



Al-Mubarak, H., Vallatos, A., Gallagher, L., Birch, J., Gilmour, L., Foster, J., Chalmers, A.J. and Holmes, W.M. (2019) Stacked in-plane histology for quantitative validation of non-invasive imaging biomarkers: application to an infiltrative brain tumour model. *Journal of Neuroscience Methods*, 326, 108372.

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Deposited on: 5 August 2019

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1           Stacked In-plane Histology for Quantitative Validation of  
2           Non-invasive Imaging Biomarkers: Application to an  
3           Infiltrative Brain Tumour Model  
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6           H. Al-Mubarak<sup>a,b\*</sup>, A. Vallatos<sup>c</sup>, L. Gallagher<sup>a</sup>, J. Birch<sup>d</sup>, L. Gilmour<sup>d</sup>, J. Foster<sup>f</sup>,  
7           A.J. Chalmers<sup>d</sup>, W.M. Holmes<sup>a</sup>  
8

9           <sup>a</sup>Glasgow Experimental MRI centre, Institute of Neuroscience and Psychology, University  
10          of Glasgow, G61 1QH, U.K.

11          <sup>b</sup>Department of Physics, College of science, University of Misan, Iraq.

12          <sup>c</sup>Centre for Clinical Brain Sciences, University of Edinburgh, EH16 4SB ,UK.

13          <sup>d</sup>Wolfson Wohl Translational Cancer Research Centre, Institute of Cancer Sciences  
14          University of Glasgow, G61 1QH, UK.

15          <sup>f</sup>Department of Clinical Physics and Bioengineering, Greater Glasgow Health Board and  
16          University of Glasgow, B15 2GW,U.K.

17  
18  
19          \*Haitham Al-Mubarak e-mail: h.al-mubarak.1@research.gla.ac.uk.

20          Antoine Vallatos e-mail: antoine.vallatos@ed.ac.uk.

21          Lindsay Gallagher e-mail: Lindsay.Gallagher@ glasgow.ac.uk

22          Joanna Birch e-mail : Joanna.Birch@ glasgow.ac.uk

23          Lesley Gilmour e-mail : Lesley.Gilmour@glasgow.ac.uk

24          John Foster e-mail: john.foster@ glasgow.ac.uk

25          Anthony Chalmers e-mail: Anthony.Chalmers@glasgow.ac.uk

26          William Holmes e-mail: William.Holmes@glasgow.ac.uk  
27  
28  
29

30                           Corresponding author: Haitham. Al-Mubarak\*

31   Mailing address:

32   Mobile phone:++(44)7436852487

33   E-mail: [h.al-mubarak.1@research.gla.ac.uk](mailto:h.al-mubarak.1@research.gla.ac.uk)  
34

35 **Abstract**

36

37 While it is generally agreed that histopathology is the gold standard for assessing non-invasive  
38 imaging biomarkers, most validation has been by qualitative visual comparison. . To date, the  
39 difficulties involved in accurately co-registering histology sections with imaging slices have  
40 prevented a voxel-by-voxel assessment of imaging modalities. By contrast with previous studies,  
41 which focus on improving the registration algorithms, we have taken the approach of improving  
42 the quality of the histological processing and analysis.

43

44 **New method:** To account for imaging slice orientation and thickness, multiple histology sections  
45 were cut in the MR imaging plane and averaged to produce stacked in-plane histology (SIH)  
46 maps. When combined with intensity sensitive staining this approach gives histopathology maps,  
47 which can be used as the gold standard to validate imaging biomarkers.

48

49 **Results:** We applied this pipeline to a patient-derived mouse model of glioblastoma multiforme  
50 (GBM). Increasing the number of stacked histology sections significantly increased SIH measured  
51 tumour volume. The SIH technique proposed here resulted in reduced variability of volume  
52 measurements and this allowed significant improvements in the quantitative volumetric  
53 assessment of multiple MRI modalities. Further, high quality registration enabled a voxel-wise  
54 comparison between MRI and histopathology maps.

55

56 Previous approaches to the validation of imaging biomarkers with histology, have been either  
57 qualitative or of limited accuracy. Here we propose a pipeline that allows for a more accurate  
58 validation via co-registration with SIH maps, potentially allowing validation in a voxel-wise mode.

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60 **Conclusion:** This work demonstrates that methodically produced SIH maps facilitate the  
61 quantitative histopathologic assessment of imaging biomarkers.

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63 **Keywords:** Imaging Biomarker, Registration, Mutual information, histology, MRI, validation.

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## 1 Introduction

The use of non-invasive imaging modalities for clinical diagnosis continues to advance rapidly. A variety of methods are now available including Magnetic Resonance Imaging (MRI), Positron Emission Tomography (PET), Single Photon Emission Computerised Tomography (SPECT), Ultrasound (US), and x-ray Computed Tomography (x-ray CT). Often, the source of image contrast is related only indirectly to the underlying biology. This is especially true for MRI, where the signal intensity can depend upon many physical parameters including water content, local structure, tumbling rates, diffusion and hypoxia (Dominietto et al.,2014). There has been considerable interest in identifying whether such biologically indirect image contrasts can be used as non-invasive imaging biomarkers, either for normal biological functions, pathogenic processes or pharmacological responses to therapeutic interventions (Atkinson et al.,2001).

Histopathology is generally considered to be the ground truth when considering the characterisation of diseased tissue (KieSSLing et al.,2011). For histology, a post mortem or biopsy specimen is cut into thin sections to reveal its internal morphology and then stained to observe complex differentiated structures at the cellular level (KieSSLing et al.,2011). The cutting process inherently yields 2D sections, which is the manner by which most histology is analysed. However, considerable work has been undertaken to reconstruct 3D histological volumes from serial 2D sections (Pichat et al.,2018). Though difficult, this allows knowledge of the 3D environment to be regained, while still accessing microscopic information (Stille et al.,2013).

When new imaging modalities are proposed as imaging biomarkers for particular diseases (Price,2011), it is difficult or impossible to validate them in human patients for ethical reasons. Validation against histopathology is limited to biopsy (Madabhushi et al.,2005) and later post-mortem comparisons (Kimt et al.,2000). In the case of biopsy, the size and number of samples taken is very limited and difficult to localise on images. Comparison of in-vivo non-invasive imaging and later post-mortem histology would be compromised by disease progression between imaging and death. Further, comparison of post-mortem imaging and post-mortem histology, would be compromised by the ex-vivo state of the tissue (Fagan et al.,2008).

Nevertheless, biomarker validation can be performed in preclinical disease models, where the animal can be terminated immediately following imaging for histological analysis. In principle, co-registration of imaging biomarkers with histopathology would allow direct validation. Indeed, there is a considerable literature describing such image registration algorithms and their application (Dauguet et al.,2007, Pichat et al.,2018). However, in practice, most preclinical validation is qualitative, limited to visual comparisons with sample histology sections, with little attempt made to match these to the corresponding imaging slice (Henning et al.,2007, Langer et al.,2009, Coquery et al.,2014). The reason for this is that accurate co-registration of non-invasive images with histology sections is challenging.

