



Dodd, J. A., Vilizzi, L., Bean, C. W., Davison, P. I. and Copp, G. H. (2019) At what spatial scale should risk screenings of translocated freshwater fishes be undertaken - river basin district or climo-geographic designation? *Biological Conservation*, 230, pp. 122-130.

There may be differences between this version and the published version. You are advised to consult the publisher's version if you wish to cite from it.

<http://eprints.gla.ac.uk/187817/>

Deposited on: 13 June 2019

Enlighten – Research publications by members of the University of Glasgow_
<http://eprints.gla.ac.uk>

1 **At what spatial scale should risk screenings of translocated freshwater fishes be**
2 **undertaken – river basin district or climo-geographic designation?**

3

4 **ABSTRACT**

5 To inform aquatic conservation policy and management decisions, translocated freshwater
6 fish species, i.e. those native to part but not all of Great Britain (GB), were assessed with the
7 Aquatic Species Invasiveness Screening Kit (AS-ISK) at two spatial levels (River Basin
8 District [RBD] and GB overall), the outcome scores calibrated and analysed to determine the
9 relevance of geographical scale (GB, RBD and freshwater ecoregion) on AS-ISK outcome
10 score rankings. The 16 species assessed received scores that showed limited among-RBD
11 variation, with all but only one species (silver bream *Blicca bjoerkna*) receiving the same risk
12 ranking across all RBDs for which they were assessed. A trend of increasing AS-ISK score
13 with decreasing RBD latitudinal location was observed, with two species (bleak *Alburnus*
14 *alburnus* and tench *Tinca tinca*) found to have significantly higher AS-ISK scores in west-
15 coast RBDs than in RBDs to the north and east, and one species (bleak *Alburnus alburnus*) to
16 have significantly higher AS-ISK scores in southern RBDs than in northern RBDs. The Water
17 Framework Directive classification of Scotland was found to be inconsistent with the
18 latitudinal gradients in that country's environmental conditions, which are better reflected in
19 the distinction of northern and southern freshwater ecoregions. The ramifications of these
20 legislative classifications for aquatic conservation are discussed.

21 **Keywords:** AS-ISK; Aquatic Species Invasiveness Screening Kit; Water Framework
22 Directive; freshwater ecoregion; non-native species, invasive alien species

23 **Running title:** Translocated freshwater fish risk screening for Great Britain

24 **1. Introduction**

25 As governments around the globe strengthen their nature conservation policy and legislation
26 to regulate and control non-native species (NNS), especially those that are or likely to become
27 invasive, attention is eventually being directed towards translocated species, which are taxa
28 native to part but not all of a nation state that have been introduced to non-native parts of that
29 entity (Copp et al., 2005). This is of particular importance in the United Kingdom (UK),
30 where de-centralisation of government regulatory processes has taken place. This transfer of
31 administrative and legislative authority to devolved administrations in Scotland, Wales and
32 Northern Ireland requires a transitional process during which the responsible government
33 bodies develop their priorities for the implementation of local legislative regulations and
34 controls. However, regardless of this autonomy and potential need for local regulation, as a
35 Member State (of the European Union) and/or signatory to international agreements, the UK
36 is subject to both international and national (i.e., UK) controls.

37 To inform these conservation policy and management decisions regarding translocated
38 species, NNS risk analysis provides a means of identifying species that are likely to become
39 invasive where introduced to other parts of a nation state that are outside the species' native
40 distributions. This approach is identical to the evaluation of species that are entirely non-
41 native to the risk assessment (RA) area (Baker et al., 2008), such as has already been done for
42 freshwater fishes with regard to England & Wales (Copp et al. (2009). For the purposes of the
43 present study, the focus was restricted to Great Britain (GB), i.e. England, Scotland, Wales,
44 given that NNS on the island of Ireland are addressed collectively by Invasive Species Ireland
45 (<http://invasivespeciesireland.com/>).

46 The identification of future potentially-invasive species is particularly important in cases
47 where species can be easily translocated and introduced into an adjoining RA area (e.g.,
48 nation state, drainage basin). Such is the case in GB, where Scotland and Wales are species-
49 poor countries in terms of native freshwater fish fauna relative to southern parts of England

50 (Wheeler, 1972; Treasurer, 1993; Maitland, 2004), which is the well-known donor region for
51 several introductions of fish species into Scotland (Adams & Maitland, 2002; Maitland, 2007;
52 Adams et al. 2014), to northern England (Winfield et al., 2010), and through water transfer
53 schemes in the East of England (Copp & Wade, 2006). What remains unclear in risk analysis
54 terms is the spatial scale at which such translocations should be assessed within a nation state.
55 A biogeographical and climatic (climo-geographic) perspective is normally recommended
56 (e.g., Copp et al., 2005), and there are several examples of risk screening of NNS for RA
57 areas defined biogeographically (e.g., Ferincz et al., 2016; Glamuzina et al., 2017; Tarkan et
58 al., 2017) or climo-geographically (e.g., Onikura et al., 2012; Puntila et al., 2013).

59 Combining the biogeographic and climo-geographic approaches is not straight-forward
60 because the delineations of the world according to Köppen-Geiger climate types (Peel et al.,
61 2007; Beck et al., 2018), to freshwater ecoregions (Abell et al., 2008) and to ecoregions of the
62 European Union (EU) under the Water Framework Directive (WFD) (European Union, 2000),
63 are not entirely consistent. For example, in Finland the RA area for a similar risk screening
64 (Puntila et al., 2013) encompassed almost exclusively rivers along the country's southern
65 coastline that discharge into the Baltic Sea. This is generally consistent with Köppen-Geiger
66 climate type Dfb separation of the country's southern and northern catchments, but Finland
67 falls entirely within a single freshwater ecoregion (Northern Baltic drainages) according to
68 Abell et al. (2008). Elsewhere, the RA area in Japan for a risk screening of potentially
69 invasive freshwater fishes (Onikura et al., 2012) was the northern, hydrogeographically
70 separate part of Kyushu Island, which falls mainly into one of three Köppen-Geiger climate
71 types (Cfa, Dfa, Dfb) but only one freshwater ecoregion (643 – Biwa Ko).

