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1 Role of the aryl hydrocarbon receptor in Sugen 5416-induced experimental

2 pulmonary hypertension

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12 **Running title:** Sugen, hypoxia, the AhR and pulmonary hypertension

13 **Clinical Relevance:** The Sugen 5416/hypoxic rat model is a commonly used model of pulmonary hypertension. Sugen is a vascular endothelial growth factor (VEGF) 14 15 inhibitor but to date the mechanism by which it actually facilitates the development of 16 PH is unclear. Here we demonstrate that, at least in part, the mechanism involves 17 activation of the aryl hydrocarbon receptor (AhR) and subsequent increased expression of CYP1A1 in the lung and translocation of AhR from cytoplasm to 18 19 nucleus in human pulmonary artery smooth muscle cells (hPASMCs). This is accompanied by an increase in CYP1A1 and aromatase expression and an increase 20 21 in estrogen synthesis. We show that sugen causes proliferation of blood outgrowth 22 endothelial cells from PAH patients but only causes proliferation of hPASMCs when 23 grown in hypoxic conditions. Sugen can also cause apoptosis in human 24 microvascular pulmonary endothelial cells. Inhibition of AhR can reverse

sugen/hypoxic experimental PH and may be a novel approach to the treatment ofPH.

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37	receptor, estrogen

38

39 Abstract

40 **Rationale:** Rats dosed with the vascular endothelial growth factor (VEGF) inhibitor 41 Sugen 5416 (Su), placed in hypoxia then restored to normoxia has become a widely 42 used model of pulmonary arterial hypertension (PAH). The mechanism by which Su exaccerbates pulmonary hypertension is, however, unclear. Objectives: We 43 44 investigated Su-activation of the aryl hydrocarbon receptor (AhR) in patient human pulmonary arterial smooth muscle cells (hPASMCs) and patient blood outgrowth 45 46 endothelial cells (BOECs). We also examined the effect of AhR on aromatase and 47 estrogen levels in the lung. Methods, Measurements and Main Results: Protein and mRNA analysis demonstrated that CYP1A1 was very highly induced in the lungs 48 49 of Su/hypoxic (Su/Hx) rats. The AhR antagonist CH223191 (8mg/kg/day) reversed 50 the development of PAH in this model in vivo and normalized lung CYP1A1 51 expression. Increased lung aromatase and estrogen levels in Su/Hx rats were also 52 normalized by CH223191 as was AhR nuclear translocator (ARNT [HIF-1 β]) which is 53 shared by HIF-1 α and AhR. Su reduced HIF1 α expression in hPASMCs. Su induced 54 proliferation in BOECs and increased apoptosis in human pulmonary microvascular 55 endothelial cells (hPMECs) and also induced translocation of AhR to the nucleus in hPASMCs. Under normoxic conditions, hPASMCs do not proliferate to Su. However 56 57 when grown in hypoxia (1%) Su induced hPASMC proliferation. Conclusion: In 58 combination with hypoxia, Su is proliferative in patient hPASMCs and patient BOECs 59 and Su/Hx-induced PAH in rats may be facilitated by AhR-induced CYP1A1, ARNT 60 and aromatase. Inhibition of the AhR receptor may be a novel approach to the 61 treatment of pulmonary hypertension.

62 **249 words**

63

64 Introduction

65 Pulmonary arterial hypertension (PAH) is a progressive disease leading to right heart failure. This condition is defined by vascular remodelling and complex vascular 66 67 lesion formation arising from accelerated proliferation in pulmonary endothelial, smooth muscle and fibroblast cells (1). Many vasoactive factors have been 68 69 associated with the associated pathobiology including vascular endothelial growth 70 factor (VEGF); however VEGF can exert both angiogenic and anti-angiogenic effects 71 and its role in PAH is still unclear (2). Curiously, one administration of Sugen 5416 72 (Su), a VEGFR inhibitor (with affinity at VEGFR2>VEGFR1) to rats combined with 73 hypoxic exposure can cause severe experimental pulmonary hypertension (PH) that 74 develops after a subsequent period of normoxia. This 'Su/Hypoxic' (Su/Hx) rat model 75 develops high pulmonary pressures and, in some animals, occlusive/plexogenic-like 76 pulmonary vascular lesions are also observed (3). The mechanism by which the 77 combination of Su and hypoxia causes severe PH is still however, unclear but 78 clarification of this would have important translational value in understanding clinical 79 PAH.