The processing, cutting and staining of histology sections can result in complex deformations such as fixation shrinkage, tears and cutting artefacts (Stille et al.,2013, Agarwal et al.,2018), which are difficult for registration algorithms to handle.

118 Examples of quantitative comparison of imaging biomarkers with histology include Stille et al.,  
119 which used rigid registration and selected anatomical landmarks with a rodent stroke model (Stille  
120 et al.,2013). This approach requires an expert to identify the control points, which can be a difficult  
121 task due to internal distortions. Similarly, Ou et al. (2009) used non-rigid registration with selected  
122 anatomical landmarks to register histology to MRI of prostate tumours, using two criteria:  
123 maximization of landmark similarities and maximization of cancer region overlap. Jardim-Perassi  
124 et al. (2019) used MRI-guided 3D printed tumour moulds to facilitate registration in a murine  
125 breast tumour model. However, the accuracy of these approaches was limited by not accounting  
126 for imaging slice thickness and often slice orientation too.

127

128 In this paper, we have tried to achieve high quality registration of histology with non-invasive  
129 imaging data, not by improving on current image registration algorithms, but by focusing on  
130 improving the quality of the histology used. This was done in five ways:

131

- 132 1. The use of a “flash-freeze” method for fixation instead of transcardial fixation with paraffin  
133 embedding. With care and experience this helps to preserve tissue morphology, reducing  
134 macroscopic distortions associated with extracting, cutting, and staining (Peters,2003, Ou  
135 et al.,2009).
- 136 2. Histology was cut in relatively thick 20  $\mu\text{m}$  sections, to reduce the risk of tears/distortions.
- 137 3. Histology sections were carefully cut in the image acquisition plane (e.g. the MRI plane),  
138 guided by thin slice T2-weighted MRI. This is particularly important in order to maximise  
139 spatial correlation between MRI and histology (cf. Figure 1).
- 140 4. A protocol was developed to register and stack multiple in-plane histology sections in  
141 order to reflect the imaging slice thickness. For example, the thickness of an MRI slice  
142 (~1-2 mm) is approximately 100 times thicker than a histology section (~10 to 20  $\mu\text{m}$ ) (cf.  
143 Figure 2). This is crucial where the pathology is heterogeneous, with variations occurring  
144 on the length scale of the imaging slice thickness.
- 145 5. The use of histological stains that exhibit signal intensities proportional to the observed  
146 phenomenon, in order to produce semi-quantitative maps. This facilitates intensity based  
147 registration, thus avoiding overfitting limitations of commonly used affine transformations  
148 (Wells et al.,1996).

149

150

151 To evaluate the ability of this overall approach to provide a quantitative histopathologic  
152 assessment of in-vivo imaging biomarkers, we applied it to a patient-derived mouse model of  
153 glioblastoma multiforme. In GBM, a major factor contributing to treatment failure is the ability of  
154 tumour cells to infiltrate adjacent normal brain tissue (Price,2011), with low tumour cell density  
155 extending far beyond the bulk of the tumour. Identifying the full extent of infiltration is important for  
156 both radiotherapy planning and to achieve complete surgical resection. Here, we present the  
157 different steps leading to the production of a 3D data matrix, from co-registration of multiple MRI  
158 modalities with stacked in-plane histology. We show how the resulting matrix allows MRI  
159 modalities to be assessed, both in terms of tumour volume detection and via direct voxel-wise

160 comparison. Such an approach should become the accepted gold standard for validating non-  
161 invasive imaging biomarkers.  
162

## 163 **2 Materials and Methods**

### 164 **2.1 Animal preparation**

165 Experiments were performed on ten immunocompromised CD1 nude mice (20 to 25g, Charles  
166 River Laboratories), which were acclimatized at least one week prior to any experimental  
167 procedure. Animals that did not exhibit tumour growth (n=1) were removed from the study. G7  
168 human glioblastoma cells were cultured in stem-like conditions (Advanced DMEM:F12, containing  
169 20µM EGF/FGF, 1% B27, 0.5% N2, heparin, 1% L-Glut) on Matrigel coated plates. The animals  
170 were intracranially injected with G7 cells ( $10^5$  cells per mouse) into the sub-ventricular zone using  
171 stereotactic equipment (Gomez-Roman et al.,2017). This cell line produces a tumour bulk with  
172 infiltrative edges in-vivo that replicates the human disease (Ahmed et al.,2015). To avoid  
173 unnecessary animal use, this work is based on data produced by a brain tumour infiltration study  
174 where animals were scanned using MRI at weeks 9 and 12 after GBM injection (Vallatos et  
175 al.,2018a). Experiments were carried out in accordance with the local ethical review panel, the UK  
176 Home Office Animals (Scientific Procedures) Act 1986 and the United Kingdom National Cancer  
177 Research Institute guidelines for the welfare of animals in cancer research (Workman et al.,2010).  
178 Study outcomes are reported according to the ARRIVE guidelines (Kilkenny et al.,2011).

179

### 180 **2.2 MRI set up**

181 MRI experiments were performed on a 7Tesla Bruker Biospec Avance system (Bruker Biospin,  
182 Ettlingen, Germany). Homogeneous radiofrequency excitation was achieved using a 72mm  
183 birdcage volume resonator, with the signal detected using an actively decoupled 4-channel  
184 phased array receive-only head surface coil (Rapid Biomedical, Wurzburg, Germany). The mice  
185 were initially anaesthetized using 5% isoflurane and a 30:70 O<sub>2</sub>/N<sub>2</sub>O ratio and were positioned  
186 prone on an MRI cradle. A hot water circulation jacket was used to regulate the animal  
187 temperature ( $37\pm 1$  °C) , which was monitored using a rectal probe. The head was secured  
188 laterally using conical ear rods and longitudinally by the nose cone used for anaesthetic gas  
189 delivery. The animals breathed spontaneously through a facemask delivering a constant flow of  
190 isoflurane mixed with a 40:60 ratio of O<sub>2</sub>/N<sub>2</sub>O (1 L min<sup>-1</sup>). Isoflurane concentration varied from 1.5  
191 % to 3 %, in order to maintain stable respiration rates within normal physiological ranges (40-70  
192 bpm). Respiration was monitored throughout the experiment using a pressure sensor connected  
193 to an air-filled balloon placed under the animal abdomen (Biotrig software, Bruker, Ettlingen,  
194 Germany).

