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### Current Opinion in Biotechnology A framework based on fundamental biochemical principles to engineer microbial community dynamics --Manuscript Draft--

Short Title:	Framework to engineer community dynamics		
Keywords:	environmental biotechnology; modelling interspecies dependencies; kinetics of microbial growth; community assembly; spatial structures		
Corresponding Author:	Rebeca Gonzalez-Cabaleiro, PhD University of Glasgow Glasgow, England UNITED KINGDOM		
Corresponding Author's Institution:	University of Glasgow		
Corresponding Author E-Mail:	rebeca.gonzalez-cabaleiro@glasgow.ac.uk		
First Author:	Rebeca Gonzalez-Cabaleiro, PhD		
Order of Authors:	Rebeca Gonzalez-Cabaleiro, PhD		
	Eloi Martinez-Rabert, MEng		
	Lucia Argiz, MEng		
	Maartje A.H.J. van Kessel, PhD		
	Cindy J. Smith, PhD		
Abstract:	Microbial communities are complex but there are basic principles we can apply to constrain the assumed stochasticity of their activity. By understanding the simple trade-offs behind the kinetic parameters that define microbial growth, we can explain how local interspecies dependencies arise and shape the emerging properties of a community. If we integrate these theoretical descriptions with experimental 'omics' data and bioenergetics analysis of specific environmental conditions, predictions on activity, assembly and spatial structure can be obtained reducing the a priori unpredictable complexity of microbial communities. This information can be used to define the appropriate selective pressures to engineer bioprocesses and propose new hypotheses which can drive experimental research to accelerate innovation in biotechnology.		
Author Comments:	The title of this paper has changed. The new title is: A framework based on fundamental biochemical principles to engineer microbial community dynamics		

### 1 Simple rules to tame complexity in microbial communities

- Rebeca González-Cabaleiro<sup>1\*</sup>, Eloi Martinez-Rabert<sup>1</sup>, Lucia Argiz<sup>2</sup>, Maartje A.H.J. van Kessel<sup>3</sup>,
   Cindy J. Smith<sup>1</sup>
- <sup>1</sup>James Watt School of Engineering, Infrastructure and Environment Research Division, University
   of Glasgow, Rankine Building, Glasgow, G12 8LT, UK
- <sup>2</sup>CRETUS Institute, Department of Chemical Engineering, Universidade de Santiago de Compostela,
   15782 Santiago de Compostela, Galicia, Spain
- <sup>8</sup> <sup>3</sup>Radboud University, Department of Microbiology, Institute of Water and Wetland Research,
- 9 Radboud University, Nijmegen, the Netherlands
- 10 \*Corresponding author: <u>rebeca.gonzalez-cabaleiro@glasgow.ac.uk</u>
- 11 **Highlights** (3 5, each 85 characters max.)
- Individual microbial cell growth can be classified as a function of its metabolic
   biochemistry
- The spatial structure of a community is predictable and shaped by microbial
   dependencies
- Mechanistic models can be fitted to experimental 'omics' data
- Selective pressures can be exploited to shape microbial dependencies and community structure
- 19 **Abstract** (100 120 words max.)

20 Microbial communities are complex but there are basic principles we can apply to constrain the assumed stochasticity of their activity. By understanding the simple trade-offs behind the 21 kinetic parameters that define microbial growth, we can explain how local interspecies 22 dependencies arise and shape the emerging properties of a community. If we integrate 23 these theoretical descriptions with experimental 'omics' data and bioenergetics analysis of 24 specific environmental conditions, predictions on activity, assembly and spatial structure can 25 be obtained reducing the *a priori* unpredictable complexity of microbial communities. This 26 information can be used to define the appropriate selective pressures to engineer 27 bioprocesses and propose new hypotheses which can drive experimental research to 28 accelerate innovation in biotechnology. 29

