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## **Predicting Alzheimer's disease severity by means of TMS–EEG co-registration**

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## **Abstract**

Clinical manifestations of Alzheimer's disease (AD) are associated with a breakdown in large-scale communication, such that AD may be considered as a "disconnection syndrome". An established method to test effective connectivity is the combination of transcranial magnetic stimulation with electroencephalography (TMS-EEG), because the TMS-induced cortical response propagates to distant anatomically connected regions. To investigate whether prefrontal connectivity alterations may **predict disease severity**, we explored the relationship of dorsolateral prefrontal cortex connectivity (derived from TMS-EEG) with cognitive decline (measured with Mini Mental State Examination and a face-name association memory task) in **26** AD patients. **The amplitude of TMS-EEG evoked component P30**, which was found to be generated in the right superior parietal cortex, predicted MMSE and face-name memory scores: higher P30 amplitudes predicted poorer cognitive and memory performances. The present results indicate that advancing disease severity might be associated with effective connectivity increase involving long-distance frontoparietal connections, which might represent a maladaptive pathogenic mechanism reflecting a damaged excitatory-inhibitory balance between anterior and posterior regions.

**Keywords:** Alzheimer's disease; TMS-EEG co-registration; effective connectivity; **disconnection syndrome**; dorsolateral prefrontal cortex; P30.

## **1. Introduction**

Alzheimer's disease (AD) is a common progressive neurodegenerative disorder that disturbs several cognitive functions with the core difficulties involving episodic memory (Dubois et al., 2007).

Converging neuroimaging and electrophysiological results have demonstrated that the clinical manifestations of AD are not associated only with regional gray matter dysfunction but also with a breakdown in the communication between brain regions due to compromised large-scale connections, leading scientists to consider AD as a "disconnection syndrome" (Delbeuck et al., 2003). Magnetic resonance imaging (MRI), positron emission tomography (PET) and electroencephalography (EEG) have primarily indicated alterations in frontoparietal connectivity, together with weakened interhemispheric interactions (Berendse et al., 2000; Grady et al., 2001; Horwitz et al., 1987; Rose et al., 2000).

Loss of connectivity is not an "all at once" phenomenon in a brain affected by AD (Delbeuck et al., 2003) but rather connectivity is altered at several stages that mirror changes in AD pathology. In the prodromal and early stages of AD, resting-state functional MRI (fMRI) studies have consistently found a weakened connectivity in posterior brain regions (Agosta et al., 2012; Brier et al., 2012; Greicius et al., 2004; Sorg et al., 2007; Wang et al., 2007, 2006; Zhang et al., 2010; Zhou et al., 2010) coupled with an increased anterior connectivity, either within the prefrontal regions or between prefrontal areas and other brain regions (Agosta et al., 2012; Filippi et al., 2013; Supekar et al., 2008; Wang et al., 2007, 2006; Zamboni et al., 2013; Zhou et al., 2010). Looking at the full spectrum of AD pathology, abnormalities first affect the medial temporal lobe, the posterior cingulate cortex and the precuneus (Braak and Braak, 1991; Buckner, 2005; Buckner et al., 2008; Greicius et al., 2004; Sorg et al., 2007; Sperling et al., 2010; Zhou et al., 2010), then spread to posterior lateral cortical areas, and finally to frontal regions in overt AD (Braak and Braak, 1991; Zhang et al., 2010).

Therefore, prefrontal cortex represents a crucial target area to investigate how connectivity is altered **at different stages of AD severity** once clinical signs of are overt, as these regions are affected in a late stage of the disease (Braak and Braak, 1991).

