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1 **Emerging immunotherapies for metastasis**

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16

17 **Abstract**

18 Major advances in cancer immunotherapy have dramatically expanded the potential to
19 manipulate immune cells in cancer patients with metastatic disease to counteract cancer
20 spread and extend patient lifespan. One of the most successful types of immunotherapy is
21 the immune checkpoint inhibitors, such as anti-CTLA-4 and anti-PD-1, that keep anti-tumour
22 T cells active. However, not every patient with metastatic disease benefits from this class of
23 drugs and patients often develop resistance to these therapies over time. Tremendous
24 research effort is now underway to uncover new immunotherapeutic targets that can be used
25 in patients who are refractory to anti-CTLA-4 or anti-PD-1 treatment. Here, we discuss
26 results from experimental model systems demonstrating that modulating the immune
27 response can negatively affect metastasis formation. We focus on molecules that boost anti-
28 tumour immune cells and opportunities to block immunosuppression, as well as cell-based
29 therapies with enhanced tumour recognition properties for solid tumours. We also present a
30 list of challenges in treating metastatic disease with immunotherapy that must be considered
31 in order to move laboratory observations into clinical practice and maximise patient benefit.

32

33 **Introduction**

34 Cancer cells can detach from the primary tumour, intravasate into the blood or lymphatic
35 system and migrate to distant sites where they extravasate from the blood or lymph vessels
36 to seed secondary tumour sites. Controlling the spread of cancer and outgrowth at these
37 secondary sites remains the most challenging aspect of oncology. Across all cancer types,
38 only around 20% of patients with stage IV metastatic disease survive beyond 5 years of
39 diagnosis ¹. Advances in immunotherapy have started to reverse these dire statistics and,
40 because of their success in some types of metastatic cancer, immunotherapies have been
41 heralded as revolutionary drugs in the treatment of metastatic disease. T-cell checkpoint
42 inhibitors, in particular, such as those that target programmed cell death protein 1 (PD-1), its
43 ligand PD-L1, or cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), are responsible for
44 the majority of immunotherapy successes in cancers such as melanoma and lung cancer;
45 other cancer types exhibit lower numbers of responders with these drugs, which could be
46 due to the lack of T cell infiltration or (neo)antigen expression ². Manipulating a cancer
47 patient's own T cells has solidified the concept that immune cells can be targeted effectively
48 for patient benefit. However, cancer immunotherapy has a limited range. We have already
49 learnt from clinical trials testing anti-CTLA-4 and anti-PD1 that these drugs are largely futile
50 in eradicating secondary tumours and extending the lifespan of most cancer patients with
51 metastasis, due to inherent or acquired drug resistance ³. Consequently, alternative
52 immunotherapeutic approaches are required to counteract metastasis in patients that are
53 unresponsive to CTLA-4 and/or PD-1/PD-L1 inhibitors. As invasion and metastasis rely
54 heavily on the pro-tumour and anti-tumour functions of immune cells, understanding the
55 cellular and molecular processes that underpin the progression of metastatic disease is likely
56 to offer novel immunotherapy options. In this review, we discuss the advances that have
57 been made in generating immunotherapeutic alternatives to CTLA-4 and PD-1/PD-L1 in

58 experimental model systems of solid tumours, as well as highlighting the challenges in
59 treating secondary tumours with immunotherapy.

60

61 **Enhancing endogenous anti-tumour immunity**

62 In addition to CTLA-4 and PD-1, a number of other T-cell checkpoint inhibitors that might
63 prove extremely valuable in treating metastatic tumours have emerged over the past years,
64 such as TIM-3 and LAG-3 (Figure 1) ⁴. Furthermore, the catalog of inhibitory molecules has
65 expanded to include receptors exclusively expressed on natural killer (NK) cells ⁵, several of
66 which are shared by $\gamma\delta$ T cells ⁶. Given that NK cells are critical in combating metastasis ⁷,
67 blocking inhibitory molecules on NK cells, which limit their killing and cytotoxic molecule
68 production, such as TIGIT and NKG2A, could prove very useful in treating patients with
69 metastatic disease. Below we outline such T-cell-associated immune checkpoint inhibitors
70 and NK cell-associated immune checkpoint inhibitors. Employing alternative immune
71 checkpoint inhibitors to anti-CTLA-4 and anti-PD-1 that activate innate-like and/or adaptive
72 lymphocytes could provide additional benefit or perhaps even function more effectively than
73 the standard anti-CTLA-4/PD-1 therapies (Figure 1).

74

75 **TIM-3.** One of the most promising immune-modulating checkpoints currently under
76 investigation is T-cell immunoglobulin and mucin domain 3 (TIM-3), encoded by the gene
77 *HAVCR2* ⁸. TIM-3 can be expressed by multiple subsets of T cells including CD8⁺ and CD4⁺
78 T cells, regulatory T cells and NK cells ⁹⁻¹¹, as well as myeloid cells, such as dendritic cells
79 and macrophages ¹²⁻¹⁵. In the absence of ligand, TIM-3 recruits the tyrosine kinase LCK to
80 mediate T-cell activation. However, ligand engagement of TIM-3 is known to disrupt the
81 immunological synapse, interfere with LCK signalling and induce T-cell apoptosis (reviewed
82 in ⁸). The two most well-studied ligands of TIM-3 include galectin-9 and carcinoembryonic
83 antigen-related cell adhesion molecule 1 (CEACAM1), both of which can be produced by
84 cancer cells and myeloid cells (reviewed in ⁸).

