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1 **PREDICTORS OF LEFT VENTRICULAR REMODELLING IN PATIENTS WITH**  
2 **DILATED CARDIOMYOPATHY- A CARDIOVASCULAR MAGNETIC**  
3 **RESONANCE STUDY**

4 *Improving Prediction of Left Ventricular Remodelling in Dilated Cardiomyopathy*

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11 **TITLE PAGE**

12 **AUTHORS**

13

14 Authors

15	Upasana Tayal <sup>a,b</sup>	MRCP PhD	u.tayal@rbht.nhs.uk
16	Ricardo Wage <sup>b</sup>	RT	r.wage@rbht.nhs.uk
17	Simon Newsome <sup>c</sup>	MsC	simon.newsome@lshtm.ac.uk
18	Ramasamy Manivarmane <sup>d</sup>	MD MRCP	r.manivarmane@rbht.nhs.uk
19	Cemil Izgi <sup>b</sup>	MD	c.izgi@rbht.nhs.uk
20	Amal Muthumala <sup>g</sup>	MD FRCP	amal.muthumala@nhs.net
21	Jason N Dungu <sup>f</sup>	PhD	j.dungu@nhs.net
22	Ravi Assomull <sup>i</sup>	MD MRCP	r.assomull@nhs.net
23	Suzan Hatipoglu	MD	s.hatipoglu@rbht.nhs.uk
24	Brian P Halliday <sup>a,b</sup>	MBChB	b.halliday@rbht.nhs.uk
25	Amrit S Lota <sup>a,b</sup>	BMBCh	a.lota@rbht.nhs.uk
26	James S Ware <sup>a,b,j</sup>	PhD MRCP	j.ware@imperial.ac.uk
27	John Gregson <sup>c</sup>	PhD	john.gregson@lshtm.ac.uk
28	Michael Frenneaux <sup>a, h</sup>	MD FMed Sci	m.frenneaux@uea.ac.uk
29	Stuart A Cook <sup>e</sup>	PhD	stuart.cook@lms.mrc.ac.uk
30	Dudley J Pennell <sup>a,b</sup>	MD FRCP FMedSci	dj.pennell@rbht.nhs.uk
31	Andrew D Scott <sup>a,b</sup>	PhD	a.scott@rbht.nhs.uk
32	John GF Cleland <sup>a,b</sup>	MD	j.cleland@imperial.ac.uk
33	Sanjay K Prasad <sup>a,b</sup>	MD	s.prasad@rbht.nhs.uk

34

35 <sup>a</sup>National Heart Lung Institute, Imperial College London, UK

36 <sup>b</sup>Cardiovascular Magnetic Resonance Unit, Royal Brompton Hospital, UK

37 <sup>c</sup>Department of Medical Statistics, London School of Hygiene and Tropical Medicine, UK

38 <sup>d</sup>Department of Echocardiography, Royal Brompton Hospital, UK

39 <sup>e</sup>Duke-National University of Singapore, Singapore

40 <sup>f</sup>Essex Cardiothoracic Centre, UK

41 <sup>g</sup>North Middlesex University Hospital and St Bartholomew's Hospital, UK

42 <sup>h</sup>University of East Anglia, UK

43 <sup>i</sup>Imperial College NHS Trust, UK

44 <sup>j</sup>MRC London Institute of Medical Sciences, London, UK

45

46 **Address for correspondence**

47 Dr Sanjay Prasad

48 National Heart Lung Institute, Imperial College London

49 CMR Unit, Royal Brompton Hospital, London

50 s.prasad@rbht.nhs.uk

51 +44(0) 207 352 8121 Extension 2495

52

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72

73 **ABSTRACT**

74

75 **Aims**

76 There is an important need for better biomarkers to predict left ventricular (LV) remodelling in  
77 dilated cardiomyopathy (DCM). We undertook a comprehensive assessment of cardiac structure  
78 and myocardial composition to determine predictors of remodelling.

79

80 **Methods and Results**

81 Prospective study of patients with recent-onset DCM with cardiovascular magnetic resonance  
82 (CMR) assessment of ventricular structure and function, extra-cellular volume (T1 mapping),  
83 myocardial strain, myocardial scar (late gadolinium enhancement) and contractile reserve  
84 (dobutamine-stress). Regression analyses were used to evaluate predictors of change in LV ejection  
85 fraction (LVEF) over 12 months.

86

87 We evaluated 56 participants (34 DCM patients, median LVEF 43 (33-48)%; 22 controls). Absolute  
88 LV contractile reserve predicted change in LVEF (1% increase associated with 0.4% increase in  
89 LVEF at 12 months,  $p=0.02$ ). Baseline myocardial strain ( $p=0.39$  global longitudinal strain),  
90 interstitial myocardial fibrosis ( $p=0.41$ ), replacement myocardial fibrosis ( $p=0.25$ ), and right  
91 ventricular contractile reserve ( $p=0.17$ ) were not associated with LV reverse remodelling. There  
92 was a poor correlation between contractile reserve and either LV extra-cellular volume fraction ( $r=-$   
93  $0.22$ ,  $p=0.23$ ) or baseline LVEF ( $r=0.07$ ,  $p=0.62$ ). Men were more likely to experience adverse LV  
94 remodelling ( $p=0.01$ ) but age ( $p=0.88$ ) and 'disease-modifying' heart failure medication (beta  
95 blocker  $p=0.28$ , ACE inhibitor  $p=0.92$ ) did not predict follow-up LVEF.

96

97 **Conclusions**

98 Substantial recovery of LV function occurs within 12 months in most patients with recent-onset  
99 DCM. Women had the greatest improvement in LVEF. A low LV contractile reserve measured by  
100 dobutamine-stress CMR appears to identify patients whose LVEF is less likely to recover.

101

102

103 **Keywords**

104

105 Dilated cardiomyopathy; myocardial remodelling; recovery; dobutamine stress

106

107

108 **ABBREVIATIONS**

- 109 CMR; cardiovascular magnetic resonance
- 110 DCM; dilated cardiomyopathy
- 111 LVEF; left ventricular ejection fraction
- 112 HVOL; healthy volunteer
- 113 ECV; extracellular volume fraction
- 114 SAX; short axis
- 115 HLA; horizontal long axis
- 116 VLA; vertical long axis
- 117
- 118

119 **INTRODUCTION**

120 The prognosis of patients with dilated cardiomyopathy (DCM) is variable, with a 5 year mortality  
121 rate of ~20%<sup>1, 2</sup>, yet a potential reverse remodelling rate of over 20%<sup>3-6</sup>. Unfortunately, clinical  
122 variables and indices of LV function measured at rest do not accurately predict the direction or  
123 extent of LV remodelling<sup>6-8</sup>. As highlighted by recent AHA guidelines<sup>9</sup> the identification of  
124 potential responders to therapy versus non responders remains a major unmet need.

