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Investigating interactions between UK horse owners and prescribers of anthelmintics

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19 **ABSTRACT**

20 Helminths are common pathogens of equids and anthelmintic resistance is a major issue in
21 cyathostomin species and *Parascaris equorum*. At the heart of mitigating the impact of
22 increasing anthelmintic resistance levels, is the responsible dissemination and use of these
23 medicines following best practice principles. There is a paucity of information on interactions
24 between horse owners and anthelmintic prescribers and how this shapes control. Here, a
25 study was undertaken to determine opinions and experiences of horse owners as they relate to
26 anthelmintics purchase and implementation of best practice control. An online survey was
27 distributed via email and social media to explore owners' experiences of purchasing
28 anthelmintics from United Kingdom prescribers, these being veterinarians, suitably qualified
29 persons (SQPs) and pharmacists. Owner responses (n=494) were analyzed statistically to
30 compare answers of respondents grouped according to: (i) from whom they bought
31 anthelmintics (Veterinarians n=60; SQPs n=256; Pharmacists n=42; More than one channel
32 n=136), and (ii) by which route (Face-to-face n=234; Telephone n=31; Online n=226) they
33 purchased. Owners who purchased from veterinarians predominantly did so face-to-face
34 (81.3%), whilst those that bought from SQPs purchased via face-to-face (48.8%) and online
35 (46.0%) interactions. Those who purchased from pharmacists predominantly bought
36 anthelmintics online (76.2%). Participants who bought from veterinarians were more likely to
37 view certain factors (i.e. time to talk to the supplier, supplier knowledge) as more important
38 than those who purchased from other prescribers. Those who purchased from veterinarians
39 were more likely to be recommended faecal egg count (FEC) test analysis; however, there
40 was high uptake of FEC testing across all groups. There was a low uptake of anthelmintic
41 efficacy testing; regardless of the prescriber type from whom anthelmintics were purchased.
42 Those who purchased from veterinarians were more likely to agree that anthelmintics should
43 be sold as veterinary prescription-only medicines. Those who purchased online (regardless of

44 which type of prescriber they bought from) were less likely to consider prescriber advice or
45 knowledge when deciding which product to buy and indicated that sellers were less likely to
46 raise use of anthelmintics for targeting parasites. Across all groups, many owners stated that
47 they were aware of or used non-chemical control measures such as dung removal and
48 diagnostic FEC testing to target treatments. In summary, there were some differences in the
49 type of advice provided at the point of purchase and this was dependent upon whom
50 anthelmintics were purchased from and by which route they were bought.
51

52 **1. Introduction**

53 Gastrointestinal nematodes of equids are an important cause of disease worldwide (Nielsen et
54 al., 2010; Reinemeyer, 2012; Matthews, 2014a). Traditionally, control of these parasites has
55 been achieved using all-group interval treatment programmes (Smith 1976; Nielsen, 2012).
56 While these programmes have been proposed to have led to a reduction in parasite-associated
57 disease in equids, they have contributed to the widespread prevalence of anthelmintic
58 resistance in some nematode species (Kaplan, 2002; Matthews, 2014b; Peregrine et al. 2014).
59 Resistance to benzimidazoles and tetrahydropyrimidines is widespread in the highly prevalent
60 cyathostomin group of nematodes, with emerging resistance to macrocyclic lactones also
61 reported in these parasites (Kaplan, 2002; von Samson-Himmelstjerna, 2012; Matthews,
62 2014b). There have also been many reports of resistance to macrocyclic lactones in the
63 common parasite of foals, *Parascaris equorum* (Reinemeyer, 2012). From the publicly
64 available information, it would appear that no new equine anthelmintic compounds are near
65 market in the short to mid term, so control programmes must now balance the maintenance of
66 potency of any currently-effective anthelmintics with the necessity to control disease
67 associated with pathogenic burdens. In the last 10-20 years, helminth control programmes
68 that involve the use of diagnostics such as faecal egg count (FEC) analysis and/or the
69 application of strategic anthelmintic treatments at specific times of year have been
70 recommended (Herd, 1993; Proudman and Matthews, 2000; Uhlinger, 2007; Lester and
71 Matthews, 2014; Nielsen et al. 2014). Nematode egg shedding is highly overdispersed in
72 horses (Gomez et al., 1991; Döpfer et al., 2004; Matthee and McGeoch, 2004; Lloyd, 2009;
73 Relf et al., 2013) and so FEC-directed treatment programmes can substantially reduce the
74 frequency of anthelmintic administration within populations. It is essential that this type of
75 targeted anthelmintic therapy is integrated with good management practices such as dung
76 removal, weight calculation before dosing, rotational grazing and effective quarantine

77 treatments. Furthermore, efficacy testing should be implemented regularly to assess nematode
78 population sensitivity to specific anthelmintic compounds (Matthews 2014b; Nielsen et al.
79 2014). In consideration of all of this, it is vital that information regarding the appropriate, and
80 responsible use of, anthelmintics is disseminated to horse owners at the point of purchase.
81 Whilst some EU countries prohibit anthelmintic use on a metaphylactic basis and require a
82 parasitological diagnosis by a veterinarian prior to dispensing (Nielsen et al. 2006; Nielsen,
83 2009), this is not the case in other countries. In the UK, the prescribing situation is unique.
84 Here, legislation classifies anthelmintic medicines under different categories; those
85 anthelmintics (POM-VPS) that can be sold on prescription by veterinarians, pharmacists or
86 Suitably Qualified Persons (SQPs) or those that can be sold on prescription by veterinarians
87 only or by a pharmacist under veterinary prescription (POM-V) (VMR, 2011). Currently, all
88 United Kingdom (UK) equine anthelmintics are classified as POM-VPS medicines and, as
89 such, there is no requirement to conduct a clinical assessment prior to prescribing these
90 medicines. Recently, debate has surrounded the complexity of this prescribing system,
91 particularly regarding which type of prescribing channel, if any, is best placed to supply these
92 medicines (Anon, 2013a, Anon, 2013b). Accordingly, the aim here was to investigate how
93 UK horse owners interact with the different types of anthelmintic prescribers and to explore
94 their attitudes to the responsible use of these commonly used medicines, for which drug
95 resistance is a major issue.

96

97 **2. Materials and methods**

98 *2.1. Ethical statement*

99 Ethical approval for this survey was granted by the UK Department for Environment Food
100 & Rural Affairs Survey Control Unit. Regarding respondent confidentiality, all information

101 obtained was anonymised and was stored on a secure server at Moredun Research Institute.
102 The data were backed up daily at an external site.

103

104 *2.2. Study population and study design*

105 For selection of horse owners, 384 equine veterinary practice email addresses were
106 obtained from the British Equine Veterinary Association website (beva.org.uk). An email
107 detailing the background to the study and an online link to the survey questionnaire was
108 distributed to these practices inviting them to promote the survey to their clients via websites,
109 social media and/or newsletters. At the same time, an email was also distributed to 393 horse
110 owners/managers. This group comprised a population from a concurrent questionnaire study
111 on equine helminth control practices and who had intimated they would like to be involved in
112 future surveys of the authors (Tzelos et al., unpublished). These contacts had been accessed
113 through information lists on the British Horse Society website
114 (bhs.org.uk/professionals/become-bhs-approved/approved-livery-yards), as well as through
115 information available on equine sites on social media. To further increase participation, the
116 survey link was shared on the pages of a number of equine-orientated groups on Twitter
117 (twitter.com) and Facebook (facebook.com). After initial introduction of the survey link,
118 reminders were posted approximately every other week. The survey was open for 10 weeks
119 (July-September 2015).

