

1 **Soil-transmitted helminth infections in humans: Clinical management and public health control**

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23 ABSTRACT

24 Over a quarter of the world's population is at risk of infection with the soil-transmitted helminths;  
25 *Ascaris lumbricoides*, hookworm (*Ancylostoma duodenale* and *Necator americanus*), *Trichuris*  
26 *trichiura*, and *Strongyloides stercoralis*. Infected children and adults present with a range of medical  
27 and surgical conditions, and clinicians should consider the possibility of infection in individuals living  
28 in, or returning from, endemic regions. Although safe and effective drugs are donated free to  
29 endemic countries, only half of at risk children received treatment in 2016. This seminar describes  
30 the epidemiology, life cycles, pathophysiology, clinical diagnosis and management, and the public  
31 health control of soil-transmitted helminths. Recent reviews questioned the impact of population-  
32 level deworming; however, it remains beyond doubt that treatment effectively reduces severe  
33 consequences of soil-transmitted helminthiasis. We highlight the need for refined diagnostic tools  
34 and more effective control options to scale up public-health interventions and to improve clinical  
35 detection and management of these infections.

36

37 KEY WORDS

38 Soil-transmitted helminths; *Ascaris lumbricoides*; *Trichuris trichiura*; hookworm; *Necator*  
39 *americanus*; *Ancylostoma duodenale*; *Strongyloides stercoralis*; clinical; global health

40

41 INTRODUCTION

42 Helminthic parasites are among the most common infections in humans.<sup>1</sup> Due to the role of  
43 contaminated soil in their transmission cycle, infections with *Ascaris lumbricoides*, *Trichuris trichiura*,  
44 and hookworm (*Ancylostoma duodenale* and *Necator americanus*) are, in public health terms, known  
45 as soil-transmitted helminthiasis (STH). These parasites affect more than a quarter of the world's  
46 population, and contribute to a substantial burden of human disease and disability.<sup>2</sup> In this seminar  
47 we also address an additional soil-transmitted helminth - *Strongyloides stercoralis* - which is an  
48 important, but often neglected, cause of severe morbidity.<sup>3,4</sup> STH primarily affects individuals in  
49 communities with limited access to, and use of, water, sanitation, and hygiene (WASH) facilities<sup>5</sup> and  
50 is the most widespread among the so-called neglected tropical diseases (NTDs).<sup>1</sup>

51 The World Health Organization (WHO) and other partners currently support national governments in  
52 STH-endemic countries to implement large-scale, periodic anthelmintic treatment (with  
53 mebendazole or albendazole) of pre-school-age children, school-age children, and women of  
54 reproductive age (except in the first trimester of pregnancy).<sup>6</sup> The primary, and currently most  
55 realistic, aim of such mass drug administration (MDA) is to control morbidity due to STH by reducing  
56 infection intensity and ultimately prevalence.<sup>7</sup> Mass treatment complements clinical case  
57 management by preventing or curtailing chronic, high worm burden infections and associated  
58 disease.

59 This seminar provides an overview of the state-of-the-art clinical diagnosis, management, and  
60 follow-up of STH, including a 'Fast Facts' clinical summary which can be used on a mobile device, to  
61 facilitate case identification and management (Panel 1). Importantly, we aim to bridge the evidence-  
62 base for clinical case management with that of public health control to inform and leverage both  
63 approaches to morbidity control in endemic and non-endemic countries (Panel 2). Further, we  
64 discuss recent reviews that have questioned the health impact of population-level deworming in its  
65 current form, and we present the main arguments of the on-going debate that has followed. Panel 3  
66 shows the search strategies we used for this seminar review.

67

68 EPIDEMIOLOGY

69 Infections with soil-transmitted helminths are most common in people living in areas with limited  
70 access to adequate sanitation, and in emigrants and visitors returning from such areas. Although  
71 most endemic regions are found in low- and middle-income countries, STH also occurs in high-  
72 income countries among vulnerable populations who are not reached by public-health measures.<sup>8-10</sup>  
73 The current geographical distribution of STH is shown in Figure 1A-D. In 2010, the WHO estimated  
74 that 875 million children were in need of regular treatment for STH, excluding *S. stercoralis* which is  
75 thought to infect up to 100 million people globally.<sup>1, 11</sup> Data from the past decade suggest that  
76 disability-adjusted life years (DALYs) associated with STH have declined; however, this reduction has  
77 to a large extent been seen in upper-middle income countries, with the disease burden becoming  
78 even more concentrated in low- and lower-middle income countries.<sup>12</sup> The DALY estimates have  
79 been disputed, with some scientists arguing that the numbers grossly under evaluate the true  
80 burden of disease, e.g. by inadequate attribution of iron-deficiency anaemia caused by hookworm  
81 infections.<sup>13</sup>

82 ***Ascaris lumbricoides*** (roundworm) infects an estimated 804 million people worldwide, most  
83 commonly pre-school-age children, school-age children and adolescents in endemic countries.<sup>14-16</sup>  
84 Over the past 25 years, DALYs associated with *Ascaris* have dropped to around 1 million, now a

85 quarter of the *Ascaris* disease burden in 1990.<sup>17</sup> Mortality accounts for approximately one-sixth of  
86 the current disease burden, whereas most of the severe morbidity averted is thought to be due to a  
87 reduction in severe wasting.<sup>2</sup> Although the vast majority of infections occur in endemic countries,  
88 occasionally, zoonotic transmission of *Ascaris suum* occurs in individuals who have been in contact  
89 with domestic pigs, even in countries non-endemic for STH.<sup>18</sup>

90 ***Trichuris trichiura*** (whipworm) infects an estimated 477 million individuals, and prevalence and  
91 intensity of infection are typically highest in children.<sup>16, 19, 20</sup> However, data are scarce and suspicion  
92 of infection should not be limited by current endemicity maps.<sup>17</sup> Over the past 10 years, the DALYs  
93 due to *Trichuris* have declined to just above 500,000;<sup>17</sup> The majority of these averted DALYs have  
94 been due to lower trichuriasis infestations and fewer cases of mild abdominopelvic problems with a  
95 small reduction of more extreme cases, such as severe wasting. In addition, zoonotic transmission to  
96 humans can occur with *Trichuris suis*, as for *A. suum*.<sup>18</sup>

97 ***Ancylostoma duodenale* and *Necator americanus*** (hookworm) infect an estimated 472 million  
98 people.<sup>16</sup> In contrast to *A. lumbricoides* and *T. trichiura*, the prevalence and intensity of hookworm  
99 infection is highest in adults, although children are also commonly infected.<sup>21</sup> *Necator americanus* is  
100 the most widely distributed hookworm species, found across sub-Saharan Africa, the Americas and  
101 Asia, whereas *A. duodenale* is found more focally. Both species may also co-exist in the same area  
102 and within the same individuals. The disease burden caused by hookworm is to a large extent due to  
103 anaemia, and recent estimates suggest that the burden of disease could be as high as 4,000,000  
104 DALYs, with considerable productivity losses of up to 139 billion US dollars annually worldwide.<sup>17, 22</sup>

105 ***Strongyloides stercoralis*** (threadworm) infects up to 100 million people globally;<sup>1, 11</sup> however, as  
106 with *T. trichiura*, the data are scarce and suspicion of infection should not be limited by endemicity  
107 maps.<sup>4, 11</sup> Although uncommon, dogs, cats and other mammals may serve as reservoir hosts for  
108 human *S. stercoralis* infection, even where adequate sanitation exists. Additional risk factors for *S.*  
109 *stercoralis* infection include immunosuppression, certain malignancies, human T-cell lymphotropic  
110 virus (HTLV)-1 infection, and alcoholism.<sup>4, 11</sup> In Central Africa *S. fuelleborni*, a non-human primate  
111 *Strongyloides* species, can also infect humans.