### 30 Graphical abstract



#### 32 Biotechnology needs to understand microbial communities

As the activity of complex microbial communities is defined by its robustness, vast diversity 33 [1], fast evolution [2], and, in some cases, large metabolic flexibility [3], it is assumed that 34 rational approaches to engineer these communities will always remain challenging. 35 However, universal ecological rules that confine the stochasticity and heterogeneity of 36 microbial communities is proving that in many cases, complex communities may not be as 37 complex as we perceive them. Similar traits and assemblies are repeatedly observed across 38 different systems, which are a consequence of specific interspecies dependencies [4]. Thus, 39 better understanding of microbial dependencies can increase our capacity to engineer 40 communities proposing more predictable, efficient, and malleable biosystems. In this 41 perspective, we explore theoretical descriptions of microbial growth sustained by simple 42 fundamental principles of chemistry and physics. We propose that equipped with this 43 understanding, there is potential to go beyond models that are constrained by the availability 44 of empirical measurements of kinetic parameters, to predict microbial dependencies and 45 community assembly. By adapting this conceptual framework to specific cases [5], it can be 46 47 potentially integrated with molecular approaches to explain and/or support their findings, overall increasing our predictive capacity [6]. We, of course, realise that dependencies within 48 a microbial community are far more complex and nuanced than presented here, with 49 predator-prey or/and parasite-host relationships, dependencies on metals, vitamins, light 50 levels, and others not accounted for, at play [7]. However, we show that simplified 51 microscale descriptions can be very useful to predict microbial emerging properties which 52 can be subsequently used to design hypothesis-driven experimental research. We believe 53 that these approaches have the potential for creating a platform to rationally direct 54 biotechnological design, accelerating the process of proposing novel engineering systems 55 capable of harnessing microbial activity to direct, control and predict it in a more efficient 56 way. 57

### 58 I. Microbial growth: identifiable trade-offs between kinetic parameters

The development of theories of biochemical resource allocation have enabled the design of 59 generic cell models that capture the essence of key physiological activities describing 60 microbial growth [8]. In these models, maximising an individual growth rate (or minimising 61 metabolic energy dissipation) is the assumed objective for each individual [9,10]. Although 62 there are arguments that support the development of these highly complex models [11], 63 resource allocation models require deeper understanding of specific cell functions which, 64 inevitably, will subject them to complex calibration which can only be possible if full 65 reconstructions of metabolic networks, quantitative proteomics and/or fluxomic data is 66 available. Therefore, their application to complex microbial communities and environmental 67 biotechnology although possible, is limited. 68

The development of advanced Monod-like approaches, have the potential to describe kinetic 69 trade-offs that mechanistically constrain microbial growth within a community without 70 requiring intracellular information [12]. In these approaches, a Monod curve is associated to 71 each metabolic function within the community and characterised by a microbial growth yield 72 (Y<sub>xs</sub>, eq. 1 where  $\alpha$ ,  $\beta$  and  $\gamma$  refer to the stoichiometry of catabolism, anabolism and 73 metabolism respectively and X to biomass concentration), a specific maximum growth rate 74  $(\mu^{max})$ , and a half saturation constant (K<sub>S</sub>) (eq. 2 where S refers to a specific limiting 75 substrate). This can then be used to predict substrate consumption (eq. 3), product yield 76 and biomass production (eq. 4) under specific conditions. 77

78 
$$\begin{bmatrix} -\gamma_i \\ -\gamma_j \\ \vdots \\ \gamma_k \\ 1_x \end{bmatrix}_{met} = \begin{bmatrix} -\alpha_i \\ -\alpha_j \\ \vdots \\ \alpha_n \end{bmatrix}_{cat} \cdot \frac{1}{Y_{XS}} + \begin{bmatrix} -\beta_i \\ \vdots \\ 1_x \end{bmatrix}_{ana}$$
[1]

 $\mu = \mu^{max} \frac{S}{K_s + S}$ [2]

$$\frac{dS_i}{dt} = -\gamma_i \cdot \mu \cdot S_i$$
[3]

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$$\frac{dx}{dt} = \mu \cdot X \tag{4}$$

At cellular level, these kinetic parameters are not mechanistically describing specific cell 82 activities as resource allocation models do. Yet, in many microbial systems relevant to 83 environmental biotechnology, an estimation of Monod kinetics function of specific metabolic 84 85 functionalities and their biochemistry, can provide the guidelines to understand survival and dominance within a microbial community under specific conditions. Quantitative values 86 might require experimental data; however, in the following we show how it is possible to 87 88 realistically estimate qualitative differences between kinetic parameters of specific metabolic activities by only analysing their biochemistry, which could serve as the first insight to 89 describe microbial dependencies [13,14]. 90

In order to simplify the following analysis, we will assume that all microbial species in the community utilise a similar anabolic process, requiring the same adenosine triphosphate (ATP) consumption per mole of biomass produced (ATP/X<sub>new</sub>) and a similar anabolic rate under non-limiting conditions of energy (ATP) and carbon source. This analysis focusses on differences in catabolic pathways, but similar conclusions are obtained when the main differences are observed in the characteristics of the anabolic ones [15,16], or in cellular investments to increase resource acquisition [17].

