

**Sergey Y. Yurish**  
**Editor**

# **Advances in Networks, Security and Communications: Reviews**



**Volume 2**



Advances in Networks, Security and Communications: Reviews,  
Volume 2



Sergey Y. Yurish  
Editor

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## **Volume 2**



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Sergey Y. Yurish  
*Editor*

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## Chapter 9

# Localization in GPS Denied Environment

**Heng Zhang, Siwen Chen, Chee Kiat Seow and Soon Yim Tan**

### 9.1. Introduction

Wireless localization is important in Emergency 911 subscriber safety service and sensor network applications, such as indoor navigation and surveillance [1-3] as more people spend more and more time in indoor environment. However, the Global Navigation Satellite System (GNSS) is hard to achieve satisfactory localization accuracy in indoor area due to the serious attenuation and multipath fading of the GPS (Global Positioning System) signal by walls and furniture [4-6]. The design of indoor localization system is required.

Such systems attempt to locate the mobile device (MD) by measuring the radio signals travelling between the MD and a set of reference devices (RDs) with known positions. The measured parameters can be related to the time of arrival (TOA) [7-9], angle of arrival (AOA) [10] and signal strength of the received signal or combination of these [11].

TOA and AOA based techniques require at least three and two RDs in Line-of-Sight (LOS) with MD respectively in a 2D environment. In our earlier work, we have proposed various techniques to find the MD location by leveraging on LOS path between any RD and MD pair [12-14]. However, in an indoor environment, LOS path may not exist and the received signal will be dominated by many NLOS paths [15]. The location error will be increased greatly if these NLOS paths are mistakenly used for localization. To solve this issue, many localization algorithms have been proposed which can be divided mainly into two categories. One category is focusing on mitigating the NLOS error by using weight method to minimize the contribution of NLOS RDs which turns out not reliable [16-18]. Another category is focusing on detecting the NLOS RDs then discarding them which will result in insufficient RD issue [19, 20] in dense multipath environment. Therefore, the indoor localization algorithms using LOS path only result in either low accuracy or insufficient RD issue.

Recently, localization schemes that are able to locate MD by using NLOS paths directly have been reported [21, 22]. In [21], Taylor series methodology is applied to find the MD location by means of initial guess of MD location and single bounce paths. In [22], the MD location can be determined if there exists at least two dominant NLOS paths without the need for initial estimation of MD location.

The objective of this chapter is twofold. Firstly, a novel method is presented to significantly improve the localization accuracy by using the concept of virtual RD (VRD) to determine MD location. The position of virtual RD for a given NLOS path can be determined by initial guess of the MD location [21]. Alternatively, the VRD location can also be found if the MD transits from LOS to NLOS region. After the positions of all VRDs are identified, the subsequent MD location can be determined by using just one dominant NLOS path and its corresponding VRD. The second objective of this chapter is to overcome the limitation of the earlier presented VRD based localization. The VRD based localization [23] does not mention how to match the estimated VR with the measured one-bound path and it requires both transceivers with the ability of measuring TOA and AOA. Furthermore in [24-26], various VRD based indoor localization algorithm with the knowledge of the layout map, where the location of VRs could be pre-calculated, are also developed. The difference is in [24], the algorithm jointly using TOA and AOA information measured at multiple RDs to reduce the multi-modal uncertainties of MD and this research only give simulation result. On the other hand, in [25] and [26], these algorithms either using tracking or measuring at multiple RDs to reduce the uncertainties with TOA information only. In [27], a simultaneous target and multipath positioning (STAMP) scheme based on joint TOA and AOA measurement is proposed. The multiple bounds paths are discarded by using multi-hypothesis data association. However, in some environment, like the enclosed meeting room, the multiple bounds will exist all the time and cannot be discarded.

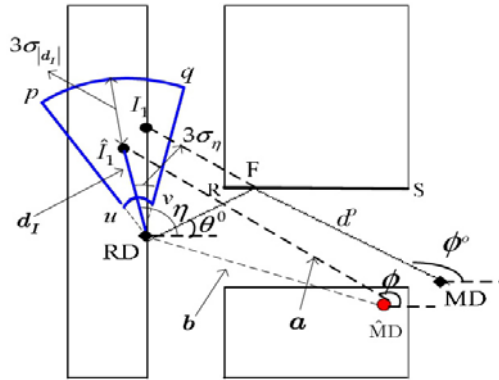
The second portion of this chapter presented an indoor VRD based TOA localization algorithm with the knowledge of environment layout. With the help of the layout map of the environment, the location of VRDs can be pre-calculated according to the multipath propagation model. The first step of the proposed algorithm involves estimation of the data association matrix through a least square (LS) estimator which is different with the conventional maximum likelihood (ML) or maximum a posteriori (MAP) estimator. The second step is using the associated observation data and paths to estimate the location of MD through weighted least square (WLS) method mentioned in [16]. To solve the multi-modal issue, the algorithm utilizes multiple RDs and centroid method. Due to some modals of MD are symmetric with the perpendicular bisector of any two VRs, by using multiple RDs placed unsymmetrically with the perpendicular bisector could mitigate the multi-modal issue. Furthermore, using the centroid of the minimum H number of square residual modals to estimate the data association matrix, the modals with very small square residual but far away from the MD could be pushed nearer to the vicinity of MD to mitigate the multi-modal issue.

