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1 **A more granular view of neutrophils in malaria**

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14

15 **Abstract**

16 Neutrophils are abundant innate immune cells with crucial roles in immunity and

17 vascular inflammation. Recent evidence indicates that neutrophils have a dual role in

18 malaria, contributing to both pathogenesis and control of *Plasmodium*. We discuss

19 emerging mechanisms behind these opposing functions and identify key outstanding

20 questions.

21

22

23 **Hiding in plain sight**

24 Neutrophils, also known as polymorphonuclear granulocytes, account for up to
25 70% of all blood leukocytes. They are essential for defense against pathogens; a
26 severely reduced neutrophil count (neutropenia) results in elevated susceptibility to
27 bacterial and fungal infections. Despite their importance in immunity, until recently
28 neutrophils have been relatively neglected in studies of malaria. This is surprising
29 considering that the asexual, disease-causing forms of *Plasmodium* also circulate in
30 blood, where they invade erythrocytes and achieve high densities that match or exceed
31 those of their granular neighbours. Moreover, neutrophil numbers often (but not
32 always) rise in malaria patients [1], in contrast to circulating lymphocytes, which
33 decrease during *P. falciparum* infections.

34 Why this relative dearth of information on neutrophil responses in
35 malaria? Neutrophil research is impeded by technical challenges, including their short
36 life span (12-hour half-life in culture) and lack of viable cell preservation methods.
37 Furthermore, neutrophils do not separate with PBMCs in common white blood cell
38 isolation protocols. Thus, work with neutrophils is particularly unsuited to the
39 challenges of field work in malaria endemic countries. Common mouse models of
40 malaria pathogenesis, on the other hand, are heavily T-cell driven, which may obscure
41 the neutrophil contribution.

42 In spite of these challenges, there is increasing evidence that neutrophils might
43 play important roles in malaria. Recent studies in humans and mouse models have
44 indicated that different neutrophil defense mechanisms might determine the balance
45 between pathogenesis and protection [2-4], and have highlighted the need for a more
46 granular view of neutrophil responses in malaria.

47

48 **Too much of a good thing?**

49 Neutrophil granules contain potent antimicrobial proteins that efficiently kill pathogens
50 (Box 1). However, these molecules are also inflammatory and cytotoxic to host tissues.
51 Their indiscriminate release can harm the host, particularly when this occurs
52 systemically. Yet recent evidence indicates that this is precisely what occurs in severe
53 malaria, with frequent detection of elevated plasma levels of granule proteins [5] and
54 the neutrophil chemokine IL-8 [6]. Neutrophil activation is also reflected in a blood
55 transcriptional signature showing neutrophil transcripts to be associated with severe
56 disease [7]. NETs are selectively detected in the neurovasculature of fatal pediatric
57 cerebral malaria patients (using retinal tissue), co-localized with iRBCs, suggesting a
58 role in sequestration-driven pathology. In the *P. chabaudi* mouse model, NETs are
59 triggered by extracellular heme (released as a result of RBC destruction) and cleavage
60 of NETs by plasma DNase 1 releases immunostimulatory molecules that drive
61 endothelial activation and facilitate organ sequestration of iRBCs [2]. NET release, and
62 possibly degranulation, are therefore implicated in key pathogenic processes in severe
63 malaria.

64

65 **Protective instincts**

66 Whilst NETosis and degranulation are implicated in the immunopathology of malaria,
67 these defense mechanisms may not be exclusively harmful. Amongst the myriad of
68 granule proteins, some may have antiparasitic properties (although identifying them is
69 a challenge), and it remains to be determined whether the extensive degranulation
70 seen in severe malaria is an appropriate or dysfunctional response to very high

