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1 **Colonization history of the Western Corn Rootworm (*Diabrotica***
2 ***virgifera virgifera*) in North America: insights from random forest**
3 **ABC using microsatellite data**

4
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24 **Running title:** Invasive range of western corn rootworm in America

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25 Abstract

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27 First described from western Kansas, USA, the western corn rootworm, *Diabrotica virgifera*
28 *virgifera*, is one of the worst pests of maize. The species is generally thought to be of
29 Mexican origin and to have incidentally followed the expansion of maize cultivation into
30 North America thousands of years ago. However, this hypothesis has never been
31 investigated formally. In this study, the genetic variability of samples collected throughout
32 North America was analysed at 13 microsatellite marker loci to explore precisely the
33 population genetic structure and colonization history of *D. v. virgifera*. In particular, we used
34 up-to-date Approximate Bayesian Computation methods based on random forest algorithms
35 to test a Mexican versus a central-USA origin of the species, and to compare various possible
36 timings of colonization. This analysis provided strong evidence that the origin of *D. v.*
37 *virgifera* was southern (Mexico, or even further south). Surprisingly, we also found that the
38 expansion of the species north of its origin was recent – probably not before 1100 years ago
39 – thus indicating it was not directly associated with the early history of maize expansion out
40 of Mexico, a far more ancient event.

41 Introduction

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43 The western corn rootworm (WCR), *Diabrotica virgifera virgifera*, is a major economic pest
44 of maize, *Zea mays*, in North America and, since the end of the twentieth century, in Europe
45 (Gray et al. 2009; Vilà et al. 2009). Although the invasion history of WCR in Europe has been
46 well investigated (Miller et al. 2005; Ciosi et al. 2008), its biogeography, colonisation history
47 and potential association with maize domestication in America are poorly understood.

48 Because of the geographical distribution of most other diabroticites and the close
49 association of WCR with maize, the species is commonly considered as originating from
50 Mexico, or possibly Guatemala, where its original native host was probably *Tripsacum*, a
51 close wild relative of maize (Smith 1966; Branson and Krysan 1981; Gray et al. 2009). The
52 classically proposed scenario is that WCR fed on early domesticated maize, and incidentally
53 followed the dissemination of the plant into southwestern North America and the Great
54 Plains, so that the history of WCR tracks the history of maize into those regions (Branson and
55 Krysan 1981). Maize is a human-made variant of teosinte which was domesticated about
56 9,000 years before present (BP) in southern Mexico (Matsuoka et al. 2002; Buckler and
57 Stevens 2005). The cultivation of maize slowly expanded northward to reach the present-day
58 states of Arizona and New Mexico, USA around 4,100 BP (Merrill et al. 2009; da Fonseca et
59 al. 2015), and became an important part of the diet of some groups in the Four Corners
60 region between 2,400 and 3,000 BP (Coltrain et al. 2010; Smith 2017). The selection of new
61 variants that were better adapted to temperate climates helped to spread maize further into
62 the northern USA and Canada by around 2,000 years BP (Fritz 1990; Hart et al. 2007;
63 Tenailon and Charcosset 2011), but it was a minor crop throughout America north of Mexico
64 before 900 to 1000 CE (Boyd et al. 2008; Simon 2017; Smith 2017). A large increase in maize

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65 cultivation by European migrants in North America occurred in the nineteenth century,
66 probably helped by development of new cultivars (Anderson and Brown 1952; Doebley et al.
67 1988). Finally, the intensification of cultivation in the mid-20th century coinciding with
68 commercialization of modern inbred hybrids widely boosted this trend (Kutka 2011).

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69 However, different WCR origin scenarios are possible, such as a far more recent
70 colonization history than that of maize, and/or a more northern North American origin of
71 the species. These scenarios are based on the dates of first observation of WCR in America
72 and on the knowledge of its ecology. *D. virgifera* was first described by Le Conte from two
73 individuals collected in 1867 from blossoms of *Cucurbita foetidissima* in western Kansas (Le
74 Conte 1868; Metcalf 1983; Krysan and Smith 1987), and the first economic damage on maize
75 was noticed only in 1909 in Colorado (Gillette 1912). The species is known to have been
76 present in more southern States such as Arizona and New Mexico, as well as in Mexico, at
77 least since the end of the nineteenth century (Horn 1893), but more detailed information
78 about their presence in these areas is not available before the 1950s (Chiang 1973; Krysan
79 and Smith 1987). The colonization of the Eastern USA and Canada by WCR has been well
80 monitored and is very recent compared to the widespread cultivation of maize in those
81 areas beginning around 1000 CE: beginning in the 1940s, WCR started to spread eastward
82 from the western Great Plains at considerable speed to reach the East coast of North
83 America in the mid-1980s (Krysan and Smith 1987; Gray et al. 2009; Meinke et al. 2009).
84 Furthermore, behavioural data do not fully support an exclusive shared history between
85 WCR and maize, suggesting instead a host switch, which could possibly be recent, from a
86 very different host plant (than *Tripsacum*) to maize, either in Mexico or the central USA.
87 Indeed, larvae have no mechanism for distinguishing maize from a distance (Branson and
88 Krysan 1981), whereas WCR adults are strongly attracted to cucurbitacins, secondary

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89 metabolites of Cucurbitaceae (Metcalf and Lampman 1989). Potential alternative hosts in
90 North America include a number of native grass species (Clark and Hibbard 2004; Oyediran
91 et al. 2004), but their current importance in a maize-dominated agroecosystem is probably
92 minimal (Moeser and Hibbard 2005; Campbell and Meinke 2006).