72 A similar conundrum exists for GB, which falls within a single Köppen-Geiger climate
73 type (Cfb), and a single ecoregion under Europe's WFD (European Union, 2000), but
74 comprises two freshwater ecoregions (Abell et al., 2008): '402' (Northern British Isles, which

Risk screening of translocated freshwater fishes for Great Britain

75 includes Scotland, Wales and island of Ireland [henceforth 'Ireland'] to the west and north);
76 and '404' (Central and Western Europe of which England represents the most western extent).
77 However, this single WFD ecoregion is sub-divided into twelve River Basin Districts
78 (RBDs): Scotland, Solway & Tweed, Northumbria, North West England, Humber, Anglia,
79 West Wales, Dee, Severn, Thames, South East England, and South West England (European
80 Commission, 2016). A compounding factor is the long history of freshwater fish
81 translocations within GB (e.g., Wheeler, 1972; Maitland, 1987; Winfield et al., 2011), with
82 some of these translocations believed to have negatively impacted native fishes of
83 conservation interest and their communities (e.g., Winfield et al., 2010). As such, GB is a
84 good 'test subject' to assess the most appropriate spatial geographic and climatic scales of the
85 RA area for the risk screening/assessment of translocated freshwater fishes.

86 The aim of the present study was to carry out the first risk screening of translocated
87 freshwater fishes for GB (the RA area) to determine which species are likely to pose a risk of
88 being (or becoming) invasive in those parts of GB where they are not native. The specific
89 objectives were to: 1) compile an up-to-date list of species native to part but not all of GB,
90 comprising both those known to have been translocated within GB and those that could
91 potentially be translocated; 2) assess these species using the Aquatic Species Invasiveness
92 Screening Kit (AS-ISK: Copp et al., 2016b) decision-support tool to obtain outcome
93 invasiveness scores for RA areas at two spatial levels (RBD and GB overall); 3) analyse the
94 outcome scores to calibrate and validate AS-ISK for GB with respect to freshwater fishes; 4)
95 assess the relevance of geographical scale (freshwater ecoregion vs. river basin district) on the
96 risk screening score; and 5) provide recommendations on the regulation of the assessed
97 species in terms of their importation to, and their keeping and release within GB.

98 **2. Material and methods**

99 Three spatial scales within GB were considered in this study. Firstly, RBD as defined under
100 the WFD (European Commission, 2016). Secondly, GB as an entity, whereby the RA area
101 consisted of any part of GB outside the species presumed native distribution (see Table 1).
102 And thirdly, freshwater ecoregion as per Abell et al. (2008), which for GB consists of:
103 ‘Northern’ British Isles, encompassing the RBDs of Scotland, Solway & Tweed and those of
104 Western Wales and the River Dee; and ‘Southern’ British Isles, comprising all other RBDs in
105 GB attributed to the ‘Central and Western Europe’ ecoregion.

106 The species included in the list of translocated freshwater fishes encompassed: A) all
107 native species that are known to have been introduced from their native distribution range in
108 GB to other parts of GB where the species is not native; and B) any other native species likely
109 to be translocated within GB. Note that in the case of crucian carp *Carassius carassius*, the
110 RA area encompasses all parts of GB because a recent genetic study has demonstrated that
111 this species was most likely introduced about the same time as common carp *Cyprinus carpio*,
112 and therefore is most likely ‘not native’ to southeast England as was previously believed by
113 some scientists (Jeffries et al., 2017). A similar approach, encompassing both extant and
114 potential future species, has been used in all published applications of AS-ISK on freshwater
115 fishes to date (i.e., Glamuzina et al., 2017; Li et al., 2017; Tarkan et al., 2017) and in most
116 previous applications of FISK (see Copp, 2013), as this provides a means of assessing current
117 species, which may or may not have expressed invasive patterns. It also represents a horizon-
118 scanning function to aid in the identification of possible future invasive species (Copp et al.,
119 2009; Copp, 2013). As such, this approach extends beyond that taken by Kolar & Lodge
120 (2002), who considered only those species already present in the RA area and grouped them
121 as having ‘established’ and ‘not established’ self-sustaining populations. Also, unlike that
122 North American risk screening study, the listing of freshwater fishes for the present study is
123 confounded by uncertainty as regards their original native distributions – this uncertainty is

124 despite previous, seminal efforts to define the original species distributions through the
125 compilation of historical records (e.g., Maitland 1972, 1977, 1987, 2004a, 2004b; Wheeler
126 1972, 1974; Treasurer 1993; Wheeler et al., 2004; Winfield et al., 2010).

127 For each species in each RBD, a systematic search was undertaken using two main sources
128 of information: 1) the Web of Science, (<https://login.webofknowledge.com/>), to access peer
129 reviewed publications and scientific abstracts from conferences; and 2) www.google.co.uk
130 and its academic derivative, Google Scholar (<https://scholar.google.co.uk/>), to access peer
131 reviewed, grey literature and web-based information. Boolean search terms were used to unify
132 the search effort for each question/species combination (see example), and represented the
133 minimum effort required to identify appropriate sources of information. Following the
134 identification of appropriate publications, using the Boolean searches, an assessment of the
135 information contained therein was used to highlight additional sources of information. Two
136 online sources, FishBase (www.fishbase.org; Froese & Pauly, 2018) and the Invasive Species
137 Compendium by CABI (Centre for Agriculture and Biosciences International:
138 www.cabi.org/isc/) were used to access general information regarding known invasiveness
139 risk. General climate information was based on the Köppen–Geiger climate classification
140 system (Peel et al., 2007) and on the freshwater ecoregions defined by Abell et al. (2008).
141 This process provided a means to differentiate between the northern RBDs (Scotland, Solway
142 & Tweed, Western Wales and Dee; www.feow.org/ecoregions/details/northern_british_isles),
143 and southern RBDs (Northwest England, Northumbria, Humber, Anglia, Thames, Southwest
144 England and Southeast England; www.feow.org/ecoregions/details/central_western_europe).