125

126 We used cardiovascular magnetic resonance imaging (CMR) not only to provide accurate and  
127 reproducible measurements of cardiac structure and function but also to assess the myocardial  
128 substrate. The ability to predict whether or not LV function will improve, remain unchanged or  
129 deteriorate could inform management, helping some patients to avoid unnecessary device therapies  
130 and others to avoid false hope of recovery.

131

132 Contractile reserve, the ability of the impaired ventricle to respond to a stressor, portends a good  
133 prognosis<sup>10, 11</sup>. We therefore hypothesised that contractile reserve could predict LV remodelling,  
134 adverse or beneficial, in patients with recent onset DCM. In particular we sought to evaluate the  
135 relative contribution of ejection fraction, biventricular contractile reserve, myocardial strain and  
136 myocardial fibrosis as determinants of myocardial reverse remodelling.

137

138 **METHODS**

139 The study was a prospective observational study of patients with recent onset DCM assessed at  
140 baseline and 12 months.

141

142

143 **Study cohort, inclusion and exclusion criteria**

144 *Dilated cardiomyopathy cohort*

145 Patients with DCM diagnosed within the preceding 1 year who were aged >18 years, in sinus  
146 rhythm and with no contraindications to CMR or dobutamine stress (Supplementary materials) were  
147 recruited from local clinics, a regional network of cardiologists or self-referral from the  
148 Cardiomyopathy UK patient-association via its website.

149

150 *Healthy volunteer cohort*

151 A cohort of age and sex matched healthy volunteers (HVOL) was recruited to permit comparison of  
152 the baseline contractile reserve response in DCM patients and normal subjects. These individuals  
153 had no history of medical illness, were not taking regular medication, and did not have evidence of  
154 cardiac structural or functional impairment on CMR scanning.

155

156 All participants gave written informed consent and the study was approved by the regional research  
157 ethics committee.

158

159 **CMR protocol**

160 All participants underwent CMR at 3T (Siemens Skyra scanner). Typical imaging parameters for  
161 the CMR protocol are outlined in Supplementary materials.

162

163 *Dobutamine assessment of contractile reserve*

164 LV contractile reserve was defined as the absolute difference between baseline LVEF or baseline  
165 myocardial strain and maximum change after peak dobutamine stress.

166



167 All patients stopped beta blockers for 48 hours prior to the scan. A baseline ECG was performed.  
168 Dobutamine (concentration 1mg/mL) was administered via a peripheral intravenous cannula with  
169 long line extension to the infusion pump located in the control room. Heart rate and blood pressure  
170 was measured at baseline and then every 2 minutes during dobutamine infusion.

171

172 A short-axis (SAX) cine stack was acquired at baseline, and at two doses of dobutamine  
173 ( $5\mu\text{g}/\text{kg}/\text{minute}$  and  $10\mu\text{g}/\text{kg}/\text{minute}$ ; each stage continued for at least 5 minutes)<sup>2</sup>. Biventricular  
174 volumes, ejection fraction and LV mass were measured using a semiautomated threshold-based  
175 technique (CMRtools, Cardiovascular Imaging Solutions, London, UK). All volume and mass  
176 measurements were indexed to body surface area and referenced to age and gender based tables<sup>12</sup>.  
177 Left and right atrial area (LAA, RAA) and ventricular wall thickness were also measured  
178 (Supplementary materials).

179

#### 180 *Assessment of interstitial fibrosis*

181 T1-mapping was performed at basal and mid-ventricular short axis levels before and 15 minutes  
182 after a bolus of a gadolinium-based contrast agent (Gadovist, Bayer) ( $0.1\text{mmol}/\text{kg}$ )<sup>13</sup>. T1  
183 measurements were taken before and, once heart rate had returned to baseline levels, after  
184 dobutamine. A shortened Modified Lock-Locker Imaging (MOLLI) sequence<sup>14</sup> was acquired in 11  
185 cardiac-cycle breath-holds. Images were analysed using CMR tools. T1 values were measured in a  
186 well-defined region of interest in the septum avoiding replacement fibrosis, and a circular region in  
187 the blood pool. Haematocrit was measured on the day of the scan and extracellular volume fraction  
188 (ECV) calculated<sup>15</sup>. The mean of two ECV measurements was taken.

189

#### 190 *Assessment of myocardial strain using cine DENSE imaging*

191 All patients underwent baseline assessment of myocardial strain using a modified cine spiral  
192 DENSE sequence<sup>16,17</sup>. Images were acquired at the mid-ventricular SAX level and two long axis

193 planes (horizontal and vertical) at rest and during the 10µg/kg/min dobutamine dose. Images were  
194 analysed and myocardial strain was extracted from the DENSE data using semi-automated  
195 MATLAB (The Mathworks, Natick, MA, USA) post-processing software from the University of  
196 Virginia<sup>18-20</sup>. For long axis images line contour and for SAX images, both contour and region of  
197 interest (manually defined between endo- and epicardial borders) analysis was performed. Strain  
198 was then calculated in the segmented areas, generating regional polar-strain/time curves for radial  
199 and circumferential strain and contour strain/time curves for longitudinal strain in two planes and  
200 short axis strain.

201

202 As a post hoc analysis, CMR feature tracking was used as an alternative longitudinal strain analysis  
203 on baseline cine images. The methods and results are presented in Supplementary materials.

204

#### 205 *Assessment of replacement myocardial fibrosis*

206 Provided eGFR was >30mL/min/1.73m<sup>2</sup>, late-gadolinium enhancement (LGE) images were  
207 acquired using a breath hold inversion recovery sequence following 0.1mmol/kg of gadolinium  
208 contrast agent (Gadovist, Bayer), with inversion times optimised to null normal myocardium.  
209 Images were acquired in three long axis planes and short axis levels corresponding to the cine  
210 images. All LGE images were acquired after swapping of the phase encode direction. Mid-wall  
211 myocardial fibrosis was recorded as present if detected in both phase-encoding direction and in two  
212 orthogonal views. The borders of the myocardium were delineated in each short axis slice with  
213 LGE. The enhanced areas were then segmented using the full-width at half maximum technique and  
214 semi-automated software (CMR42, Circle Cardiovascular Imaging Inc).

215

#### 216 *Follow up imaging*

217 Patients underwent follow up imaging with CMR at 12 months or focused 3D echocardiography  
218 (Phillips i33, 3d probe X5-1; analysis using the XCELEREA software) if there was a contraindication

219 to CMR. Evaluation of follow-up imaging data including LVEF was performed blinded to baseline  
220 scan results (i.e. blinded to the time point of the scan).

221

## 222 **Statistical analysis**

223 The sample size calculation was based on the hypothesis that contractile reserve and change in  
224 LVEF from baseline to 12 months are associated. A sample size of 31 was required to achieve 80%  
225 power with a significance level of 5%, for a univariable regression assuming a 0.25% absolute  
226 increase in 12-month LVEF for each unit increase in LVEF during dobutamine infusion (contractile  
227 reserve). The effect size was calculated based on an  $R^2$  of 0.2 for the relationship between absolute  
228 contractile reserve and LVEF at 12 months; a conservative estimate given that previous  
229 echocardiographic studies showed a stronger correlation between stress and follow-up LVEF ( $r$   
230  $=0.7-0.8$ )<sup>21, 22</sup>. Target recruitment was inflated from 31 to 34 to allow for an expected 10% drop-out  
231 rate. Sample size calculations were performed using the `pwr.f2.test` in R for linear regression  
232 models.