112

## 113 LIFE CYCLES

114 ***Ascaris lumbricoides*** infects humans through faecal-oral transmission (Figure 2A).<sup>23</sup> After  
115 embryonated eggs are swallowed, first-stage (L1) larvae hatch from eggs, moult into second-stage  
116 (L2) larvae, penetrate the host intestinal mucosa, and migrate to the vascular system, through the  
117 right side of the heart to the pulmonary circulation. Too large to pass through the pulmonary  
118 capillaries, the third-stage (L3) larvae migrate across the alveolar wall and traverse along the  
119 tracheobronchial tree to the larynx, where they are swallowed and, in the small intestine, moult into  
120 fourth-stage (L4) larvae that develop into adult worms. With sexual reproduction, adult female *A.*  
121 *lumbricoides* worms can release thousands of eggs daily that pass into the environment through the  
122 stool. Egg production occurs two to three months following ingestion of infective eggs, and adult  
123 worms may live for a few years. Eggs can remain viable for several years in warm, moist soil.<sup>24</sup>

124 ***Trichuris trichiura*** is transmitted through a faecal-oral cycle in which infective embryonated eggs  
125 typically are ingested via contaminated food or hands, and hatch into larvae that moult in the small  
126 intestine. Unlike *A. lumbricoides*, *Trichuris* does not include a migratory phase outside the intestine  
127 (Figure 2B).<sup>25</sup> The larvae attach to the intestinal villi and develop into adult worms, which reside in  
128 the caecum and the ascending colon. Female worms lay thousands of eggs per day, and can survive

129 for several years. The eggs pass in the stool and embryonate in the soil, where they can survive in  
130 warm, moist conditions for months.<sup>24, 26</sup>

131 ***Ancylostoma duodenale*** and ***N. americanus*** larvae are free-living in the soil, and infect humans by  
132 attaching to and penetrating the skin, typically through bare feet. The larvae are transported by  
133 lymph and blood to the right side of the heart and the pulmonary circulation (Figure 2C).<sup>23</sup> In the  
134 pulmonary capillaries, the larvae penetrate the alveolar wall, pass to the larynx and are swallowed.  
135 The larvae moult and develop into mature worms in the small intestine over one to two months, and  
136 can live for several months (*A. duodenale*) or years (*N. americanus*). A female worm releases  
137 thousands of eggs a day that pass in the stool, and after five to ten days, hatch in warm, moist, sandy  
138 soil or in the faeces to produce rhabditiform, L1 larvae. Larvae feed on organic matter, and become  
139 infective after rapid moulting to L2 and L3 larvae that can survive for several weeks.<sup>24</sup>

140 In addition to percutaneous infection, *A. duodenale* can also infect humans through ingestion of  
141 larvae, resulting in Wakana syndrome, especially in heavy infections. Hookworms may also remain  
142 dormant in connective tissue or muscle. *Ancylostoma* species that typically infect mammals, such as  
143 dogs and cats, may also infect humans, causing cutaneous larva migrans, a self-limiting skin  
144 infection. Recent data suggest that human infection with zoonotic species can cause pathology  
145 similar to *A. duodenale* and *N. americanus*, and may be more common than previously thought.<sup>27</sup>

146 ***Strongyloides stercoralis*** follows a complex life cycle that may take multiple routes, including a  
147 complete life cycle outside of the human host, in the soil. The life cycle routes of greatest public  
148 health relevance however, are those which involve the human host. Filariform larvae can infect  
149 humans both percutaneously and orally (Figure 2D).<sup>28</sup> Following penetration of the skin, typically of  
150 the foot, larvae are transported to the right side of the heart and thereafter to the pulmonary  
151 circulation. In the pulmonary capillaries, the larvae penetrate the alveoli, pass to the larynx and are  
152 swallowed to enter the small intestine where they mature to adult worms. Oral infection follows the  
153 same cycle after the larvae penetrate the intestinal mucosa.

154 The female adult penetrates the gut wall, lodges in the lamina propria of the duodenum and  
155 jejunum and may lay up to 50 eggs a day. The eggs hatch within the gut wall and rhabditiform larvae  
156 migrate in to the lumen and are passed with the stools. The larvae may penetrate the colonic wall or  
157 perianal skin to enter a new cycle, or may disseminate to other organ systems. This aspect of the life  
158 cycle, termed auto-infection, enables chronic infection that may last for several years to decades,  
159 without repeated external exposure.<sup>29</sup> Larvae (or unhatched eggs) that are expelled in the stool may  
160 survive in moist soil for several weeks, and develop into infective larvae.<sup>30</sup> *Strongyloides fuelleborni*  
161 which occasionally infects humans, follows the same life cycle.<sup>11</sup>

162

## 163 PATHOPHYSIOLOGY

164 ***Ascaris lumbricoides*** may cause disease in humans through type-1 hypersensitivity reactions to  
165 larval stages (Loeffler syndrome), and by physical obstruction caused by adult worms (Figure 3A).  
166 Pathology is positively related to worm burden, albeit non-linearly.<sup>25, 31</sup> Common complications in  
167 endemic areas include mechanical small bowel obstruction, volvulus and intussusception, especially  
168 in children.<sup>32</sup> Adult worms can further invade intestinal orifices, causing complications such as acute  
169 appendicitis, acute cholecystitis, pancreatitis and gastric ascariasis.<sup>33</sup>

170 *Ascaris lumbricoides* infection may cause malnutrition, including lactose intolerance and vitamin A  
171 deficiency.<sup>34, 35</sup> Recent findings suggest that *A. lumbricoides* might alter the normal intestinal

172 bacterial flora,<sup>36</sup> although *Ascaris* may also have a protective effect against severe enteric  
173 infections.<sup>37</sup> Anaemia may result from mucosal bleeding in the upper gastrointestinal tract or  
174 through a generalised inflammatory reaction to infection.<sup>38</sup>

175 Similar to other helminth infections, *A. lumbricoides* induces a predominantly type-2 T helper cell  
176 (Th2)-polarised immune response.<sup>39, 40</sup> Increased immunoglobulin (Ig)E titres and eosinophilia are  
177 characteristic features of especially acute *Ascaris* infection. Studies have found a combination of  
178 host-protective and parasite-evading features, regulated by interleukin (IL)-10 and other cytokines.<sup>41,</sup>  
179 <sup>42</sup> A shift in the age of peak infection prevalence and host immune response may be seen as partial  
180 immunity develops.<sup>43</sup> Further, host genetic factors may play a role in determining the intensity of  
181 *Ascaris* infection and disease presentation, including resistance to reinfection.<sup>44</sup> *Ascaris*-induced  
182 immunomodulation may affect the host's response to co-infections, including HIV, tuberculosis,  
183 malaria and human papillomavirus (HPV).<sup>45-48</sup> *Ascaris lumbricoides* infection may also be a risk factor  
184 for asthma and atopy, possibly through cross-reaction between parasite, mite and insect epitopes.<sup>40,</sup>  
185 <sup>49</sup>

186 ***Trichuris trichiura*** worms' whip-shaped form has given this helminth its common name, whipworm.  
187 The slender anterior aspect of the worm burrows into the intestinal mucosa (Figure 3B), causing  
188 petechial lesions, blotchy mucosal haemorrhage and active mucosal oozing.<sup>50</sup> Although not  
189 consistently apparent on endoscopy,<sup>50</sup> *Trichuris* may cause colonic mucosal inflammation,<sup>22, 51, 52</sup>  
190 which can potentially be influenced by individual immune regulation and gut microbiota.<sup>53, 54</sup>  
191 Morbidity is positively associated with intensity of infection,<sup>55</sup> and trichuriasis can lead to both  
192 mucosal and systemic changes in immune response and resistance to reinfection.<sup>56, 57</sup>

193 Eosinophils are a typical feature of especially acute *T. trichiura* infection, and play a role in local gut  
194 pathology.<sup>58</sup> In animal models, *Trichuris* worm expulsion has been associated with intestinal  
195 epithelial cell turnover, stimulated by cytokine responses.<sup>59</sup> In contrast to *A. lumbricoides* infection,  
196 *T. trichiura* infection is not associated with changes in intestinal bacterial flora,<sup>36</sup> and might even  
197 protect against severe diarrhoeal pathogens.<sup>37</sup> Anaemia is a common feature of trichuriasis, and,  
198 although often not as pronounced as in hookworm infection, can have severe consequences in  
199 vulnerable individuals such as pregnant women.<sup>50, 60</sup>

200 ***Ancylostoma duodenale*, *N. americanus***, i.e. hookworm, derives its name from the hook created by  
201 the angle of the head to the rest of the adult worm body. As in *A. lumbricoides* infection, hookworm  
202 larvae migrating through pulmonary tissue may cause a type-1 hypersensitivity reaction (Loeffler  
203 syndrome). Once established in the small intestine, the adult worm uses its teeth to burrow into the  
204 intestinal mucosa and feed on blood (Figure 3C). This damages the mucosal lining and oozing leads  
205 to blood loss at the site of burrowing. The associated anaemia is the most characteristic feature of  
206 hookworm infection,<sup>61</sup> and is a major cause of anaemia globally, particularly in children and  
207 pregnant women.<sup>62, 63</sup>

208 As with other helminths, hookworm infection is associated with a Th2 polarised immune response,  
209 regulated by IL-10 and transforming growth factor (TGF)- $\beta$ , both systemically and in the local  
210 intestinal mucosa.<sup>64</sup> Elevated IgE titres, IL-5 and eosinophilia are features of especially acute  
211 hookworm infection, and may play a role in intestinal immune response.<sup>65</sup> However, unlike other  
212 soil-transmitted helminth infections, repeated exposure to hookworm infection has not been found  
213 to stimulate resistance to reinfection, potentially due to a down-regulation of the immune  
214 response.<sup>66, 67</sup>

215 ***Strongyloides stercoralis*** larvae migrating through pulmonary tissue may cause a type-1  
216 hypersensitivity reaction, or Loeffler syndrome.<sup>68</sup> The adult worms burrow into the intestinal  
217 mucosal wall and cause local inflammation.<sup>29</sup> A Th2-dominated immune response is a pivotal factor  
218 in *S. stercoralis* infection, especially in preventing severe morbidity.<sup>69</sup> Eosinophils are a prominent  
219 feature of *S. stercoralis* infection, and may provide host protection especially in early-stage  
220 infection.<sup>70</sup>

221 Severe infection, whether restricted to intestinal and pulmonary pathology or disseminated to  
222 multiple organ systems, appears to be caused by a defective Th2 response that allows the  
223 reproduction of larvae to exceed the number that are effectively controlled by the host's immune  
224 response.<sup>71</sup> Bacterial septicaemia is an independent predictor of shock and mortality in disseminated  
225 infection.<sup>72</sup>

226

## 227 CLINICAL PRESENTATION

228 The distribution of soil-transmitted helminth infections is 'over-dispersed', i.e. relatively few heavily-  
229 infected individuals harbour the majority of the worms,<sup>20, 73, 74</sup> and this may be due to both exposure  
230 and host susceptibility.<sup>75</sup> Although most individuals with low and moderate intensity infections  
231 commonly have limited or non-specific symptoms, clinicians should be aware that such infections  
232 also can present as acute and chronic cases.