## 9.2. Estimation of Virtual Reference Device

Fig. 9.1(a) illustrates the geometrical relationship between RD, MD and a virtual RD which is associated with a one bounce reflection path. RD has a known location  $(x_R, y_R)$  with measured data AOA  $\theta$ . MD has an unknown location  $(x, y)$  with measured data AOA  $\phi$ .  $\hat{MD}$  is the estimated MD position through the initial guess using Taylor Series Methodology [21] or using the available LOS measurement metrics [11, 12, 18, 21, 22]. The measurement data TOA  $t$  is related to the propagation distance using  $d=ct$  where  $c$  is the speed of wave propagation. The TOA (distance  $d$ ) and AOA measurement values are assumed to be perturbed by Gaussian noise:

$$\theta = \theta^0 + n_\theta, \phi = \phi^0 + n_\phi, d = d^0 + n_d, \quad n_\beta = N(0, \sigma_\beta) \quad \beta = \theta, \phi, d, \quad (9.1)$$

where  $\theta^0, \phi^0$  and  $d^0$  are the true TOA and AOA values of signal path, and  $n_\theta, n_\phi$  and  $n_d$  denote the zero mean Gaussian random noise with standard deviation  $\sigma_\beta$ .



**Fig. 9.1(a).** Position of virtual RD originated from RD.

As shown in Fig. 9.1(a),  $I_1$  is the true virtual RD of signal path RD-F-MD due to reflection at surface RS.  $\hat{I}_1$  is the estimated value of  $I_1$ . The position of virtual RD can be constructed from RD with the vector  $\mathbf{d}_I = |\mathbf{d}_I| \angle \eta$  where  $\eta = (\theta + \phi)/2$ .  $|\mathbf{d}_I|$  is the distance between RD and  $\hat{I}_1$  which can be written as:

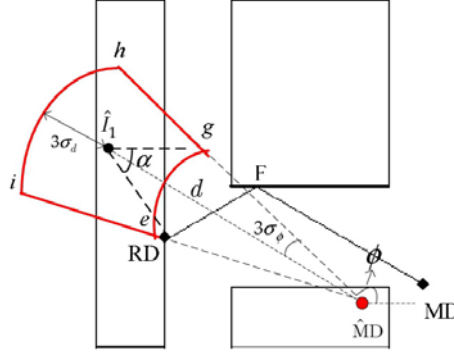
$$|\mathbf{d}_I|^2 = \mathbf{a}^T \mathbf{a} + \mathbf{b}^T \mathbf{b} - 2\mathbf{a}^T \mathbf{b}, \quad (9.2)$$

where  $\mathbf{a} = |\mathbf{a}| \angle \phi$  and  $\mathbf{b} = \mathbf{RD} - \hat{\mathbf{MD}}$ .  $|\mathbf{a}|$  is the distance between  $\hat{\mathbf{MD}}$  and  $\hat{I}_1$ , approximately equal to the measured TOA (distance) due to the signal path RD-F-MD. The position of virtual RD originated from RD can be constrained to an enclosed region,



$uvqp$ , with angle and distance measured from RD within  $[\eta - 3\sigma_\eta, \eta + 3\sigma_\eta]$  and  $[|d_I| - 3\sigma_{|d_I|}, |d_I| + 3\sigma_{|d_I|}]$ , where  $\sigma_\eta = (\sigma_\theta + \sigma_\phi) / 2$  and  $\sigma_{d_I} = \sigma_d |d_I| / |a|$  as depicted in Fig. 9.1(a)

Similarly, the position of virtual RD originated from  $\hat{MD}$  can be constructed within  $[\phi - 3\sigma_\phi, \phi + 3\sigma_\phi]$ ,  $[|a| - 3\sigma_d, |a| + 3\sigma_d]$  that is,  $eghi$ , as shown in Fig. 9.1(b).



**Fig. 9.1 (b).** Position of virtual RD originated from  $\hat{MD}$ .

The estimated virtual RD is determined from the  $N$  vertices of the intersections of the two earlier obtained virtual RD regions,  $abfmnr$ , as shown in Fig. 9.1(c). Without the loss of generality,  $a$  is chosen as  $(x_1, y_1)$  and  $r$  as  $(x_N, y_N)$ . The coordinates of the  $N$  ( $N = 6$  in this case) vertices are ordered clockwise from  $a$ ,  $(x_1, y_1)$  to  $r$ ,  $(x_N, y_N)$ . To determine  $\hat{I}_1$  using weighted least square distance methodology [28], the intersection area is divided into a set of  $N-2$  triangles using  $a$  as a reference. In this case, there will be four triangles namely  $abf$ ,  $afm$ ,  $amn$  and  $anr$ .  $J$  is the weighted least square distance to all the triangular centroid points, which is defined as

$$J = \sum_{j=1}^{N-2} w_j \left( (x_{cj} - x_I)^2 + (y_{cj} - y_I)^2 \right), \quad (9.3)$$

where  $(x_I, y_I)$  is the location of  $\hat{I}_1$ ,  $(x_{cj}, y_{cj})$  is the centroid of the  $j^{\text{th}}$  triangular.  $w_j$  is the weighting factor which is chosen to be proportional to the area of the  $j^{\text{th}}$  triangle. (9.3) can be re-arranged in matrix form as