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93 In this study, we characterized the current genetic structure of WCR in North
94 America, from Mexico to the northeastern USA, by Bayesian clustering methods and more
95 classical population genetic statistics and methods. We then performed up-to-date random
96 forest approximate Bayesian computation analyses to quantitatively compare colonization
97 scenarios of WCR populations in North America.

98

99 **Methods**

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101 *Sampling, genotyping and genetic variation*

102

103 Nine hundred and seventeen WCR adults were collected from 21 sites (14 to 62 WCR per
104 site) in North America between 1998 and 2006, covering a substantial part of the
105 distribution of this species in America (Fig. 1; Table S1). Samples from twelve of these sites
106 were used in previous studies (Table S1; Kim and Sappington 2005; Kim et al. 2008; Coates et
107 al. 2009). Genotyping at 13 microsatellite marker loci was carried out in three separate
108 multiplex PCRs for all individuals as described by Bermond *et al.* (2012).

109 Genetic variation within and between the 21 site-samples were quantified by
110 calculating the mean number of alleles per locus NA , the mean expected heterozygosity H_e
111 (Nei 1987) and pairwise F_{ST} estimates (Weir and Cockerham 1984) using Genepop (version
112 4.2, Raymond and Rousset 1995). To take into account the differences in sample size

113 between site-samples, we computed the mean allelic richness (AR) corrected for 10
114 individuals by the rarefaction method (Petit et al. 1998) with HP-Rare (version 1.1,
115 Kalinowski 2005). Hardy-Weinberg and genotypic differentiation tests were performed using
116 Fisher exact tests implemented in Genepop (version 4.2, Raymond and Rousset 1995), and
117 significance levels were corrected for multiple comparisons biases by the false discovery rate
118 procedure (Benjamini and Hochberg 1995). Null allele frequencies for each locus and each
119 site-sample were estimated following the expectation maximum algorithm of Dempster *et*
120 *al.* (1977) using FreeNA (Chapuis and Estoup 2007). We constructed a neighbour-joining (NJ)
121 tree (Saitou and Nei 1987) using pairwise genetic distances as described by Cavalli-Sforza
122 and Edwards (1967), using Populations software (version 1.2.30, Langella 1999). The
123 robustness of tree topology was evaluated by carrying out 1,000 bootstrap replicates over
124 loci. Finally, isolation-by-distance was evaluated by determining the correlation between
125 pairwise natural logarithmic geographic distances and genetic distances [$F_{ST} / (1 - F_{ST})$],
126 through a Mantel test with 10,000 permutations implemented in Genepop (version 4.2,
127 Raymond and Rousset 1995).

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129 *Population structure and definition of genetic units*

130

131 The clustering approach implemented in STRUCTURE (v2.3.4, Pritchard et al. 2000) was used
132 to infer the number of potential genetic units within the North American range of WCR. We
133 chose the admixture model with correlated allele frequencies, and default values for all
134 other parameters of the software. Each run consisted of a burn-in period of 2×10^5 Markov
135 chain Monte Carlo (MCMC) iterations, followed by 10^6 MCMC iterations. We carried out 20
136 replicate runs for each value of the number (K) of clusters, with K set between 1 and [the

137 number of site-samples considered + 1]. To group each site-sample within its most likely
138 genetic unit, we used the hierarchical approach of Coulon *et al.* (2008) as follows. We first
139 analysed the whole dataset, consisting of 21 site-samples (totalling 917 individuals). If the
140 mean natural logarithm of the likelihood of the data $\ln(P(X|K))$ was maximal for $K = 1$, then
141 the inferred number of clusters was 1 and we stopped the procedure. Otherwise, we
142 determined the highest level of genetic structure by the ΔK method (Evanno *et al.* 2005). We
143 then partitioned the previous dataset by assigning each site-sample to the inferred cluster
144 for which the mean individual ancestry was greater than 0.8; site-samples with mean
145 ancestry below 0.8 for all clusters were assigned to a specific “admixed” group. We
146 performed successive independent rounds of STRUCTURE analyses on each subset of the
147 data until $\ln(P(X|K))$ was maximal for $K = 1$, or until only one site-sample remained.

148 We also used the clustering approach implemented in BAPS software (v5.2, Corander
149 *et al.* 2003) as a complement to the STRUCTURE analyses. Although both programs identify
150 population structure by minimizing Hardy-Weinberg and linkage disequilibrium within each
151 of K clusters, BAPS uses a fast stochastic-greedy optimisation algorithm instead of the MCMC
152 algorithm used in STRUCTURE (Putman and Carbone 2014). We carried out BAPS analyses on
153 groups of individuals (i.e. site-samples) rather than individuals, with simple model
154 assumptions (i.e. no admixture and uncorrelated allele frequencies). We conducted a series
155 of 20 replicate runs, with the upper limit for the number of clusters set as the actual number
156 of sampled sites. BAPS infers the number of clusters (K is a parameter of the model, unlike in
157 STRUCTURE), but we proceeded to a hierarchical approach as well by performing
158 independent analyses within each inferred cluster until the number of newly inferred
159 clusters was one or until only one site-sample remained.

