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2	'They've got to be testing and doing something about it': Farmer and veterinarian views
3	on drivers for Johne's disease control in dairy herds in England
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²⁴ 'They've got to be testing, and doing something about it': Farmer and veterinarian views

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on drivers for Johne's disease control in dairy herds in England

26

27 ABSTRACT

There needs to be an understanding of the reasons why key stakeholders engage in disease 28 control efforts if disease is to be successfully and sustainably controlled. It is increasingly 29 recognised within veterinary epidemiology and policy making in animal health that these 30 31 'people factors' are important influences on the success or otherwise of animal disease control programmes. Research methodologies adopted from the social sciences offer ways 32 to understand this important dimension, through investigating the attitudes and opinions of 33 the key actors involved. The study reported in this paper, based on qualitative interview 34 research, investigates the views of dairy farmers and cattle veterinarians on the drivers and 35 36 incentives for controlling Johne's disease in English dairy herds. Twenty semi-structured 37 interviews involving 17 dairy farmers and seven veterinarians were conducted in two dairyintensive regions of England. The findings demonstrate the varied influences of veterinary 38 advice and encouragement; appreciation of the economic cost of the disease at herd level; a 39 voluntary national control plan; and fear of a future consumer food scare as the main 40 reasons to engage in Johne's disease control on dairy farms. The study demonstrates how a 41 42 combination of a voluntary industry-led control scheme, compulsory participation through 43 retailer and processor contractual requirements, and threats of reputational harm and market loss have strongly influenced farmer and veterinary behaviour in relation to Johne's 44 control without statutory involvement. The findings illustrate the importance of considering 45 the political economy and societal impact of animal disease. 46

47 Keywords: Johne's disease; Dairy cattle; Disease control governance; Political economy;
48 Social science; Stakeholder attitudes

49 **1. Introduction**

Johne's disease (paratuberculosis) in cattle is a major global animal health challenge, and a 50 complex and costly problem for dairy production across the world (Kennedy, 2011; Geraghty 51 et al., 2014; Whittington et al., 2019). In addition to having a significant impact on dairy cow 52 health and herd productivity as an endemic disease in many countries with intensive dairy 53 54 industries, there are longstanding (and increasing) concerns about a possible association 55 between the causative organism – Mycobacterium avium ssp. paratuberculosis (MAP) – and Crohn's disease in humans (Grant et al., 1996; Collins, 2011; Atreya et al., 2014; Kuenstner 56 et al., 2017; Qasem and Naser, 2018). This risk of human infection has mainly been linked to 57 the consumption of contaminated food products derived from cattle, direct contact with 58 infected animals, and possible exposure to sources of environmental contamination 59 60 including water supplies (Waddell et al., 2015). Considering the animal health and welfare implications of this economically important and endemic infection, and the possible 61 zoonotic implications, there is an urgent need to achieve more effective Johne's control in 62 cattle to reduce the burden of infection. Achieving this ambition will require further 63 understanding of what influences the human actors engaged in managing Johne's disease at 64 65 farm level and knowing the most important drivers for control.

There has been an increasing appreciation of the benefits of understanding the perspectives
of key stakeholders, particularly farmers, in animal disease control efforts across a wide
range of animal health and welfare challenges (Garforth, 2015; Ritter et al., 2017). For
example, researchers have investigated farmer attitudes and behaviours in relation to the

management of livestock health issues such as mastitis (Jansen and Lam, 2012), bovine 70 tuberculosis (Robinson, 2017a), gastrointestinal parasites (Bellet, 2018), bovine viral 71 diarrhoea (Heffernan et al., 2016), footrot (O'Kane et al., 2017), antimicrobial use (Rell et al., 72 2020) and colostrum management (Palczynski et al., 2020). The use of social science 73 research methodologies has therefore increasingly been utilised and accepted within 74 veterinary epidemiology. Earlier calls have been heeded from within the discipline to 75 contextualise disease control by appreciating the importance of farmer decision-making and 76 how this influences the demand for, and functionality of, animal health programmes in both 77 the state and private sectors (Meek, 1993; Perry et al., 2001). Animal health economists 78 such as Rushton et al. (2018) advocate the further integration of social science approaches 79 into the economics of animal health policy making, and these approaches help to explain 80 81 'why people do what they do' (Rushton, 2017). Furthermore, Rushton et al. (2007) recommend moving toward 'animal health decision-making that includes political, social, 82 economic and technical components in order to ensure that animal health interventions 83 84 benefit society'.

Moving beyond the confines of veterinary epidemiology and animal health economics, 85 social scientists from a range of disciplinary backgrounds including human geography and 86 87 rural sociology have further contributed to our understanding of how to place farmers within the political and socioeconomic climate in which they manage their businesses. Rose 88 et al. (2018), having reviewed the literature on farmers' decision-making and behaviours, 89 are critical of research approaches which focus on analysing individual farmer behaviour. 90 Instead, they advocate analysing the collectives within which farmers make decisions within 91 92 their circles of influence, including farming peers, professional advisors, food manufacturers