85 The expression of TIM-3 is associated with advanced tumour stage and lymph node
86 metastasis in lung cancer patients ¹⁰. In patients with metastatic melanoma, TIM-3
87 expression is also associated with dysfunctional/exhausted CD8⁺ T cells and NK cells, and
88 the inhibition of TIM-3 signalling *ex vivo* increases the functional capacity of these cells ^{16,17}.
89 Interestingly, the reversion of patient-derived CD8⁺ T-cell dysfunction requires dual blockade
90 of both TIM-3 and PD-1 ¹⁶. After anti-PD-1 therapy, the expression of TIM-3 increases on
91 CD4⁺ and CD8⁺ T cells in metastatic lung cancer patients and lung cancer mouse models
92 driven by mutant epidermal growth factor receptor (EGFR) or mutant KRAS¹⁸, suggesting
93 that this molecule is involved in adaptive resistance to PD-1 inhibition. Indeed, the
94 combinatorial treatment of lung-tumour-bearing *CC10-rtTA;Tre-Egfr^{T790M/L858R}* mice with anti-
95 PD-1 and anti-TIM-3 extends survival compared with anti-PD-1 blockade alone ¹⁸. Similarly,
96 targeting both PD-1 and TIM-3 in mice bearing transplantable CT26 or MC38 colorectal
97 cancer cells or B16 melanoma cells slows primary tumour growth ¹⁹. In the *MMTV-PyMT*
98 mammary tumour model, paclitaxel and anti-TIM-3 blocking antibodies control primary
99 tumour growth more efficiently than chemotherapy alone, while anti-TIM-3 monotherapy is
100 ineffective ¹⁵. Mammary tumour-infiltrating CD103⁺ dendritic cells express high levels of TIM-
101 3, whereas the expression of TIM-3 in CD8⁺ T cells is negligible in this model. These TIM-3-
102 expressing CD103⁺ dendritic cells play a crucial role in the chemotherapy response, as their
103 depletion abrogates the response to paclitaxel/anti-TIM-3 treatment. The blockade of TIM-3
104 or galectin-9 in *MMTV-PyMT* tumour-bearing mice increases the expression of CXC
105 chemokine ligand 9 (CXCL9) in CD103⁺ dendritic cells, which recruits cytotoxic CXC
106 chemokine receptor 3 (CXCR3)⁺ CD8⁺ T cells to tumours ¹⁵. Given the importance of TIM-3-
107 expressing T cells and dendritic cells in cancer progression and chemotherapy response,
108 TIM-3 inhibition might be useful in the metastatic setting to recruit cytotoxic T cells or to
109 reinvigorate exhausted T cells in immunotherapy-naïve and/or anti-PD-1 refractory patients.

110

111 **LAG-3.** Lymphocyte Activation Gene 3 (LAG-3) is another checkpoint molecule expressed
112 on T cells and NK cells; it exerts its inhibitory function by binding to MHC class II and other

113 ligands, such as LSECtin (reviewed in ²⁰). A newly discovered LAG-3 ligand produced by
114 liver and cancer cells — fibrinogen-like protein 1 (FGL1) — has been identified to mediate
115 antigen-specific T-cell suppression ²¹. Increased LAG-3 expression on CD4⁺ and CD8⁺ T
116 cells is associated with liver metastasis in mismatch-repair-proficient colorectal cancer. Like
117 PD-1 and TIM-3, LAG-3 expression is associated with dysfunctional tumour-infiltrating T cells
118 in mouse models and human metastatic tumours ²²⁻²⁴, and the combination of anti-PD-1 and
119 anti-LAG-3 treatment delays tumour growth in mice bearing subcutaneous Sa1N
120 fibrosarcoma cells or MC38 colorectal cancer cells ²⁵. The anti-PD-1/anti-LAG-3 combination
121 is also highly efficacious in the metastatic IE9mp1 transplantable ovarian cancer model ^{26,27}.
122 In lung colonisation models of metastasis using 4T1 mammary cells, LAG-3 blockade
123 accompanied by treatment with immunostimulatory interleukin (IL)-12 reduces tumour growth
124 more effectively than anti-LAG-3 or IL-12 treatment alone. In this model, tumour control is
125 mediated by targeting LAG-3-expressing NK cells with anti-LAG-3 antibodies ²⁸.

126 The expression of LAG-3 is regulated by glycogen synthase kinase-3 (GSK-3), and
127 attempts at decreasing LAG-3 transcription in T cells and NK cells using a GSK-3 β inhibitor
128 have shown that this is a viable strategy to counteract B16 melanoma growth in the lung ²⁹.
129 In another approach, instead of blocking LAG-3 signalling, LAG-3 fused to the Fc region of
130 IgG1 (LAG-3Ig or IMP321) can be used as an MHC-II agonist to activate dendritic cells and
131 anti-tumour T-cell responses through so-called immunopotentialiation. An early phase trial with
132 metastatic breast cancer patients demonstrated the potency of this molecule in combination
133 with paclitaxel, where 15 out of 30 women exhibited an objective tumour response ³⁰. Thus,
134 manipulating LAG-3 in patients with metastasis shows promise.

135

136 **B7-CD28 superfamily members.** CTLA-4 and PD-1 belong to the B7-CD28 superfamily.
137 Some of the lesser-known members of this family play a role in primary tumour progression,
138 suggesting that there could be benefit in targeting these molecules in the metastatic setting.
139 For example, stimulation of inducible T-cell co-stimulator (ICOS) is required for the anti-
140 tumour efficacy of anti-CTLA-4 in B16 and MC38 primary tumours, by generating an effector

141 T helper 1 (T_H1)-like population that plays a role in limiting tumour growth³¹⁻³³. Targeting V-
142 domain Ig suppressor of T-cell activation (VISTA), which can be expressed by cancer cells or
143 antigen-presenting cells, delays tumour growth in transplantable models and the transgenic
144 *Tyr::Cre^{ERT2};Braf^{V600E};Pten^{F/F}* melanoma model, and shifts the tumour microenvironment
145 towards anti-tumour immunity^{34,35}. B7-H3 (also known as CD276) is expressed on cancer
146 cells and tumour-associated endothelial cells. Targeting B7-H3 with antibody–drug
147 conjugates reduces metastatic progression, and this effect is independent of adaptive
148 immune cells, as nude mice were used in these experiments³⁶. Further experimentation of
149 inhibitors to these molecules in metastasis models is required to understand whether their
150 function is similar between primary and secondary tumours.