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161 *ABC-based inferences about colonization history*

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163 An approximate Bayesian computation analysis (ABC; Beaumont et al. 2002) was carried out

164 to infer the colonization history of WCR in North America. The populations considered in the

165 ABC analysis corresponded to the genetic units previously identified by the two Bayesian

166 clustering methods (i.e., STRUCTURE and BAPS), and each genetic unit was represented in

167 the analysis by a single site-sample (the “core dataset”, see Results section). ABC is a model-

168 based Bayesian method allowing posterior probabilities of historical scenarios to be

169 computed, based on historical data and massive simulations of genetic data. The history of

170 maize cultivation along with the areas and dates of first observations of WCR in North

171 America were used to define 6 competing colonization scenarios differing in the combination

172 of three main characteristics. First, the geographical origin of the species: WCR either

173 originated in or near Mexico and expanded northward (“Mexican origin”), or it originated

174 near present-day Colorado and expanded southward and eastward (“central-USA origin”).

175 Because of the reduced number of samples in the southernmost area of WCR's range, there

176 is a risk that the true source population was not specifically sampled. Therefore, for all

177 “Mexican origin” scenarios, we simulated sub-structuring within the oldest genetic unit as

178 proposed by Lombaert *et al.* (2011). Second, the demographic history of the scenario's first

179 colonizing population: this population experienced either an “ancient bottleneck” (between

180 10,000 and 1,500 years BP) or a “recent bottleneck” (between 1,500 years BP and the date

181 of first observation). This bottleneck could be the signal either of an introduction event from

182 a native, unsampled, population or of a sudden decrease in population size during a selective

183 sweep due to host plant shift. Third, the dates of the colonization events: either WCR

184 accompanied the North American expansion of maize (“ancient expansion”, between 10,000

185 years BP and 1,500 years BP), or its range expanded only recently (“recent expansion”,
186 between 1,500 years BP and the date of first observation). The competing scenarios thus
187 differ in the direction of the colonization (south to north, or north to south) and by the
188 relative recency of demographic and divergence events. In all scenarios, an expansion event
189 corresponds to a simple divergence event from a source population possibly followed by a
190 period at low effective size (bottleneck event) predating demographic stabilization at a
191 higher effective size. Because the various populations under scrutiny are not separated by
192 insurmountable geographical barriers, and because of the strong dispersal capacity of WCR
193 (Coats et al. 1986; Grant and Seevers 1989; Bermond et al. 2013), we allowed continuous
194 unsymmetrical migration between populations. All 6 scenarios are described in Table 1 and
195 Figure S1.

196 In our ABC analysis, historical, demographic and mutational parameter values for
197 simulations were drawn from prior distributions defined from historical data and from a
198 previous study (Miller et al. 2005), as described in Table S2. We used a total of 49 summary
199 statistics: for each population (i.e. site-sample in the case of the observed dataset), we
200 computed the mean number of alleles per locus, the mean expected heterozygosity (Nei
201 1987), the mean number of private alleles per locus and the mean ratio of the number of
202 alleles to the range of allele sizes (Garza and Williamson 2001). For each pair of populations,
203 we computed the pairwise F_{ST} values (Weir and Cockerham 1984) and the mean likelihoods
204 of individuals from population i being assigned to population j (Rannala and Mountain 1997).
205 For each trio of populations we computed the maximum likelihood estimate of admixture
206 proportion (Choisy et al. 2004). For all populations taken together, we computed the mean
207 number of alleles per locus, the mean expected heterozygosity and the mean number of

208 shared alleles per locus. These statistics were complemented with the five axes obtained
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3 209 from a linear discriminant analysis on summary statistics (Estoup et al. 2012).

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5 210 To compare the scenarios, we used a random forest process (Breiman 2001) as
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7 211 described by Pudlo *et al.* (2016). Random forest is a machine-learning algorithm which
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10 212 circumvents curse of dimensionality problems and some problems linked to the choice of
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12 213 summary statistics (e.g. correlations between statistics). This non-parametric classification
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14 214 algorithm uses hundreds of bootstrapped decision trees (creating the so-called forest) to
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17 215 perform classification using a set of predictor variables, here the summary statistics. Some
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20 216 simulations are not used in tree building at each bootstrap (i.e. the out-of-bag simulations)
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23 217 and can thus be used to compute the “prior error rate”, which provides a direct method for
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26 218 cross-validation. Random forest (i) has large discriminative power, (ii) is robust to the choice
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28 219 and number of summary statistics and (iii) is able to learn from a relatively small reference
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31 220 table hence allowing a drastic reduction of computational effort. See Fraimout et al. (2017)
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33 221 and Momigliano et al. (2017) for recent case studies. We simulated 50,000 microsatellite
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36 222 datasets for each competing scenario, and checked whether the scenarios and priors were
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39 223 off target or not by comparing distributions of simulated summary statistics with the value
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41 224 of the observed dataset. We then grew a classification forest of 1,000 trees based on all
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44 225 simulated datasets. The random forest computation applied to the observed dataset
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46 226 provides a classification vote which represents the number of times a model is selected
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49 227 among the 1,000 decision trees. The scenario with the highest classification vote was
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52 228 selected as the most likely scenario. We then estimated its posterior probability by way of a
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54 229 second random forest procedure of 1,000 trees as described by Pudlo *et al.* (2016). To
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57 230 evaluate the global performance of our ABC scenario choice, we (i) computed the *prior error*
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59 231 *rate* based on the available *out-of-bag* simulations, and (ii) conducted the scenario selection
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232 analysis a second time with another set of site-samples (the “alternative dataset”)
233 representative of the same genetic units as the core dataset, as suggested by Lombaert et al.
234 (2014). Finally, we inferred posterior distribution values of all parameters, and some
235 relevant composite parameters, of the selected scenario under a regression by random
236 forest methodology (Raynal et al. 2017), with classification forests of 1,000 trees.