195

### 196 **2.3 MRI experiments**

197 Following a geometry correction scan, a series of MRI experiments were performed (field of view  
198 2×2 cm, five 1.5 mm thick coronal imaging slices centred at 4 mm from the rhinal fissure). T2-  
199 weighted imaging (T2W) was performed using a rapid acquisition with relaxation enhancement  
200 (RARE) sequence (TE=47 ms, TR=4,300 ms, matrix=176×176, in-plane resolution 113 µm x113  
201 µm, 9 min). A second set of T2-weighted images were acquired (T2W<sub>Histology</sub>), with the same

202 parameters as above but with fifteen 0.5 mm thick slices, for the specific purpose of guiding the  
203 cutting of histology sections. Diffusion-weighted imaging (DW) was performed using a 4-shot spin-  
204 echo planar imaging DW scan (TE=37ms, TR=4,500 ms, matrix=128×128, 1.5 mm slice  
205 thickness, 6 directions, b-values = 0, 1000 s mm<sup>-2</sup>, 10 min). Perfusion weighted imaging (PW) was  
206 performed using a multiple boli Arterial Spin Labelling sequence (mbASL) (Vallatos et al.,2018b),  
207 labelling with a train of twenty hyperbolic-secant inversion pulses (duration=3.3 ms, dimensionless  
208 amplitude parameter  $\mu=8$ , angular modulation  $\beta=760\text{ s}^{-1}$ ) evenly distributed over a 5 s labelling  
209 duration. The inversion slice width was 8.5 mm and the offset from the imaging slice was 15 mm.  
210 Image acquisition was achieved using an EPI module (TE=12 ms, TR=7 s, matrix=96×96, 4  
211 acquisition segment, partial FT=1.4, 12 averages, 9 min). T1 weighted imaging was performed  
212 using a RARE acquisition (TE=12.3 ms, TR=800 ms, matrix=176×176,RT=4, 8 min. Following in-  
213 vivo scanning, a doped water phantom was scanned using the above sequences, for use in  
214 correcting the receiver coil bias. MRI data were exported in DICOM format.

215

## 216 **2.4 Histology protocol**

217 Following MRI scanning, the animal was taken to deep anaesthesia then removed from the MRI  
218 cradle to a nearby bench and decapitated. The skin was peeled back and the brain removed from  
219 the skull. The brain was then fresh-frozen for 2 minutes at -45 °C using an isopentane solution  
220 tube immersed in dry ice. The frozen brains were then embedded in Cryomatrix and protected in  
221 an M-1 embedding matrix to prevent dehydration (Thermo Fisher Scientific, UK). Freezing was  
222 favoured in order to avoid the unpredictable macroscopic tissue deformation related to perfusion-  
223 fixation and paraffin embedding (Petersen et al.,2001). Brain slicing was performed manually on  
224 an OTF 5000 Bright cryostat (-16 °C) equipped with a rotary knife (Bright, Criostato-OTF-5000). A  
225 relatively thick section thickness of 20  $\mu\text{m}$  was chosen, to reduce the risk of tears/distortions. Care  
226 was taken to cut the sections in planes parallel to the MRI imaging plane. For this, sectioning was  
227 guided by T2W<sub>Histology</sub> images (slice thickness = 0.5 mm), see Figure 1. Common brain structures  
228 identified by an experienced neuroscience research technician (L. Gallagher) were used to  
229 iteratively orientate the sectioning plane parallel to the MRI plane. Five pairs of adjacent sections  
230 within the MRI thickness were cut at 300  $\mu\text{m}$  intervals and then lifted onto to poly-L-lysine slides,  
231 see Figure 2. The sections were then stained using either haematoxylin and eosin (H&E) or  
232 Human Leukocyte Antigen (HLA) to identify the human tumour cells. The 20  $\mu\text{m}$  cryosections  
233 were fixed in ice cold acetone and washed in PBS before blocking in 3% BSA/TBS-tween for 30  
234 mins at room temperature. A 1:500 dilution HLA antibody (abcam ab70328) in blocking buffer was  
235 added and incubated for 2 hours at room temperature. Sections were washed three times with  
236 TBS-Tween before the addition of 1:1000 anti-mouse Alexa Fluor 647-conjugated secondary  
237 antibody (Thermo Fisher Scientific, UK - A21236) for 1 hour incubation in the dark. Sections were  
238 washed 3 times with TBS-Tween and mounted in a ProLong Diamond Antifade mount with DAPI  
239 (Thermo Fisher Scientific, UK - P36966). Whole brain section tile scans were conducted using a  
240 Zeiss 710 upright confocal microscope at x 10 magnification (Far red filters- 638 – 747. Beam  
241 splitters- MBS : MBS 488/561/633, MBS\_InVis : Plate, DBS1 : Mirror). Histology images  
242 (~1300×1000 pixels) were exported as .tiff files.

243

244 \*\*\* Figure1 and 2 to appears near here\*\*\*

245

## 246 **2.5 Data processing pipeline**

247

248 Both MRI and histology data were processed using Matlab code developed in-house (Matlab  
249 R2015a , MathWorks Ltd., U.K.). The overall processing pipeline is summarised in Figure 3.

250

251 \*\*\*Figure 3 appears near here\*\*\*

252

## 253 **2.6 MRI data analysis**

254

255 To remove any bias that could arise due to differences in the image intensity values for the  
256 different modalities, the DICOM images were normalised (0-1 range). Furthermore, non-uniform  
257 detection sensitivity associated with the use of a surface receiver coil was corrected, as it can  
258 adversely affect the registration processes: T1W, T2W and DW images were normalised  
259 (removing the sensitivity of the surface coil) using corresponding phantom MRI images acquired  
260 using the same parameters (Axel et al.,1987). Apparent diffusion coefficient (ADC) maps were  
261 calculated by fitting the DWI data to the mono-exponential equation of the Stejskal and Tanner  
262 model (Stejskal et al.,1965). Normalized relative perfusion maps (PW) were produced from the  
263 MRI signal of the control image ( $M_{\text{control}}$ ) and labelled image ( $M_{\text{label}}$ ), using the equation ( $M_{\text{control}} -$   
264  $M_{\text{label}})/M_{\text{control}}$ . Prior to comparison, all data (T1W, DWI, ADC, ASL, and SIH) were resized to the  
265 T2W in-plane resolution (176 x 176).To reduce processing time, the brain region was separated  
266 from the background by the application of an active contour method following manual delineation  
267 (Caselles et al.,1997).

268

## 269 **2.7 Histology data analysis**

270

271 Following digital scanning of whole brain histology sections, the histology images were rotated by  
272 a small angle to remove differences in orientation of the brain due to the laying out on glass  
273 microscope slides. Histology images were then resampled from their original resolution using the  
274 cubic spline method to match the resolution of the T2W images (176x176). Signal intensity  
275 inhomogeneity due to a difference in staining across the image were automatically corrected for  
276 each section by using a histogram equalization method (Belsare,2012). Further, a threshold value  
277 was selected, creating a brain mask to remove the background signal. Stacked In-plane Histology  
278 (SIH) maps were generated first by co-registration of multiple histology sections, then by taking a  
279 voxel-wise average of the signal intensities. Registration used non-rigid Mutual Information (MI)  
280 based transformation with global translation, rotation, scaling and shearing for optimal  
281 registration. In one histology section with greater tissue deformations, a B-spline method was  
282 applied to improve the registration.

283

## 284 **2.8 Histology to MRI co-registration and 3D matrices production**

285 Registration of histology sections with MR images is typically challenging due to a significant  
286 variation of image properties, such as resolution, field of view and contrast (Madabhushi et



287 al.,2005). Here, the SIH maps, allowed intensity based registration with MRI images to be  
288 undertaken using the Mutual Information registration method. For consistent registration, the  
289 histology sections were transformed so they had the same resolution and dimensions as the MR  
290 images.