145 To assess the potential each species poses as a vector for endemic and/or novel pests or
146 infection agents, contemporary parasite information from GB (Brewster, 2016) was compared
147 with the global known parasite fauna for each species available from the Natural History
148 Museum (2018). As parasite information was only available at the GB level, resolution at the

149 RBD level was not possible. Information from the National Biodiversity Network was used to
150 assess the likelihood of a species entering a protected area. Using the spatial analysis tool
151 (<https://spatial.nbnatlas.org/>), point records of occurrence for each species were plotted
152 separately and the map overlaid by maps of protected areas: Wetlands of International
153 Importance (RAMSAR), Sites of Special Scientific Interest (SSSI), and Special Area of
154 Conservation (SAC). The extent of each RBD was then visually assessed to look for the
155 association between the point records and the extent of the protected areas. Direct overlaps
156 between point records were taken as very high confidence that the species was in a protected
157 area, this was then adjusted depending on the distance of the point record from a protected
158 area. When occurrence records did not overlap, potential routes (i.e., presence of connected
159 water courses) through which the species could enter a protected area were assessed and the
160 likelihood of a species entering a protected area was assessed.

161 These information sources were used to screen the translocated fish species using AS-ISK,
162 which is a combination of the architectural framework of FISK v2 (Lawson et al., 2013) and
163 the generic screening module in the European Non-native Species in Aquaculture Risk
164 Analysis Scheme, ENSARS (Copp et al., 2016a). The AS-ISK, which is a third-generation
165 derivative of the Weed Risk Assessment (WRA) of Pheloung et al. (1999), may be applied to
166 any non-native aquatic species, regardless of their aquatic environment (brackish, freshwater,
167 marine) and climatic region.

168 The AS-ISK is fully compliant with the ‘minimum standards’ (Roy et al., 2018) for
169 assessing species under the new EU Regulation on invasive alien species of EU concern
170 (European Union, 2014). AS-ISK has already been used successfully to screen non-native
171 fishes in at least three risk assessment (RA) areas, including translocated species in: China (Li
172 et al., 2017), Turkey (Tarkan et al., 2017) and a large river catchment in the Balkans

173 (Glamuzina et al., 2017). A global trial of AS-ISK applications is in progress (L. Vilizzi, G.H.
174 Copp et al., in prep.).

175 Similar to the FISK, the AS-ISK comprises 49 questions (Qs) to assess the biogeographical
176 and historical traits of the taxon and its biological and ecological interactions. The basic 49
177 questions are complemented by an additional six questions that ask the assessor to assess how
178 predicted future climate conditions are likely to affect their responses to Qs related to the risks
179 of introduction, establishment, dispersal and impact. For each question, the assessor must
180 provide a response, justification and level of confidence. Once the assessment has been
181 completed (i.e., all 55 Qs answered), the basic risk screening (BRA) score is added to the
182 score from the climate change questions to achieve a composite BRA + Climate Change
183 Assessment (CCA) score (hence, BRA+CCA). The possible values for the BRA score range
184 from -20 to 68, and for the BRA+CCA score from -32 to 80. Finally, the ranked levels of
185 confidence (1 = low, 2 out of 10 chances; 2 = medium, 5 out of 10; 3 = high, 8 out of 10; 4 =
186 very high, 9 out of 10) associated with each question-related response in AS-ISK mirror the
187 confidence rankings recommended by the Intergovernmental Panel on Climate Change
188 (IPCC, 2005; Copp et al., 2016b).

189 For each species, AS-ISK assessments were first undertaken at the RBD-level and were
190 then compiled to provide a single risk assessment for each translocated species for GB-level
191 assessments. The data compilation process was achieved by identifying which questions had
192 different responses and using the most common response amongst RBD-level assessments as
193 the response for the GB-level assessment for that species. The most common response was
194 used for all questions except for question 36 (*“Will any of these pathways bring the taxon in
195 close proximity to one or more protected areas (e.g. MCA, MPA, SSSI)?”*) as it was felt the
196 consequences of the introduction of a non-native to a single protected area within GB would
197 have significant implications at a national level (e.g. legal obligations of maintaining

198 protected areas). The assessments were carried out by the first author, who is familiar with the
199 species being assessed, and then peer-reviewed by the other co-authors CB and GHC, both
200 being freshwater fish biologists familiar with fishes of the RA area.

201 In the score data analysis, the number of translocated freshwater fish species for GB ($n =$
202 16) was insufficient for successful calibration of the dataset. Therefore, the calibrated FISK
203 threshold score (i.e., 19), which was established by Copp et al. (2009) to distinguish between
204 high risk from low-to-medium risk NN fishes for the UK, was used as the ‘starting point’ for
205 categorisation of the translocated species. Given the changes in the 49 BRA Qs in AS-ISK
206 relative to FISK (Copp et al., 2016b), it was not possible to ‘transfer’ directly the above
207 threshold value to AS-ISK, so an ‘estimated’ threshold was computed. This was based on the
208 two available AS-ISK applications that have assessed the same group of fish species for a
209 certain RA area also under FISK, namely those by Tarkan et al. (2017) and by Glamuzina et
210 al. (2017). In the former study, the AS-ISK (BRA) threshold of 27.75 was 4.75 units higher
211 relative to the corresponding FISK threshold of 23; whereas, in the latter study (with a caveat
212 for some additional species assessed in that application of AS-ISK), the AS-ISK (BRA)
213 threshold of 10 was 0.25 units lower than to the corresponding FISK threshold of 23. The UK
214 FISK threshold of 19 was therefore incremented by the mean value of 2.25 based on the two
215 score differences above, leading to a (rounded) AS-ISK BRA threshold of 21 that will be used
216 in the present study to distinguish between medium and high-risk species. To estimate the
217 BRA+CCA threshold (hence, distinguish between medium- and high-risk translocated species
218 for the BRA+CCA assessment), the only AS-ISK application on freshwater fishes providing
219 both thresholds (namely, Glamuzina et al., 2017) identified a BRA+CCA threshold of 12.62,
220 hence 2.62 units higher than the BRA threshold of 10. The AS-ISK BRA threshold was,
221 therefore, incremented by this difference leading to a (rounded) BRA+CCA threshold of 24.