237 We used ABCsampler (Wegmann et al. 2010) coupled with fastsimcoal2 (v2.5,
238 Excoffier et al. 2013) for simulating datasets and generating reference tables. We used
239 Arlequin 3.5 (using the arlsumstat console version, Excoffier and Lischer 2010), in-house
240 codes (perl and C++) and an R script used by Benazzo *et al.* (2015) to compute summary
241 statistics. Scenario comparisons and parameter estimations were performed under R (R
242 Development Core Team 2015) with the “*abcrf*” package (v1.5, Pudlo et al. 2016).

243 Finally, as a control, we performed another ABC analysis with the same six scenarios
244 using the software DIYABC (v2.1.0, Cornuet et al. 2014). In this context, simulations were run
245 with no migration between populations, and the posterior probabilities of scenarios were
246 estimated by polychotomous logistic regression (Cornuet et al. 2008) modified following
247 Estoup *et al.* (2012).

248

249 **Results**

250

251 *Genetic variation in WCR*

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253 The complete dataset, including a total of 917 individuals from 21 site-samples, displayed
254 substantial polymorphism, with a mean of 12.69 alleles per locus, over all samples. Allelic
255 richness corrected for 10 individuals ranged from 4.4 alleles per locus in a sample from

256 Minnesota (MN) to 6.35 in a Mexican sample (MX-2). Overall, the southernmost site-samples
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2 257 displayed the highest diversities, especially in Mexico, and to a lesser extent in Arizona, New
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5 258 Mexico and Texas. Null allele frequencies were low with a mean of 0.017 for all locus-by-site-
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8 259 sample combinations. However, they were above 0.15 for two loci in the two Mexican site-
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10 260 samples, which very likely explain the larger F_{IS} and significant Hardy-Weinberg tests. See
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13 261 Table S1 for a concise presentation of diversity measurements for each site-sample.
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15 262 Genotypic differentiation was statistically significant in 137 of 210 pairwise
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18 263 comparisons between site-samples (Table S3). Global levels of differentiation between
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21 264 site-samples were moderate, with a mean F_{ST} of 0.035. As previously described in other
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23 265 studies using lower numbers of samples and genetic markers (Kim and Sappington 2005;
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26 266 Ciosi et al. 2008; Kim et al. 2008; Coates et al. 2009), a large part of the northern USA, i.e. all
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28 267 site-samples north of the states of New Mexico and Texas, displayed high genetic similarity
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31 268 with a mean pairwise F_{ST} of 0.005. In contrast, F_{ST} values increased steeply with latitude, with
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34 269 the highest value (0.16) between site-samples MX-2 in Mexico and Mo-02 in Illinois (Table
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36 270 S3).
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38 271 In the unrooted NJ tree, the position of the site-samples was mostly consistent with a
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41 272 latitudinal pattern (Fig. 2). Despite long branches, both Mexican samples grouped together,
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44 273 and were closest to Arizona, followed by New Mexico and Texas. The remaining 16
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46 274 site-samples grouped together in a tight cluster with short branches. This pattern was
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49 275 supported by the significant correlation between pairwise genetic differentiation and
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52 276 geographic distance ($P < 10^{-4}$).
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57 278 *Population structure of WCR in North America*
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280 A hierarchical approach applied to both STRUCTURE (Pritchard et al. 2000) and BAPS
281 (Corander et al. 2003) Bayesian clustering methods provided the same qualitative results. In
282 the first round, site-samples were partitioned into three groups: the first contained MX-1,
283 MX-2 and AZ-B site-samples, the second contained the NM and TX site-samples, and the
284 third contained all 16 remaining site-samples. This partitioning is also observed at higher
285 values of K (Fig. S2). Second rounds within each of these three groups only separated the
286 two Mexican site samples (MX-1 and MX-2) from Arizona's single site-sample (AZ-B). A third
287 round showed no additional partitioning. Details of BAPS and STRUCTURE results can be
288 found in Figures S3 and S4. To summarize, our 21 site-samples could be partitioned into four
289 main genetic units clearly linked to geographical patterns (Fig. 1): (i) the "Mexico" genetic
290 unit (46 individuals from 2 site-samples: MX-1 and MX-2), (ii) the "Arizona" genetic unit (40
291 individuals from 1 site-sample: AZ-B), (iii) the "New Mexico/Texas" genetic unit (82
292 individuals from 2 site-samples: NM and TX) and (iv) the "Colorado/New York" genetic unit
293 (749 individuals from 16 site-samples: CO, DKS, CKS, NE, SD, IA, MN, WI, Mo-02, IL, IN, MI,
294 OH, PA, DE and NY).