**Bioenergetics to predict maximum growth yield:** The maximum growth yield (Y<sub>xs<sup>max</sup></sub>) for any metabolic activity can be predicted as moles of ATP harvested per mole of substrate consumed (eq. 5) [18]. This can be calculated if the biochemistry of the specific metabolic activity is defined [18] but also estimated if it is not. All microbial catabolic pathways are shaped through thermodynamic constrains [19], aiming to maximise ATP production which occurs only via two methods: substrate level phosphorylation and generation of proton motive force. Estimating that around 50 kJ are needed per mole of ATP produced [20], and
knowing that membrane potential defines the energy required to translocate a proton,
thermodynamics calculations can predict the potential ATP production associated to any
catabolic activity.

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$$Y_{XS}^{max} \equiv \frac{X_{new}}{S_{S \to P}} \cdot \left(\frac{ATP}{X_{new}}\right)_{cte} \equiv \frac{ATP}{S_{S \to P}}$$
[5]

Pathway length to predict maximum specific growth rate: If the ATP requirement to 109 produce each new mole of biomass is assumed to be constant, growth rates can be defined 110 as ATP produced per unit of biomass and time (eq. 6). The maximum specific growth rate 111 is defined under conditions of non-limiting substrate, and in these conditions and assuming 112 a fixed anabolic rate, only differences in the catabolic rate define different µ<sup>max</sup>. Although 113 kinetic bottlenecks can arise from thermodynamic limitations in specific catabolic reactions 114 [20,21], it has been postulated [22], and observed [23-26], that longer catabolic pathways 115 will have on average a reduced maximum specific growth rate, as more reactions need to 116 be performed per mole of substrate uptake. They will also tend to be more efficient, as if 117 more reactions are performed per mole of substrate consumed, there are more opportunities 118 for energy harvesting (Box.1) [27]. 119

120 
$$\mu^{max} \equiv \frac{X_{new}}{X \cdot t} \cdot \left(\frac{ATP}{X_{new}}\right)_{cte} \equiv \frac{ATP}{X \cdot t}$$
[6]

Half saturation constants are linked to metabolic efficiency: The Monod-half saturation constant is defined as the substrate concentration at which the actual growth rate of the microorganism is half of its maximum specific growth rate. Following the rational exposed for predicting  $\mu^{max}$ , substrate availability will be a more important limitation in the growth rate of microbial activities defined by shorter catabolic pathways with lower efficiency (Box.1). A lower substrate concentration surrounding the cell will imply a reduced rate of substrate diffusion over the membrane. If the catabolic pathway is short, substrate diffusion will

become the rate limiting process even under not-so-low substrate concentrations. For longer 128 pathways with higher yields and an overall lower catabolic rate, less substrate is needed to 129 produce more ATP, and substrate concentrations must be lower to make diffusion the 130 131 limiting-rate process. If active transport occurs, shorter pathways would have to invest more energy to maintain their growth rate than efficient metabolisms [7]. Therefore for longer and 132 more efficient pathways, higher affinities are expected [24]. However, broad ranges of half 133 saturation constants for specific functionalities are found in literature. This might be 134 explained by the fact that half saturation constant measurements are directly affected by the 135 experimental conditions limiting the substrates' transport [28,29]. 136

The rational to predict the values for fundamental kinetic parameters describing microbial 137 growth, illustrates essential trade-offs between different organisms (Box.1). Under microbial 138 competition, a specific organism cannot be the fastest (highest  $\mu^{max}$ ) and the most efficient 139 (highest Y<sub>xs</sub>) in all conditions. Differences in efficiencies and rates create dependencies 140 between species catalysing different functionalities, allowing metabolic diversity to flourish 141 (specially under thermodynamic constrains [30]). This defines the survival of specific 142 activities, the assembly of the community and the stoichiometry of the overall bioprocess 143 [31]. Efficient metabolic activities (superior growth yields and lower growth rates and half 144 saturation constants), will tend to dominate in oligotrophic environments [13]. In contrary, 145 faster growers, will tend to share resources (division of labour) [27]. The observation of these 146 trade-offs between kinetic parameters in microbial populations (Box.1) might indicate that 147 kinetics are not as flexible as was once thought [32], but importantly constrained by the 148 specific metabolic activities microorganisms are catalysing. 149

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Box 1. Metabolic characteristics can explain observed differences between the kinetic parameters
 that define the microbial growth of aerobic nitrifiers