291

## 292 **2.9 Segmentation protocol**

293 For both histology and MRI, tumour related abnormal regions of interest (ROI) were manually  
294 drawn by 3 observers (with more than 3 years' experience). Histology ROIs were selected on the  
295 basis of HLA stain intensity on SIH maps. MRI ROI delineation was performed without prior  
296 knowledge of the histology data, to avoid selection bias. Care was taken not to include non-  
297 infiltration related enhancement (e.g. ventricle compression). Inter-observer reproducibility of ROI  
298 selection was evaluated using the coefficient of variation (100 ×standard deviation/mean),  
299 resulting in 10% for histology and 12-21% for the different MRI modalities.

300

301

## 302 **2.10 Statistical analysis**

303 ROC curve analysis (Garcia-Lorenzo et al.,2013) was used to compare tumour volume  
304 dependence on number of sections used to produce the SIH maps, with 5-section SIH maps  
305 being the gold standard: voxels correctly identified as tumour are true positive (TP): voxels  
306 incorrectly identified as tumour are false positive (FP): voxels correctly identified as non-tumour  
307 are true negative (TN) and voxels identified incorrectly as non-tumour are false negative (FN).  
308 These values are then used to calculate Sensitivity, Specificity, Accuracy and Dice,

309

310

$$Sensitivity = \frac{TP}{TP+FN} \dots\dots\dots(1)$$

$$Specificity = \frac{TN}{TP+TN} \dots\dots\dots(2)$$

$$Accuracy = \frac{TP+TN}{TP+FP+FN+TN} \dots\dots(3)$$

$$Dice = \frac{2*TP}{FP+FN+2*TP} \dots\dots\dots(4)$$

311

312

313 Additional comparison between single- and multiple-section histology was conducted using Bland  
314 Altman plots. The two-tailed Student's t-test was used for comparisons between MR  
315 measurements of tumour volume and histology measurements of tumour volume (SSH or SIH),  
316 using a Bonferroni correction (Graph Pad prism 6, Ver.6.01, 2012). All values are reported as  
317 means ± standard deviation. \* Statistically significant p<0.01, \*\* statistically significant p<0.001,  
318 \*\*\* statistically significant p<0.0001 and NS not statistically significant. Statistical power analysis  
319 was performed using G-Power (version 3.1) software (Erdfelder et al.,1996).

320

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322

## 323 **3 Results & Discussion**

324

325

326 We present a quantitative method for validating imaging-based biomarkers by registration with  
327 stacks of in-plane histology.

328 While it is generally agreed that histopathology is the gold standard for assessment, in practice  
329 most preclinical validation is limited to visual comparisons with sample histology sections, with  
330 little attempt made to spatially match the histology section to the corresponding imaging slice. By  
331 improving the quality of the histology processing and analysis, we have been able to produce  
332 stacked in-plane histology (SIH) maps. These high quality SIH maps can then be co-registered  
333 with non-invasive imaging modalities, allowing more direct and quantitative validation of imaging  
334 biomarkers than has previously been possible.

335

336 To demonstrate this methodology, we applied it to a patient-derived mouse model of glioblastoma  
337 multiforme (GBM). In the case of GBM patients, an imaging biomarker capable of identifying the  
338 full extent of GBM cell infiltration would be valuable for both radiotherapy planning and in  
339 achieving complete surgical resection. Below, we assess the optimal number of histology sections  
340 for SIH maps and the quality of SIH with MRI registration. Finally, potential MRI biomarkers are  
341 assessed, both by volumetric and voxel-wise comparison with SIH maps.

342

343

### 344 **3.1 Tumour volume measurement via single-section Histology (SSH)**

345

346 Figure 4A shows exemplary H&E and HLA stained sections, obtained from within the 1.5 mm MRI  
347 slice. The heterogeneity in tumour shape and size is readily apparent at this length scale. The  
348 commonly applied method of arbitrarily selecting a single-section of histology (SSH) to estimate  
349 tumour volume inevitably leads to significant measurement variation. For example, the  
350 percentage difference between the minimum tumour volume ( $V_{\min}$ ) and maximum tumour volume  
351 ( $V_{\max}$ ) for each series of sections was found to be 46% for H&E and 50% for HLA (Figure 4B).  
352 While tumours can be identified on H&E sections due to a much higher density of cell nuclei,  
353 there is less sensitivity in detecting regions of low-density GBM infiltration. However, Human  
354 Leukocyte Antigen (HLA) staining is very specific in the mouse model, as it only stains cells that  
355 originated from the implanted human tumour cells. Hence, in the following analysis we define  
356 tumour volume as the maximum extent of GBM cell infiltration identified using the HLA sections.

357

358 \*\*\* Figure 4 appears near here\*\*\*

359

### 360 **3.2 Determining optimal number of histology sections for SIH maps**

361

362 It is clear that increasing the number of histology sections (20  $\mu\text{m}$  thick) used to generate a SIH  
363 map will make the SIH map more representative of the corresponding imaging slice (1500  $\mu\text{m}$   
364 thick). However, this comes at the expense of longer processing time (histology preparation /  
365 analysis time). In applying the SIH method, the optimal number of sections will be disease  
366 specific, depending on the length scale of heterogeneities and the corresponding imaging slice  
367 thickness.

368

369 For the GBM tumours, we assessed how tumour volume measurements were improved by using  
370 more HLA sections to generate the SIH maps. In 6 out of 9 mice, where five quality HLA sections  
371 were available, SIH maps were produced with one, two, three, four and five sections (Figure 5A-  
372 E), using all possible combinations of the sections. The measured tumour volume reaches a  
373 plateau when 3 or more sections are used to produce the SIH map, with no significant difference  
374 found between using 3, 4 or 5 sections (Figure 5F). These volume measurements are analogous  
375 to numerical integration where the more sections used to calculate a volume, the more accurate  
376 the result will be, eventually converging at the true value.

377

378 To further investigate the effect of using multiple histology sections, we performed a ROC curve  
379 analysis (Garcia-Lorenzo et al.,2013) comparing SIH maps produced with 1, 2, 3 and 4 HLA  
380 sections to maps produced with 5 HLA sections. This assumed the 5-section map was the  
381 'ground thruth' for the assessment of the other maps, To avoid bias in the selection of sections,  
382 maps were produced from all possible combinations of sections for each mouse. ). It should be  
383 noted that the values of Dice, sensitivity, and accuracy indices will be dependent on the number  
384 of sections used in the gold standard. However, Figure 5G-J does show a diminishing increase of  
385 these indices with the number of sections used, and their standard deviation decreases markedly.  
386 As expected, specificity measures were not affected by this evaluation, as smaller numbers of  
387 sections tended to underestimate the tumour region. A Bland-Altman analysis showed that the  
388 number of sections required is inversely proportional to the tumour size, see supplemental  
389 information (Figure 1S).

390

391 Given the above analysis, as a trade-off between improved accuracy and expanded processing  
392 time, we settled on using three histology sections for the remaining analysis. After excluding poor  
393 quality sections, the three sections with the largest tumour area were selected. It is crucial to note  
394 that the choice of three sections is very specific to this particular disease model (mouse model of  
395 glioblastoma). If the SIH method is to be applied to different disease models or different species,  
396 then the optimum number of slices will be different and will need to be assessed.