However, only a few works have investigated how connectivity alterations further change over time once AD symptoms are manifested. Zhang and coworkers (Zhang et al., 2010) investigated resting-state functional connectivity alterations in patients with mild, moderate and severe AD, revealing that connectivity in the posterior cingulate cortex decreases but prefrontal connectivity increases with the progression of the disease. A longitudinal resting-state fMRI study by Damoiseaux et al. (2012) showed that connectivity decreases in posterior and increased in anterior areas at the very mild stage of the disease. However, when considering long-term changes, connectivity was found to decrease in all regions. Moreover, the functional implication of prefrontal connectivity alterations is still unclear. Although several authors have suggested that the enhanced prefrontal connectivity reflects a compensatory effect (Agosta et al., 2012; Gour et al., 2014; Supekar et al., 2008; Wang et al., 2007, 2006; Zamboni et al., 2013), the basis of such a phenomenon has not been elucidated (Pievani et al., 2014, 2011). According to the “compensatory hypothesis”, AD patients recruit additional frontal regions to compensate for the impaired posterior connectivity and for the resulting loss of cognitive functioning. An alternative explanation put forward by Pievani and colleagues (Pievani et al., 2014, 2011) is that, on the contrary, connectivity enhancement might represent a pathogenic mechanism due to damaged excitatory–inhibitory balance between anterior and posterior brain regions.

The prefrontal cortex, specifically the dorsolateral prefrontal cortex (DLPFC), is critically involved in episodic memory (Eichenbaum, 2017) and is the target of most of the non-invasive brain stimulation interventions aimed at improving this function. Crucially, episodic memory impairment is the core hallmark of AD cognitive profile, with trouble putting names to faces representing a distinct clinical manifestation and one of the most disabling symptoms. Accordingly, face–name associative memory tasks, which provide highly sensitive indices of episodic and semantic memory

performance, are exploited to capture episodic memory difficulties in AD and can contribute to **characterize different stages of disease severity** (Parra et al., 2010; Werheid and Clare, 2007).

Neuroimaging studies have revealed that in face–name associations, memory requires both highly specialized cortical areas in the occipitotemporal cortex and other brain regions associated with higher cognitive functions, including the DLPFC (D’Esposito et al., 1995; Sperling et al., 2001; Wagner et al., 1998).

Brain connectivity, and more specifically effective connectivity, can be tested by means of the combination of transcranial magnetic stimulation (TMS) with EEG (TMS–EEG). This method allows one to measure cortical excitability and effective connectivity in brain networks by measuring cortical signals evoked by TMS in the target area and their propagation to connected regions (i.e., TMS-evoked potentials, TEPs) (Bortoletto et al., 2015). **TEPs offer an opportunity to probe the causal influence that one region exerts on another because the activation induced by the TMS pulse in the stimulated area spreads to directly or indirectly connected areas. This spread is measured through EEG as amplitude and latency of TEPs generated in remote regions** (Bortoletto et al., 2015; Ilmoniemi et al., 1997; Massimini et al., 2005; Morishima et al., 2009). **Compared to fMRI-based effective connectivity, TEPs-based effective connectivity has high temporal resolution and low spatial resolution (as determined by EEG properties); its estimation does not require strong a priori assumptions and complex causal models, such as Granger causality.** TMS–EEG is an attractive technique because it is non-invasive, painless and easily accessible (Hohenfeld et al., 2018). Furthermore, being performed in a resting-state condition, TMS–EEG seems particularly suitable for AD patients, even at the severe stages of the disease, as it is less demanding for the patient and relatively independent from his/her **active collaboration**. A few studies have already demonstrated the feasibility of TMS–EEG in AD (Casarotto et al., 2011; Ferreri et al., 2016; Julkunen et al., 2011, 2008) by showing alterations in excitability and connectivity. However, only a very small number of patients have been studied or the target has been the motor cortex, with only marginal relevance in AD clinical manifestations.

The aim of the present study was to determine how prefrontal connectivity alterations are related to AD clinical symptoms and may **predict** disease **severity** in overt AD through a cross-sectional design. We explored the relationship between the excitability and effective connectivity of the DLPFC (as derived from TMS–EEG) and cognitive decline (as measured with Mini Mental State Examination and a face–name association memory task) in a sample of **overt** AD patients.