71 parasite load. By using a mathematical modelling approach to estimate the extent of
72 parasite growth inhibition in naturally occurring malaria in Gambian children, several
73 neutrophil proteins were identified as correlates of protection, distinct from those
74 associated with severity [8]. Inter-individual variation causing higher expression of
75 cathepsin G and matrix metalloproteinase 9 (*MMP9*) enhanced the overall antiparasitic
76 response. *In vitro* experiments suggested that MMP-9 acted as a classical
77 antimicrobial protein, targeting parasites directly, but surprisingly cathepsin G did not.
78 Rather than acting against the parasites, cathepsin G appeared to mediate defense by
79 cleaving the red cell surface molecules necessary for parasite invasion, essentially
80 removing the handle from the door [8]. Interestingly, whilst the majority of receptors for
81 *P. falciparum* invasion receptors were cleaved in a dose-dependent manner, the lack
82 of cleavage of CD55 (decay accelerating factor, which is also needed to prevent red
83 cell destruction by complement) suggests a degree of specificity. Further work will be
84 needed to confirm that these mechanisms are truly relevant *in vivo*, in the presence of
85 naturally occurring protease inhibitors. We believe it is likely that other neutrophil
86 proteases could produce similar effects and may also have antimalarial activity, but
87 discriminating their individual contributions to control of parasite load will be a big task.
88 It remains unclear to what extent phagocytosis by neutrophils controls *Plasmodium*
89 proliferation. *In vitro*, neutrophils can phagocytose free merozoites, gametocytes or
90 entire infected red blood cells (iRBCs) [1]. In patient blood smears, neutrophil
91 internalization of parasites can sometimes be seen [9], although this is rarely the case
92 and has not been systematically analyzed (more data is available for hemozoin). More
93 relevant to suppression of *Plasmodium* growth may be antibody-dependent
94 phagocytosis, which requires immunoglobulin opsonization of parasites. This
95 mechanism relies on infection-induced antibodies and complement [10] and therefore

96 wouldn't be expected in primary infections or acute infection of naïve mice. Phagocytic
97 killing of *Plasmodium* may thus depend on chronicity and previous exposure.

98

99 **Not all made equal**

100 The variation in granule protein expression represents a subtle heterogeneity in
101 neutrophils between individuals, but greater heterogeneity of neutrophil function within
102 individuals has also been shown. During malaria, a population of neutrophils with
103 reduced oxidative burst capacity is seen in the circulation, and persists for up to 8
104 weeks after infection [11], far longer than the short lifespan of individual neutrophils.
105 This subset of neutrophils was evident in bone marrow in malaria infected mice, and
106 appeared to be dependent on the induction of heme oxygenase-1 in granulocyte
107 progenitors, and increased mobilization of these neutrophils into the circulation as a
108 consequence of malaria-induced hemolysis. The production of neutrophils with
109 reduced capacity to generate reactive oxygen species may be an adaptive response
110 to malaria, because cell-free heme is a potent stimulus for oxidative tissue damage
111 and organ pathology. Other malaria-induced changes in neutrophil function have also
112 been observed in humans and mice, including the above mentioned enhanced
113 chemokine production, degranulation and NET release, but also reduced motility [4,
114 5]. Whether these also arise from changes in granulopoiesis, or are consequences of
115 activation of mature neutrophils, and whether these modifications enhance the ability
116 of neutrophils to control parasites, remains to be resolved. However, modifications of
117 neutrophil phenotype which are advantageous to survive malaria, may be
118 disadvantageous when multiple pathogens can infect a host simultaneously or
119 sequentially. The reduced oxidative burst capacity of neutrophils produced during

120 malaria decreases resistance to invasive *Salmonella* infection, providing a new niche
121 for these intracellular bacteria to replicate [12], and an explanation for the causal
122 association between malaria and non-Typhoid *Salmonella* bacteremia seen in Africa.

123

124 **Blame or tame? Neutrophils as therapeutic targets**

125 The emerging evidence that different neutrophil defense mechanisms may contribute
126 to pathogenesis and protection in malaria raises the intriguing possibility that the
127 balance between them might be therapeutically manipulated. To achieve this a more
128 granular understanding of neutrophil behavior and its heterogeneity in malaria will be
129 necessary. Despite the recent insights described above, there are many open
130 questions. Are neutrophil numbers and function important determinants of protection
131 or susceptibility to malaria? What determines the nature (NETs, degranulation, or
132 phagocytosis) and magnitude of the neutrophil response to malaria parasites? Where
133 do these responses predominantly occur - systemically, or in the microvasculature in
134 proximity to sequestered parasites? How do genetic and environmental factors, and
135 previous exposure to malaria, modify the neutrophil response to malaria? To what
136 extent are the neutrophil responses seen in human malaria recapitulated in animal
137 models? Can granulopoiesis be modified to constrain pathogenic neutrophil responses
138 and enhance protective ones? Or is it better to target the neutrophil effectors which
139 mediate harmful or protective responses? It is truly surprising that so much is unknown
140 about the role of most abundant leukocyte population in the circulation in one of the
141 world's most prevalent infections, but it seems like the time is right to start addressing
142 these questions.