295

296 *Colonization history of WCR in North America inferred from ABC analyses*

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298 For the core dataset used in the ABC analyses, the choice of site-samples was based on the
299 largest sample sizes for the "Mexico" and "New Mexico/Texas" genetic units: MX-2 and TX
300 respectively. For the "Colorado/New York" genetic unit, we chose the site-sample CO from
301 Colorado, because of its geographical proximity to the historical first observation of the
302 species, and because of the well-described colonization history of this genetic unit eastward
303 from this area (Gray et al. 2009). For the alternative dataset, the "Mexico" and the "New

304 Mexico/Texas” genetic units were represented by the MX-1 and NM site-samples
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2 305 respectively, and the “Colorado/New York” genetic unit was represented by the OH site
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5 306 sample which displayed the lowest mean intra-genetic unit pairwise F_{ST} (Table S3). In both
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7 307 datasets, the “Arizona” genetic unit was represented by the single AZ-B site-sample.
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10 308 Regarding the clear geographical partition of the four genetic units (Fig. 1), and the patterns
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12 309 observed in the NJ tree (Fig. 2), the “Mexican origin” scenarios represent a simple South to
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14 310 North expansion in this specific order: (i) “Mexico”, (ii) “Arizona”, (iii) “New Mexico/Texas”
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16 311 and (iv) “Colorado/New York”. The “central-USA origin” scenarios entail an expansion in the
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18 312 opposite direction, from North to South (Fig. S1). Raw dates of first observation were used as
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20 313 lower bounds of time prior distributions (Table S2): 1893 for “Mexico”, “Arizona” and “New
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22 314 Mexico/Texas” (i.e. 113 generations backward in time, Horn 1893), and 1867 for
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24 315 “Colorado/New York” (i.e. 139 generations back in time, Le Conte 1868). Depending on the
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26 316 topology of the scenario, these dates were narrowed by conditions.
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317 Comparisons of distribution of simulated summary statistics with values of the
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33 318 observed core dataset showed that the combination of scenarios and prior that we chose
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35 319 was realistic: among the six simulated scenarios, we had from zero (scenarios 1 and 5) to
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37 320 only two (scenarios 2, 4 and 6) observed statistics out of 49 that significantly (at a 5%
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39 321 threshold) lay in the tails of the probability distribution of statistics calculated from prior
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41 322 simulations (Table S4).
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49 323 The results of the random forest ABC analyses are shown in Table 1, and the selected
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51 324 scenario is graphically summarized in Figure 3. The results indicate, with a high probability of
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53 325 0.71 for scenario 1, that (i) Mexico is the most likely first identifiable source of the
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55 326 colonization, (ii) a bottleneck occurred recently in this population and (iii) the colonization of
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57 327 North America by WCR is recent. The prior error rate was high (47.8%), but the result was
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328 qualitatively and quantitatively confirmed by the analysis of the alternative dataset which
329 selected the same scenario with a very similar posterior probability (Table 1). This high prior
330 error rate was caused by some scenarios being differentiated only by the prior distribution
331 of divergence times. Indeed, the three “Mexican origin” scenarios (i.e. scenarios 1, 3 and 5;
332 Fig. S1) brought together a total of 977 votes among the 1000 generated decision trees, with
333 scenario 5 (i.e. ancient ancestral bottleneck and recent colonization) garnering the second
334 highest number of votes. When comparing in a new analysis only the 3 scenarios with a
335 Mexican origin differing by the times of colonization (scenarios 1, 3 and 5), scenario 1 with
336 all historical events being recent obtained 743 votes among 1000. Finally, random forest ABC
337 results were confirmed by the standard DIYABC analyses as well: scenario 1 was selected
338 with probability of 0.935 and 0.939 for the core and alternate dataset respectively.

339 Point estimates of key parameters from scenario 1 are presented in Figure 3
340 (complete results in Table S5). The “Mexico” genetic unit suffered a strong initial bottleneck
341 probably around 1,100 years ago. The geographic expansion that followed northward was
342 accompanied by successive bottlenecks of lesser severity than the ancestral one. Effective
343 population size was lowest for the “Colorado/New York” genetic unit (median value of $N_4 =$
344 4,243 individuals) which is the more recent population. In contrast, the “New Mexico/Texas”
345 genetic unit displayed the largest population size (median value of $N_3 = 25,472$ individuals).
346 This geographically central population received the largest number of migrants from each of
347 the three other genetic units (from 5.3 to 6.2 effective migrants per generation). Effective
348 migration between genetic units was, however, globally moderate over North America
349 (mean of all median effective number of migrants = 2.7 individuals per generation). Note
350 that most parameter posterior distributions displayed large ranges (Table S5), so these
351 results should be interpreted with caution.