233

234 We used univariate and multivariate linear regression analysis to identify predictors of change in  
235 LVEF from baseline to 12 months. Our primary focus was on the capacity of absolute LV  
236 contractile reserve to predict change in LVEF from baseline to 12 months. Reflecting the biological  
237 response, a specific cut off for contractile reserve was not applied (to indicate the presence/absence  
238 of contractile reserve). We expected that change in LVEF would be related to baseline LVEF  
239 because of regression to the mean, therefore our main analyses were adjusted for baseline LVEF.

240

241 To establish the normal range for contractile reserve a healthy volunteer cohort was recruited.

242 Target recruitment was set at 20 volunteers, which yields 80% power at a 5% significance level, to  
243 detect a 9% difference in baseline contractile reserve between patients and healthy volunteers.

244

245 At baseline continuous variables were compared using the Mann-Whitney test and categorical  
246 variables using the Fisher test. A p value of <0.05 was considered significant. All analyses were  
247 conducted in R (version 3.3.1).

## 248 **RESULTS**

### 249 **Cohort size and loss to follow up**

250 We recruited 34 patients with DCM and 32 completed the study. Two patients withdrew from the  
251 study; one developed bladder cancer and one had a family bereavement. In addition, 22 healthy  
252 volunteers were enrolled as control participants. The median time between presentation and  
253 baseline was 113 days (IQR 51-148 days).

254

### 255 **Patient characteristics and comparison of DCM patients with healthy volunteers**

256 Baseline demographics and CMR parameters of the cohort are shown in Table 1. The median age at  
257 enrolment was 52.5 years in DCM patients and 49.0 years in healthy volunteers. 25 (74%) DCM  
258 patients and 15 (68%) healthy volunteers were male. Median body surface area was similar in  
259 DCM patients and healthy volunteers (2.00 m<sup>2</sup> vs 1.89 m<sup>2</sup>).

260

261 Most patients were in NYHA functional class I/II (n=33, 97%) and were prescribed beta-blockers  
262 (n=27, 79%), either ACE inhibitors or angiotensin 2 receptor blockers (n=30, 88%), diuretics  
263 (n=22, 65%) and a mineralo-corticoid receptor antagonist (n=19, 56%). Two patients had asthma  
264 and one had bradycardia, precluding the use of beta-blockers. Two patients were taking ivabradine,  
265 one due to a contraindication to beta-blockers. One patient was not on any medication due to  
266 personal choice.

267

268 As expected, patients had higher indexed LV end diastolic and end systolic volumes, higher indexed  
269 LV mass, and lower LVEF compared to healthy volunteers (Table 1). Whilst patients had a higher

270 overall ECV at LV basal and mid-ventricular levels (Table 1) there was considerable overlap in  
271 ECV between DCM patients and healthy volunteers (Supplementary Figure 3).

272

### 273 **Safety of dobutamine**

274 One patient did not complete the infusion protocol to 10 µg/kg/min due to an abnormal BP  
275 response, and only had a maximal dobutamine dose of 5 µg/kg/min. No other adverse incidents  
276 occurred during or after the administration of dobutamine in the remaining patients.

277

### 278 **Contractile reserve**

279 Amongst DCM patients, the change (absolute units) in LVEF with dobutamine (contractile reserve)  
280 ranged from a fall of 9% to an increase of 23% with a median change of 11%. Amongst healthy  
281 volunteers, none had a fall in LVEF during stress and increase ranged from 1% to 20% with a  
282 median change of 10%. The contractile reserve was similar for patients with DCM and healthy  
283 volunteers (p=0.99) in both univariate or multivariate analyses (Supplementary materials). Among  
284 all study participants, there was no evidence that LV contractile reserve was associated with either  
285 baseline LVEF (Pearson's correlation  $r=0.07$ ,  $p=0.62$ ) or the change in systolic blood pressure  
286 (SBP) with dobutamine ( $r=0.10$ ,  $p=0.49$ ) (Supplementary materials).

287

288 LVEF increased to >35% during dobutamine infusion in seven of ten patients with a baseline LVEF  
289 <35%, although all ten subsequently improved LVEF to >35% by 12 months.

290

291 Amongst patients with DCM, the absolute change in RVEF with dobutamine ranged from a fall of  
292 17 units to an increase of 24 units with a median change of 5%. Amongst healthy volunteers, the  
293 range was from a fall of 4% to an increase of 18% with a median of 10.5%. The RV contractile  
294 reserve was similar for patients with DCM and healthy volunteers (p=0.24). RV contractile reserve

295 was not correlated with baseline LVEF ( $r=0.15$ ,  $p=0.28$ ). However, RV and LV contractile reserves  
296 were highly correlated ( $r=0.81$ ,  $p<0.00001$ ).

297

298 Absolute changes in dobutamine-induced global circumferential and radial strain, short-axis contour  
299 strain, and long-axis strains were similar for patients and healthy volunteers (Table 1), with the  
300 exception of horizontal long-axis strain.

301

### 302 **Mechanistic basis of contractile reserve: correlation between contractile reserve and ECV**

303 The ability to assess interstitial fibrosis in-vivo using CMR provides an opportunity to explore the  
304 biological basis of contractile reserve. There was a strong correlation between native T1  
305 measurements before and after dobutamine, both at basal ( $r=0.96$ ,  $p<0.00001$ ) and mid-ventricular  
306 ( $r=0.93$ ,  $p<0.00001$ ) levels, suggesting that dobutamine does not affect T1 measurements at 3T.

307

308 Contractile reserve was not associated with the amount of interstitial fibrosis in patients with DCM,  
309 measured as basal ( $r= -0.22$ ,  $p=0.23$ ) or mid LV ECV ( $r=-0.24$ ,  $p=0.19$ ). Whilst the individual with  
310 a very high ECV also had a fall in LVEF with dobutamine, for other patients, there was no clear  
311 relationship between higher ECV and lower contractile reserve, as would be expected if reduced  
312 contractile reserve was a consequence of interstitial fibrosis (Figure 1). Most notably, those with a  
313 fall in LVEF with dobutamine had similar ECV values to those in whom LVEF rose substantially.  
314 In healthy volunteers, despite little variation in ECV, there was wide variation in contractile reserve  
315 and no correlation between these two measures (basal LV ECV  $r= -0.16$ ,  $p=0.49$ ; mid LV ECV  $r=-$   
316  $0.21$ ,  $p=0.37$ ) (Figure 1).

