



Di Barba, P., Liu, B., Mognaschi, M. E., Venini, P. and Wiak, S. (2017) Multiphysics field analysis and evolutionary optimization: design of an electro-thermo-elastic microactuator. *International Journal of Applied Electromagnetics and Mechanics*, 54(3), pp. 433-448.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

<http://eprints.gla.ac.uk/209566/>

Deposited on: 13 February 2020

Enlighten – Research publications by members of the University of Glasgow  
<http://eprints.gla.ac.uk>

# Multiphysics field analysis and evolutionary optimization: design of an electro-thermo-elastic microactuator

Paolo Di Barba<sup>\*</sup>, Bo Liu<sup>\*\*</sup>, Maria Evelina Mognaschi<sup>\*</sup>, Paolo Venini<sup>#</sup>, Slawomir Wiak<sup>^</sup>

*<sup>\*</sup>Dept of Electrical, Computer and Biomedical Engineering, University of Pavia, Pavia, Italy*

*<sup>\*\*</sup>Dept. of Computing, Glyndwr University, Wrexham, United Kingdom*

*<sup>#</sup>Dept of Civil Engineering and Architecture, University of Pavia, Pavia, Italy*

*<sup>^</sup>Institute of Mechatronics and Information Systems, Łódź University of Technology, Łódź, Poland*

## ctro-thermo-elastic microactuator

Paolo Di Barba<sup>\*</sup>, Bo Liu<sup>\*\*</sup>, Maria Evelina Mognaschi<sup>\*</sup>, Paolo Venini<sup>#</sup>, Slawomir Wiak<sup>^</sup>

*<sup>\*</sup>Dept of Electrical, Computer and Biomedical Engineering, University of Pavia, Pavia, Italy*

*<sup>\*\*</sup>Dept. of Computing, Glyndwr University, Wrexham, United Kingdom*

*<sup>#</sup>Dept of Civil Engineering and Architecture, University of Pavia, Pavia, Italy*

*<sup>^</sup>Institute of Mechatronics and Information Systems, Łódź University of Technology, Łódź, Poland*

Corresponding Author:

Maria Evelina Mognaschi

Dept of Electrical, Computer and Biomedical Engineering

University of Pavia

via Ferrata 5

27100 Pavia

Italy

e-mail: eve.mognaschi@unipv.it

phone: +39 0382985785

fax: +39 0382 422276

**Abstract.** In the paper, the optimization of an electro-thermo-elastic microactuator is proposed. In particular, the maximum temperature of the actuator is to be minimized, while the total displacement is to be maximized. For solving this problem, the Adaptive Gaussian Process-Assisted Differential Evolution AGDEMO method is applied.

**Keywords:** Electro-thermo-elastic microactuator, multiphysics, finite-element analysis, efficient multiobjective design optimization.

## 1 Introduction

The never-ending advance of technology at both the micrometer and the nanometer scales asks for the development of powerful and flexible modelling tools in order to help the design process of integrated devices and systems. In fact, design equations based on lumped-parameter models, like e.g. circuit models, are only able to size the device taking its main effect into account. However, a more accurate design cannot omit secondary effects like e.g. 3D effects and non-linear material properties; this generally implies the use of distributed-parameter models like field models. This is fortiori true when multiple physical domains coexist in the same device, giving rise to non-linear 3D coupled field models in three dimensions. The issue of computational cost, traditionally opposing lumped parameters against distributed parameters, is nowadays somehow obsolete, considering the broad availability of low-cost solid-state memories as well as multi-core processors. Moreover, real-life design models often incorporate various design criteria in mutual conflict which should be simultaneously minimized. Moving from this background, a contribution to multiobjective shape design based on coupled-field models is proposed here.

In the literature, interesting references can be found. For instance, in [1] the design optimization of an electromagnetic valve actuator is proposed, and a suitable combination of three design criteria is exploited. In turn, in [2] a shape optimization of comb drive electrostatic actuators, in order to achieve prescribed driving force profiles, is proposed. In [3] the magnetic field in a permanent-magnet spherical motor at no-load is recovered, after inverting the magnetic induction measured along an accessible surface; the final aim is to compute the on-load torque by means of the Lorentz's law.

For solving the analysis problem, a multiphysics field analysis becomes more and more important for modelling the behaviour of an industrial device, like e.g. in [4] and [5], or a MEMS device like e.g. [6]. Commercial software for solving this kind of problems are available, like e.g. Comsol Multiphysics[7].

In the last few years, automated optimization procedures for MEMS devices, based on stochastic optimization algorithms, have been proposed. In particular, the optimal design of a class of MEMS has been solved successfully with both evolutionary algorithms like e.g. in [8] and [9] and cooperative algorithms like e.g. the biogeography-based optimization algorithm as shown in [10] and [11] or the wind-driven optimization as shown in [12].

In the paper, evolutionary algorithms are linked with multiphysics finite-element analysis for approximating the Pareto front underlying the design problem: the case study is the optimal shape design of an electro-thermo-elastic microactuator.

The focused electro-thermo-elastic microactuator can be used in various systems, each of which may have different specifications on maximum temperature and displacement. Therefore, understanding the optimal trade-off relation of the microactuator design is important to support bespoke design for system integration. A natural way to achieve this is to approximate the Pareto front of the microactuator by multiobjective optimization methods. However, this is not trivial. A major challenge is that the performance analysis of candidate designs has to be done by numerical simulations, which are computationally expensive. In particular, the FEA model built by COMSOL Multiphysics costs from 10 minutes to more than 1 hour per simulation for the targeted microactuator. Embedding the FEA model to existing multiobjective optimization methods may cost prohibitive optimization time. A routine method to address this issue is to employ surrogate modelling. A surrogate model is a computationally cheap mathematical approximation model aiming to estimate the output of the numerical simulation, which is often constructed by statistical learning techniques [13]. When collaborating surrogate modelling with the optimization, some computationally expensive numerical simulations can be replaced by cheap surrogate model predictions, the optimization time is therefore reduced.

There are two kinds of available surrogate-based optimization methods trying to solve this problem: (1) surrogate model-assisted multiobjective evolutionary algorithms (SA-MOEA) [14]

and [15],(2) off-line surrogate model-based methods, which have been applied to MEMS design exploration [16].

Unfortunately, both of the above methods are difficult to solve the targeted problem. SA-MOEA is an emerging area in the computational intelligence field and to the best of our knowledge, there is no matured method although there is exciting progress. Most available SA-MOEA can be classified into: (1) Methods simulating “Pareto-optimal” solutions predicted by the current surrogate model, although its quality may not be good enough [14,15]; they are efficient but the generated solutions still have some distance to the true Pareto-front. (2) Methods using standard EAs for certain generations so as to build a good quality global surrogate model, and then starting to use surrogate models[17]; they can obtain near true Pareto-front solutions but the computing overhead is still not affordable for the targeted microactuator due to the generations using standard EAs. In addition, the targeted microactuator design exploration involves constraints, which are seldom involved by available SA-MOEA. Off-line surrogate model-based methods first build a high-quality surrogate model using one-shot sampling. In the optimization, the numerical simulations will (mostly) be replaced by surrogate model prediction. Clearly, to obtain an accurate surrogate model, a sufficient number of samples are needed, which is determined by the volume of design space. In our microactuator, although there are 4 design variables (see Fig. 1), the ranges ( $L$ ,  $d_w$ ) are quite large. A reasonable sampling (e.g., 2  $\mu\text{m}$  grid for all design variables, 5 $\mu\text{m}$  grid for  $L$  and 2  $\mu\text{m}$  grid for other design variables) needs around 5,000 to 10,000 simulations, which are not affordable.

On the other hand, there are several high-performance surrogate model-assisted single objective evolutionary algorithms (SA-SOEA) [18] and [19]. A new framework, called surrogate model-aware evolutionary search framework is proposed in [19] and comparisons show up to 8 times speed improvement with comparable or better solution qualities with several popular SA-SOEA based on more than 10 mathematical benchmark problems. The central idea of that framework is to improve the locations of training data points in order to construct high-quality

surrogate models using fewer training data points (i.e. fewer simulations) [19, 20, 21]. Its application in MEMS design problems leads to more than 10 times speed improvement compared to standard EAs (wall clock time) [19]. Taking advantage of employing AGDEMO, a new framework is proposed to address the targeted microactuator.

## **2 The electro-thermo-elastic microactuator**

In the early times of MEMS technology, the main actuation principle relied on electrostatic field [2], which is still moderately used. More recently, however, the techniques of actuation most performing in terms of position control fall in three categories: piezoelectric, thermal or magnetic actuation, respectively [3] and [8]. In the paper, reference is made to a thermally actuated device because it is a clear example of a multiphysics domain which asks for a coupled-field model.

