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1 A new model study species: high accuracy of discrimination between
2 individual freckled hawkfish (*Paracirrhites forsteri*) using natural markings

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27 **Abstract**

28 Variation between distinct natural markings of freckled hawkfish (*Paracirrhites*
29 *forsteri*) could allow *in-situ* identification of individuals from underwater
30 photography. Receiver Operating Characteristic (ROC) analysis was used to
31 assess the ability of I³S software to assist in discriminating between images of
32 *P. forsteri* individuals. Our results show a high discriminant ability of I³S to
33 differentiate between unlike individuals and identify images of the same
34 individual. The ability to use automatic computer-aided assistance in this
35 species will allow future research to explore behaviour and movements of
36 individuals in the wild.

37 Key words: I³S, model species, natural marks, *Paracirrhites forsteri*,
38 population dynamics, ROC analysis

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48 Reliable identification of individuals in the wild can provide valuable insight
49 into animal behaviour, spatial distribution and habitat use (Bertulli *et al.*,
50 2015). The ability to identify individuals over time also allows population
51 demographic structure and dynamics to be inferred (Araujo *et al.*, 2017).
52 Developing an understanding of the behaviour, spatial distribution and
53 population ecology of a species is essential in order to design appropriate
54 management and conservation strategies (Benjamins *et al.*, 2018; Couturier
55 *et al.*, 2012).

56 Identification techniques used to study wild populations often rely on mark and
57 recapture programmes requiring invasive tagging methods such as fin
58 clipping, or external data logger fitting (Walker *et al.*, 2012). As an alternative,
59 non-invasive identification techniques rely on natural markings of an
60 individual. Using differences in appearance, photographic identification has
61 allowed individuals to be identified in several marine species including whale
62 sharks (*Rhincodon typus*), manta rays (*Mobula alfredi*) and white sharks
63 (*Carcharodon carcharias*) (Marshall *et al.*, 2011; McCoy *et al.*, 2018; Towner
64 *et al.*, 2013).

65
66 Automated photographic identification software enables faster analysis of
67 photo databases and allows analysis of databases which would be too large
68 to process manually (Hillman *et al.*, 2003). Interactive Individual Identification
69 System (I³S) is commonly used to differentiate individuals based on
70 pigmentation spot patterns (González-Ramos *et al.*, 2017; Keeping *et al.*,
71 2019; Van Tienhoven *et al.*, 2007).

72

73 The spot pattern of the freckled hawkfish (*Paracirrhites forsteri*) is a potentially
74 suitable natural marker for identifying individuals of this species. *P. forsteri*
75 (Order: Perciformes Family: Cirrhitidae) is a small mesopredator (22 cm
76 maximum standard length) inhabiting shallow coral reefs in the Indo-West
77 Pacific, Red Sea and East Africa (Lieske and Myers, 2002). This species has
78 been observed to show colour polymorphism throughout its range and has a
79 distinctive “freckle” spot pattern on the sides of the head and the front of the
80 body (Coker *et al.* 2017). *P. forsteri* is a useful model species because it
81 inhabits readily accessible, shallow sunlit waters and has relatively small
82 territory sizes.

83 The ability to identify individuals of *P. forsteri* would greatly aid *in-situ* studies
84 of growth rates, population dynamics, habitat use and individual behavioural
85 traits of a wild fish species. The territorial behaviour of *P. forsteri* is ideally
86 suited for testing I³S as a platform to identify individuals and support future
87 research. Here, we aim to assess the efficacy and accuracy of computer
88 aided photo ID to identify individuals of *P. forsteri*.

89 Our study was observational, using photography to record images of wild fish
90 *in-situ*. No fish were collected, killed or underwent any surgical or other
91 procedure that could cause severe distress or lasting harm.

92 A database of 268 images of *P. forsteri* was created from photographs taken
93 over a four-week period at the Abu Sautir Reef (Red Sea, Egypt, 26°12'19.33"
94 N 34°13'11.48" E) (Figure 1a). The site consisted of north and south sloping
95 reef walls enclosing a central sandy inlet allowing shore diving access.

96 Images were captured opportunistically by University of Glasgow students

97 and Open Ocean Dive Centre staff between 5 m and 10 m depth. Divers were
98 recreationally trained and no further specific training was given prior to data
99 collection. Each time a *P. forsteri* individual was encountered, three
100 photographs were taken of its left operculum using Fujifilm, XP130 and
101 Finepix Real 3D W3 digital cameras. Photographs were only discarded if focal
102 fish spot patterns was not fully visible in the frame (i.e. obscured by coral
103 substrate) and the focal fish spot pattern was not perpendicular.