$$J = (\mathbf{H}\hat{\mathbf{I}}_1 - \mathbf{C})^T \mathbf{W} (\mathbf{H}\hat{\mathbf{I}}_1 - \mathbf{C}), \quad (9.4)$$

where  $\mathbf{C}$  is the coordinate of all triangular centroids given as  $\mathbf{C} = [\mathbf{c}_1, \dots, \mathbf{c}_j, \dots, \mathbf{c}_{N-2}]^T = [x_{c1}, y_{c1}, \dots, x_{cj}, y_{cj}, \dots, x_{cN-2}, y_{cN-2}]^T$ .  $\mathbf{H} = [\mathbf{h}_1, \mathbf{h}_2, \dots, \mathbf{h}_j \dots \mathbf{h}_{N-2}]^T$  and  $\mathbf{h}_j = \mathbf{I}_{2 \times 2}$ , a  $2 \times 2$  identity matrix.

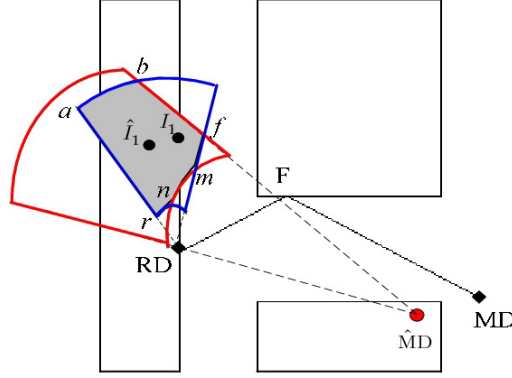


Fig. 9.1(c). Intersection of virtual RD regions.

$$\mathbf{W} = \frac{1}{\sum_{k=1}^{N-1} \det(\mathbf{P}_k) + \begin{vmatrix} x_N & y_N \\ x_1 & y_1 \end{vmatrix}} \text{diag}(\mathbf{B}_1 \dots \mathbf{B}_j \dots \mathbf{B}_{N-2}),$$

where  $\mathbf{P}_k = \begin{bmatrix} x_k & y_k \\ x_{k+1} & y_{k+1} \end{bmatrix}$ ,  $\mathbf{B}_j = \text{diag}(\det(\mathbf{S}_{j+1} \times \mathbf{S}_{j+2}), \det(\mathbf{S}_{j+1} \times \mathbf{S}_{j+2}))$ ,  $\mathbf{S}_{j+1} = [x_{j+1} - x_1 \quad y_{j+1} - y_1]$ , and  $\mathbf{S}_{j+2} = [x_{j+2} - x_1 \quad y_{j+2} - y_1]$ . Finally, the estimated virtual RD  $\hat{\mathbf{I}}_1$  corresponding to the NLOS path RD-F-MD can be calculated using

$$\hat{\mathbf{I}}_1 = [x_I \ y_I]^T = \arg \{ \min J \} = (\mathbf{H}^T \mathbf{W} \mathbf{H})^{-1} \mathbf{H}^T \mathbf{W} \mathbf{C} \quad (9.5)$$

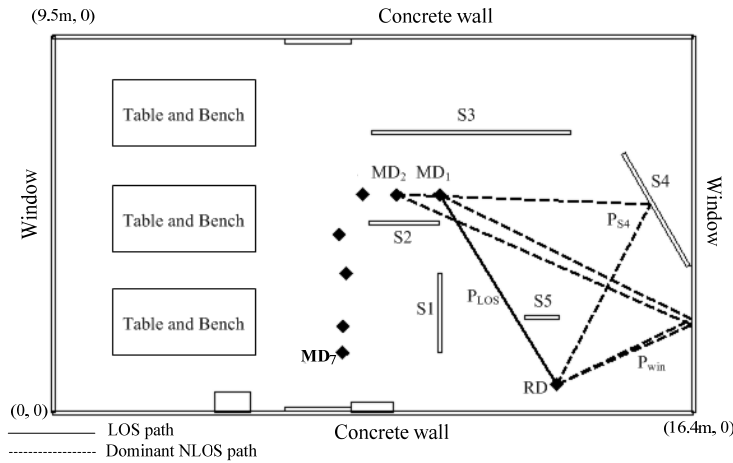
The virtual RDs for other NLOS paths can be determined similarly. When MD moves to a new location, the virtual RD that corresponds to the dominant NLOS path at new location can be identified by using measured TOA and AOA of that path. Based on the measured TOA, AOA and the corresponding virtual RD, new MD position can be determined as:

$$\hat{\mathbf{M}}\mathbf{D} = [x \ y]^T = \hat{\mathbf{I}}_1 + \mathbf{D} = (\mathbf{H}^T \mathbf{W} \mathbf{H})^{-1} \mathbf{H}^T \mathbf{W} \mathbf{C} + \mathbf{D}, \quad (9.6)$$

where  $\mathbf{D} = \begin{bmatrix} d \cos([\phi - \theta] / 2 + \alpha) & d \sin([\phi - \theta] / 2 + \alpha) \end{bmatrix}^T$  is the measured path vector due to dominant NLOS path with  $\alpha = \tan^{-1}[(y_R - y_I) / (x_R - x_I)]$  (see Fig. 9.1(b)). At each MD location, all virtual RDs will be recalculated. It is noteworthy that (9.6) only requires measured TOA and AOA to estimate the MD location. It does not require prior knowledge of the location, orientation and nature of the obstacles in the environment.