In particular, a electro-thermo-elastic microactuator is considered. This kind of microactuators can be applied in many different fields e.g. in civil engineering for monitoring the building deterioration and movements due to earthquakes, in electrical and electronics engineering for microrobotics, microrelays, microfluidics as well as in biomedical engineering for robotic surgery and miniature medical instrumentation.

Because these fields of application need very well performing devices, there is the need to optimize such kind of devices. In particular, the aspects to be improved have to be chosen, depending on the application. Usually, in the design of MEMS circuit models or lumped-parameter models of devices are preferred to field models. This is certainly convenient in view of a preliminary design, like, e.g., in the prototyping stage; however, design optimization is recommended to be based on field or distributed-parameter models, in order to take into account secondary effects in the device behavior. In this area of industrial products, searching for a marginal improvement of the design of a class of devices is an effective way to innovate, which brings also the benefit of reducing the cost of prototyping.

The device under study, shown in Fig. 1, has length  $L$  and width  $h$ . An electric voltage is applied between two electrodes A and B; therefore, an electric current  $I$  flows in two out of three arms of the device (hot arms), while the third arm is current free (cold arm), as shown in Fig. 2.

The actuator is fixed to a substrate at the three arm ends, while three cylindrical bushings act on the cold arm, in order to make it rotate in the  $xy$ -plane (Fig. 2). The deformation due to the overheating of the hot arms with respect to the cold one is responsible for the rotation of the actuator.

### 3 Analysis problem

A parametric finite-element model of the device has been developed; a typical mesh used, composed of about 8,000 three-dimensional elements, is shown in Fig. 3.

The material properties used in the model are listed in Table I.

The following equations, which are coupled at the right-hand side level, are subsequently solved:

$$\mathbf{J} = \sigma \mathbf{E} = -\sigma \nabla V$$

(1)

$$Q = \mathbf{J} \cdot \mathbf{E} = -\nabla \cdot (k \nabla T)$$

(2)

$$\alpha(T - T_{\text{ref}}) = \boldsymbol{\varepsilon}^{\text{Th}} \quad (3)$$

where  $\mathbf{J}$  is the current density,  $\mathbf{E}$  the electric field,  $Q$  the heat due to Joule-effect,  $T$  the temperature and  $h$  the convection coefficient which is different in the upper and in the lower surface,  $\boldsymbol{\varepsilon}^{\text{Th}}$  the thermal part of the total strain.

The following boundary conditions are applied.

Conduction current problem

$$V_A = \text{const}, V_B = 0 \quad (4)$$



$$\mathbf{n} \cdot \mathbf{J} = 0 \quad (5)$$

elsewhere.

Thermal problem

$$T = 293.15 \text{ K} \quad (6)$$

at the simple supports and at the hinges

$$-\mathbf{n} \cdot (k \nabla T) = h(T_{ext} - T) \quad (7)$$

elsewhere.

Mechanical problem

$$\mathbf{u} \cdot \mathbf{n} = 0 \quad (8)$$

at the simple supports,

$$\mathbf{u} = 0 \quad (9)$$

at the hinges.

The three problems are coupled via the thermal heat  $Q$  (electric and thermal problems) and via the temperature  $T$  (thermal and elastic problems). Because non-linearities of material parameters are not taken into account, it is possible to solve the three problems subsequently. Therefore, a weakly-coupled analysis problem is dealt with; to solve it, a cascade algorithm like e.g. the successive substitution algorithm can be applied.

In general, in this kind of multiphysics problems the main sources of non-linearity depend on the electric and thermal properties of materials against temperature; in particular both

electrical conductivity  $\sigma$  and thermal conductivity  $k$  are temperature dependent [4] and [5].

If the non-linearities are taken into account the problem to be solved is fully-coupled and this means a substantial increase of computational time. For the sake of an example, if the electrical conductivity is modelled as a temperature dependent function, the electrical and thermal problems have to be solved according to a nested loop: in fact, the electrical problem will give rise to a heating of the device, which, in turn, will modify the electrical conductivity, eventually changing the electrical solution and consequently the temperature and so on.

For the considered device, because the electrical power is low, approximately 10 mW, the variation range of the temperature is few hundreds K, so the electrical and thermal conductivities of the silicon have been assumed to be constant, referring to the average operating temperature. Also for the elastic problem, thanks to the assumption of small displacements, it is reasonable that the material properties are considered to be linear.

Under the hypothesis of neglecting self-weight and inertial forces, the continuum mechanics subproblem consists in finding the current second Piola-Kirchhoff stress tensor  $\mathbf{S}$ , the Green-Lagrange strain tensor  $\boldsymbol{\varepsilon}$  and the displacement vector  $\mathbf{u}$  under the action of a given temperature field, say  $\mathbf{T}$ , that translates into an assigned inelastic strain  $\boldsymbol{\varepsilon}^T$ . An isotropic hyperelastic material of Mooney-Rivlin type is considered. If now  $\mathbf{X}$  and  $\mathbf{x}$  are respectively used to indicate the initial and current location of a given particle so that  $\mathbf{x} = \mathbf{x}(\mathbf{X}, t)$  gives the location at time  $t$  of a particle with material coordinates  $\mathbf{X}$ , upon introduction of the deformation gradient

$$\mathbf{F} = \frac{\partial \mathbf{x}}{\partial \mathbf{X}} = \nabla \mathbf{u} + \mathbf{I} \quad (10)$$

and of the right Cauchy-Green strain tensor  $\mathbf{C}$  that reads

$$\mathbf{C} = \mathbf{F}^T \mathbf{F} \quad (11)$$

one may define the Green-Lagrange strain tensor that is dual in the virtual work sense of the second Piola-Kirchhoff stress  $\mathbf{S}$  and reads

$$\boldsymbol{\varepsilon} = \frac{1}{2}(\mathbf{C} - \mathbf{I}) \quad (12)$$

that plays a crucial role within the Comsol programming environment that has been used for the purpose of finding the optimal solution. The problem is in fact solved resorting to a Total Lagrangian Formulation that is briefly recalled next for completeness sake following the classical contributions [23] and [24]. The basic equation to be solved writes

$$\int_{\mathbf{V}^0} {}^{t+\Delta t} \mathbf{S}_{ij} \delta {}^{t+\Delta t} \varepsilon_{ij} \mathbf{d}^0 \mathbf{V} = {}^{t+\Delta t} \mathbf{R} \quad (13)$$

where the external virtual work  ${}^{t+\Delta t} \mathbf{R}$  may be written as

$${}^{t+\Delta t} \mathbf{R} = \int_{\mathbf{V}^{t+\Delta t}} {}^{t+\Delta t} \mathbf{f}_i^B \delta \mathbf{u}_i \mathbf{d} {}^{t+\Delta t} \mathbf{V} + \int_{\mathbf{S}^{t+\Delta t}} {}^{t+\Delta t} \mathbf{f}_i^S \delta \mathbf{u}_i \mathbf{d} {}^{t+\Delta t} \mathbf{S} \quad (14)$$

and

${}^{t+\Delta t} \mathbf{f}_i^B$  are the external volume forces at time  $t+\Delta t$

${}^{t+\Delta t} \mathbf{f}_i^S$  are the external surface tractions at time  $t+\Delta t$

${}^{t+\Delta t} \mathbf{V}_f$  is the volume at time  $t+\Delta t$

${}^{t+\Delta t} \mathbf{S}_f$  is the surface at time  $t+\Delta t$

$\delta \mathbf{u}_i$  are the virtual displacements at time  $t+\Delta t$ .

One should notice that the time variable  $t$  is merely used to order events whereas inertial effects are not accounted for as already mentioned. After some algebra, the linearized governing equations may be shown to be

$$\int_{\mathbf{V}} {}_0 \mathbf{C}_{ijrs} \mathbf{e}_{rs} \delta \mathbf{e}_{ij} d^0 \mathbf{V} + \int_{\mathbf{V}} {}_0 \mathbf{S}_{ij} \delta {}_0 \boldsymbol{\eta}_{ij} d^0 \mathbf{V} = {}^{t+\Delta t} \mathbf{R} - \int_{\mathbf{V}} {}_0 \mathbf{S}_{ij} \delta {}_0 \mathbf{e}_{ij} d^0 \mathbf{V} \quad (15)$$

where the linear and nonlinear incremental strains have been respectively defined as

$${}_0 \mathbf{e}_{ij} = \frac{1}{2} \left( {}_0 \mathbf{u}_{i,j} + {}_0 \mathbf{u}_{j,i} + {}_0 \mathbf{u}_{k,i} \mathbf{u}_{k,j} + {}_0 \mathbf{u}_{k,i} {}_0 \mathbf{u}_{k,j} \right)$$

$${}_0 \boldsymbol{\eta}_{ij} = \frac{1}{2} {}_0 \mathbf{u}_{k,i} {}_0 \mathbf{u}_{k,j} \quad (16)$$

It is important to note that Equation (15) is linear in the incremental displacements since  $\delta {}_0 \mathbf{e}_{ij}$  is independent of  $\mathbf{u}_i$ .