Su has been studied as a potential therapy for advance malignancies. 80 Curiously it has been observed that it has a long lasting inhibitory effect in animal 81 82 tumor models despite it having a very short half-life of around 30 minutes; it is 83 believed the long-lasting effects are due to it accumulating in cells (4, 5). Su is an 84 agonist at the cytoplasmic aryl hydrocarbon receptor (AhR) which is cytoplasmic 85 when unligated (6). The AhR is a member of the basic helix–loop–helix/Per–ARNT– 86 Sim family of heterodimeric transcriptional regulators highly expressed in the lung (7) 87 and influences the major stages of tumorigenesis as well as energy metabolism, lipid 88 metabolism and diet-induced obesity (8, 9). We recently demonstrated that AhR Page 5 of 51

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89 expression is elevated in human pulmonary artery smooth muscle cells (hPASMCs) 90 from PAH patients and that AhR expression may be increased in small occluded 91 pulmonary arteries from the Su/Hx rat model (10). PAH occurs up to four-fold more 92 frequently in women (11) and dysfunctional estrogen synthesis and metabolism may play an important role in the pathobiology of pulmonary hypertension, both clinically 93 94 and experimentally (10, 12-18). The AhR is a major regulator of the estrogen 95 metabolising enzyme CYP1A1 and AhR can also regulate aromatase which is the 96 major enzyme in estrogen synthesis (19). Therefore, the effects of Su on AhR 97 activation in the pulmonary circulation of PAH patients is of interest.

To understand how hypoxia may synergise with the effects of Su on the AhR 98 99 we investigated possible interactions between Su, AhR and hypoxia-inducible factor-100 1α (HIF- 1α) signaling. This is of interest as the AhR nuclear translocator (ARNT/ 101 HIF-1 β) is a common binding partner for the AhR as well as HIF-1 α and there is 102 reciprocal crosstalk between hypoxia and AhR activation both *in-vivo* and *in-vitro* 103 (20). In addition, HIF-1 α has been implicated in the development of PAH (21). Under normoxic conditions, HIF-1 α and HIF-2 α are hydroxylated by prolyl hydroxylase 104 105 (PHD) and complex with von Hippel-Lindau protein (VHL) causing subsequent 106 proteasomal degradation. Under hypoxic conditions, PHD is inhibited and HIF α is 107 stabilized and translocated to dimerize with HIF-1ß in the nucleus. The heterodimer 108 binds to the hypoxia response element (HRE) causing expression of target genes. 109 Factor inhibiting HIF-1 (FIH-1) binds to HIF-1 α and inhibits its transactivation function 110 (22).

In light of these observations, we test the hypothesis that (at least in part) the effects of Su in experimental pulmonary hypertension may be due to activation of AhR and subsequent alterations in estrogen synthesis and CYP1A1 expression. We

- 5
- assess the interactions between Su, AhR and the HIF-1α pathway and translate our
- findings to clinically relevant cells from patients with PAH.
- 116

117 Materials and Methods

- 118 An expanded methods section is available in the online supplement.
- 119 Animal studies
- 120 See online supplement for ethical considerations and housing details.
- 121 The rat model of Su/Hx is described in detail in the online supplement. Briefly, adult
- female Wistar rats were given a single injection of Su 20mg/kg (s.c.) or 0.9% (s.c.)
- saline and exposed to hypoxia for 14 days then retained in normoxia for six weeks.
- 124 CH223191 (8 mg/kg/day, Tocris, UK) was delivered to the animals in the final two
- 125 weeks of the six weeks of normoxic exposure.
- 126 *Hemodynamics*
- 127 Heart rate, right ventricular systolic pressure (RVSP), systemic arterial pressure and
- cardiac output were measured and analyzed as previously described (10, 13). See
- 129 online supplement for details.
- 130 *Right Ventricular Hypertrophy*

Right ventricular hypertrophy (RVH) was assessed by weight measurement of the right ventricular free wall and left ventricle plus septum. The ratio expressed as RV/(LV+S). See online supplement for details.