### 9.3. Simulation and Experimental Results for VRD Based Localization

To check the accuracy and robustness of our proposed localization scheme, simulation and experiment will be carried out in an indoor environment with dimension  $16.4 \text{ m} \times 9.5 \text{ m}$  along X and Y axis such that  $0 \leq x \leq 16.4 \text{ m}$  and  $0 \leq y \leq 9.5 \text{ m}$ . This dimension also corresponds to Internet of Things (IoT) laboratory at School of EEE, Nanyang Technological University (NTU) as shown in Fig. 9.2. In this simulation, the RD is fixed at (12.9 m, 0.7 m) with 5,000 uniformly distributed MD locations. The obstacles are assumed to be randomly distributed with the probability of NLOS path assumed to be  $1 - e^{-r/\lambda}$  [15] where  $r$  is the direct distance between RD and MD, while  $\lambda$  is the mean distance from RD to obstacles.  $\lambda$  is chosen to be 5 m and 10 m [15] which translates to NLOS path's probability of 70 % and 45 % respectively. Distance standard deviation is assumed to be 2 m. Angle standard deviations vary from  $1^\circ$  to  $10^\circ$  [22].



**Fig. 9.2.** Geometry of IoT laboratory at School of EEE, NTU.

Fig. 9.3 depicts the average location error (ALE) performance by comparing our proposed localization scheme with the existing NLOS localization schemes in [21] and [22]. Comparison is also made with conventional TOA/AOA and TOA localization schemes with their NLOS mitigation techniques in [19] and [18], respectively. Because [18] and [19] require at least two and three RDs respectively, another three RDs are placed symmetrically at (3.5 m, 0.7 m), (3.5 m, 8.8 m) and (12.9 m, 8.8 m) near the other three corners. In other word, [18] and [19] will use four RDs to perform localization. In our

proposed localization scheme and [21], the initial MD location is assumed to be randomly distributed within a circle centered at MD location with radius equal to 5 % of the distance between RD and MD [21-22]. As shown, our proposed NLOS localization technique based on one RD achieves an ALE of less than 2 m under both cases:  $\lambda = 5$  m and 10 m, outperforming all existing localization schemes. Cong and Zhuang [19] achieves the ALE of 8.7 m and 6.5 m, while Jia and Buehrer [18] has the ALE 8.5 m and 6.1 m for  $\lambda = 5$  m and 10 m, respectively. Seow and Tan [22] and Li et al. [21] are not shown as the ALE are more than 15 m. The reason is that in [22], the accuracy will be seriously degraded when the angle between the obstacles is very small whereas in [21] the Taylor series methodology only works well when there is a good initial guess and small measured parameters' standard deviation.

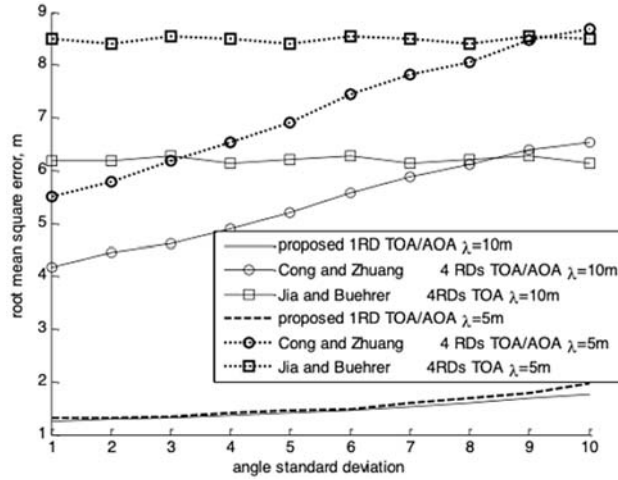


Fig. 9.3. ALE performance comparisons.

To test the performance of our proposed localization scheme in a real environment, experiment is conducted at IoT laboratory. There are glass windows, concrete walls and five dominant metallic obstacles, namely S1, S2, S3, S4 and S5 as shown in Fig. 9.2. In the experiment, RD is fixed at (12.9 m, 0.7 m) while MD moves from MD<sub>1</sub> to MD<sub>7</sub>. MD<sub>1</sub> and MD<sub>7</sub> are in LOS and the rest are in NLOS condition. The experiment is carried out using vector network analyzer (VNA) with frequency sweep from 2 to 3 GHz over 1601 frequency points. A 4×4 virtual antenna array with element spacing of 5 cm that corresponds to half a wavelength at 3 GHz is used at both RD and MD. At each MD location, 16 S21 measurement data for each frequency point is used to obtain the average. Using the average data, TOA and AOA of two dominant paths at each MD location will be calculated by parameter estimation EM algorithm [29]. The EM algorithm can extract the TOA and AOA of the signal path as long as its signal is above the threshold. These values are used to determine MD location using equation (9.6). Root mean square (RMS) error pertains to the actual MD location is given as  $\sqrt{(x - x^0)^2 + (y - y^0)^2}$ , where  $(x^0, y^0)$  and  $(x, y)$  are the true and estimated MD location respectively.