For solving the whole forward problem it takes about 5 minutes on an Intel i7, 3.6 GHz, equipped with 16 GB of RAM.

#### 4 Design Exploration of the Microactuator

The inverse problem reads as follows: acting on the design variables (see Fig. 1)

L length of the actuator  
 hh thickness of the actuator  
 dw width of the cold arm  
 d width of the hot arms

Find the minimum of

$$f = \left[ \beta \frac{T_{\max}}{\gamma} - (1-\beta) \frac{|u|}{\delta} \right]_{V=V_{\min}} + \left[ \beta \frac{T_{\max}}{\gamma} - (1-\beta) \frac{|u|}{\delta} \right]_{V=V_{\max}} \quad (17)$$

with  $V_{\min}=1$  V,  $V_{\max}=5$  V,  $\gamma=900$  K,  $\delta=1.9 \cdot 10^{-6}$  m,  $0<\beta<1$ , subject to the constraints:

- Geometrical congruency ( $dw>2d$ )

- $T_{\max} < 1500 \text{ K}$
- $\text{Stress} < 1.44 \text{ GPa}$

The boundaries of the design variables are  $L$  [56 - 300]  $\mu\text{m}$ ,  $hh$  [2 - 5]  $\mu\text{m}$ ,  $dw$  [7 - 30]  $\mu\text{m}$ ,  $d$  [1 - 7]  $\mu\text{m}$ .

The objective function (17) allows to minimize the maximum temperature  $T_{\max}$ , normalized by  $\gamma$ , and, at the same time, maximize the displacement  $u$ , normalized by  $\delta$ . The minimization of the temperature is important for the device performance: the lower the overheating, the lower the material deterioration. On the other hand, a sizable displacement is needed, to guarantee a good operation of the device.

These two requirements are in conflict, because a high temperature allows for a high gradient of temperature and hence large displacement, on the contrary, if the temperature of the hot arm is low, a small displacement arises.

For solving the inverse problem, the new framework proposed, by applying the AGDEMO method, is described in Fig. 4.

A few clarifications are as follows: (1) Traditional multiobjective design exploration follows the idea of generating a complete Pareto front and directly selecting designs from it in system integration. This indicates a number of weight vectors, which largely increases the computational cost. In our framework, a few weight vectors are used to sparsely reflect the general shape of the Pareto front, providing an approximate understanding of the trade-off relation between  $T_{\max}$  and  $u$ . Based on this information, the designer is able to estimate suitable specifications (e.g.  $T_{\max} \in [400, 405] \text{K}$ ,  $\text{Stress} \in [0.22, 0.24] \text{GPa}$ ) in system integration. AGDEMO will be run again to obtain the bespoke design. As above said, AGDEMO is efficient. (2) Because the number of weight vectors is small, there is

a higher risk of missing some parts of the Pareto front since neighbouring weight vectors are difficult to compensate each other. A normalization is thus carried out.

#### *4.1 The AGDEMO Method*

This subsection provides a brief description of the AGDEMO method. More details are in [22]. The AGDEMO method is a Gaussian Process (GP) [24] surrogate model assisted evolutionary approach for MEMS optimization problems with field-dependent 3D analysis. It has the following performances: (1) Achieves comparable results with MEMS optimization methods which directly embed numerical simulations to a standard EA; (2) More than an order of speed improvement compared to standard EAs and off-line surrogate model-based methods for MEMS design; (3) General enough for various kinds of MEMS. AGDEMO works as shown in Fig. 5.

In terms of constraint handling, AGDEMO uses the penalty function method [28]. The penalized function is often a piecewise function due to the constraints, but a reasonably continuous and smooth hypersurface is important for generating high-quality surrogate models. To address this problem, a separate GP model is constructed for each performance, which is not piecewise. The penalized function value is then calculated by the predicted performance values. Experimental results verified this constraint handling method [19].

Following the parameter setting rules of [22], we use the following parameters for our implementation:  $\alpha=30$ ,  $\lambda=30$ ,  $\tau=8 \times d$  ( $d$  is the number of design variables, 4). The penalty coefficient is set to 50. The high optimization quality and large efficiency improvement come from the surrogate model-aware evolutionary search framework [19], which has been verified by intensive empirical tests. We also verified it in our pilot experiments and such comparisons will not be repeated here.

## 5 Results

Different optimizations are carried out by varying the value of  $\beta$ , i.e.  $\beta = 0$ ,  $\beta = 0.25$ ,  $\beta = 0.5$ ,  $\beta = 0.75$  and  $\beta = 1$ . The results are shown in Table II.

In Fig. 6 the history of the objective function obtained for different values of  $\beta$  are shown.

The constraints are fulfilled as shown in Table III.

By defining the objective function space defined by functions  $f_1$  and  $f_2$ ,

$$f_1 = \left[ |u| \right]_{V=V_{\min}} + \left[ |u| \right]_{V=V_{\max}} \quad (19)$$

$$f_2 = \left[ T_{\max} \right]_{V=V_{\min}} + \left[ T_{\max} \right]_{V=V_{\max}} \quad (20)$$

Fig. 7 is obtained, in which the optimization results are shown; a random sampling of this objective space is added.

The temperature and strain field maps of the optimal devices found with  $\beta = 0$  and  $\beta = 1$  (see Fig. 7) are shown in Fig. 8 and Fig. 9.

### 5.1 Results with normalized objective function

The results in Fig. 7 show that the AGDEMO method finds good solutions, seemingly, however, they tend to form a cluster. A new optimization is carried out considering the following function:

$$f = \left[ \beta \frac{T_{\max}}{|R_2 - U_2|} - (1 - \beta) \frac{|u|}{|R_1 - U_1|} \right]_{V=V_{\min}} + \left[ \beta \frac{T_{\max}}{|R_2 - U_2|} - (1 - \beta) \frac{|u|}{|R_1 - U_1|} \right]_{V=V_{\max}} \quad (21)$$

where  $(U_1, U_2)$  is the Utopia point, while  $(R_1, R_2)$  is the Nadir point calculated by considering the approximation of the Pareto front found by AGDEMO. In particular,  $U_1 = 2.24 \cdot 10^{-6}$  m,  $U_2 = 669$  K,  $R_1 = 1.68 \cdot 10^{-6}$  m,  $R_2 = 770$  K.

The results are shown in Table IV, V and Fig. 10.

The results obtained by the previous optimizations show that a long device is preferred. In fact, all the optimal microactuators are characterized by the maximum value of the length  $L$  (300  $\mu\text{m}$ ).

The width of the hot arms and the thickness of the actuator are related to the electrical resistance between hinges A and B: decreasing  $hh$  and  $d$  means increasing the electrical resistance. Consequently, the current flowing in the hot arms decreases and the Joule losses decreases too. In fact, the points in Fig. 11 with lower temperatures (green and black triangles and green square) are characterized by the minimum values of  $hh$  and  $d$  (2  $\mu\text{m}$  and 1  $\mu\text{m}$ , respectively).

## 5.2 Sensitivity analysis

In view of a robust design, a sensitivity analysis has been carried out.

In particular, two sensitivity parameters have been considered for each objective function

$$s = \frac{f^+ - f^-}{2\delta} \quad (22)$$

$$\Delta f = \frac{f_i^+ - f_i^-}{f_i} \quad (23)$$

where  $\delta=2 \cdot 10^{-8}$  m is the perturbation step,  $f^+$  and  $f^-$  are the objective functions evaluated by varying each variable of the  $\delta$  quantity ( $f^+$  is evaluated by adding  $\delta$  and  $f^-$  by subtracting it), and  $f_i$  is the objective function value found by the optimization procedure.

The results about the  $s$  parameter are shown in Tables VI, VII and VIII, while those related to the  $\Delta f$  parameter are shown in Tables IX, X and XI, for the three objective functions.