## **2. Material and methods**

### **2.1 Participants**

34 right-handed mild to moderate AD patients (age = 77.6, sd = 4.8, range = 64–84; Mini Mental State Examination (MMSE) score = 20.8, sd = 2.3, range = 17–26) were recruited and underwent a clinical and neuropsychological assessment and a TMS–EEG session. **Diagnosis of probable AD was done by expert neurologists or geriatricians addressing medical history, clinical examination, neuropsychological testing and laboratory results such as computed tomography, MRI or PET.** Inclusion criteria for AD patients were a diagnosis of probable AD according to the criteria of the NINCDS-ADRDA work group (McKhann et al., 1984), MMSE score (Folstein et al., 1975) greater than or equal to 16 and **a Clinical Dementia Rating scale score (CDR) from 0.5 to 2. At the time of recruitment all patients were being treated with cholinesterase inhibitors (donepezil or rivastigmine) or memantine (1 mild and 2 moderate patients) and were on a stable dose for at least 3 months prior to the beginning of the study.** Exclusion criteria were the presence of medical, neurological or psychiatric disorders that might interfere with the study or the presence of any contraindication for TMS (Rossi et al., 2009). All participants gave their written informed consent prior to the experiment. All the procedures conformed to the Declaration of Helsinki for research involving human subjects and were approved by the ethics committee of the IRCCS Centro San Giovanni di Dio Fatebenefratelli of Brescia (Italy). Data from 8 participants were excluded from the final sample due to technical problems during TMS–EEG recording. The final sample thus consisted of 26 participants. **A subset of 20**

**patients performed also a face–name association memory task (FNAT).** Demographic data from the final sample are reported in Table 1.

## **2.2 Clinical and neuropsychological assessment**

The clinical and neuropsychological assessment, administered by trained neuropsychologists, tested general cognitive abilities (MMSE), memory (Rey Auditory Verbal Learning test; recall of Rey–Osterrieth Complex figure; Story Recall from Rivermead Behavioral Memory test), attentional and executive functions (Trail Making test, part A and B), language (Picture naming task; Battery for Analysis of Aphasic Deficits), and praxis (Rey–Osterrieth Complex figure). All the tests were administered and scored according to standard procedures (Lezak et al., 2012). Additionally, functional abilities were evaluated using basic and instrumental activity of daily living (BADL and IADL) scales (Katz, 1983; Lawton and Brody, 1969). The results of the assessments are reported in Table 1.

---Please insert Table 1 about here---

## **2.3 Face–Name Association Memory Task**

The FNAT is an experimental computerized procedure for assessing episodic associative memory (Cotelli et al., 2014). FNAT included two phases: encoding and recognition. The stimuli were displayed on a 15-inch screen 60 cm in front of the patient with the Presentation software (Version 14.9, [www.neurobs.com](http://www.neurobs.com)). During the encoding phase, 60 unfamiliar faces paired with a set of 60 unfamiliar first names (30 males, 30 females) were randomly presented. Patients were required to indicate whether the faces belonged to a man or a woman and then were required to encode the face–name associations. In the recognition phase, administered 10 minutes later, previously seen faces were again randomly presented together with four proper names (the correct, one novel, and two previously presented names). Patients were asked to indicate the correct name for each



displayed face. For a detailed description of the task, see Cotelli et al. (Cotelli et al., 2014). **FNAT scores are reported in Table 1.**

## **2.4 TMS protocol**

TMS was delivered with the Magstim Super Rapid (biphasic) stimulator through a standard 70-mm figure-of-eight coil. 200 TMS pulses were delivered over the left DLPFC at random intervals of 2–4 seconds and with stimulus intensity of 110% of the resting motor threshold (rMT). To define rMT, the coil was placed tangentially on the scalp over the left primary motor cortex with the handle pointing backward at about 45° angle from the mid-sagittal axis of the participant's head. rMT was measured as the lowest stimulus intensity required to elicit a motor evoked potential (MEP) of at least 50  $\mu$ V in the relaxed right first dorsal interosseous (FDI) for 5 out of 10 consecutive trials (Rossini et al., 2015, 1994). The mean rMT was 54.7 percent of the maximal stimulator output (%MSO) (sd = 8.0). To stimulate the left DLPFC, the coil was positioned tangentially over the scalp at about 45° angle away from the midline, resulting in a posterior–anterior current flow, and targeting the F3 electrode location (according to the International 10–20 System). The coil was held in place by an expert experimenter and monitored throughout the procedure. TMS pulses were delivered during a resting-state condition, with participants comfortably sitting and looking ahead toward a fixation point.