233 ***Ascaris lumbricoides*** infection is commonly asymptomatic or may produce mild, non-specific  
234 symptoms. In patients who seek health care, symptoms will depend on the phase of the parasite's  
235 life cycle and the intensity of infection (Table 1). So-called eosinophilic pneumonia, or Loeffler  
236 syndrome, may occur ten to fourteen days after infection and is due to a typically self-limiting  
237 inflammatory reaction to *A. lumbricoides* larvae migrating through the pulmonary tissue.<sup>68, 76</sup>  
238 Patients present with urticaria, cough, dyspnoea, and haemoptysis, and may have abnormal  
239 auscultatory breath sounds. In rare cases, the syndrome may result in pleuritis or pleural effusion.<sup>77</sup>

240 Infections with adult *Ascaris lumbricoides* may present as acute abdomen, most frequently in  
241 children with high worm burdens, including upper gastrointestinal bleeding, small bowel  
242 obstruction, intestinal volvulus and intussusception, peritonitis, and gastric ascariasis, even with  
243 perforation.<sup>33, 38, 76, 78-80</sup> Hepatobiliary and pancreatic ascariasis may cause five broad clinical  
244 syndromes, including biliary colic, acute cholecystitis, acute pancreatitis, acute cholangitis and  
245 hepatic abscess.<sup>81</sup> Hospital-based studies in India have found that *Ascaris* may be the cause of  
246 approximately half of cases with biliary disease, a third of pancreatitis cases, and around 15% of liver  
247 abscesses and biliary lithiasis.<sup>82-84</sup> Clinicians in endemic areas must have a high suspicion of *Ascaris*  
248 infection, as cases may present as surgical emergencies.

249 Asthenia, lack of appetite (anorexia), abdominal pain or discomfort, diarrhoea or other altered  
250 bowel habits, and weight loss are common symptoms in intestinal ascariasis. Anaemia and/or occult  
251 or fresh blood in the stool may be found in cases of mucosal haemorrhage, and patients with  
252 intestinal obstruction commonly have findings of abdominal distension, increased bowel sounds and  
253 abdominal tenderness by palpation. Jaundice, fever, and/or abdominal tenderness may be found in  
254 hepatobiliary and pancreatic ascariasis, depending on the clinical syndrome, as described above.<sup>76</sup>

255 ***Trichuris trichiura*** infection is commonly asymptomatic. Loeffler syndrome does not occur as *T.*  
256 *trichiura* does not pass through the lungs. Individuals presenting with symptoms typically complain  
257 of asthenia, abdominal pain, and diarrhoea, and can in severe cases present with *Trichuris* dysentery

258 syndrome (Table 1). Signs include anaemia, digital clubbing, abdominal tenderness, and, in some  
259 cases, rectal prolapse.<sup>55</sup> These features may be pronounced in high-intensity infection, which can  
260 lead to severe anaemia.<sup>50</sup>

261 ***Ancylostoma duodenale*, *N. americanus*** infections are commonly asymptomatic, and symptoms  
262 depend on stage and intensity of infection (Table 1). Following skin penetration, typically of the feet  
263 or lower leg, so-called “ground itch” may occur – an intense itch at the site of infection with  
264 tortuous, vesicular lesions that follow the trace of the migrating larvae. Although less common than  
265 in ascariasis, eosinophilic pneumonia (Loeffler syndrome) with cough, dyspnoea, and haemoptysis  
266 may occur during larval passage through the lungs.<sup>68</sup> In peroral infection, nausea, vomiting,  
267 pharyngeal irritation, cough, and dyspnoea may occur (Wakana syndrome).<sup>63</sup>

268 Once the worms are established in the small intestine, symptoms are typically caused by blood loss  
269 and intestinal inflammation, and include asthenia, abdominal pain, and diarrhoea with findings of  
270 pallor, tachycardia, tachypnoea, oedema, abdominal tenderness on palpation, occult blood in the  
271 stool, and occasionally melena.<sup>85</sup> In heavy intensity infections, anaemia may be severe, although  
272 most commonly smaller amounts of blood loss gradually deplete the patient of erythrocytes and  
273 nutrients.<sup>86</sup> In resource-limited settings, this may aggravate the condition of individuals who are  
274 commonly also prone to undernutrition and malaria, such as children and pregnant women.<sup>62</sup> In  
275 contrast to *Ascaris*, the small size of hookworm makes surgical complications uncommon.

276 ***Strongyloides stercoralis*** infection is commonly asymptomatic in otherwise healthy individuals.  
277 Migration of larvae through the pulmonary tissue may present as eosinophilic pneumonia (Loeffler  
278 syndrome) with cough, dyspnoea, wheezing, and haemoptysis.<sup>68</sup> In hyperinfection (see below),  
279 pulmonary infection can become severe and even fatal. Chronic strongyloidiasis may present with  
280 asthenia, anorexia, nausea, abdominal pain, and diarrhoea, and abdominal tenderness on  
281 examination (Table 1).<sup>87</sup> So-called larva currens is a common feature of chronic infection, and  
282 presents as an urticarial, serpiginous, migratory lesion that typically is found over the abdomen,  
283 torso, buttocks, and/or groin.<sup>29</sup> Lesions typically last for a couple of days and may reoccur weeks to  
284 months later. Rarely, immune-mediated disease may occur, such as reactive arthritis.<sup>88</sup>

285 Autoinfection will, in immunocompetent hosts, produce a negligible or minimally symptomatic  
286 chronic disease. However, in immunosuppressed individuals, auto-infection can lead to severe  
287 disease, called *Strongyloides* hyperinfection syndrome (SHS) that can occur decades after the initial  
288 infection.<sup>72</sup> SHS typically presents as intestinal or pulmonary failure. Cutaneous and intestinal  
289 mucosal bleeding may be pronounced, and, if left untreated, SHS has a mortality ratio of close to  
290 100%. Disseminated strongyloidiasis occurs when large numbers of parasites spread beyond the  
291 conventional parasite pathway, and may potentially affect any organ system, including the hepatic,  
292 urogenital, central nervous, musculoskeletal, and cardiovascular organ systems. High-risk individuals  
293 include immunosuppressed patients, i.e. patients on immunosuppressive drugs, especially  
294 corticosteroids and vincristine, and patients with hypogammaglobinaemia, haematologic  
295 malignancies, and HTLV-1 infection.

296

## 297 DIAGNOSIS

298 Individual diagnosis of STH requires knowledge of the geographical distribution of the infections, as  
299 well as the varied, and often overlapping, clinical picture of disease. Returning visitors from endemic  
300 areas typically present with acute, light-intensity infections, whereas individuals living in, and  
301 emigrants from endemic areas are prone to repeated exposure and chronic disease, some with very

302 high worm burdens.<sup>89-91</sup> Besides microscopy, which is widely used for stool diagnosis, antibody  
303 assays, although yet to be standardised, may in well-resourced settings aid diagnosis of returning  
304 travellers with first-time exposure and/or in stool-negative cases.<sup>92</sup> Also, novel polymerase chain  
305 reaction (PCR) assays are being developed for STH, both in clinical case management and for public  
306 health purposes; however, the tests have yet to be made broadly available (see Outstanding  
307 research).<sup>93, 94</sup> Co-infections with multiple parasites are a common finding in endemic areas, and may  
308 make individual diagnosis challenging.<sup>95-97</sup> Table 2 indicates the most common differential diagnoses  
309 that should be considered.

