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Enlighten – Research publications by members of the University of Glasgow http://eprints.gla.ac.uk 1 Estimation of effective cohesion using artificial neural networks based on index

2 soil properties: a Singapore case

3 Yongmin Kim^a, Alfrendo Satyanaga^b, Harianto Rahardjo^{c*}, Homin Park^d, Aaron Wai Lun
4 Sham^e

5 ^aSchool of Engineering, University of Glasgow Singapore, Singapore.

- 6 ^bSchool of Civil and Environmental Engineering, Nazarbayev University, Kazakhstan
- 7 °School of Civil and Environmental Engineering, Nanyang Technological University,

8 Singapore; *Corresponding author: <u>chrahardjo@ntu.edu.sg</u>

9 d'Institute of Infocomm Research, Agency for Science, Technology and Research, Singapore

^eSpecial Functions Group, Enforcement & Structural Inspection Department, Building and
 Construction Authority, Singapore

- 12
- 13 Abstract

14 This study presents a development of a multi-layer perceptron (MLP) model to spatially 15 estimate and analyze the variability of effective cohesion for residual soils that are commonly 16 associated with rainfall-induced slope failures in Singapore. A number of soil data were 17 collected from the various construction sites, and a set of qualified Nanyang Technological 18 University (NTU) data were utilized to determine a criterion for data selection. Four index 19 properties (i.e., percentage of fines and coarse fractions, liquid and plastic limits) were used as 20 training parameters to estimate the effective cohesion of residual soils from different geological 21 formations. Ordinary kriging analyses were carried out to analyze the spatial distribution and 22 variability of effective cohesion. As a result, the appropriate effective cohesions can be 23 estimated using the MLP model with the incorporation of the selected values of measured 24 effective cohesion as training data and four index soil properties as input data. In the 25 combination of estimated and measured effective cohesions, the spatial analysis using Kriging method can clearly differentiate the variations in effective cohesion with respect to the different
 geological formations.

Keywords: residual soil; effective cohesion; index properties; artificial neural networks

30 1. Introduction

Slope failures have become one of the most frequent geo-hazards all over the world. Rainfall-induced slope failure is a common problem in many tropical areas, such as Singapore during rainy seasons. These type of slope failures are commonly found in residual soils that were derived from various geological formations. (Rahardjo et al., 2012; 2016). Furthermore, rapid growth of regional economies has resulted in tremendous demand for hillside developments involving engineered and fill slopes. These failures can pose potential danger to infrastructures and public safety (Kim et al., 2018; Rahardjo et al., 2019a).

38 The geology of Singapore is closely related to the Malaysian geology. Located in the 39 proximity of the tip of the South Malaysian Peninsula, the main North-North-West to South-40 South-East parallel belts are strongly defined in the Singapore realm. Thus, the Eastern and 41 Central Belts of Malaysia continue to Singapore Island (Oliver and Gupta, 2017; Ip et al., 2021), 42 predominant with the sandstones and mudstones. The geology of Singapore shows eight units 43 but it consists mainly of three formations: 1) Jurong Formation (JF) which exhibits sedimentary 44 rocks in the west; 2) Bukit Timah Granite (BTG) which exhibits igneous rocks of granite in the 45 central and northwest; 3) Old Alluvium (OA) which exhibits semi-hardened alluvium in the east of Singapore (PWD, 1976). Fig. 1 shows a simplified geology map that outlines the 46 47 distribution of the three major geological formations of Singapore.

Residual soil is the final product of the in-situ mechanical and chemical weathering of underlying rocks (Blight and Leong, 2012). The most important characteristic of residual soils is its reduced strength from the original rock's strength due to the destruction of the bonds and the cementation of the material due to the weathering processes (Zhai et al., 2018). In addition, slopes covered with these residual soils in Singapore often have a problem during heavy rainfall events since the infiltration of rainwater into the unsaturated zone increases the pore-water pressure and subsequently decreases the shear strength (Fredlund and Rahardjo, 1993). As a result, rainfall-induced slope failures frequently happen in areas that are covered with residual soils (Rahardjo et al., 2013; 2014).