151

152 ***TNFR superfamily members.*** Some data on the importance of the tumour necrosis factor
153 receptor (TNFR) superfamily in promoting or restricting immunity to tumours exist³⁷. This
154 group of molecules comprises largely co-stimulatory molecules that synergise with T-cell
155 receptor signalling to promote T-cell division. For example, OX40 is upregulated on activated
156 CD4⁺ and CD8⁺ T cells, and an agonistic antibody of OX40 synergises with an inhibitor of
157 transforming growth factor (TGF)- β to reduce the primary tumour growth of 4T1 mammary
158 cells as well as spontaneous lung metastasis³⁸.

159 CD4⁺ T cells activate CD40 on antigen-presenting cells, to facilitate the maturation of
160 these cells, and agonists to CD40 have been used to stimulate CD103⁺ dendritic cells and
161 prime tumour-specific T cells in genetically engineered mouse models of pancreatic ductal
162 adenocarcinoma^{39,40}. CD40 agonist therapy — either as monotherapy or in combination with
163 cytokines or anti-PD-1 or agonists of the TNFR member CD137 — counteracts metastasis in
164 transplantable melanoma, pancreatic, colon and kidney cancer models⁴¹⁻⁴⁴, and this
165 immunotherapy can re-polarise myeloid cells towards an anti-tumour phenotype^{41,44}.
166 Similarly, agonists of another TNFR member, CD27, reduce lung tumour burden of
167 intravenously injected B16 melanoma cells⁴⁵. Naïve CD4⁺ and CD8⁺ T cells constitutively
168 express CD27, and its activation by the ligand CD70 on dendritic cells supports T-cell

169 priming. CD27 signalling has been found to be necessary to generate robust cytotoxic T cells
170 ^{46,47}, so CD27 agonists might further improve anti-PD-1 or CTLA-4 immunotherapy in cases
171 where tumour-specific T cells are suboptimal. Other TNFR superfamily members, such as
172 CD30, GITR, BTLA, are currently not well studied in the metastatic setting.

173

174 **TIGIT and CD96.** T cell immunoreceptor with Ig and ITIM domains (TIGIT; a co-inhibitory
175 receptor expressed by T cells and NK cells) and CD96 comprise a pathway analogous to
176 CTLA-4 with CD28, where they bind the same interacting partner — CD155 — to negatively
177 regulate NK cell function [reviewed in ⁴⁸]. Inhibition of TIGIT with neutralising antibodies in
178 lung colonisation experiments of 4T1 mammary cell lines or B16 melanoma cells or carrying
179 out the experiments in TIGIT knockout mice reduces lung tumours and extends survival ⁴⁹.
180 One study found that anti-TIM-3 was required in *Tigit*^{-/-} mice to reduce experimental lung
181 metastasis of B16 cells ⁵⁰. CD8⁺ T cells also express TIGIT ⁵¹, making it an ideal
182 immunotherapy target to boost the anti-tumour functions of two cytotoxic cell types. Similarly,
183 anti-CD96 therapy reduces 4T1 or B16 tumours in the lung and this effect is enhanced by the
184 addition of anti-CTLA-4, anti-PD-1 or doxorubicin chemotherapy ⁵². Likewise, *Cd96*^{-/-} mice
185 develop fewer experimental lung metastases than wild-type mice after tail vein injection of
186 B16 cells, and this result is dependent on NK cells and interferon (IFN) γ ⁵³. As both TIGIT
187 and CD96 bind CD155, targeting both TIGIT and CD96 might be essential to achieve
188 maximum anti-metastatic benefit ⁵².

189

190 **NKG2A.** NKG2A is another putative checkpoint inhibitor for NK cells and CD8⁺ T cells; its
191 activation occurs through binding of HLA-E in humans or Qa-1^b in mice ⁵⁴. Blocking NKG2A
192 using the humanised anti-NKG2A antibody monalizumab increases NK-mediated killing
193 through antibody-dependent cell-mediated cytotoxicity (ADCC) of mouse lymphoma cells *in*
194 *vivo*; however, the potency of monalizumab in counteracting metastases of solid tumours
195 remains to be seen ⁵⁵. Clinical trials are underway examining monalizumab with cetuximab

196 (anti-EGFR) in patients with metastatic colorectal or head and neck cancers
197 (NCT026435509).

198

199 **IL-1R8.** Interleukin 1 receptor 8 (IL-1R8, also called SIGIRR or TIR8) has been identified as
200 a checkpoint inhibitor on NK cells. Spontaneous lung metastasis from MN/MCA1 sarcoma
201 cells is reduced in *Il1r8*^{-/-} mice when compared with wild-type mice but primary tumour
202 growth remains unaffected. Similarly, *Il1r8*^{-/-} mice are protected from liver metastasis of
203 MC38 cells, and these events are reversed by NK cell depletion ⁵⁶.

204

205 **Cell-based immunotherapies for metastatic disease**

206 T cells, NK cells and dendritic cells can be harvested from either cancer patients or healthy
207 donors, expanded *ex vivo*, and then transfused back into cancer patients in the process of
208 adoptive cell therapy (ACT). Whereas ACT using $\alpha\beta$ T cells, $\gamma\delta$ T cells or NK cells for the
209 treatment of haematological malignancies is well documented ^{5,57,58}, evidence for the use of
210 such approaches to treat metastatic disease of solid tumours is scant. This might be because
211 of the time it takes to generate these cells, the lack of expression of specific tumour antigens
212 or a lack of efficacy of the transplanted cells. However, a few examples of the potential of
213 these strategies to treat metastatic disease do exist. Thus far, ACT of CD8⁺ T cells into
214 metastatic melanoma patients has been demonstrated to be the most successful regimen
215 among these types of immunotherapy [reviewed in ⁵⁹]. Like checkpoint inhibitors, the efficacy
216 of ACT might be dependent on high expression of (neo)antigens; however, effective ACT in
217 breast cancer patients, whose tumours exhibit a much lower mutational burden, has been
218 documented ⁶⁰. One advantage of the innate-like lymphocytes, $\gamma\delta$ T cells and NK cells is that
219 they are not restricted by MHC molecules, which bypasses the importance of (neo)antigen
220 expression. In fact, ACT of $\gamma\delta$ T cells has induced complete remission of lung metastasis in a
221 patient with renal cell carcinoma ⁶¹.