120 The survey (available as Supplementary Material, Appendix 1) comprised demographic
121 questions (n=10). These were followed by specific question sections; the first relating to
122 'purchasing anthelmintics' (n=13), followed by 'anthelmintic resistance (AR) and best
123 practice guidelines' (n=5) and ending with 'views on responsibility' (n=3). Informed consent
124 was sought from all participants. The survey questions were presented in a variety of formats:

125 matrices, multiple choice, open-ended text boxes and rating scales. Some questions were
126 accompanied by an open-ended response box for voluntary comments (n=15). The questions
127 were disseminated using online cloud based software (SurveyMonkey©,
128 surveymonkey.co.uk). On completion of the questionnaire, the respondents were directed to
129 further information on equine helminth control (moredun.org.uk/research/research-@-
130 moredun/parasitic-worms/parasite-control-in-horses). The test was piloted on a small number
131 of horse owners before distribution.

132

133 *2.3. Data analysis*

134 Responses were exported to Microsoft Excel (Microsoft Excel for Windows, 2010).
135 Manipulation included collapsing of answer categories for a number of ranking questions (i.e.
136 five-point Likert scales which were reduced to three points), as well as re-categorisation of
137 answers provided as open-ended or 'other' options (i.e. specific 'other' responses provided
138 for location re-categorised into appropriate regional group), to reduce the variables and aid
139 analysis. Basic descriptive statistics were carried out on all questions prior to statistical
140 analysis. The survey was analysed question-by-question and respondents who had provided
141 an answer to a single question beyond basic demographic questions were included in basic
142 descriptive statistics, regardless of whether they completed the survey. For comparative
143 analyses by purchase channel, only participants who had proceeded beyond the question
144 necessary for breakdown into channel (veterinarians, SQPs, pharmacists, > 1 prescribing
145 channel) were included. Subsequently, the sample was grouped by purchase route (face-to-
146 face, telephone, online) and a second analysis conducted. Analyses were carried out using chi
147 square tests. Due to testing of multiple comparisons (n=14), following correction via Šidák's
148 formula (Šidák, 1967), values of $p \leq 0.003$ were considered significant.

149

150 **3. Results**

151 *3.1. Study sample and demographics*

152 A total of 733 respondents clicked on the link, of which 687 met the criteria for inclusion
153 in basic descriptive analysis; 38 were excluded as they did not provide information beyond
154 consent (Table 1). Eight more were excluded due to not being UK-based. The study sample
155 was predominantly female (96.5%), with many aged 30 to 59 (72.5%) and the largest
156 proportion located in south England (57.7%). These demographics reflect those reported in
157 The National Equestrian Survey 2015 (BETA, 2017), with the exception of age range, in
158 which most riders were in the range 16 to 24; however, these are representative of riders not
159 owners. Most participants owned 1-10 equids, which were aged 4 or more years-old (87.8%),
160 and which were most likely to be kept on livery yards (43.4%). Most participants (>90%)
161 indicated that they purchased and administered anthelmintics (Supplementary materials,
162 Appendix 2: Table 1). There was a high level of owner familiarity with the terminology cited
163 for all helminth species names stated in the survey question (Fig. 1), with the least recognised
164 names being liver fluke, ascarids and pinworms. Regarding consideration of which helminths
165 were perceived as an ‘issue’ in the respondents’ equids, the highest levels of concern were in
166 relation to small redworms (cyathostomins, 35.4%) and tapeworm (*Anoplocephala perfoliata*,
167 28.8%).

168

169 *3.2. Anthelmintic purchasing behaviours of UK horse owners*

170 A total of 494 respondents provided enough information to be grouped into the channel
171 from which they purchased anthelmintics, as well as their primary route of purchase (Table

172 2). Of these, 60 purchased anthelmintics from veterinarians, 256 from SQPs, and 42 from
173 pharmacists, with 136 respondents stating that they purchased anthelmintics from >1
174 prescribing channel. Most interactions were face-to-face (n=234) or online (n=226), with 31
175 respondents stating that they purchased anthelmintics via the telephone. There was a
176 significant difference ($p < 0.001$) dependent on from whom owners bought anthelmintics
177 (veterinarian; SQP; pharmacist; >1 prescriber type) and the route through which they
178 purchased these medicines. Those who purchased from veterinarians predominantly bought
179 anthelmintics in a face-to-face interaction (face-to-face 47/58 [81.0%], telephone 9/58
180 [15.5%], online 2/58 [3.5%]). Those who bought anthelmintics from SQPs purchased through
181 two main routes: face-to-face 123/255 (48.2%) and online 119/255 (46.7%), with a lower
182 proportion (13/255, 5.1%) via telephone transactions. Those who purchased from pharmacists
183 predominantly bought anthelmintics via an online transaction (face-to-face 8/42 [19.1%],
184 telephone 2/42 [4.8%], online 32/42 [76.2%]). The relatively large proportion of owners
185 (27.5%) who stated that they obtained anthelmintics through >1 channel, purchased these
186 medicines through different routes, most commonly online (face-to-face 56/136 [41.2%],
187 telephone 7/136 [5.2%], online 73/136 [53.7%]). Regarding when anthelmintics were
188 purchased (Appendix 2, Fig. 1), anthelmintics were bought most frequently in spring (range
189 64.3-80.9%) and autumn (range 69.0-81.6%) and less frequently in winter (range 49.2-
190 59.5%) and summer (range 27.0-39.0%). These trends were similar regardless of the
191 prescriber type from whom anthelmintics were bought, or the route by which these medicines
192 were purchased.

193

194 *3.3. Prescriber/horse owner interactions and sources of knowledge*

195 Participants who purchased from veterinarians were likely to view certain factors as
196 important more than those purchasing from other prescribers (Table 3). These factors
197 included; time to talk with the supplier and supplier knowledge of the animals being
198 prescribed for, supplier knowledge of parasites, supplier knowledge of anthelmintics, supplier
199 knowledge of drug resistance and supplier knowledge of diagnostics. Fig. 2 shows that all
200 groups were generally happy with the advice that they received, with no significant difference
201 among the groups partitioned according to which channel they purchased anthelmintics from.
202 With the exception of respondents who purchased from veterinarians, there were individuals
203 in each group who stated that they ‘received little or no advice’ on anthelmintic use. When
204 asked about the level of advice required when purchasing anthelmintics (Table 4), most
205 respondents in each group (>60%) stated that they usually know which anthelmintic to buy,
206 but sometimes require assurance/further guidance. Between 10.5% (veterinarian purchase
207 group) and 27.5% (pharmacist purchase group) stated that they do not require specific advice
208 when purchasing anthelmintics, but there was no significant difference amongst the groups.