57

58 Fig. 1. Geological map of Singapore and locations of NTU database.

59 Shear strength properties of a soil are important parameters in determining stability of 60 geotechnical structures. In particular, effective cohesion (c') is one of the important shear 61 strength parameters to evaluate slope stability. Therefore, theoretical equations to calculate 62 factor of safety incorporates the term of c'. US Army Corps of Engineers (2003) observed that the factor of safety is significantly affected by c'. However, the weathering processes resulted 63 64 in the change and the variation of c' for residual soils in Singapore. Rahardjo et al. (2012) and Zhai et al. (2017) investigated the variability of residual soil properties with different soil 65 depths and discussed that typical c' of residual soils from Jurong Formation (JF), Bukit Timah 66

67 Granite (BTG), and Old Alluvium (OA) decreases with depth due to the decrease in the percentages of fine fractions. The mean values of c' for residual soils vary from 8 kPa to 24 68 69 kPa over Singapore. Although the variability of c' represented the characteristics of residual 70 soils and the weathering processes in Singapore, a set of soil samples used has limited 71 capabilities in representing the entire region due to its restricted sampling location coverage. 72 Therefore, for the study on rainfall-induced slope failures, it is necessary to collect big data on soil properties to quantify the characteristics of c' for residual soil with respect to the three 73 74 different geological formations.

Recently, artificial neural network (ANN) models have drawn significant attention 75 76 from the geotechnical engineering field. The ANN model can be applied to the analysis of 77 geotechnical structures, e.g., shallow foundations (Kalinli et al., 2011) and landslides (Choi et 78 al., 2012), and soil properties, e.g., shear strength of soils (Khanlari et al., 2012). Despite a 79 growing interest in ANN models to deal with big geotechnical data, very few studies have 80 considered soil properties that govern soil behavior because of the uncertainties in the 81 variability of soil properties and difficulties in collecting soil samples. In addition, high-82 performance computing devices have made ANNs possible to have multi hidden layers and 83 thousands of nodes. Such techniques with various hyperparameters have not been applied to 84 past research works.

The main objective of this study is to spatially analyze the variability of effective cohesion for residual soils in Singapore. Soil data were collected from the various construction sites, and the qualified Nanyang Technological University (NTU) database was used to determine the upper and lower limits for data selection purposes. Index properties (i.e., percentage of fines and coarse fractions, liquid and plastic limits) were adopted as training data to estimate *c'* using a multi-layer perceptron (MLP) model that can differentiate the variability

- 91 of c' for residual soils from different geological formations. In addition, the spatial distributions 92 of c' were obtained by conducting ordinary kriging, and the variability of c' was discussed.
- 93

94 2. Artificial neural networks (ANNs) for the estimation of effective cohesion

95 2.1 Introduction to ANNs

Artificial neural networks (ANNs) are complex computing systems inspired by the biological neural networks resembling how neurons are tightly connected to form a layered network structure. Such systems learn to solve various tasks, including approximation, classification, and clustering, by thoroughly analyzing provided big data without exploiting prior-knowledge and task-specific rules (Luger, 2005).

101 There are three key advantages of ANNs as compared to conventional machine learning 102 techniques. First, with sufficient training data, ANNs can learn and model latent relationships 103 between inputs and outputs that are not obvious to human experts, which potentially leads to 104 better performance. Second, ANNs can generalize its learned relationships to make accurate 105 inference and prediction results in practice where unseen, yet from similar probabilistic 106 distributions, data are often observed. Note that the learned characteristics (or features) are 107 hidden behind the complex network structure. Therefore, ANNs are often denoted as a black-108 box system. Third, ANNs do not impose any restrictions on the input variable, which can 109 quickly initiate the training process using the raw data instead of spending hours and days finding a set of feasible features that might represent the relationship between the inputs and 110 111 outputs. In fact, with sufficient training data and carefully designed network architecture, 112 ANNs demonstrated its significance over other conventional machine learning techniques in 113 many domains (Shahin et al., 2001; Abiodun et al., 2018).