104 To assess the ability of I³S classic (V4.02) to correctly match images of *P.*
105 *forsteri* a digital fingerprint file was built. Three standardised reference points
106 were selected to frame the identification area and 12-30 user-selected
107 markers were placed (Figure 1b). Reference points and markers were
108 selected as described in the I³S manual (Hartog and Reijns, 2007). No
109 photographic manipulation or editing was conducted, and images were
110 viewed at 100% zoom in the software. An initial database for *P. forsteri* was
111 built from images of fish identified as “new” individuals if they were
112 photographed on opposite sides of a fissure in the reef (> 55 m apart) and by
113 manual identification. *P. forsteri* has been shown to maintain territories of
114 ~290 m² (Kadota and Sakai, 2016). Although territories are likely to be non-
115 uniform shapes, *P. forsteri* individuals occupy the same area throughout the
116 day with the distance of any movements likely to be shorter than the 55 m
117 separation distance adopted here.

118

119 To assess the effectiveness of I³S for matching images of *P. forsteri*, a test set
120 of 134 images was presented to the database. I³S calculates a distance
121 metric (DM) as the sum of the distances between each spot pair divided by

122 the number of spot pairs squared. DM values are calculated between a newly
123 presented image and all other images in the database. More likely matches
124 have a lower DM and all images in the database are presented in ascending
125 DM order. Correctly matched images (true positives) were identified as the
126 same individual if the photographs had been taken consecutively in the series
127 of three taken during each fish encounter. Because I³S makes no distinction
128 between matching and non-matching images, only providing an DM, incorrect
129 matches are false positives and were identified when the photographs of *P.*
130 *forsteri* were taken at opposite sides of the reef (as described above). The
131 true and false positives were further confirmed by a thorough visual
132 examination of the images. The flow diagram (Figure 1c) illustrates the image
133 matching protocol.

134

135 A receiver operating characteristic (ROC) curve was used to compare the true
136 positive rate with the false positive rate for the test images (Figure 1d).
137 Youden's index was used to identify the point on the ROC curve which
138 maximised the true positive rate while minimising the false positive rate. This
139 point occurs on the ROC curve at the greatest distance from the diagonal line
140 (intercept=0, slope =1) and was used to identify the optimal DM cut off value.
141 We calculated the Area Under Curve (AUC) as an indicator of the
142 effectiveness of I³S software at identifying matching images and to be able to
143 compare our results with other studies using a similar method (Burns, 2013).
144 High AUC values close to 1 demonstrate better image matching ability of the
145 software. The dot and violin diagram (Figure 1e) graphically represent the
146 range of DM generated through all pairing of individuals known to be the

147 same (1) and known to be different (0), shown on the x-axis. The optimal DM
148 is also displayed as a dashed line on the dot and violin diagram (Figure 1e).

149

150 Our results show that the facial spot markings of *P. forsteri* can be used to
151 discriminate accurately between wild individuals. The ROC analysis and AUC
152 of 0.998 showed high discriminant ability using I³S to assist identifying
153 individual *P. forsteri* with computer-aided photo ID. The optimal DM of 5.065
154 occurred at a false positive rate of 0% and a true positive rate of 96%. These
155 results indicate that, in this sample group at least, individuals are highly likely
156 to be correctly identified as matching and very unlikely to be confused with
157 another individual.

158

159 This study shows that photographic identification is particularly effective at
160 identifying *P. forsteri* individuals. The use of computer-aided photo ID
161 assistance has the potential to speed up studies requiring a database of
162 known individuals. Establishing a threshold DM allows for rapid categorisation
163 of new images as either previously encountered or new individuals. Using a
164 defined threshold DM will allow us to identify *P. forsteri* individuals
165 consistently across studies in multiple locations with a standard protocol for
166 comparable results. The high efficacy of I³S makes *P. forsteri* an ideal model
167 species for future work requiring the rapid identification of numerous
168 individuals.

169

170 Use of I³S software for photographic identification of *P. forsteri* in long-term or
171 multi-year studies of individuals will be dependent on the temporal stability of

172 pigmentation spot patterns in this species. Successful individual identification
173 may become biased with any changes in natural markings over the course of
174 an individual's lifespan. Previous studies have demonstrated that permanent
175 melanophore spot patterns in Atlantic salmon (*Salmo salar*) enable individual
176 identification over extended periods of their life history (Stien *et al.* 2017).
177 Ontogenetic changes in *P. forsteri* spot patterns have not yet been evaluated.
178 If spot patterns prove to be stable over the life of this species non-invasive
179 studies of population dynamics will be possible.

180

181 The results of this study show particularly high precision and accuracy of
182 computer aided photo ID in identifying individuals of *P. forsteri*. The high
183 efficacy of this method coupled with the feeding and territorial behaviour of *P.*
184 *forsteri* make *in situ* studies of movement patterns, individual behaviours and
185 behavioural traits possible without the use of invasive identification
186 techniques.

187

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197 **Contributions**

198 M. M. conceived and designed the method, collected and analysed data and
199 prepared the manuscript. N. B. conceived and designed the method, analysed
200 data and edited the manuscript. C. H. conceived and designed the method,
201 analysed the data and edited the manuscript. G. H. designed the method. D.
202 M. edited the manuscript. D. B. conceived and designed the method and
203 edited the manuscript.