397

398

399

400 \*\*\*Figure 5 appears near here\*\*\*

401

402

### 403 **3.3 SIH to MRI registration quality**

404

405 Registration of histology with MRI was qualitatively and quantitatively evaluated at each stage of  
406 the process. The qualitative evaluation consisted of a visual inspection of the overlay of the inner  
407 and outer contours of the T2W image and histology section (Figure 6). Accurate alignment was  
408 observed between borders and internal structures. Excellent post-registration overlays were  
409 found; with Dice values above (0.96±0.011). The resized and co-registered data were used to

410 create a 3-dimensional data matrix, which allowed the MRI modalities to be assessed against  
411 histology, both in terms of tumour volume detection and via direct voxel-wise comparison.

412

413

414 \*\*\*Figure 6 appears near here\*\*\*

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416

### 417 **3.4 Volumetric assessment of MR biomarkers.**

418

419 The proposed SIH approach was used to quantitatively validate different MRI modalities as  
420 imaging biomarkers of GBM infiltration. For this, both the SSH and SIH approaches were used to  
421 measure the “ground truth” tumour volume (i.e. the volume of GBM infiltrated tissue) for the  
422 same dataset. Given the analysis in section 3.3, as a trade-off between improved accuracy and  
423 expanded processing time, we settled on using three HLA sections to produce the SIH maps. In  
424 all 9 mice, after excluding poor quality sections, the three sections with the largest tumour area  
425 were selected.

426

427 Figure 7A shows representative manual ROI selections for each imaging modality in the same  
428 animal. Figure 7B shows tumour volumes obtained from manual delineation of the various MRI  
429 modalities, compared with tumour volumes measured from five individual single sections of  
430 histology (SSH). Clearly, the large standard deviation of the SSH tumour volume measurements  
431 ( $\pm 6.022$ ) makes it a poor ‘ground truth’ for validating the MR tumour volume measurements, with  
432 no significant differences found between any of the MRI modalities and the SSH measurements.

433

434 However, tumour volume measurements made using SIH maps show a much lower standard  
435 deviation ( $\pm 0.81$ ) (Figure 7C), allowing better validation of the different MRI modalities. A  
436 statistically significant difference was found between the SIH measured tumour volume and those  
437 measured with T2W, DW and ADC, whereas both T1W and ASL measurements showed no  
438 significant difference and, hence, can be evaluated as better biomarkers for tumour cell infiltration  
439 in this animal model.

440

441 The lower standard deviation of SIH tumour volume measurements has important implications, as  
442 it allows statistical significance to be achieved without requiring an increase in the number of  
443 animals used. To further examine this, we performed power analysis using the results presented  
444 in Figure 7 (Figure 2S). For example, to achieve a statistically significant difference ( $p < 0.05$ )  
445 between T2W and SSH tumour volume measurements, would require between 72 and 800 mice.  
446 By contrast, using SIH maps as the ground truth, required only 9 mice to achieve  $p < 0.01$ . Such  
447 an impressive reduction in animal usage is a stated aim of the UK government, via its policy of  
448 Replacement, Refinement and Reduction of Animals in Research (NC3Rs) and should arguably  
449 feature in future guidelines for reporting in-vivo experiments (Kilkenny et al., 2011).

450

451 \*\*\*Figure 7 appears near here\*\*\*

### 452 **3.5 Towards voxel-by-voxel assessment**

453

454 The ideal approach to validating imaging biomarkers would involve voxel-by-voxel comparison  
455 with co-registered histology. To date, the difficulties involved in accurately co-registering histology  
456 sections with imaging slices have prevented this. However, we believe that the methodological  
457 pipeline we have outlined overcomes many of these difficulties, yielding a co-registered multi-  
458 dimensional data matrix (T1W, T2W, DWI, ADC, ASL and SIH map).

459

460 In the case of HLA, the staining intensity is proportional to the density of tumour cell membranes.  
461 Therefore, by averaging multiple histology sections, the resulting SIH maps represent a semi-  
462 quantitative measure of tumour cell density in the MRI slice. This allows the MRI modalities to be  
463 more accurately evaluated against histology in a direct voxel-by-voxel analysis. For example,  
464 Figure 8A-C shows scatter plots of different MRI modalities against SIH intensity. Here the  
465 pathogenic regions identified by the 'ground truth' histology ROIs can be highlighted, allowing  
466 the relationship between the MRI signal and the underlying histopathology to be assessed.  
467 Furthermore, it enables the assessment of multi-spectral analysis approaches on a voxel-by-voxel  
468 basis, investigating and validating combinations of MRI parameters against histology.

469

470 \*\*\*Figure 8 appears near here\*\*\*

## 471 **4 Conclusion**

472

473 We have introduced a novel methodological pipeline to improve the validation of non-invasive  
474 imaging biomarkers. In contrast to most previous studies, which focus on improving the  
475 registration algorithms, we have taken the approach of improving the quality of the histology  
476 processing and analysis. In an infiltrative brain tumour model we have demonstrated how stacked  
477 in-plane histology (SIH) maps, co-registered with multiple MRI modalities, provide a 'ground truth'  
478 for quantitative comparisons. Our results demonstrate that, in cases of small and heterogeneous  
479 tumours the use of this multi-section approach is crucial, as conventional assessment using  
480 single-section histology is prone to significant errors. Finally, the development of robust three-  
481 dimensional registration with non-invasive imaging modalities could lead to the emergence of  
482 voxel-by-voxel histopathologic assessment of new imaging biomarkers.

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### 485 **Conflict of interest**

486 The authors declare no conflicts of interest.

487

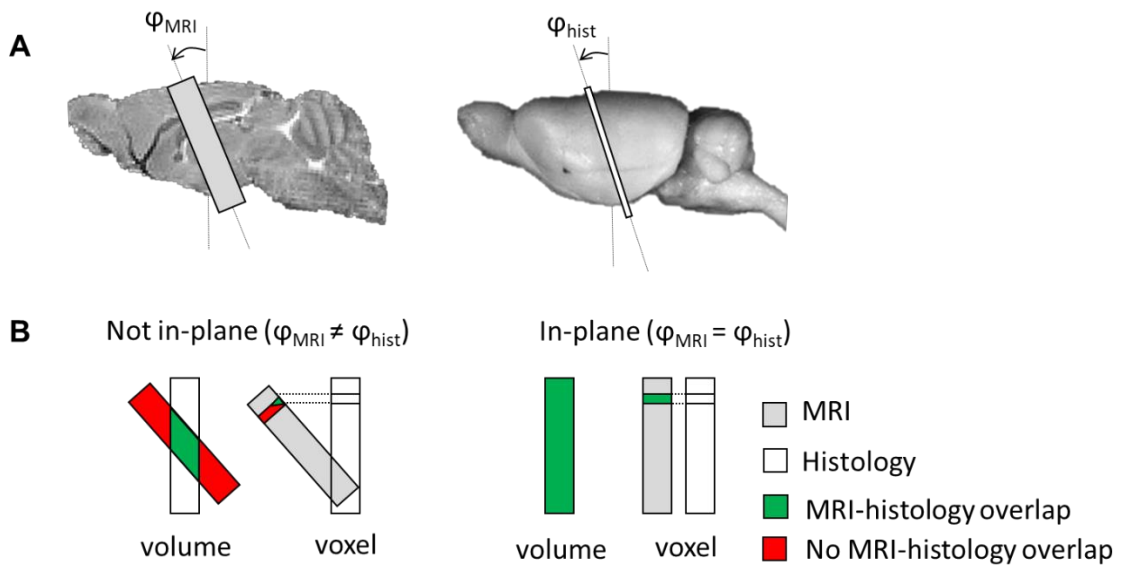
### 488 **Acknowledgments**

489 -H. Al-Mubarak would like to thank the Ministry of Higher Education and Scientific Research of  
490 Iraq for financial support.