222 Moreover, $\alpha\beta$ T cells, $\gamma\delta$ T cells and NK cells can also be genetically modified to
223 enhance their anti-tumour properties, and several attempts have been made at harnessing
224 the potent killing abilities of these cells by introducing transgenic T-cell receptors or chimeric
225 antigen receptors (CARs) [reviewed in ^{57,62,63}]. CAR-T cells are gaining traction in solid
226 tumours and these cells might be useful for metastatic disease if the correct antigen can be
227 identified. For example, guanylyl cyclase C (GUCY2C)-targeted CAR-T cells can reduce
228 CT26 colorectal cancer burden in the lungs of mice and extend survival when compared to
229 CAR-T-cell control-treated mice ^{64,65}. Human macrophages have also been engineered with
230 CD3 ζ -based CARs similar to T-cell CARs in order to direct the phagocytic activity of these
231 cells against tumours, and these CAR-Ms reduce the burden of lung tumours by ovarian
232 SKOV3 cancer cells ⁶⁶. These HER2-directed CAR-Ms effectively reduced tumour burden
233 and recruited T-cells and presented antigens to them. The field of cell-based
234 immunotherapies is rapidly evolving, with various endeavours to make these products more
235 specific, durable and safe, so that future versions are likely to improve their benefits in the
236 metastatic setting.

237

238 **Inhibiting pro-tumour immune cells and immunosuppression at** 239 **metastatic sites**

240 Data from mouse metastasis models have highlighted a critical role for myeloid cells and
241 some innate lymphocyte populations in metastatic progression. Here, we focus on
242 monocytes/macrophages, neutrophils, regulatory T cells (T_{REG}) and IL-17-producing $\gamma\delta$ T
243 cells. Since myeloid-derived suppressor cells (MDSCs) encompass both monocytes and
244 neutrophils that are pathologically activated by tumour-derived factors to suppress anti-
245 tumour immune cells ⁶⁷, we refrain from using the MDSC nomenclature. Instead, we refer
246 specifically to monocytes or neutrophils to be more precise about their individual role in
247 metastasis formation. Information on other cell populations, such as eosinophils, basophils,
248 mast cells and innate lymphoid cells (ILCs), is scarce in the metastatic setting, prohibiting a

249 lengthy discussion on opportunities to target these cells. Further knowledge on these lesser
250 studied immune cells will expand the potential of targetable pathways. For example, a recent
251 study found that group 2 ILCs and eosinophils support lung metastasis through suppression
252 of NK cells, highlighting the cytokines, IL-33 and IL-5 in this process ⁶⁸. While this section
253 focuses on the pro-metastatic role of immune cells, it should be noted that the role of these
254 cells in cancer progression is dynamic and subject to their local microenvironment. Immune
255 cells, in particular macrophages and neutrophils, are not always pro-tumour – their function
256 depends on their location, polarization, maturation status and stage of disease.

257 Macrophages are the best-studied group of immune cells in metastasis. We have
258 known about their potent ability to support metastasis ⁶⁹ through angiogenesis ^{70,71} for nearly
259 20 years, but these cells drive metastasis via multiple other mechanisms, such as providing
260 growth factors for disseminated cancer cells as well as immune suppression of anti-tumour T
261 cells and NK cells [reviewed in ⁷²]. Neutrophils are increasingly being recognised for their
262 pro-metastatic functions. These cells have been somewhat overlooked or avoided as they
263 are difficult to manipulate, despite evidence of their involvement in metastasis existing more
264 than 10 years before the data on pro-metastatic macrophages ⁷³. Like macrophages,
265 neutrophils can drive metastasis both from the primary tumour site or secondary locations
266 before and after the arrival of disseminated cancer cells in distant organs [reviewed in ⁷⁴].
267 Although low in number, $\gamma\delta$ T cells can be very influential in cancer progression, where they
268 orchestrate immune responses and modulate endothelial cells at metastatic sites primarily
269 through the production of the pro-inflammatory cytokine IL-17 ^{75,76}. Macrophages, IL-17-
270 producing $\gamma\delta$ T cells and neutrophils can even work together to establish a systemic
271 inflammatory pathway that suppresses CD8⁺ T cells at the pre-metastatic site and supports
272 metastasis in p53-deficient mammary tumour models ^{75,77}. Finally, regulatory T (T_{REG}) cells
273 are known to facilitate metastatic progression by immunosuppression, and to shield cancer
274 cells from immune detection (reviewed in ⁷⁸). To interfere with the activity of these pro-
275 metastatic immune cells, three key processes that can be targeted have been identified from
276 preclinical studies: recruitment, survival and reprogramming (Figure 2) ⁷².

277

278 **Blocking recruitment of pro-metastatic immune cells.** CCR2⁺ bone-marrow-derived
279 monocytes are readily recruited to primary and secondary tumours in multiple tumour types
280 by the chemokine CCL2⁷⁹⁻⁸¹. Consequently, the CCL2–CCR2 axis represents one point at
281 which the accumulation of metastasis-associated macrophages could be prevented^{82,83}.
282 CCR2 small molecule antagonists are effective in transplantable models of pancreatic cancer
283 and hepatocellular carcinoma^{80,84}, suggesting that secondary tumours may also be
284 susceptible to these drugs. As pro-metastatic $\gamma\delta$ T cells also express CCR2^{83,85,86}, inhibitors
285 of CCL2 or CCR2 could be beneficial for targeting cancer types that rely on monocytes or $\gamma\delta$
286 T cells or both. In lung metastasis of *MMTV-PyMT* tumours, CCR2 signalling regulates
287 monocyte retention by CCL3 activation of CCR1⁸⁷, and CCR1⁺ cells are also important in
288 driving colorectal cancer liver metastasis⁸⁸, highlighting another point of intervention to
289 thwart metastasis. CCR5 is a another promising target for macrophages (and cancer cells) in
290 colorectal cancer liver metastasis, as its inhibition repolarizes macrophages towards an anti-
291 tumoural phenotype⁸⁹. In a Phase I trial called MARACON, CCR5 inhibition with the HIV
292 drug, maraviroc, in patients with metastatic colorectal cancer was well tolerated, and tumours
293 exhibited reduced proliferation⁸⁹. However, there are risks to targeting chemokines, and the
294 duration of treatment is critical to avoid a rebound effect that leads to increased metastasis,
295 as is seen in models of breast cancer lung metastasis after anti-CCL2 therapy, where
296 interruption of treatment releases monocytes from the bone marrow and accelerates
297 metastasis formation⁹⁰. A new mouse model in which the chemokine receptors CCR1,
298 CCR2, CCR3 and CCR5 are deleted together has been generated⁹¹. The use of such
299 models in combination with metastasis models will hopefully shed light on combinatorial
300 chemokine receptor function for pro-metastatic immune cells and will help to determine the
301 context in which to target these receptors.