222 Notably, although based on limited information (i.e., only two studies), this approach is in line
223 with Bayesian adaptive management practice (Hilborn & Mangel, 1997; Prato, 2005).

224 Based on the confidence level (CL) allocated to each response for a given species (see *Risk*
225 *screening*), an overall confidence factor (CF_{Total}) was computed as:

$$226 \quad \sum (CL_{Q_i}) / (4 \times 55) \quad (i = 1, \dots, 55)$$

227 where CL_{Q_i} is the confidence level (CL) for Question i (Q_i), 4 is the maximum achievable
228 value for certainty (i.e., ‘very certain’) and 55 is the total number of questions comprising the
229 AS-ISK. The CF_{Total} ranges from a minimum of 0.25 (i.e., all 55 questions with certainty
230 score equal to 1) to a maximum of 1 (i.e., all 55 questions with confidence level equal to 4).

231 Two additional confidence factors were also computed separately for the BRA and CCA
232 questions, namely the CF_{BRA} (based on the 49 BRA Qs) and the CF_{CCA} (based on the six CCA
233 Qs).

234 To examine the effect of the geographical scale (freshwater ecoregion vs. RBD) on the risk
235 screenings, the mean AS-ISK score for each species was subtracted from the mean AS-ISK
236 score for each RBD. This standardised score provides a measure of the deviation of the
237 species score from the mean and thus a measure that is comparable across all fish species.

238 The standardised AS-ISK score was regressed against freshwater ecoregion (‘Northern’
239 and ‘Southern’, as defined here above) and river basin district location (Fig. 1) in two separate
240 linear mixed-effects models, including fish species as a random effect to account for pseudo-
241 replication. Model significance is reported as the significance of the deviance explained
242 compared with the null model. Additionally, for species that demonstrated the greatest
243 variation among RBDs, these were examined to identify any geographical patterns (e.g., north
244 vs. south), grouped accordingly and compared using the Students’ unpaired t -test.

245 **3. Results**

246 In total, 16 translocated fish species were risk screened using AS-ISK across the twelve RBDs
247 (Fig. 1), with *Carassius carassius* the only species assessed for all of them, and spined loach
248 *Cobitis taenia* and roach *Rutilus rutilus* both assessed for one RBD only (Table 1; the AS-ISK
249 report for each RBD assessment is available in the downloadable Supplementary Information
250 data file). Outcomes for all species were consistent across RBDs except for one species
251 (Table 2), namely silver bream *Blicca bjoerkna*, which was attributed scores of both medium
252 and high risk for both BRA and BRA+CCA. All other species categorised as medium or high
253 risk in all RBDs for which they were assessed and for both the BRA and the BRA+CCA. The
254 only species for which the AS-ISK risk ranking differed between BRA and BRA+CCA was
255 Arctic charr *Salvelinus alpinus*, which dropped from high (BRA) to medium (BRA+CCA)
256 risk consistently across all RBDs for which it was assessed (Table 3). Species-specific mean
257 AS-ISK scores showed relatively limited among RBD variation (SE bars in Fig. 2), the
258 greatest being observed with bleak *Alburnus alburnus* and tench *Tinca tinca*. In the case of *T.*
259 *tinca*, and with a caveat for small sample size, a trend of increasing AS-ISK score with
260 decreasing RBD latitudinal location was observed, whereby AS-ISK scores were significantly
261 higher (Students' $t = 5.422$, $df = 3$, $P < 0.02$) in west-coast RBDs (mean for Dee, Severn and
262 West Wales = 31.3, SE = 0.833) than in RBDs to the north and east (mean for Scotland and
263 Solway & Tweed = 25.5, SE = 0). For *A. alburnus*, there appears to be a significantly higher
264 risk ($t = 2.729$, $df = 6$, $P < 0.04$) posed in southern RBDs (mean for Southeast, Southwest and
265 Severn = 29.0, SE = 0) than those in the north (mean for Solway & Tweed, Dee, Northwest,
266 Northumbria, and West Wales = 26.1, SE = 1.782).

267 Overall, responses to the 55 Qs across RBDs were very similar, with only Q4 (*How similar*
268 *are the climatic conditions of the RA area and the taxon's native range?*) and Q36 (*Will any of*
269 *these pathways bring the taxon in close proximity to one or more protected areas (e.g., MCZ,*
270 *MPA, SSSI)?*) carrying a 'Medium' or 'High' and a "Yes" or "No" response, respectively.

271 At the GB level, based on the RBD-level assessments, seven (43.8%) were categorised as
272 medium risk and nine (56.2%) as high risk, and this applied to both the BRA and the
273 BRA+CCA scores (Table 3). Ruffe *Gymnocephalus cernuus* and *T. tinca*, common bream
274 *Abramis brama* and *Alburnus alburnus* achieved the highest scores (≥ 29 for the BRA; ≥ 31
275 for the BRA+CCA) and were followed by chub *Squalius cephalus*, *Rutilus rutilus*, rudd
276 *Scardinius erythrophthalmus* and *Blicca bjoerkna*; on the other hand, *Salvelinus alpinus* was
277 categorised as high risk for the BRA but medium risk for the BRA+CCA. This was due to the
278 -2 score for the CCA component of the risk screening, which was at variance with all other
279 scores of either 2 or 4 that incremented the corresponding BRA score (Table 2). Amongst the
280 species categorised as medium risk, grayling *Thymallus thymallus* and *Cobitis taenia*
281 achieved the lowest scores, even though none of the species assessed was categorised as low
282 risk (i.e., score <1).