Based on the TOA and AOA data that obtained from the average of 16 measurement data at each of the 7 location points, the angles standard deviation of the dominant paths at both RD and MD are found to be  $5.1^\circ$  and  $7.0^\circ$  respectively. Distance standard deviation is found to be 0.51 m. Table 9.1 shows the localization RMS error comparison of the proposed localization scheme with existing NLOS localization schemes [21-22]. The average RMS error of our proposed localization scheme for the 7 location points is calculated to be 1.6 m as compared to the average RMS error of 21.3 m and 8.6 m in [21] and [22] respectively. At each MD location, we can identify whether the dominant NLOS path undergoes one or multiple reflections by checking the measured distance and angle satisfy the triangular relationship of a single bounce path.

**Table 9.1.** Comparison of RMS error (m) from MD1 to MD7.

	MD <sub>1</sub>	MD <sub>2</sub>	MD <sub>3</sub>	MD <sub>4</sub>	MD <sub>5</sub>	MD <sub>6</sub>	MD <sub>7</sub>
Proposed scheme	0.34	0.26	0.48	2.87	3.05	3.66	0.84
Seow and Tan [22]	0.62	0.4	0.57	4.04	14.9	38.7	1.22
Li et al. [21]	0.34	0.35	46.6	47	37	17	0.76

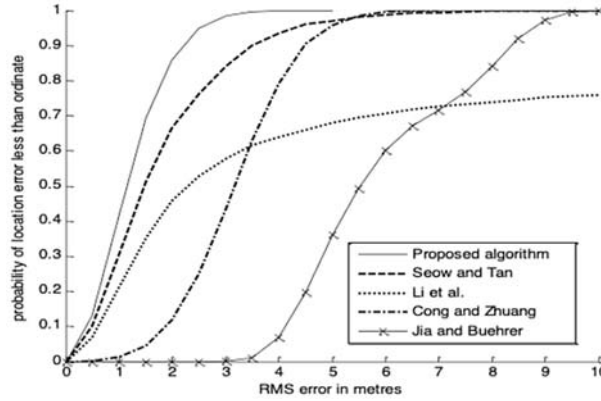
Table 9.2 shows the correlation of parametric estimation based on EM algorithm and ray tracing methodology [30] at MD<sub>1</sub> and MD<sub>2</sub>. At MD<sub>1</sub> the dominant paths are LOS path ( $P_{LOS}$ ) and one reflected path from window ( $P_{win}$ ), whereas at MD<sub>2</sub> there are two one reflected paths from window and S4 ( $P_{win}$  and  $P_{S4}$ ). As shown, the propagation paths simulated using ray tracing are well correlated with measured paths in the experiment. Thus, we can use the data metrics from the ray tracing methodology and add Gaussian noise statistically to evaluate the performance of our proposed localization scheme. The true TOA and AOA of each signal path between RD and MD are subjected to Gaussian noise with zero mean and known standard deviation. RMS error is calculated for 5,000 simulation runs. To compare with [18] and [19], another three RDs are placed at the same positions as the one in the ALE performance result.

**Table 9.2.** Correlation between EM Algorithm [29] and Ray Tracing [30].

	Extracted path from measured data ( $d$ , $\phi$ , $\theta$ )			Ray traced path ( $d$ , $\phi$ , $\theta$ )
MD <sub>1</sub>	6 m	302°	122.9°	$P_{LOS}$ (5.7 m, 302°, 122°)
	11.1 m	334°	25°	$P_{win}$ (11 m, 334°, 26°)
MD <sub>2</sub>	12 m	335°	21°	$P_{win}$ (12 m, 336°, 24°)
	11.7 m	359°	61°	$P_{S4}$ (11.7 m, 358°, 62°)

Fig. 9.4 depicts the accuracy of proposed localization scheme and makes comparison with existing localization schemes in terms of cumulative distribution function when MD

transits from LOS condition at MD<sub>1</sub> (9.9 m, 5.5 m) to NLOS at MD<sub>2</sub> (8.8 m, 5.5 m). At MD<sub>1</sub>, first dominant LOS path is exploited to estimate the MD [9]. After MD location has been estimated, the virtual RD corresponding to the NLOS path  $P_{win}$  can be determined. When MD moves to the next position MD<sub>2</sub>, based on equation (9.6), we are able to use the calculated virtual RD associated with  $P_{win}$  and the new measured data (TOA and AOA) at MD<sub>2</sub> to estimate MD<sub>2</sub> location. As shown in Fig. 9.4, our proposed localization scheme using one reflection path outperforms the existing localization schemes. For example, under  $\sigma_d = 1$  m,  $\sigma_\theta = \sigma_\phi = 5^\circ$ , our proposed localization scheme achieves the accuracy of 2.3 m for 90 % of the time as compared with 3.6 m and 4.5 m in [22] and [19] respectively. The margin of improvement are 36 % and 49 % respectively.



**Fig. 9.4.** Comparison of cumulative distribution function (CDF) performance for MD<sub>2</sub> at (8.8 m, 5.5 m) under  $\sigma_d = \sigma_r = 1$  m,  $\sigma_\theta = \sigma_\phi = 5^\circ$ .