317

### 318 **Relationship between LVEF from baseline to 12 months**

319 At 12 months, eight patients (25%) had received a CRT ( $n=3$ ) and/or an ICD device contra-  
320 indicating CMR and therefore had 3D echocardiography assessment of LV function. The median

321 absolute change in LVEF between baseline and follow-up (Figure 2) was 13% (range -1% to 47%).  
322 Nineteen patients (59%) had an absolute increase in LVEF of >10%. LVEF improved from a  
323 baseline of 11% to 58% at 12 months in one patient. Only two patients had a fall in LVEF and only  
324 one other patient had no improvement by 12 months. All 10 patients with an initial LVEF <35%  
325 had an LVEF >50% at 12 months. Overall, most patients achieved an LVEF >50% and 5 an LVEF  
326 >60%.

327 The lack of association between LVEF at baseline and follow-up ( $r=0.10$ ,  $p=0.58$ , ) suggests that  
328 treatment is effective in restoring LVEF even in patients with very low LVEF at baseline. Of 13  
329 patients with an LVEF <40% at baseline, 11 (85%) had at least a 10% improvement in LVEF by 12  
330 months, compared to 8 of 18 (44%) amongst those with LVEF  $\geq 40\%$  at baseline.

331

### 332 **Predictors of change in LVEF**

333 After adjustment for baseline LVEF, contractile reserve was associated with change in resting  
334 LVEF at 12 months. Each percentage point increase in contractile reserve was associated a 0.4%  
335 increase in LVEF ( $p=0.02$ , Table 2; Figure 4). Upon inspection of this figure, it can be seen that the  
336 relationship between contractile reserve and LVEF at 12 months was driven to a substantial degree  
337 by a failure of LVEF to improve substantially in 3 of the 4 patients with a negative contractile  
338 reserve measurement (baseline LVEFs 36%, 39%, 44%).

339

340 The change in LVEF during infusion of dobutamine is partly load-dependent. Accordingly, we tried  
341 to assess myocardial strain contractile reserve, which is the change in peak strain induced by  
342 dobutamine. However, this could only be assessed for between 21 and 25 patients due to inadequate  
343 image quality during peak stress and failed to demonstrate a statistically significant relationship  
344 between strain contractile reserve and LVEF at 12 months (Table 2).

345

346 Several other baseline variables were not significantly associated with follow-up LVEF, including  
347 age, NYHA class, beta-blocker use, mid-wall LGE presence and extent, basal or mid ECV, native  
348 T1, baseline myocardial strain and RV contractile reserve (Table 2). However, LVEF improved  
349 substantially more in women than in men after adjustment for baseline LVEF (Table 2). Contractile  
350 reserve remained a significant predictor of change in LVEF after adjustment for both sex and  
351 baseline LVEF (p=0.044).

352

### 353 *Sensitivity analysis*

354 Findings were broadly similar after adjustment for baseline LVEF. In a model which included both  
355 sex and contractile reserve, both variables remained significantly associated with follow-up LVEF.  
356 Changes in heart rate and functional mitral regurgitation during dobutamine stress did not predict  
357 follow-up LVEF. Adjustment for the time since DCM diagnosis did not affect the association of LV  
358 contractile reserve with follow up LVEF. Finally, we evaluated whether the association between  
359 contractile reserve and follow up LVEF was affected by the presence of LBBB, as LVEF change  
360 may not reflect contractile reserve in patients with LBBB. When the analysis was restricted to the 9  
361 patients with LBBB, contractile reserve was no longer associated with follow up LVEF. Amongst  
362 the remaining DCM patients without LBBB, contractile reserve remained associated with follow up  
363 LVEF (Supplementary Table 1).

364

365

## 366 **DISCUSSION**

367 Our study suggests that patients with a lower baseline LVEF, a higher contractile reserve and  
368 women have the largest improvement in LVEF by 12 months. Poor contractile reserve appears to be  
369 a promising marker to detect patients with DCM who are less likely to have favourable LV  
370 remodelling. Conversely, a large contractile reserve suggests that improvement in LVEF may be



371 likely. This is the first study to provide a comprehensive CMR assessment of DCM, including  
372 contractile reserve, cardiac structure and function and myocardial tissue characterisation, in order to  
373 evaluate imaging predictors of remodelling. LV contractile reserve was the only imaging marker  
374 that predicted 12-month LVEF in this cohort; resting measurements of LV structure or function and  
375 myocardial strain or fibrosis did not. Contractile reserve was poorly related to the amount of  
376 myocardial fibrosis, suggesting that the fundamental cause of a decline in contractile reserve is  
377 either a reduction in cardiac myocyte contractile function or in the connection between cardiac  
378 myocytes and the collagen infrastructure.

379

380 Previous work in the field of echocardiography has largely focussed on the prognostic capacity of  
381 contractile reserve<sup>10, 11, 23-28</sup> though some studies have also evaluated the ability of contractile  
382 reserve to predict LV remodelling in response to medical therapy<sup>22, 29, 30</sup> or CRT in heart failure  
383 patients<sup>31</sup>. In the latter meta-analysis, the presence of contractile reserve in heart failure patients was  
384 associated with a higher chance of CRT response (odds ratio 4.42, 95% confidence interval 2.15–  
385 9.07,  $P < 0.001$ ). The authors concluded that these findings may indicate that patients with  
386 contractile reserve still have myocyte viability, despite decreased LV function, and as such could  
387 respond to CRT with restoration of myocardial function. However this and the preceding studies are  
388 distinct to the focus of this current study. The unique aspect of our study is that we evaluated CMR  
389 assessed contractile reserve in a DCM specific cohort. This is advantageous for a number of  
390 reasons. The overall response to therapies including CRT has been observed to differ between  
391 patients with ischaemic and non-ischaemic aetiologies<sup>32</sup>. Non ischaemic heart failure encompasses  
392 more than just DCM therefore studying a DCM only cohort enables the results to be more  
393 applicable to DCM patients, instead of extrapolating their management from a broad heart failure  
394 cohort. It is known that LV remodelling can occur either spontaneously, or in response to medical  
395 therapy or device therapy<sup>21</sup>. The purpose of our study was to evaluate whether contractile reserve  
396 response at baseline would be predictive of follow up LVEF, but the study was not designed to

397 evaluate response to specific treatments. Accordingly, our findings are applicable to a DCM patient  
398 in the early stages of their disease (within the first year), which is often a time at which patients are  
399 anxious for a better understanding of their likely disease course and an important time for decision  
400 making about device therapy. At present our predictors of changes in LVEF are limited. In contrast  
401 to many of the previously reported contractile reserve studies, CMR measurements of left  
402 ventricular function are more accurate and reproducible than echocardiography; this is of particular  
403 importance when it comes to the assessment of contractile reserve using LVEF. In addition,  
404 utilising CMR enabled the simultaneous interrogation of other potentially important imaging  
405 predictors of remodelling, in particular mid wall fibrosis. Furthermore, utilising CMR enabled  
406 advanced tissue characterisation of interstitial fibrosis which permitted a mechanistic study of the  
407 biological basis of contractile reserve.