93	and retailers, and the wider economic and political environment in which they make
94	decisions (Rose et al., 2018). It is instructive that this wider framing of farmer decision-
95	making and behaviour in relation to social factors and political economy has commonly
96	been considered by social scientists covering a range of agricultural topics beyond animal
97	health and welfare (e.g. Gasson, 1973; Le Heron, 1989; Burton, 2004; Haggerty et al. 2009).
98	Robinson (2017a, 2017b) provides examples of how a socioeconomic and political economy
99	approach can be applied to livestock disease control using bovine tuberculosis as a case
100	study in the political ecology of health. Others have investigated biosecurity and animal
101	health, revealing multiple layers of complexity in how farmers and their professional
102	advisors manage infectious livestock diseases (e.g. Hamilton et al., 2019; Shortall and Brown,
103	2020). Qualitative studies combining the views of farmers and veterinarians, potentially
104	highlighting areas of disconnect in outlook and approach, are also becoming more common
105	(Shortall et al., 2018; Charlton and Robinson, 2019; Golding et al., 2019).
106	A review of the knowledge gaps hampering the prevention and control of Johne's disease
107	similarly highlights the need for social science approaches to better understand the
108	motivations of both farmers and veterinarians affecting participation in Johne's control
109	(Barkema et al., 2017). There have been previous questionnaire-based approaches which
110	examined farmers' reasons to join voluntary Johne's control programmes in the United
111	States (Benjamin et al., 2010), Denmark (Nielsen, 2011) and the Netherlands (Hop et al.,
112	2011). However, there has been a lack of qualitative social science research in this area to
113	date, which can produce richer and deeper understandings of motivations through the two-
114	way interaction of interviewing (May, 2018). Two individual interview-based studies have
115	been conducted in Canada and Ireland investigating farmer attitudes and opinions (Ritter et

116 al., 2016; McAloon et al., 2017), but to the author's knowledge there has been only one similar interview study also seeking the views of veterinarians on this topic (Roche et al., 117 2019), and none in the United Kingdom (UK). Johne's disease is recognised to be endemic in 118 the UK (Geraghty et al., 2014) with one study calculating that dairy herd prevalence in Great 119 Britain (GB) (based on tests of bulk milk samples) was 68.3% (Velasova et al., 2017). The aim 120 of this study was therefore to investigate the views and experiences of both dairy farmers 121 122 and veterinarians on Johne's disease control in England using a qualitative interview research methodology. The objective was to gain a contextualised understanding of how 123 Johne's is viewed at farm level, and how farmers and veterinarians are responding to 124 control it on dairy farms. The data presented in this paper focus specifically on the drivers 125 for participation in active Johne's control efforts such as veterinary advisory visits, milk or 126 127 animal testing and on-farm husbandry measures, which dairy herd owners conduct and pay 128 for without state compensation.

129

2. Materials and methods

130 2.1 Study area and sample characteristics

The study was conducted in two dairy production-intensive regions in the south-west 131 (Group A) and Midlands (Group B) of England. A purposive sampling strategy (Marshall, 132 1996) was used to select a range of dairy farmers with different herd sizes and involving a 133 134 mix of those known to be already engaged in active Johne's surveillance and control measures, and others who had minimal or no engagement at that point. The farmers were 135 136 selected from networks of known personal contacts, suggestions from other participants ('snowball sampling' – Noy, 2008), and through 'gatekeepers' (Campbell et al., 2006) such as 137 veterinarians who approached a few of their clients on behalf of the researchers. The 138

139	veterinarians were likewise recruited through contact networks or snowball sampling. All
140	the farmers interviewed were responsible for the main herd management decisions on their
141	farm. Veterinarians working in both areas were also interviewed according to their
142	involvement in dairy work and willingness to participate in the study. The veterinarians all
143	spent a substantial proportion (or all) of their time employed on dairy farms and
144	represented six different private veterinary practices. Participation in the study was entirely
145	voluntary and confidential, and no rewards or incentives were offered to the participants.
146	2.2 Data collection and analysis
147	The interviews were conducted in two phases – between December 2016 and March 2017
148	(Group A), and between November 2017 and November 2018 (Group B). The study was
149	conducted in two parts because the initial phase was conducted in connection with a
150	university degree research project. The findings from this project were deemed so
151	interesting that funding was secured to conduct a subsequent round of interviews. This was
152	added to expand the field of research to another region of England and to triangulate the
153	findings through interviewing another sample of farmers and veterinarians using very
154	similar questions and semi-structured interview structure guide.
155	In total, 17 dairy farmers and seven cattle veterinarians were engaged across 20 semi-
156	structured interviews conducted by a university animal science student (Group A – 9
157	interviews, involving 8 farmers and 2 veterinarians) and the author, an academic
158	veterinarian and social scientist who supervised the initial project (Group B – 11 interviews,
159	involving 9 farmers and 5 veterinarians). Most of the interviews were one-to-one, but there
160	were also several one-to-two person interviews with farmers. The farmer interviews were
161	conducted on farm, and the veterinarians were interviewed in their work base location.

Interviews were conducted until the second interviewer was satisfied that the point of data
saturation had been reached (Guest et al., 2006) and no major new themes were being
discovered.

The interviews were manually transcribed in full by the interviewers using NVivo 11 (QSR 165 International (UK) Ltd, London, UK) (Group A) and f4 software (audiotranskription, Marburg, 166 Germany) (Group B). As far as possible, the interviews were transcribed before the next 167 168 interview took place to allow reflection on the findings as the research proceeded. This 169 iterative process of interviewing, transcription and preliminary analysis before further 170 interviewing is consistent with a grounded theory approach (Meek, 1993; Glaser and Straus, 2008). All the transcripts were analysed thematically and coded in *NVivo 11* by the author. 171 172 This involved repeatedly reading and evaluating the transcripts and highlighting key themes and quotes, illustrating the commonalities and contrasts between the opinions and 173 174 experiences described (Vaismoradi et al., 2016). Key quotations were chosen and collated under the corresponding themes. The findings from Groups A and B were deemed to be 175 correlated, with much overlap between the datasets. Multiple themes were identified in 176 relation to perceptions of Johne's disease and its management and control (clinical impact, 177 transmission, diagnosis etc.), but this paper focuses specifically on the theme of drivers for 178 179 the control of the disease.