## 9.4. Theory and Formulation for VRD TOA Localization Algorithm

### 9.4.1. Environment, Channel Response and Multipath Model

Previous section presented a NLOS localization scheme based on the concept of VRD. Simulation and experimental results have shown that the proposed NLOS localization scheme using one RD outperforms the existing localization schemes by significant margin at all measured and simulated locations. However, the VRD based localization does not mention how to match the estimated VRD with the measured one-bound path and it requires both transceivers with the ability of measuring TOA and AOA which is an expensive approach. This section presents a VRD based TOA localization algorithm with the knowledge of environment layout that overcomes above limitation.

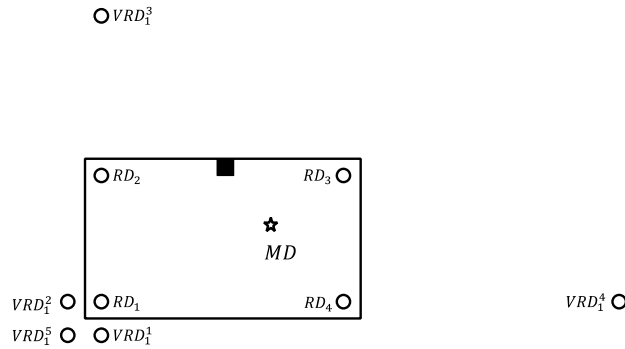
The layout map of the environment is shown in Fig. 9.5, where the solid line is the boundary of the enclosed room and  $VRD_i^j$  represents the  $j^{th}$  VRD of  $RD_i$ . The star represents one of the MD locations in experiment. In this proposed scheme, the VRD

under one-bound and two-bounds are taken into account and higher reflections are neglected.

Suppose in a cooperative manner, the time synchronization issue has been solved. The measured TOA at  $RD_i$  and traced to  $VRD_i^j$  can be represented as

$$R_i^j = d_i^j + \varepsilon_i^j = \sqrt{(x - x_i^j)^2 + (y - y_i^j)^2} + \varepsilon_i^j, \quad (9.7)$$

where  $\mathbf{p} = (x, y)$  and  $\mathbf{p}_i^j = (x_i^j, y_i^j)$  represent the position of RD and VRD, respectively. And  $\varepsilon_i^j$  represents the Gaussian distributed ranging error with zero mean and standard deviation (std) of  $\sigma_i^j$ .



**Fig. 9.5.** Layout map of the environment, where  $VRD_i^j$  represents the  $j^{th}$  VRD of  $RD_i$ .

Furthermore, some measurements for each RD cannot be traced to any VRD and may come from other scatterers such as ceilings and floors. These scatterers are not considered in the model, and the generated measurements are treated as clutter, which is denoted as  $R_i^c$  for clutter received at  $RD_i$ . The  $R_i^c$  follows a uniform distribution [31]

$$R_i^c \sim U[0, R_{max}], \quad (9.8)$$

where  $R_{max}$  is the maximum value for the range measurement, which is selected to be sufficiently greater than the maximum possible distance to any VRD. It should also be noted that some LOS or reflection paths in the real environment may be blocked by obstructers, which means that some VRDs cannot be assigned any measurement. Therefore, the TOA measured at  $RD_i$ , denoted as  $\tilde{R}_i$ , contains two types of measurements: effective measurements (unblocked LOS and reflection paths) and clutter. To localize the MD, the data association process should be performed to filter out the clutter and estimate the correct association between the measurements and the corresponding VRDs.

### 9.4.2. Two-step Weighted Least Squares Localization

Suppose that the data association has been performed, the clutter has been filtered out, and the correct association result is estimated. The MD can be localized with a two-step weighted least squares algorithm using associated paths, which will be summarized as follows. Square both side of (9.7) we can get

$$(R_i^j)^2 = K_i^j - 2x_i^j x - 2y_i^j y + x^2 + y^2, \quad (9.9)$$

where  $K_i^j = (x_i^j)^2 + (y_i^j)^2$ . By introducing  $R^2 = x^2 + y^2$ , (9.9) can be linearized as

$$-2x_i^j x - 2y_i^j y + R^2 + K_i^j = (R_i^j)^2 \quad (9.10)$$

By defining  $\mathbf{p}_a = [x, y, R^2]^T$ , and assuming  $R^2$  is independent of  $x$  and  $y$ , (9.10) can be arranged in matrix form as

$$\mathbf{A}_i \mathbf{p}_a + \mathbf{K}_i = \mathbf{b}_i, \quad (9.11)$$

where

$$\mathbf{A}_i = \begin{pmatrix} -2x_i^0 & -2y_i^0 & 1 \\ -2x_i^1 & -2y_i^1 & 1 \\ \vdots & \vdots & \vdots \\ -2x_i^M & -2y_i^M & 1 \end{pmatrix}, \mathbf{K}_i = \begin{pmatrix} (K_i^0)^2 \\ (K_i^1)^2 \\ \vdots \\ (K_i^M)^2 \end{pmatrix}, \mathbf{b}_i = \begin{pmatrix} (R_i^0)^2 \\ (R_i^1)^2 \\ \vdots \\ (R_i^M)^2 \end{pmatrix},$$

where  $M$  is the number of VRDs taken into account. It should be noted that (9.11) considers perfect data association. To incorporate the data association, the data association matrix is introduced and (9.11) can be expressed as