310 ***Ascaris lumbricoides***. The diagnosis of ascariasis depends on the clinical presentation, and requires  
311 identification of parasite eggs, larvae and/or adult worms. In patients presenting with a clinical  
312 picture of Loeffler syndrome, chest x-ray may show infiltrates (Figure 4), and bronchoscopy may  
313 show evidence of bronchitis. Examination of sputum, bronchoalveolar lavage and/or gastric aspirate  
314 may reveal filariform *Ascaris* larvae.<sup>68</sup> Eosinophilia and increased titres of IgE are associated with  
315 acute, larval infections; however, the response is not specific for *Ascaris* and may also occur in other  
316 conditions, including other parasite infections and allergies.<sup>98</sup>

317 In intestinal infection, light microscopy of stool remains the mainstay of detection and quantification  
318 of *A. lumbricoides* eggs (see Figure 2 for size and schematic appearance).<sup>99-101</sup> Hospital-based  
319 laboratories commonly employ concentration techniques with a higher sensitivity for detection of  
320 eggs in stool samples, whereas simplified, field-friendly tests, such as Kato-Katz, are used in public  
321 health control programmes. Although Kato-Katz egg counts positively correlate with individual worm  
322 burden, results are observer-dependent.<sup>19, 102, 103</sup> Treatment-induced worm expulsion can be used for  
323 *A. lumbricoides*, but is cumbersome and resource-consuming, and rarely used as a primary  
324 diagnostic. Other reliable and relatively field-friendly stool preparation techniques include  
325 McMaster, FLOTAC, and mini-FLOTAC, which concentrate the stool and can be more sensitive than  
326 Kato-Katz.<sup>101</sup>

327 All techniques are limited by variability of day-to-day egg excretion in stool, as well as focal  
328 distribution of eggs within each stool sample, which may provide false negative results, especially in  
329 low-intensity infection and post-treatment.<sup>101-103</sup> In infants, whose stools may be more liquid than  
330 other age groups in general, concentration methods were reported to be more sensitive than non-  
331 concentration methods.<sup>104</sup> In early-stage infections, typically seen in returning visitors from endemic  
332 areas, parasite eggs may not appear in stool for months after exposure and onset of symptoms. In  
333 such cases, clinical alertness is required and attention to travel history and clinical symptoms and  
334 signs is essential. An IgG4 enzyme-linked immunosorbent assay (ELISA) assay for detection of *A.*  
335 *lumbricoides* haemoglobin (AsHb) has recently been shown to reflect recent *Ascaris* exposure;  
336 however, the test may cross-react with other helminth co-infections<sup>105</sup>

337 In patients presenting with acute abdomen, ultrasonography and plain abdominal x-ray are low cost,  
338 commonly available techniques that may identify *A. lumbricoides* in the gut, as well as signs of  
339 obstruction, i.e. air-fluid levels, dilated bowel loops and thickened bowel wall (Figure 5).<sup>76, 106-108</sup>  
340 Computed tomography (CT), including contrast enhanced CT (CECT) and magnetic resonance  
341 imaging (MRI) scans can support the diagnosis, but may not be needed in cases with positive  
342 ultrasonography or plain x-ray.<sup>109</sup>

343 Ultrasonography remains the diagnostic tool of choice for suspected hepatobiliary and pancreatic  
344 ascariasis (Figure 6A),<sup>76, 81, 110</sup> although its sensitivity may be poor, especially in duodenal  
345 ascariasis.<sup>107</sup> Upper endoscopy may identify *A. lumbricoides* in the duodenum, and endoscopic  
346 retrograde cholangiopancreatography (ERCP) can be used to remove worms from the ducts and

347 duodenum (Figure 6B).<sup>76, 81</sup> Case reports indicate that capsule endoscopy may be an advanced  
348 diagnostic tool, especially when small intestine pathology is suspected,<sup>38, 97</sup> however, it is currently  
349 not commonly used.

350 ***Trichuris trichiura***. In uncomplicated cases, detection and quantification of parasite eggs by  
351 microscopy is sufficient for diagnosis (see Figure 2), with the same limitations as outlined above for  
352 *A. lumbricoides*.<sup>19, 103</sup> Colonoscopy may detect *Trichuris* in challenging or severe cases (Figure 7), and  
353 biopsies may be needed to confirm diagnosis.<sup>50, 111, 112</sup> In patients presenting with *Trichuris* dysentery  
354 syndrome, examination and evaluation of anaemia is essential, and microcytic, hypochromic  
355 erythrocytes are typical indicators of any associated iron-deficiency anaemia.

356 ***Ancylostoma duodenale*, *N. americanus***. Patients presenting with Loeffler syndrome, although rare,  
357 may be diagnosed with chest x-ray (infiltrates), bronchoscopy (bronchitis), or identification of  
358 filariform larvae in sputum or bronchoalveolar lavage.<sup>68</sup> In intestinal infection, detection and  
359 quantification of hookworm eggs in stool is the mainstay of diagnosis (see Figure 2), with the same  
360 limitations as described above for *A. lumbricoides*.<sup>113, 114</sup> In addition, the sensitivity of hookworm  
361 diagnosis by Kato-Katz technique rapidly declines following sampling, and microscopy should be  
362 performed within an hour of slide preparation. Worm expulsion is possible, but rarely used. In well-  
363 resourced settings, hookworm may be detected by capsule endoscopy, but is not commonly  
364 applied.<sup>85, 115, 116</sup> With any hookworm infection, it is essential to determine the extent of blood loss,  
365 which typically features microcytic, hypochromic anaemia.

366 ***Strongyloides stercoralis***. In patients presenting with Loeffler syndrome, other than chest x-ray and  
367 bronchoscopy findings as described above for *Ascaris* and hookworm infections, *S. stercoralis* larvae  
368 may be detected in sputum, bronchoalveolar lavage or by lung biopsy. In intestinal infection, a single  
369 wet mount stool preparation may reveal filariform *S. stercoralis* larvae,<sup>117</sup> although concentration of  
370 fresh stool collected on three alternate days may be required for diagnosis. The Baermann method  
371 and Koga agar plate culture are among the best techniques to diagnose *S. stercoralis*<sup>100</sup>; however,  
372 they are not commonly in use.<sup>118</sup> In contrast to other soil-transmitted helminth infections, the Kato-  
373 Katz technique is not relevant for diagnosis of *Strongyloides*. A coproantigen test for *S. stercoralis*  
374 has been found to be more sensitive than microscopy and the agar plate culture method, and has  
375 low cross reactivity with hookworm, *Trichuris* and *Schistosoma mansoni*.<sup>119</sup> Duodenoscopy with  
376 duodenal biopsies can reveal eggs, larvae and/or adult worms, and plain abdominal x-ray, CECT and  
377 MRI abdomen may help evaluate gut damage.<sup>117</sup>

378 Clinical alertness is vital when patients present with *S. stercoralis* hyperinfection syndrome, as  
379 infection may occur decades after the initial infection and can lead to organ failure. History of  
380 potential exposure, immunosuppression and a combination of clinical findings and laboratory results  
381 are critical for a rapid and accurate diagnosis. One of the hallmarks of hyperinfection is a high *S.*  
382 *stercoralis* worm burden in affected organs, and targeted biopsies may identify *S. stercoralis* adult  
383 worms, larvae and/or eggs (Figure 8).<sup>29</sup> Titres of IgE and eosinophils can be either highly elevated or  
384 depleted, depending on host factors and disease progression.<sup>72</sup> Serological tests for *S. stercoralis*  
385 with methods such as ELISA and luciferase immunoprecipitation, have shown promising results,<sup>120-122</sup>  
386 and PCR of blood and/or cerebrospinal fluid (CSF) samples is available in some well-resourced  
387 settings.<sup>123, 124</sup>

388

389 CLINICAL MANAGEMENT AND FOLLOW-UP

390 In addition to targeted, disease-specific interventions, health education on the prevention of  
391 infection and reinfection has been found effective in reducing disease burden. Individual patients  
392 should therefore be provided with relevant information, in particular on adequate sanitation and  
393 hygiene facilities and practices, and on the use of footwear to protect against hookworm  
394 infection.<sup>125</sup> In endemic areas, patients may be at risk of reinfection, and the WHO recommends  
395 regular preventive chemotherapy, especially in children and pregnant women.<sup>126</sup>

396 ***Ascaris lumbricoides***. Albendazole 400 mg or mebendazole 500 mg in a single oral dose, or  
397 mebendazole 100 mg twice a day for three days is recommended in uncomplicated, stable patients  
398 older than 12 months (Table 3).<sup>127</sup> Alternatively, ivermectin may be given in a single dose of 150-200  
399 µg/kg of body weight. Albendazole might be slightly more efficacious than mebendazole, with a  
400 single dose of albendazole curing 85% of infected individuals (95% confidence interval (CI): 73%-  
401 96%), and three doses curing 92% (95% CI: 83%-100%).<sup>128, 129</sup>

402 Patients with intestinal obstruction require intravenous fluids and electrolytes, anthelmintics and, if  
403 systemic infection is suspected, antibiotic treatment. In uncomplicated small bowel obstruction,  
404 orally swallowed contrast medium may prompt worm expulsion more rapidly than observation.<sup>130</sup>  
405 Patients with worms located in the appendix, small intestines, stomach or oesophagus should be  
406 given anthelmintic drug therapy in addition to any required surgical or endoscopic intervention.