The tables VI-XI could be very useful in view of a practical construction of this device. In particular, they show that, in view of a robust design, the most important design variables are  $h$  and  $d$ , hence the thickness of the whole actuator and the width of the hot arms.

## **6 Conclusions and ongoing extensions**

A multiphysics model coupled with an evolutionary algorithm for the multi-objective optimization of structures has been proposed with the aim of finding the optimal shape of an electro-thermo-elastic actuator. Coupling between electric, thermal and structural fields is assumed in such a way that a cascade of subproblems are set up and solved. The electric problem is solved first that originates a temperature field. The temperature field is in turn used to generate an imposed strain field that represents the forcing term of the continuum mechanics subproblem. A few multi-objective optimal problems are considered that approximate the Pareto optimal points with reference to two distinct objectives that depend on the displacements and on the temperature, the design variables being of geometric type and namely actuator thickness, length and width. Furthermore, a peculiar sensitivity analysis method has been proposed to ensure the robustness of the optimal design allowing to assess the design variables with respect to which the design happens to be most sensitive.

The application of more complex multiphysics models characterized by a stronger coupling as well as the inclusion of material nonlinearities are among the extensions that are currently under investigation and development. The achievement of such further goals would open the way to the last stage of the research that is expected to include the actual manufacture and test of a prototypical specimen.

## Appendix

### A.A Brief Description to Gaussian Process Surrogate Modeling

To model an unknown function  $y = f(x), x \in \mathbb{R}^d$ , GP modeling assumes that  $f(x)$  at any point  $x$  is a Gaussian distributed stochastic variable with mean  $\mu$ , and variance  $\sigma$ , where  $\mu$  and  $\sigma$  are two constants independent of  $x$ . For any  $x$ ,  $f(x)$  is a sample of  $\mu + \varepsilon(x)$  where  $\varepsilon(x) \approx N(0, \sigma^2)$ . By maximizing the likelihood function that  $f(x) = y^i$  at  $x = x^i, i = 1, \dots, k$  (where  $x^1, \dots, x^k \in \mathbb{R}^d$  and their function values  $y^1, \dots, y^k$  are  $k$  training data points and best linear unbiased prediction:

$$\hat{f}(x) = \hat{\mu} + r^T C^{-1} (y - 1\hat{\mu}) \quad (24)$$

the mean squared error is:

$$s^2(x) = \hat{\sigma}^2 \left[ 1 - r^T C^{-1} r + \frac{(1 - 1^T C^{-1} r)^2}{1^T C^{-1} r} \right] \quad (25)$$

where  $r = [c(x, x^1), \dots, c(x, x^k)]^T$ .  $C$  is a  $K \times K$  matrix whose  $(i, j)$ -element is  $c(x^i, x^j)$ .  $c(x^i, x^j)$  is the correlation function between  $x^i$  and  $x^j$ .  $1$  is a  $K$ -dimensional column vector of ones.

### B. A Brief Description to Differential Evolution Algorithm

Differential Evolution is a popular population-based metaheuristic algorithm for continuous optimization. Suppose that  $P$  is a population and the best individual in  $P$  is  $x^{\text{best}}$ . Let  $x_1, \dots, x_d \in \mathbb{R}^d$  be an individual solution in  $P$ . To generate a child solution  $u_1, \dots, u_d$  for  $x$ , DE works as follows.

A donor vector is first produced by mutation (DE/current-to-best/1):

$$v_i = x^i + F \cdot (x^{\text{best}} - x^i) + F \cdot (x^{r_1} - x^{r_2}) \quad (26)$$

where  $x^{r_1}$  and  $x^{r_2}$  are two different solutions randomly selected from P and also different from  $x^{\text{best}}$  and  $x^i$ .  $F \in (0,2]$  is the scaling factor. Then the following crossover operator is applied to produce the child  $u$

1. Randomly select a variable index  $j_{\text{rand}} \in 1, \dots, d$
2. For each  $j = 1, \dots, d$  generate a uniformly distributed random number  $\text{rand}$  from (0,1) and set:

$$u_j = \begin{cases} v_j, & \text{if } (\text{rand} \leq \text{CR}) \mid j = j_{\text{rand}} \\ x_j, & \text{otherwise} \end{cases} \quad (27)$$

where  $\text{CR} \in [0,1]$  is a constant called the crossover rate. More details can be found in [22].

## References

- [1] B. Delinchant, H. L. Rakotoarison, V. Ardon, O. Chabedec, O. Cugat, Gradient based optimization of semi-numerical models with symbolic sensitivity: Application to simple ferromagnetic MEMS switch device, *IJAEM*, **30** (2009), 189-200.
- [2] T. Kotani, T. Yamada, S. Yamasaki, M. Ohkado, K. Izui, S. Nishiwaki, Driving force profile design in comb drive electrostatic actuators using a level set-based shape optimization method, *Structural and Multidisciplinary Optimization*, **51**(2) (2015), 369-383.
- [3] M. Grossard, M. C. Rotinat-Libersa, N. Chaillet, M. Boukallel, Mechanical and control-oriented design of a monolithic piezoelectric microgripper using a new topological optimization method, *IEEE Trans. Mechatronics*, **14**(1) (2009), 32-45.
- [4] P. Di Barba, I. Dolezel, P. Karban, P. Kus, F. Mach, M.E. Mognaschi, A. Savini, Multiphysics field analysis and multiobjective design optimization: a benchmark problem, *Inverse Problems in Science and Engineering (IPSE)*, **22**(7) (2014).
- [5] P. Di Barba, I. Dolezel, M.E. Mognaschi, A. Savini, P. Karban, Non-linear multi-physics analysis and multi-objective optimization in electroheating applications, *IEEE Transactions on Magnetics*, **50**(2) (2014).
- [6] N. Aage, B.S. Lazarov, Parallel framework for topology optimization using the method of moving asymptotes, *Structural and Multidisciplinary Optimization*, **47**(4) (2013), 493-505.
- [7] Comsol.com, Comsol's Official Website. [online]. Available at <https://www.comsol.com/> [Accessed 11st July 2016].
- [8] P. Di Barba, S. Wiak, Evolutionary Computing and Optimal Design of MEMS, *IEEE Trans. on Mechatronics*, **20**(4) (2015), 1660-1667.
- [9] H. Zhu, P. Wang, Z. Fan, Evolutionary design optimization of MEMS: A brief review, In: IEEE

- International Conference on Industrial Technology (ICIT), (2010), 1683-1687.
- [10] P. Di Barba, F. Dughiero, M.E. Mognaschi, A. Savini, S. Wiak, Biogeography-Inspired Multiobjective Optimization and MEMS Design, *IEEE Transactions on Magnetics*, **52**(3) (2016).
- [11] P. Di Barba, M.E. Mognaschi, A. Savini, S. Wiak, Island biogeography as a paradigm for MEMS optimal design, *IJAEM*, **51**(s1) (2016), 97-105.
- [12] P. Di Barba, Multi-objective wind-driven optimisation and magnet design, *Electronics letters*, **52**(14) (2016), 1216-1218.
- [13] S. Koziel, L. Leifsson, *Surrogate-based modeling and optimization: Applications in Engineering*, Springer, 2013.
- [14] M. Emmerich, K. Giannakoglou, B. Naujoks, Single-and multiobjective evolutionary optimization assisted by Gaussian random field metamodells, *IEEE Transactions on Evolutionary Computation*, **10**(4) (2006), 421–439.
- [15] Q. Zhang, W. Liu, E. Tsang, Expensive multiobjective optimization by MOEA/D with Gaussian process model, *IEEE Transactions on Evolutionary Computation*, **14**(3) (2010), 456-474.
- [16] Y. Lee, Y. Park, F. Niu, D. Filipovic, Design and optimisation of one-port rfmems resonators and related integrated circuits using ANN based macromodelling approach, *IEE Proceedings-Circuits, Devices and Systems*, **153**(5) (2006), 480–488.
- [17] D. Lim, Y. Jin, Y. Ong, and B. Sendhoff, Generalizing surrogate assisted evolutionary computation, *IEEE Transactions on Evolutionary Computation*, **14**(3) (2010), 329–355.
- [18] J. Müller, C.A. Shoemaker, R. Piché, SO-MI: A surrogate model algorithm for computationally expensive nonlinear mixed-integer black-box global optimization problems, *Computers & Operations Research*, **40**(5) (2013), 1383-1400.
- [19] B. Liu, Q. Zhang, G. Gielen, A gaussian process surrogate model assisted evolutionary algorithm for medium scale expensive optimization problems, *IEEE Transactions on Evolutionary Computation*, **18**(2) (2014), 180–192.
- [20] B. Liu, D. Zhao, P. Reynaert, G.G.E. Gielen, Gaspad: A general and efficient mm-wave integrated circuit synthesis method based on surrogate model assisted evolutionary algorithm, *IEEE Transactions on Computer-Aided Design of Integrated Circuits and Systems* **33**(2), (2014) 169–182.
- [21] B. Liu, Q. Chen, Q. Zhang, G. Gielen, V. Grout, Behavioral study of the surrogate model-aware evolutionary search framework, in: *IEEE Congress on Evolutionary Computation*, 2014, pp. 715–722.
- [22] B. Liu, A. Nikolaeva, Efficient global optimization of MEMS based on surrogate model assisted evolutionary algorithm. In 2016 Design, Automation & Test in Europe Conference & Exhibition (DATE), 555-558.
- [23] K.J. Bathe, E. Ramm, E.L. Wilson, Finite Element Formulations for Large Deformation Dynamic Analysis, *International Journal for Numerical Methods in Engineering*, **9** (1975) 353-386.
- [24] K. J. Bathe, *Finite Element Procedures*, Prentice-Hall, 1996.
- [25] T. Santner, B. Williams, W. Notz, *The design and analysis of computer experiments*. Springer, 2003.
- [26] M. Stein, Large sample properties of simulations using latin hypercube sampling, *Technometrics*, (1987), 143–151.
- [27] R. Storn, K. Price, Differential evolution—a simple and efficient heuristic for global optimization over continuous spaces, *Journal of global optimization*, **11**(4) (1997), 341–359.
- [28] D. M. Himmelblau, *Applied nonlinear programming*. McGraw-Hill Companies, 1972.