204

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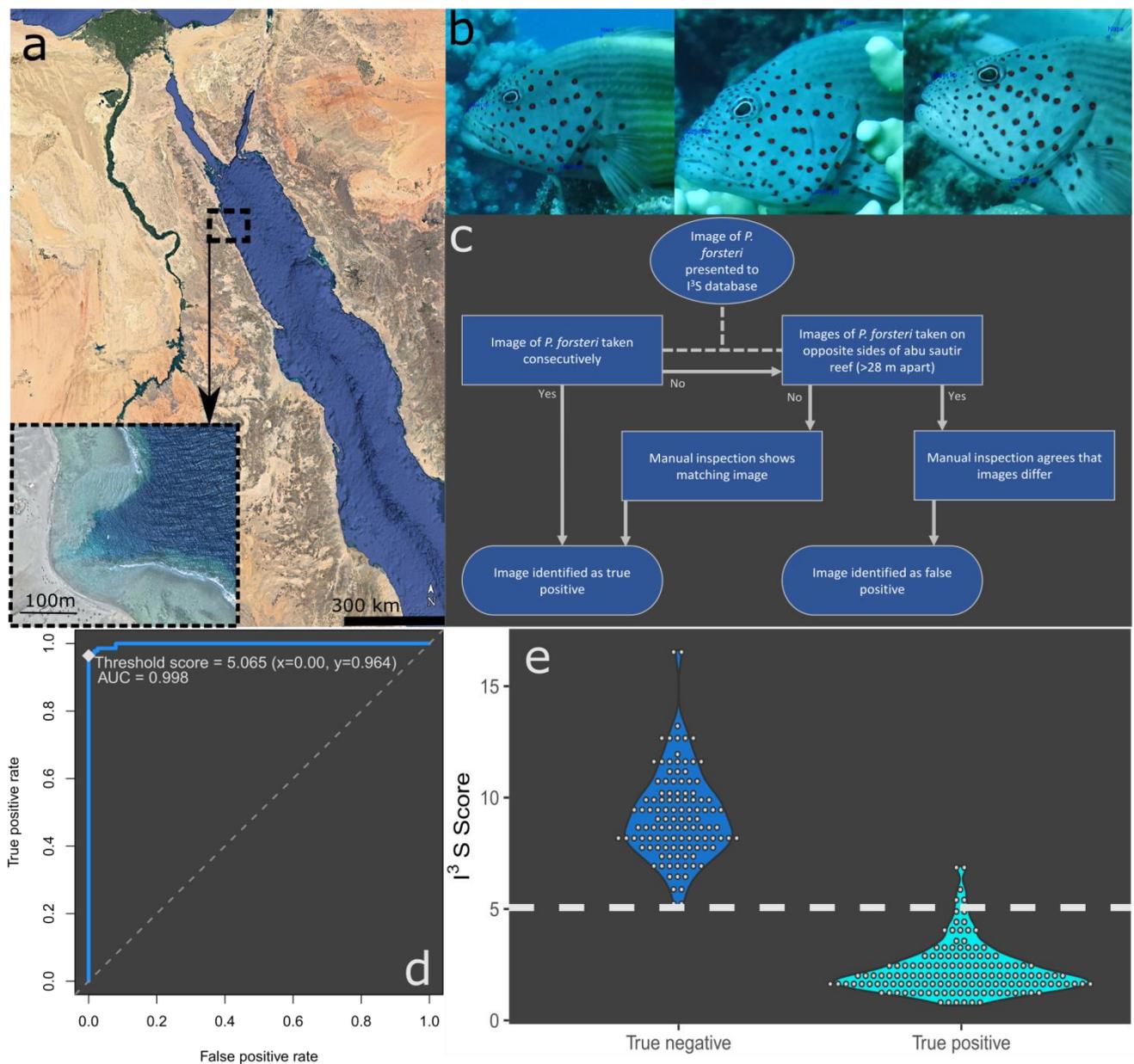
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290 Fig. 1. Study location at 26.205437°N and 34.219930°E on the Red Sea coast
 291 of Egypt (a). Three example photographs of *P. forsteri* with spot patterns
 292 including the three standard reference markers in blue and user-selected
 293 markers in red (b). Flow diagram describing matching protocol used to build
 294 the initial *P. forsteri* database of individuals (c). Receiver Operating
 295 Characteristic (ROC) curve displaying the high discriminant ability of I³S to
 296 differentiate between individual *P. forsteri* and the optimal discriminant
 297 threshold to identify *P. forsteri* individuals (d). Dot-plot showing the range of
 298 I³S distance metric scores (DM) categorised as true negatives and true
 299 positives. The dotted line indicates the optimal cut off identified from the ROC
 300 analysis (e).