283 Mean confidence level for all Qs (CL_{Total}) was 2.74 ± 0.04 SE, for the BRA Qs (CL_{BRA})
284 2.85 ± 0.05 SE, and for the CCA Qs (CL_{CCA}) 1.89 ± 0.03 SE, hence within the ‘high’ category
285 overall and for the BRA but within the ‘medium’ category for the CCA. Similarly, the mean
286 values for $CF_{Total} = 0.69 \pm 0.01$ SE and $CF_{BRA} = 0.71 \pm 0.01$ SE were higher than the mean
287 value for the $CF_{CCA} = 0.47 \pm 0.01$ SE. In all cases, the narrow standard errors indicated
288 overall similarity in CLs and CFs across the species assessed.

289 With regard to geographical assessment scale, the standardised risk score for translocated
290 species in the Southern ecoregion was significantly higher ($\chi^2_{(1)} = 32.24, P < 0.0001$) than for
291 the Northern ecoregion (Fig. 3). The standardised risk score was also significantly related
292 ($\chi^2_{(1)} = 10.21, P = 0.001$) to a general north-west to south-east geographical gradient (Fig. 4).

293 **4. Discussion**

294 The rationale for conducting risk screening at both RBD and GB scales in the present study is
295 apparent for some species but not others. For example, risk screenings may be necessary at a

296 relatively small geographic scale for a few species, e.g. *Blicca bjoerkna*, which was the only
297 species to be attributed different risk rankings (either medium or high) across the RBDs for
298 which it was assessed (Table 2). The variation in AS-ISK scores for several species (and risk
299 rankings for *B. bjoerkna*) could be attributed to variations in the response to Q4, reflecting
300 differences in climate between the taxon's native range and the RA area. Species with a more
301 restricted native range are more likely to show such variation. And in the case of *B. bjoerkna*,
302 the 2–3 point increase in score was enough to elevate this species over the threshold for
303 different risk categorisation. With the species showing the greatest among-RBD variation in
304 AS-ISK score (Fig. 2), i.e., *Tinca tinca* and *Alburnus alburnus*, there was a consistent pattern
305 of higher score for *T. tinca* in southern RBDs (Western Wales, Dee, Severn) than in northern
306 RBDs (Scotland, Solway & Tweed; Table 2). This contrasted *A. alburnus* for which there was
307 no discernable latitudinal or longitudinal trend.

308 In GB, fresh waters to the north are significantly more species-poor than those to the
309 south, thus risk screening at a national or RBD level has the potential to mask biogeographical
310 differences, resulting in a measure of risk which may be appropriate for one part of the nation
311 and not the other. In the case of the RBD 'Scotland', climate and aquatic habitat vary from
312 north to south and west to east, which is recognised in the freshwater ecoregions of Abell et
313 al. (2008) for the north–south gradient, but not for the east–west gradient, given that Scotland
314 and Wales comprise the same freshwater ecoregion ('Northern' British Isles'). That said, and
315 as mentioned above, there appears to be a greater risk posed by *T. tinca* in western RBDs of
316 GB than in other RBDs for which the species was assessed (Table 2). As such, the fact that
317 Scotland is classified as comprising a single RBD is very unhelpful from a regulatory
318 perspective. Indeed, there could be variations in the risk rankings of some species among river
319 catchments within the RBD Scotland (e.g., those more northerly vs. those in the south of
320 Scotland), which were not revealed in the present, RBD-level study. Indeed, some of the most

321 important conservation risks are likely to be site-specific. For example, the translocation of
322 fish to water bodies of conservation interest (e.g., containing locally-important species or
323 natural fish communities, or naturally lacking a fish fauna) could have a greater conservation
324 impact than translocation into an adjacent water body of lesser conservation value. That said,
325 the pattern of increasing deviation in standardised AS-ISK scores (Fig. 4) suggests that the
326 risks of translocated fishes being invasive are higher in southern RBDs than in the northern
327 RBDs, in part due to increased likelihood of establishment due to climate compatibility,
328 which may change in the future (Britton et al., 2010).

329 Overall, the use of RBDs as the RA Area for risk screenings appears to work well enough
330 when the RBD is effectively a geographically-defined area (e.g., drainage basin), e.g. rivers
331 Thames and Dee. However, this may not be appropriate in areas where risk needs to be
332 assessed at a finer geographical scale. Scotland is a good example of a composite RBD,
333 encompassing several drainage basins across a latitudinal cline within a single RBD, where
334 assessment at the RBD level may limit the powers of the main regulatory body (the Scottish
335 Environment Protection Agency) to take appropriate restorative action. So, whilst species
336 such as *R. rutilus*, northern pike *Esox lucius*, Eurasian perch *Perca fluviatilis*, European
337 minnow *Phoxinus phoxinus* and stone loach *Barbatula barbatula* are considered to native to
338 this RBD as a whole, they are native to only certain drainages within the RBD. The
339 translocation of locally non-native, but still nationally native, species such as these to new
340 water bodies can lead to the permanent loss or damage of native biota, particularly fish. The
341 power of WFD legislation to restore fish communities to those that reflect ‘good’ reference
342 conditions is greatly weakened when the RBD is so large that it fails to identify that species
343 may be native to the RBD in general but not native, and damaging, to individual water bodies
344 of the RBD. For example, the widespread distribution of *Phoxinus phoxinus* to water bodies
345 throughout Scotland (e.g. Maitland, 2007) as food or bait for native brown trout *Salmo trutta*

346 may have exerted adverse consequences for populations of that native species (e.g.,
347 Borgstrøm et al., 2010). As such, the WFD River Basin Plan may not identify the need for
348 control or removal of *Phoxinus phoxinus* as a priority because they are ‘native’ to the RBD
349 that covers all of Scotland. The same applies to introduced *Esox lucius*, *Perca fluviatilis*,
350 *Rutilus rutilus* and *Barbatula barbatula*, which may either predate native species or compete
351 with them for limited resources during part or all of those species’ life cycles.