491 -Contract grant sponsor: The Brain Tumour Charity; Contract grant number: 26/160.

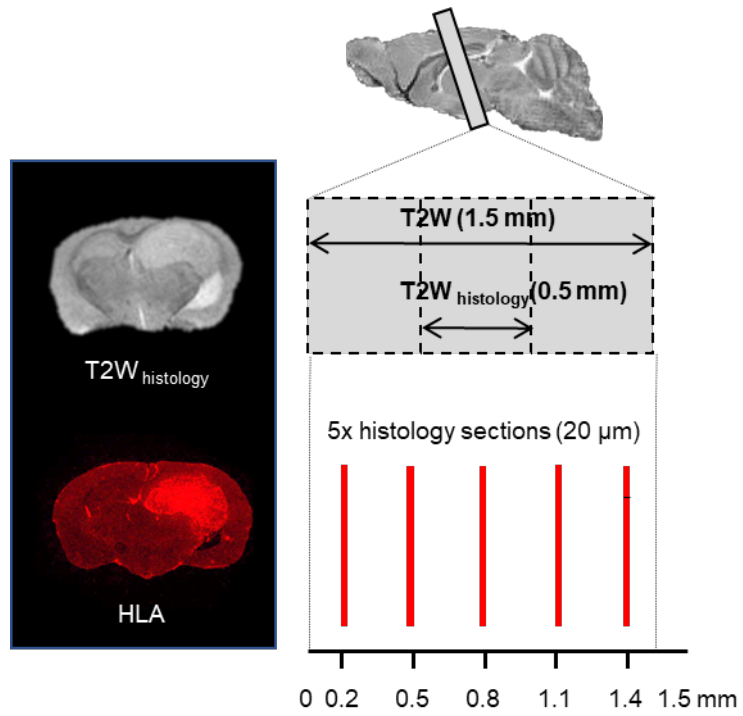
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Figure 1. Effect of cutting angle ( $\varphi$ ) on MRI to histology comparison: (A) MRI (1.5 mm thickness) and histology (20  $\mu\text{m}$  thickness) cutting angles. (B) The effect of cutting angle discrepancies on the overall volume and voxel-wise overlap between MRI and stacked histology.

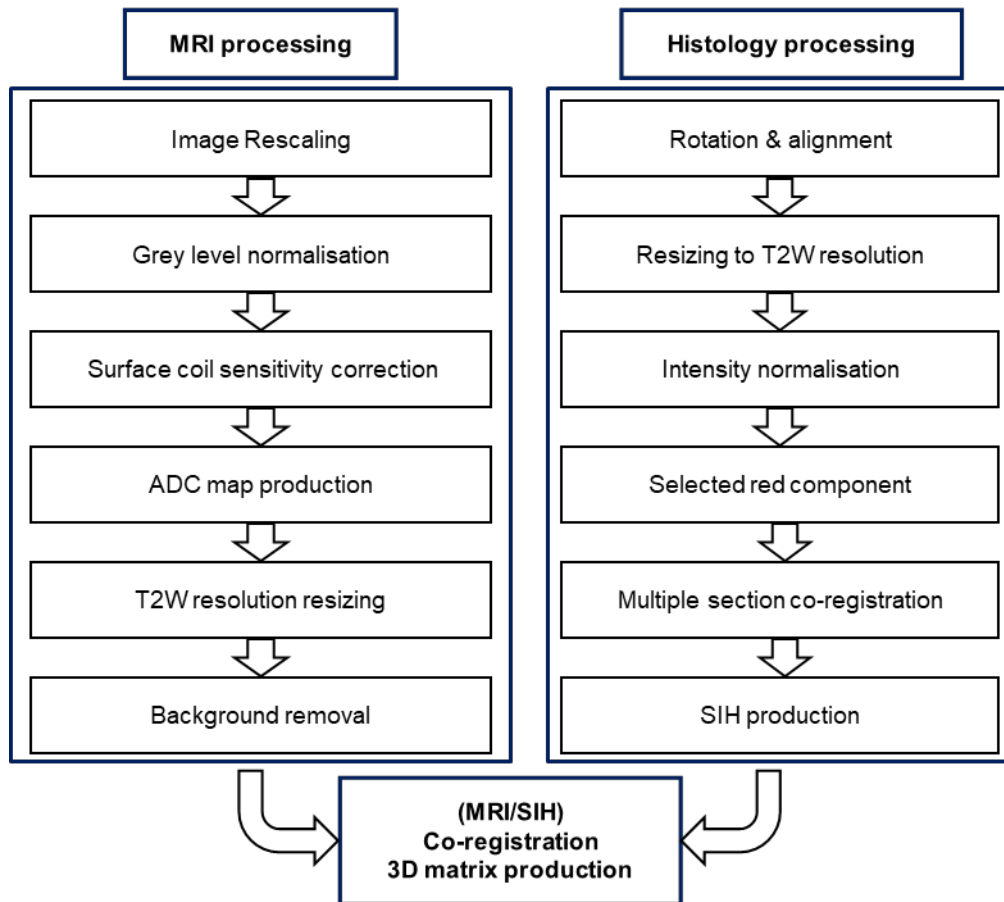


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518 *Figure 2. The cutting of histology section was guided by 0.5 mm thick T2-weighted images*  
 519 *(T2W<sub>Histology</sub>), matching the cryo-section plane to the MRI acquisition plane. Five evenly distributed*  
 520 *histology sections (20 μm) were cut (red) to cover the 1.5 mm thickness of the T2W scans.*