Table I  
Material properties of the finite element model

Material property	Value
Electric conductivity $\sigma$ [ $\text{Sm}^{-1}$ ]	$5 \cdot 10^4$
Thermal conductivity $k$ [ $\text{Wm}^{-1}\text{K}^{-1}$ ]	34
Thermal expansion coefficient $\alpha$ [ $\text{K}^{-1}$ ]	$2.6 \cdot 10^{-6}$
Young modulus [Pa]	$210 \cdot 10^9$
Fracture toughness [Pa]	$1.44\text{-}2.51 \cdot 10^9$
Poisson coefficient	0.22

Table II

Design variables of optimal devices and corresponding objective function for AGDEMO method

$\beta$	L [ $\mu\text{m}$ ]	hh [ $\mu\text{m}$ ]	dw [ $\mu\text{m}$ ]	d [ $\mu\text{m}$ ]	o.f.	o.f. calls
0	299.73	4.99	29.24	2.33	-1.18	135
0.25	298.83	4.99	29.93	2.18	-0.67	123
0.5	299.82	4.83	29.45	1.94	-0.17	158
0.75	299.81	4.67	29.77	1.39	0.32	132
1	299.82	2.00	14.69	1.01	0.74	130

Table III

Maximum value of the temperature and stress for each optimal point

$\beta$	$T_{\max}$ [K]	Stress [GPa]
0	470.25	0.36
0.25	463.00	0.32
0.5	446.83	0.44
0.75	410.32	0.25
1	372.60	0.20

Table IV

Design variables of optimal devices and corresponding objective function, new objective function (21)

$\beta$	L [ $\mu\text{m}$ ]	hh [ $\mu\text{m}$ ]	dw [ $\mu\text{m}$ ]	d [ $\mu\text{m}$ ]	o.f.	o.f. calls
0	299.4	4,7	29.81	2,05	-4	159
0.25	298.8	4,97	29.53	1,99	-1.15	152
0.5	299.5	4.95	29.22	1,36	1.61	151
0.75	299.8	2,06	29.26	1,00	4.17	200
1	299.44	2,16	15.44	1,00	6.60	181



Table V

Maximum value of the temperature and stress for each optimal point.

$\beta$	$T_{\max}$ [K]	Stress [GPa]
0	453	0.37
0.25	451.6	0.21
0.5	409.2	0.22
0.75	372.5	0.26
1	373.59	0.19

Table VI  
Objective function 1, s parameter

$\beta$	$L$ [ $\mu\text{m}$ ]	$hh$ [ $\mu\text{m}$ ]	$dw$ [ $\mu\text{m}$ ]	$d$ [ $\mu\text{m}$ ]
0	$-7.51 \cdot 10^{-3}$	$3.77 \cdot 10^{-2}$	$-6.05 \cdot 10^{-4}$	$5.32 \cdot 10^{-3}$
0.25	$3.91 \cdot 10^{-3}$	$3.48 \cdot 10^{-2}$	$5.04 \cdot 10^{-3}$	$3.95 \cdot 10^{-2}$
0.5	$1.61 \cdot 10^{-3}$	$4.09 \cdot 10^{-2}$	$4.99 \cdot 10^{-3}$	$1.06 \cdot 10^{-1}$
0.75	$2.11 \cdot 10^{-3}$	$2.60 \cdot 10^{-2}$	$1.84 \cdot 10^{-3}$	$4.06 \cdot 10^{-1}$
1	$6.12 \cdot 10^{-4}$	$1.20 \cdot 10^{-2}$	$9.81 \cdot 10^{-3}$	$5.57 \cdot 10^{-1}$

Table VII  
Objective function 2, s parameter

$\beta$	L [ $\mu\text{m}$ ]	hh [ $\mu\text{m}$ ]	dw [ $\mu\text{m}$ ]	d [ $\mu\text{m}$ ]
0	$-1.22 \cdot 10^6$	$6.18 \cdot 10^6$	$3.21 \cdot 10^{-4}$	$5.74 \cdot 10^7$
0.25	$-1.15 \cdot 10^6$	$5.66 \cdot 10^6$	$2.97 \cdot 10^{-4}$	$5.99 \cdot 10^7$
0.5	$-1.06 \cdot 10^6$	$5.00 \cdot 10^6$	$2.68 \cdot 10^{-4}$	$6.28 \cdot 10^7$
0.75	$-8.32 \cdot 10^5$	$3.17 \cdot 10^6$	$1.79 \cdot 10^{-4}$	$7.23 \cdot 10^7$
1	$-5.87 \cdot 10^5$	$8.19 \cdot 10^6$	$4.90 \cdot 10^{-4}$	$6.34 \cdot 10^7$

Table VIII  
Objective function weighted sum, s parameter

$\beta$	$L$ [ $\mu\text{m}$ ]	$hh$ [ $\mu\text{m}$ ]	$dw$ [ $\mu\text{m}$ ]	$d$ [ $\mu\text{m}$ ]
0	$3.95 \cdot 10^3$	$-1.99 \cdot 10^4$	$3.18 \cdot 10^2$	$-2.8 \cdot 10^3$
0.25	$-1.86 \cdot 10^3$	$-1.22 \cdot 10^4$	$-1.96 \cdot 10^3$	$1.04 \cdot 10^3$
0.5	$-1.01 \cdot 10^3$	$-7.98 \cdot 10^3$	$-1.30 \cdot 10^3$	$6.86 \cdot 10^3$
0.75	$-9.71 \cdot 10^2$	$-7.80 \cdot 10^2$	$-2.51 \cdot 10^2$	$6.74 \cdot 10^3$
1	$-6.52 \cdot 10^2$	$9.10 \cdot 10^3$	$2.59 \cdot 10^1$	$7.05 \cdot 10^4$

Table IX  
Objective function 1,  $\Delta f$  parameter

$\beta$	L [ $\mu\text{m}$ ]	hh [ $\mu\text{m}$ ]	dw [ $\mu\text{m}$ ]	d [ $\mu\text{m}$ ]
0	$-1.34 \cdot 10^{-4}$	$6.74 \cdot 10^{-4}$	$-1,08 \cdot 10^{-5}$	$9,512 \cdot 10^{-5}$
0.25	$7.01 \cdot 10^{-5}$	$6.23 \cdot 10^{-4}$	$9.02 \cdot 10^{-5}$	$7.07 \cdot 10^{-4}$
0.5	$2.90 \cdot 10^{-5}$	$7.39 \cdot 10^{-4}$	$9.01 \cdot 10^{-5}$	$1.92 \cdot 10^{-3}$
0.75	$4.05 \cdot 10^{-5}$	$5.00 \cdot 10^{-4}$	$3.54 \cdot 10^{-5}$	$7.81 \cdot 10^{-3}$
1	$1.46 \cdot 10^{-5}$	$2.86 \cdot 10^{-3}$	$1.17 \cdot 10^{-4}$	$1.32 \cdot 10^{-2}$