Metabolic characteristic		Clade	Experimental values	Kinetic trade- off	
Catabolic pathway length in the competition for ammonia	Ammonia oxidation to nitrite	Ammonia oxidizing bacteria	$\begin{array}{l} \mu^{max} = 0.50 {-} 1.50 d^{\text{-1}} \ [33] \\ Y_{XS} = 0.020 \ C_{mol} {/} N_{mol} \ [24] \end{array}$	Faster and less efficient	
		Ammonia oxidizing archaea	$\begin{array}{l} \mu^{max} = 0.20 {-} 0.26 \ d^{\text{-1}} \\ Y_{\text{XS}} = 0.025 \ C_{\text{mol}} / N_{\text{mol}} \left[ 24 \right] \end{array}$		
	Ammonia oxidation to nitrate	Complete ammonia oxidizing bacteria		Slower and more efficient	
Different terminal oxidises	Cytochrome aa <sub>3</sub> -type	Nitrobacter	k <sub>O2,aa3</sub> = 4.3 μM [34] K <sub>O2</sub> = 24.5–272 μM [35]	Lower half saturation constant if the terminal	
	Cytochrome <i>bd</i> -like	Nitrospira	k <sub>O2,bd</sub> = 3.2 μM [34] K <sub>O2</sub> = 3.0-3.5 μM [35]		
	Cytochrome cbb₃-type	Nitrospina	k <sub>O2,cbb3</sub> = 0.23 μM [34] K <sub>O2</sub> = 0.8 μM [36]	oxidise has higher affinity	
Different nitrite oxidoreductase (NXR)	Cytoplasmic NXR	Nitrobacter	K <sub>NO2</sub> = 49–544µM [35]	Lower half saturation constant correlates with the presence of a periplasmic NXR	
	Periplasmic NXR	Nitrospira	K <sub>NO2</sub> = 9–27µM [35]		
Different	HP/HB cycle	Ammonia oxidizing archaea	$Y_{XS} = 0.025 C_{mol}/N_{mol}$ [24]	HP/HB cycle consumes less	
anabolic pathways	CBB cycle	Ammonia oxidizing bacteria	$Y_{XS} = 0.020 \ C_{mol}/N_{mol} [24]$	of biomass produced [37]	
Different anabolic pathways for nitrite oxidisers	O <sub>2</sub> -tolerant rTCA	Nitrite oxidising bacteria ( <i>Nitrospira</i> )	$Y_{xs} = 0.008 \ C_{mol}/N_{mol}$ [35]	O <sub>2</sub> -tolerant rTCA consumes less ATP than CBB cycle per mole of biomass produced [38]	
	CBB cycle	Nitrite oxidising bacteria ( <i>Nitrobacter</i> )	$Y_{XS} = 0.005 \ C_{mol}/N_{mol} \ [35]$		

# II. Microbial community assembly: dependencies between species and the environment

The microbial activity of the individuals, it is responsible for creating dependencies between 160 different species within the community meanwhile modifying the local environment. This can 161 be beneficial or detrimental for theirs and others survival [39]. As microbial dependencies 162 occur at the microscale level and function of the local environmental conditions surrounding 163 each cell, the emerging properties of the community must be predicted at the microscale 164 level. However, microscale models are limited by the availability of parameters and our 165 166 inability to upscale them [40]. No mathematical model can account for a comprehensive description of all the processes occurring at all the scales within a microbial community 167 [5,41]. However, by applying the above outlined guidelines to describe the kinetics of 168 microbial growth, probable microbial dependencies can be defined which give insights of the 169 overall community assembly. To further extend this analysis to the whole community while 170 maintaining its relative simplicity, we propose to integrate it with information regarding: i) the 171 characterisation of the metabolic potential of the community; ii) the bioenergetics of the 172 environment; and iii) the prediction of the spatial distribution of the microbial species within 173 174 the community.