302 Neutrophils use a different set of chemokine receptors to monocytes/macrophages,
303 such as CXCR1 and CXCR2. Inhibition of CXCR2 in models of spontaneous metastasis —
304 such as the *Kras*^{G12D};*Trp53*^{R172H};*Pdx1-Cre* (KPC) pancreatic cancer model or the *Villin-*

305 *Cre^{ER};Kras^{G12D};Trp53^{F/F};Rosa26^{N1cd/+}* (KPN) colon cancer model — reduces the occurrence of
306 secondary tumours in the liver without affecting survival ^{92,93}. In the context of liver
307 metastasis as well as lung metastasis ⁷⁵, neutrophils suppress CD8⁺ T-cell responses to help
308 disseminated cancer cells evade anti-tumour immunity, suggesting that combining neutrophil
309 targeting with T-cell-based immunotherapy might be better than either approach alone.
310 Indeed, treatment of pancreatic-tumour-bearing KPC mice with CXCR2 inhibitors and anti-
311 PD-1 antibodies extends survival beyond monotherapy controls ⁹².

312 Primary 4T1 mammary tumours can induce the production of CCL17/TARC (thymus-
313 and activation-regulated chemokine) in the pre-metastatic lung, which guides the recruitment
314 of CCR4⁺ T_{REG} cells and cancer cells to this site ⁹⁴. The T_{REG} cells then protect cancer cells by
315 inhibiting NK cells, thereby facilitating metastasis formation. Depleting T_{REG} cells, inhibiting
316 CCR4 and the combined silencing of CCL17 and the T_{REG} master transcription factor FOXP3
317 in CCR4⁺ cells reduces the number of metastatic foci in the lung ⁹⁴. Another way to reduce
318 the recruitment of T_{REG} cells to pre-metastatic sites in liver and mammary tumour models is
319 accomplished by reducing CCL22 secretion through miR-34 expression, as CCL22 also
320 binds to CCR4 on T_{REG} cells to promote their immunosuppressive effects ⁹⁵. Thus, targeting
321 chemokine receptors in patients with metastatic disease might overcome
322 immunosuppressive barriers that are established by certain immune cell populations.

323

324 ***Neutralising survival factors of pro-metastatic immune cells.*** The colony stimulating
325 factor (CSF) family members CSF-1, granulocyte-macrophage (GM)-CSF and granulocyte
326 (G)-CSF are essential for the development, differentiation and survival of myeloid cells ⁹⁶. It
327 is perhaps then not surprising that cancer cells often directly or indirectly upregulate CSF
328 molecules to promote pro-metastatic macrophages and neutrophils and thus to facilitate
329 cancer progression. Consequently, targeting these molecules should reduce macrophage or
330 neutrophil survival and negatively affect metastasis formation. For instance, early studies
331 using *Csf1*-knockout mice, in which macrophages are severely depleted, showed that these
332 cells are required for lung metastasis in *MMTV-PyMT* mammary tumour-bearing mice ⁶⁹.

333 Subsequently, antibodies and small molecules that target the CSF-1 receptor (CSF-1R) have
334 been shown to reduce metastasis, such as in the *MMTV-HER2* mammary tumour model ⁹⁷,
335 and to synergise with chemotherapy ^{80,98-100}. The potency of CSF-1R inhibitors has prompted
336 many pharmaceutical companies to trial these inhibitors in cancer patients ¹⁰¹. However, anti-
337 CSF-1R therapy has been shown to lead to increased metastasis relative to controls through
338 an increase in the number of neutrophils mediated by a compensatory increase in serum G-
339 CSF ¹⁰² or a reduction in the number of NK cells as a consequence of a decrease in the
340 myeloid-cell derived NK survival factor IL-15 ¹⁰³. These data suggest that depleting
341 macrophages completely might not be appropriate in every scenario and that it is important
342 to understand the nuances of macrophage biology in order to manipulate pro-metastatic
343 polarisation states. Another point to consider when using CSF-1R inhibitors is their inability to
344 distinguish bone marrow-derived macrophages from tissue-resident macrophages, since
345 they have different roles in tumour development and progression and these cells may
346 function at different stages ^{104,105}. In some cases, however, such as in pancreatic cancer
347 where bone marrow-derived macrophages play a role in antigen presentation and tissue-
348 resident macrophages produce and remodel extracellular matrix molecules, targeting both
349 populations might be the best approach to prevent cancer spread ¹⁰⁶.

350 G-CSF is the master regulator of granulopoiesis, and several studies have shown that
351 inhibition of G-CSF decreases neutrophil-mediated metastasis ^{75,107,108}. GM-CSF is
352 somewhat redundant to G-CSF in neutrophil regulation; although, its expression is dominant
353 in certain contexts ¹⁰⁹. Thus, data from mouse models indicate that inhibiting G-CSF and GM-
354 CSF in metastatic cancer patients with neutrophilia to lower neutrophil numbers may reduce
355 secondary tumour formation and/or burden. Since chemotherapy induces neutropenia,
356 decreased neutrophil numbers is often achieved without neutralising G-CSF or GM-CSF.
357 Indeed, chemotherapy-induced neutropenia is associated with better outcome in patients
358 with lung, breast, gastric and colorectal cancer ¹¹⁰⁻¹¹³, supporting the notion of targeting
359 neutrophils in patients with advanced disease. However, to offset infection and neutropenia,
360 cancer patients on chemotherapy may be given G-CSF or GM-CSF. Whether these

361 recombinant cytokines contribute to disease progression in this context needs further
362 investigation.