The use of ANN models has been found in the studies addressing issues in geotechnical
structures and soil properties. For geotechnical structures, many researchers studied an ANN

116 model to predict bearing capacity of deep foundations (Goh, 1995; Chan et al., 1995; Lee and 117 Lee, 1996). Settlement of shallow foundations was also inferred using an ANN model 118 (Sivakugan et al., 1998; Shahin et al., 2000). Stability of slopes was evaluated by combining 119 the fuzzy sets theory with ANNs (Ni et al., 1996). For soil properties, Ellis et al. (1995) 120 proposed an ANN model to predict grain size distribution and stress history of sand. Cal (1995) 121 developed an ANN model to generate a quantitative soil classification from index properties 122 e.g., plastic index, liquid limit, and clay content. Romero and Pamukcu (1996) presented an 123 ANN model to characterize shear modulus and granular materials. The application of ANNs to 124 different aspects has increased in recent years. ANNs have been applied in predicting water quality (May and Sivakumar 2009), modeling of the rainfall-runoff process (Ju et al. 2009), 125 126 forecasting of sewer overflow (Fernando et al. 2005) and river flow (Fernando and Shamseldin 127 2009), and predicting pore-water pressure in response to rainfall (Mustafa et al., 2012). 128 Recently, ANNs are extended to multi-hidden layered architectures in multi-layer perceptron 129 (MLP), which is one of the widely used ANN architectures resulting from developments of high-performance computing devices. MLP is computationally more efficient (e.g., faster 130 131 training time, a smaller number of parameters, etc.) as compared to other predictive models 132 (e.g., deep feedforward neural networks, convolutional neural networks, recurrent neural networks) that are not designed to address the soil data used in this study. Thus, MLP is suitable 133 134 for analysis of scattered soil data.

- 135
- 136

2.2 MLP and Hyperparameters

137 In this study, MLP was employed to estimate the effective cohesion for residual soils 138 in Singapore. As illustrated in Fig. 2, a typical MLP architecture includes multiple layers of 139 nodes, namely an input layer, a set of hidden layers, and an output layer. The number of nodes 140 in each layer is determined as follows. First, the input layer equals to the number of input 141 variables (e.g., the number of soil properties used to predict the effective cohesion). Second, 142 the number of hidden layers and the number of nodes in each hidden layer are iteratively determined in accordance with the data for training. Lastly, the output layer contains a single 143 144 node to make estimations based on the input data. An empirical experiment was conducted to 145 identify a suitable MLP design for this study, which includes two hidden layers, each 146 containing one thousand nodes. It is noteworthy that increasing the depth of the network by 147 adding more layers, which eventually leads to a deep feedforward neural network, decreased 148 performance due to the overfitting issue. On the other hand, with a fixed number of hidden 149 layers (e.g., two hidden layers) increasing the number of nodes, to a certain limit, improve the 150 overall performance. This analysis suggests that the network must be shallow while the width 151 is wide enough.

The initial inputs to the network are the borehole data $x = \{x_1, ..., x_l, ..., x_l\}$ that must 152 153 be analyzed and learned to estimate the corresponding effective cohesion. Here, L denotes the 154 number of soil properties, and *l* refers to the property index. Once x is fed to the network, each node sequentially calculates the weighted sum of its inputs and transmits an output value 155 156 determined by an activation function. As shown in Fig. 2, each node is fully connected with 157 nodes from another layer by allowing the output of a node to become the input of the others. 158 The activation function provides a differentiable transition in output values as input values 159 change, allowing each node to decide whether to produce an output or not based on the input 160 they received. There are several activation functions designed to serve different purposes (e.g., 161 rectified linear unit, sigmoid, linear functions, etc.), and it is important to select a proper activation function to train the network (Luger, 2005). To effectively train the network, the 162 163 rectified linear unit (ReLU) was employed while the output node exploited the linear activation 164 function to make accuracy effective cohesion estimations. The advantages of using ReLU 165 compared to other functions are 1) better gradient propagation which is necessary to update all 166 weights throughout the network, 2) computationally efficient by only using only addition and 167 multiplication, and 3) sparse activation leading to better generalization (Nair et al., 2010). The 168 ultimate output of the network \hat{y} is made at the output layer, which tends to be erroneous during 169 the early stages of training. The accuracy of \hat{y} gradually improves as the network learns the 170 latent relationship between the input data x and the target (ground-truth) data y.



172 Fig. 2. Schematic diagram of a multi-layer perceptron.

171

The learning procedure involves adjusting the weights of the network to improve the accuracy of the estimation results. This is governed by three key factors, including loss function, learning rate, and backpropagation. To minimize the error, which leads to better performance, a loss function (e.g., root mean square error) quantifies the difference between the estimation result \hat{y} and the ground-truth value y. The training process is determined to be completed when the error does not significantly decrease after updating the weights. In this study, the loss (error) is computed using root mean square error (RMSE) as follows:

180
$$RMSE = \sqrt{\sum_{t=1}^{T} (\hat{y}_{a} - y_{a})^{2} / N}$$
 (1)

181 where T is the number of training data instances while t is the data instance index.