407 Laparotomy and manual expression or enterotomy to remove the worms, and resection of any  
408 gangrenous tissue may be necessary in persistent cases of small bowel obstruction, volvulus or  
409 intussusception despite conservative primary treatment.<sup>76</sup> In unstable cases, anthelmintic treatment  
410 should be given once the patient has been stabilised, or under close monitoring, and with supportive  
411 treatment where necessary. Acute abdomen caused by *A. lumbricoides* during the second and third  
412 trimesters of pregnancy and in puerperium may be treated with benzimidazoles, although  
413 laparoscopy may be required to exclude other causes.<sup>131</sup> Monitoring the effectiveness of treatment  
414 is recommended in cases of *A. lumbricoides* requiring surgery and in cases with a high worm burden,  
415 and up to three alternate days of stools samples should be examined two weeks post-treatment,  
416 unless the clinical condition indicates earlier follow-up.

417 Empirical data suggest that hepatobiliary ascariasis may be treated with drug therapy alone.<sup>33</sup> If  
418 conservative treatment is unsuccessful, and with any severe systemic infection, worm extraction and  
419 biliary drainage may be done using duodenoscopic basket or ERCP, and nasobiliary catheter.<sup>76, 81, 132</sup>  
420 In both cases, treatment and follow-up should be ensured through relevant imaging, e.g.  
421 ultrasonography.

422 ***Trichuris trichiura***. A three day regimen of either albendazole 400 mg, mebendazole 500 mg, or  
423 mebendazole 100 mg twice daily is recommended for adults and children with *T. trichiura* infection  
424 (Table 3).<sup>127</sup> Alternative treatment options are ivermectin 200 µg/kg once daily for three days, or  
425 pyrantel pamoate 11 mg/kg base (maximum of 1 g) once daily for three days. Single albendazole and  
426 mebendazole treatments have a limited effect, and even three doses of albendazole may cure only  
427 83% (95% CI: 73%-93%).<sup>129</sup> Iron supplementation should be considered in patients with severe or  
428 symptomatic anaemia, and supportive treatment is warranted in patients with dysentery.<sup>50, 133</sup> Due  
429 to the partial efficacy of anthelmintic drugs on *T. trichiura* infection, cases with high worm load  
430 and/or dysentery should be monitored for effectiveness of treatment.

431 Given the worms' physical attachment to the colonic mucosal wall, a case study has suggested that  
432 colonoscopy could be useful for diagnosis and potentially treatment of severe cases of trichuriasis.<sup>112</sup>  
433 However, endoscopy is currently not commonly used for this purpose, and more efficacious and

434 accessible treatment options are needed for *T. trichiura*. Interestingly, drug combinations of  
435 benzimidazoles and ivermectin, and of benzimidazoles and repurposed veterinary drugs such as  
436 oxantel pamoate and milbemycin may effectively treat *Trichuris*, and trials are currently being  
437 conducted to determine their dosage, indications and effect in human STH.<sup>134</sup> An optimum  
438 therapeutic dose range of 15-30 mg/kg oxantel pamoate was recently defined for *T. trichiura*  
439 infection in 6-14 year olds.<sup>135</sup>

440 ***Ancylostoma duodenale*, *N. americanus*.** Treatment with albendazole or mebendazole is  
441 recommended as described above for uncomplicated *Ascaris* infection (Table 3),<sup>127</sup> although single  
442 dose treatment could be insufficient, and three doses of albendazole may be needed to cure 93%  
443 (95% CI: 81%-100%).<sup>128, 129</sup> Data on unspecified hookworm infection in south-eastern Asia suggest  
444 that a single dose of either drug may have limited efficacy as measured by cure rate.<sup>136</sup> Iron  
445 supplementation, additional nutritional support and monitoring of treatment effect should be  
446 considered in patients with high worm burden and/or severe anaemia.<sup>133</sup> Phase III trials of  
447 tribendimidine, a recently developed broad-spectrum anthelmintic agent, may identify an even  
448 more efficacious treatment option against hookworm and other soil-transmitted helminths.<sup>137</sup>

449 ***Strongyloides stercoralis*.** Ivermectin 200 µg/kg once daily for two days is recommended for infected  
450 asymptomatic and symptomatic individuals (Table 3).<sup>127</sup> Alternatively, albendazole 400 mg may be  
451 given twice daily for seven days, or thiabendazole 25 mg/kg/12 h for three days.<sup>138</sup> In *Strongyloides*  
452 hyperinfection, ivermectin treatment should continue until stool and/or sputum samples are  
453 negative for two weeks. If possible, immunosuppressive treatment should be reduced or  
454 discontinued, and analgesics, hydration, nutritional support and antibiotics should be provided as  
455 indicated. Parenteral therapy may be attempted in cases where oral treatment is not possible, such  
456 as in individuals with severe intestinal morbidity; however, indication and dosage needs to be  
457 considered on a case-by-case basis.<sup>139-141</sup>

458 Patients treated for *S. stercoralis* infection should be followed up with triple stool examinations two  
459 to four weeks post-treatment, and retreatment should be provided if necessary. *Strongyloides*  
460 serology may be useful for defining cure six months post-treatment. Patients with SHS require  
461 stringent follow-up, including repeat endoscopy, biopsies, and information on preventive measures  
462 (see Clinical presentation) to avoid recurrence.<sup>117</sup>

#### 463 **Drug-associated safety precautions**

464 Potential side effects of benzimidazoles and ivermectin are mostly mild and self-limiting, although  
465 allergic reactions may require specific treatment and follow-up. Benzimidazoles have been shown to  
466 be teratogenic in experimental animal studies and are not recommended in the first trimester of  
467 pregnancy.<sup>127</sup> However, in individuals with high-intensity infection, treatment with mebendazole in  
468 the first trimester may be considered on an individual level, taking into consideration the risk of  
469 treatment with a poorly absorbed anthelmintic versus the risk of potential adverse events. The  
470 safety of benzimidazoles has not been established for children younger than 12 months.

471 Few studies have investigated drug interactions with benzimidazoles; however, some anti-  
472 convulsants may decrease the efficacy of albendazole and mebendazole, and an outbreak  
473 investigation suggested an association between Stevens-Johnson syndrome and co-administration of  
474 metronidazole and mebendazole.<sup>54, 142, 143</sup> The quality of generic benzimidazoles is uneven; and many  
475 have inadequate efficacy against STH.<sup>144</sup> Contraindications for ivermectin include *Loa loa* infection,  
476 with potentially fatal side effects, and infections in children and pregnant or lactating women.<sup>127</sup> In

477 cases of extra-intestinal hookworm and *Strongyloides* infection, anthelmintic drugs can be taken  
478 with food in order to increase their bioavailability.

479

#### 480 PUBLIC HEALTH CONTROL

481 Current WHO guidelines recommend MDA of benzimidazoles in areas where *A. lumbricoides*, *T.*  
482 *trichiura* and/or hookworm infection prevalence exceeds 20%; the frequency ranging from one to  
483 three times per year depending on STH prevalence.<sup>6, 145</sup> The WHO's goal for morbidity control for  
484 2020, elimination of STH as a public health problem, is defined as reducing the prevalence of  
485 moderate and heavy intensity infections to <1%, based on egg counts.<sup>7</sup> The current school-based  
486 deworming platforms have been shown to reduce intensity and, ultimately, prevalence of infection;<sup>7,</sup>  
487 <sup>8, 146</sup> however, mathematical modelling indicates that additional platforms will be needed to control  
488 hookworm, as prevalence and intensity are typically highest in adults.<sup>146, 147</sup> The results of a survey of  
489 experts suggest that STH elimination may still be aspirational in most endemic areas and that  
490 community-wide treatment and increased access to improved WASH will be needed to further  
491 control STH.<sup>148</sup> Recently, reviews conducted by the Cochrane and Campbell Collaborations have  
492 questioned the effect of population-level deworming on health outcomes, school performance and  
493 cognition in children (see Controversies).<sup>149-151</sup>

494 Public health resolutions endorsed by the World Health Assembly (WHA) have mobilised member  
495 states to scale up STH control programmes, and have stimulated interest in the global distribution,  
496 clinical management, and evidence-based measures to control STH. Both mebendazole and  
497 albendazole are currently donated to the WHO free of charge by Johnson & Johnson and  
498 GlaxoSmithKline, respectively, for mass treatment of at-risk school-age children. For pre-school-age  
499 children, drugs are purchased by governments or other groups and are often co-administered with  
500 vitamin A during child health days.<sup>152</sup> Only a single dose is normally administered through mass  
501 deworming campaigns, resulting in acceptable reductions in infection intensity but suboptimal cure  
502 rates, especially in areas with high burden of *Trichuris* and or hookworm infection. At present, *S.*  
503 *stercoralis* is rarely intentionally targeted by STH control programmes, neither through geographical  
504 mapping of infection, mass treatment nor monitoring of treatment effect.<sup>11</sup>

505 Several challenges remain towards reaching the WHO targets for 2020. Firstly, although 63% of  
506 school-age children and almost half of pre-school-age children in need of treatment are currently  
507 being dewormed for *A. lumbricoides*, hookworm, and *T. trichiura*,<sup>1</sup> only 30% and 28% of countries  
508 where these children live, respectively, have achieved the 75% treatment coverage target.<sup>153</sup>  
509 Secondly, strongyloidiasis is not addressed in the WHO's STH strategic plan, although its  
510 classification as an STH and the sensitivity of *S. stercoralis* to MDA of ivermectin, provided in LF- and  
511 onchocerciasis-endemic areas of Africa should make a strong case for targeting it.<sup>7, 11</sup> Thirdly, some  
512 60 million school-age children and at-risk women of reproductive age live in LF-endemic areas that  
513 currently benefit from albendazole co-administered through the Global Programme to Eliminate  
514 LF.<sup>154</sup> Unfortunately, the success of LF elimination and the scaling back of community-based drug  
515 distribution could increase their risk of STH unless other drug delivery platforms are put in place.<sup>155</sup>  
516 Trials are being conducted to determine the feasibility of breaking STH transmission in post-LF MDA  
517 settings, and the results may inform a new generation of improved public health control  
518 programmes.<sup>52</sup> Finally, some population groups are commonly left out of mass treatment  
519 programmes targeting STH, for example non-attending school-age children and women of  
520 reproductive age, and such at-risk populations require particular attention in both public health  
521 control programmes and individual case management.