209 In relation to awareness or use of initiatives for promoting responsible anthelmintic use
210 (Appendix 2: Table 2), those who purchased from veterinarians were significantly more
211 likely to use veterinarian websites as sources of information on helminth control. When asked
212 about how participants felt about the relative importance of different sources of information
213 when deciding on anthelmintic selection (Appendix 2, Table 3), those who purchased from
214 veterinarians were significantly more likely to cite veterinarians as ‘important sources’ more
215 than the other groups. Likewise, those who purchased anthelmintics from pharmacists cited
216 these prescribers as important sources significantly more than those who bought
217 anthelmintics from other prescriber types. For the other parameters, there were no significant
218 differences among the groups. When specifically asked ‘who most influences’ anthelmintic
219 selection, most owners who purchased from veterinarians (>90%) stated that they considered

220 those prescribers to most influence their selection (Fig. 3). Those that purchased from SQPs
221 stated that they considered SQP prescribers to most influence choice, but approximately 30%
222 of these respondents cited that it is veterinarians that most influence anthelmintic selection.
223 Those who purchased from pharmacists stated that they were most influenced by
224 veterinarians and SQPs rather than by pharmacists. Participants who purchased from >1
225 prescriber type stated that they were influenced by veterinarians most, then by SQPs.

226

227 *3.4. Awareness and implementation of best practice in the use of equine anthelmintics*

228 Regarding how often participants stated that they considered; a) weighing horses or using
229 a girth tape to estimate weight prior to anthelmintic administration, b) ensuring that the full
230 dose was swallowed and c) undertaking of quarantine treatments (Appendix 2: Table 4), there
231 were no significant differences found between the prescriber type groups. In all groups,
232 >70% participants stated that they weighed horses and/or used a girth tape prior to
233 anthelmintic administration, with >90% participants in each group stating that they ensured
234 that the full dose was swallowed (Appendix 2, Table 4). Regarding the application of
235 quarantine treatments, there was no significant difference between groups; levels of
236 agreement varied from 80% of those that purchased from veterinarians stating that they
237 applied quarantine treatments, with the lowest levels of agreement (55.6%) in those that
238 purchased from pharmacists. When asked how often participants considered specific factors
239 (i.e. parasite/developmental stage, number of animals, diagnostic tests, own/others'
240 experience, product persistence/withdrawal period, brand, applicator, prescriber advice) when
241 deciding which product to use (Appendix 2: Table 5), there were no significant differences
242 amongst the groups that purchased from the different channels.

243 When asked about whether specific recommendations for responsible use were raised by
244 their anthelmintic seller, participants who purchased from veterinarians were significantly
245 more likely to state that their sellers mentioned FEC testing (Table 5). There were no
246 significant differences among the groups in the other parameters listed, although anthelmintic
247 targeting, resistance, efficacy testing, dung removal, rotational grazing and quarantine
248 treatments were stated to be raised more in interactions with veterinarians than with other
249 prescriber types.

250 Regarding the frequency with which sellers recommended FEC testing (Appendix 1,
251 Question 26), as opposed to whether or not they raised the topic (Question 22, Table 3),
252 participants who purchased from veterinarians were significantly more likely to have this
253 recommended, with the least likely being those who purchased from pharmacists
254 (veterinarians 82.5%; SQPs 47.1%; pharmacists 33.3%; >1 channel 58.3%, $p < 0.001$). No
255 significant differences were found between groups in relation to whether participants had
256 conducted anthelmintic sensitivity testing (Question 27, 'Have you ever conducted
257 anthelmintic sensitivity testing on your premises, such as a faecal egg count reduction test?':
258 veterinarians 43.9%; SQPs 47.5%; pharmacists 37.5%; >1 channels 48.9%). When asked
259 about integrating anthelmintic treatments with various management measures, many (>75%
260 in each group) participants stated that they already used dung removal and >60% in each
261 group stated that they already used FEC testing for targeting anthelmintic treatments
262 (Appendix 2: Table 6). Compared with FEC testing, lower numbers of participants stated that
263 they already used the blood- or saliva-based tapeworm diagnostic tests, with no significant
264 difference between the groups. There was a high level of agreement on whether respondents
265 would make use of a serum-based ELISA designed for the detection of cyathostomin
266 infection in future, with >70% of respondents stating that they would utilise such a diagnostic
267 test. There was no significant difference among groups when asked about concern for

268 anthelmintic resistance in participants' horses, with relatively high levels stating concern in
269 all groups (Question 28, 'Are you concerned about anthelmintic resistance?': veterinarians
270 68.4%; SQPs 58.8%; pharmacists 45.0%; multiple channels 57.4%).

271

272 *3.5. Owners' views on equine anthelmintics distribution*

273 Participants who predominantly purchased from veterinarians were significantly more
274 likely to agree that new classes of equine anthelmintics should be available by veterinary
275 prescription only (Table 6). With regard to categorisation of current anthelmintics,
276 participants purchasing through veterinarians were also significantly more likely to agree that
277 all (current and new) anthelmintics should be available by veterinary prescription only.
278 Regarding whom participants felt were important in ensuring anthelmintics are used
279 responsibly, there were no significant differences between groups, with generally high
280 agreement that responsibility should be shared among owners and all prescribers; >70% of
281 participants in all groups stated that they viewed all parties cited in the survey as important
282 (data not shown).

283

284 *3.6. Analysis based on the route by which owners purchased anthelmintics*

285 To explore if the route (face-to-face, telephone, online) through which participants
286 purchased anthelmintics was associated with particular experiences or behaviours, we
287 analysed responses according to this variable. Those purchasing anthelmintics online were
288 significantly more likely to view cost as an important factor ('Important': face-to-face 56.2%;
289 telephone 60.7%; online 80.6%, $p < 0.001$) and were also more likely to view several factors
290 as not important, including time to talk with the supplier ('Not important': face-to-face

291 16.7%; telephone 14.3%; online 25.3%, $p < 0.001$), as well as suppliers' knowledge of: the
292 owner's equids ('Not important': face-to-face 9.4%; telephone 3.6%; online 18.8%,
293 $p < 0.001$), parasites ('Not important': face-to-face 9.2%; telephone 3.5%; online 14.8%,
294 $p < 0.001$), anthelmintics ('Not important': face-to-face 7.0%; telephone 3.5%; online 14.1%,
295 $p < 0.001$), anthelmintic resistance ('Not important': face-to-face 7.0%; telephone 3.5%;
296 online 12.7%, $p < 0.001$), and diagnostics ('Not important': face-to-face 8.8%; telephone
297 3.6%; online 13.7%, $p < 0.001$). For factors considered when deciding which product to use
298 (Question 19), there was a significant difference in the frequency with which participants
299 would consider prescriber advice, with those who purchased online less likely to consider this
300 (face-to-face 81.1%; telephone 86.2%; online 60.8%, $p < 0.001$). This was confirmed by
301 responses to Question 29 (Table 4), where those who purchased online were significantly less
302 likely to state that they relied on seller knowledge ($p < 0.001$). With regard to how important
303 participants perceived sources of information to be in relation to their decision on which
304 anthelmintic to choose (Question 20), the only significant difference identified was those who
305 purchased online were more likely to place importance on online information sources (Very-
306 Quite important; face-to-face 43.8%; telephone 35.7%; online 61.7%, $p < 0.001$). In relation
307 to awareness of initiatives for promoting responsible use (Appendix 2, Table 2), there was a
308 significant difference regarding veterinary surgeon websites, with those purchasing online
309 stating more frequently that they were aware of, but did not use, this source (face-to-face
310 30.0%; telephone 18.5%; online 43.4%, $p < 0.001$). When asked, 'When purchasing
311 anthelmintics, how often are the following points raised by the seller?' (Question 22), the
312 only significant difference identified was that those who purchased online stated that their
313 seller raised the topic of 'using an appropriate anthelmintic for the parasites being targeted'
314 significantly less often than those buying via face-to-face and telephone routes (face-to-face
315 80.9%; telephone 83.3%; online 62.9%, $p < 0.001$). There were no significant differences

316 between purchase route groups on views regarding the categorisation of new classes of
317 anthelmintic; however when asked about all anthelmintics (including current products),
318 participants who purchased online were significantly more likely to disagree that all
319 anthelmintics should be available by veterinary prescription only (Table 6).