352 Assessing risk at the RBD scale may not allow risk to be properly assessed in parts of that
353 RBD where these ‘native’ species are in fact non-native, and possibly invasive. In view of the
354 potential variation in risk score (though not necessarily risk ranking) screening should take
355 place at a scale that is appropriate to answer the conservation management question being
356 asked. As this geographic scale gets smaller, from RBD to hydrometric area to individual
357 catchment level, for example, so too does the quality and quantity of data required to support
358 any assessment, including evidence of which species are native and which are not. Failure to
359 identify risk at smaller geographical scales may also result in the loss of opportunities for
360 control or removal. This, in turn, could lead to further spread of species identified as
361 potentially posing a high risk of being invasive in previously un-invaded or connected water
362 bodies. This may lead to a downgrading of waterbody status (*sensu* WFD), and the
363 application of further pressure on regulators to initiate restorative action. This data-quality
364 issue is particularly relevant in countries with a long history of non-native fish introductions,
365 such as Germany, France, Italy and the United Kingdom (Copp et al., 2005).

366 **References**

367 Abell, R., Thieme, M.L., Revenga, C., Bryer, M., Kottelat, M., Bogutskaya, N., Coad, B.,
368 Mandrak, N., Balderas, S.C., Bussing, W. Stiassny, M.L.J., Skelton, P., Allen, G.R.,
369 Unmack, P., Naseka, A., Ng, R., Sindorf, N., Robertson, J., Armijo, E., Higgins, J.V.,
370 Heibel, T.J., Wikramanayake, E., Olson, D., López, H.L., Reis, R.E., Lundberg, J.G.,

- 371 Pérez, M.H.S., Petry, P., 2008. Freshwater ecoregions of the world: A new map of
372 biogeographic units for freshwater biodiversity conservation. *BioScience* 58, 403–414.
- 373 Adams, C.E., Maitland, P.S., 2002. Invasion and establishment of freshwater fish populations
374 in Scotland – the experience of the past and lessons for the future. *Glasgow Nat.* 23, 35–
375 43.
- 376 Adams, C.E., Lyle, A.A., Dodd, J.A., Bean, C.W., Winfield, I.J., Gowans, A.R.D., Stephen,
377 A., Maitland, P.S., 2014. Translocation as a conservation tool: case studies from rare
378 freshwater fishes in Scotland. *Glasgow Nat.* 26, 17–24.
- 379 Beck, H.E., Zimmermann, N.E., McVicar, T.R., Vergopolan, N., Berg, A., Wood, E.F., 2018.
380 Present and future Köppen-Geiger climate classification maps at 1-km resolution. *Sci.*
381 *Data* 5, 180214.
- 382 Bewick, V., Cheek L., Ball, J., 2004. Statistics review 13: Receiver operating characteristics
383 curves. *Crit. Care* 8, 508–512.
- 384 Borgstrøm, R., Museth, J., Brittain, J.E., 2010. The brown trout (*Salmo trutta*) in the lake,
385 Øvre Heimdalsvatn: long-term changes in population dynamics due to exploitation and the
386 invasive species, European minnow (*Phoxinus phoxinus*). *Hydrobiologia* 642, 81–91.
- 387 Brewster, B., 2016. Aquatic Parasite Information – a database on parasites of freshwater and
388 brackish fish in the United Kingdom. PhD Thesis, Kingston University, London.
389 (www.eprints.kingston.ac.uk/39278/1/Brewster-B-39278.pdf)
- 390 Britton J.R., Cucherousset J., Davies G.D., Godard M.J., Copp G.H., 2010. Non-native fishes
391 and climate change: predicting species responses to warming temperatures in a temperate
392 region. *Biol.* 55, 1130–1141.
- 393 Copp G.H., 2013. The Fish Invasiveness Screening Kit (FISK) for non-native freshwater
394 fishes – a summary of current applications. *Risk Analy.* 33, 1394–1396.

395 Copp, G.H., Wade, P.M., 2006. Water transfers and the composition of fishes in Abberton
396 Reservoir (Essex), with particular reference to the appearance of spined loach *Cobitis*
397 *taenia*. Essex Nat. 23, 137–142.

398 Copp, G.H., Bianco, P.G., Bogutskaya, N., Erős, T., Falka, I., Ferreira, M.T., Fox, M.G.,
399 Freyhof, J., Gozlan, R.E., Grabowska, J., Kováč, V., Moreno-Amich, R., Naseka, A.M.,
400 Peňáz, M., Povž, M., Przybylski, M., Robillard, M., Russell, I.C., Stakėnas, S., Šumer, S.,
401 Vila-Gispert, A., Wiesner, C., 2005. To be, or not to be, a non-native freshwater fish? J.
402 Appl. Ichthyol. 21, 242–262.

403 Copp, G.H., Russell, I.C., Peeler, E.J., Gherardi, F., Tricarico, E., MacLeod, A., Cowx, I.G.,
404 Nunn, A.D., Occhipinti Ambrogi, A., Savini, D., Mumford, J.D., Britton, J.R., 2016a.
405 European Non-native Species in Aquaculture Risk Analysis Scheme – a summary of
406 assessment protocols and decision making tools for use of alien species in aquaculture.
407 Fish. Manag. Ecol. 23, 1–11.

408 Copp G.H., Vilizzi L., Mumford J., Fenwick G.V., Godard M.J., Gozlan R.E., 2009.
409 Calibration of FISK, an invasiveness screening tool for non-native freshwater fishes. Risk
410 Analy. 29, 457–467.

411 Copp, G.H., Vilizzi, L., Tidbury, H., Stebbing, P.D., Tarkan, A.S., Moissec, L., Gouilletquer,
412 Ph., 2016b. Development of a generic decision-support tool for identifying potentially
413 invasive aquatic taxa: AS-ISK. Manag. Biol. Invas. 7, 343–350.

414 European Commission, 2016.
415 [http://ec.europa.eu/environment/water/participation/map_mc/countries/united_kingdom_e](http://ec.europa.eu/environment/water/participation/map_mc/countries/united_kingdom_en.htm)
416 [n.htm](http://ec.europa.eu/environment/water/participation/map_mc/countries/united_kingdom_en.htm) (accessed: 28 March 2018)

417 European Union, 2000. Directive of the European parliament and of the council 2000/60/EC
418 establishing a framework for community action in the field of water policy. OJEU 327, 1–
419 71.