## **2.5 EEG recording**

TMS-compatible EEG equipment (BrainAmp, Brain Products GmbH, Munich, Germany) recorded scalp potentials from 19 (Fp1, Fp2, F7, F3, Fz, F4, F8, T7, C3, Cz, C4, T8, P7, P3, Pz, P4, P8, O1, O2) TMS-compatible sintered Ag/AgCl electrodes (EasyCap, Brain Products). The signal was referenced online with respect to the nose and the ground electrode was at AFz. Horizontal and vertical eye movements were detected with electrodes placed at the left and right canthi and above and below the right eye, respectively. The impedance between the skin and each electrode was kept

below 5 k $\Omega$ . A continuous recording mode without the use of any sample-and-hold circuit was adopted. The recorded signal was band-pass-filtered at 0.01–1000 Hz and digitized at a sampling rate of 5000 Hz.

## **2.6 TMS–EEG analysis**

The EEG signals were processed and analyzed offline using EEGLAB (Delorme and Makeig, 2004) and custom scripts on MATLAB (R2016a, The MathWorks, Inc., Natick, Massachusetts).

Continuous data were interpolated in the time range from –2 to 10 ms with respect to the TMS pulse and then filtered with a 0.1-Hz high-pass filter. Epochs were created around the TMS pulse, starting from 200 ms before it and ending 500 ms post-stimulus. Signal components corresponding to ocular artifacts (blinks and saccades) were identified and discarded by performing Independent Component Analysis (ICA) with the Infomax ICA algorithm. After ICA, the remaining measurement noise was removed with the SOUND algorithm (Mutanen et al., 2018), which is a Wiener-filtering-based technique designed for noise removal in EEG and MEG data. For the purpose of SOUND, the same spherical three-layer model and the same regularization parameter ( $\lambda = 0.01$ ) as applied in the original work (Mutanen et al., 2018) were used to clean the data.

Because TMS–EEG data are likely to contain non-stationary disturbances, the collected data were cleaned with SOUND in 1-ms-long non-overlapping windows. For estimating the noise covariance matrix at each time window, the 5 samples per trial per each 1-ms window were used. In five patients, data were contaminated by TMS-evoked muscle artifacts; then, a method combining signal-space projection and source-informed reconstruction (SSP-SIR) (Mutanen et al., 2016) was applied to project out artifacts during the first 50 ms after the TMS pulse. One muscle-artifact component was removed from each dataset. The muscle-artifact components were identified based on each component's time–frequency behavior and the corresponding signal power as explained in (Mutanen et al., 2016). Following SSP-SIR, data were filtered with a 70-Hz low-pass filter, visually

inspected to discard epochs containing residual artifacts, re-referenced to the average of the mastoids and then baseline-corrected using the time interval from  $-100$  to  $-2$  ms.

TEP amplitudes were determined by taking the maximal peak from the electrode exhibiting the highest amplitude, in the following time windows: 15–25 ms (positive peak over F3), 25–35 ms (positive peak over Cz), 45–55 ms (positive peak over Cz), and 60–80 ms (positive peak over P4). Later components were not analyzed because components at latencies of 100–200 ms may be related to an auditory evoked response associated with the sound of the TMS coil discharge (Nikouline et al., 1999), and the sensory response to the TMS upon the scalp tissue (Conde et al., 2019).

To characterize how the elicited brain activity spread from the stimulated spot to other areas, we localized each TEP component with sLORETA (sLORETA, Pascual-Marqui, 2002), a distributed-source-imaging method. **Briefly, sLORETA calculates the standardized neuronal current source density distribution consistent with the scalp topography in the cortical gray matter and the hippocampus of the template of the Montreal Neurological Institute 152 (MNI152) (Fuchs et al., 2002), which comprises 6239 gray-matter voxels at 5-mm spatial resolution. sLORETA estimates the underlying sources under the assumption that the neighboring voxels should have a maximally similar electrical activity; the result is a blurred, widespread solution in which the voxel with maximum current density is identified as the center of the source of the signal.** Importantly, a previous systematic comparison study has shown that sLORETA can provide reasonably reliable source-current estimates when the traditional 10–20 system is used (Song et al., 2015).