320

321 **4. Discussion**

322 Here, we investigated how UK horse owners interact with anthelmintic prescribers to
323 explore attitudes to responsible use. Our recent studies investigated these prescribers' basic
324 knowledge of helminths, legislation and best practice (Easton et al., 2016) and also how
325 prescribers transferred information to clients and customers at the point of dispensing (Easton
326 et al., in press). Here, we expanded our previous observations by surveying equine industry
327 end-users' experience of these prescribers as they relate to practices before, and at the point
328 of, dispensing anthelmintics. We highlight important differences depending on who
329 anthelmintics are bought from and the route through which they are purchased. In our
330 previous prescriber study (Easton et al., in press), the results showed similarly high
331 proportions of veterinarians and SQPs engaging face-to-face with horse owners, with a higher
332 proportion of the latter utilising online transactions; thus, our two studies are in agreement.
333 Furthermore, in our earlier studies, the response rate for pharmacists was nil or negligible, so
334 we excluded this prescriber group from the analyses. In the current study, there were
335 sufficient respondents who purchased anthelmintics from pharmacists to permit analysis of
336 this group, thus providing new insight into the practices of this channel of UK prescribers.

337 Although the anthelmintic prescribing situation in the UK is unique, the outcome of this
338 study could affect perceptions regarding anthelmintics distribution among stakeholders in
339 other regions. For example, in those countries where there is no requirement for veterinary

340 prescription to purchase anthelmintics, the data generated here could provide insights into
341 how horse owners might respond to a change in the legal regulatory categorisation of
342 anthelmintics to that of tighter distribution. Alternatively, in regions where equine
343 anthelmintics are under stricter regulation and only available from veterinarians following a
344 parasitological diagnosis (for example, as in Denmark), the outcomes could provide insight
345 into what the impact could be of opening up prescribing authority to a wider range (i.e. non-
346 veterinary) of animal health advisors. For this reason, the observations made here might help
347 inform risk/benefit-led decisions relating to a change in legal prescribing category of equine
348 anthelmintics.

349 The results here need to be viewed in consideration of inevitable biases. While it is not
350 possible to quote exact numbers of horse owners in the UK, The National Equestrian Survey
351 2015 (BETA, 2015) estimated 446,000 horse-owning households with around 796,000 horses
352 estimated in 2015, although the quoted figures range from 390,000 to 1,000,000 equids
353 (<http://www.worldhorsewelfare.org/Removing-the-Blinkers>). Based on these numbers, the
354 proportion of the population represented here is very low; a recognised disadvantage of web-
355 based surveys (Shih and Fan, 2008). Potential bias-related issues include responder bias due
356 to only reaching individuals with access to the internet (Fricker et al., 2005) or that the
357 respondents might be inherently more interested in equine helminth control and hence
358 possibly more receptive to more up-to-date recommendations. This latter fact could, to some
359 extent, explain the high level of adoption rate of the non-chemical control measures and
360 targeted FEC-based selective therapy. Furthermore, results for the veterinarian purchase
361 channel may be vulnerable to bias due to the fact that the survey was, in part, distributed via
362 practices to their clients. Nevertheless, surveying views of these end-users is a valuable
363 endeavour through which to counter certain inevitable biases of our previous studies; for
364 example, self-serving bias where prescribers may have been driven to maintain self-esteem

365 (Shepperd, Malone and Sweeny, 2008) to maintain a better profile for their relationship with
366 clients or customers. In the same way, the prescribers may have been affected by a social
367 desirability bias, where they may have been driven to present themselves in a more
368 acceptable way (King and Bruner, 2000) and so encourage end-users (here, horses owners) to
369 select their prescribing channel for purchase, or to try influence any future decisions by
370 regulatory authorities on the legal distribution category of equine anthelmintics. Nevertheless,
371 the similar findings obtained when comparing the end-user owner responses here to the
372 earlier responses of the prescribers goes some way to supporting the reliability of the results
373 obtained from both studies.

374 Here, owners who purchased from veterinarians appeared to value the role of the
375 prescriber more than those who bought from other prescriber types. Owners in this group
376 were significantly more likely to view the following factors as important in their interactions
377 when buying anthelmintics: time to talk with the prescriber, as well as the supplier's
378 knowledge of their animals, the target parasites, anthelmintics, drug resistance and parasite
379 diagnostics. Those who purchased from veterinarians were also more likely to be
380 recommended FEC test analysis. These results may reflect the mode of contact with
381 veterinarian prescribers as most interactions were face-to-face. This group were also more
382 likely to agree that all anthelmintics should be available as POM-V medicines, presumably
383 because a change to this legal category would not result in an alteration in purchasing
384 behaviour or financial cost to themselves. This group were also more likely to place the
385 highest level of responsibility for best practice control on their seller. Anthelmintics are likely
386 to be more costly when bought from veterinarians (Kaplan, 2013) and, due to assumptions
387 that quality and price might be correlated (Kardes et al., 2014), this may influence the
388 perceptions held by those horse owners that use veterinarian prescribers. In addition, these
389 participants' feelings could be influenced by a favourable view of veterinarian academic

390 achievements. Overall, the responses indicate that this group of horse owners spend more
391 time considering anthelmintic choice via a direct interaction with their veterinarian and, in so
392 doing, view cost as less important than quality of advice on their helminth control strategy.
393 The number of respondents in this group was relatively low and, as such, cannot be
394 considered representative of the UK anthelmintics-buying population as a whole. Those
395 purchasing through other types of prescriber were less likely to agree with a change to a
396 POM-V categorisation (to be expected given that they currently purchase from non-
397 veterinarians). For those that bought from SQPs, there was a partitioning in buying route,
398 with 46.0% buying online and 48.8% face-to-face. This likely reflects the diversity of outlets
399 where SQPs may work, with some employed in retail premises and others in online
400 merchants (AMTRA, 2014). As similar numbers of participants purchased via SQP face-to-
401 face and SQP online transactions, there may have been diversity in responses within this
402 group. To examine if this affected the results, we examined responses by dividing the SQP-
403 buying group into 'face-to-face' and 'online' buyers (data not shown). The only differences
404 identified were that those who bought online stated that they valued factors relating to cost
405 significantly more often than those who purchased face-to-face, while the latter group
406 indicated that they valued supplier knowledge and prescriber advice significantly more.
407 Regardless of purchase route, the SQP group stated that they attributed responsible use of
408 anthelmintics highly to both SQPs and veterinarians. This may be due to the fact that
409 individuals may receive, or read, advice from veterinary sources before purchasing from
410 another prescriber source. Those owners who purchased anthelmintics through the pharmacist
411 channel were significantly more likely to view supplier knowledge as less important, consider
412 prescriber advice less and were more likely to disagree that anthelmintics should be available
413 as POM-V medicines. Those who purchased anthelmintics from >1 channel cited that
414 anthelmintic choice was most influenced by veterinarians; however, in terms of whom they

415 attributed responsibility for ensuring appropriate use, they shared this equally between
416 veterinarians, SQPs and yard owners.