180 2.3 Ethics approval

181 The study received ethical approval from the research ethics committee of Harper Adams 182 University for each phase of the study. Interview participants were provided with 183 information on the overall aims of the project before commencement and were assured of 184 anonymity. The interviews were audio-recorded with verbal and written consent provided

by the interviewee before each interview began. The study was conducted in a manner
consistent with the consolidated criteria for reporting qualitative (COREQ) research (Tong et
al., 2007).

188 3. **Results**

The 20 interviews (farmer interviews n = 13, veterinarian n = 7) lasted between 30 and 90 189 minutes each, and in total produced 122,854 words of transcript for subsequent analysis. 190 The farmer interviewees managed dairy herds containing between 165 and 1000 cows 191 192 (mean herd size = 397 cows, n=13). The following subsections present commentary on 193 extracts of the interview transcripts divided into four main themes: the impact of veterinary advice; economic cost of the disease; the national voluntary Johne's control programme; 194 and the fear of a future consumer food scare. 195 3.1 The impact of veterinary advice 196 The veterinarians spoke of the direct influence they had on their farm clients as they tried to 197 persuade them to actively test for Johne's disease and implement control measures. For 198 199 some, this influence was more impactful and successful than for others. Some of the 200 veterinarians were exasperated by an apparent lack of interest or action on the part of some of their clients, despite clinical cases of Johne's disease regularly occurring on their 201 farm: 202 'We did have one guy [with 250 cows] and he had literally no idea what Johne's 203 was! ... And it took 12 months of repeatedly having discussions with him for him to 204 205 get it, and to appreciate that he needed to do something. He didn't milk record, so

- 206 we got him to test all the milking cows at one point, but that's not enough he
- 207 wouldn't repeat it.' (Int B01, veterinarian)
- 208 'I can think of one herd ... where we regularly turn up clinical cases, but they still
- 209 don't seem to have the desire to find it in their herd at all; they're just seeing it as an
- 210 individual cow disease.' (Int B09, veterinarian)
- 211 'I can think of a great example: this man has a [supermarket] contract, so he has to
- do Johne's testing it's obligatory for him. He loses clinical Johne's cows hand over
- fist, and every single time I go there to look at a sick cow that's dropped its milk [I
- say] ... "Is it on the Johne's list?" [He says]:"I haven't looked." So he does the
- recording because his milk buyer tells him he has to, but he's making no effort, even
- 216 though he's losing ... 5% of the herd a year to clinical Johne's.' (Int BO4, veterinarian)
- 217 Despite such examples of an apparent lack of desire to act to control Johne's disease, the
- veterinarians also spoke of personal encouragements, where farmers were actively
- 219 engaging in testing and control measures:
- 220 'From a Johne's point of view I've had quite a lot of input on certain farms,
- instigating plans, or shall we say "tweaking", control plans to get the best of it.' (Int
- 222 B10, veterinarian)
- 223 'If you get people started ... I have never seen anybody stop Johne's control
- 224 measures. If anything, they just ratchet them up and up as they go on further as they
- see more and more benefit from it.' (Int A06, veterinarian)
- 226 'I did a Johne's meeting in late 2013 ... and about six people at the end of that said:
- "We should probably do something about this". And year on year ... I do a Johne's

228	meeting and more and more people come every year, and it's different people,
229	and each time I get a couple more people interested.' (Int B11, veterinarian)
230	A few of the veterinarians spoke of their own personal and professional ambition to engage
231	their clients on Johne's control, with some making it a priority disease to advise their
232	farmers on:
233	'The vast majority of our herds are actively testing for Johne's A big focus for us at
234	present is more on the moving the guys who [are] at that reclusive-traditionalist
235	boundary, and you then get into a debate about whether you are better focusing
236	your attention on improving the control of the ones who have already engaged, or
237	continuing to hammer away at the ones who are difficult to engage with, and we're
238	probably in the former of those buckets.' (Int B04, veterinarian)
239	'Yeah, it's just something I feel passionate about, and it's something I can see being a
240	real issue. I also like my job, and I want my clients to be there in ten years' time, and
241	this could be a make or break for a lot of people.' (Int B11, veterinarian)
242	'You do have personal crusades as a vet, don't you? And I have personal crusades to
243	do with lameness, and transition health, and cow handling, and cow happiness and
244	comfort; very much so. So does Johne's rate in there for me personally? No, it
245	doesn't, but it does come in just below there. I do feel embarrassed when I haven't
246	got on top of it in certain herds, or I haven't managed to get their engagement.' (Int
247	B09, veterinarian)

248 While the veterinarians mentioned their influence on their farm clients, this was spoken of 249 more infrequently by the farmers, but a few did speak positively about their veterinarian's

250	influence. For example, these farmers, who were early adopters of quarterly individual milk
251	ELISA testing on their whole herd, spoke of the impact of their veterinarians on their joint
252	efforts to control the disease:
253	1: 'We're on a testing scheme, and we're moving towards an eradication scheme,
254	working with our vets.'
255	2: 'Our vets are very keen on it.' (Int B03, dairy farmers)
256	Despite such enthusiasm, other farmers believed that what their veterinarians were asking
257	them to do on Johne's was unrealistic and impractical, leading to inaction and a negative
258	attitude towards Johne's control:
259	'They'd like to see me monitoring it. They'd like to see me reacting and isolating
260	cows that are Johne's positive and being more pro-active. I guess the problem is
261	from a veterinary side it's all very well and good saying [that, but]' (Int B07, dairy
262	farmer)
263	'There's a whole world of what [veterinarians] would want us to do like the idea
264	you should only a give a calf its mother's milk - you know, it's just not possible! It's
265	just not possible in a system like ours.' (Int B02, dairy farmer)
266	Whether initially prompted by their veterinarian or based on knowledge acquired from
267	other sources such as the farming press, some farmers were clearly very motivated
268	themselves to try to reduce the Johne's prevalence in their herd, without having to be
269	unduly 'forced' into action. This 'self-motivation' was mentioned by a few of the
270	veterinarians, particularly clearly demonstrated in this interview excerpt:
271	Interviewer: 'What are the main incentives for farmers to increase Johne's control?'

