, and matched it with

Figure 6: Convergence tests for the numerical model (see Table 3 for grid resolutions): (a) CG1, (b) CG5. The simulation is for the case  $h0.686H0.06T2.0$ .

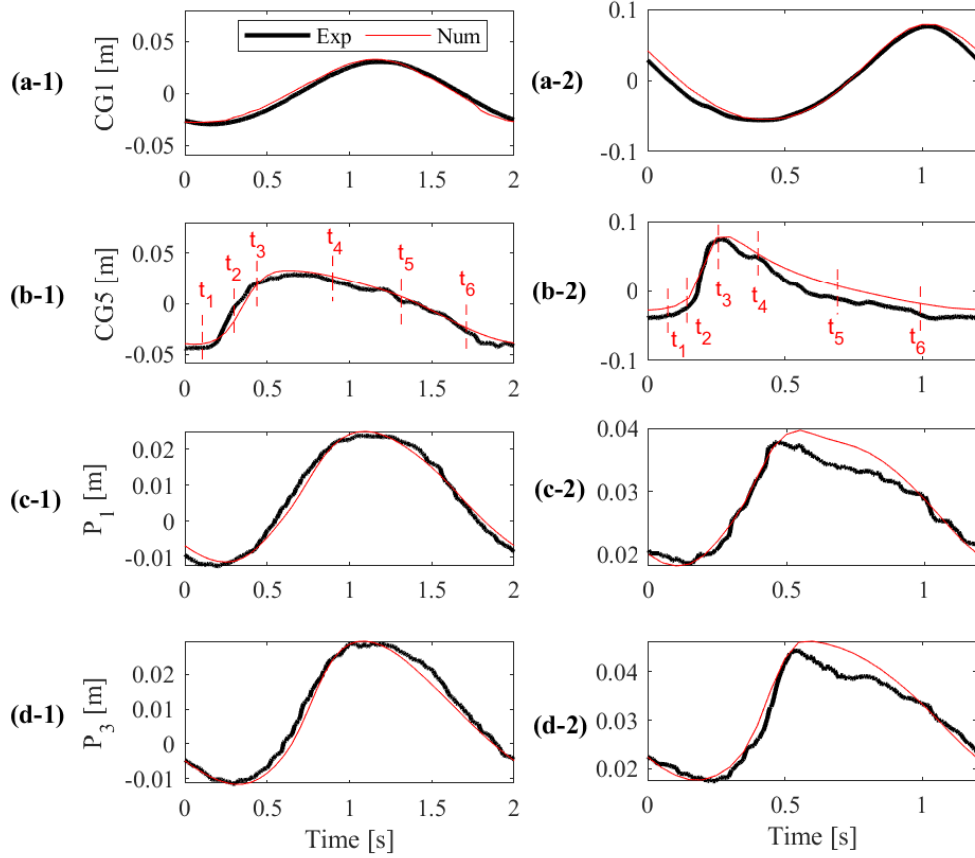




366 the last 2~3 cycles of the simulated surface level at CG5, which is also very  
 367 periodic. The time coordinate of the measurement was adjusted until the  
 368 two time series were best matched. Subsequently,  $t = 0$  is defined as the  
 369 beginning of the two synchronized time series of CG5. Since all instruments  
 370 were synchronized, the time coordinates of other measurements were also  
 371 adjusted. Note that the  $t = 0$  does not have any physical meaning, since the  
 372 beginning of the selected piece of CG5 measurement was rather arbitrarily  
 373 chosen (but  $t = 0$  is around the time of negative peak value of CG5). Fig. 7  
 374 shows the comparison of simulated and measured surface elevations (CG1  
 375 and CG5 as an example) and dynamic pore pressures ( $P_1$  and  $P_3$  as an ex-  
 376 ample) for two selected cases, i.e., the left and right panels are for the case  
 377  $h0.686H0.06T2.0$  (relatively larger wave period and smaller wave height) and  
 378  $h0.686H0.15T1.2$  (relatively smaller wave period and larger wave height), re-  
 379 spectively. As will be introduced later, the first case has a larger  $I_r = 3.58$ ,  
 380 so a surging-type breaker occurred and the green-water run-up flow was ob-  
 381 served. The second case has a much smaller  $I_r = 1.38$ , so a plunging-type  
 382 breaker occurred, which created a very turbulent run-up bore on the slope.

383 As shown in Fig. 7a, the simulated and measured time histories of the  
 384 surface elevations by CG1 agree well with each other for both cases, with a  
 385 discrepancy of the peak value of less than 8%. CG5 measures the surface ele-  
 386 vation right in front of the cuboid. After shoaling and wave reflection by the  
 387 porous revetment, the time history of the surface elevation at CG5 in Fig. 7b  
 388 is very different from that measured by CG1, which has been well simulated  
 389 by the numerical model. Waves can induce the pressure fluctuations in the  
 390 pores of the porous revetment. The time histories of the simulated dynamic

Figure 7: Model validation: (a) CG1; (b) CG5; (c)  $P_1$ ; (d)  $P_3$  for two cases  $h0.686H0.06T2.0$  (left panel) and  $h0.686H0.15T1.2$  (right panel)



391 pore pressures ( $P_1$  and  $P_3$ ) in Fig. 7c and d are almost the same as the mea-  
 392 sured ones for the case  $h0.686H0.06T2.0$ . For the case  $h0.686H0.15T1.2$ ,  
 393 the peak values of  $P_1$  and  $P_3$  are slightly underestimated by about 5%. In  
 394 Fig. 7b, six moments of CG5 are marked in both cases ( $t_1$  to  $t_6$ ) for later  
 395 discussions on flows and forces.

## 396 4. Surface flows over the revetment slope and wave-induced forces 397 on a single unit

### 398 4.1. Surface flows over the revetment slope

399 Since the numerical model has been well validated against the experimen-  
400 tal measurements, here we can discuss the flow process mostly based on the  
401 numerical results. Fig. 8 presents six key moments (corresponding to  $t_1$  to  $t_6$   
402 indicated Fig. 7b-1) of wave run-up and run-down along the revetment slope  
403 for the case with a large Iribarren number ( $I_r = 3.59$ ),  $h0.686H0.06T2.0$  .  
404 The case  $h0.686H0.15T1.2$  which has a small  $I_r = 1.38$  is presented in Fig. 9.  
405 The selected six moments are marked as  $t_1$  to  $t_6$  in Fig. 7b-2. In both Figs. 8  
406 and 9, the initial still water lines, the artificial cuboid (not included in the  
407 simulation) and the measuring instruments are also shown for easy interpre-  
408 tation of the findings. More attention is given to the flow characteristics in  
409 the area around the cuboid in the following discussion.

410 For the case with a large  $I_r$  in Fig. 8 (surging breaker): At moment  $t_1$   
411 (Fig. 8b-1), the incoming wave is about to reach the revetment and break.  
412 The water depth near the cuboid (e.g., measured by CG5) is around the  
413 lowest level and the cuboid is covered by a thin layer of water. The velocity  
414 vectors show that water under the arriving wave is flowing onshore or towards  
415 the revetment. The falling water table inside the revetment is still higher than  
416 the free surface above the cuboid, which drives an offshore internal flow and a  
417 run-down flow along the revetment surface. At the point where the two main  
418 flows meet each other, a strong upward seepage flow is created (highlighted  
419 by the yellow dashed box). The exit point of outward seepage on the slope  
420 (denoted by the star) is moving upslope towards the cuboid.

Figure 8: The key moments of wave-revetment interaction for the case  $h0.686H0.06T2.0$  ( $I_r = 3.59$ ): the snapshots from the camera recordings in (a-1) to (a-6) and the simulated results in (b-1) to (b-6) correspond to  $t_1$  to  $t_6$  indicated in Fig. 7b-1. The yellow solid lines in Fig. 8b denotes the initial still water line. The yellow star denotes the exit point of outward seepage. The yellow and green circles represent the location of the artificial cuboid and the three pressure sensors, respectively.