Table X  
Objective function 2,  $\Delta f$  parameter

$\beta$	L [ $\mu\text{m}$ ]	hh [ $\mu\text{m}$ ]	dw [ $\mu\text{m}$ ]	d [ $\mu\text{m}$ ]
0	$-6,34 \cdot 10^{-5}$	$3.21 \cdot 10^{-4}$	$2.22 \cdot 10^{-6}$	$2.98 \cdot 10^{-3}$
0.25	$-6,02 \cdot 10^{-5}$	$2.97 \cdot 10^{-4}$	$5.19 \cdot 10^{-6}$	$3.14 \cdot 10^{-3}$
0.5	$-5.68 \cdot 10^{-5}$	$2.68 \cdot 10^{-4}$	$9.00 \cdot 10^{-7}$	$3.36 \cdot 10^{-3}$
0.75	$-4.70 \cdot 10^{-5}$	$1.79 \cdot 10^{-4}$	$-6.09 \cdot 10^{-7}$	$4.08 \cdot 10^{-3}$
1	$-3.51 \cdot 10^{-5}$	$4.90 \cdot 10^{-4}$	$6.97 \cdot 10^{-7}$	$3.79 \cdot 10^{-3}$

Table XI  
Objective function weighted sum,  $\Delta f$  parameter

$\beta$	$L$ [ $\mu\text{m}$ ]	$hh$ [ $\mu\text{m}$ ]	$dw$ [ $\mu\text{m}$ ]	$d$ [ $\mu\text{m}$ ]
0	$-1.34 \cdot 10^{-4}$	$6.74 \cdot 10^{-4}$	$-1.08 \cdot 10^{-5}$	$9.51 \cdot 10^{-5}$
0.25	$-1.11 \cdot 10^{-4}$	$7.26 \cdot 10^{-4}$	$1.17 \cdot 10^{-4}$	$-6.22 \cdot 10^{-5}$
0.5	$2.40 \cdot 10^{-4}$	$1.90 \cdot 10^{-3}$	$3.10 \cdot 10^{-4}$	$-1.63 \cdot 10^{-3}$
0.75	$-1.23 \cdot 10^{-4}$	$-9.86 \cdot 10^{-5}$	$-3.17 \cdot 10^{-5}$	$8.53 \cdot 10^{-4}$
1	$-3.51 \cdot 10^{-5}$	$4.90 \cdot 10^{-4}$	$6.97 \cdot 10^{-7}$	$3.79 \cdot 10^{-3}$

Fig. 1 Geometry of the microactuator; the design variables of the inverse problem are also shown.

Fig. 2. Current, temperature (left) and strain (right) distribution in the microactuator.

Fig. 3. Mesh of the model, left, and a detail of it, right.

Fig. 4. Bespoke design exploration framework for the electro-thermo-elastic microactuator.

Fig. 5. The AGDEMO Method [19].

Fig. 6. History of the objective function for different values of  $\beta$ .

Fig. 7. Objective space. Results of the five optimization runs are shown:  $\beta=0$  (circle),  $\beta=0.25$  (star),  $\beta=0.5$  (diamond),  $\beta=0.75$  (square),  $\beta=1$  (triangle).

Fig. 8. Temperature [K] (up) and stress [ $\text{Nm}^{-2}$ ] (bottom) field maps for  $\beta=0$ .

Fig. 9. Temperature [K] (up) and stress [ $\text{Nm}^{-2}$ ] (bottom) field maps for  $\beta=1$ .

Fig. 10. Objective space. Results of the five optimization runs are shown:  $\beta=0$  (circle),  $\beta=0.25$  (star),  $\beta=0.5$  (diamond),  $\beta=0.75$  (square),  $\beta=1$  (triangle). In black the results obtained with the objective function (17), in green those obtained with the new objective function (21).



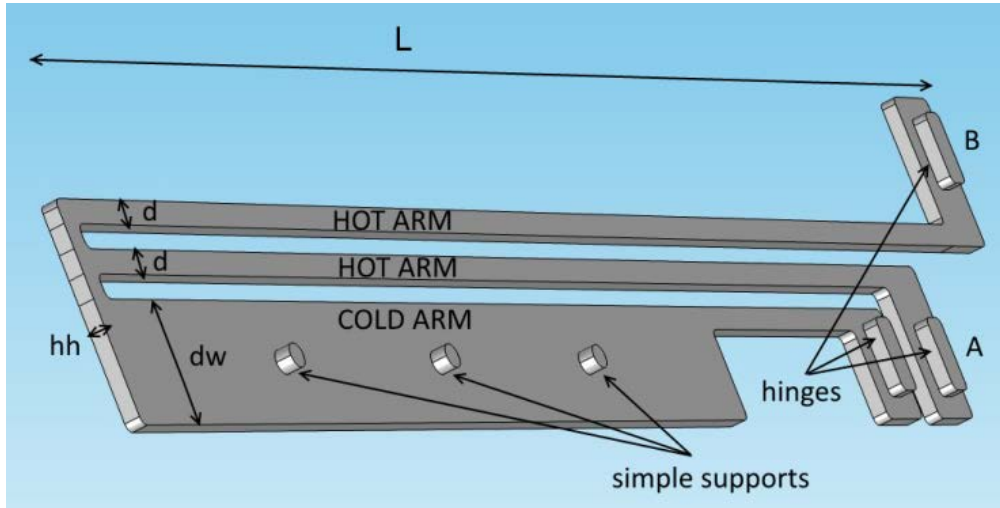


Fig. 1 Geometry of the microactuator; the design variables of the inverse problem are also shown.

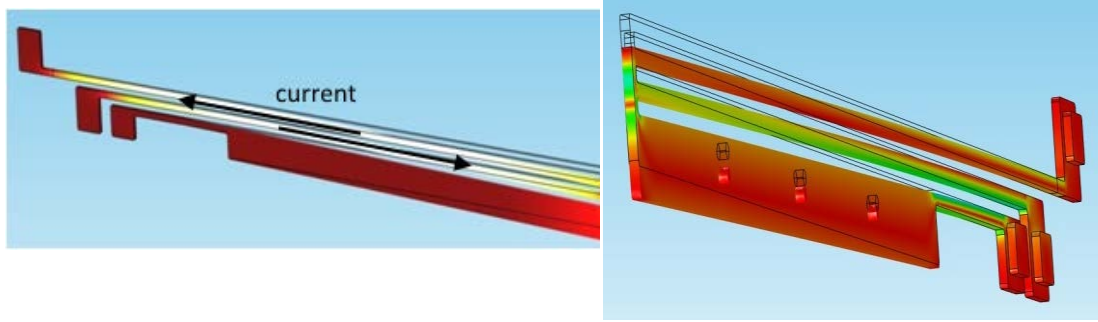


Fig. 2. Current, temperature (left) and strain (right) distribution in the microactuator.

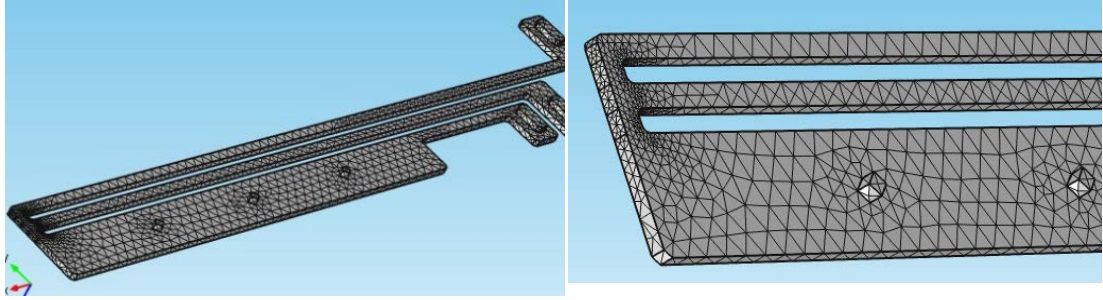


Fig. 3. Mesh of the model, left, and a detail of it, right.

Step 1: Build a weighted-sum function of the maximum temperature ( $T_{\max}$ ) and total displacement (U) as the objective function. The constraints are handled by the penalty function method.

Step 2: Assign symmetry weight vectors  $\beta$  to compose multiple single objective optimization problems.

Step 3: Apply AGDEMO to each of them (penalized function) and obtain several points to “sparsely” approximate the Pareto front.

Step 4: Normalize the objective function using Utopia point and Nadir point. Redo Step 2 and 3.