408

409 Many patients had a remarkable improvement in LVEF and by one year, most patients had an  
410 LVEF >50% and 16% had an LVEF >60%, demonstrating a remarkable LV structural and  
411 functional plasticity even amongst patients with severe LV impairment due to DCM. How much of  
412 the observed recovery was spontaneous and how much reflected the effects of guideline-  
413 recommended therapy is unclear. Almost 60% of patients in this study showed an improvement of  
414 LVEF greater than 10%, which is towards the upper limit of previous findings (25-70%)<sup>8, 33-38</sup>  
415 perhaps reflecting advances in pharmacological and device therapy. Contractile reserve itself may  
416 not be the underlying mechanism for remodelling, but the presence of LV contractile reserve is at  
417 least a surrogate marker for the potential for ventricular remodelling. Predicting recovery in patients  
418 with recent onset DCM may be very useful for planning future management. All 10 patients with an  
419 initial LVEF <35% improved LV function to move out of current guideline criteria for ICD  
420 implantation. However, this may be very different from predicting recovery in patients with chronic  
421 disease who have been established on guideline-treatments for a year or more.

422

423 Control individuals and DCM patients did not statistically differ in their overall contractile reserve  
424 response. However, whilst both DCM patients and control individuals had a wide range of positive  
425 contractile reserve responses, a negative contractile reserve (fall in LVEF with dobutamine) was  
426 unique to the DCM patients. Our results were highly influenced by the failure of LVEF to improve  
427 in DCM patients with a negative contractile reserve. Therefore a negative contractile reserve may  
428 be the most informative response and warrants further investigation.

429

430 This study shows that imaging predictors of outcome in DCM, such as mid-wall replacement  
431 fibrosis (both presence and extent) and RV contractile reserve<sup>11</sup>, are not strongly related to LV  
432 remodelling, indicating that such measurements are not interchangeable. This suggests that  
433 contractile reserve may reflect the global capacity of the myocardium to remodel whereas LGE  
434 mid-wall fibrosis is a focal insult that does not affect remote myocardial remodelling. However, as  
435 the study was powered to evaluate the association between contractile reserve and left ventricular  
436 functional recovery, we may remain underpowered to detect an association between these other  
437 imaging parameters and functional recovery. For example, though we did not see a consistent  
438 association between global longitudinal strain (GLS) and follow up LVEF in our cohort, there is  
439 limited evidence that GLS can predict a future deterioration in LVEF in patients with apparently  
440 recovered LVEF<sup>39</sup>.

441

442 Medications that improve prognosis also failed to predict recovery of LVEF, although this may  
443 have been confounded by the duration of therapy, the high prescription of beta-blockers, and the  
444 fact that not all patients had indications to be on guideline directed medical therapy. Once baseline  
445 LVEF was taken into account, men were less likely to demonstrate recovery in LV function.

446

447 There is great interest in exploring the biological basis of recovery of myocardial function<sup>40</sup>. As  
448 contractile reserve predicts recovery of LV function, its biological basis could provide insights into

449 the mechanism of myocardial recovery. Interstitial fibrosis on endomyocardial biopsy has been  
450 inversely linked to the extent of LV contractile reserve<sup>41</sup> and myocardial recovery is thought to be  
451 possible if there is both a sufficient mass of viable myocytes and an absence of extensive fibrosis<sup>42</sup>,  
452 <sup>43</sup>. Accordingly, we hypothesized that patients with a high ECV (an in vivo estimate of interstitial  
453 fibrosis assessed through CMR T1 mapping) would have diminished contractile reserve. However,  
454 the amount of interstitial fibrosis was poorly related to contractile reserve or recovery of LV  
455 function. This may reflect differing patient populations in previous studies in terms of aetiology or  
456 severity of fibrosis. The lack of correlation with long-term recovery may reflect that interstitial  
457 fibrosis is also a dynamic process that may be reversed with therapy. The biological basis for  
458 contractile reserve is likely to reside in multiple molecular pathways.

459

460 One of the main strengths of this CMR study is the depth of phenotyping with assessment of cardiac  
461 function, interstitial fibrosis, replacement myocardial fibrosis, and myocardial strain in one study,  
462 enabling a comprehensive, state of the art imaging evaluation of potential predictors of LV  
463 remodelling. Another key strength is its prospective study design, with the inclusion of patients  
464 with recent onset DCM (an 'inception cohort'<sup>44</sup>). This means that there is no survival bias that can  
465 occur in retrospective cohort studies of LV remodelling, whereby only patients who survived to  
466 remodel have repeated estimates of LV function. This therefore ensures that the estimate of the  
467 proportion of patients who exhibited LV reverse remodelling is also not biased.

468

469 A further important strength in this study is the statistical design and analysis. The study was  
470 adequately powered and no single, arbitrary threshold for left ventricular reverse remodelling  
471 (LVRR) was used. Previous studies have had different definitions of LVRR, with several using an  
472 arbitrary threshold for defining success. Whilst it might aid study design, defining an improvement  
473 in LVEF <10% as failure and >10% as success is less biologically meaningful.

474

475 **Study Limitations**

476 We evaluated contractile reserve using dobutamine; others have used exercise stress<sup>45</sup> and invasive  
477 methods<sup>46</sup>. Exercise stress depends on the voluntary effort of the patient. Both exercise and invasive  
478 measurements are challenging during CMR.

479

480 Patients with a lower baseline LVEF might be expected to have a greater increase in LVEF because  
481 of regression to the mean. However, the strength of this association was much stronger than might  
482 be expected. Moreover, regression to the mean is driven partly by the variability of a measurement  
483 but LVEF by CMR is highly reproducible. The relationship between contractile reserve and change  
484 in LVEF at 12 months was to a large extent driven by the failure of LVEF to improve greatly in  
485 three patients whose LVEF declined during dobutamine infusion. In addition, it is important to  
486 highlight that contractile reserve assessed by change in LVEF did not predict follow up LVEF  
487 amongst patients with LBBB and another marker of contractile reserve may need to be identified in  
488 these patients. We were not powered to detect whether LBBB is a significant modifier of the  
489 association of contractile reserve with change in LVEF.

490

491 **Future directions**

492 The study was not designed to evaluate either the prognostic role of contractile reserve in DCM, or  
493 whether the observed recovery was dependent on continuing pharmacological therapy (remission)  
494 or whether treatment could be withdrawn without further relapse (cure)<sup>47</sup>. A crucial unanswered  
495 question is whether recovery of LV function indicates a normal prognosis for a patient who has  
496 been diagnosed with DCM or whether, once someone has been diagnosed with DCM the prognosis  
497 remains impaired even if LV function appears to have normalised. The value of assessing  
498 contractile reserve after patients have received months or years of guideline-recommended therapy,  
499 with or without recovery of LVEF also needs to be considered; should it be used to select patients  
500 for more intense pharmacological interventions or novel therapies. Also, restoration of LVEF may

501 be an inadequate test for true normalisation of LV function which may require more sophisticated  
502 assessments, such as evaluation of myocardial strain or diffusion tensor imaging.