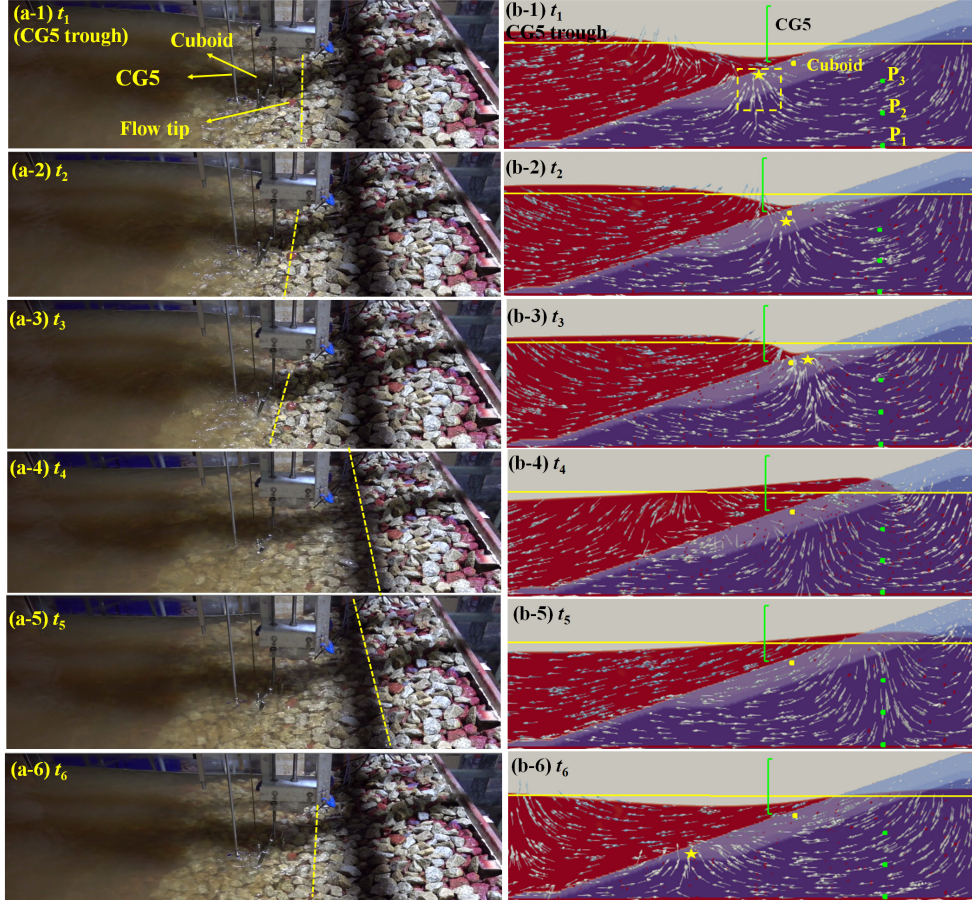
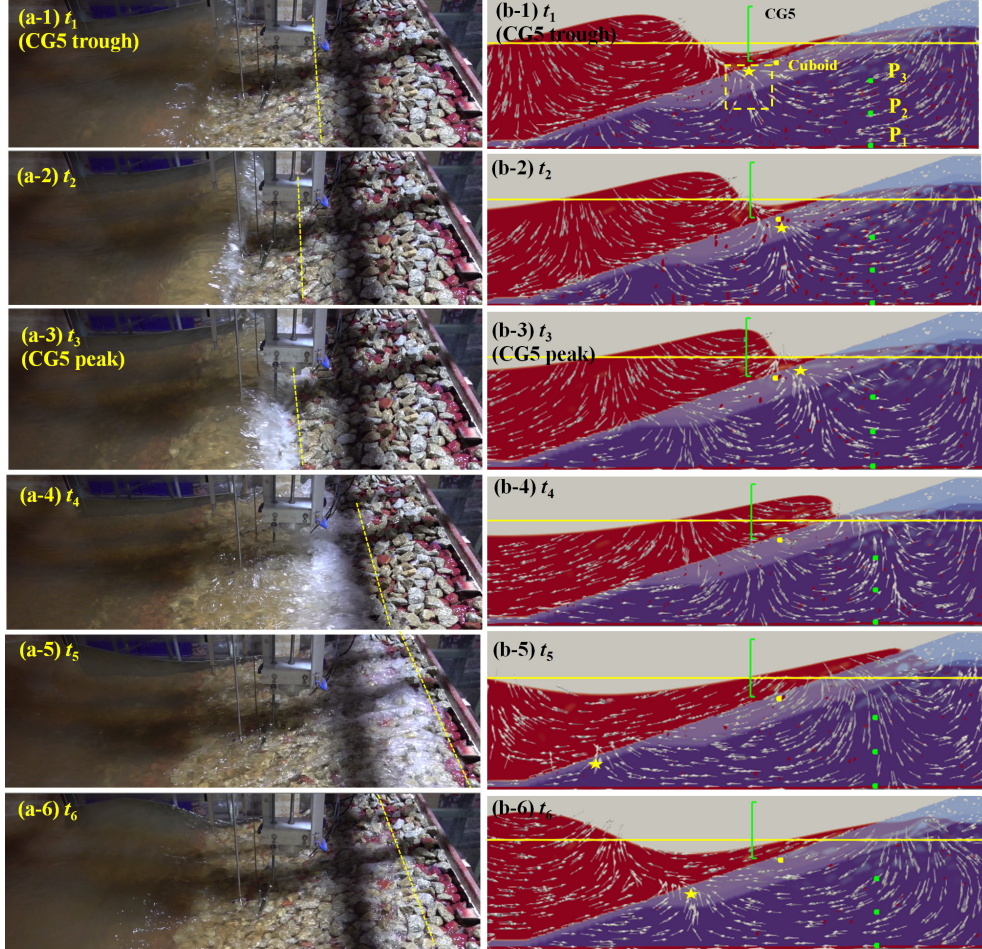


Figure 9: The key moments of wave-revetment interaction for the case  $h0.686H0.15T1.2$  ( $I_r = 1.38$ ): the snapshots from the camera recordings in (a-1) to (a-6) and the simulated results in (b-1) to (b-6) correspond to  $t_1$  to  $t_6$  indicated in Fig. 7b-2. The yellow solid lines in Fig. 9b denotes the initial still water line. The yellow star denotes the exit point of outward seepage. The yellow and green circles represent the location of the artificial cuboid and the three pressure sensors, respectively.



421 At moment  $t_2$  (Fig. 8b-2), the run-down flow on the revetment slope  
422 continues and the exit point of outward seepage reaches the cuboid's location.  
423 This is actually when the maximum  $F_{\perp}$  occurs, as will be shown later.

424 At moment  $t_3$  (Fig. 8b-3), the run-down flow on the revetment slope is  
425 about to stop. The main body of run-up flow produced by a surging breaker  
426 arrives at the cuboid, where the direction of slope-parallel velocity is suddenly  
427 changed from downward to upward ('flow reversal'), leading to a large up-  
428 slope acceleration. This is the moment when the maximum  $F_{//}$  occurs, as  
429 will be seen later in Fig. 10a. Water starts to run up the slope and flow  
430 into the porous revetment. As a result, the water table inside the revetment  
431 begins to rise.

432 At moment  $t_4$  (Fig. 8b-4), the main body of run-up flow has passed the  
433 cuboid location, and the cuboid becomes increasingly submerged. Water also  
434 flows into the revetment across the armour layer, causing the internal water  
435 table to rise. It is also noted that the flow above the toe of revetment is  
436 already reversed to go offshore.

437 The maximum run-up occurs between  $t_4$  and  $t_5$ , so at the moments  $t_5$  and  
438  $t_6$  (shown in Fig. 8b-5 and b-6), run-down flow develops on the revetment  
439 slope. The flow around the cuboid during the run-down stage is mostly paral-  
440 lel to the slope and the velocity gradually increases. The cuboid experiences  
441 a downslope force.

442 For the case with a small  $I_r$  in Fig. 9 (plunging breaker), some obser-  
443 vations similar to those in Fig. 8 (surging breaker) can be made. When  
444 the incoming wave arrives at the revetment, it meets the run-down flow and  
445 the internal offshore-directed porous media flow produced by the previous

446 wave, leading to an outward seepage flow along the line where the two major  
 447 flows converge. The exit of the outward seepage flow moves upslope sub-  
 448 sequently, so there is a moment (Fig. 9b-2) when the cuboid experiences a  
 449 strong outward flow, which leads to the peak of out-of-slope  $F_{\perp}$ . The plung-  
 450 ing breaker creates a run-up bore with a highly aerated and almost vertical  
 451 front (Fig. 9a-2 to a-4, b-2 to b-4), which is different from the ‘peaceful’ bore  
 452 produced by a surging breaker. When the main body of the bore passes  
 453 the cuboid (Fig. 9b-3), the local flow is quickly reversed from down-slope to  
 454 up-slope, so a strong upslope flow acceleration is produced, which eventually  
 455 gives the peak of upslope  $F_{//}$ . The turbulent and almost vertical front of the  
 456 bore makes the flow reversal more ‘sudden’ than that in the surging-breaker  
 457 case.