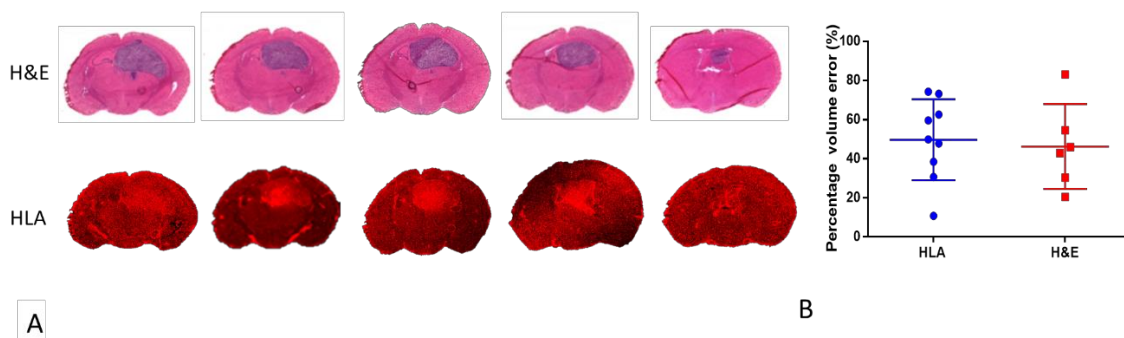
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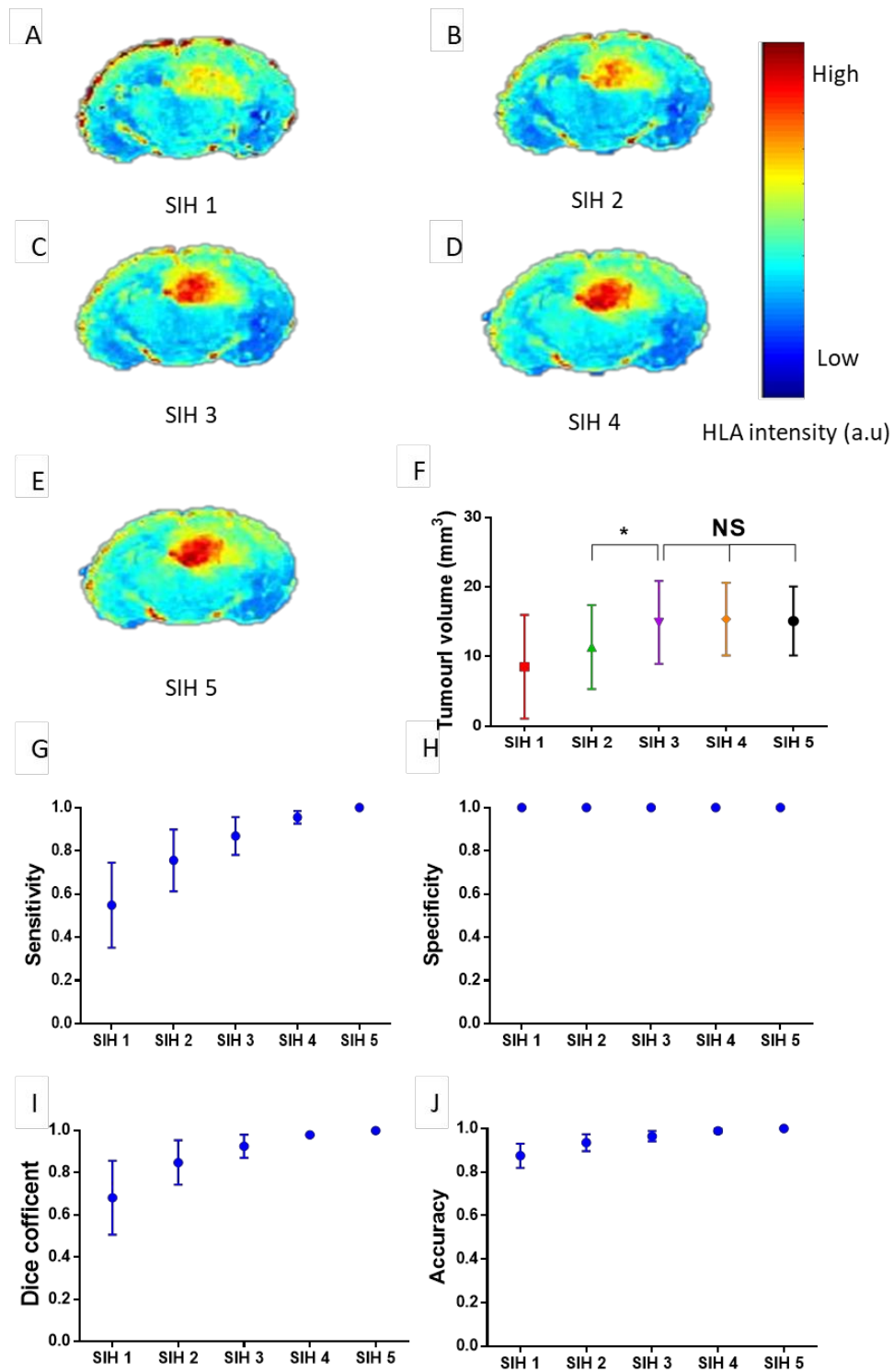
Figure 3. Simplified diagram of the image processing pipeline leading to the production of 3D matrices combining MRI modalities and SIH data.



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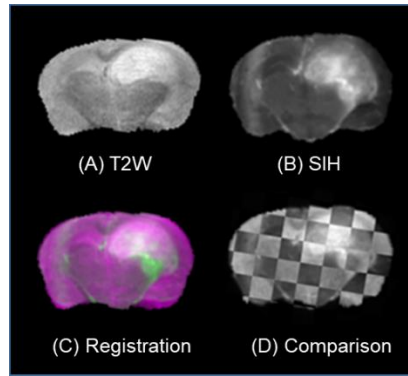
Figure 4. Examples of histology sections for a GBM mouse and volume error comparison: (A) Five corresponding histology sections (H&E and HLA) cut within the 1.5 mm thickness of one MRI slice (B) Percentage volume error between maximum and minimum tumour volumes ( $V_{max}$  and  $V_{min}$  respectively) in the five sections for each animal, calculated using  $(V_{max} - V_{min})/V_{max} \cdot 100$ .





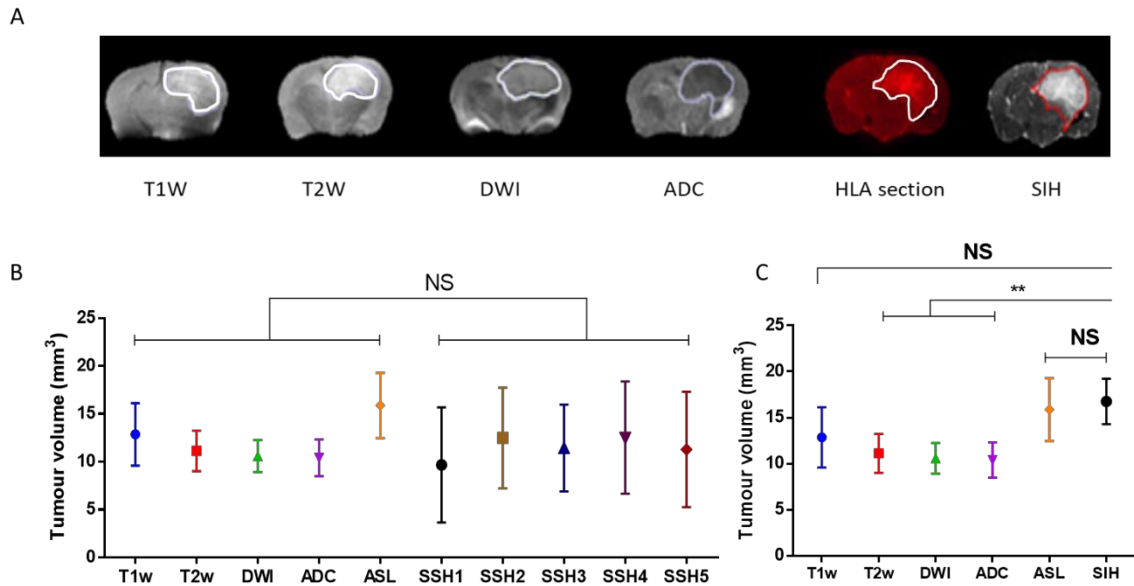
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Figure 5. (A,B,C,D and E): SIH maps generated using one section (SIH1), two sections (SIH2), three sections (SIH3), four sections (SIH4) and five sections of HLA (SIH5). (F) Measured tumour volume against number of sections used to produce the SIH map (n=6). Evaluation of the ability of SIH maps to probe the tumour related abnormal area in comparison with the 5-section SIH map: (G) sensitivity, (H) specificity, (I) Dice similarity coefficient and (J) accuracy indices.