182 The backpropagation is a method to adjust each of the weights in the network in order 183 to produce outputs closer to the target values, thereby minimizing the error. The derivation of 184 the backpropagation was performed by applying the chain rule to the error function partial 185 derivative

186
$$\frac{\partial E}{\partial w_{ij}^k} = \frac{\partial E}{\partial a_j^k} \frac{\partial a_j^k}{\partial w_{ij}^k}$$
(2)

187 where a_j^k is the activation of node *j* in layer *k* before it is passed to the nonlinear activation 188 function to generate the output. The first term is often denoted as the error

189
$$\delta_j^k = \frac{\partial E}{\partial a_j^k} \tag{3}$$

190 while the second term is computed as:

191
$$\frac{\partial a_j^k}{\partial w_{ij}^k} = \frac{\partial}{\partial w_{ij}^k} \left(\sum_{l=0}^{r_{k-1}} w_{lj}^k o_l^{k-1} \right) = o_i^{k-1}$$
(4)

192 where r_{k-1} is the number of nodes in layer k - 1 and o_i^{k-1} is the output of node *i* in layer k - 1931. Thus, the partial derivative of the error *E* with respect to a weight w_{ij}^k is

$$194 \quad \frac{\partial E}{\partial w_{ij}^k} = \delta_j^k o_i^{k-1} \tag{5}$$

195 which is a product of the error at node *j* in layer *k* and the output o_i^{k-1} in layer k - 1. Since 196 the error δ_j^k depends on the values of error terms in the next layer k + 1, the computation of 197 the error terms will proceed backwards from the output layer down to the input layer, thus 198 backpropagating the errors. In this study, Stochastic Gradient Descent (SGD) algorithm was 199 exploited to train the model, which iteratively optimizes the network using an estimate of the 200 gradient (calculated from a randomly selected subset of the data) instead of the actual gradient 201 (calculated from the entire dataset).

Once the amount of adjustment needed for each weight is computed using SGD, the learning rate is applied to control the actual amount of adjustment made to the weights. A higher learning rate shortens the training time by making drastic changes to the weights but lowers overall accuracy due to the likelihood of finding a local minimum. On the other hand, a lower learning rate takes longer since we are making smaller changes to the weights but has a higher chance of finding a global minimum (or a local minimum closer to that of global)
yielding better performances. Each weight is thus updated as

$$209 \qquad \widehat{w}_{ij}^k = w_{ij}^k - \eta \frac{\partial E}{\partial w_{ij}^k} \tag{6}$$

210 where η is the learning rate. In this study, the learning rate was set to 0.000001.

211

212 2.3 Detailed Training Procedure

The training procedure used in this study can be summarized into five stages, as shown in Fig. 2, including 1) create a training dataset *X*, 2) feedforward input data through MLP, 3) compute the loss, 4) calculate gradient, and 5) adjust the weights by backpropagation.

The very first step to creating a train and test dataset was to import raw data composed of five columns representing the % fine fraction, % coarse fraction, liquid limit (LL), plastic limit (PL), and c'. Once the raw data were loaded, the four index properties were used as the input data $x \in X$ while c' was taken as the target data $y \in Y$. Lastly, X and Y were split into train and test sets where 75% of the data was used for training, while the other 25% was used for testing. Once the data was ready, the following steps were taken to train the MLP. Randomly initialize the weights W

- 223 2. Feed the training data = {input x, target y}
- 224 3. Attain estimation value \hat{y}
- 225 4. Calculate the errors $E = \text{RMSE}(\hat{y}, y)$
- 5. Backpropagate the error *E* by computing $\partial E / \partial w_{ij}^k$ using equations 2 to 5
- 6. Determine the actual adjustment needed for each weight using equation 6
- 228 7. Update the network weights
- 8. Repeat steps 2 to 7 until the required performance is met.

The early stopping of the training process was triggered if one of the followings was satisfied:
1) the loss (RMSE) is less than or equal to a predefined value or 2) the training takes longer
than a specified number of epochs.