503

504

505 **Conclusion**

506 In this cohort of patients with recent-onset DCM, substantial recovery of LV function within 12  
507 months was observed in the majority of cases. LVEF had risen to >50% for most patients by 12  
508 months. The lack of association between LVEF at baseline and follow-up suggests that treatment is  
509 effective in restoring LVEF even in patients with very low LVEF at baseline. A low LV contractile  
510 reserve measured by dobutamine-stress CMR may have additional value in identifying patients  
511 whose LVEF is less likely to recover.

512

513

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676 **TABLES AND FIGURES**

677

678 **FIGURES**

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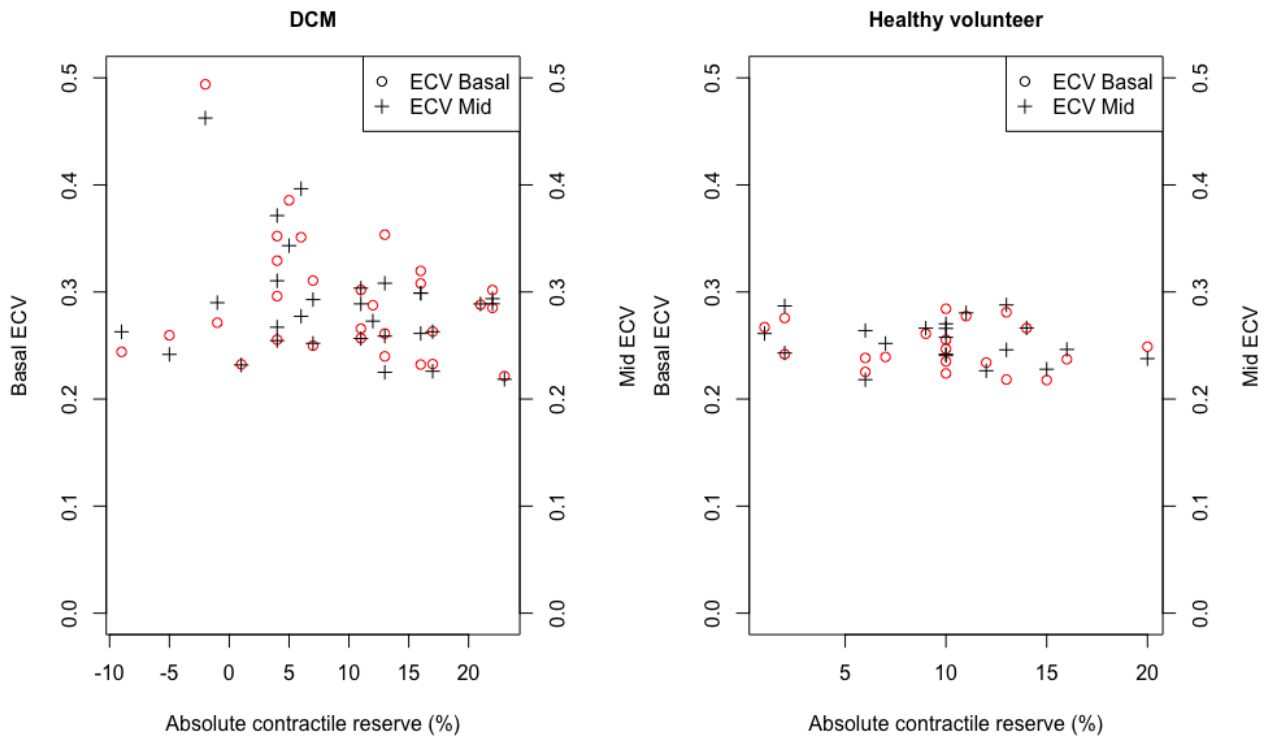
681 Central Illustration Legend: LVEF change at 1 year. Figure shows baseline LVEF (blue dots) and  
 682 follow up LVEF (red dots) after 1 year for each of the 32 DCM patients. Most patients showed  
 683 improvement in LVEF, with only 2 patients showing a deterioration in LVEF on follow up imaging.  
 684 Dotted lines show the LVEF 35%, 45%, 50%, and 60% cut offs. 'CRT' indicates which patients  
 685 had CRT during follow up. 'F' indicates female patients. Left ventricular contractile reserve  
 686 assessed through low dose dobutamine stress CMR was the only imaging predictor of ventricular  
 687 remodelling in this cohort, suggesting it could be used to identify patients whose LVEF is less  
 688 likely to recover and who may be candidates for early intervention with advanced therapies

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694 Figure 1: Contractile reserve and ECV. The graph shows that there is no clear relationship between  
 695 ECV (left y axis for basal and right y axis for mid LV levels) and absolute contractile reserve (%  
 696 unit change in LVEF after peak dobutamine infusion, x axis) in either DCM patients (left plot) or  
 697 healthy volunteers (right plot).