- 420 European Union, 2014. Regulation (EU) No 1143/2014 of the European Parliament and of the
421 Council of 22 October 2014 on the prevention and management of the introduction and
422 spread of invasive alien species. OJEU 57, 35–55.
- 423 Ferincz, Á. Staszny, Á., Weiperth, A., Takács, P., Urbányi, B., Vilizzi, L., Paulovits, G.,
424 Copp, G.H., 2016. Risk screening of non-native fishes in the catchment of the largest
425 Central-European shallow lake (Lake Balaton, Hungary). *Hydrobiologia* 780, 85–97.
- 426 Froese, R., Pauly, D., (eds), 2018. FishBase. World Wide Web electronic publication
427 www.fishbase.org (accessed on 26 September 2018).
- 428 Glamuzina, B., Tutman, P., Nikolić, V., Vidović, Z., Pavličević J., Vilizzi, L., Copp, G.H. &
429 Simonović, P., 2017. Comparison of taxon-specific and taxon-generic risk screening tools
430 for identifying potentially invasive non-native fishes in the River Neretva catchment
431 (Bosnia & Herzegovina and Croatia). *River Res. Appl.* 33, 670–679.
- 432 Hilborn, R., Mangel, M., 1997. *The Ecological Detective: Confronting Models with Data*.
433 Princeton University Press, Princeton.
- 434 IPCC, 2005. Guidance notes for lead authors of the IPCC fourth Assessment Report on
435 Addressing Uncertainties. Intergovernmental Panel on Climate Change, WMO & UNEP
436 (www.ipcc.ch/pdf/assessment-report/ar4/wg1/ar4-uncertaintyguidancenote.pdf) (accessed:
437 26 September 2018).
- 438 Jeffries, D.L., Copp, G.H., Lawson-Handley, L.J., Sayer, C.D., Hänfling, B., 2017. Genetic
439 evidence challenges the native status of a threatened freshwater fish (*Carassius carassius*)
440 in England. *Ecol. Evol.* 7, 2871–2882.
- 441 Kolar, C.S., Lodge, D.M., 2002. Ecological predictions and risk assessment for alien fishes in
442 North America. *Science* 298, 1233–1236.

443 Lawson L.L., Hill J.E., Hardin S., Vilizzi L., Copp G.H., 2013. Revisions of the Fish
444 Invasiveness Screening Kit (FISK) for its application in warmer climatic zones, with
445 particular reference to peninsular Florida. *Risk Analy.* 33, 1414–1431.

446 Li, S. Chen, J., Wang, X., Copp, G.H., 2017. Invasiveness screening of non-native fishes for
447 the middle reach of the Yarlung Zangbo River, Tibetan Plateau, China. *River Res. Appl.*
448 33, 1439–1444.

449 Maitland, P.S., 1972. *A Key to the Freshwater Fishes of the British Isles with Notes on their*
450 *Distribution and Ecology.* Scientific Publication No. 27. Ambleside: Freshwater
451 Biological Association. 139 pp.

452 Maitland, P.S., 1977. Freshwater fish in Scotland in the 18th, 19th and 20th Centuries. *Biol.*
453 *Conserv.* 12, 265–277.

454 Maitland, P.S., 1987. Fish introductions and translocations — their impact in the British Isles.
455 In: Maitland, P.S. & Turner, A.K. (Eds) *Angling and Wildlife in Fresh Waters.* ITE
456 Symposium No. 19, pp. 57–65. ISBN: 0-904282-99-6.

457 Maitland, P.S. 2004a. *Keys to the Freshwater Fish of Great Britain and Ireland with Notes on*
458 *their Distribution and Ecology.* Scientific Publication No. 62, Freshwater Biological
459 Association, Ambleside, Cumbria. 248 pp.

460 Maitland, P.S., 2004b. *Evaluating the Ecological and Conservation Status of Freshwater Fish*
461 *Communities in the United Kingdom.* Scottish Natural Heritage Commissioned Report
462 No. 001 (ROAME No. F01AC6).

463 Maitland, P.S., 2007. *Scotland's Freshwater Fish: Ecology, Conservation & Folklore.*
464 Trafford Publishing, Oxford, 436 pp.

465 Natural History Museum, 2018. Host-parasite database ([www.nhm.ac.uk/research-](http://www.nhm.ac.uk/research-curation/scientific-resources/taxonomy-systematics/host-parasites/database/index.jsp)
466 [curation/scientific-resources/taxonomy-systematics/host-](http://www.nhm.ac.uk/research-curation/scientific-resources/taxonomy-systematics/host-parasites/database/index.jsp)
467 [parasites/database/index.jsp](http://www.nhm.ac.uk/research-curation/scientific-resources/taxonomy-systematics/host-parasites/database/index.jsp))(accessed: 26 September 2018)