233

234 3. Methodology

235 3.1. Collection of soil data

236 Two sets of soil data were collected in this study. First, residual soil samples were 237 collected from 37 slopes which are located in the three different formations in Singapore, i.e., 238 Jurong Formation (JF), Bukit Timah Granite (BTG), and Old Alluvium (OA), as shown in Fig. 239 1. A Mazier sampler was used, and the drillings of each borehole were carried out up to 6 m 240 depth. All soil samples were waxed and stored inside a curing room with a constant water 241 content (w) to maintain the natural condition. Index property tests were carried out to obtain 242 grain-size distributions (ASTM D422-63, 2002) and Atterberg limits (ASTM D4318-00, 2002). 243 For mechanical properties, consolidated undrained triaxial tests with pore-water pressure 244 measurements on saturated soil specimens (ASTM D4767-04, 2002) and consolidated drained 245 triaxial tests on unsaturated soil specimens (Satyanaga and Rahardjo, 2019) were carried out to obtain shear strength parameters of the soils. Table 1 summarizes the index and mechanical 246 247 properties of residual soils, and hereafter called the NTU soil database.

249 Table 1. Summary of residual soil properties in Singapore (NTU soil database).

| No. | Geological formation | USCS* | % Coarse | % Fine | LL (%) | PL (%) | <i>c</i> ′ (kPa) |
|-----|----------------------|-------|----------|--------|--------|--------|------------------|
| 1 | BTG | MH | 22 | 78 | 52 | 34 | 9 |
| 2 | BTG | MH | 30 | 70 | 54 | 29 | 11 |
| 3 | BTG | SM | 58 | 42 | 56 | 38 | 5 |
| 4 | BTG | SC | 65 | 35 | 72 | 31 | 6 |
| 5 | BTG | SC | 66 | 34 | 48 | 37 | 6 |
| 6 | BTG | SM | 54 | 46 | 52 | 31 | 9 |
| 7 | BTG | SM | 61 | 39 | 108 | 47 | 7 |

| 8 | BTG | MH | 38 | 62 | 105 | 47 | 11 |
|-----|------|-----|------|-----|-----|------|----|
| 9 | BTG | MH | 5 | 95 | 72 | 45 | 13 |
| 10 | BTG | CH | 36 | 64 | 71 | 34 | 12 |
| 11 | BTG | MH | 42 | 58 | 61 | 36 | 7 |
| 12 | BTG | MH | 44 | 56 | 54 | 33 | 8 |
| 13 | JF | CL | 0 | 100 | 49 | 24 | 15 |
| 14 | JF | CL | 25 | 75 | N/A | N/A | 16 |
| 15 | JF | CL | 14 | 86 | 29 | 18 | 8 |
| 16 | JF | SC | 30 | 70 | N/A | N/A | 6 |
| 17 | JF | MH | 20 | 80 | 45 | 24 | 6 |
| 18 | JF | MH | 37 | 63 | 62 | 36 | 9 |
| 19 | JF | CH | 18 | 82 | N/A | N/A | 8 |
| 30 | JF | CL | 3 | 97 | 39 | 19 | 14 |
| 21 | JF | CL | 2 | 98 | 38 | 18 | 13 |
| 22 | JF | SC | 87 | 13 | 47 | 14 | 4 |
| 23 | JF | CL | 24 | 76 | 36 | 17 | 6 |
| 24 | JF | MH | 30 | 70 | 32 | 20 | 7 |
| 25 | JF | N/A | 25 | 75 | N/A | N/A | 8 |
| 26 | JF | CL | 14 | 86 | 39 | 29 | 9 |
| 227 | ′ JF | CL | 23 | 77 | 47 | 26 | 7 |
| 28 | JF | MH | 27 | 73 | 55 | 33 | 10 |
| 29 | JF | ML | 44 | 56 | 42 | 27 | 6 |
| 30 | JF | CL | 23 | 77 | 47 | 26 | 20 |
| 31 | JF | CL | 15 | 85 | 34 | 20.5 | 20 |
| 32 | JF | CL | 13 | 87 | 39 | 24 | 13 |
| 33 | OA | SC | 70 | 30 | 47 | 23 | 3 |
| 34 | OA | CH | 51 | 49 | 42 | 19 | 5 |
| 35 | OA | SC | 80 | 20 | 34 | 18 | 6 |
| 36 | OA | SP | 96.8 | 3.2 | N/A | N/A | 2 |
| 37 | OA | SM | 68 | 32 | 72 | 28 | 2 |
| 38 | OA | CL | 75 | 25 | 60 | 36 | 9 |