$$\boldsymbol{\varphi}_i = \mathbf{P}_i^1 \mathbf{Z}_i - \mathbf{P}_i^2 (\mathbf{A}_i \mathbf{p}_a + \mathbf{K}_i), \quad (9.12)$$

$$\mathbf{Z}_i = \begin{pmatrix} (\tilde{R}_i^1)^2 \\ (\tilde{R}_i^2)^2 \\ \vdots \\ (\tilde{R}_i^{N_i})^2 \end{pmatrix}, \boldsymbol{\varphi}_i = \begin{pmatrix} 2R_i^1 \varepsilon_i^1 + (\varepsilon_i^1)^2 \\ 2R_i^2 \varepsilon_i^2 + (\varepsilon_i^2)^2 \\ \vdots \\ 2R_i^{L_i} \varepsilon_i^{L_i} + (\varepsilon_i^{L_i})^2 \end{pmatrix},$$

where  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$  are  $L_i \times N_i$  and  $L_i \times (1 + M_i)$  data association matrix, respectively, where  $L_i = \min(N_i, (1 + M_i))$ . The process of determination of data association matrix  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$  is the data association process which would be illustrated later. The  $\boldsymbol{\varphi}_i$  represents the noise term. Then the  $\mathbf{p}_a$  can be estimated as



$$\hat{\mathbf{p}}_a = \arg \min_{\mathbf{p}_a, \mathbf{P}_i^1, \mathbf{P}_i^2} E[\boldsymbol{\varphi}^T \boldsymbol{\Psi}^{-1} \boldsymbol{\varphi}], \quad (9.13)$$

where  $\boldsymbol{\varphi} = [\varphi_1, \varphi_2, \varphi_3, \varphi_4]^T$  and  $\boldsymbol{\Psi} = \text{diag}\{\boldsymbol{\Psi}_1, \dots, \boldsymbol{\Psi}_4\}$  where  $\boldsymbol{\Psi}_i = E[\boldsymbol{\varphi}_i \boldsymbol{\varphi}_i^T] = 4\mathbf{B}_i \mathbf{Q}_i \mathbf{B}_i$ ,  $\mathbf{B}_i = \text{diag}\{\mathbf{R}_i^1, \dots, \mathbf{R}_i^{L_i}\}$ ,  $\mathbf{Q}_i = \text{diag}\{(\sigma_i^0)^2, \dots, (\sigma_i^{L_i})^2\}$ .

And the covariance matrix of  $\mathbf{p}_a$  can be given as  $\text{cov}(\mathbf{p}_a) = (\mathbf{G}^T \boldsymbol{\Psi}^{-1} \mathbf{G})^{-1}$ , where  $\mathbf{G} = (\mathbf{G}_1 \ \mathbf{G}_2 \ \mathbf{G}_3 \ \mathbf{G}_4)^T$  and  $\mathbf{G}_i = \mathbf{P}_i^2 \mathbf{A}_i$ . It should be noted that the data association matrix  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$  should be estimated simultaneously with  $\mathbf{p}_a$  due to the system does not have any prior knowledge about MD. After estimated  $\mathbf{p}_a$ , the final position of MD can be estimated followed as [32]. In the next section, we focused on how to estimate the data association matrix  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$ .

### 9.4.3. Proposed Grid-based Data Association

In this section, the grid-based data association algorithm is proposed to estimate the data association matrix. A given accurate floor plan can be divided into grid points. At each grid point, a set of noiseless path lengths to each RD and VRD can be calculated denoted as  $\mathbf{R}_i$ . Suppose the measured data set denoted as  $\tilde{\mathbf{R}}_i$ , the element in  $\tilde{\mathbf{R}}_i$ , denoted as  $\tilde{R}_i^k$ , and element in  $\mathbf{R}_i$  denoted as  $R_i^j$ . Then, at each grid point, the data association process is to assign the elements in  $\tilde{\mathbf{R}}_i$  to the elements in  $\mathbf{R}_i$  and make the overall difference minimum. Then this data association process can be expressed as

$$\langle \tilde{R}_i^k, R_i^j \rangle = \arg \min_{j,k} |\tilde{R}_i^k - R_i^j|, \text{ subject to: } |\tilde{R}_i^k - R_i^j| < d_i, \quad (9.14)$$