#### 458 *4.2. Wave-induced force on a single armour unit*

459 The time history of the wave-induced force can be related to the flow be-  
 460 havior around the cuboid discussed in the previous section. Figs. 10 and 11  
 461 show the measured force on the cuboid together with some flow parameters  
 462 for the two cases shown in Figs. 8 and 9, respectively. Here the flow param-  
 463 eters include the simulated velocity and acceleration at the cuboid’s centroid,  
 464 which are later used as the input parameters for predicting the wave-induced  
 465 forces. The water surface and above the cuboid’s centroid (simulated) are  
 466 also presented. The two representative cases have some common charac-  
 467 teristics of the temporal variations of wave-induced forces. First, the peak  
 468 values of  $F_{//}$  are larger than those of  $F_{\perp}$ , which is also applicable for the  
 469 rest of cases in this study. This is understandable, because the main flow  
 470 is parallel to the slope and seepage flow is secondary. Second, the positive



Figure 10: Measured force and simulated flow conditions for case  $h0.686H0.06T2.0$  ( $t_1$  to  $t_6$  are the moments shown in Fig. 8): (a) measured wave induced force, (b) and (c) simulated flow acceleration and velocity at the centroid of the cuboid, (d) simulated surface elevation at the  $x$ -location of the cuboid ( $\eta=0$  is initial water level.)

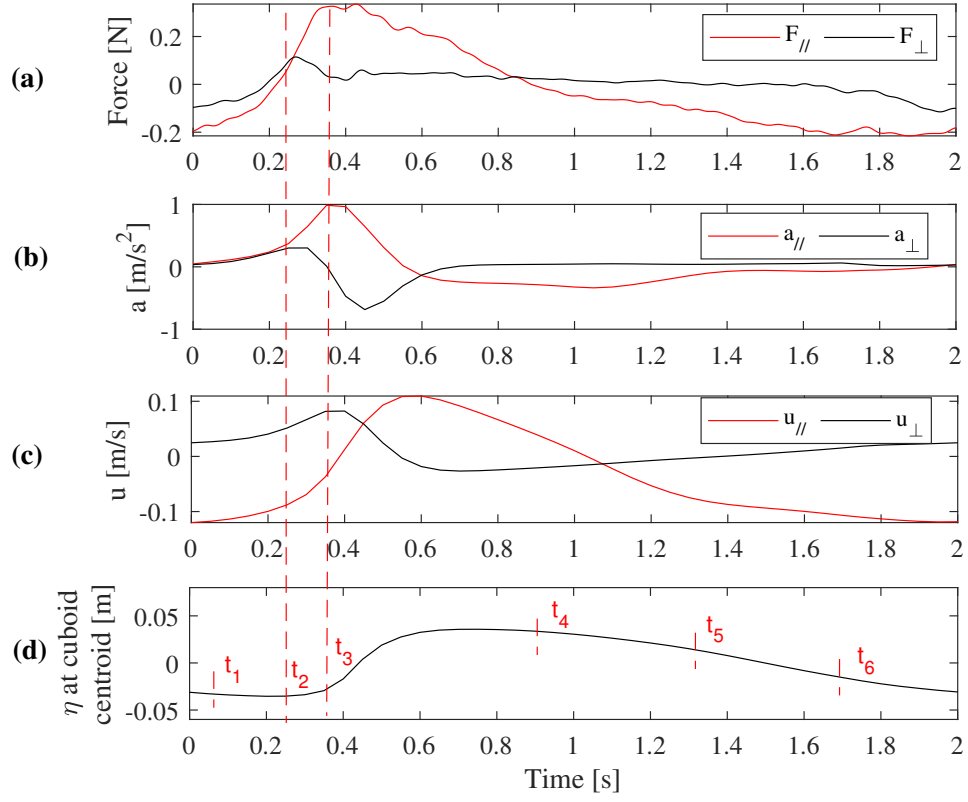
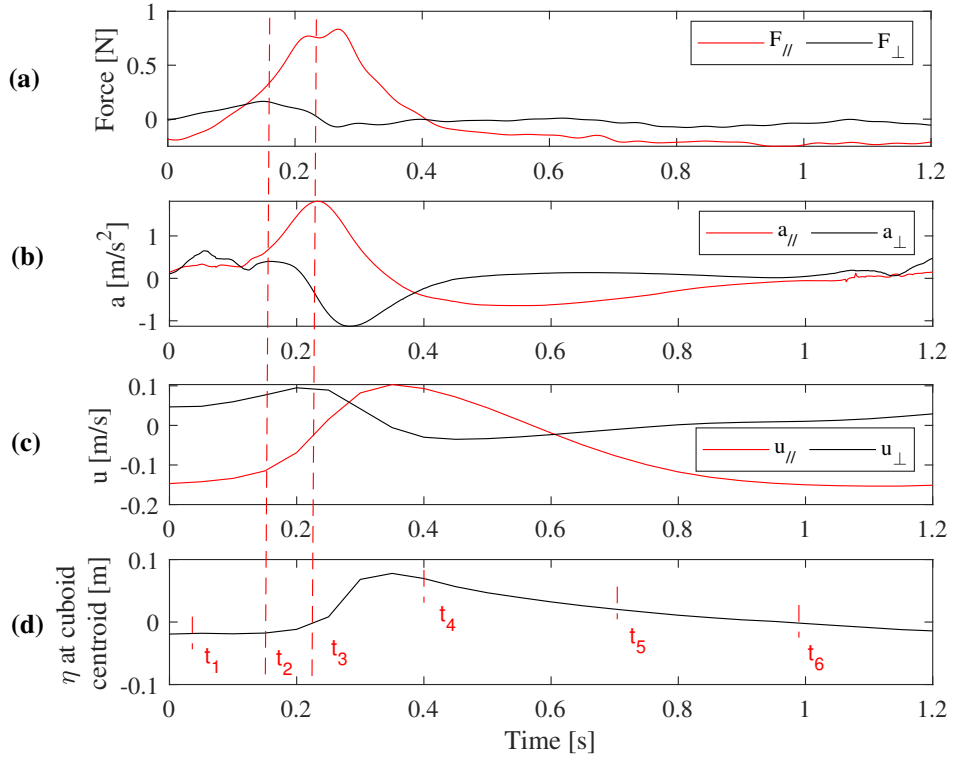




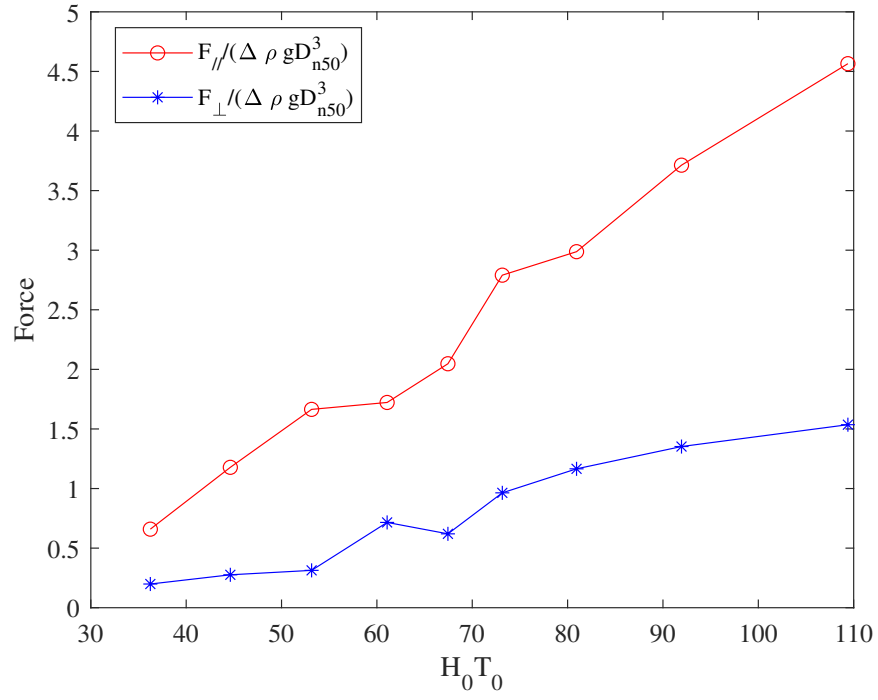
Figure 11: Measured force and simulated flow conditions for case  $h0.686H0.15T1.2$  ( $t_1$  to  $t_6$  are the moments shown in Fig. 9): (a) measured wave induced force, (b) and (c) simulated flow acceleration and velocity at the centroid of the cuboid, (d) simulated surface elevation at the  $x$ -location of the cuboid ( $\eta=0$  is initial water level).