417 While most participants were aware of, and concerned about, anthelmintic resistance,
418 evidence from environmental psychology studies suggests that such awareness does not
419 necessarily lead to pro-environmental behaviour, possibly due to internal (i.e. motivation) and
420 external (i.e. economic) factors (Kollmuss and Agyeman, 2002). This could explain the
421 observations here, whereby individuals appeared to be concerned about resistance but, even
422 though it is argued that stricter distribution regulations could help mitigate this, many did not
423 want equine anthelmintic categorisation legislation to change as this could conflict with cost
424 and convenience. For example, provided through voluntary comments sections in the survey,
425 when reflecting on a change to POM-V status, respondents wrote, "*Expensive, so I think it*
426 *will put off people getting them*", and "*It then becomes a closed market and you can then bet*
427 *that the vets will insist on only them doing FEC's before they will prescribe anthelmintics*".
428 This suggests that some owners believe that there will be undesirable sequelae as a result of a
429 change to a POM-V categorisation, which could potentially result in the application of
430 inadequate anthelmintic treatments. Our results also suggested that a higher proportion of
431 participants agreed that any new classes of anthelmintics should be available as POM-V
432 medicines. This may reflect that there are no new equine anthelmintic classes on the horizon,
433 meaning that views given by the respondents may be more speculative than would be the case
434 for those purchasing livestock anthelmintics, of which two recently-licensed anthelmintic
435 products are classified as POM-V in the UK. It might also reflect that horse owners could be
436 more concerned about possible adverse sequelae when administering newly-licensed (i.e.
437 perceived as not 'tried and tested') pharmaceutical compounds, and would thus prefer these
438 to be under tighter distribution through veterinarians.

439 Despite high levels of concern for resistance stated by participants, low proportions
440 indicated that they had conducted anthelmintic sensitivity testing. This apparent paradox
441 between horse owner concern regarding anthelmintic resistance, yet an apparent lack of
442 uptake of sensitivity testing may be associated with a perception of the additional effort
443 involved in sampling, as well as the financial cost of testing. It could also be that prescribers
444 or other types of FEC service providers, until now, have not placed much emphasis the
445 importance of efficacy testing. A search of the internet by the authors indicates that some
446 FEC service providers now advocate the value of efficacy testing and provide financial
447 incentives to use these; so this may help increase uptake of sensitivity testing in future. It is
448 recommended that, as part of post-graduate or post-certificate training initiatives for
449 prescribers, these groups be educated in the value and methods of efficacy testing as, given
450 the increasing issue of resistance in cyathostomins and *P. equorum*, all prescribing groups
451 should encourage efficacy testing as part of an integrated control programme.

452 Regarding owner awareness and/or utilisation of initiatives for promoting responsible use
453 of anthelmintics, highest levels of awareness/use were cited for veterinary surgeon websites,
454 regardless of who the participants bought anthelmintics from. There is currently a lack of
455 cohesive advice for helminth control for horse owners in the UK, with no equivalent of the
456 SCOPS (SCOPS.org.uk/) or COWS (cattleparasites.org.uk) initiatives that are available to
457 support the sheep and cattle industries, respectively. Nor is there a resource that is equivalent
458 to the guidelines that are available for the control of equine parasites in the US, which have
459 been generated by the American Association of Equine Practitioners ([aaep.org/info/parasite-
460 control-guidelines](http://aaep.org/info/parasite-control-guidelines)). In the UK, there are several online sources available from veterinary
461 organisations, charities and animal health companies and it would be beneficial for such
462 organisations to work together to develop industry-wide guidelines where horse owners could
463 access accurate information about resistance, diagnostics and efficacy testing.

464 In the current study, respondents indicated that anthelmintics were most frequently
465 purchased in spring and autumn. This might reflect that owners are aware of the need to
466 target treatments at specific times of year for tapeworm and encysted cyathostomin larvae
467 (Stratford et al., 2014). For example, encysted cyathostomin larvae are undetectable by
468 standard FEC methods and most anthelmintics (i.e. a single dose of benzimidazole, pyrantel
469 compounds or ivermectin) that could be used to reduce strongyle egg shedding following
470 FEC testing have relatively low efficacy against cyathostomin inhibited larvae (Matthews,
471 2008). It could also reflect that the amount of anthelmintic applied in summer is linked to the
472 use of FEC testing to target treatments, where relatively high proportions of horses do not
473 require anthelmintic administration because their FEC test result indicates that their egg
474 shedding levels fall below the 200 eggs per gram treatment threshold. Although uptake of
475 FEC testing has been relatively slow (Stratford et al., 2011), the level of uptake is now higher
476 as indicated by the results here, where 76% of all respondents indicated that they already use
477 testing to guide anthelmintic treatment decisions. The results here showed that veterinarian
478 purchasers stated that their seller was most likely to recommend FEC testing, while
479 pharmacist purchasers stated that their seller recommended this the least often. This could be
480 a result of pharmacist purchasers being less engaged with these prescribers, indicated by the
481 finding that they considered prescriber advice less than the other groups. It is possible that
482 UK horse owners are obtaining advice and FEC test results prior to purchasing online from
483 pharmacists as some of the larger equine FEC service providers perform parasite diagnostics
484 but do not sell anthelmintics (for example, Westgate Laboratories, westgatelabs.co.uk, and
485 Diagnosteq, www.liverpool.ac.uk/diagnosteq). Indeed, a ~10 respondents stated in the
486 voluntary comments section that they relied on information provided directly by FEC service
487 companies such as this before purchasing anthelmintics elsewhere. These responses

488 demonstrate the complexity in anthelmintic purchasing behaviours in the UK horse owning
489 population.

490 Encouragingly, analysis of the survey results showed that most respondents stated that
491 they practiced dung removal from pasture to reduce parasite contamination. This practice has
492 been demonstrated previously to substantially reduce the level free-living parasitic larval
493 stages in the environment (Herd, 1986; Corbett et al., 2014) and, as a consequence, reduce
494 the frequency of anthelmintic treatments and, presumably, selection pressure for drug
495 resistance. Regardless of from whom, or how, owners purchased anthelmintics, it would
496 appear that the horse owner respondents here are aware of the benefits of this important
497 method of equine helminth control.