363 Another controversial cytokine for the potential treatment of cancer is IL-2. IL-2 is not
364 only important for the survival and function of T_{REG} cells, which have high-affinity IL-2
365 receptors, but it is also vital for the activation of NK cells and effector T cells¹¹⁴. IL-2
366 immunotherapy has shown limited success and severe side effects in clinical trials, which
367 could be due to the competition of T_{REG} cells and NK cells for cytokines. However, as T_{REG}
368 cells and NK cells express different IL-2 receptors (IL-2R α and IL-2R β , respectively) efforts
369 have been made to synthesise chimeric IL-2–IL-2R β or mutant IL-2 that preferentially binds
370 to the IL-2R β in order to selectively activate NK cells; these agents show improved anti-
371 tumour action, but have not been well studied in metastatic settings yet^{115,116}.

372

373 **Reprogramming pro-metastatic immune cells.** Because of the plasticity of myeloid cells,
374 the metastasis-promoting phenotype of monocytes, macrophages and neutrophils can be
375 easily influenced by tumour-derived factors. In the *MMTV-PyMT* mammary tumour model,
376 CD4 T cell-derived IL-4, cancer cell-derived vascular endothelial growth factor (VEGF) and
377 endothelial cell-derived angiopoietin 2 (ANGPT2) have all been shown to modulate the
378 phenotype of pro-metastatic macrophages to promote lung metastasis¹¹⁷⁻¹¹⁹. In the same
379 model, the metastasis-promoting phenotype of macrophages can be reversed epigenetically
380 by using the class IIa histone deacetylase (HDAC) inhibitor (TMP195), which increases their
381 phagocytic ability, reduces primary tumour burden and prevents lung metastasis¹²⁰. These
382 data demonstrate that class IIa HDACs acting on macrophages may enhance the efficacy of
383 conventional therapies in breast cancer patients. WNT signalling constitutes another pathway
384 that drives macrophage polarisation towards a pro-metastatic phenotype. Across 16 different
385 genetically engineered mouse models of breast cancer, WNT genes were found to be
386 upregulated in mammary tumours driven by the loss of p53. Increased expression of WNT
387 proteins activated macrophages to secrete IL-1 β , which promoted metastasis through

388 crosstalk with $\gamma\delta$ T cells and neutrophils in the lung; WNT inhibition by administration of
389 LGK974 – an inhibitor of WNT ligand secretion – re-programmed these macrophages and
390 reduced metastasis⁷⁷. In some cases, however, reprogramming macrophages might not be
391 enough to counteract metastasis, as cancer cells can harbour alternative methods to avoid
392 destruction by macrophages. CD47, which functions as a ‘don’t eat me’ signal, can be highly
393 expressed by cancer cells to subvert phagocytosis by signal-regulatory protein alpha
394 (SIRP α)-expressing anti-tumour macrophages¹²¹. CD47 is upregulated on circulating
395 colorectal cancer cells¹²², and its inhibition with neutralising antibodies reduces metastasis in
396 a variety of mouse models and patient-derived xenografts¹²³⁻¹²⁸.

397 For many years, neutrophils were thought to be short-lived cells that were unable to
398 respond to tumour-derived factors, but several molecules, such as G-CSF^{75,107,129} and TGF- β
399^{93,130,131}, have been shown to repolarise these cells towards a pro-metastatic phenotype. In
400 p53-deficient mammary tumour models, macrophage-expressed IL-1 β triggers $\gamma\delta$ T cells to
401 express IL-17, which induces the G-CSF-dependent expansion and polarisation of
402 neutrophils, which, in turn, suppress the activity of cytotoxic CD8⁺ T cells^{75,77}. Consequently,
403 inhibition of TGF- β , IL-17 and G-CSF reverses the phenotype of neutrophils and promotes
404 the activity of cytotoxic CD8⁺ T-cells to subvert metastasis^{75,93,129,131}.

405 Finally, as T_{REG} cells are highly abundant in tumours and prevent effector immune
406 function, their reprogramming towards an effector phenotype could prove a fruitful strategy to
407 increase tumour immunity and prevent metastasis. Blocking critical receptors on T_{REG} cells,
408 such as CD25 with antibodies and genetically altering neuropilin-1 (Nrp-1), which are
409 required to develop and maintain the stability and function of T_{REG} cells, changes these cells
410 to pro-immune cells that produce IFN- γ ^{132,133}.

411 Reprogramming any one of these immune cell populations by interfering with the
412 cytokine cascade would therefore be advantageous in thwarting metastasis.

413

414 **Challenges in treating metastatic disease with immunotherapy**

415 To develop successful immunotherapies for metastatic disease, a number of considerations
416 that might affect immunotherapy efficacy need to be taken into account. The first
417 consideration includes the type of cancer and the location of the tumour. Although it might
418 seem obvious, genetic mutations differ significantly between cancer types, and genetic
419 mutations affect the immune response. Metastases can differ from their primary tumour in
420 terms of mutational and immune profiles ¹³⁴⁻¹³⁶, and although there are similarities between
421 metastases of the same organ from different cancer types, there can also be cancer-specific
422 variations (Figure 3). For instance, CD8⁺ T-cell infiltration is equivalent between lung
423 metastases from colorectal cancer and renal cell carcinoma, but NK cells are more abundant
424 and prognostic indicators in renal cell carcinoma lung metastasis ¹³⁷. The immune contexture
425 of primary and secondary tumours can also be analogous with regards to immunologically
426 silent or active microenvironments as, across multiple cancer types, immune active primary
427 tumours are more likely to generate immune active metastases ¹³⁸. However, the immune
428 landscape might also very well look different between primary and secondary tumours.
429 These differences might be dependent on the organ that harbours the secondary tumour(s)
430 or the increased mutational burden of distant metastases ¹³⁹⁻¹⁴³. Adding to this complexity,
431 the immune landscape across metastases might not be uniform, with hot and cold tumours
432 existing within the same patient ^{140,141,144-146}.