471 peak of  $F_{//}$  occurs when the flow at the cuboid reverses from run-down to  
 472 run-up, which gives the peak value of slope-parallel acceleration  $a_{//}$ . This  
 473 is consistent with the finding of Cornett (1995) who found that the largest  
 474 forces parallel to the slope are caused by the sudden flow reversal. Third,  
 475 the positive peak of  $F_{\perp}$  occurs slightly earlier than  $F_{//}$ , when the exit of  
 476 outward seepage flow is located around the cuboid's location. For the larger  
 477  $I_r$  case in Fig. 10, the global positive and negative peaks in a wave cycle have  
 478 comparable magnitudes for both  $F_{//}$  and  $F_{\perp}$ , while for the smaller  $I_r$  case  
 479 in Fig. 11, the positive peak of  $F_{//}$  has a much larger magnitude than the  
 480 negative peak of  $F_{//}$ .

481 As reviewed in the introduction, the dynamic stability number,  $H_0T_0$   
 482 combines the effects of wave period and wave height and it is closely related  
 483 to damage of the rubble mound revetment (CIRIA, 2007). Stability of the  
 484 armour layer is closely related to the peak forces on a single armour unit, so  
 485 it can be expected that the peak values of the impact force are also correlated  
 486 to  $H_0T_0$ . To this end, we plot the positive peak values of  $F_{//}/\Delta\rho g D_{n50}^3$  and  
 487  $F_{\perp}/\Delta\rho g D_{n50}^3$  against  $H_0T_0$  in Fig. 12 for Group 1 cases. Note that in the  
 488 present study, only regular waves are studied.  $H_s$  and  $T_m$  are replaced by  $H$   
 489 and  $T$ , respectively in Eq. (1). Fig. 12 clearly shows that as  $H_0T_0$  increases  
 490 from 36 to 109, both  $F_{//}/\Delta\rho g D_{n50}^3$  and  $F_{\perp}/\Delta\rho g D_{n50}^3$  increase monotonically.  
 491 The initial submergence of the cuboid  $h_l/D_{n50}$  is another important paramete-  
 492 ter that may affect wave-induced forces on the cuboid. Group 2 tests of this  
 493 study aim at investigating the change of impact force for  $h_l/D_{n50}$  from 0.31  
 494 to 2.2. Figs. 13a to d present the measured forces for Group 2 tests. Note  
 495 that these tests have the same wave conditions. Generally speaking, the time

Figure 12: Positive peaks of force components versus  $H_0 T_0$  for Group 1 cases



series of  $F_{//}$  at various  $h_l/D_{n50}$  are similar. The positive peaks have similar magnitudes (about 2 N). Among the four tests, three of them have a single positive peak of  $F_{//}$ , while only the test with the lowest  $h_l/D_{n50}$  (Fig. 13a) shows two positive peaks. This is possibly because the cuboid in this test is not fully submerged when the run-up flow arrives. The time series of  $F_{\perp}$  also have similar characteristics. Among the four tests, the positive peak of  $F_{\perp}$  occurs slightly before the positive peak of  $F_{//}$ , and its magnitude is more-or-less the same (about 0.7 N). Overall speaking, the variation of peak forces of  $F_{//}$  and  $F_{\perp}$  for various  $h_l/D_{n50}$  are small, i.e.,  $F_{//} = 2.14 \text{ N } (\pm 7\%)$  and  $F_{\perp} = 0.74 \text{ N } (\pm 15\%)$ , suggesting that the rock units within a belt between  $h_l/D_{n50} = 0.31$  to 2.2 below the water line are equally vulnerable.

## 5. Force predictor

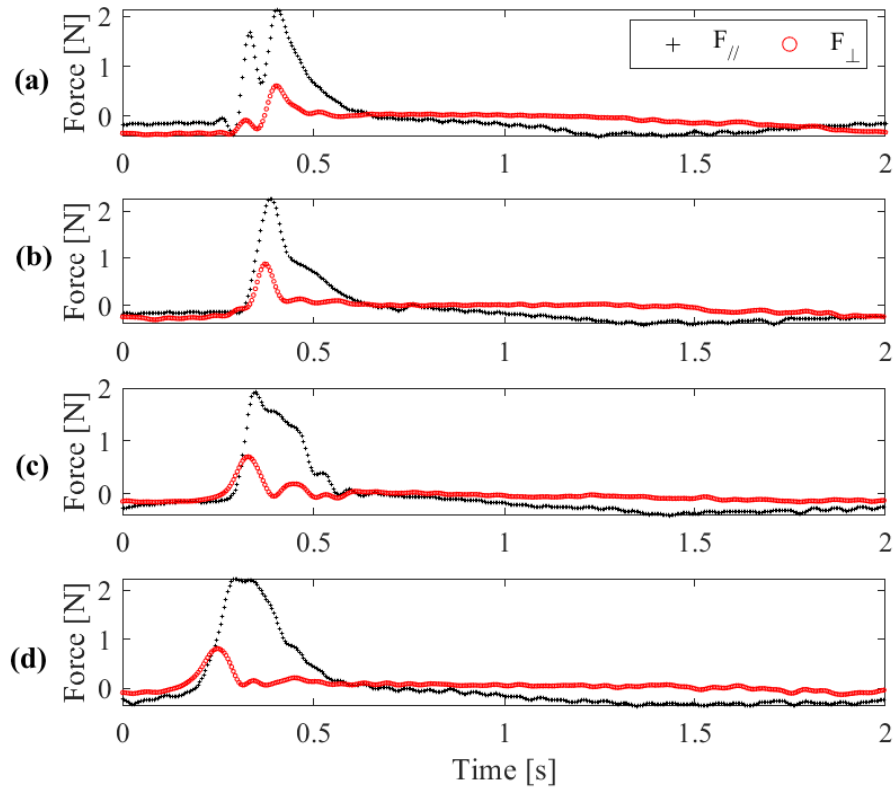
In the present study, our goal is to explore if the Morison-type equation can be used to predict the wave-induced force on a single armour unit located near the still water line on a sloped revetment. The model is expected to take the predicted flow parameters at the centroid of the armour unit as model inputs, and yields time series of 2-dimensional impact force as model outputs. The setup of the model is introduced first, followed by model calibration and model validation.

### 5.1. Setup of the model

Here we consider 2-dimensional problems, so an armour unit experiences a parallel force  $F_{//}$  (parallel to slope) and a normal force  $F_{\perp}$  (perpendicular to slope), i.e.,

$$\vec{F} = (F_{//}, F_{\perp}) \quad (9)$$

Figure 13: Figures showing time series of forces for Group 2 tests, which have different initial submergence: (a)  $h_l/D_{n50} = 0.31$ ; (b)  $h_l/D_{n50} = 0.94$ ; (c)  $h_l/D_{n50} = 1.57$ ; (d)  $h_l/D_{n50} = 2.2$ .



519 As discussed in Section 4, the positive peak of  $F_{//}$  occurs when the local  
520 flow reverses due to the arrival of main run-up flow, so  $F_{//}$  at this moment  
521 is well correlated with  $a_{//}$  and thus is akin to the inertial force component  
522 in Morrison equation. A negative  $F_{//}$  occurs during the run-down phase,  
523 when  $u_{//}$  is downslope but  $a_{//}$  is almost zero, so it is dominated by drag  
524 force. These observations suggest that Morrison equation can be used. The  
525 buoyancy acting on an armour unit may not be fully captured by the Morison  
526 equation. Since an armour unit, if located at or above the still water line,  
527 can be partially submerged or emerged, so it does not receive a constant  
528 buoyancy, which is only applied to a constantly-submerged armour unit. In  
529 view of this, a pressure gradient force, which is proportional to the product  
530 of local pressure gradient and density of the mixed media, is added to the  
531 Morison equation. As will be introduced later, a ‘lift’ force is also introduced  
532 as a component of  $F_{\perp}$ .

533 The drag force is given by

$$\vec{F}_D = (F_{D//}, F_{D\perp}) = \frac{1}{2} C_D \rho_m |U| (A_{//} U_{//}, A_{\perp} U_{\perp}) \quad (10)$$

534 where  $C_D$  is a drag coefficient to be calibrated;  $A_{//}$  and  $A_{\perp}$  are the projected  
535 areas of the armour unit in the slope-parallel and slope-normal directions;  
536  $|U|$ ,  $U_{//}$  and  $U_{\perp}$  are the magnitude and the two components of the predicted  
537 velocity at the centroid of the armour unit, respectively; and  $\rho_m$  is the density  
538 of the fluid around the armour unit, which is given by

$$\rho_m = \alpha_1 \rho_w \quad (11)$$

539 The inertial force is given by:

$$\vec{F}_I = (F_{I//}, F_{I\perp}) = \rho_m \frac{V}{D_{n50}^2} (C_{I//} A_{//} a_{//}, C_{I\perp} A_{\perp} a_{\perp}) \quad (12)$$

540 where  $C_{I//}$  and  $C_{I\perp}$  are inertial coefficients to be calibrated;  $V$  is the volume  
 541 of the armour unit and  $a_{//}$  and  $a_{\perp}$  are the two components of predicted flow  
 542 acceleration at the armour unit's centroid, respectively. Note that we assume  
 543 that  $F_{I//}$  and  $F_{I\perp}$  in Eq. (12) depend on the cuboid shape, so by including  
 544  $A_{//}/D_{n50}^2$  and  $A_{\perp}/D_{n50}^2$  in the definition.