698

### LVEF remodelling

LVEF at baseline (blue) and after 1 year follow (red) up

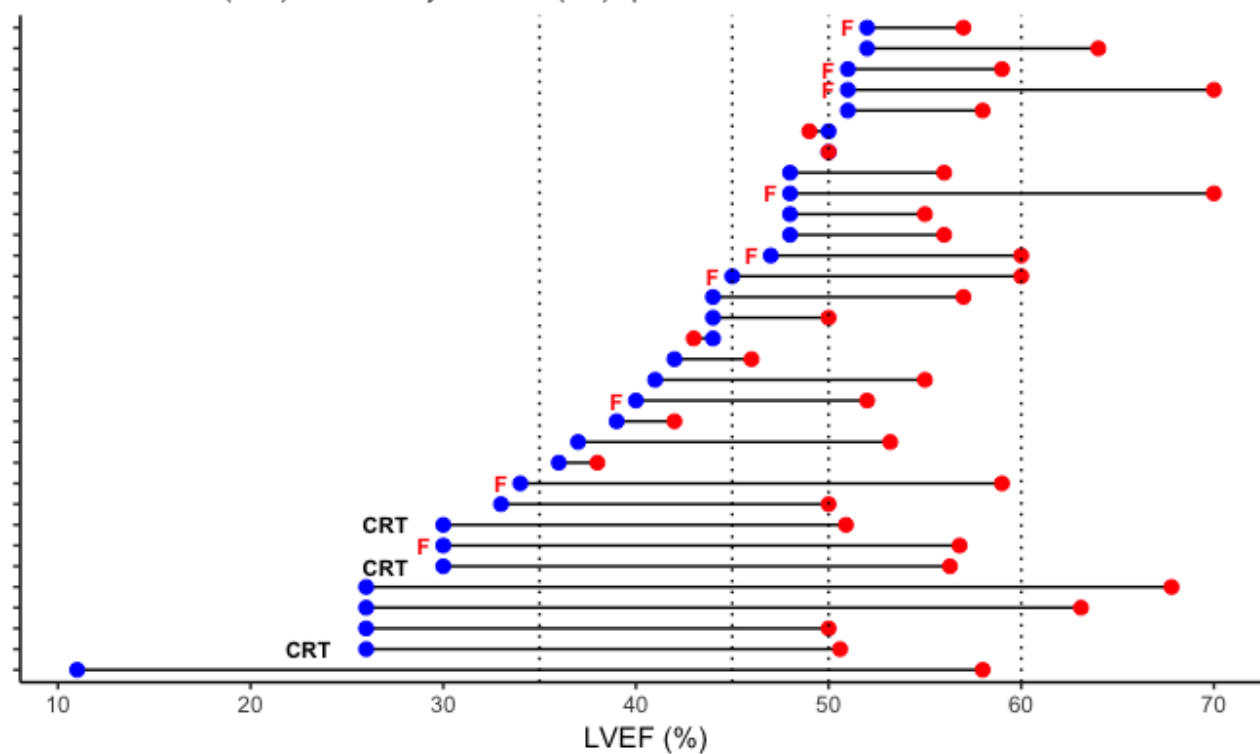


Figure 2: LVEF change at 1 year. Figure shows baseline LVEF (blue dots) and follow up LVEF (red dots) after 1 year for each of the 32 DCM patients. Most patients showed improvement in LVEF, with only 2 patients showing a deterioration in LVEF on follow up imaging. Dotted lines show the LVEF 35%, 45%, 50%, and 60% cut offs. 'CRT' indicates which patients had CRT during follow up. 'F' indicates female patients.

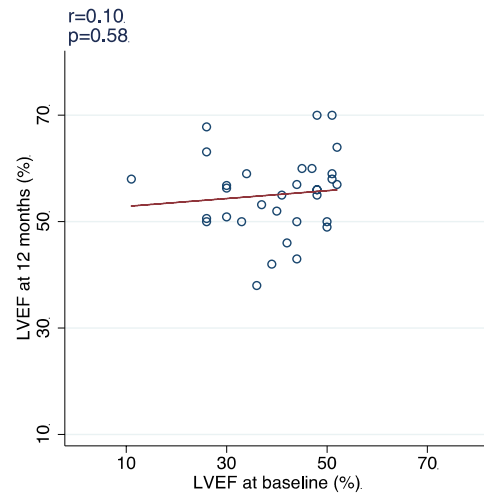


Figure 3: Scatter diagram showing relationship between baseline LVEF and LVEF at 12 months

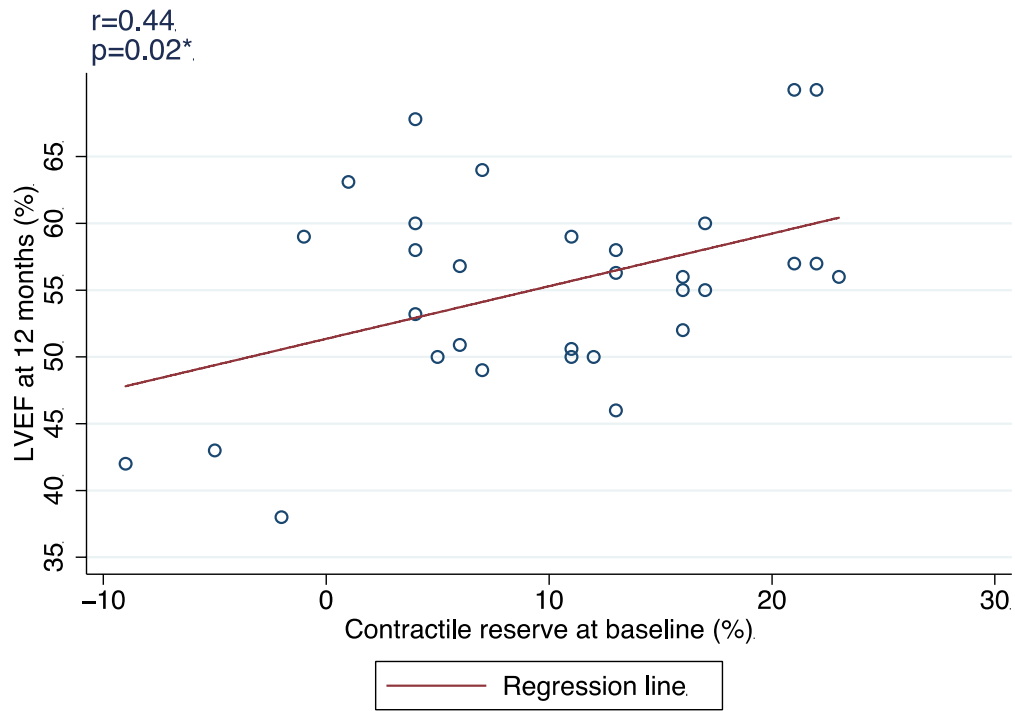


Figure 4: Scatter diagram showing relationship between contractile reserve at baseline and LVEF at 12 months. \*Adjusted for baseline LVEF

## TABLES

Table 1: Baseline demographics and CMR findings in cohort stratified by diagnosis. Continuous data are shown as median (interquartile range) and compared using the Mann-Whitney test, categorical data are shown as count (percentages) and compared using Fisher's exact test. LV/RV=left/right ventricular; EF=ejection fraction; EDVi/ESVi=indexed end diastolic/end systolic volume; LVMi=indexed LV mass; LAA/RAA=left/right atrial area; ECV=extracellular volume fraction, LGE= late gadolinium enhancement.

	<b>DCM patients N=34</b>	<b>Healthy volunteers N=22</b>	<b>P-value</b>
Age at baseline	52.5 (45.0 to 60.0)	49.0 (36.0 to 55.0)	0.097
Sex			0.77
F	9 (26.5%)	7 (31.8%)	
M	25 (73.5%)	15 (68.2%)	
Body surface area, m <sup>2</sup>	2.00 (1.78 to 2.21)	1.89 (1.77 to 2.09)	0.28
Body mass index (kg/m <sup>2</sup> )	28.0 [23.9, 32.6]	25.1 [24.1, 26.1]	0.036
Haematocrit	0.41 [0.39, 0.45]	0.43 [0.41, 0.44]	0.24
LBBB	9 (26.5%)	0 (0.0%)	0.0084
Systolic BP, mmHg	122.0 (110.0 to 134.0)	111.5 (102.0 to 118.0)	0.0047
Resting heart rate, bpm	62.5 (57.0 to 73.0)	62.0 (54.0 to 68.0)	0.37
NYHA class			<0.0001
I	16 (47.1%)	22 (100.0%)	
II	17 (50.0%)	0 (0.0%)	
III	1 (2.9%)	0 (0.0%)	
LVEDVi, mL/m <sup>2</sup>	119.6 (108.5 to 143.2)	85.0 (76.7 to 90.0)	<0.0001
LVESVi, mL/m <sup>2</sup>	65.0 (57.0 to 92.0)	27.5 (23.0 to 33.0)	<0.0001
LVMi, g/m <sup>2</sup>	82.5 (65.0 to 90.0)	61.5 (47.0 to 71.0)	0.0008
LVEF at baseline (%)	43.0 (33.0 to 48.0)	67.0 (62.0 to 70.0)	<0.0001
RVEDVi, mL/m <sup>2</sup>	92.6 (81.8 to 106.6)	91.1 (82.2 to 100.5)	0.48
RVESVi, mL/m <sup>2</sup>	45.4 (39.8 to 57.5)	36.6 (29.4 to 44.8)	0.0015
RVEF, %	49.0 (46.0 to 53.0)	58.5 (53.0 to 63.0)	<0.0001
Presence of midwall LGE	16 (48.5%)	0 (0.0%)	<0.0001
LAA, cm <sup>2</sup>	26.3 (21.0 to 29.9)	22.5 (20.4 to 26.7)	0.11