498 Those owners who purchased anthelmintics online most often stated that they received
499 little or no advice at the point of purchase. This group of owners were more likely to view
500 online sources of information as important and were less likely to have ‘appropriate
501 anthelmintic for the parasites being treated’ raised by their anthelmintics’ supplier. Against
502 this background, high proportions of the online-purchasing group still stated that they
503 implemented a variety of best practice strategies (weighing before dosing, ensuring that the
504 full dose is swallowed, applying quarantine treatments), indicating that they were aware of
505 these procedures, despite an apparent lack of seller/purchaser interaction at the point of
506 purchase. The favourable view toward veterinarians by the online purchaser group could be
507 due to the fact that individuals may receive ‘free’ advice from veterinarians before
508 purchasing cheaper products online (Kaplan, 2013). The lack of transparency in some online
509 interactions was indicated by a self-directed search by these authors of 30 UK online
510 anthelmintic sellers that revealed that the classification of the prescriber was rarely explicit.
511 This might go some way to explaining the relative lack of interaction between horse owners

512 and prescribers utilising this mode of sale. The complexity of internet veterinary medicine
513 sales was further outlined in an article published based on recent findings from the UK
514 Veterinary Medicines Directorate in which the illegal purchase and sale of veterinary
515 medicines by pet owners, including horse owners, was highlighted (Woodmansey, 2016). In
516 this article, both the re-sell by owners of a previously purchased POM-V product (the
517 majority of which were dewormers and flea products) was described, along with the illegal
518 purchase of products from non-UK based companies. Indeed, the Veterinary Medicines
519 Directorate cited one case where they wrote to 3,500 customers who were electronically
520 purchasing illegal products from a French-based company, with > 70% of these customers
521 subsequently stating that they were ‘completely unaware’ that they had made an illegal
522 purchase. These findings serve to emphasise the difficulty in appropriately monitoring
523 responsible prescribing of veterinary medicines via internet sales.

524 In summary, the findings here indicate that most horse owning respondents stated that they
525 implement best practice helminth control principles. However, in the case of those who
526 predominantly purchased through pharmacists and/or via the online route, the results suggest
527 that these individuals are more concerned with personal preferences and value prescriber
528 advice less. This suggests that those who are not receiving direct (face-to-face, telephone)
529 advice from a knowledgeable prescriber must be encouraged to engage more in the principles
530 of best practice control (Sallé and Cabaret, 2015). This could be achieved through the
531 introduction of mandatory guidelines to be followed by all prescribers at the point of
532 purchase and/or the closer monitoring of the quality of advice pertaining to all anthelmintic
533 sales. Or even, simply, providing better basic advice on all internet selling sites so that horse
534 owners have to, or have the option to, read relevant information prior to the purchase of
535 anthelmintics.

536

537 **Conflict of Interest**

538 There were no conflicts of interest in the implementation of this project.

539

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Table 1. Details of responses to the demographic questions.

Demographics	Horse owners (n=687)	
	% proportion of total who began survey	n number of responses to each option on the question
Location		
1 (Scotland)	8.3	57
2 (N. England)	16.2	111
3 (N. Ireland)	1.0	7
4 (Wales)	3.6	25
5 (Midlands)	12.5	86
6 (SE England)	51.4	353
7 (SW England)	6.3	43
†	0.0	0
Gender		
Male	2.6	18
Female	96.5	663
†	0.9	6
Age		
A (18-29)	16.9	116
B (30-39)	24.5	168
C (40-49)	23.9	164
D (50-59)	24.2	166
E (60+)	9.9	68
†	0.7	5
Purchase anthelmintic		
Yes	90.1	619
No	7.9	54
†	2.0	14
Administer anthelmintic		
Yes	91.0	625
No	4.7	32
†	4.4	30
Accommodation		
Livery yard	43.4	298
Riding school	36.1	248
Studfarm	0.1	1
Racing stable	1.5	10
Own property	2.0	14
†	16.9	687

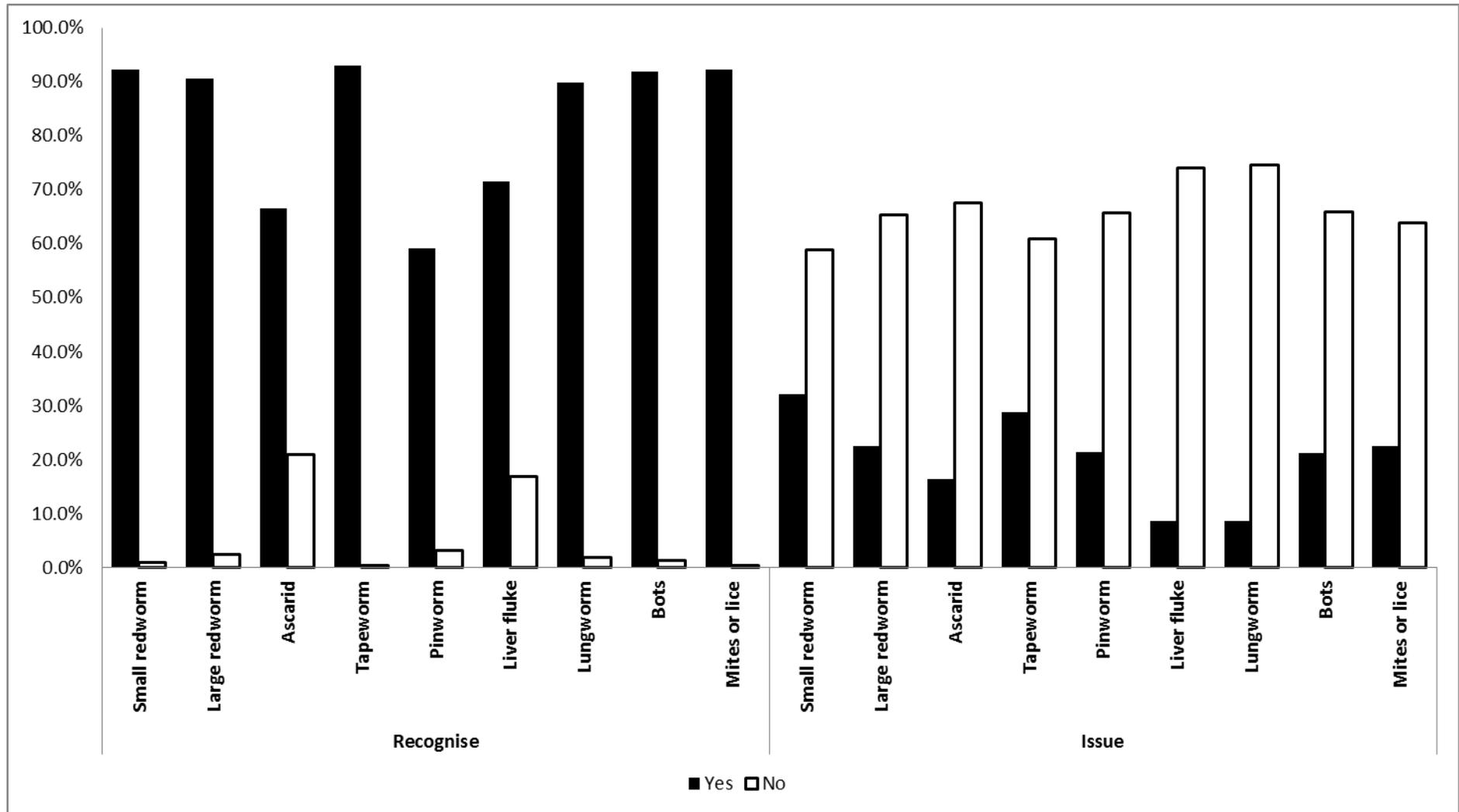
† Participant did not provide information

Table 2. Responses to **Question 13:** ‘Who do you purchase your anthelmintics from?’ and **Question 14:** ‘How do you normally buy anthelmintics?’