433 Related to this, tissue-specific immunity must also be considered, because the
434 immune system differs between anatomical locations. Data emerging from anti-PD-1 clinical
435 trials indicate that checkpoint inhibitors are more beneficial for patients with lung metastasis
436 than liver metastasis, for example ¹⁴⁷⁻¹⁵⁰. In melanoma patients, liver metastases have a
437 lower density of CD8⁺ T cells at the tumour margin when compared to metastases in other
438 organs ¹⁵⁰, which could explain the reduced response to PD-1 inhibition at this site. Likewise,
439 in patients with metastatic prostate cancer, anti-CTLA-4 induces anti-tumour T_H1 cell-type
440 CD4⁺ T-cell responses in primary tumours, but this same response is absent in bone
441 metastatic lesions. Instead, the bone marrow tumour microenvironment, which is rich in TGF-
442 β , converts CD4⁺ T cells into T_H17 cells to blunt anti-CTLA-4 immunotherapy at this site ¹⁵¹.

443 Combining TGF- β inhibitors with anti-CTLA-4 to generate T_H1 CD4⁺ T cell and CD8⁺ T-cell
444 responses can reverse these effects in metastatic lesions. Brain and bone metastasis
445 represent some of the most challenging tumours to treat, largely due to aberrant
446 vascularisation at these sites or their immune-specialised status. Bone is a particularly
447 immune-privileged site in order to protect and preserve the hematopoietic stem cell
448 compartment. In breast cancer bone metastasis, the cycle of bone degradation and tumor
449 growth has been shown to be a critical event in permitting the outgrowth of metastatic cells
450 from dormancy ¹⁵². Therefore, combining immunotherapies with osteoclast inhibitors may be
451 key in the success of treating bone metastasis. In the case of brain metastasis, the success
452 of T cell and NK cell based immunotherapies is heavily dependent on these antibodies or
453 small molecules being able to penetrate the blood brain barrier or reactivate T cells in highly
454 immunosuppressive cervical lymph nodes ¹⁵³. Recently, it has emerged that anti-PD-1 and
455 anti-CTLA-4 therapy in tandem significantly increased intracranial anti-tumour activity in
456 patients with metastatic melanoma, offering promise for the use of immune checkpoints in
457 treating brain metastasis ¹⁵⁴. However, modulation of T cell trafficking molecules and/or
458 dendritic cell assistance may be critical to overcome immunosuppression of T cells and NK
459 cells in brain and bone metastasis.

460 Finally, the success of T-cell- and NK cell-based immunotherapy is dependent on the
461 ability of these immune cells to recognise cancer cells. For example, HLA loss of
462 heterozygosity (LOH) precludes the presentation of (neo)antigens by cancer cells, and HLA
463 LOH can be more common in metastatic tumours than in primary tumours ^{140,155,156}. Dormant
464 cancer cells — non-proliferating malignant cells hiding in distant organs — are another
465 challenge in immune-cell recognition ¹⁵⁷, as MHC-I expression might be downregulated on
466 these cells ¹⁵⁸. In addition to the ability of T cells to recognise cancer cells, the other
467 components of the cancer immunity cycle — including antigen release, antigen presentation,
468 T-cell priming, trafficking, tumour infiltration and killing — must be intact in patients for
469 favourable outcomes of T cell-based therapies ¹⁵⁹. If any of these components are missing or
470 become inactive as a result of cancer evolution, T-cell-based immunotherapies fail or

471 become inadequate at controlling metastatic lesions. Moreover, acquired resistance to T-cell-
472 and NK cell-based immunotherapy may arise after initially providing a strong anti-tumour
473 response. Some of the mechanisms of acquired resistance have been identified, including
474 HLA loss as stated above ^{140,155,156}, epigenetic dysfunction of T-cells ¹⁶⁰, emergence of
475 additional immunosuppressive pathways ¹⁶¹, or resistance to IFN- γ via new cancer-specific
476 mutations ^{162,163}. Thus, inherent and acquired resistance to T-cell- and NK cell-based
477 immunotherapy is a major challenge.

478 To overcome these challenges, a deeper understanding of the immune landscape,
479 genetic mutations, components of the cancer immunity cycle and tissue-specific immunity is
480 needed to facilitate personalised approaches to immunotherapy in metastatic disease. What
481 is clear from experimental models and on-going clinical trials is that the immune response to
482 metastatic lesions can change dramatically over time, and it is not linear. Therefore, future
483 treatment modalities will need to anticipate how pro-tumour and anti-tumour immune cells
484 react to first-line immunotherapies, and mitigate roadblocks with additional
485 immunomodulatory drugs.

486

487 **Conclusions**

488 As outlined in this article, a great many new immunotherapeutic targets are on the horizon for
489 metastatic disease. Possibly, however, the biggest improvement for patients will come from
490 the use of combination therapies that both boost anti-tumour immunity and attenuate
491 immunosuppression. Biomarkers arising from the study of anti-PD-1/CTLA-4 non-responding
492 patients or from those patients who acquire resistance might also identify suitable
493 immunotherapy targets to re-engage anti-tumour immune activity when anti-PD-1/CTLA-4
494 approaches become inert. Mechanisms to induce tertiary lymphoid structures and antigen-
495 presenting B cells — two anti-tumour features not well explored in metastasis — could
496 support effector T-cell responses and complement immunotherapies in metastatic disease,
497 as seen in patients with melanoma or sarcoma ¹⁶⁴⁻¹⁶⁶.

498 To optimally exploit these immunotherapies, it will be important to increase our
499 understanding of the context in which they are most efficacious. These efforts will require
500 more knowledge regarding the interplay between specific molecules and specific cell types in
501 the metastatic setting. Choosing the right model is paramount to address this knowledge
502 gap. Injectable cell lines, such as the B16 melanoma cells, have been instrumental in
503 immunotherapy discovery, but they fail to represent the full metastatic cascade. The
504 immunotherapy field will need to adopt or create models that recapitulate the evolution of the
505 immune response that occurs when tumours are allowed to progress from early stage to late
506 stage metastatic disease. These models might help to uncover immunotherapy targets that
507 specifically rewire the pre-metastatic niche, prevent cancer cell seeding or eliminate
508 established tumours at distant sites. Combining metastasis models with humanised mice
509 might also be useful to enhance personalised immunotherapies. It is hoped that the
510 development of these tools will generate new insights into immunotherapeutic intervention for
511 metastatic disease.