## 2.7 Statistical analysis

To investigate how TMS–EEG can **predict** disease **severity**, a linear regression was carried out for each TEP component, its amplitude value being the independent variable and the MMSE score the dependent variable. To detect the presence of outliers, Grubbs’s test was performed and if

significant ( $\alpha < 0.05$ ), outlier values were removed. Outlier calculation identified only a significant value among P70 amplitude values ( $12.6 \mu\text{V}$ ,  $p < 0.05$ ). In order to quantify the diagnostic ability of TEP components to discriminate between different stages of disease **severity** (mild vs moderate AD), receiver operating characteristic (ROC) curves were computed for the TEP components that showed a significant regression equation. The area under the curve index (AUC;  $0.5 < \text{AUC} < 1$ , with 1 indicating perfect accuracy) was provided as a measure of diagnostic performance, whereas sensitivity and specificity indices were provided to better evaluate the reliability of TEP components as biomarkers for distinguishing between mild and moderate AD. To do so, patients were classified **in accordance with the National Health and Care Excellence guidance (2011) as mild AD if their MMSE score was greater or equal to 21 ( $N=13$ ; MMSE score =  $22.8 \pm 2.0$ ; age =  $75.9 \pm 5.6$ ), and as moderate AD if their MMSE score was equal or less than 20 ( $N=13$ ; MMSE score =  $18.9 \pm 1.0$ ; age =  $77.2 \pm 4.0$ ). In support of the adopted cut-off, MMSE score has been found to discriminate well between all CDR stages with scores of 21–25 and 11–20 corresponding to mild and moderate dementia severity respectively (Perneckzy et al., 2006). To compare mild and moderate AD patients in demographic, clinical and neuropsychological data, appropriate statistical tests, i.e. t-test for continuous variables and Chi-square for dichotomous variables, have been performed and are reported in Table 1.**

Moreover, to study the relationship between each TEP component and episodic memory dysfunction, a linear regression was calculated to predict FNAT performance (dependent variable) from TEP amplitudes (independent variable). Statistical analyses were conducted with IBM SPSS Statistics, Version 20.0 (IBM Corp., Armonk, NY).

### **3. Results**

TMS to the left DLPFC elicited a complex pattern of activity both at the site of stimulation and at other areas. The grand-average waveform (Figure 1A) shows six main components on which sLORETA source localization was performed. The propagation of the evoked activity was traced by

means of the scalp distribution of the EEG signals (Figure 1B) and their cortical source reconstructions (Figure 1C). The first detected component, peaking approximately at 20 ms (P20, localized in left anterior cingulate), was followed by the activation of the contralateral right superior parietal lobule at 30 ms (P30). The propagation of the signal induced a third component at 50 ms (P50, left superior frontal gyrus), followed by another positive peak at 70 ms (P70, left inferior frontal gyrus). The spatiotemporal evolution of the signal is shown in Figures 1B–1C.

---Please insert Figure 1 about here---

Importantly, the P30 amplitude was predictive of the MMSE score in AD patients as revealed by the significant linear regression ( $F_{(1,21)} = 5.19$ ,  $p = 0.03$ ,  $R^2 = 0.20$ ) (Figure 2A). No other significant regression relationship was found. ROC analysis (Figure 2B) revealed a good predictive performance of P30 amplitude in discriminating between mild and moderate AD patients (AUC = 0.78). Considering a P30 amplitude value of 3.13  $\mu\text{V}$  as a cut-off score, specificity and sensitivity indexes were 0.63 and 0.92, respectively.

---Please insert Figure 2 about here---

As shown in Figure 3, a significant regression equation was found also when analyzing the relationship between P30 amplitude and FNAT ( $F_{(1,16)} = 5.40$ ,  $p = 0.03$ ,  $R^2 = 0.25$ ). For the other TEP components, no significant relationship with FNAT were found.

---Please insert Figure 3 about here---

#### **4. Discussion**

The aim of the current study was to investigate how prefrontal TMS-evoked activity is associated with disease **severity** in a sample of mild and moderate AD patients. We found that the amplitude of P30, an early positive EEG response localized by sLORETA algorithm to the right superior parietal lobule, is augmented with more severe cognitive deterioration (lower MMSE score) and that this increase predicts disease severity. Additionally, P30 predicted performance in FNAT: a greater amplitude was associated with poorer memory performance.