Purchase channel (n=494)		
	%	n
	(proportion of total participants who provided an answer)	(number of responses to each option on the question)
Veterinarian	12.1	60
Suitably qualified person	51.8	256
Pharmacist	8.5	42
> 1 channel	27.5	136
Purchase route (n=494)		
Face-to-face	47.4	234
Telephone	6.3	31
Online	45.7	226
†	0.6	3

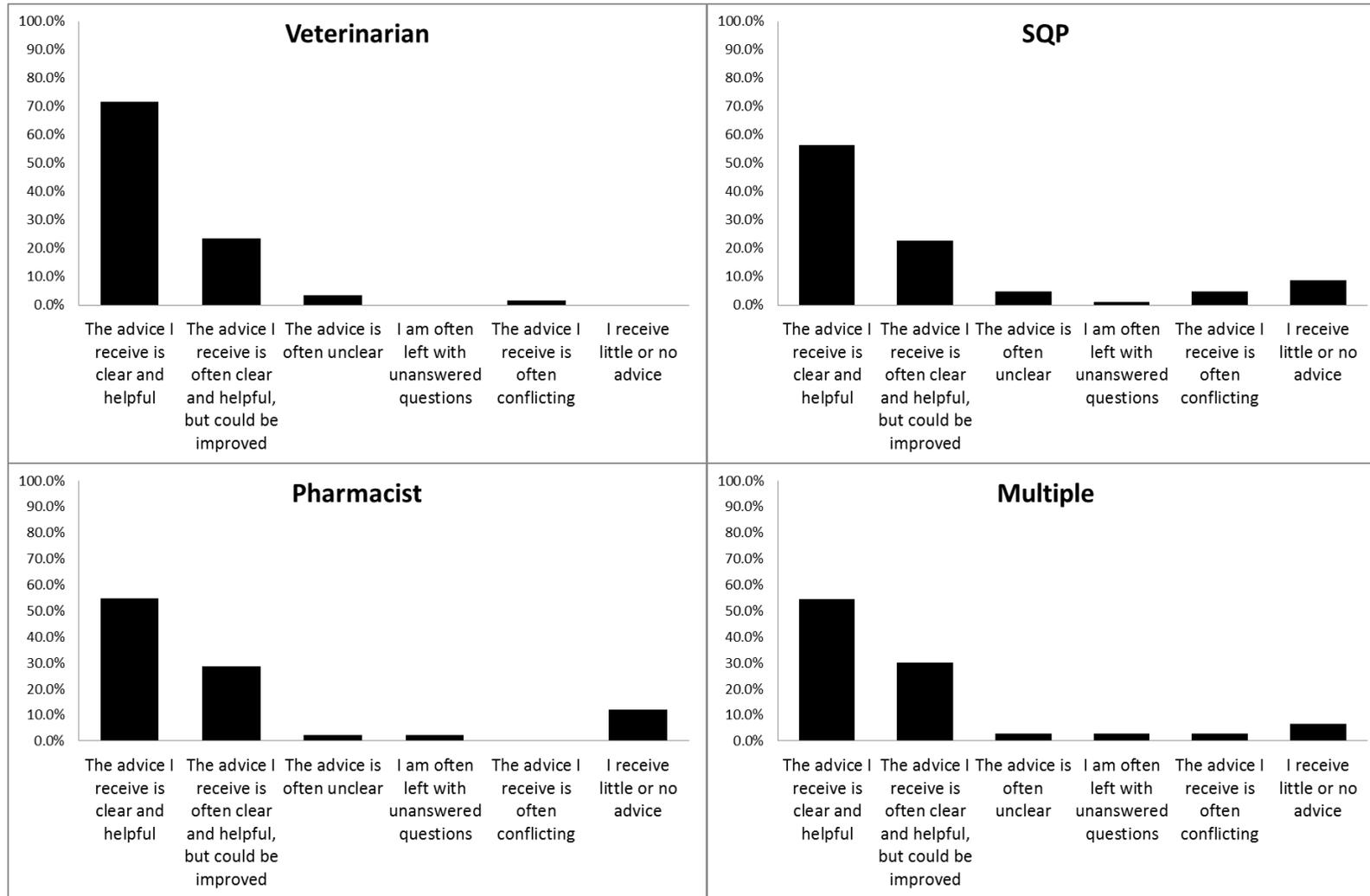
† Participants that did not provide information

Figure 1. Details of respondents' recognition of helminth names and consideration of which helminths are an issue. Proportions are representative of participants who selected 'yes' or 'no'. Participants who failed to answer are not represented.



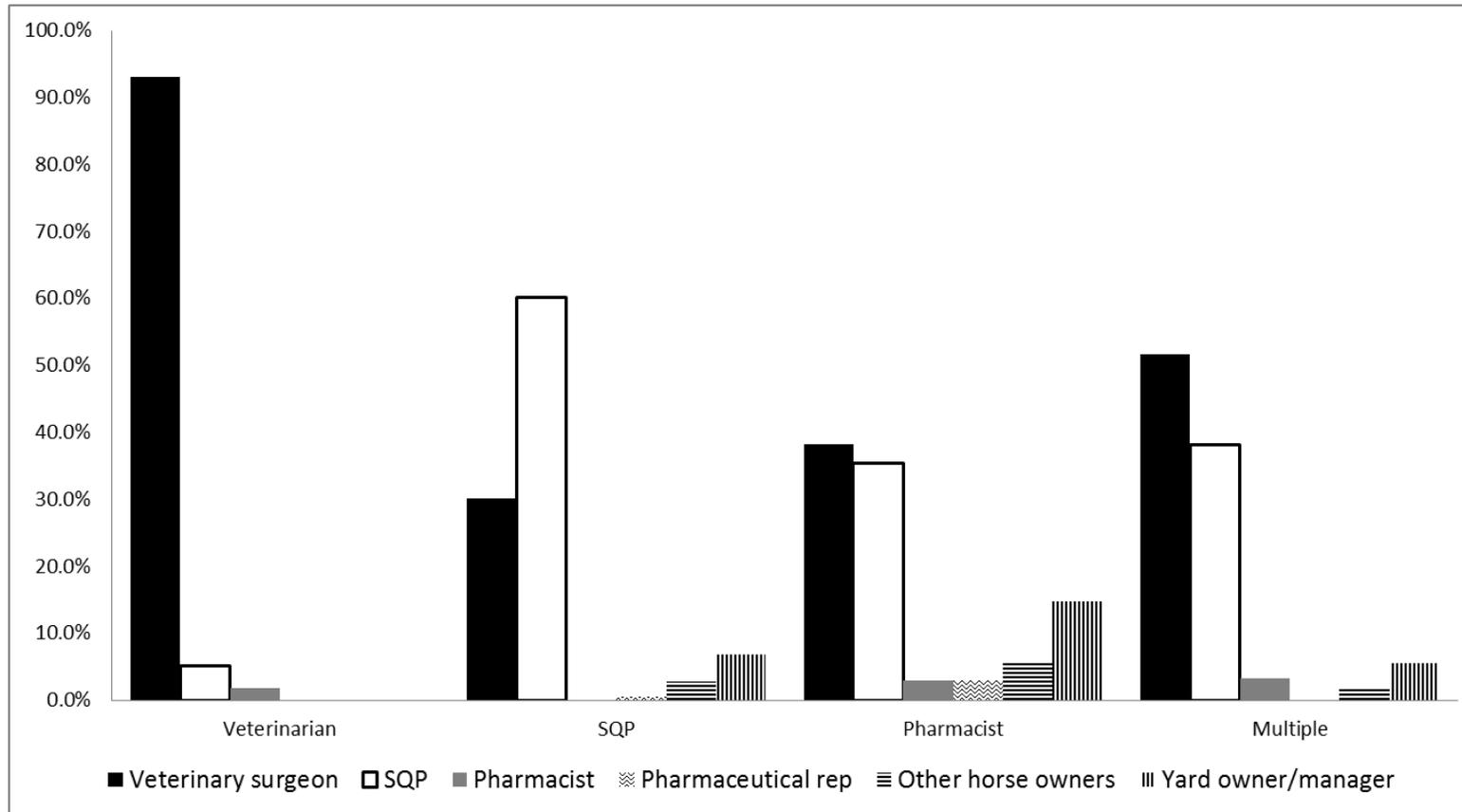
Outcome % - proportion of total participants (n=687)

Figure 2. Responses to **Question 18:** ‘Regarding the quality of advice you receive on anthelmintic use, which statement most closely reflects your experience?’ by channel.