545 For the cuboid used in this study, the nominal diameter is given by

$$D_{n50} = (w_m \times h_m \times l_m)^{(1/3)} \quad (13)$$

546 The volume of cuboid  $V$  is calculated using,

$$V = w_m \times h_m \times l_m \quad (14)$$

547 The projected areas are given by

$$A_{//} = (l_m w_m, h_m w_m) \cdot \left( \frac{1}{\sqrt{1+m^2}}, \frac{m}{\sqrt{1+m^2}} \right) \quad (15)$$

548 and

$$A_{\perp} = (l_m w_m, h_m w_m) \cdot \left( \frac{m}{\sqrt{1+m^2}}, \frac{1}{\sqrt{1+m^2}} \right) \quad (16)$$

549 where  $m$  is the revetment slope ( $m = 3$  in the present study).

550 The pressure gradient force is given by

$$\vec{F}_P = (F_{P//}, F_{P\perp}) = -V \left( \frac{\partial p}{\partial \xi}, \frac{\partial p}{\partial \tau} \right) \quad (17)$$

551 where  $p$  is the pressure predicted at the centroid of the armour unit, and  $\xi$   
 552 and  $\tau$  denote the parallel and normal directions.

553 By assembling all components in the parallel direction,  $F_{//}$  can be written

554 as

$$F_{//} = F_{D//} + F_{I//} + F_{P//} \quad (18)$$

555 In the normal direction, we found that the three terms together cannot  
 556 give a negative  $F_{\perp}$  during the run-down stage ( $t = 0$  to  $0.2$  s in Fig. 9). Thus,  
 557 we decided to include a ‘lift force  $F_L$ ’, which is given by

$$F_L = \frac{1}{2}C_L\rho_m A_{//}|U|U_{//} \quad (19)$$

558 where  $C_L$  is a lift coefficient to be calibrated. We acknowledge that this term  
 559 has little physical meaning, and is merely for making the predictor better  
 560 fits the measurement. However, we do note that some other researchers also  
 561 found that lift force coefficient for a rock unit on the slope of a breakwater can  
 562 be negative (e.g. Tørum, 1994). Note that it does not affect the prediction  
 563 of peak value of  $F_{\perp}$ , since at that moment  $U_{//}$  is almost zero. With this  
 564 additional term,  $F_{\perp}$  can be written as

$$F_{\perp} = F_{D\perp} + F_{I\perp} + F_{P\perp} + F_L \quad (20)$$

565 The choice of Morison equation as the template for developing the force  
 566 predictor requires some discussions. The Morison equation is for predicting  
 567 the in-line force of a body submerged in an oscillatory flow, but here it is  
 568 applied for predicting a 2D force on a body that may be partially submerged.  
 569 The typical application of Morison equation, such as a cylinder in an oscil-  
 570 latory flow, assumes an undisturbed far-field flow around the body, but here  
 571 the flow around an armour unit always varies drastically in the slope-normal  
 572 direction. This is because there is both free flow above the armour layer and  
 573 porous media flow below the armour layer. Thus, using the velocity predicted  
 574 at the centroid of the armour unit as model inputs is fundamentally different  
 575 from using the uniform far-field flow as model inputs in typical applications  
 576 of the Morison equation. It can be argued that we borrowed the format of



the Morison equation, which is inspired by the observed correlations between flow and force. As such, the coefficients to be calibrated are not expected to agree with those for typical applications of Morison equation. This is why we introduced two inertial coefficients in the two directions. Note that only one drag coefficient is introduced because we assume that the drag force to be in line with the instantaneous velocity. Also, no calibration parameter is introduced in the pressure-gradient force (Eq. (17)), because we want this term to be able to give the buoyancy for a unit partially submerged in the water.

## 5.2. Model calibration

In order to calibrate the parameters in Eqs. (10), (12) and (19) ( $C_D$ ,  $C_{I//}$ ,  $C_{I\perp}$  and  $C_L$ ), we used the velocity and acceleration at the centroid of the cuboid as characteristic flow quantities around the whole cuboid. We also used the pressure gradient to calculate pressure gradient force. Fig. 14 shows an example ( $h0.686H0.10T2.0$ ,  $I_r = 2.76$ ).

The calibration process is as follows.

First, we subtract the pressure gradient force,  $F_{P//}$  from the measurement. Note that the definition of  $F_{P//}$  and  $F_{P\perp}$  in Eq. (17) include the hydrostatic pressure gradient, while buoyancy was subtracted from the measured force (as stated at the end of section 2), so here the ‘dynamic’ pressure force is calculated with the pressure gradient without the hydrostatic components.

Second, for  $F_{//}$  in Fig. 14a, the positive peak occurs when the parallel velocity changes from negative to positive, i.e., the parallel flow velocity is about 0 when  $F_{//}$  reaches the positive peak as presented in Section 4.1. Therefore, the positive peak of  $F_{//}$  (indicated by the left red dashed line in

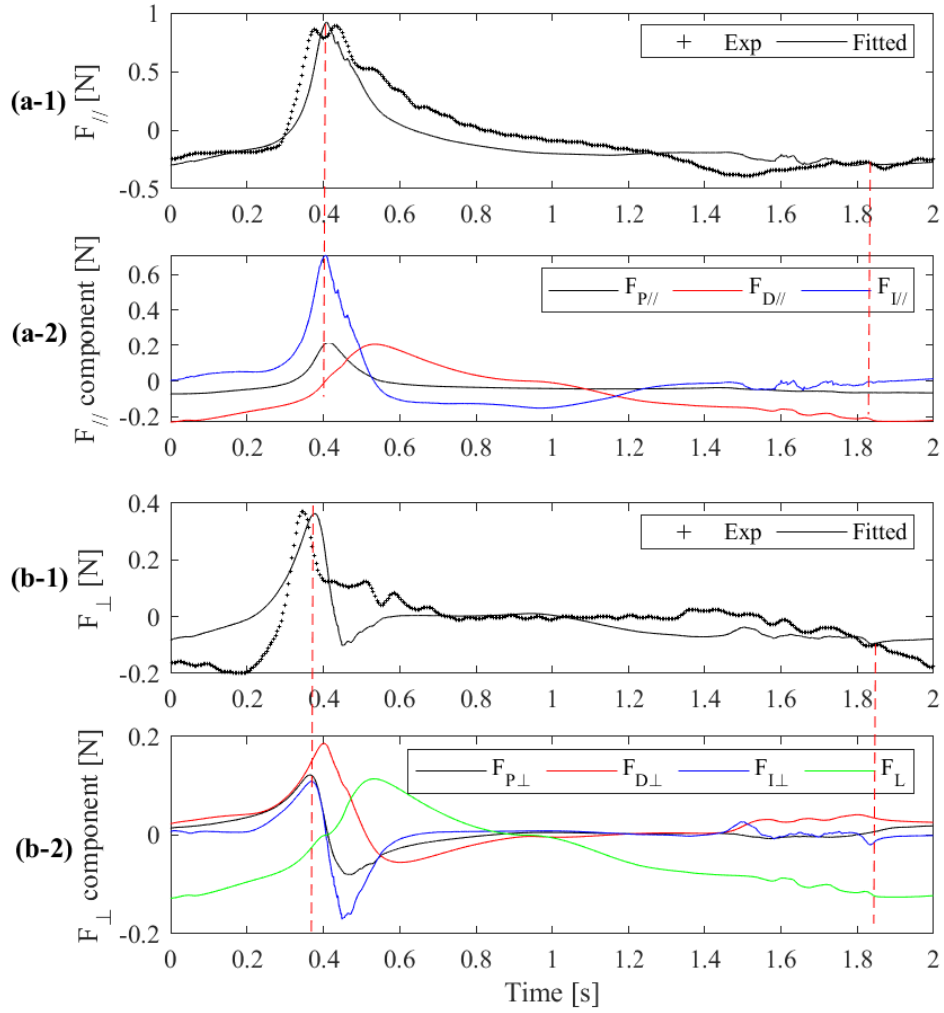
Fig. 14a) is given by the sum of pressure gradient force  $F_{P//}$  and inertial force  $F_{I//}$  as drag force  $F_{D//}$  is about 0 at this moment. This allows us to calculate  $C_{I//}$  in Eq. (12).