272	Veterinarian: 'Self-motivation. There are financial incentives, but you've got to look
273	at the long-term, haven't you? I think maybe as an industry we need to be a bit
274	more progressive in taking control ourselves – gone are the days of subsidised
275	incentives.' (Int A07, veterinarian)
276	In the opinion of two veterinarians, a useful tactic to prompt engagement from late
277	adopters was to apply pressure through asking their clients why they were not engaged
278	when many of their farming peers were:
279	Interviewer: 'Do you think more could be done to encourage farmers to include
280	more control strategies?'
281	Veterinarian: 'Yeah, I think it's just chipping away at it constantly. And as time goes
282	on more and more people get interested in it and get involved in it, and it's getting
283	to the critical mass of client base once you get more than 30-40% of your farms
284	doing something then it's easy to go out to the rest of them and say "Why aren't you
285	doing this? Everyone else is doing it – you're behind the curve; you're losing out!"
286	But to get to that point is just a lot of hard work and talking to individual farms and
287	chipping away at it.' (Int A06, veterinarian)
288	'I think you have to wait for your moment, and I think there is usually a moment,
289	when you're diagnosing the 17th Johne's cow of the year with them: "Do you know
290	that you're the only farm in a 15-mile radius not testing for Johne's?" I don't think
291	we're far from the tipping point for the message changing into "Everybody else is
292	doing this, why aren't you?'' That's the point they'll start asking questions, because
293	that's when they'll go to the pub on a Friday night and they'll say: "Are you doing

anything about Johne's?' And their mate will turn round and say: "Yeah, we've been
testing the herd for 4 years! Have you not?" [*Laughs*] (Int B04, veterinarian)

296 3.2 Economic impact of the disease at herd level

It is well established that Johne's disease can have a notable economic impact on the
production efficiency and profitability of dairy herds (Stott et al., 2005; Richardson and
More, 2009; Pritchard et al., 2017). Given the on-farm interactions between veterinarians
and their clients described in the previous section, it might have been expected that this
would feature as one of the significant drivers for taking action. This was confirmed by the
responses of these farmers when asked about their main incentives for managing the
disease:

304 'Loss of yield, poorer fertility, reduced margins per cow.' (Int A08, dairy farmer)

305 'AHDB (British farmer levy board) tell us it costs £1800 to rear a heifer to calving now.

306 What's the point in rearing a Johne's heifer and spending £1800 on her when you

307 might as well shoot it, or sell it and let someone else have the hassle? It doesn't

- 308 make sense it's hard enough farming as it is without knowingly rearing unhealthy
- 309 animals.' (Int A09, dairy farmer)

'Having spent the sort of money we've just spent on a new shed and four [milking]
robots, we thought it was quite important when we moved forward that we didn't
have a herd of Johne's cows. We thought we would be a bit more proactive about it
and I think monitoring Johnes in the long term is the best thing ... I think it's
something you need to do, and I think people ought to be doing.' (Int A05, dairy
farmer)

316	Although some of the farmers certainly recognised the economic cost of the disease for
317	their herd, this dimension was not particularly widely discussed as an incentive to more
318	effectively control it. One farmer complained about the financial cost of removing Johne's-
319	positive animals from the milking herd after positive tests, and this appeared to act as a
320	disincentive to engage more fully because of the cost of culling infected animals:
321	'So we did our last test, and we've had 15 more! So the 20 has moved up to 35!
322	And that's [a loss of] £20-25,000 on my bottom-line just straight-off!' (Int B02, dairy
323	farmer)
324	In contrast, the veterinarians were more likely to mention the production costs of disease as
325	a driver, and they described how this was one of their arguments to persuade farmers. A
326	typical example was provided by this veterinarian, who described how he specifically used
327	both the scientific literature and farm-level research on individual herds to demonstrate the
328	production impact of Johne's:
329	'I talk to them about various studies that have been done that show what animals
330	which are Johne's-positive look like in their production compared with their peers,
331	and things like mastitis and cell counts as well. We've had students here on electives
332	that we've asked to look at a handful of herds - looking at their Johne's [herd]
333	prevalence, and then looking at Johne's-positive animals in terms of their production
334	and cell counts; that completely backs up all the wider studies that have been done.
335	It's quite nice to go to a client and say: "This student has looked at your data and
336	these are the facts". That soon sort of turns them around.' (Int B09, veterinarian)

- However, another veterinarian explained how farmers' motivation for controlling Johne's in their practice appeared now to have become much more driven by *having* to, rather than *choosing* to, engage in testing and control efforts:
- 340 'In the first five years that I was down here [farmers] were doing it for the right
- reasons, because they realised that it was problem in their herd, [and] that it was
- 342 going to improve their [herd] health ... and they see the cost effectiveness of it and
- 343 the reduced stress and everything else on themselves. But now it's flipped, and the
- ones that are starting to engage now are doing it because they have been told they
- have to. Unfortunately, it's not the right way around, but even if you get people
- 346 started my experience would show they will see the benefit of it.' (Int A06,
- 347 veterinarian)

348 As the next section of the paper further explains, a voluntary national programme run by

industry stakeholders such as milk processors and retailers appeared to be having a notable

impact on farmer behaviour in relation to the disease.