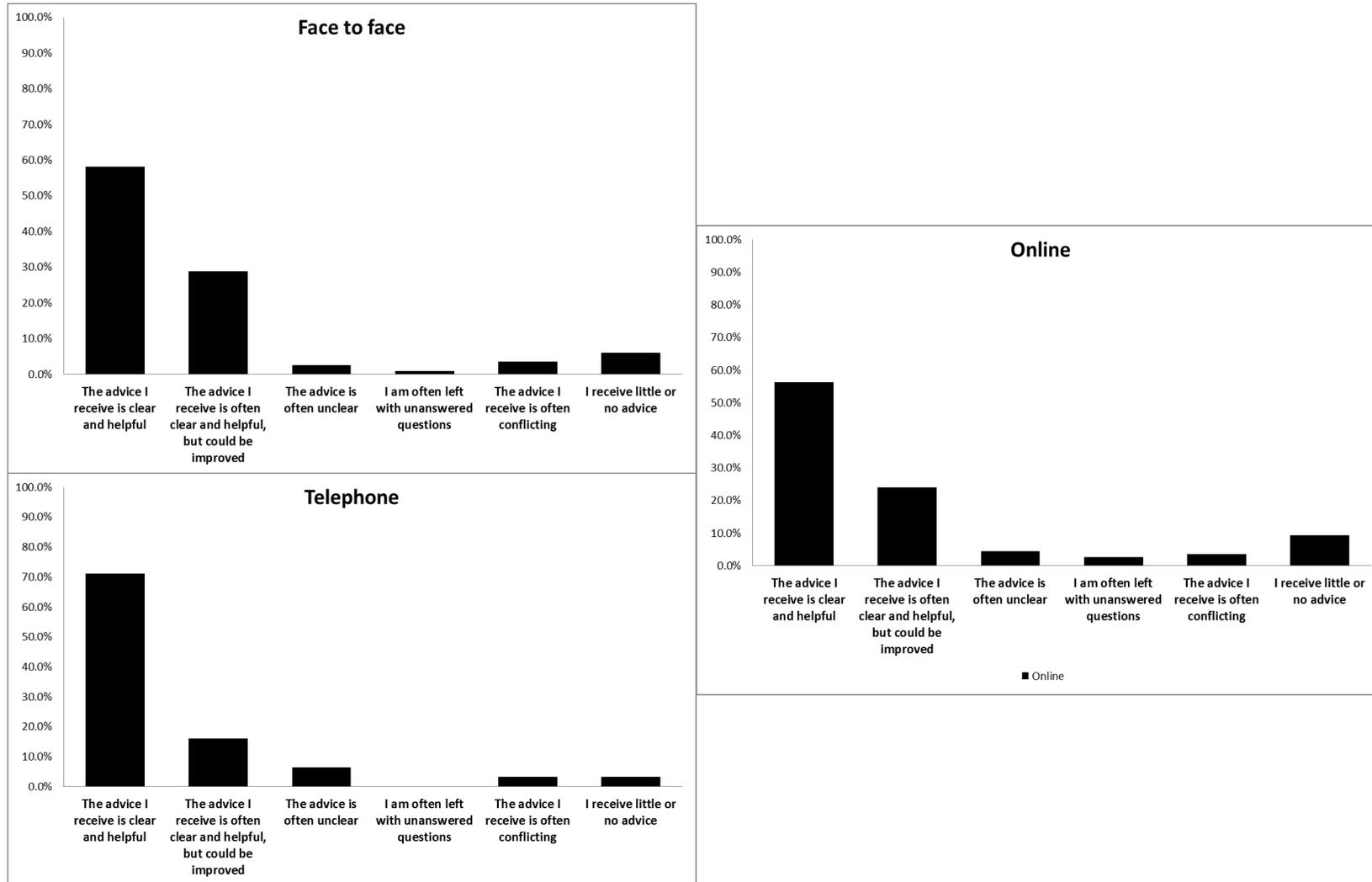
Outcome % - proportion of total participants who provided an answer to survey question

Figure 3. Responses to Q21: ‘Which of the following most influences the anthelmintics you use?’ by channel.



Outcome % - proportion of total participants who provided an answer to survey question

Figure 4. Details of responses to ‘Q18) Regarding the quality of advice you receive on anthelmintic use, which statement most closely reflects your experience’ survey question by purchase method.



Outcome % - proportion of total participants who provided an answer to survey question

Appendix 2: Table 1. Responses to **Questions (Q) 6-8** (numbers of equids respondents: owned, were responsible for, administered anthelmintics to).

Question	Response options					
	1-10		10+		None	
	n/t	%	n/t	%	n/t	%
How many equids do you own?						
Horses/ponies (4 years or over)	603	87.8	20	2.9	64	9.3
Horses/ponies (1-3 years)	93	13.5	0	0.0	593	86.3
Horses/ponies (less than 1 year)	22	3.2	2	0.3	663	96.5
Donkeys (4 years or over)	15	2.2	0	0.0	672	97.8
Donkeys (1-3 years)	0	0.0	0	0.0	687	100.0
Donkeys (less than 1 year)	0	0.0	0	0.0	687	100.0
How many equids are you responsible for?						
Horses/ponies (4 years or over)	580	84.4	45	6.6	62	9.0
Horses/ponies (1-3 years)	95	13.8	2	0.3	590	85.9
Horses/ponies (less than 1 year)	25	3.6	3	0.4	659	95.9
Donkeys (4 years or over)	15	2.2	1	0.1	671	97.7
Donkeys (1-3 years)	1	0.1	0	0.0	686	99.9
Donkeys (less than 1 year)	0	0.0	0	0.0	687	100.0
How many equids do you administer anthelmintics to?						
Horses/ponies (4 years or over)	575	92.0	37	5.4	75	10.9
Horses/ponies (1-3 years)	94	96.9	2	0.3	591	86.0
Horses/ponies (less than 1 year)	24	85.7	1	0.1	662	96.4
Donkeys (4 years or over)	15	93.8	0	0.0	672	97.8
Donkeys (1-3 years)	0	0.0	0	0.0	687	100.0
Donkeys (less than 1 year)	0	0.0	0	0.0	687	100.0

Outcome % - proportion of total participants who provided an answer to each question, (n/t) - number of individual responses to each option/total number answering question.

Appendix 2. Figure 1. Responses to **Question 16:** ‘What time(s) of year do you purchase anthelmintics’, by purchase channel and purchase method.