522

## 523 CONTROVERSIES

524 In 2015, a Cochrane review of randomised clinical trials concluded that there is no population-level  
525 effect of deworming on a range of child health outcomes, including growth and haemoglobin  
526 levels.<sup>149</sup> The findings have stirred a heated debate on the effectiveness of mass deworming policies  
527 and programmes as they currently stand.<sup>150, 156</sup> Having over the past 15 years synthesised  
528 randomised trials of mass deworming, the Cochrane Collaboration argues there is now reasonable  
529 evidence of little or no effect of deworming on health outcomes, school performance or cognitive  
530 development in children.<sup>150</sup> A review conducted independently by the Campbell Collaboration, which  
531 also included data from other experimental trial designs, came to similar conclusions.<sup>151, 157</sup> Based on  
532 the findings, the authors suggest that additional policy options be considered to improve child  
533 health and nutrition in areas where mass deworming programmes are currently being implemented.

534 Critics of the Cochrane and Campbell reviews, on the other side, argue that no long-term trials have  
535 been conducted to determine the effect of periodic deworming, and that failure to detect diluted,  
536 population-level health benefits of mass deworming is an issue of measurement or statistical power  
537 and not a lack of benefit of deworming.<sup>156</sup> As such, mass deworming in STH-endemic areas is  
538 warranted as the health benefits of treating individuals infected with STH are well established, mass  
539 distribution of anthelmintics is safe, and population-level deworming is more cost-effective than  
540 testing and treating individuals for infection. In fact, a recent, non-peer reviewed meta-analysis  
541 conducted by World Bank and Harvard University health economists reported a significant weight  
542 gain in dewormed children, highlighting the differing methods and approaches of health science and  
543 economic disciplines in the debate.<sup>158</sup> Yet others have suggested that expanding deworming  
544 programmes to include the whole community would more effectively reduce STH prevalence and  
545 result in measurable reductions in morbidity in school-age children.<sup>159</sup>

546 Some authors have invoked the 'hygiene hypothesis' to argue that elimination of STH transmission  
547 could remove the stimulus needed for maturation of the immune system.<sup>160, 161</sup> Whereas some  
548 clinical research is exploring the effects of deliberate low-intensity *T. suis* and *N. americanus*  
549 infections on autoimmune diseases such as inflammatory bowel disease, multiple sclerosis and  
550 coeliac disease,<sup>161</sup> other data have found a positive association between helminth infections and  
551 atopy, contradicting the 'hygiene hypothesis'.<sup>162</sup> Indeed, a recent review argues that the 'hygiene  
552 hypothesis' is not valid with regard to helminth infections, and suggests that research should rather  
553 prioritise identifying potential helminth-derived therapeutic molecules.<sup>163</sup>

554 Finally, data suggest that deworming may have beneficial effects on co-infections such as HIV,<sup>164, 165</sup>  
555 and further research is needed to determine the impact of anthelmintic treatment on malaria.<sup>166, 167</sup>

556

## 557 OUTSTANDING RESEARCH

558 One of the principal bottlenecks for adequate individual diagnosis and management, as well as  
559 public health control of STH, has been insufficient investment in research and development of  
560 diagnostic tools and treatment options. This is especially true for *Strongyloides*, which has been  
561 called the most neglected of NTDs. Improved, accessible, and affordable diagnostic tools are needed  
562 to facilitate detection of all soil-transmitted helminth infections, particularly where intensity and  
563 prevalence are reduced through mass drug treatment.

564 Currently, mainly microscopic techniques are used to determine the distribution of *Ascaris*, *Trichuris*  
565 and hookworm in endemic areas for public health control.<sup>145</sup> The distribution of all soil-transmitted  
566 helminths is 'over-dispersed', i.e. relatively few heavily-infected individuals harbour the majority of  
567 the worms.<sup>20, 73, 74, 168</sup> and in order to detect the majority of individuals carrying low-intensity  
568 infections, sensitive, field-friendly tools to diagnose and control infection are needed.

569 At present, no standardised serological antigen tests exist for STH in humans, although such assays  
570 exist in veterinary medicine for *T. vulpis* and *T. suis*.<sup>169, 170</sup> Research and development is needed to  
571 determine the potential of serological diagnostic tools for STH, including antibody tests for  
572 assessment of disease transmission in low-endemic areas, and antigen tests for detection of active  
573 infections.<sup>92</sup> Multiplex PCR tests for multiple co-infections,<sup>96, 171, 172</sup> and the use of next-generation  
574 sequencing to improve primers for PCR and loop-mediated isothermal amplification (LAMP), show  
575 promising results, but require validation as well as adaptation for field-friendly use.<sup>173</sup> Even if DNA  
576 amplification methods become field-friendly, challenges to DNA extraction remain, and quality  
577 assurance procedures will be essential.

578 Although commonly observed in veterinary practice, drug resistance to anthelmintics in humans has  
579 not yet been documented. Enhanced and continued surveillance, novel drug development and drug-  
580 combination investigations are needed to address the inherent concerns of the development of  
581 resistance as a consequence of mass distribution programmes.<sup>174</sup> Randomised control trials are  
582 currently being conducted to determine the indications and efficacy of tribendimidine, and of  
583 combinations of benzimidazoles and ivermectin, oxantel pamoate, and milbemycin, respectively. If  
584 these drug combinations are deemed safe and effective their combined use could be endorsed for  
585 proactive prevention of drug resistance. Effective vaccines against STH could yield high, long-term  
586 impact on the control of STH. The eukaryote nature of parasites makes development of effective  
587 vaccines challenging; however, both a hookworm and a 'pan-helminthic' (targeting ascariasis,  
588 trichuriasis and hookworm infection) vaccine are currently being developed for human use.<sup>175, 176</sup>

589 As the global community aims to meet the targets set out in the Sustainable Development Goals  
590 (SDGs), further research is needed to identify relevant WASH interventions that may reduce the  
591 burden of STH.<sup>177</sup> High quality evidence to inform alternative control strategies, such as community-  
592 wide MDA and vaccination programmes in conjunction with WASH interventions, are needed to  
593 ensure and optimise control of STH. Finally, increased awareness and knowledge of STH is needed  
594 among health care professionals, community health workers, and the general public to improve  
595 clinical case detection and management, and public health control.

596

## 597 CONCLUSIONS

598 Soil-transmitted helminth infections, here defined as *A. lumbricoides*, *A. duodenale*, *N. americanus*,  
599 *T. Trichiura*, and *S. stercoralis*, are highly prevalent, especially in individuals with limited access to  
600 clean water, sanitation, and hygiene. The clinician must consider STH infection in patients with a  
601 history of exposure in endemic areas and who present with a range of medical and surgical  
602 conditions. Safe and largely effective drugs against *Ascaris*, hookworm, and *Trichuris* are donated  
603 free of charge for school-age children in STH-endemic countries; however, the global community has  
604 a way to go to achieve the WHO goal for 2020. Increased knowledge of transmission dynamics and  
605 infection-associated morbidity, refined diagnostic tools, and more effective treatment strategies are  
606 needed to scale up public health control and to improve clinical detection and management of STH.

607

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618

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620 PMJ and PL performed all literature searches. PMJ, PL and DA drafted the original and resubmitted  
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 622 manuscript. PMJ edited Figures 1 and 2.