Despite being elicited by left DLPFC stimulation, P30 did not represent a direct local response of the prefrontal cortex. Source localization results suggested that P30 is generated by the activation of the right superior parietal cortex, possibly due to direct corticocortical signal transmission from the stimulated area. Indeed, the TEP represents the activation of the stimulated area followed by a spatiotemporal propagating pattern of activation (i.e., from the targeted region to other cortical areas that are the later sources of the response) via intra- and interhemispheric anatomical connections (Bortoletto et al., 2015; Ilmoniemi et al., 1997). Moreover, there is clear evidence of strong connections between prefrontal and parietal areas (Makris et al., 2005). Though TEPs do not demonstrate whether the effect of DLPFC stimulation on posterior parietal cortex is through direct connections or is mediated by another brain region, they do indicate a causal relation between the stimulation of prefrontal cortex and its effects over other activated areas. Therefore, P30 represents a measure of effective connectivity of long-distance interhemispheric cortical connections between the left DLPFC and the right superior parietal cortex.

The fact that P30 was increased with lower MMSE scores suggests an inverse association between prefrontal-to-parietal connectivity and cognitive decline: as disease severity advances, left DLPFC connectivity becomes stronger. This finding is substantiated by fMRI studies that indicated hyper-connectivity of prefrontal areas in AD (Agosta et al., 2012; Zhang et al., 2016). Investigating functional connectivity between core regions underlying episodic memory performance, Zhang and colleagues (Zhang et al., 2016) found that the strength of connections between left frontal and right parietal areas increased as a function of disease severity.

It is also interesting to note that the AD-associated alterations of effective connectivity of left DLPFC seem to be selective. P50 and P70, which were localized in other areas of the prefrontal cortex, showed no significant relationship with AD severity. It has been shown that the left DLPFC is part of several networks such as frontoparietal and default-mode networks. It has also been shown that stimulation of DLPFC can activate both of these networks (Opitz et al., 2016). The selective association of P30, with no other effects on the TEP responses within the 100 ms after TMS, may indicate that only specific networks are relevant for the cognitive and memory decline associated with **different illness stages**. The results of this study do not allow to disentangle which is the network primarily involved in the worsening of AD symptoms but they pave the way for further studies. Cutting-edge TMS–EEG technical improvements will permit to tailor more precisely and individually the stimulation site based on patients’ brain scans, thus identifying the target network. Previous results from TMS–EEG co-registration studies targeting the motor cortex in AD patients, though partially contrasting, have highlighted a consistent alteration of P30 response. Ferreri and colleagues (Ferreri et al., 2016) reported local hyperexcitability indexed by an increase in P30 amplitude in mild AD. The authors speculated that higher local activity may reflect plastic reorganization of the sensorimotor system and might serve as a compensatory mechanism able to preserve motor functioning in this sample of patients (Ferreri et al., 2016). Conversely, a previous study showed that P30 amplitude was reduced in early stages of AD, corresponding to a widespread disruption of the sensorimotor system (Julkunen et al., 2008). Moreover, P30 was related with disease severity in mild-stage AD, with decreasing amplitudes for more impaired cognitive functioning (Julkunen et al., 2011).

It has been suggested that gamma-aminobutyric acid (GABA<sub>A</sub>) postsynaptic receptors are involved in the generation and modulation of P30 (Ferreri et al., 2011). Three classes of receptors (GABA<sub>A</sub>, GABA<sub>B</sub> and GABA<sub>C</sub>/ GABA<sub>A-ρ</sub>) mediate the inhibitory actions of GABA (the major inhibitory neurotransmitter in the brain); GABA<sub>A</sub> regulates most of the fast inhibitory neurotransmission (Rissman and Mobley, 2012). Therefore, P30 might be considered as a signature of corticocortical

GABA<sub>A</sub> inhibitory circuits and its increased amplitude might indicate that **the moderate stage of AD severity** is associated with a boost of inhibitory mechanisms. However, these results were obtained by stimulating the motor cortex, which does not necessarily parallel changes in the prefrontal cortex.