*: Unified Soil Classification System

251 Second, borehole data obtained from the Integrated Land Information Service (INLIS) 252 portal with bore logs information from the various construction sites were collected in this 253 study. In total, 1870 bore logs were analyzed consisting of 23,936 boreholes across Singapore, 254 ranging from 1990 to 2014. Typical information found in the bore logs are the results of the index properties test, standard penetration test, soil classification, grain-size distribution test, 255 256 triaxial test, piezometer reading, and others. In this study, soil information up to 6 m depth was 257 retrieved for the study on rainfall-induced slope failure because shallow failures in residual soils are the predominant mode of rainfall-induced slope failures in Singapore (Rahardjo et al. 258

- 259 2007). Table 2 summarizes the number of results of the various relevant soil properties used in
- 260 this study. The total number of available data sets including index properties and effective
- 261 cohesion from JF, BTG, and OA areas are 64, 332, and 209 sets, respectively.
- 262

263 Table 2 Summary of the total number of soil information up to 6 m depth

| Information | Total number |
|---------------------------------------|--------------|
| Construction project | 1870 |
| Borehole | 23936 |
| Unit weight | 23694 |
| Grain-size distribution | 7822 |
| Atterberg limits | 3613 |
| Effective cohesion | 2093 |
| Available data in Jurong Formation | 64 |
| Available data in Bukit Timah Granite | 332 |
| Available data in Old Alluvium | 209 |

²⁶⁴

265 2.2 Selection of qualified soil data

In general, the soil properties from site investigations in Singapore include natural water 266 content, specific weight, Atterberg limits, grain size distributions, saturated permeability, 267 effective cohesion, effective internal friction angle, etc. Among them, index properties, i.e., LL, 268 269 PL, percentages of fine and coarse fractions, were selected as input parameters in the prediction 270 because the index properties can be easily obtained from the simple laboratory tests. In addition, 271 the effective cohesion (c') exhibits linear relationships with the percentage of fine fractions. 272 Fig. 3 shows the c' distribution with the percentage of fine fraction from the NTU soil database. 273 The c' tended to increase with an increase in the percentage of fine fractions. 274 The upper and lower limits of the c' distributions were calculated using a confidence

interval approach (Kool and Parker, 1988; Satyanaga et al., 2017; Rahardjo et al., 2019b).
Confidence limits of the parameters could be determined from individual parameter variance
as approximated using t-statistics. In this study, two-sided confidence limits with a 99 % level
of confidence and t-statistics tool were adopted for the determination of confidence limits of

279 the c' distribution with respect to the percentage of fine fraction for residual soil in Singapore.

For the selection of the qualified soil data, upper and lower limits with a 99 % level of confidence were applied to the collected borehole data. Fig. 4 shows the selected training data

282 of each rock formation.







285 cohesion and percentage of fine fraction





289





3.3 Spatial distribution of effective cohesion

Soil shear strength parameters such as effective cohesion (c') from site investigations exist discrete measurement points in space. Therefore, digital soil mapping is capable of 297 characterizing the properties of residual soil at all locations. It requires statistical methods such as kriging to interpolate the values of a random field at an unobserved location from 298 299 observations of its value at nearby locations. Previous studies provide various examples of 300 kriging used to develop slope susceptibility maps. Roslee et al. (2012) and Jibson et al. (2000) used simple kriging when developing landslide susceptibility analyses. Ordinary kriging has 301 also been used to develop landslide prediction and to produce rainfall maps in Taiwan (Chiang 302 303 and Chang, 2009; Ip et al., 2020; 2019). They found that ordinary kriging predicted the closest 304 to radar rainfall estimates and performed better in predicting both landslide-prone and stable 305 areas.