623

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632

633 TABLES

634 **Table 1. Symptoms and signs of soil-transmitted helminth infections**

	<i>A. lumbricoides</i>	<i>T. trichiura</i>	Hookworm	<i>S. stercoralis</i>
<b>At-risk individuals</b>	Children, adults	Children, adults	Adults, children	Adults, children; immunosuppressed patients (SHS)
<b>Medical history</b>	Unremarkable, or history of STH			
<b>Symptoms</b>				
General	Commonly asymptomatic, or mild, non-specific symptoms, asthenia			
Early stage infection	Cough, dyspnoea, haemoptysis, rash	Non-specific	Cough, dyspnoea, haemoptysis, rash; Ground itch - an intense itch, usually of the foot or lower leg	Cough, dyspnoea, rash; may become severe in immunosuppressed patients

Acute, chronic established infection	Anorexia, abdominal pain and discomfort, diarrhoea and weight loss; In severe cases, acute abdomen with systemic affection, biliary colic	Anorexia, abdominal pain and diarrhoea, sometimes with dysentery	Abdominal pain, diarrhoea, dyspnoea	Anorexia, nausea, abdominal pain and diarrhoea
<b>Signs</b>				
General	Asthenia, anaemia, jaundice, fever if acute cholecystitis or cholangitis, hepatic abscess	Asthenia, anaemia (may become severe in TDS)	Pallor, tachypnoea, tachycardia; Ascites and other forms of oedema	Multi-organ failure in SHS; Petechiae and purpura
Early stage infection	Abnormal auscultatory breath sounds	Non-specific	Abnormal auscultatory breath sounds	Abnormal auscultatory breath sounds
Acute, chronic established infection	Occult or fresh blood in stool, abdominal tenderness, including right upper quadrant tenderness, peritonitis	Digital clubbing, abdominal tenderness (TDS), in some cases, rectal prolapse	Abdominal tenderness, occult blood in the stool, rarely melena	Abdominal tenderness; Larva currens - urticarial, serpiginous, migratory lesion over abdomen, torso, buttocks or groin; In SHS, intestinal and pulmonary mucosal bleeding, cutaneous.

635 STH = soil-transmitted helminthiasis; TDS = *Trichuris* dysentery syndrome; SHS = *Strongyloides*  
636 hyperinfection syndrome

637

638 **Table 2. Differential diagnoses of soil-transmitted helminth infections**

Clinical features	Differential diagnoses	Relevant STH
Asthenia, anorexia	Other infectious diseases, autoimmune diseases, other causes of anaemia, malignancy, non-specific	All STH, including <i>S. stercoralis</i>
Anaemia	Other causes of anaemia (iron-deficiency anaemia, micronutrient deficiencies hereditary causes)	Hookworm, <i>T. trichiura</i>

Loeffler syndrome	Pneumonia, asthma, other causes of dyspnoea	<i>A. lumbricoides</i> , hookworm, <i>S. stercoralis</i>
Abdominal discomfort, diarrhoea	Other gastrointestinal infections, inflammatory bowel disease, malignancies, non-specific	All STH, including <i>S. stercoralis</i>
Acute abdomen	Other causes of acute abdomen, including intestinal obstruction, pancreatitis, cholecystitis, intestinal perforation	<i>A. lumbricoides</i> , <i>S. stercoralis</i>
<i>Trichuris</i> dysentery syndrome	Other causes of dysentery, gastrointestinal infections	<i>T. trichiura</i>
<i>Strongyloides</i> hyperinfection syndrome	Other causes of disseminated infection, sepsis, multi-organ failure	<i>S. stercoralis</i>

639 STH = soil-transmitted helminthiasis; TDS = *Trichuris* dysentery syndrome; SHS = *Strongyloides*  
640 hyperinfection syndrome

641

642 **Table 3. Anthelmintic treatment of soil-transmitted helminth infections**

	<i>A. lumbricoides</i>	<i>T. trichiura</i>	Hookworm	<i>S. stercoralis</i>
<b>First choice</b>	Albendazole 400 mg			Ivermectin 200 µg per kg once daily for 2 days
	Single dose	Once daily for three days	Single dose	
OR	Mebendazole 500 mg			
	Single dose	Once daily for three days	Single dose	
OR	Mebendazole 100 mg twice daily for three days			
<b>Alternative</b>	Ivermectin in a single dose of 150-200 µg/kg	Ivermectin 200 µg/kg once daily for three days		Albendazole may be given 400 mg twice daily for seven days
OR		Pyrantel pamoate 11 mg/kg base (maximum of 1 g) once daily for 3 days		Thiabendazole 25 mg/kg/12 h for three days
OR				Parenteral ivermectin
<b>Relative contraindications</b>	Adverse reactions to drugs, first trimester of pregnancy, <1 year of age, anti-convulsant drug therapy (albendazole and mebendazole); children, pregnant* and lactating women, <i>Loa loa</i> co-infection (ivermectin, can be fatal);			

643 All treatment is oral, except for experimental parenteral treatment in *Strongyloides* hyperinfection  
644 syndrome (SHS). Additional treatment options may be approved for treatment in humans, including

645 combination therapy of benzimidazoles and ivermectin, and of benzimidazoles and oxantel pamoate  
646 or milbemycin against in particular *T. trichiura*, and tribendimidine for treatment of hookworm.  
647 Adapted from <sup>127</sup>. \* In women with high-intensity infection, treatment with mebendazole in the first  
648 trimester may be considered on an individual level, taking into consideration the risk of treatment  
649 with a poorly absorbed anthelmintic versus the risk of potential adverse events.

650

651

652 FIGURES

653 **Figure 1. Prevalence of *Ascaris lumbricoides* (A), *Trichuris trichiura* (B), hookworm (*Necator*  
654 *americanus* and *Ancylostoma duodenale*) (C), and *Strongyloides stercoralis* (D) by global regions.**

655 Data for (A), (B) and (C) from Pullan *et al.*<sup>2</sup> Data for *S. stercoralis* are especially scarce and may be  
656 associated with strong publication bias, estimates from data by Schär *et al.*<sup>4</sup> Data from single  
657 community-based studies suggest that *S. stercoralis* may be present also in Australia, Israel and  
658 Japan (marked as non-endemic on the map).

659 **Figure 2. Transmission of *Ascaris lumbricoides* (A), *Trichuris trichiura* (B), hookworm infection (C),  
660 and *Strongyloides stercoralis* (D).**

661 ***Ascaris lumbricoides* (A).** Fertilised eggs get swallowed, release larvae that moult into second-stage  
662 (L2) larvae, that in turn penetrate the host intestinal mucosa and migrate to the pulmonary  
663 circulation. Third-stage (L3) larvae migrate across the alveolar wall, are swallowed again and moult  
664 into fourth-stage (L4) larvae in the small intestine. Adult female *A. lumbricoides* worms can release  
665 thousands of eggs daily that pass into the environment and hatch to produce larvae that can survive  
666 in the soil for several years.

667 ***Trichuris trichiura* (B).** Infective embryonated eggs are ingested and hatch into larvae that moult in  
668 the small intestine. The larvae develop into adult worms, and continue to reside in the caecum and  
669 the ascending colon. Adult female worms lay several thousand eggs per day, which pass in the stool  
670 and survive in the environment for several months.

671 ***Ancylostoma duodenale*, *Necator americanus* (C).** Hookworm larvae infect humans by penetrating  
672 bare skin, and are transported to the pulmonary capillaries where they penetrate the alveolar wall,  
673 pass to the larynx and are swallowed. The larvae moult and develop into mature worms in the small  
674 intestine, and female adult worms release thousands of eggs daily that pass in the stool and hatch in  
675 warm, moist, sandy soil or in the faeces to produce rhabditiform, first-stage (L1) larvae. Larvae  
676 become infective after rapid moulting to third-stage (L3) larvae that can survive in the environment  
677 for weeks.

678 ***Strongyloides stercoralis* (D).** Infective filariform larvae may infect humans both percutaneously and  
679 orally. Following penetration of the skin, larvae are transported to the pulmonary circulation,  
680 penetrate the alveoli, pass to the larynx and swallowed to enter the small intestine where they  
681 mature to adult worms. Oral infection follows the same cycle after penetrating the intestinal  
682 mucosa. The female adult lodges in the lamina propria of the duodenum and jejunum and may lay  
683 up to 50 eggs a day that hatch within the gut wall to become rhabditiform larvae that migrate in to  
684 the lumen and are passed with the stools. The larvae may penetrate the colonic wall or perianal skin

685 to enter a new cycle, or may disseminate to other organ systems. Larvae (or unhatched eggs) that  
686 get expelled in the stool may survive in moist soil for several weeks, and develop into infective  
687 larvae.

688 Adapted from [www.cdc.gov/parasites](http://www.cdc.gov/parasites).

689 **Figure 3. Endoscopic images of intestinal *Ascaris lumbricoides* and hookworm co-infection (A),**  
690 ***Trichuris trichiura* (B), and hookworm infection (C).**

691 Note the size of the partially visible *Ascaris* worm in relation to the lumen, as well as multiple blood-  
692 filled hookworms visualised through narrow band imaging (A), the whip-shape part (not visible) of  
693 *Trichuris* burrowed into the mucosa (B), and mucosal bleeding associated with the hookworm  
694 infection (C). With permission by Dr Kunimitsu Inoue.

695 **Figure 4. Eosinophilic pneumonia (Loeffler syndrome).**

696 Posteroanterior chest x-ray of 35-year-old male presenting with fever, tachypnoea, and eosinophilia,  
697 showing bilateral, peripheral mottled infiltrates. *Ascaris* larvae were identified in sputum and gastric  
698 aspirate samples. (Reprinted from Gelpi AP, Mustafa A. *Ascaris* pneumonia. The American Journal of  
699 Medicine; 44(3): 377-389. <http://www.amjmed.com/> Copyright 1968, with permission from  
700 Elsevier.)