Third, the  $F_{//}$  during the run-down stage (indicated by the right red lines in Fig. 14a) is negative and the dominant contributor of this negative force is drag force  $F_{D//}$ . We can subtract  $F_{I//}$  and  $F_{P//}$  from the measured  $F_{//}$  during the run-down stage to give  $F_{D//}$ , which is then used to calculate  $C_D$  in Eq. (10).

Fourth, both the pressure gradient force,  $F_{P\perp}$ , and the drag force,  $F_{D\perp}$ , which is calculated using the obtained  $C_D$  are subtracted from the measured  $F_{\perp}$ . In the remaining  $F_{\perp}$ , the positive peak is dominated by the inertial force,  $F_{I\perp}$ , so we can calculate  $C_{I\perp}$  using this positive peak (the left red dashed line in Fig. 14b-1). At the moment indicated by right red line, the sum of  $F_{D\perp}$ ,  $F_{I\perp}$  and  $F_{P\perp}$  is larger than the measured  $F_{\perp}$ . Therefore, the only source of negative force around this moment comes from  $F_L$  and it can be used to make the tails of simulated force time history better match the measurement.  $C_L$  can be obtained by fitting Eq. (19) to  $(F_{\perp} - F_{D\perp} - F_{I\perp} - F_{P\perp})$ . The model is successfully calibrated after these steps. The same calibration process is applied to all the cases in this study.

Comparing the fitted coefficients for all cases, it is found that the coefficients for inertial force, i.e.,  $C_{I//}$  and  $C_{I\perp}$ , have a significant variation. As shown in Figs. 15a-1 and a-2, both  $C_{I//}$ ,  $C_{I\perp}$  for Group 1 cases clearly increase with  $H_0T_0$ . As  $H_0T_0$  increases from 40 to 110,  $C_{I//}$  increases from 4 to 20, while  $C_{I\perp}$  increases from almost zero to 10. As introduced before,  $H_0T_0$  is a controlling parameter of the positive peaks of impact force, which is

Figure 14: An example of the calibration of coefficients in the force predictor (h0.686H0.10T2.0,  $I_r = 2.76$ ): (a)  $F_{//}$ ; (b)  $F_{\perp}$ . The left red dashed line represents the moment of peak  $F_{//}$  and the right dashed line highlights a moment in the run-down stage.



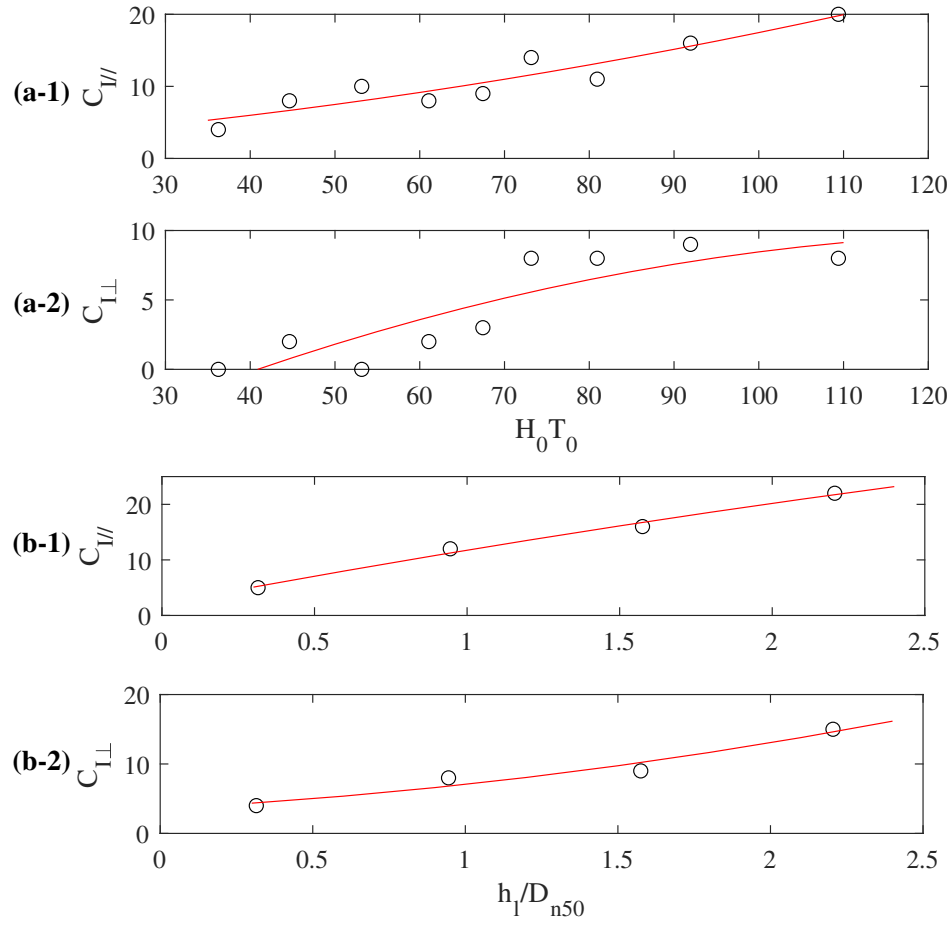
dominated by the inertial force, so this parameter should significantly influence the inertial coefficients. For Group 2 cases, which have similar  $H_0T_0$ , we also fitted the coefficients and present  $C_{I//}$  and  $C_{I\perp}$  in Figs. 15b-1 and b-2. We can see that both  $C_{I//}$  and  $C_{I\perp}$  increase with  $h_l/D_{n50}$ , i.e., as  $h_l/D_{n50}$  increases from 0.31 to 2.2,  $C_{I//}$  increases from 5 to 22, and  $C_{I\perp}$  increases from 4 to 15. It is interesting to see such a big variation of  $C_{I//}$  and  $C_{I\perp}$  within a small range of  $h_l/D_{n50}$ . Despite the large variability, the trend of variations of inertial coefficients are clearly suggested by the data clouds. However, the amount of data we have is insufficient to calibrate prediction formulae for the coefficients and more work is needed in the future study to produce a large enough dataset for the formulae.

For all the cases, the correlations of  $C_D$  and  $C_L$  with  $H_0T_0$  seem not very obvious. Generally,  $C_D$  and  $C_L$  are within the range of 12~20 and 3~12, respectively, so we simply take  $C_D = 16$  and  $C_L = 8$ . This is acceptable as  $C_D$  and  $C_L$  do not affect the prediction of the dominant positive peaks significantly.

The obtained  $C_{I//}$ ,  $C_{I\perp}$ ,  $C_D$  and  $C_L$  are larger than the values in other studies (e.g. Hofland, 2005). This is because the reference velocities and accelerations are the volume-averaged values inside the porous media, which are much smaller than those outside the porous media.

Figs. 16a and b show the comparison between the calculated force using the force predictor and the best-fit model coefficients and the measured forces for two Group 1 cases. For both  $F_{//}$  and  $F_{\perp}$ , the predicted time series reasonably follow the measurements. For  $F_{//}$ , the part of the time series around the positive peak is well captured, which is partly because the VARANS

Figure 15: Plot of calibrated: (a-1)  $C_{I//}$  and (a-2)  $C_{I\perp}$  against  $H_0 T_0$  for Group 1 cases; (b-1)  $C_{I//}$  and (b-2)  $C_{I\perp}$  against  $h_l/D_{n50}$  for Group 2 cases. In each sub-figure, 2nd-order polynomial fits (the red solid line) are introduced to depict the trend.



652 model accurately predicts the behavior of the front of the run-up flow. The  
 653 ‘tail’ part of  $F_{//}$ ’s time series (e.g., around  $t = 1$  s in Fig. 16a-1) is also well  
 654 predicted, which justifies the assumption of drag-dominant condition during  
 655 the run-down stage. The agreement for  $F_{\perp}$  is generally worse than that for  
 656  $F_{//}$ . This is partly because  $F_{\perp}$  is much smaller than  $F_{//}$ . Since our model  
 657 calibration ensures that the positive peak of  $F_{\perp}$  is well captured, the predicted  
 658 positive peaks indeed agree well with the measurements. Shortly after the  
 659 positive peak, e.g., around  $t = 0.3$  s in both Figs. 16a-2 and b-2, there is  
 660 a sudden dip of  $F_{\perp}$ , which is due to a large negative  $a_{\perp}$ . In fact, we have  
 661 tried many other ways to parameterize the predictor of  $F_{\perp}$ , and we found  
 662 that this dip cannot be explained and described in an easy way. Perhaps  
 663 some detailed physical processes, such as the release of entrained air bubbles  
 664 carried by the run-up flow, is related to this dip.