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353 Discussion

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355 The main results of our study are that the origin of WCR is in the south of its North American
356 range, and that it has expanded northward. ABC results were indeed confirmed by those of
357 more classical population genetics methods, such as the observation of a decrease in genetic
358 variation from South to North, as expected from successive founder events during a range
359 expansion (Le Corre and Kremer 1998; Hallatschek and Nelson 2008). This quantitative
360 approach confirms what was previously proposed based on historical or phylogenetic data
361 and rejects the hypothesis of a northern origin of WCR (Chiang 1973; Branson and Krysan
362 1981; Krysan and Smith 1987; Gray et al. 2009). However, our data do not allow us to
363 determine the precise origin of the species. Our Mexican samples were collected in the state
364 of Durango, while the WCR may have originated from further south in the country, or even
365 in Guatemala. Indeed, the estimated strong ancestral bottleneck could be the signature of a
366 first colonization step from an unsampled ancestral population.

367 Another important and unexpected conclusion of our study is that the history of WCR
368 colonisation of North America is not associated with the early history of maize expansion out
369 of Mexico into the American Southwest that began around 4,100 BP (Merrill et al. 2009; da
370 Fonseca et al. 2015). Instead, our genetic data firmly indicate WCR did not arrive in the
371 Southwest until about 1500 CE following an initial severe bottleneck detected in the Mexican
372 sample at about 900 CE (Fig. 3). However, this time frame does strikingly correspond to the
373 intensification of maize cultivation in the American Southwest, Great Plains, and Eastern
374 Woodlands that began around 900 – 1000 CE (Fritz 1990; Boyd et al. 2008; Smith 2017). This
375 widespread intensification of maize use was explosive (Simon 2017), and was probably

376 related to the development of higher yielding varieties, which formed the basis of maize-
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2 377 dominated agricultural systems and more complex societies after 1000 CE (Smith 2017). Our
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5 378 analysis suggests that the most recent WCR population in the Colorado Great Plains region
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8 379 originated from colonization northward from New Mexico/Texas in the first half of the
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10 380 nineteenth century (Fig. 3). The absence of genetic structure that we observed from
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13 381 Colorado to New York is entirely consistent with the very recent colonization history by the
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15 382 species throughout this large area of great economic importance. This corroborates
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18 383 historical records (Chiang 1973; Metcalf 1983; Gray et al. 2009) and previous population
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21 384 genetics studies (Kim and Sappington 2005; Ciosi et al. 2008; Kim et al. 2008; Coates et al.
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23 385 2009). It also explains the low estimated effective population size of the “Colorado/New
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26 386 York” genetic unit despite large population densities in the field, which is consistent with a
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28 387 still unmet mutation-drift equilibrium.

31 388 The reason for the seemingly late spread of WCR northward, thousands of years after
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33 389 maize was domesticated, is unclear. The genetic bottleneck suffered by the Mexico WCR
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36 390 population around 900 CE may be the signature of a very recent change of host from an
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39 391 unknown plant to maize. Alternatively, it may be a signal of expansion northward that may
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41 392 have depended on the more widespread planting of maize that began about 900 CE. The
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44 393 ability to grow nonrotated maize on the Great Plains was greatly enhanced in the mid-
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47 394 twentieth century by the introduction of sprinkler irrigation systems, soil insecticides, and
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49 395 synthetic fertilizers, and this triggered the eastward expansion of WCR (Gray et al. 2009;
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52 396 Meinke et al. 2009). Maize planted continuously in the same field (i.e., nonrotated maize) is
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54 397 a precondition for buildup of large populations of WCR (Branson and Krysan 1981; Levine
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57 398 and Oloumi-Sadeghi 1991), and thus large numbers of potential emigrants. A high
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59 399 proportion of nonrotated maize in the landscape also is important in facilitating

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400 establishment of an immigrant population (Youngman and Day 1993; Meinke et al. 2009).
401 These circumstances created a habitat bridge that allowed the rapid eastward expansion of
402 WCR into the rain-fed Corn Belt. The same principle, albeit over a much longer time scale,
403 may have been at work in promoting the northward expansion of WCR out of Mexico when
404 maize presence increased in the landscape post-900 CE.

405 In this paper, we have provided quantitative evidence for the first time of the
406 southern origin of WCR in North America. Moreover, our results strongly suggest that the
407 colonization of WCR in North America is very recent. Thus it appears that the species was
408 not gradually co-domesticated with maize, but rather behaved as an invasive species. From
409 its tropical origin, the species has quickly adapted to continental climates and has become
410 one of the worst pests of maize. Considering the estimated chronology of the North
411 American invasion, and the very likely underlying association with key modifications of maize
412 cultural practices, WCR can be considered a product of modern agriculture, i.e. a recent
413 man-made pest (Metcalf 1986).

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624 **Data accessibility**

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626 Data associated with this article (including microsatellite data file, ABC reference tables,

627 input and script files for performing ABC simulations and analyses) are archived in Zenodo:

628 <http://doi.org/10.5281/zenodo.832120>

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630 **Author contributions**

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632 EL and TG designed the study. TS managed the collection of samples. MC, NM and AB

633 genotyped the samples. EL and TG analysed the data. EL, MC, NM, TS and TG wrote the

634 paper. All authors have revised and approved the final manuscript.