We also explored how prefrontal connectivity alterations at rest reflect memory impairment. P30 was found to predict memory (i.e., FNAT) performance: **as P30 amplitude increases, memory impairment worsens**. This finding **may** allow us to understand the functional implication of prefrontal-to-parietal hyperconnectivity, providing **suggestions** to further disentangle whether such increased recruitment reflects a compensatory or a pathogenic mechanism. **The hypothesis of “successful compensation” (Scheller et al., 2014) does not seem to be supported by our results**, because enhanced prefrontal connectivity is correlated with more severe memory impairment (although a partial compensation may be present). The present finding resonates with the idea put forward by Pievani and colleagues (Pievani et al., 2014, 2011), who suggested that connectivity enhancement might represent a maladaptive pathogenic mechanism, which, in turn, might reflect damaged excitatory–inhibitory balance between anterior and posterior brain regions. Otherwise, our results might be interpreted in the framework of a failed “attempted compensation” (Scheller et al., 2014), according to which hyperconnectivity is the signature of the ineffective attempt to recruit additional regions to compensate for pathology (Pievani et al., 2014). This does not mean that there cannot be some underlying compensation going on: perhaps, the fast posterior connectivity decline may be compensated by the prefrontal connectivity increase which may not be sufficient to avoid the functional deficit.

In this sample of AD patients, P30 amplitude was found to have a good predictive performance (AUC=0.78) in discriminating between mild and moderate AD, thus endorsing this measure as a potential connectivity marker of disease severity (sensitivity: 92%; specificity: 62%). TMS–EEG has been already successfully applied to develop connectivity-based biomarkers for prediction, diagnosis or prognosis of various neuropsychiatric conditions (Farzan et al., 2016) such as



consciousness disorders (Casali et al., 2013; Ragazzoni et al., 2013) or epilepsy (Kimiskidis, 2016). P30 may thus potentially represent a future connectivity marker able to **predict** disease severity in overt AD with important implications in AD research as it could help to: 1) monitor the efficacy of clinical interventions (potentially also during TMS therapy) and their ability to modify the course of the disease, 2) identify patients at risk for more severe clinical outcome, and 3) improve understanding of AD pathophysiology (Cummings and Zhong, 2014; Hampel et al., 2010; Hendrickson et al., 2015; Neugroschl and Davis, 2002).

#### 4.1 Limitations and future directions

**Although these results appear promising, a few issues need to be considered. First, this study has been performed in a relatively small sample so the results need to be confirmed in larger cohorts. This is particularly important in order to confirm that TMS–EEG can provide a reliable diagnostic biomarker of AD severity. Second, longitudinal studies assessing long-term changes are needed to take a step towards the development and validation of P30 as biomarker of AD progression. Indeed, considering the cross-sectional design of the present study, conclusions must be drawn with caution. Third, further studies could attempt to adopt advanced methodological improvements in the acquisition and analysis of TMS–EEG data to obtain more accurate network-based connectivity estimation and thus improve classification accuracy.**

#### 5. Conclusions

Despite the growing body of evidence regarding connectivity alterations in AD, only a few studies have investigated how these alterations **are associated with clinical symptoms in AD across different severity stages**. The present study demonstrated that TMS–EEG can reveal resting-state connectivity alterations related to overt AD which, in turn, are able to **predict** disease **severity**. Specifically, we have shown that increased disease severity is associated with a boost in long-

distance interhemispheric frontoparietal signal propagation and connectivity. Our findings allowed also to disentangle the functional implication of prefrontal hyperconnectivity, showing that the additional recruitment possibly represents a maladaptive pathogenic mechanism rather than a true compensatory phenomenon, which might indicate a progressive impairment in the excitatory–inhibitory balance between anterior and posterior brain regions.

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### **Disclosure statement**

RJI is founder, minority shareholder and advisor of Nexstim Plc. The other authors have no conflict of interest to disclose.