### 665 5.3. Shape effect

666 The two tests in Group 3 (C2 and C3) are not involved in model cali-  
 667 bration and they have the same initial submergence and flow condition as  
 668 test A8 (h0.686H0.137T2.0) in Group 1. Note that  $D_{n50}$  of the cuboids for  
 669 the three cases in Group 3 tests (C1 to C3) are also similar and the only  
 670 difference among the cases is the cuboid shape which results in different pro-  
 671 jected areas ( $A_{//}$  and  $A_{\perp}$ ). Table 4 summarizes these geometric parameters.  
 672 Since  $D_{n50}$ , initial submergence and wave conditions of the three cases are  
 673 the same or very similar,  $H_0T_0$  and  $h_l/D_{n50}$  are therefore very close, so the  
 674 model coefficients are expected to be about the same (i.e.,  $C_{I//} = 16$ ,  $C_{I\perp} =$   
 675  $9$ ,  $C_D = 16$ ,  $C_L = 8$ ). Thus, here we use the best-fit model coefficients from  
 676 C1 to predict the wave-induced force for C2 and C3. The model-data com-

Figure 16: Comparisons of the predicted and measured forces for the cases: (a)  $h0.686H0.15T1.2$ ; (b)  $h0.686H0.18T2.0$

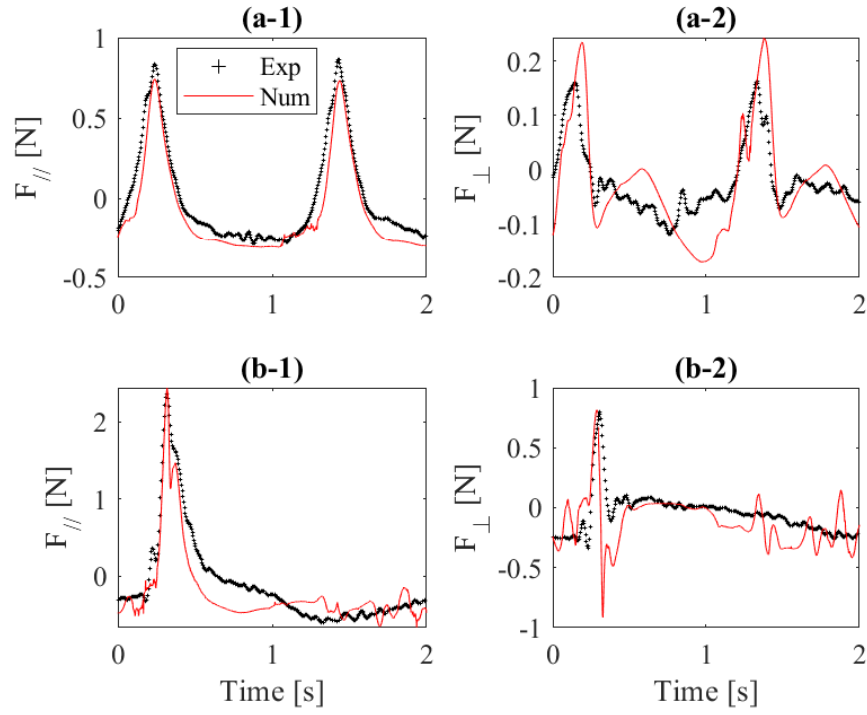


Table 4: Geometric parameters for tests C1 to C3

Test ID	$D_{n50}$ [m]	$A_{//}$ [ $m^2$ ]	$A_{\perp}$ [ $m^2$ ]
C1	0.0317	0.00126	0.00177
C2	0.0311	0.00089	0.00114
C3	0.0311	0.00174	0.00142

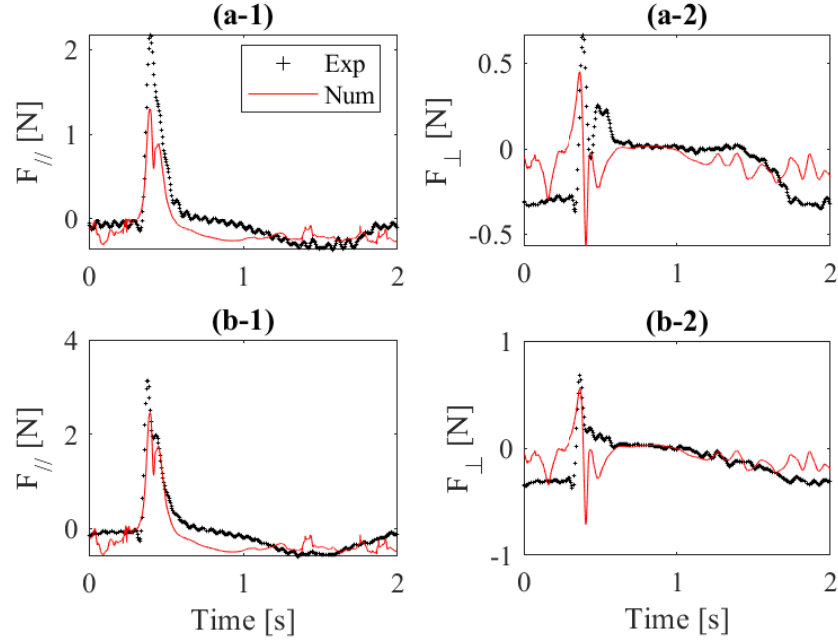
677 parisons are presented in Fig. 17. Overall speaking, the agreement is similar  
 678 to that of the calibrated tests shown in Fig. 16. The  $A_{//}$  of test C3 is about  
 679 twice of  $A_{//}$  of test C2, so according to Eq. (12), the positive peak of  $F_{//}$  in  
 680 test C3 should be much larger than that in test C2. This is in agreement  
 681 with the experiment results, i.e., the measured values are 3.1 N for C1 (see  
 682 Fig. 17b-1) and 2.2 N for C2 (see Fig. 17a-1). The  $A_{\perp}$  of these two tests,  
 683 however, have similar values, so  $F_{\perp}$  have similar magnitude (Fig. 17a-2 vs  
 684 Fig. 17b-2). This shows that projected area introduced in the force predictor  
 685 can partially account for the shape effect. However, the angularity of the  
 686 armour layer unit is another aspect of shape effect, which is unfortunately  
 687 not included here. More tests with other unit shapes are required in the  
 688 future study.

## 6. Conclusion

690 The present study aims to explore if a Morison-type equation can be  
 691 used to ‘translate’ flow predictions from VARANS-based numerical models  
 692 to wave-induced force on a single armour unit located on a sloped revetment.  
 693 To this end, we combined wave flume experiments and numerical simulations.  
 694 In the flume experiments, a cuboid, as an idealization of rock unit, was placed  
 695 inside the armour layer of a model revetment. It was connected to a load



Figure 17: Comparisons of the predicted and measured forces for: (a)  $h0.686H0.15T2.0$  with  $2 \times 5 \times 3$  cm cuboid (see Fig. 3b and C2 test); (b)  $h0.686H0.15T2.0$  with  $5 \times 2 \times 3$  cm cuboid (see Fig. 3c and C3 test).



696 cell fixed above the revetment, allowing direct measurements of impact force.  
 697 Wave gauges and pressure sensors were also deployed to measure free-surface  
 698 elevations in the flume and pore pressures within the porous revetment, re-  
 699 spectively, which were used for model validation. A high-speed camera was  
 700 used to record the flow process, and the recording was synchronized with  
 701 other measurements. 2-dimensional numerical simulations of the wave flume  
 702 tests were conducted using an OpenFOAM solver, olaFlow, which solves the  
 703 two phase VARANS equations. A convergence test was performed to ensure  
 704 that the resolution of the structured grid is sufficiently fine. Comparisons  
 705 with our measurements showed that the model can accurately predict the  
 706 surface elevation at the toe of the structure and the pore pressures within  
 707 the structure.

708 We focus on armour units located within a narrow belt below the still  
 709 water line, which is the most vulnerable region for damage. Three group  
 710 of test conditions were involved in this study. Group 1 tests have the same  
 711 initial submergence of the cuboid but different wave conditions, which covers  
 712 a wide range of Iribarren number,  $I_r$ , and dynamic stability number  $H_0T_0$ .  
 713 Group 2 tests have the same wave condition but different initial submergence  
 714 ( $h_l/D_{n50}$  from 0.31 to 2.2). In group 3, the shape of the cuboid is changed,  
 715 while flow condition and initial submergence are kept unchanged.