143

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146 **References:**

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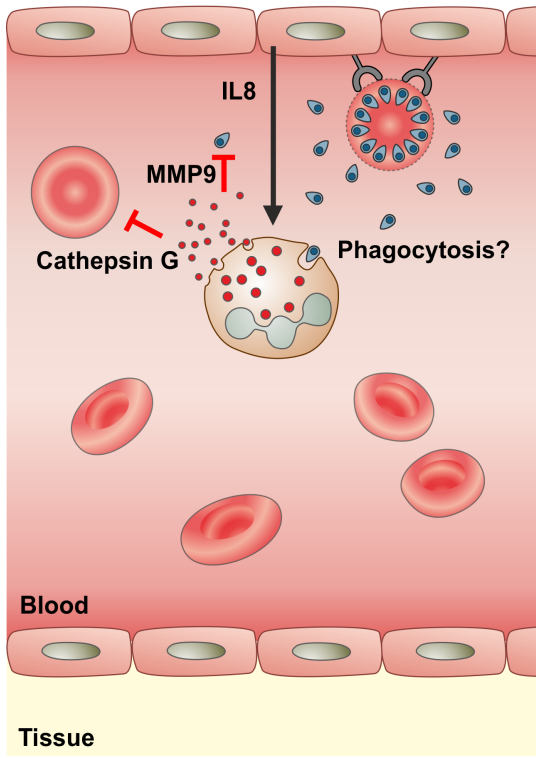
180 **Box 1: A dangerous cargo – neutrophil effector molecules**

181 Neutrophils excel at tracking down and destroying bacteria and fungi. They are the first
182 immune cells that respond to breaches at epithelial and mucosal barriers, where they
183 mobilise their impressive microbicidal armamentarium of proteases, reactive oxygen
184 species (ROS) producing enzymes and antimicrobial proteins. Most of the neutrophils'
185 antimicrobials are stored in granules - preformed cytoplasmic vesicles that can fuse
186 with phagolysosomes containing engulfed pathogens. Granule components can also
187 be released extracellularly by degranulation or formation of neutrophil extracellular
188 traps (NETs) - chromatin-based structures decorated with granule microbicidal
189 molecules, which are expelled via a regulated cell death pathway (Figure I). NETs trap
190 pathogens, prevent their dissemination and contribute to their killing [13].

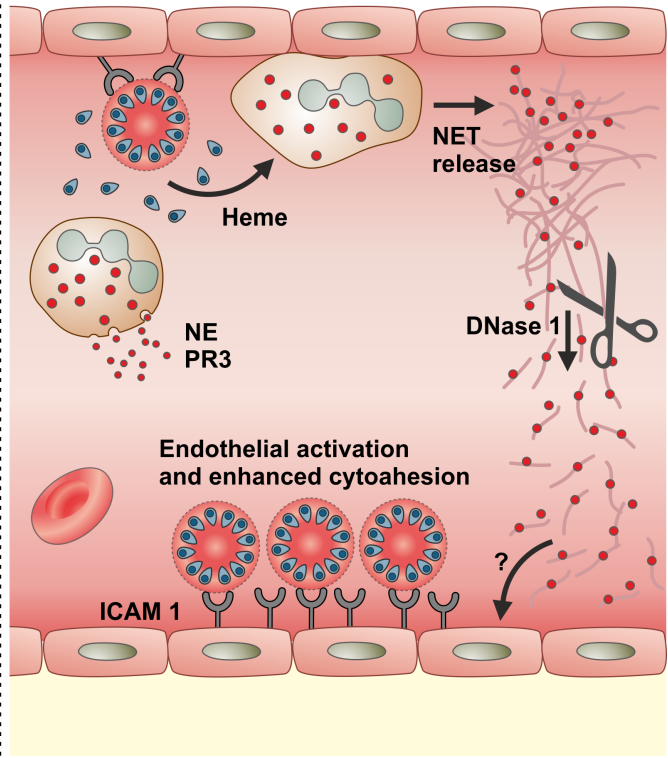
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192 **Figure I. Acute neutrophil responses in malaria** Left: Neutrophils are recruited by
193 the chemokine IL-8 and suppress *P. falciparum* replication by phagocytosis of iRBCs
194 and free merozoites and by release of granule proteins. Cathepsin G, released from
195 primary granules, cleaves RBC receptors required for merozoite invasion, while
196 MMP-9, released from secondary granules, has direct antiparasitic activity. Right:
197 severe malaria is associated with excess degranulation of the primary granule
198 proteases neutrophil elastase (NE) and proteinase 3 (PR3), as well as NET release
199 triggered by cell-free heme. NETs are cleaved into fragments by plasma DNase 1,
200 which leads to endothelial activation via an unknown mechanism. Upregulation of
201 ICAM1 on the activated endothelium enhances sequestration of iRBCs and
202 contributes to pathology. It is currently unknown if NETs are exclusively detrimental
203 in malaria or whether they may also have protective effects at other stages of the
204 disease.

Protective



Detrimental



205