Step 5: Run AGDEMO for a particular set of specifications using knowledge obtained from the approximated Pareto front for abespoke design.

Fig. 4. Bespoke design exploration framework for the electro-thermo-elastic microactuator.

Step 1: Sample (often small) solutions from the design space using Latin Hypercube sampling method [26], perform numerical simulations of all these solutions and let them form the initial database.

Step 2: If a preset stopping criterion (e.g., computing budget) is met, output the best solution from the database; otherwise go to Step 3.

Step 3: Select the best solutions from the database based on the fitness values to form a population P.

Step 4: Apply the Differential Evolution operators [27] (see Appendix) on P to generate  $\lambda$  child solutions.

Step 5: Calculate the median of the  $\lambda$  child solutions. Take the  $\tau$  nearest solutions to the median in the database (based on Euclidean distance) and their function values (performances) as the training data points to construct GP surrogate models(see Appendix)for each performance (the objective function and constraints).

Step 6: Prescreen the  $\lambda$  child solutions generated in Step 4 using the adaptive prescreening method [26].

Step 7: Simulate the estimated best child solution from Step 6. Add this solution and its performance (via simulation) to the database. Go back to Step 2.

Fig. 5. The AGDEMO Method [19].

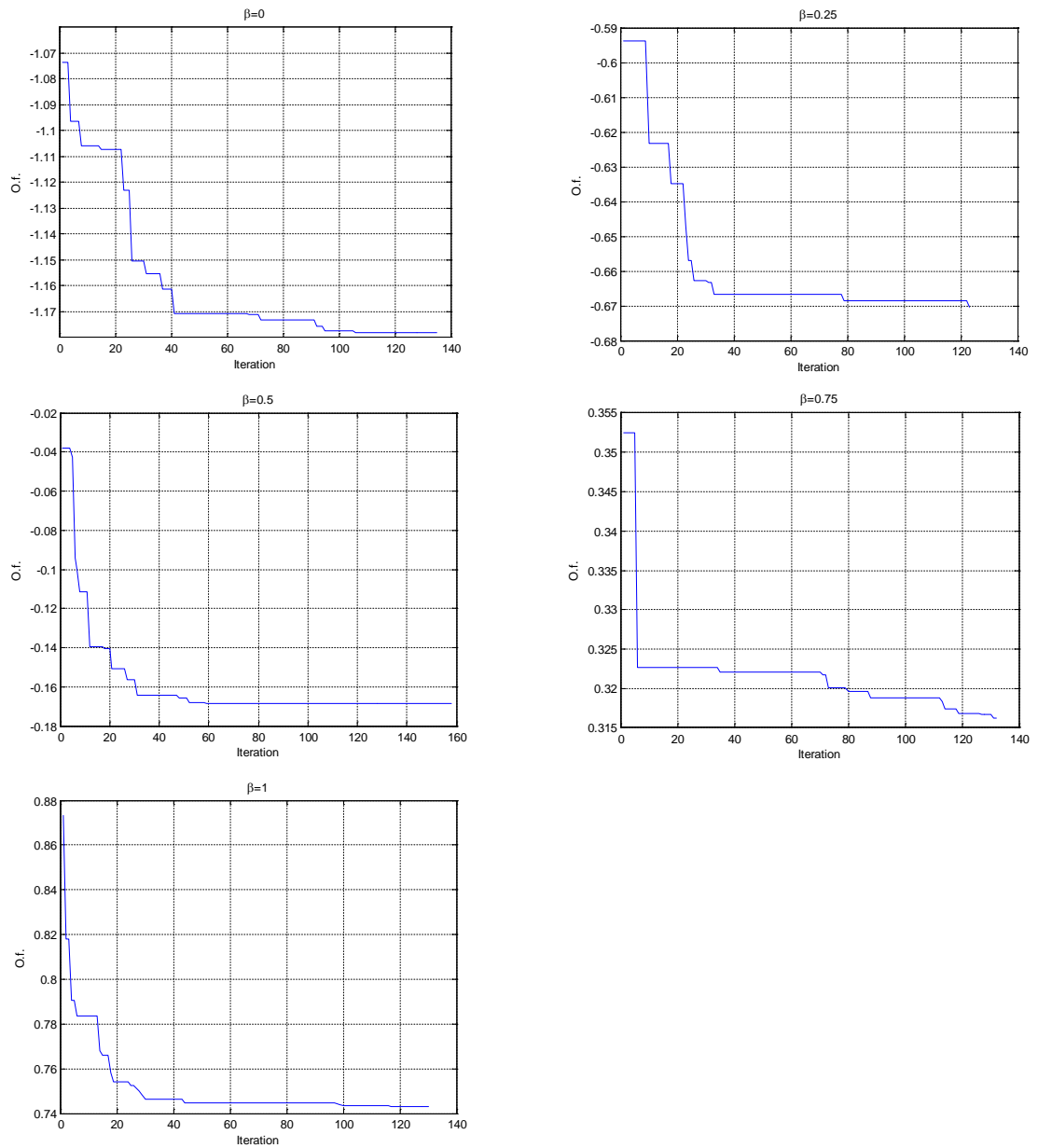


Fig. 6. History of the objective function for different values of  $\beta$ .

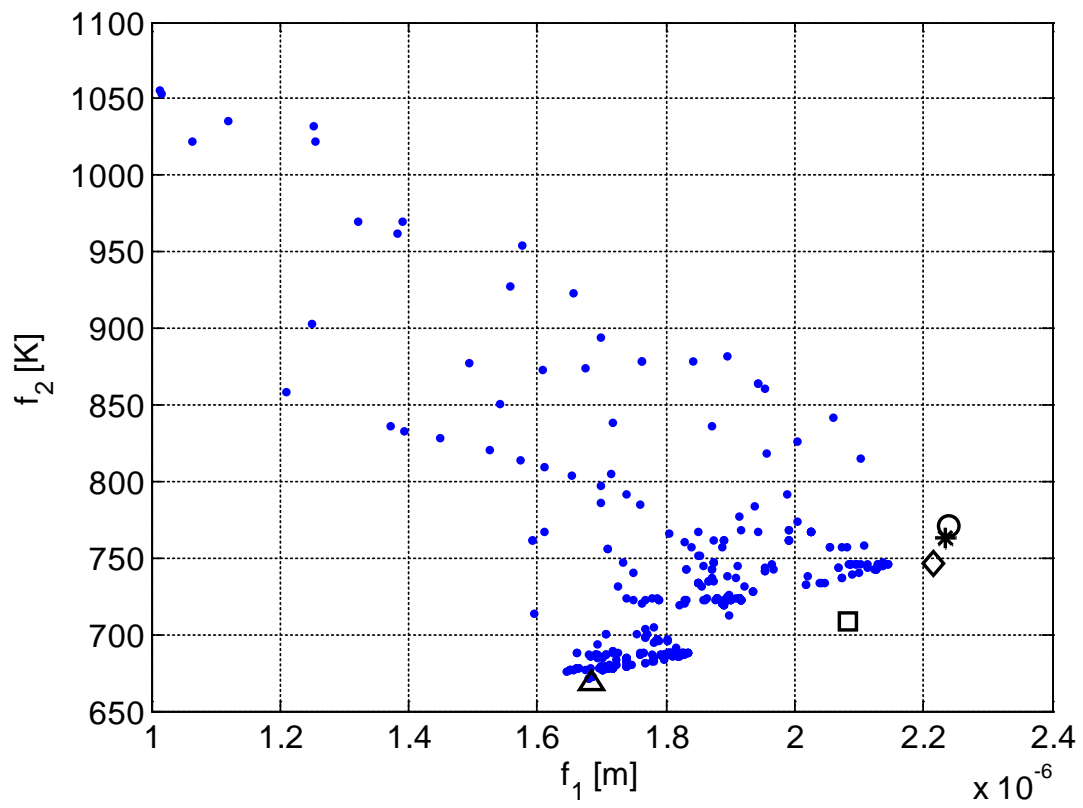


Fig. 7. Objective space. Results of the five optimization runs are shown:  $\beta=0$  (circle),  $\beta=0.25$  (star),  $\beta=0.5$  (diamond),  $\beta=0.75$  (square),  $\beta=1$  (triangle).