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## **Captions:**

### **Table 1. Demographic, clinical and neuropsychological results.**

Demographic, clinical and neuropsychological results of the final sample of patients reported as mean ( $\pm$  standard deviation). Results of the t-test **or Chi-square test** ( $p$ -value) between mild and moderate AD patients are reported. *Abbreviations:* BADL, Basic Activities of Daily Living; IADL, Instrumental Activities of Daily Living; MMSE, Mini Mental State Examination; **CDR, Clinical Dementia Rating scale; FNAT, face name association task; ROCF, Rey Osterrieth Complex Figure; RBM, Rivermead Behavioral Memory test; RAVL, Ray Auditory Verbal Learning test; TMT, Trail Making test; BADA, Battery of Analysis of Aphasic Deficits.**

### **Figure 1. TMS-EEG results.**

**A)** Butterfly plot of the grand-averaged TMS-evoked potentials from all the electrodes showing the main components elicited by left dorsolateral prefrontal cortex (DLPFC) stimulation. The signal from the target site of stimulation (electrode F3) is depicted by the red line; **B)** Topographic distribution and **C)** sLORETA source localization for each TEP component before 100 ms.

### **Figure 2. Relationship between P30 amplitude and MMSE score.**

**A)** Scatter plot showing the significant negative correlation between P30 amplitude and Mini Mental State Examination (MMSE) score; **B)** Receiver operating characteristics curve (ROC) of P30 amplitude discriminating between mild and moderate AD patients. The diagonal line indicates the null hypothesis of AUC=0.5.

### **Figure 3. Relationship between P30 amplitude and FNAT accuracy score.**

Scatter plot showing the significant negative correlation between P30 amplitude and face-name association memory task (FNAT) accuracy.

**Table 1.**

<b>Demographic and clinical features</b>				
	<i>Total sample (26)</i>	<i>Mild AD (13)</i>	<i>Moderate AD (13)</i>	<i>p-value</i>
Age (years)	76.5 (4.7)	75.8 (5.2)	77.2 (3.8)	0.44
Gender (males/females)	3/23	2/11	1/12	0.54
Education (years)	7.7 (4.1)	9.1 (5.0)	6.2 (2.1)	0.08
BADL (unspared functions)	0.3 (0.5)	0.3 (0.5)	0.2 (0.6)	0.72
IADL (unspared functions)	3.3 (1.8)	3.2 (1.7)	3.5 (1.7)	0.59
MMSE	20.8 (2.5)	22.8 (1.9)	18.8 (0.9)	<b>&lt; 0.001</b>
CDR	1.3 (0.5)	0.9 (0.2)	1.7 (0.5)	<b>&lt; 0.001</b>
<b>Experimental computerized task to assess episodic associative memory</b>				
FNAT, correct responses (%)	28.3 (6.4)	31.6 (5.7)	25.0 (5.2)	<b>0.02</b>
<b>Neuropsychological assessment</b>				
<b>Memory</b>				
ROCF recall	0.2 (0.6)	0.2 (0.8)	0.2 (0.4)	0.77
RBM story recall, immediate	1.0 (1.0)	1.4 (1.1)	0.6 (0.6)	0.08
RBM story recall, delayed	0.2 (0.5)	0.4 (0.6)	0.1 (0.3)	0.30
RAVL recall, immediate	18.4 (6.7)	22.7 (6.5)	14.1 (3.2)	<b>0.002</b>
RAVL recall, delayed	1.3 (1.5)	2.0 (1.6)	0.6 (1.0)	<b>0.04</b>
<b>Praxia</b>				
ROCF copy	16.3 (10.1)	16.4 (9.0)	16.2 (11.0)	0.95
<b>Attentive functions</b>				
TMT A	133.0 (90.6)	91.2 (56.1)	174.8 (98.8)	<b>0.02</b>
TMT B	448.3 (117.6)	386.6 (117.0)	510.0 (79.6)	<b>0.006</b>
<b>Language</b>				
BADA, oral object naming	66.5 (21.6)	75.6 (21.5)	57.4 (20.3)	<b>0.04</b>
BADA, oral action naming	61.3 (21.6)	72.7 (15.1)	49.9 (21.1)	<b>0.006</b>
BADA, sentence comprehension	82.9 (10.6)	87.5 (9.7)	78.4 (9.4)	<b>0.03</b>