351 3.3 The national voluntary Johne's control programme

A National Johne's Management Plan (NJMP) has been developed by the Action Group on

353 *Johne's* – a forum of industry stakeholders interested in reducing Johne's disease incidence

on dairy farms in GB (Action Johne's, 2019). This forum is jointly funded by a national farmer

- levy board (Agriculture and Horticulture Development Board AHDB) and milk purchasers.
- The forum was set up in 2010 and launched the NJMP in 2015 (Orpin et al., 2020a). It

357 includes representation from companies and organisations such as milk purchasers and

358 processors, farmers' unions, veterinary associations, academic institutions and cattle

companies. It is estimated that 80% of the total milk volume produced in GB is covered by
 members of this scheme (Action Johne's, 2019).

Phase 1 of the NJMP, which ran from 1st April 2015 until 31st December 2017, was primarily 361 focused on education and engagement with producers and training of veterinarians 362 according to a standardised training course on Johne's. Phase 2 of the scheme was launched 363 in 2018 and involves participating farmers obtaining a signed declaration by an accredited 364 365 Johne's veterinary adviser that they will implement one of the control strategies specified by the scheme (Action Johne's, 2019; Orpin et al., 2020b). The scheme is not a statutory 366 scheme governed by legislation, but enrolment has become a compulsory part of milk 367 contracts between many milk purchasers in GB and their milk suppliers. The roll-out of the 368 369 scheme was reported to be having a very notable impact on engagement between veterinarians and their clients on Johne's, and between farmers and their milk purchasing 370 371 companies:

'As of five months ago, just over half our herds were milk testing, and now it would
be more than that. The [NJMP] has made my life easier in terms of getting people
engaged, because there's that wall that you just push people towards, and they see
what's coming, and they jump before they're pushed.' (Int B11, veterinarian)
'The [NJMP] has helped in that they have to do it [milk testing], and we won't sign
something unless they are doing it.' (Int B09, veterinarian)

378 'There is the national Johne's control plan which is being rolled out and increasingly
379 taken up by, and supported by, [milk] buyers. So more and more of our clients are
380 going under that umbrella ... The national control plan I think is a really practical tool

381	and it allows you to do different things on different farms and still be under that
382	umbrella, and still be compliant.' (Int A06, veterinarian)
383	Awareness of the NJMP as the primary driver for more active national Johne's control
384	efforts seemed to be low amongst some of the farmers interviewed, but all of them were
385	aware that the disease had become more high profile. Their more immediate focus was
386	specifically on what their milk buyer was requiring of them in terms of Johne's control in
387	their herd:
388	Interviewer: 'Did you first start testing because of the contract you were on?'
389	Farmer: 'Yes, pressure from milk buyers in the last year has been towards doing this.
390	They haven't dictated that we <i>have</i> to do it I think there will be more pressure
391	from the buyers to do it. So that's why we do it.' (Int A05, dairy farmer)
392	Interviewer: 'Are you getting any pressure from your [milk] processor?'
393	Farmer: 'Yes, we are now - just started.'
394	Interviewer: 'So that will force you into doing something?'
395	Farmer: 'Yes. There you go [<i>shows letter from milk processor</i>]. So yes, it's a road -
396	we're on a road, and hopefully it's factually led. You think it's an issue, and
397	government institutions think it's an issue, then I'll do whatever is required. But until
398	that happens, that's where I'm at.' (Int B07, dairy farmer)
399	'A concern might be that when the milk price drops again like it did two or three
400	years ago, if there's any reason for your milk not to be as good as the person up the

401	road they might take theirs and not yours. I guess I wouldn't want to give my milk
402	purchaser any reason not to buy my milk.' (Int B08, dairy farmer)
403	Despite this pressure from milk buyers through the NJMP, other farmers spoke of how their
404	milk buyer (a supermarket retailer) had been encouraging routine testing of their milk for
405	several years before the NJMP was launched. This meant that they had been actively
406	engaged in Johne's control for longer than most through identifying infected animals on
407	milk serology:
408	'We're on a [supermarket retailer] contract and we've been doing Johne's ever since
409	[the supermarket] wanted us to really.' (Int A01, dairy farmer)
410	'There have been others out there that are on [supermarket retailer] contracts, and
411	other contracts as well, who have been testing for a [lot] longer than we have – four,
412	five, six years.' (Int A04, dairy farmer)
413	Farmer 1: '[The supermarket retailer] actually made us test seven years ago. They
414	paid us to test.'
415	Farmer 2: 'But they don't do that anymore.'
416	Farmer 1: 'No, but for six years they did So full marks to [them] for getting right on
417	board.' (Int B05, dairy farmers)
418	When the veterinarians were asked about how influential the pressure from milk buyers and
419	retailers was having on farmers' engagement with Johne's control, there was unanimous
420	agreement that this was a very significant factor, as typified by these quotes:

421	Interviewer: 'How much of a role do the milk buyers play in the farmers' willingness
422	to manage the disease?'

- 423 Veterinarian: 'Huge. Yeah, 'cos I think that's where a lot of the drive comes from now.
- 424 I think they're (farmers) being beaten with a financial stick from the milk buyers that

425 if they are implementing a plan there is a potential for them to get a few more pence

426 per litre. So yeah, I won't deny I think that's a massive part of it.' (Int A07,

427 veterinarian)

428 'Most of our farmers that are coming on board now are doing it because they are

429 told they have to by the supplier, if I'm honest.' (Int A06, veterinarian)

430 'As with most other diseases, the carrot and stick approach of the milk buyers, the

431 milk processors, the industry: "We'd really like you to start taking this disease

432 seriously." (Int B04, veterinarian)

Despite this increasing engagement, there was concern that some farmers still viewed engagement with the NJMP as a bureaucratic 'tick-the-box' exercise, rather than being convinced that reducing the disease prevalence was in the interests of their herd profitability and sustainability:

437 'The NJMP has helped push things forward, but I do still find I'm sitting down for a
438 drink of tea after a routine visit ... and they say "You just need to sign this", and they
439 pull out the declaration. And to some of them, it still is just a box-ticking exercise,
440 and we've been incredibly resolute in saying "It isn't just a case of signing that -

441 we're going to do you a Johne's risk plan, and we're going to do you a Johne's health

442	plan, and we're going to make sure this is done properly, because this is a great
443	opportunity to do it."'(Int B09, veterinarian)
444	'He's losing 20 clinical Johne's cows a year - 5% of the herd a year to clinical Johne's.
445	Who knows what the rest of the iceberg looks like? The report comes every three
446	years and he doesn't even look at it! He doesn't change any of his management
447	practices - he's literally just ticking a box.' (Int B04)
448	These veterinarians' views appeared to be justified by what these farmers said in response
449	to being asked about why they were starting to test routinely for Johne's; they
450	demonstrated some degree of reluctance, and a failure to see a wider objective apart from
451	meeting a contractual requirement:
452	Farmer 1: 'Our milk company asks us to sign a declaration that we're doing
453	something about it.'
454	Farmer 2: 'But that's all - we're not being driven to do something - we're being asked
455	to test.'
456	Farmer 1: 'And we're not being incentivised to do anything about it either.'
457	Farmer 2: 'We're not being paid to go Johne's disease clear; the milk companies
458	aren't saying "We need you clear within 10 years." To tick the boxes we just need to
459	say that we test, and we do test, so we tick the box.' (Int B02, dairy farmers)
460	'I wouldn't probably have done anything about it, because you read about it in the
461	farming press, and the vets' newsletters - this is being honest - and you think, "Well,
462	it's not really affecting me." But then two years ago [the milk buyer] sent us a
463	Johne's form saying that we had to be seen to be doing something about it, so

464	because of that I did a bit more reading, and spoke to our vet, and just said "What
465	should we be doing?", because he agrees that we haven't really got a problem. So
466	since then I decided to be proactive just in case there was something hidden.' (Int
467	B06, dairy farmer)
468	3.4 Fear of a consumer food scare
469	In addition to encouragement from veterinarians, the economic arguments, and the
470	pressure being exerted by milk processors and retailers through the national management
471	plan, there was also an important line of argument on why Johne's disease needed to be
472	more effectively controlled – fear of a potential zoonotic infection risk, and future consumer
473	food scare. This driver for control was mentioned by both farmers and veterinarians but was
474	particularly emphasised by the latter. Some of the farmers spoke of their own fears of
475	Johne's potentially being a zoonotic disease linked to Crohn's disease in humans:
476	Farmer: 'That does concern me. Yeah.'
477	Interviewer: 'Why?'
478	Farmer: 'Because it's a public health issue, and if that's proved to be correct, then
479	we're all going to have to react, aren't we? Yeah, that is an issue. And I know a
480	person with Crohn's who seems to think it's my cows that created it!' (Int B07, dairy
481	farmer)
482	'It was quite striking when I went to Ireland about ten years ago, when I'd only
483	started dairy farming, that I went to a farm with a friend, and we were sat at the
484	kitchen table and I asked him why he didn't drink his own milk, and he said: "Oh, I've

485	got Johne's in the herd, and I wouldn't ever drink it - I don't want to get Crohn's".
486	(Int B08, dairy farmer)
487	These views were echoed by most of the veterinarians, who were also similarly concerned
488	about a zoonotic threat from Johne's:
489	'My assumption - the stance that I have taken - is that I'm fairly happy there is a link
490	there to some degree. And I think if I adopt that stance and try to encourage my
491	clients to work towards control and eradication policies, then I think that's the best
492	approach - the sort of gold standard approach. Whereas I think if I reassured myself
493	there's not a particularly significant link, then I think that would potentially induce
494	you to take your eye off the ball a bit, and your foot off the gas.' (Int B09,
495	veterinarian)
496	'It's a grey area scientifically, but I think for me as a consumer or a mother feeding
497	children, it's enough of an unclear issue to say "No, I wouldn't want to take that risk."
498	At one of my Johne's [courses] I found it horrendously scary that they find it in infant
499	formula. And the lecturer there was from the States and particularly in the States,
500	the consumption of ground meat, minced meat - all these Johne's cull cows go for
501	mincemeat don't they, and they do find it. We think of it as just in the milk or blood
502	or whatever, but in ground minced meat they were finding MAP in samples. And I
503	find that really scary!' (Int A07, veterinarian)
504	'It's been talked about for probably 20 years or more and nobody has really come up
505	with any conclusive proof. So I think it's one of those things that increasingly now
506	farmers see as bit of a scaremongering tactic. It's the thing that's brought out of the
507	drawer whenever you want to try and scare people into doing anything It's

difficult – there's no clear data that farmers have massively higher Crohn's levels, 508 and it is a difficult one to pin down but obviously, the same bug is found in both 509 syndromes, so there is something there. (Int A06, veterinarian) 510 Certainly not all the veterinarians were focused on a possible zoonotic risk. These 511 veterinarians were not fully convinced that MAP was zoonotic and were not using that 512 specific argument to convince farmers to engage in Johne's control: 513 'I don't know enough about that. I know that some farmers are aware there might 514 be a link, and that's one of the reasons why the milk buyers are very keen on people 515 controlling it.' (Int B01, veterinarian) 516 'I've never had that [opinion], no. I think the reason is because our farmers tend to 517 come at it from an animal health point of view, and we tend to come at it from an 518 animal health point of view ... Because there hasn't been any strong animal health-519 human health links drawn up for the disease. I think that's why I don't talk about it as 520 if it's a zoonotic disease.' (Int B10, veterinarian) 521