716 By synchronizing the force measurements and the prediction of flow field,  
 717 some key correlations between flow and wave loading on a single armour unit  
 718 are identified. First, the positive peak of slope-parallel force component,  $F_{//}$ ,  
 719 occurs when the arrival of the run-up flow suddenly reverses the flow around  
 720 an armour unit from run-down to run-up, so it is correlated with the slope-

parallel acceleration. The positive peak of slope-normal force component,  $F_{\perp}$ , occurs slightly before the positive peak of  $F_{//}$ . It is associated with an outward seepage flow, which is created when inside the porous revetment an offshore flow driven by a falling internal water table meets an onshore flow driven by the arriving wave. During the run-down stage, a thin layer of down-slope surface flow covers an armour unit, so the  $F_{//}$  is correlated with the instantaneous bottom-parallel velocity.

Based on these observed correlations, a force predictor, which consists of an inertial force, a drag force, a pressure-gradient force and a lift force (only for  $F_{\perp}$ ), is proposed. The predictor follows the classic Morison equation, i.e., the inertial force is scaled with flow acceleration and the drag force is given by a quadratic law. Its input flow parameters are from the predicted porous media flow at the centroid of the armour unit, while in typical applications of Morison equation the far-field flow is usually taken as the input. As such, the model coefficients are not expected to take the values used in other typical applications of Morison equation, and therefore are calibrated using our own data. After fitting the predictor to the measurements, it is found that the proposed force predictor can generally approximate the temporal variation of the impact force in the bottom-parallel direction. In the bottom-normal direction, the predictor can approximate the peak values, but not all temporal variations can be perfectly captured. It is found that the inertial coefficients vary substantially with  $H_0T_0$  and  $h_l/D_{n50}$ , while the drag and lift coefficients have much less variability. Although the inertial coefficient varies with the submergence  $h_l/D_{n50}$ , the peak force does not change significantly with  $h_l/D_{n50}$ . Due to the lack of data, we leave calibrating em-

746 pirical formulae for inertial coefficients to the future. The shape of the armour  
747 unit is considered by introducing projected areas in the force predictor. By  
748 applying the predictor using the same set of model coefficients to three tests  
749 in group 3, among which the only difference is the shape of the cuboid, it was  
750 found that this set-up indeed can capture the shape effect to a large extent.

751 Overall speaking, this study has demonstrated the feasibility of develop-  
752 ing a Morison-type equation that can translate a VARANS-based model's  
753 prediction of porous-media flow in the armour layer into the wave-induced  
754 force on the armour unit. It is found that the inertial force is the dominant  
755 force, but the inertial coefficients can have significant variations with the  
756 dynamic stability number  $H_0T_0$  and the submergence  $h_l/D_{n50}$ . Our dataset  
757 shows that the inertial coefficients increases with both  $H_0T_0$  and  $h_l/D_{n50}$ ,  
758 but a much larger dataset is required for calibrating empirical formulae that  
759 describe the variations. To eventually develop a force predictor that can be  
760 used in engineering practices, a large amount of research work is required  
761 to fully achieve our ultimate target, including tests of irregular wave, test of  
762 larger ranges of  $H_0T_0$  and  $h_l/D_{n50}$  and rock units with various shapes.

## 763 Acknowledgments

764 The Department of Civil and Environmental Engineering in National Uni-  
765 versity of Singapore is acknowledged for allowing us to use the hydraulic labo-  
766 ratory. National Supercomputing Center Singapore (NSCC) is acknowledged  
767 for providing the computing facilities for the present study.

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## 888 Nomenclature

889	$\alpha$	one of the parameters of the porous media. Unit: -
890	$\alpha_1$	the volume of fluid (VOF). Unit: -
891	$\beta$	one of the parameters of the porous media. Unit: -
892	$\Delta x$	grid dimension in x direction. Unit: m
893	$\Delta z$	grid dimension in z direction. Unit: m
894	$\mu$	the dynamic molecular viscosity. Unit: $kg/(ms)$
895	$\mu_t$	the dynamic turbulent viscosity. Unit: $kg/(ms)$
896	$\rho$	the fluid density. Unit: $kg/m^3$
897	$\rho_a$	the air density. Unit: $kg/m^3$
898	$\rho_m$	$=\alpha_1\rho_w$ . Unit: $kg/m^3$
899	$\rho_w$	the water density. Unit: $kg/m^3$
900	$\tau$	the direction normal to the slope. Unit: -
901	$\xi$	the direction parallel to the slope. Unit: -
902	$A$	coefficient. Unit: -
903	$A_{//}$	force area parallel to the slope. Unit: $m^2$
904	$a_{//}$	acceleration parallel to the slope. Unit: $m/s^2$
905	$A_{\perp}$	force area perpendicular to the slope. Unit: $m^2$

906	$a_{\perp}$	acceleration perpendicular to the slope. Unit: $m/s^2$
907	$B$	coefficient. Unit: -
908	$c$	coefficient, $c = 0.34$ . Unit: -
909	$C_D$	drag coefficient. Unit: -
910	$C_I$	inertia coefficient. Unit: -
911	$C_L$	lift coefficient. Unit: -
912	$C_{I//}$	inertia coefficient for parallel force component. Unit: -
913	$C_{I\perp}$	inertia coefficient for perpendicular force component. Unit: -
914	$D_{n50}$	median nominal diameter of the rock. Unit: m
915	$F_r$	Froude number, $F_r = U/\sqrt{gD_{n50}}$ , here $U = \sqrt{gH}$ . Unit: -
916	$F_{//}$	the force parallel to the slope. Unit: $kg \cdot m/s^2$
917	$F_{\perp}$	the force perpendicular to the slope. Unit: $kg \cdot m/s^2$
918	$F_{D//}$	the drag force parallel to the slope. Unit: $kg \cdot m/s^2$
919	$F_{D\perp}$	the drag force perpendicular to the slope. Unit: $kg \cdot m/s^2$
920	$F_{I//}$	the inertial force parallel to the slope. Unit: $kg \cdot m/s^2$
921	$F_{I\perp}$	the inertial force perpendicular to the slope. Unit: $kg \cdot m/s^2$
922	$F_{P//}$	the pressure difference force parallel to the slope. Unit: $kg \cdot m/s^2$
923	$F_{P\perp}$	the pressure difference force perpendicular to the slope. Unit: $kg \cdot m/s^2$

924	$g$	the gravitational acceleration. Unit: $m/s^2$
925	$H$	the wave height. Unit: m
926	$h$	the water depth. Unit: m
927	$H_0$	the static stability number, $H_0 = H_s/(\Delta D_{n50})$ . Unit: -
928	$H_0 T_0$	the dynamic stability number, $H_0 T_0 = H_0 \cdot T_0$ . Unit: -
929	$h_l$	the local water depth above the cuboid. Unit: m
930	$h_m$	the height of the cuboid. Unit: m
931	$I_r$	Iribarren number, $I_r = \tan \beta / \sqrt{H/L_0}$ . Unit: -
932	$KC$	the Keulegan-Carpenter number, $KC = U_M T / (n D_{n50})$ . Unit: -
933	$L_0$	deep water wavelength, $L_0 = gT^2/2/\pi$ . Unit: m
934	$l_m$	the length of the cuboid. Unit: m
935	$m$	the revetment slope. Unit: -
936	$n$	the porosity. Unit: -
937	$N_s$	an index to quantify stability condition of a structure. Unit: -
938	$p$	the pressure. Unit: $kg/(ms^2)$
939	$p^*$	the pseudo-dynamic pressure. Unit: $kg/(ms^2)$
940	$Re$	Reynolds number, $Re = \sqrt{gH} D_{n50} / \nu$ and $\nu$ is the kinematic viscosity.
941		Unit: -

942	$T$	the wave period of regular waves. Unit: s
943	$t$	time. Unit: s
944	$T_0$	the wave period factor, $T_0 = T_m(g/D_{n50})^{0.5}$ . Unit: -
945	$U$	the resultant velocity of $U_{//}$ and $U_{\perp}$ . Unit: $m/s$
946	$u^r$	the relative velocity between fluid and air. Unit: $m/s$
947	$u_i$	the volume-averaged velocity in Cartesian coordinates. Unit: $m/s$
948	$u_j$	the volume-averaged velocity in Cartesian coordinates. Unit: $m/s$
949	$U_M$	the maximum oscillatory velocity. Unit: $m/s$
950	$U_{//}$	the velocity parallel to the slope. Unit: $m/s$
951	$U_{\perp}$	the velocity perpendicular to the slope. Unit: $m/s$
952	$V$	the volume of the cuboid. Unit: $m^3$
953	$w_m$	the width of the cuboid. Unit: m
954	$x$	the horizontal coordinate. Unit: m
955	$x_i$	the Cartesian coordinate. Unit: m
956	$y$	the vertical coordinate. Unit: m
957	$y_i$	the Cartesian coordinate. Unit: m