Characterisation of the metabolic potential of the community: The rapid development 175 and now widespread application of 'omics' approaches is generating enormous amounts of 176 data and shifting the bottleneck from their application that was expensive in the past, 177 towards their downstream processing and analysis [6]. While molecular approaches can 178 characterise the metabolic potential of complex communities [42,43], and can, when 179 complemented with time-series and/or experimental approaches, reveal information on 180 changes in community function associated to specific environmental pressures [44], they 181 are generally constrained from providing the mechanistic understanding for these changes 182 [45]. In contrast, mechanistic models describe environmental selection in a more meaningful 183

way, which in turn could then be validated by molecular approaches [46]. However, 184 mathematical models cannot accurately characterise an inoculum, or predict stochastic 185 aspects of spatial distributions of populations (e.g. along a filter for drinking water treatment 186 187 [47]), or the migration of new species entering with a feeding stream [48]. We argue that the integration of 'omics' data in the construction of microscale mechanistic models can 188 generate a powerful computational framework for understanding communities. The 189 experimental molecular data can fit the models to specific experimental set-ups, meanwhile 190 models could also contribute to process the molecular data integrating mechanistical 191 understanding to direct their meta-analysis, increasing the overall predictive capacity. 192

Characterization of the environmental energy of a cell's local surroundings: As 193 described above, bioenergetics analysis of catabolic pathways can predict the maximum 194 ATP that can be produced from a specific mole of substrate. However, the actual energy 195 harvested by a cell is defined by environmental conditions. Therefore, environmental 196 energetics' analysis can be used to assess the limits these conditions impose on the activity 197 of the community. When applied to the local conditions surrounding the cells belonging to a 198 199 community, this type of analysis can be used to assess the dominance of specific metabolic activities over others [49-51], relative abundances [52], potential diversities [30], metabolic 200 flexibility of specific microorganisms [3,53], and opportunities for microbial evolution [54]. 201 This will also serve in some cases to predict the existence of not-yet-reported metabolic 202 functionalities [13]. If reconstruction of biochemical pathways can be developed through 203 molecular information, bioenergetics analysis can help predict the direction of these 204 pathways [20], and their dominance over others within a community of any given system. 205

206 **Characterization of the spatial structure of a community:** The metabolic dependencies 207 established between microbial species and nutrient gradients at microscale combine to 208 shape the spatial structure of a community [55-57]. In bioengineered systems, communities 209 will form microbial aggregates such as granules, biofilms or flocs or indeed a mixture of all

of these [58]. These spatial structures are defined by microbial dependencies and in some 210 cases, space will also act as another selective pressure, favouring or limiting specific 211 activities [31]. Short metabolic pathways will favour metabolic division of labour that 212 213 generates identifiable spatial assortments (Figure 1). In these assortments, microorganisms carrying out the sequential metabolic steps of a given process, are the slower growers as 214 they depend on the activity of others. Although faster individuals will push them taking up 215 the available space and common substrates (e.g. oxygen), they can survive competition by 216 forming individual clusters (Figure 1.a) (or microcolonies) [59]. This spatial advantage could 217 explain in some cases the difficulty to remove their activity from specific systems, even when 218 219 imposing unfavourable operational conditions for their growth [60].



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activity consumes one mole of O<sub>2</sub>); b) anaerobic system. For all the metabolic activities 224

 $\mu^{max}$  = 1 day<sup>-1</sup>; maintenance = 0.4 day<sup>-1</sup>; K<sub>S</sub> = 10  $\mu$ M; K<sub>O2</sub> = 1  $\mu$ M; Y<sub>XS</sub> = 0.1 C<sub>mol</sub>/S<sub>mol</sub> for 225

the aerobic system and  $Y_{XS} = 0.01 \text{ C}_{mol}/\text{S}_{mol}$  for the anaerobic one. Simulations use the equations presented in [40,49].

228 Community dynamics can be predicted in case it is understood how specific metabolic 229 activities define growth kinetics of the involved microorganisms, and with it, the microbial 230 dependencies that shape community assembly creating identifiable structural patterns 231 under specific environmental conditions [61]. This information can be used to generate 232 hypotheses that will enable us to direct and engineer microbial communities in a predictable 233 manner.

# III. Knowledge for application: imposing the right selective pressures to engineer the community

236 By choosing the correct selective pressures it is possible to change the community assembly and direct its specific metabolic stoichiometry (Figure 2) [62-64]. In biotechnology, unless 237 the objective is to oxidise easily degradable organic matter, we will want to favour metabolic 238 239 activities that store energy in the targeted product (e.g. methane, biofuels. polyhydroxyalkanoates, production), or grow using energy-limited substrates that minimise 240 biomass yield (e.g. pollutants, nutrients). This implies that the majority of these bioprocesses 241 are energy limited systems, catalysed by microbial networks [27,65] that (with limits [66]) 242 maximise productivity [67]. Traditionally, chemostats are used in biochemistry ensuring the 243 most favourable conditions to produce the compound of interest. This could imply that in 244 some cases, increasing substrate influx or maintaining higher temperatures, we are 245 favouring shorter pathways over efficient ones [27,68]. Therefore, interesting and efficient 246 metabolic activities, might be not reported yet, and remain hidden in natural environments 247 with lower substrate influx and longer residence times, and are missed in many 248 bioprocesses as they have not being engineered for their dominance [69]. 249