In this study, ordinary kriging was conducted to interpolate the values of effective cohesion over Singapore. Ordinary kriging is a form of kriging that assumes the underlying random process to be intrinsically stationary with a constant mean over a local area, and the variation in the regionalized variable depends only on separation in distance and direction between points and not on absolute position (Goovaerts, 1997). The kriging estimate is a linear weighted sum of observations from the surrounding area:

312
$$\hat{Z}(\boldsymbol{x_0}) = \sum_{i=1}^{N} \lambda_i Z(\boldsymbol{x_i})$$
(7)

where $\hat{Z}(x_0)$ is the estimated soil property by ordinary kriging, N is the number of observations, λ_i is the weights, $z(x_i)$ is the observed soil parameter. The ordinary kriging weights are chosen such that the kriging variance is minimized, and the estimate is unbiased through Eq. 8 and 9:

317
$$\sum_{i=1}^{N} \lambda_i \gamma(\mathbf{x}_i, \mathbf{x}_j) + \psi(\mathbf{x}_o) = \gamma(\mathbf{x}_o, \mathbf{x}_j) \text{ for all j}$$
(8)

$$318 \quad \sum_{i=1}^{N} \lambda_i = 1 \tag{9}$$

319 where $\psi(\mathbf{x}_o)$ is the Lagrange multiplier, $\gamma(\mathbf{x}_i, \mathbf{x}_j)$ is the semivariance between observation 320 locations and $\gamma(\mathbf{x}_o, \mathbf{x}_j)$ is the semivariance between the estimation and the observation 321 locations.

322 4. Results and discussion

323 1) Validation

324 A total number of complete data sets for residual soils from JF, BTG, and OA used for 325 the MLP training was 37, 85, and 185 sets, respectively. A 25 % of the training data was used 326 for validation at each geological formation, as explained in Section 2.3. Fig. 5 shows the 327 relationship between estimated and measured effective cohesion within each area, and the corresponding loss associated with the predictions in JF, BTG, and OA areas was 5.4, 5.1, and 328 329 2.8 %, respectively. The results confirmed the fact that the developed ANN model and 330 hyperparameters were appropriate to estimate the effective cohesion of residual soils based on 331 index soil properties.



333 (a) Jurong Formation (JF) area



335 (b) Bukit Timah Granite (BTG) area





337 (c) Old Alluvium (OA) area



342 2) Results of c' estimation

343 Effective cohesions of residual soils from JF, BTG, and OA areas were estimated using 344 the MLP model based on the index properties (i.e., grain size distributions and Atterberg limits). 345 The combination of the MLP architecture and the learning rate used in the training produced accurate results with errors approximately up to 5 %. As expected, the estimated values of 346 347 effective cohesion ranged between upper and lower boundaries, as depicted in Fig. 6 because 348 the training data were selected by following the same upper and lower boundaries. 349 Consequently, the estimated effective cohesions using the MLP model were found to be 350 appropriate. Some effective cohesions exhibited outer boundaries due to numerical errors, but 351 the values are still in a reasonable range. A total number of the estimated c' of residual soils from JF, BTG, and OA areas was 244, 1592, and 795, respectively. Table 3 summarizes the 352 353 number of data sets used for the c' estimation. Note that the terms of available data and training 354 data in Table 3 indicate the total number of collected data over Singapore and the selected data 355 by upper and lower confident limits for MLP training, respectively.



357 (a) Jurong Formation (JF) area





359 (b) Bukit Timah Granite (BTG) area





361 (c) Old Alluvium (OA) area



366 Table 3 Total number of data used in the estimation.

| Formation | Available data ^a | Training data ^b | Estimated data ^c | Total (a+c) |
|-----------|-----------------------------|----------------------------|-----------------------------|-------------|
| JF | 64 | 37 | 244 | 308 |
| BTG | 332 | 185 | 1529 | 1861 |
| OA | 209 | 85 | 795 | 1004 |

368 3) Spatial distribution of c'

369 The spatial distributions of c' were estimated utilizing an ordinary kriging method 370 based on available data from JF (64 points), BTG (332 points), and OA (209 points), which did not include the results of c' estimation from the MLP model, as shown in Fig. 6. The variances 371 with distance from the spatial distribution of c' for JF, BTG, and OA areas are presented in Fig. 372 7a, 7b, and 7c, respectively. It can be seen that the R^2 of the estimated data from the Kriging 373 374 analysis is quite low (between 0.5 - 0.6). Hence, the spatial distribution of c' is not comparable 375 with the measured c' data. In addition, the variances of BTG and OA areas indicated a high 376 uncertainty in the estimation of *c*' using the kriging analysis.