512

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516

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518 SCE, WHMH and SBC established the design of the article, drafted the manuscript and
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520

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522 Not applicable.

523

524 **Consent to publish**

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529

530 **Competing interests**

531 The authors have no competing interests to declare.

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536

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1052

1053 **Figure legends**

1054 **Figure 1: Inhibitory and stimulatory immune checkpoint molecules regulate the anti-**
1055 **tumour response at metastatic sites.**

1056 **A)** In metastatic progression, cancer cells detach from the primary tumour, intravasate into
1057 the blood or lymphatic system and migrate to distant sites where they extravasate from the
1058 blood or lymph vessels to seed secondary tumour sites. **B)** Cancer cells, macrophages and
1059 other cells at metastatic sites can express a plethora of immunomodulatory proteins to inhibit
1060 and activate anti-tumour T cells. The binding of these ligands to their cognate checkpoint
1061 receptors, such as programmed death-ligand 1 (PD-L1) with programmed cell death protein
1062 1 (PD-1) and galectin-9 or carcinoembryonic antigen-related cell adhesion molecule 1
1063 (CEACAM1) with TIM-3, dampens T-cell activation and effector anti-tumour T-cell responses.
1064 Checkpoint molecules such as VISTA, LAG-3 and CTLA-4 are inhibitory receptors that
1065 deliver negative stimulation signals upon binding to MHC-II, FGL1 and the co-stimulation
1066 molecules CD80 and CD86. **C)** Engagement of stimulatory receptors such as OX40, ICOS,
1067 CD40 and CD27 with their cognate ligand, or agonists that artificially provide these signals,
1068 drives T cell activation, differentiation and effector responses. Dendritic cells can be activated
1069 through CD40 and CD70 to induce their maturation and antigen-presenting properties. **D)**
1070 Natural killer (NK) cells can be manipulated by cancer cells and myeloid cells that express
1071 inhibitory ligands to dampen their cytotoxic effector responses. The inhibitory receptors T cell
1072 immunoreceptor with Ig and ITIM domains (TIGIT) and CD96 both have affinity for CD155,
1073 which is expressed on many types of cancer cell. NKG2A binds HLA-E on human cells or
1074 Qa-1^b on mouse cells to block NK-cell-mediated killing. These inhibitory and activatory

1075 checkpoint pathways can be selectively modulated by blocking or agonist antibodies to
1076 release the brake on anti-tumour immunity in order to treat or prevent metastatic disease.

1077

1078 **Figure 2: Exploiting pro-metastatic immune cell recruitment, survival and re-**
1079 **programming to counteract metastasis**

1080 Recruitment, survival and re-programming of immune cells to a pro-tumorigenic phenotype at
1081 distant sites are key processes in the metastatic cascade. **A)** Primary and secondary
1082 tumours release chemokines that attract aiding and abetting immune cells to encourage
1083 metastasis. In many cancers, CCR2⁺ bone-marrow-derived monocytes are recruited to
1084 primary and secondary tumours by the chemokine ligand CCL2, where these monocytes
1085 differentiate into macrophages. Pro-metastatic CXCR2⁺ neutrophils are recruited by CXCL1,
1086 CXCL2 or CXCL5, while pro-tumour CCR4⁺ regulatory T (T_{REG}) cells require CCL17 or
1087 CCL22. Targeting these chemokine pathways can prevent the accumulation of these cell
1088 types and reduce metastasis in the liver or lung of colorectal, pancreatic and breast cancer
1089 mouse models. **B)** Targeting colony stimulating factor (CSF)-1, granulocyte-macrophage
1090 (GM)-CSF and granulocyte (G)-CSF affects the pro-metastatic cascade. Tumour-associated
1091 macrophages (TAMs) secrete interleukin (IL)-1 β to activate IL-17-producing $\gamma\delta$ T cells, which
1092 induce immunosuppressive neutrophils through G-CSF. Metastasis-associated macrophages
1093 (MAM) provide growth factors, survival signals and angiogenic factors at secondary sites to
1094 support outgrowth of cancer cells. **C)** The cytokine IL-2 is essential for the survival of pro-
1095 tumour T_{REG} cells as well as the activation of anti-tumour natural killer (NK) cells. Targeting
1096 selective IL-2 receptors on T_{REG} cells might prevent their accumulation while enabling anti-
1097 tumour NK cells to remain active. **D)** Tumour-derived factors such IL-4, vascular endothelial
1098 growth factor (VEGF) and angiopoietin 2 (ANGPT2) can induce pro-tumorigenic
1099 macrophages, while transforming growth factor (TGF)- β can enhance pro-metastatic
1100 neutrophils. CD47 functions as a 'don't eat me' signal and can be upregulated on metastatic
1101 cancer cells to evade immune surveillance and phagocytosis by macrophages. Tumour-
1102 derived WNT ligands induce macrophages to secrete IL-1 β , which activates IL-17-producing

1103 $\gamma\delta$ T cells to drive pro-metastatic neutrophils. Blocking or interfering with the cytokine
1104 cascade or receptors on these pro-metastatic immune cells could reprogramme them away
1105 from a pro-tumorigenic phenotype in order to prevent metastatic disease.

1106

1107 **Figure 3. Challenges for targeting metastatic tumours.**

1108 Metastatic tumours differ from primary tumours in various ways. Metastases occurring in
1109 various locations must adapt to the new tissue-specific environment (coloured circles).
1110 Metastatic tumours can acquire new (epi)genetic mutations, but antigens arising from these
1111 mutations are not always presented on the surface of cancer cells, thereby preventing T-cell
1112 recognition. The immune landscape can also be very different between primary and
1113 secondary tumours, due to varying abundance of specific immune cell populations between
1114 organs. Finally, immune responses to metastatic lesions might evolve significantly over the
1115 course of time due to acquired resistance to anti-cancer therapy (chemotherapy,
1116 radiotherapy, targeted therapy, etc) by secondary tumours.