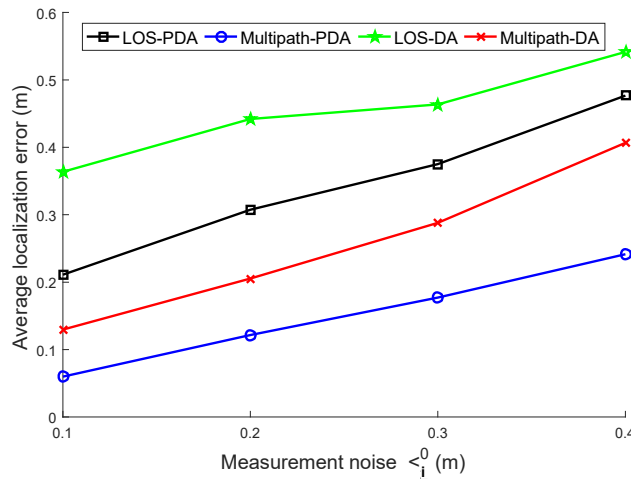
where  $\langle \tilde{R}_i^k, R_i^j \rangle$  represents the  $k^{\text{th}}$  observation is associated with the  $j^{\text{th}}$  path. And  $d_i$  is a threshold used to reject the observation-to-path pair with large distance difference. The threshold  $d_i$  called cut-off distance, usually selected as two to three times of  $\sigma_i^0$  [33]. To determine the data association matrix  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$  at each grid point, the data association process should be iterative performed  $L_i$  times. For  $l^{\text{th}}$  iteration, if there is a set  $\tilde{R}_i^k, R_i^j$  satisfies (9.14) which means associated, then the  $k^{\text{th}}$  column of  $l^{\text{th}}$  row of  $\mathbf{P}_i^1$  and  $j^{\text{th}}$  column of  $l^{\text{th}}$  row of  $\mathbf{P}_i^2$  would be assigned to '1'. Otherwise, the  $l^{\text{th}}$  row of both  $\mathbf{P}_i^1$  and  $\mathbf{P}_i^2$  are zeros. It should be noted that each measurement and path can only be associated once, then each row and column in both data association matrices have at most a single 1. Some rows in both data association matrices may contain only 0. A row of all zeros in  $\mathbf{P}_i^2$  means that the corresponding path is blocked so that no measurement is associated with it. Similarly, a row of all zeros in  $\mathbf{P}_i^1$  indicates that the corresponding measurement is a clutter, so no path is associated with it.

At each grid point, we can perform data association and calculate the mean square residual  $E[\boldsymbol{\phi}^T \boldsymbol{\Psi}^{-1} \boldsymbol{\phi}]$  using (9.13). The possible MD position will then be considered near the grids with the minimum square residual. The final estimation of  $\mathbf{p}_i^1$  and  $\mathbf{p}_i^2$  can then be determined by performing data association at the centroid of  $H$  grids with the minimum square residual. After estimated the data association matrix, the MD can be localized using the two-step weighted least square method introduced above.

### 9.5. Simulation Result for VRD Based TOA Localization

To evaluate the performance of our proposed VRD based TOA localization algorithm, simulation and experiment were performed in the environment as shown in Fig. 9.5. The environment was a closed meeting room environment with dimensions of  $8.3 \text{ m} \times 7.3 \text{ m}$  in the INFINITUS laboratory at the School of EEE, Nanyang Technological University (NTU). Four RDs are placed at the corners of the meeting room with coordinates of (1.4, 1), (1.4, 6.3), (7.1, 6.1), and (7.3, 1) respectively. The MD is placed at a  $4 \times 4$  rectangular grids with 1 m intervals between each grid, for a total of 16 positions, with coordinates from (2.4, 2) to (5.4, 5).

We considered four situations to compare. The first situation, which considers only LOS paths with perfect data association results, is called LOS-PDA. The second situation, which considers both LOS and NLOS paths with perfect data association results, is called multipath-PDA. The LOS-PDA and the multipath-PDA are both used as benchmarks. The third situation, which considers only the LOS path but assumes that the shortest path is the LOS path, is called LOS-DA. The fourth situation, which considers both LOS and NLOS paths but requires data association to associate multipath components with their corresponding VRDs, is called multipath-DA. The number of grids,  $H$ , with the minimum square residual used to estimate the final association matrices is set to 6.



**Fig. 9.6.** Comparison of average localization error (ALE) with different levels of measurement noise  $\sigma_i^0$ .

The performance comparison of the proposed algorithms with different levels of measurement noise  $\sigma_i^j$  was given. The measurement noise of LOS path  $\sigma_i^0$  varied from 0.1 m to 0.4 m. To account for the reflection loss, the measurement noises of the reflection paths are doubled for each reflection, which means that the measurement noises of the single and double reflection paths were  $2\sigma_i^0$  and  $4\sigma_i^0$ , respectively. Each algorithm was reiterated 25 times using different random sequences to generate measurements. The probability for a path been blocked is set as 0.9. The pillar shown in Fig. 9.5 was considered to be a point scatterer that generates clutter. The average localization error (ALE) of the MD are presented in Fig. 9.6. The multipath-DA achieved ALE between 0.15 m and 0.4 m when  $\sigma_i^0$  was varied from 0.1 m to 0.4 m. The multipath-DA performs even better than the LOS-PDA because the number of LOS paths is insufficient to localize the MD at some points. This result shows the ability of the proposed multipath-DA to work in situations with an insufficient number of LOS paths.

## 9.6. Conclusion

We have presented a novel NLOS localization scheme based on the concept of virtual RD. Simulation and experimental results have shown that our proposed NLOS localization scheme using one RD outperforms the existing localization schemes by significant margin at all measured and simulated locations. Furthermore, to overcome the expensive methodology of using both TOA and AOA, another TOA-based indoor localization algorithm is presented that uses multipath components with accurate knowledge of the floor plan. The NLOS paths are associated with their corresponding VRDs with the proposed grid-based data association method. The data association process is integrated with the two-step weighted least squares method by the proposed data association matrix. The simulation result show that the proposed TOA-based indoor localization algorithm using multipath components outperformed the conventional TOA-based indoor localization algorithm using LOS only in terms of localization accuracy.

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# **Advances in Networks, Security and Communications: Reviews, Volume 2**

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