378 (a) Jurong Formation (JF) area





380 (b) Bukit Timah Granite (BTG) area



- 382 (c) Old Alluvium (OA) area
- 383 Fig 6. Spatial distribution of effective cohesion before the *c*' estimation



385 (a) Jurong Formation (JF) area



386

387 (b) Bukit Timah Granite (BTG) area



389 (c) Old Alluvium (OA) area



The spatial distributions of c' were estimated utilizing ordinary kriging methods based on total data from JF (308 points), BTG (1861 points), and OA (1004 points), which have included the results of c' estimation from the MLP model, as shown in Fig. 8. The variances with distance from the spatial distribution of c' for JF, BTG, and OA are presented in Fig. 9a, 9b, and 9c, respectively. It can be seen that the R² of the estimated data from the Kriging analysis is close to 0.9 indicating a good agreement between the estimated and measured c'data.



398





401 (b) Bukit Timah Granite (BTG) area





403 (c) Old Alluvium (OA) area





406 (a) Jurong formation area



408 (b) Bukit Timah Granite areas



410 (c) Old Alluvium area

411 Fig. 9. Variances with distance from spatial distribution of c' with MLP results

412

413 *4) Variations of c' with respect to geological formations.*

414 The variations of c' before and after the MLP estimation are plotted to evaluate the 415 relevance of the c' data after kriging analyses. Figs 10-12 show that the ranges of the measured 416 c' are completely different from those of the estimated c' based on Kriging analyses without 417 MLP results. However, the ranges of the measured c' are similar to those of the estimated c'418 based on Kriging analyses after MLP analyses were performed. The ranges of the measured c' 419 for the JF area before and after MLP analyses are between 0.0-19.0 kPa and 6.1-10.1 kPa, 420 respectively. The ranges of the measured *c*' for the BTG area before and after MLP analyses 421 are between 0.0-65.0 kPa and 4.0-16.5 kPa, respectively. The ranges of the measured c' for the OA area before and after MLP analyses are between 0.0-30.0 kPa and 5.5-22.4 kPa, 422 423 respectively. It can be seen that the estimation from the MLP model is important to ensure the 424 boundary of the measured c' is reasonable prior to Kriging analyses. Upon Kriging analyses, 425 the ranges of the estimated c' are narrower as compared to those of the measured c' for all soil 426 s from the tree formations. It is also found that higher c' was obtained in JF as compared to 427 BTG. Similar trends concerning the ranges of c' were reported previously by Rahardjo et al.

428 (2004) in their experimental study. In addition, higher c' was obtained in OA as compared to 429 BTG in this study. The ranges of c' predicted in BTG and OA are relatively similar to those 430 presented in the literature of 4-17 kPa and 5-23 kPa, respectively (Rahardjo et al., 2012). 431 Overall, the MLP is capable in predicting accurate values of c' for residual soils in Singapore 432 and clearly differentiating c' values with respect to the different geological formations.





435

436 (b) with MLP results

437 Fig. 10. Comparisons of effective cohesion obtained from kriging analyses and borehole data

438 (a) without MLP results; (b) with MLP results for Jurong Formation area.





441



443 Fig. 11. Comparisons of effective cohesion obtained from kriging analyses and borehole data

444 (a) without MLP results; (b) with MLP results for Bukit Timah Granite area.



446 (a) without MLP results



447

448 (b) with MLP results

449 Fig. 12. Comparisons of effective cohesion estimated from kriging analyses and borehole data450 (a) without MLP results; (b) with MLP results for Old Alluvium area.

452 5. Conclusions

453 A multi-layer perceptron model (MLP) was successfully developed to estimate effective cohesion of residual soils in Singapore. The appropriate neural network 454 455 hyperparameters capable of relating between effective cohesion and index properties of 456 residual soils i.e., percentage of fine and coarse fraction, liquid and plastic limits were presented. 457 Determination of effective cohesion in a regional area using laboratory testing or empirical 458 equations is difficult to conduct. However, the estimation of effective cohesion using the MLP 459 model played a role to differentiate variations in effective cohesion with respect to soil 460 properties from different geological formations when conducting spatial distribution analyses. 461 The estimation results showed good agreement with the measured effective cohesions. The 462 practical implementation of the MLP model associated with the qualified training data was to 463 determine confident interval limits of effective cohesion distributions with respect to the 464 percentage of fine fractions for residual soils in Singapore. This framework offers a valuable

465 basis for estimating effective cohesion in a regional area where the shear strength parameter is466 urgently needed for geotechnical engineering problems.

467

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