- 468 Onikura, N., Nakajima, J., Inui, R., Mizutani, H., Kobayakawa, M., Fukuda, S., Mukai, T.,
469 2012. Evaluating the potential of invasion by non-native freshwater fishes in northern
470 Kyushu Island, Japan, using the Fish Invasiveness Scoring Kit. *Ichthyol. Res.* 58, 382–
471 387.
- 472 Peel, M.C., Finlayson, B.L., McMahon, T.A., 2007. Updated world map of the Köppen-
473 Geiger climate classification. *Hydrol. Earth Syst. Sci. Disc.* 4, 439–473.
- 474 Pheloung P.C., Williams P.A., Halloy S.R., 1999. A weed risk assessment model for use as a
475 biosecurity tool evaluating plant introductions. *J. Environ. Manag.* 57, 239–251.
- 476 Prato, T., 2005. Bayesian adaptive management of ecosystems. *Ecol. Model.* 183, 147–156.
- 477 Puntila, R., Vilizzi, L., Lehtiniemi, M., Copp, G.H., 2013. First application of FISK, the
478 Freshwater Fish Invasiveness Screening Kit, in Northern Europe: example of Southern
479 Finland. *Risk Analy.* 33, 1397–1403.
- 480 Roy, H.E., Rabitsch, W., Scalera, R., Stewart, A., Gallardo, B., Genovesi, P., Essl, F.,
481 Adriaens, T., Booy, O., Branquart, E., Brunel, S., Copp, G.H., Dean, H., D’hondt, B.,
482 Josefsson, M., Kenis, M., Kettunen, M., Linnamagi, M., Lucy, F., Martinou, A., Moore,
483 N., Nieto, A., Pergl, J., Peyton, J., Schindler, S., Solarz, W., Stebbing, P.D., Trichkova, T.,
484 Vanderhoeven, S., Van Valkenburg, J., Zenetos, A., 2018. Developing a framework of
485 minimum standards for the risk assessment of alien species. *J. Appl. Ecol.* 55, 526–538.
- 486 Tarkan, A.S., Sarı, H.M., İlhan, A., Kurtul, I., Vilizzi, L., 2017. Risk screening of non-native
487 and translocated freshwater fish species in a Mediterranean-type shallow lake: Lake
488 Marmara (West Anatolia). *Zool. Middle East* 63, 48–57
- 489 Tarkan, A.S., Vilizzi, L., Top, N., Ekmekçi, F.G., Stebbing, P.D., Copp, G.H., 2017.
490 Identification of potentially invasive freshwater fishes, including translocated species, in
491 Turkey using the Aquatic Species Invasiveness Screening Kit (AS-ISK). *Internat. Rev.*
492 *Hydrobiol.* 102, 47–56.

493 Treasurer, J.W., 1993. Coarse fish in Scotland: a threat or a resource? *Freshwat. Forum* 3(1),
494 20–25.

495 Wheeler, A.C., 1972. The origin and distribution of the freshwater fishes of the British Isles.
496 *J. Biogeogr.* 4, 1–24.

497 Wheeler, A.C., 1974. Changes in the freshwater fish fauna of Britain. In: Hawksworth, D.L.
498 (Ed.) *The changing flora and fauna of Britain. The Systematics Association Special*
499 *Volume No. 6*, Taylor & Francis, London, pp. 157–178.

500 Wheeler, A.C., 2000. Status of the crucian carp, *Carassius carassius* (L.), in the UK. *Fish.*
501 *Manag. Ecol.* 7, 315–322.

502 Wheeler, A.C., Merrett, N.R., Quigley, D.T.G., 2004. Additional records and notes for
503 Wheeler's (1992) List of the common and scientific names of fishes of the British Isles. *J.*
504 *Fish Biol.* 65 (Suppl. B), 1–40.

505 Winfield, I.J., Cragg-Hine, D., Fletcher, J.M., Cubby, P.R., 1996. The conservation ecology
506 of *Coregonus albula* and *C. lavaretus* in England and Wales, UK. In: Kirchhofer, A.,
507 Müller, D.R. (Eds), *Conservation of Endangered Freshwater Fish in Europe*. Birkhaeuser
508 Verlag, Basil, pp. 213–223

509 Winfield, I.J., Fletcher, J.M., James, J.B., 2010. An overview of fish species introductions to
510 the English Lake District, UK, an area of outstanding conservation and fisheries
511 importance. *J. Appl. Ichthyol.* 26, 60–65.

512 Winfield, I.J., Fletcher, J.M., James, J.B., 2011. Invasive fish species in the largest lakes of
513 Scotland, Northern Ireland, Wales and England: the collective UK experience.
514 *Hydrobiologia* 660, 93–103.

515 **Figure captions**

516 **Fig. 1.** Location of the 12 River Basin Districts (RBDs) of Great Britain (as per European
517 Union, 2000), numerically ordered from north-west to south-east (1 = Scotland, 2 = Solway &
518 Tweed, 3 = North West, 4 = Northumbria, 5 = Humber, 6 = Western Wales, 7 = Dee, 8 =
519 Severn, 9 = Anglian, 10 = Thames, 11 = South West, 12 = South East). Northern freshwater
520 ecoregions (after Abell et al. 2008) are shaded grey, southern are white. Three river basin
521 districts straddle the freshwater ecoregion divide and have been ascribed to the ecoregion in
522 which the largest area of the river basin falls: Solway & Tweed attributed to the 402th
523 ecoregion (Northern British Isles), with Northumbria and Severn attributed to the 404th
524 ecoregion (Central and Western Europe). The information used to generate this map follow
525 conditions for data use specified under Open Government Licence with all rights reserved
526 (©Environment Agency 2015; ©Natural Resources Wales.) for the RBDs, and at
527 www.feow.org/copyright (©The Nature Conservancy and World Wildlife Fund 2008, Inc. All
528 Rights Reserved) for the freshwater ecoregions.

529

530 **Fig. 2.** Mean and standard error of AS-ISK scores (basic risk assessment [BRA] and climate
531 change assessment [CCA] calculated from Table 2) for freshwater fish species across all
532 RBDs for which they were assessed using the Aquatic Species Invasiveness Screening Kit
533 (AS-ISK). Species codes are: Ct = *Cobitis taenia*, Tm = *Thymallus thymallus*, Bb = *Barbus*
534 *barbus*, Cg = *Cottus gobio*, Ll = *Leuciscus leuciscus*, Cr = *Carassius carassius*, Gg = *Gobio*
535 *gobio*, Bj = *Blicca bjoerkna*, Se = *Scardinius erythrophthalmus*, Rr = *Rutilus rutilus*, Sa =
536 *Salvelinus alpinus*, Sc = *Squalius cephalus*, Aa = *Alburnus alburnus*, Tt = *Tinca tinca*, Gc =
537 *Gymnocephalus cernuus*, Ab = *Abramis brama*.

538

539 **Fig. 3.** Standardised AS-ISK scores (deviate of the mean AS-ISK score for each species from
540 the mean AS-ISK score for each RBD) for RBDs in the north (grey bars) and south eco-
541 region (open bars).

542

543 **Fig. 4.** Linear relationship between standardised risk score and the geographical location of
544 the river basin district (see Fig. 1). Low numbers are RBDs located in the north-west and high
545 numbers are RBDs located in the south-east.