701 **Figure 5. *Ascaris*-induced intestinal obstruction.**

702 A. Plain abdominal x-ray of 3-year-old female with *Ascaris*-induced intestinal obstruction showing  
703 air-fluid levels, dilated bowel loops and multiple worm structures, and B. Ileum enterotomy with  
704 extraction of causative bolus of *Ascaris* worms. (Reprinted from Andrade AM, Perez Y, Lopez C *et al.*  
705 Intestinal Obstruction in a 3-Year-Old Girl by *Ascaris lumbricoides* Infestation: Case Report and  
706 Review of the Literature. Medicine; 94(16): e655. <http://journals.lww.com/md-journal/> Copyright  
707 2015, with permission from Wolters Kluwer.)

708 **Figure 6. Biliary and pancreatic ascariasis.**

709 A. Abdominal ultrasonography showing the dilated common bile duct containing a thick, long, non-  
710 shadowing echogenic strip with a central sonolucent tube (arrow), representing the digestive tract of  
711 *Ascaris*, and B. Endoscopic retrograde cholangiopancreatography in *Ascaris*-induced pancreatitis  
712 showing the pancreatic duct containing a filling defect in distal part (arrows) and the common bile  
713 duct containing multiple *Ascaris* worms. (Reprinted from Khuroo MS, Zargar SA, Yattoo GN *et al.*  
714 *Ascaris*-induced acute pancreatitis. The British Journal of Surgery; 79(12): 1335-1338.  
715 <https://www.bjs.co.uk/> Copyright 1992, with permission from John Wiley and Sons.)

716 **Figure 7. *Trichuris* dysentery syndrome.**

717 Colonoscopy of 15-year-old female with *Trichuris* dysentery syndrome showing massive infestation  
718 of *Trichuris* worms (posterior segments visible), petechial lesions, mucosal haemorrhages and  
719 oedema. (Reprinted from Khuroo MS, Khuroo MS, Khuroo NS. *Trichuris* dysentery syndrome: a  
720 common cause of chronic iron deficiency anemia in adults in an endemic area (with videos).  
721 Gastrointestinal Endoscopy; 71(1): 200-204. <http://www.giejournal.org/> Copyright 2010, with  
722 permission from Elsevier.)

723 **Figure 8. *Strongyloides* hyperinfection syndrome.**

724 Human T-cell lymphotropic virus (HTLV)-1 positive 62-year-old female with acute respiratory  
725 distress syndrome following high-dose corticosteroids during chemotherapy for cervical cancer.  
726 Bronchoscopy (A) showed diffuse intrabronchial haemorrhage, and microscopy of Papanicolaou  
727 stain of bronchoalveolar lavage fluid (B) revealed multiple filariform *S. stercoralis* larvae (left image  
728 x20, right image x400). (From Kinjo T, Nabeya D, Nakamura H *et al.* Acute Respiratory Distress  
729 Syndrome due to *Strongyloides stercoralis* Infection in a Patient with Cervical Cancer. Internal  
730 Medicine; 54(1): 83-87. <http://www.naika.or.jp/imonline/> Awaiting response from permission  
731 request)

732

733 Panel 1:

734 FAST FACTS

735 **Soil-transmitted helminths** infect humans through faecal-oral transmission or skin penetration.  
736 These infections are among the most common diseases in the world, and are caused by the parasites  
737 *Ascaris lumbricoides*, *Trichuris trichiura*, hookworm (*Ancylostoma duodenale* and *Necator*  
738 *americanus*) and *Strongyloides stercoralis*.

739 **The main risk factors** for STH include living in or returning from areas with limited access to  
740 adequate sanitation and hygiene.

741 **Clinical presentation.** Most individuals are asymptomatic or experience mild symptoms. So-called  
742 eosinophilic pneumonia, or Loeffler syndrome, may occur 10-14 days after infection with *A.*  
743 *lumbricoides*, hookworm or *S. stercoralis*. It presents as a usually self-limiting urticaria, cough,  
744 dyspnoea, and haemoptysis with abnormal auscultatory breath sounds. Common to all soil-  
745 transmitted helminth infections are asthenia, lack of appetite (anorexia), abdominal pain, diarrhoea  
746 or other altered bowel habits and weight loss. Species-specific features in severe cases include:

- 747 • ***Ascaris lumbricoides*** - Acute abdomen due to upper gastrointestinal bleeding, small bowel  
748 obstruction, intestinal volvulus and intussusception, peritonitis, and hepatobiliary and  
749 pancreatic ascariasis manifesting as biliary colic, acute cholecystitis, acute pancreatitis, acute  
750 cholangitis, and hepatic abscess;
- 751 • ***Trichuris trichiura*** - A syndrome of anaemia, digital clubbing, abdominal tenderness and, in  
752 some cases, rectal prolapse (*Trichuris* dysentery syndrome);
- 753 • **Hookworm** - Anaemia, sometimes severe, with pallor, tachypnoea, tachycardia and protein  
754 deficiency;
- 755 • ***Strongyloides stercoralis*** - *Strongyloides* hyperinfection syndrome manifests as severe intestinal  
756 and pulmonary inflammation in immunosuppressed (especially corticosteroid-induced)  
757 patients. Disseminated *Strongyloides* can affect any organ system, including the central  
758 nervous, musculoskeletal, cardiovascular, hepatic and urogenital organs.

759 **Diagnosis.** Detection and quantification of *A. lumbricoides*, hookworm and *T. trichiura* eggs and of *S.*  
760 *stercoralis* larvae in stool by microscopy remains the mainstay of diagnosis, despite low sensitivity,  
761 and at least three stool samples on alternate days may be required to identify the cause of infection.  
762 In early-stage infections, parasite eggs or larvae may not appear in stool, and antibody assays and  
763 polymerase chain reaction (PCR) may aid diagnosis.

764 Ultrasonography, plain abdominal x-ray, computed tomography (CT), including contrast enhanced  
765 CT, and magnetic resonance imaging (MRI) scans may identify infections and/or determine the  
766 extent of gut pathology. Gastroduodenoscopy, colonoscopy and endoscopic retrograde  
767 cholangiopancreatography (ERCP) may serve as both diagnostic and therapeutic tools, and duodenal  
768 biopsies may identify *S. stercoralis* eggs, larvae and/or adult worms.

769 **Treatment and follow-up.** *A. lumbricoides*, *T. trichiura* and hookworm infections may be treated  
770 effectively with albendazole or mebendazole, although repeated treatment might be necessary for  
771 cure, particularly for *T. trichiura*. *S. stercoralis* infection may be treated with ivermectin, albendazole  
772 or thiabendazole. Alternative drugs and drug combinations are currently being trialled for treatment  
773 of STH. Follow-up of severe infection is required, including treatment of anaemia if relevant.

774 Reinfection is frequent in endemic areas, and periodic re-treatment with an anthelmintic drug is  
 775 warranted, in addition to relevant sanitary and hygienic health education.

776

777 **Panel 2. Features of clinical versus public health control of STH**

Feature or factor	Clinical diagnosis and management	Public health control
Diagnosis	Individual	Community-level (e.g. in selected schools)
Diagnostic criteria	Parasitological	Residence in an area with STH prevalence >20%
Treatment approach	Single or multiple-dose	Single-dose periodic mass treatment
Threshold for treatment	Travel history, symptoms and signs, positive laboratory test	Estimated prevalence of infection in target population
Treatment objective	Parasitological cure	Decreased worm burden; reduction in transmission
Ancillary treatment	Based on clinical signs and symptoms	Typically, only if included in mass treatment (e.g. vitamin A supplementation)
Follow-up	Parasitological test of cure; improvement in associated health conditions	Not usually done
Health education (sanitation and hygiene)	Recommended	Recommended

778

779 **Panel 3. Search strategy and selection criteria**

780 We searched PubMed, the Cochrane library, MEDLINE and EMBASE without restriction of dates or  
 781 language using “epidemiology”, “pathophysiology”, “immunology”, “genetics”, “clinical”,  
 782 “diagnosis”, “treatment”, “management” and “research” sequentially in combination with each of  
 783 the following terms “soil-transmitted helminths”, “soil-transmitted helminth infections”, “soil-  
 784 transmitted helminthiasis”, “soil-transmitted helminthiases”, “STH”, “*Ascaris lumbricoides*”,  
 785 “*Trichuris trichiura*”, “hookworm”, “*Ancylostoma duodenale*”, “*Necator americanus*” and  
 786 “*Strongyloides stercoralis*”. Titles and abstracts were reviewed, and if found relevant for this  
 787 seminar, included for review of full text. Publications presenting strong evidence, or containing  
 788 information especially relevant for the seminar were included in the final reference list, prioritising  
 789 publications in the past five years especially. Furthermore, reference lists were reviewed and  
 790 additional references included if not already identified through the main search strategy. Book  
 791 chapters were included by relevance and importance in the field.

792

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