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Tables

Scenario	Origin of WCR	Demographic history of oldest population	Time of colonization	Random Forest votes		Posterior probability	
				Core dataset	Alternative dataset	Core dataset	Alternative dataset
S1	Mexico	Recent bottleneck	Recent expansion	694	757	0.7109	0.6731
S2	USA	Recent bottleneck	Recent expansion	9	6	-	-
S3	Mexico	Ancient bottleneck	Ancient expansion	15	2	-	-
S4	USA	Ancient bottleneck	Ancient expansion	5	5	-	-
S5	Mexico	Ancient bottleneck	Recent expansion	268	228	-	-
S6	USA	Ancient bottleneck	Recent expansion	9	2	-	-

Table 1: Description of the competing scenarios and results of the ABC analyses to infer the colonization history of WCR. Results are provided for both core and alternative datasets. The line in bold characters corresponds to the selected (most likely) scenario.

641 **Figure captions**

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Fig. 1: Geographic locations of genotyped site-samples of WCR and genetic units inferred from Bayesian clustering analyses.

Notes: Site-sample names are as in Table S1. The pink areas roughly correspond to the geographic distribution of WCR in North America. Site-samples of the same color belong to the same genetic unit, as assessed by hierarchical procedures applied to the Bayesian clustering methods implemented in STRUCTURE and BAPS (Figures S3 and S4): “Mexico” in green, “Arizona” in yellow, “New Mexico/Texas” in red and “Colorado/New York” in blue.

Fig. 2: Neighbour-joining tree for WCR site-samples based on the chord distance of Cavalli-Sforza & Edwards (1967). Site-sample names are as in Figure 1 and Table S1. Site-samples of the same color belong to the same genetic unit as inferred from STRUCTURE and BAPS (Figures S3 and S4). Bootstrap values calculated over 1000 replications are given as percentages (only values >20% are shown).

Fig. 3: Graphical representation of the most likely scenario of WCR colonization of North America, and main parameter estimations.

Notes: The four genetic units are those inferred from Bayesian clustering analyses. All parameter estimations were performed with samples MX-2, AZ-B, NM and CO representing the “Mexico”, “Arizona”, “New Mexico/Texas” and “Colorado/New York” genetic units, respectively. All displayed parameter values are the medians of posterior distributions (Table S5). $BNsev_i$ = bottleneck severity of population i computed as $[BD_i \times N_{parental\ population\ of\ population\ i} / NF_i]$. M_{ij} is the effective number of migrants per generation from population i to population j backward in time, computed as $m_{ij} \times N_i$; only values above 2 individuals per generation are presented. All arrows are presented forward in time for ease of reading. Dates are presented in years of the Common Era (i.e. CE). Blue lines near the “Colorado/New York” genetic unit represent the well described eastward expansion after the 1940s (Gray et al. 2009).