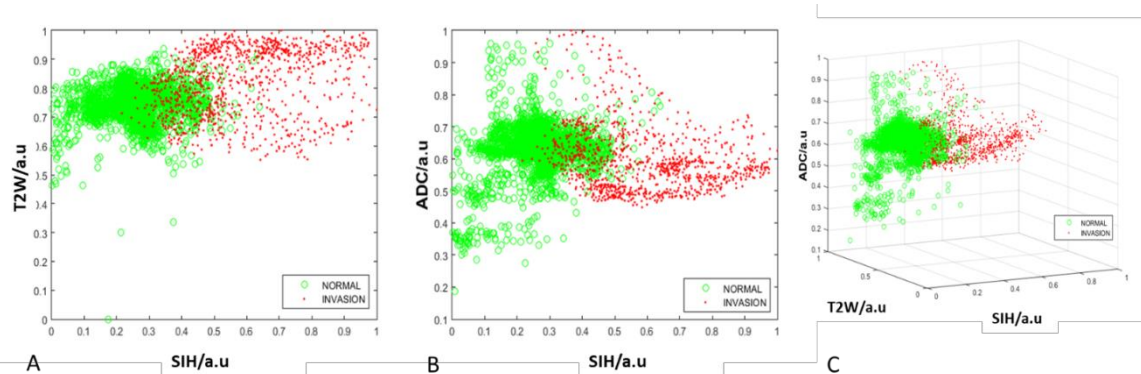
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Figure 6. Example of non-rigid co-registration of histology with MRI using the Mutual Information method: (A) a T2-weighted image (T2W) (B) Stacked in-plane histology (SIH). (C) co-registration fusion image with false colour showing similarities (purple) and difference (green). (D) checkerboard comparison between registered MRI and SIH maps.



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Figure 7. Volumetric analysis: (A) Examples of Regions of interest (ROIs) for MRI modalities and histology from the same animal. (B) Comparison of tumour volume measurements made using MRI modalities and single sections of histology (SSH). (C) Comparison of tumour volume measurements made using MRI modalities and stacked in-plane histology (SIH) maps generated using 3 sections.



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567 *Figure8. Voxel-wise scatter plots of MRI and SIH normalised data, showing the overlap between*  
 568 *normal tissue and voxels infiltrated by GBM cells. (A) T2W against SIH and (B) ADC against SIH.*  
 569 *(C) 3-D plot of T2W and ADC against SIH map.*

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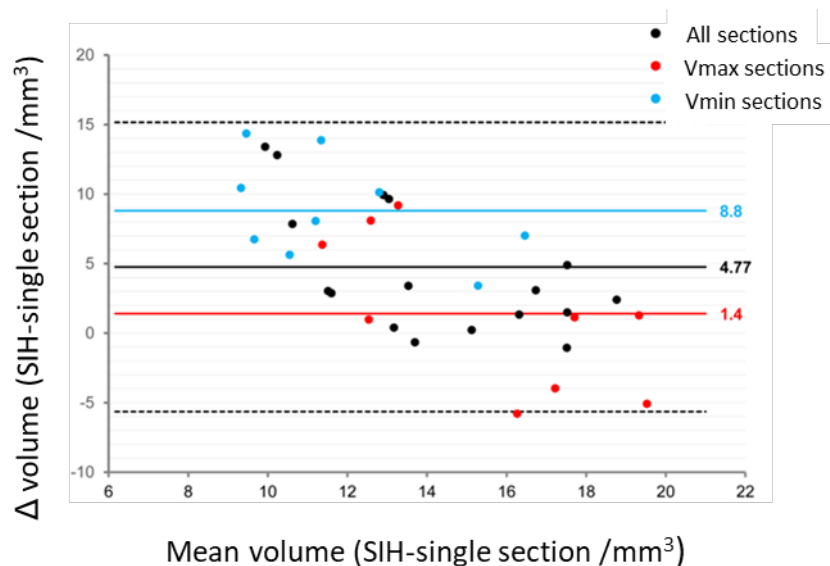
### 710 Online Supplemental Information

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To evaluate the errors resulting from a single-section assessment in this case where at least three sections were required, Bland-Altman plots were used to compare tumour volume measurements in single-section and 3-section SIH maps. These show significant biases between the two assessment methods (A). The average bias was of 4.77 mm<sup>3</sup> more for histologic maps, about 35% of the observed volume. Interestingly, the bias appears to increase with decreasing tumour volume. This highlights the fact that single-section approaches are more likely to fail when characterising small tumours.

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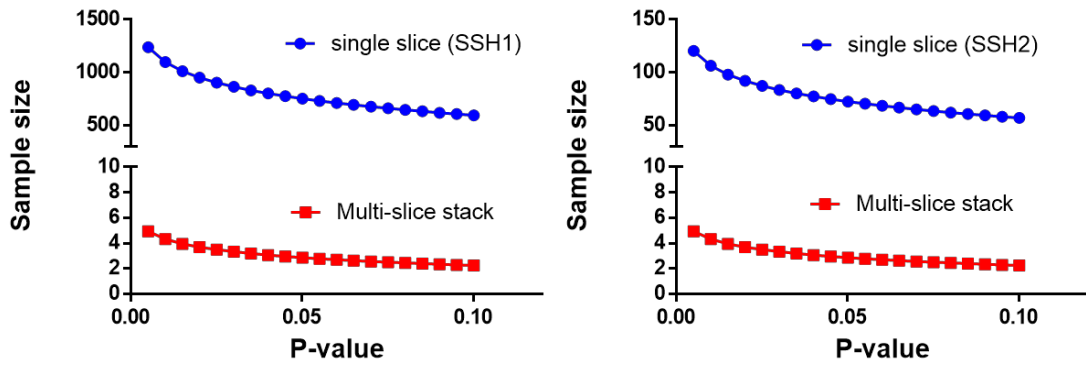
As arbitrary section selection for imaging assessment is common in the literature, it is interesting to consider two extreme single-section cases where the sections with the greatest and smallest tumour volumes are arbitrarily selected (V<sub>max</sub> and V<sub>min</sub> respectively): While bias reaches 54% of the observed volume for sections with the smallest areas, a significant bias is found even if histologic maps are compared with the single-sections showing the greatest abnormal area (9%).



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Figure 1S. Bland-Altman plots for tumour volumes identified on single-section histology (SSH) and stacked in-plane histology (SIH) maps using 3 sections.

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Figure 2S: Power calculation of number of animals that would have been required for achieving a given significance with the single-slice or the SIH approach for two different single slice groups (A) section SSH1 (B) section SSH2.