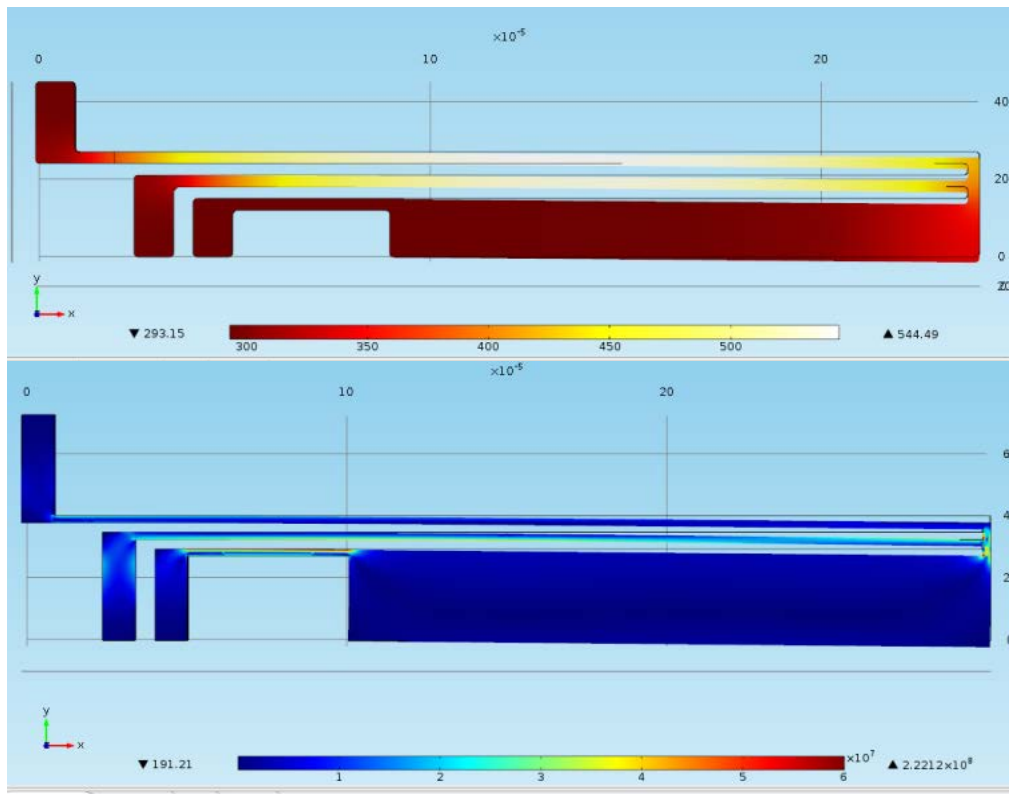


Fig. 8. Temperature [K] (up) and stress [ $\text{Nm}^{-2}$ ] (bottom) field maps for  $\beta=0$ .



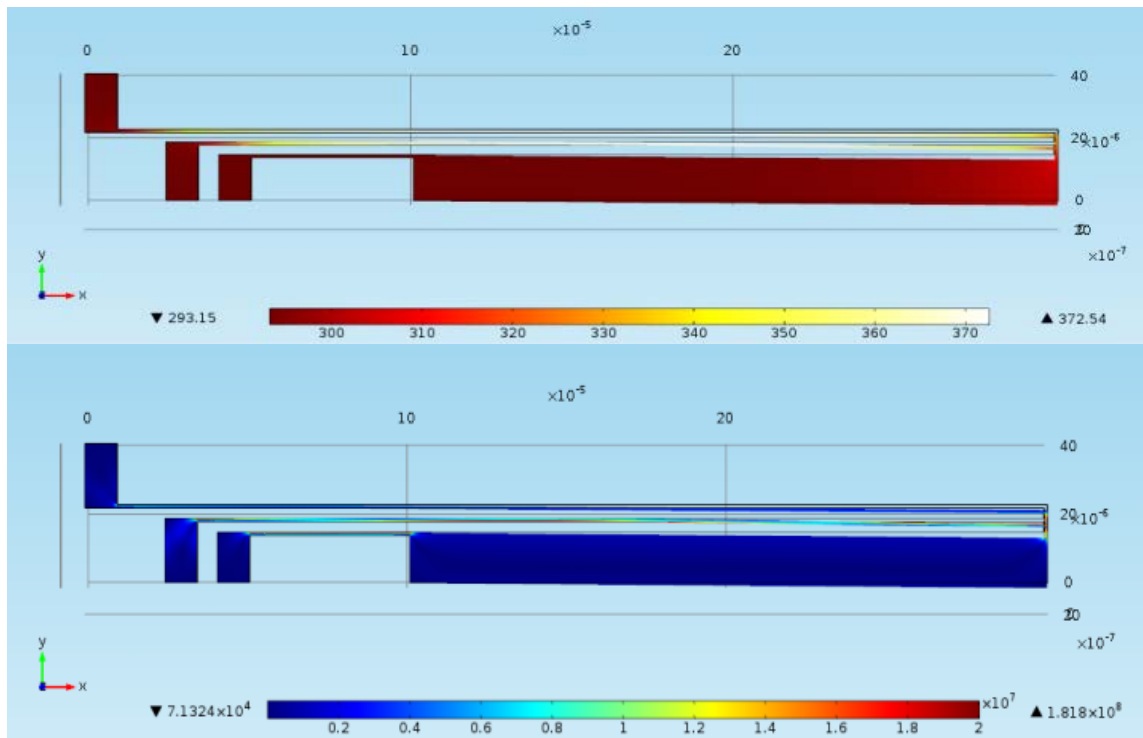


Fig. 9. Temperature [K] (up) and stress [ $\text{Nm}^{-2}$ ] (bottom) field maps for  $\beta=1$ .

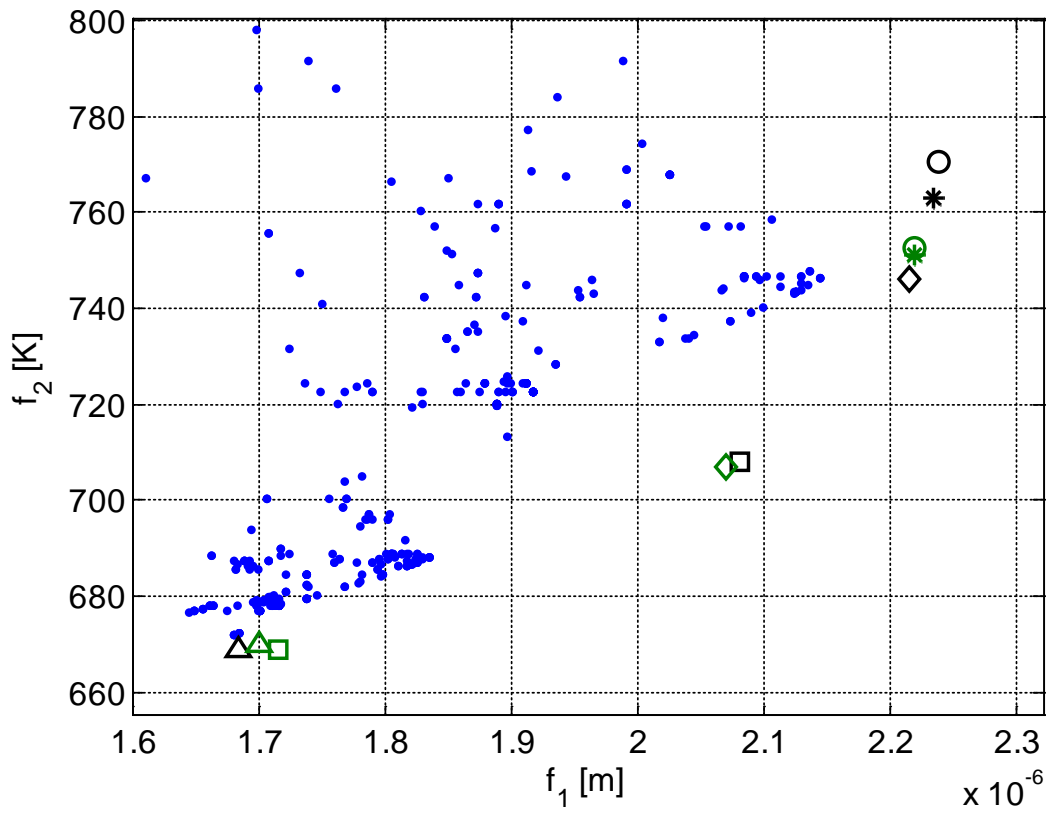


Fig. 10. Objective space. Results of the five optimization runs are shown:  $\beta=0$  (circle),  $\beta=0.25$  (star),  $\beta=0.5$  (diamond),  $\beta=0.75$  (square),  $\beta=1$  (triangle). In black the results obtained with the objective function (17), in green those obtained with the new objective function (21).