Ultimately, if a zoonotic risk from MAP and Johne's disease was irrefutably proven, there
were fears about a future food scare affecting the retail sales of milk and meat products
from the dairy industry due to consumer concerns around product safety. This view was
echoed by both farmers and veterinarians, and comparisons were made with previous
significant consumer food scares linked to animal diseases in the UK historically – specifically
BSE (bovine spongiform encephalopathy) (Smith et al., 1999) and *Salmonella* in eggs (Smith,
1991) - as exemplified in these quotes:

529	'Everybody has got to have a Johne's plan - they've got to be testing and doing
530	something about it, otherwise they'll be out of business You've got responsibilities if
531	you're going to keep cattle. And particularly as a milk producer, if Johne's blows up like
532	BSE, it could ruin us. You know what happened with the eggs - all the British eggs were
533	infected with Salmonella. So, there is a political message - the milk buyers and the
534	government just need to get everybody up to speed.' (Int B05, dairy farmer)
535	'The Salmonella in eggs scandal is where I could see this going if it's not managed well. I
536	think as a country we are managing the situation better than anyone else has done
537	before. So if we can get our farmers engaged, and get control measures in place, and we
538	can say "If you have a herd that is a low risk Johne's herd, you can sell your milk and
539	sell your products in a world market." (Int B11, veterinarian)
540	Linkages were therefore made between animal and human health, future economic
541	viability, market access and the politics of social responsibility.
542	4. Discussion
543	It is recognised that the stakeholders involved in controlling disease and responding to
544	voluntary and statutory policy initiatives in livestock health have an important influence on
545	the success or otherwise of on-the-ground efforts (Garforth, 2015; Robinson, 2015; Ritter et
546	al., 2017; Fortané, 2020). While research studies have demonstrated that veterinarians are
547	regarded by many farmers as trusted disease control advisers (e.g. Richens et al., 2015;
548	Marier et al., 2016), veterinary advice is not always implemented by farmers, perhaps
549	determined by perceived feasibility or other on-farm priorities (Ritter et al., 2017; Svensson
550	et al., 2019). As noted by Barkema et al. (2017), most Johne's disease control programmes

globally are voluntary, and their success depends ultimately on either the self-motivation of
farmers to be involved, or the active encouragement of veterinarians, to promote
enrolment and persistence for the long-term. Attempting to control this challenging
endemic disease requires sustained effort and it is particularly important to understand
what the main drivers for Johne's control might be, and the externalities that may be
involved, as with any animal disease prevention and control programme (Gilbert and
Rushton, 2018; Hennessy and Wolf, 2018).

It is interesting to speculate on why, despite the presence and acknowledgement of the 558 economic impact of Johne's disease on English dairy farms for decades (Winter, 1960; 559 Gilmour, 1976), concerted effort to control the disease more effectively has apparently 560 561 been lacking until now. There appears to have been a longstanding toleration of the impact of the disease which, as the data in this study demonstrates, persists on some farms. This 562 563 suggests a greater need for farmers to be convinced of the economic and cattle health benefits for reducing the burden of MAP infection in their dairy herds (Garcia and Shalloo, 564 2015); the message has either not been effectively communicated by enough veterinarians, 565 or received and ignored by too many farmers. 566

Veterinarians in this study were very keen to educate and engage their farm clients in Johne's control efforts, with some seeing it almost as a personal crusade. They were finding much more willingness to test for the disease than in the past, but they also reported struggling to persuade a small minority of farmers who had clinical Johne's disease in their herd to actively seek to control the disease. Even some of those farmers who were regularly testing for the infection were not fully convinced of the benefits of this ongoing expenditure. Similar challenges in convincing farmers on the importance of Johne's control were reported

574	by Ritter et al. (2016) and Roche et al. (2019) in Canada, and McAloon et al. (2017) in Ireland
575	As McAloon et al. suggest, convincing farmers to voluntarily control the disease based on
576	animal and herd health arguments alone may not be enough to achieve engagement,
577	particularly when farmers perceive the proposed solutions as impractical, or the effects of
578	the disease are hidden. Likewise, Ritter et al. (2019) emphasise the importance of barriers to
579	the implementation of Johne's control measures such as the perceived practicality and
580	whether the disease was considered a priority on the individual's farm.
581	In the absence of statutory control, a national Johne's programme (NJMP) led by an industry
582	stakeholder forum was found in this study to be having a significant impact on farmer
583	participation, as reported by both the farmers and veterinarians interviewed. Although
584	having a national control programme is certainly not unique, and other countries across the
585	world have attempted similar co-ordinated efforts (Geraghty et al., 2014), what is notable
586	here is the impact that contractual requirements from milk buyers (processors and
587	supermarket retailers) were said to be having on farmer uptake. This was reported to be
588	facilitating the pathway towards deeper veterinary engagement in the disease enabled
589	through the framework of the NJMP. Using Ritter et al.'s (2019) thematic mapping of the
590	motivations for Johne's control, this is another example of an extrinsic motivator, linked to
591	the premiums and penalties suggestion of the Canadian dairy producers that they
592	interviewed in focus groups.
593	Previous qualitative studies have also found levels of concern among farmers (particularly
594	McAloon et al., 2017 and Roche et al., 2019) about the potential for a zoonotic risk and link

to Crohn's disease in humans and future negative economic impact on their industry. A

questionnaire study of Australian veterinarians found that one third of those surveyed