Under anaerobic conditions, environmental biotechnologies use microbial communities 250 defined by division of labour where microorganisms catalyse short steps (e.g. anaerobic 251 digestion, fermentations). In these metabolic chains, microorganisms catalysing the later 252 253 steps grow slower, creating (if the environmental conditions allow) stratified biofilms and granules (Figure 1.b). In this conditions, longer retention times, larger granules' diameters, 254 or biofilms' thicknesses can favour the elongation of the overall metabolic activity of the 255 community [70]. Contrary, when division of labour occurs under aerobic conditions (e.g. 256 nitrification), the selection of specific microbial activities might be more complicated. This is 257 because, although microorganisms catalysing the later steps grow slower, they all compete 258 for O<sub>2</sub>, which reduces growth rate differences protecting overall metabolic pathway 259 elongation (Figure 1.a) [60]. 260

Other environmental variables can be used to exert selective pressures over the community. 261 In many cases, pH affects specific substrate availability (e.g. microorganisms might 262 preferable consume the protonated instead the deprotonated form of a substrate), which 263 can play a role in selecting faster growers versus efficient ones or reduce/promote the 264 elongation of the overall metabolic activity of the community (reducing or increasing the 265 access to substrate of a specific population) [62]. pH can also affect differently the 266 physiology of each individual [71] and its capacity to harvest energy for growth, which 267 influences their survival and the overall community assembly. A similar analysis can be done 268 for other environmental variables such as salinity, light, presence of toxic compounds, etc. 269 In many cases, the effect of these variables on microbial growth could be directly classified 270 function of their capacity to limit microbial growth rate (to be fast, e.g. nutrient availability), 271 or energy harvesting for growth (to be efficient, enduring unfavourable conditions will cost 272 ATP), allowing us to analyse their effect on the microbial dependencies observed in the 273 community using the simple analyses exposed above. A specific example of how these 274 275 metabolic analyses can direct experimentation is presented in Figure 2.

I. Experimental observations: TAG and PHA accumulation and consumption is different



II. Theoretical hypotheses: The metabolic pathways' analysis explains the observations

Extracellular						
Oil —→ FFA <sup>+</sup> Glycerol	Steps / mol C		ATP / mol C		mol O <sub>2</sub> / mol C	
Intracellular FFA → TAG	Storage	Degradation	Storage	Degradation	Storage	Degradation
β-Oxid. PHA	Longer pathway for <b>PHA</b> synthesis	Similar pathways length	ATP yielding associated to PHA synthesis	Similar ATP yield	<b>TAG</b> synthesis do not require O <sub>2</sub>	Similar O <sub>2</sub> required

III. Conclusions: Strategies to engineer the community to maximize PHA accumulation

Cycle configuration	Organic Loading Rate	Aeration
Longer feast phases favour <b>PHA</b> accumulators: higher accumulation rates are observed and predicted for <b>TAG</b> .	PHA synthesis is slower but more efficient than TAG. It will be favoured by lower carbon influxes.	Lower C/O <sub>2</sub> ratios favour <b>PHA</b> synthesis over <b>TAG</b> .

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**Figure 2:** Formulating hypothesis driven experiments to optimise polyhydroxyalkanoates

278 (PHA) accumulation when competing with triacylglycerides (TAG) accumulation in a

279 sequential batch reactor (SBR). References for experimental observations: faster TAG

accumulation than PHA [72]; higher TAG accumulation at higher carbon influx, and higher

281 PHA accumulation at lower carbon influx [73,74]; oxygen consumption on PHA

accumulation [75]. Abbreviations: ATP (adenosine triphosphate), carbon (C), nitrogen (N),

free fatty acids (FFA), active biomass (X).

### 284 Conclusion: a rational platform for directing biotechnological experimentation

Even if microbial communities hold large heterogeneity, applying a first principles approach to understand the ecological patterns that control microbial interspecies dependencies and community assembly under specific conditions, we can define the limits of their activity. A potential computational platform for rationally directing experimentation supported by 'omics' data, bioenergetics analysis of the environment, and microscale descriptions of the community spatial distribution, could contribute to the acceleration of innovation in biotechnology directing experimentation in a meaningful way.

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322 \* Discussion of the potential of resource allocation models for describing microbial communities and
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