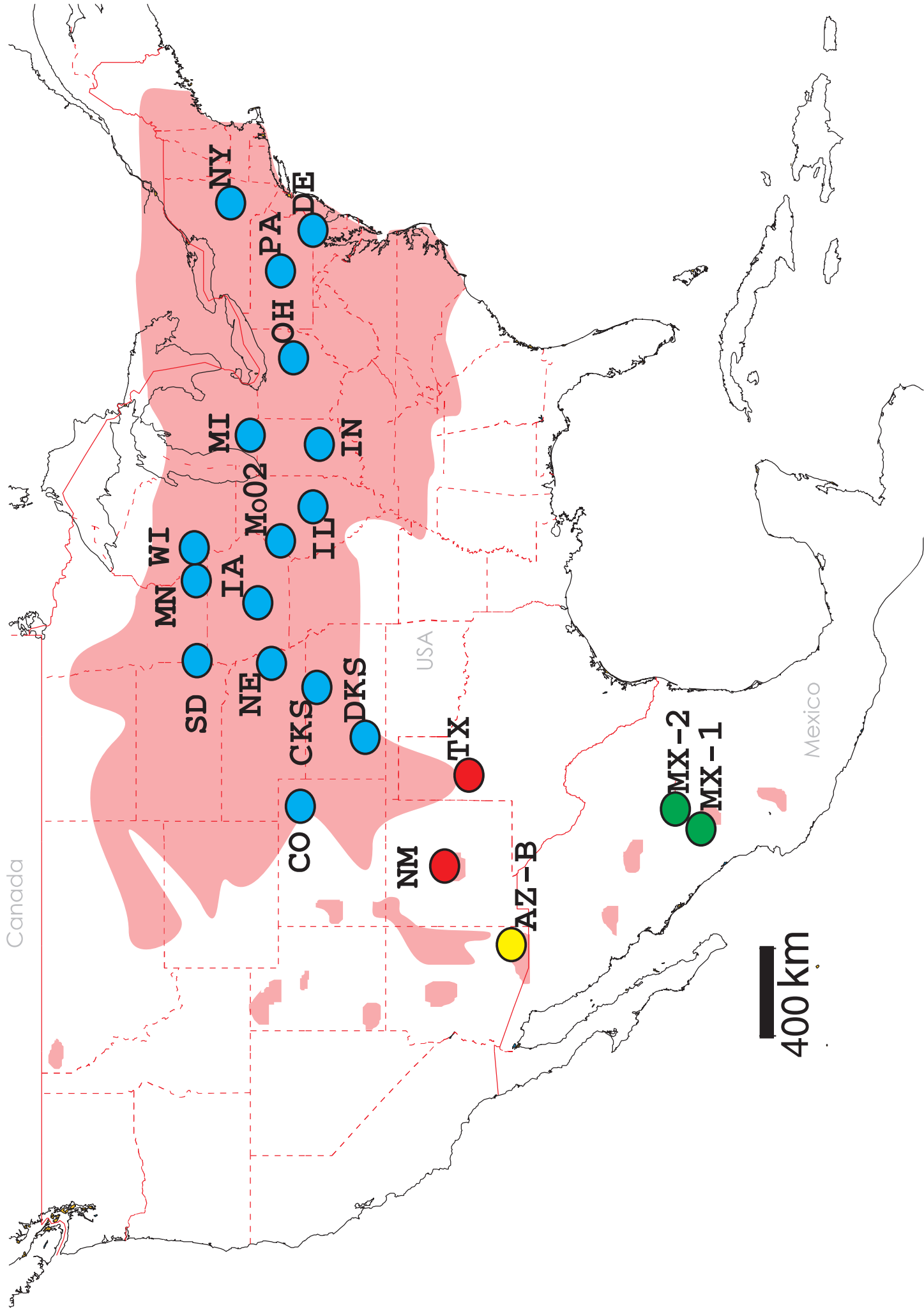


Fig1

Fig2

[Click here to download Figure FIG2.eps](#)

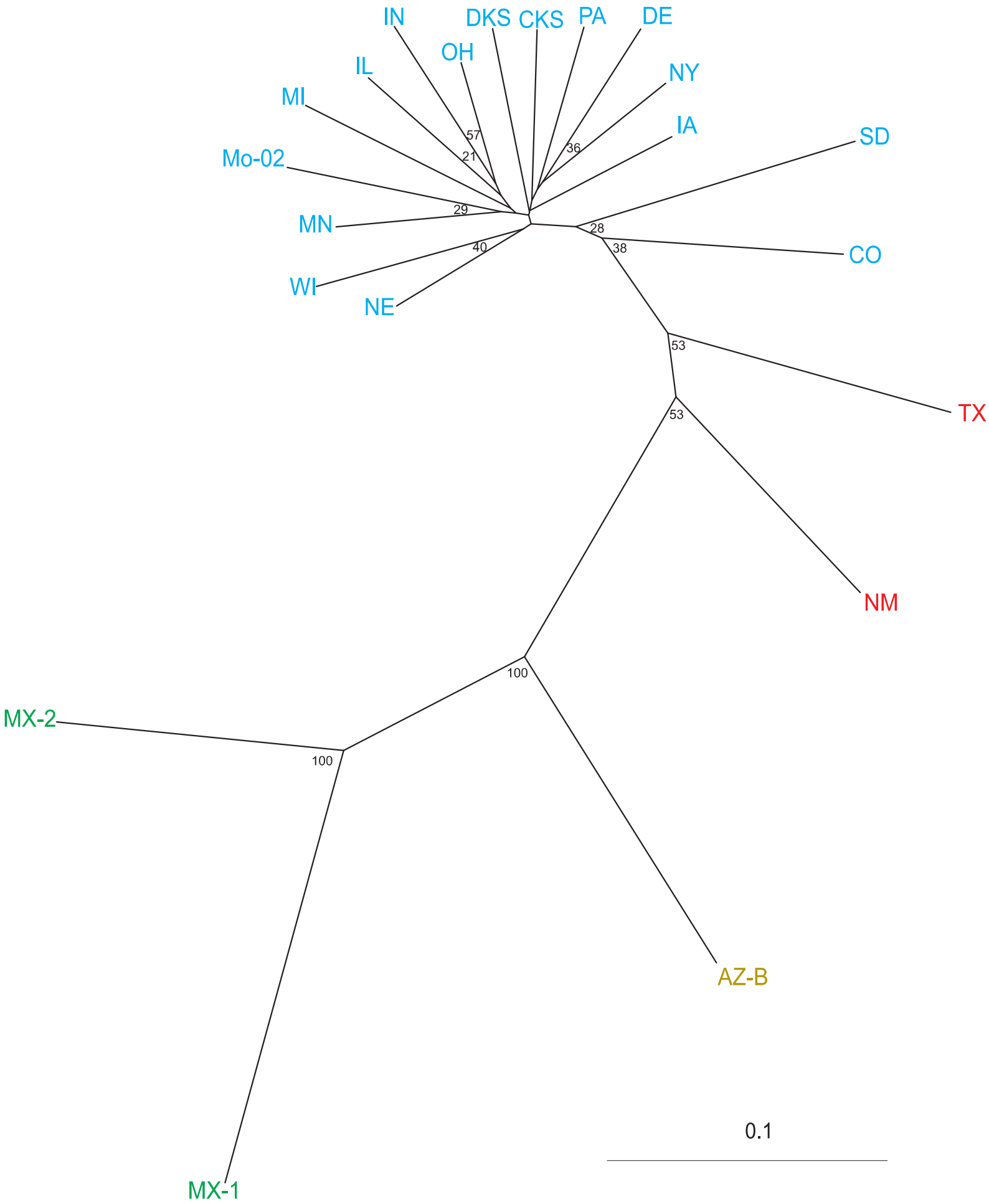


Fig3