	<b>DCM patients N=34</b>	<b>Healthy volunteers N=22</b>	<b>P-value</b>
RAA, cm <sup>2</sup>	22.9 (20.4 to 26.6)	25.6 (21.2 to 29.4)	0.21
Maximum LV wall thickness, mm	11.5 (10.0 to 13.0)	9.0 (8.0 to 10.0)	0.0008
Lateral wall thickness, mm	7.0 (5.0 to 8.0)	6.0 (5.0 to 6.0)	0.066
Septal wall thickness, mm	9.0 (8.0 to 10.0)	7.3 (6.0 to 8.0)	<0.0001
Septal native T1, ms	1345 (1321 to 1379)	1278 (1261 to 1300)	<0.001
Basal ECV	0.29 (0.26 to 0.31)	0.24 (0.23 to 0.26)	0.0004
Mid septal ECV	0.28 (0.26 to 0.31)	0.25 (0.24 to 0.27)	0.0042
SAX contour strain	-0.09 (-0.12 to -0.07)	-0.16 (-0.18 to -0.14)	<0.0001
HLA contour strain	-0.09 (-0.11 to -0.07)	-0.16 (-0.16 to -0.14)	<0.0001
VLA contour strain	-0.09 (-0.11 to -0.08)	-0.15 (-0.16 to -0.14)	<0.0001
Radial strain	0.17 (0.09 to 0.25)	0.41 (0.37 to 0.50)	<0.0001
Circumferential strain	-0.10 (-0.12 to -0.08)	-0.17 (-0.19 to -0.17)	<0.0001
Strain reserve:			
SAX contour response	-0.01 (-0.02 to 0.01)	-0.01 (-0.04 to -0.00)	0.11
HLA contour response	0.01 (-0.01 to 0.02)	-0.02 (-0.03 to -0.01)	0.0008
VLA contour response	0.00 (-0.02 to 0.01)	-0.01 (-0.02 to -0.00)	0.16
Radial response	0.01 (-0.03 to 0.13)	0.10 (-0.02 to 0.21)	0.23
Circumferential response	-0.01 (-0.03 to 0.00)	-0.02 (-0.05 to -0.00)	0.35



Table 2: Association of patient characteristics with change in LVEF from baseline to 12 months, adjusted for baseline LVEF

Variable	Comparison	Number of patients with measurement	Estimated effect on LVEF (%)	P-value
<b><u>Baseline variables</u></b>				
Age	Per decade older	32	-0.2 (-2.8 to 2.4)	0.88
Female sex	Vs. male	32	7.5 (1.7 to 13.3)	0.012
Beta-blocker use	Vs. no use	32	3.8 (-3.3 to 10.9)	0.28
Beta-blocker dose	Per 1 unit higher	26	-0.1 (-1.8 to 1.6)	0.89
ACE inhibitor	Vs. no use	32	-0.4 (-7.3 to 6.6)	0.92
ACE inhibitor dose	Per 1 unit higher	24	0.3 (-0.4 to 1.0)	0.44
Aldosterone antagonist use	Vs. no use	31	1.4 (-4.7 to 7.6)	0.64
Aldosterone antagonist dose	Per 1 unit higher	19	0.1 (-0.5 to 0.6)	0.83
LBBB	Presence vs absence	32	-1.0 (-7.6 to 5.7)	0.77
NYHA class	Class II Vs class I		2.7 (-3.0 to 8.3)	0.069
	Class III Vs class I		16.0 (0.7 to 31.3)	
Presence of midwall LGE	Vs absence	31	-3.2 (-8.9 to 2.4)	0.25
Extent of LGE % (5 Standard Deviations)	Per 1% higher	16	0.0 (-1.0 to 1.1)	0.96
Extent of LGE % (FWHM – full width at half maximum)	Per 1% higher	16	0.2 (-0.6 to 1.0)	0.61
Mid ECV	Per 0.1 higher	31	-2.3 (-8.0 to 3.4)	0.42
Basal ECV	Per 0.1 higher	30	-3.0 (-8.3 to 2.2)	0.24
Basal native T1 (pre dobutamine)	Per 10ms higher	32	0.0 (-0.3 to 0.3)	0.87
Mid native T1 (pre dobutamine)	Per 10ms higher	31	-0.0 (-0.4 to 0.4)	0.87
SAX contour strain	Per 0.1 higher	28	-3.8 (-12.5 to 4.9)	0.38
HLA strain	Per 0.1 higher	29	-2.2 (-7.3 to 3.0)	0.39
VLA strain	Per 0.1 higher	27	3.1 (-7.4 to 13.6)	0.54
Radial strain	Per 0.1 higher	26	1.0 (-0.9 to 2.9)	0.29
Circumferential strain	Per 0.1 higher	26	-3.7 (-20.6 to 13.1)	0.65
<b><u>Response under max dobutamine stress</u></b>				
LV contractile reserve	Per 1% higher	31	0.4 (0.1 to 0.7)	0.020

<b>Variable</b>	<b>Comparison</b>	<b>Number of patients with measurement</b>	<b>Estimated effect on LVEF (%)</b>	<b>P-value</b>
RV contractile reserve	Per 1% higher	31	0.2 (-0.1 to 0.5)	0.17
SAX contour strain reserve	Per 0.1 higher	25	-2.7 (-6.8 to 1.4)	0.19
HLA contour strain reserve	Per 0.1 higher	21	-3.2 (-8.7 to 2.3)	0.24
VLA contour strain reserve	Per 0.1 higher	22	-6.3 (-12.8 to 0.1)	0.054
Radial strain reserve	Per 0.1 higher	22	-0.6 (-3.1 to 1.8)	0.59
Circumferential strain reserve	Per 0.1 higher	22	2.2 (-9.5 to 13.8)	0.70

**'Take home figure'**

Figure 2

**One –Sentence summary**

A low LV contractile reserve measured by dobutamine-stress CMR may have additional value in identifying dilated cardiomyopathy patients whose LVEF is less likely to recover.