believed that MAP was likely to be causally associated with Crohns disease in humans, and 597 70% of them supported adopting a precautionary principle in relation to Johne's disease 598 (Acharya et al., 2020). This possible zoonotic risk appeared to be a powerful driver for 599 600 several of the farmers and most of the veterinarians interviewed in this study. Both groups compared how a consumer food scare could develop in the future centred on dairy products, 601 similar to what has happened in the past with BSE in cattle and *Salmonella* in chicken eggs. 602 As Atkins (2008) shows, negative consumer reactions to zoonotic food hazards have a long 603 and notable history in the UK, and similar impacts have been shown across Europe and 604 indeed globally (Bánáti, 2011). Mullan (2019) cautions that formal international recognition 605 of MAP as a human pathogen is more likely than not, and this line of argument is 606 607 increasingly evident in the scientific literature, and sometimes without equivocation (e.g. 608 Singh et al., 2016; Monif, 2018; Dow and Sechi, 2019; Zarei-Kordshouli et al., 2019). If concerns about MAP from cattle affecting human health increase, consumer attitudes to the 609 consumption of dairy products are likely to be correlated with the effectiveness of the 610 611 mitigation measures taken against Johne's disease, and risk communication by regulators, industry and the media (Groenendaal and Zagmutt, 2008). 612

What is also evident is a sense of social responsibility from farmers, and particularly
veterinarians, to ensure that food produced by the dairy industry is safe, and public health is
not harmed. These factors were found to be similar motivators in Ellis-Iversen et al.'s (2010)
wider study of the motivations for zoonotic disease control on English and Welsh farms.
Such responsibilities on food business operators are established in European law (EC, 2002)
and evident in the views expressed in the Canadian research on Johne's control by Ritter et
al. (2019). While some of this sense of societal responsibility is undoubtedly self-generated,

620 the response to Johne's control efforts through the NJMP is arguably a further example of the shift of the governance of agricultural and food standards to food processors and 621 retailers in the private commercial sector rather than the state (Banks and Marsden, 1997; 622 623 Grant, 2012). Even without statutory legislation to legally require on-farm Johne's control measures in the UK, the power of industry bodies adopting a precautionary approach to 624 hedge against potential future reputational and market risk has significantly motivated 625 626 farmer action, as evidenced by what both farmers and veterinarians said. This has also been highlighted by press coverage in recent years in Ireland and the UK (McCullough, 2016; 627 Bowyer, 2017). Indeed, Marsden et al. (1997) argue that the state depends on the retail 628 sector to supply and regulate safe food. As Havinga (2006) points out, the food industry, 629 retail industry and government all have a common interest in ensuring safe food, and a 630 631 'shared fate' of reputational and market loss if consumers lose confidence in food products. Food retailers therefore have an important role as 'gatekeepers' between primary 632 producers and the consumer as part of their corporate social responsibility (CSR), which 633 634 influences not only what is supplied by farmers, but how it is produced (Schulze et al., 2019). This retailer CSR has already been demonstrated elsewhere in Europe, particularly in 635 relation to animal welfare standards (Maciel and Bock, 2013; Richards et al., 2013; 636 Christensen et al., 2019; Vogeler, 2019). However, this has not been extensively elaborated 637 in the literature in relation to infectious animal disease control, and specifically Johne's 638 639 disease. Nonetheless, there are models of how non-regulatory industry partnership bodies such as Animal Health Ireland can have significant impact in raising health standards in the 640 livestock sector for issues such as Johne's disease, mastitis and bovine viral diarrhoea (BVD) 641 642 (More et al., 2011). It is also significant that a full supply chain collaborative approach to reducing infection pressure and food contamination has been advocated for Campylobacter 643

spp. control in chickens in the UK, where retailers and processors worked in partnership
with primary producers to seek to reduce the incidence of meat contamination and food
poisoning (ACMSF, 2019).

The results of this study must be taken with some degree of caution. Given the different 647 aims and approaches of qualitative research (May, 2018), the sampling strategy was 648 deliberately purposive and non-random. The sample of 17 farmers and seven veterinarians 649 650 represents a very small percentage of the total national population of dairy farmers and 651 farm veterinarians. But assured by the recurring themes which were raised across the interviews in both study areas, the findings are nonetheless likely to be indicative of what 652 other farmers and veterinarians in England may believe about the drivers for Johne's control. 653 654 The overlapping themes from previous qualitative research in Ireland and Canada also provide support for the validity of these results. This research illustrates the benefits of a 655 656 qualitative interview approach to contextualize disease control efforts at farm level, with important insights which can inform future local, national and international efforts to tackle 657 this increasingly important endemic cattle disease. 658

59 5. Conclusions

Johne's disease control in dairy herds in England is not just about the trust that farmers have in the veterinary disease control advice of their veterinarians and whether it spurs action, or the relative economic merits of improving dairy herd health at the individual farm level. Rather, Johne's control drivers would appear to be strongly influenced by wider industry concerns focused on the health implications of a possible causal association between MAP infection and Crohn's disease in humans mediated through dairy cattle or dairy products. The findings illustrate the benefits of considering the wider political

667	economy and potential societal impact of animal disease, and how these dimensions can
668	also influence motivations for disease control, as argued in the introduction to this paper.
669	The study raises interesting questions about the relationships between the roles and
670	responsibilities for the control of endemic disease in food animals, food safety, and public
671	and private goods in a global marketplace, and whether non-regulatory approaches by
672	commercial private sector organisations are as effective, or even more effective, in raising
673	animal health standards compared to statutory regulation. The relative socioeconomic and
674	political merits of statutory, public-private partnerships, or privately funded animal health
675	initiatives for endemic livestock diseases is an area which deserves further interdisciplinary
676	research attention within the fields of veterinary epidemiology and animal health economics.
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004	conducting and transcribing a proportion of the interviews.
685	conducting and transcribing a proportion of the interviews.

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