134 Lung Histopathology

Pulmonary vascular remodelling was assessed as previously described (23, 24).
5µm sagittal sections were obtained from left lungs. Sections were stained with
Elastin/Picrosirius red and microscopically assessed in a blinded fashion. Pulmonary
arteries (25 to 100 µm external diameter) were counted. The arteries were

considered muscularized if they possessed a distinct double-elastic lamina visible for
 at least half the diameter in the vessel cross-section. The percentage of vessels
 containing double-elastic lamina was calculated as number of muscularized
 vessels/total number of vessels counted×100.

143 Human Pulmonary Arterial Cells and proliferation studies

See online supplement for details. Briefly, distal hPASMCs derived from female patients with PAH and human blood outgrowth endothelial cells (BOECs) were prepared from female patients and characterized as previously described (25, 26). See online supplement Table 1 for PASMCs and BOECs patient details. Proliferation studies were carried out in charcoal-stripped media using manual cell counting and Countess II FL Automated Cell Counter (Thermo Fisher Scientific, UK) with 0.4% Trypan blue exclusion for assessment of viability.

151 Human Pulmonary Arterial Cells and proliferation studies in hypoxia

See online supplement for details. hPASMCs were maintained in charcoal-stripped media in hypoxic conditions for 48 hours in a hypoxia incubator chamber (1%; Bilrups Rothenburg, US). Proliferation studies were carried out using manual cell counting.

156 AhR translocation studies

The REAP method was applied to determine AhR protein translocation between the cytoplasm and nuclear fractions in hPASMCs and BOECs. See online supplement for details.

160 Protein Analysis

161 Protein expression in whole lung and hPASMCs was assessed by immunoblotting as

described previously (13, 16) and in online supplement.

163 *qRT-PCR*

- 164 mRNA expression was assessed in the lungs of rats and mice by qRT-PCR as
- described previously (13, 16) and in online supplement.

167 Estrogen Immunoassay

- 168 The levels of E2 were determined by competitive immunoassay in lung samples and
- plasma from female rats from each group. See online supplement for details.

170 Apoptosis

- 171 Apoptosis assays were performed under normoxic conditions between passages 5
- and 8 in human pulmonary microvascular endothelial cells (PMECs) (Promocell, UK)
- 173 from non-PAH donors. See online supplement for details.

174 **Results**

175 AhR and CYP1A1 expression in Su/Hx male and female rat lungs

176 As discussed in the Introduction, we have previously shown that AhR expression is 177 increased in human pulmonary artery smooth muscle cells (hPASMCs) from PAH 178 patients and may be increased in small occluded arteries from Su/Hx rats (10). We 179 therefore examined the protein and mRNA expression of AhR and CYP1A1 in the 180 lungs from Su/Hx rats. As there is sexual dimorphism in PAH we compared male and 181 female lungs to determine any sex differences in expression of AhR or CYP1A1. 182 Figure 1 demonstrates that total AhR is equally expressed in the lungs of control and 183 Su/Hx male and female rats at both protein and mRNA level (Figure 1A, B and E). CYP1A1 expression is the most sensitive marker of AhR activation and Figure 1C 184 185 shows that, in Su/Hx male and female rat lungs, CYP1A1 mRNA expression is 186 increased some 400-600 fold (largely due to the fact that CYP1A1 is not normally 187 constitutively expressed (27)). This resulted in an increase in protein expression of 188 CYP1A1 also (Figure 1D, E).

To determine if AhR activation was specific to the Su/Hx model therefore, we investigated CYP1A1 expression in the lungs from models which were either not exposed to Su or did not require hypoxia to induce the pulmonary hypertensive

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phenotype. We chose to examine hypoxic mice and the normoxic Smad1 knockout
mouse model (28). In these models, lung CYP1A1 expression was actually reduced
(Figure E1, A and B) suggesting Su is required for increased CYP1A1 and hypoxia
alone does not increase CYP1A1.

196 Effect of an AhR antagonist on the development of PH in the Su/Hx rat

Having determined that CYP1A1 is expressed in the Su/Hx rat lung we wish to determine if inhibition of AhR *in vivo* would reverse established PH in this model. The AhR antagonist CH223191 reversed the increase in RVSP, RVH and pulmonary vascular remodelling in the Su/Hx rats (Figure 2 A-D). CH223191 had no effect on systemic arterial pressure, heart rate or cardiac output (Figure E2). LV weights did not change in the different treatment groups.

203 Effect of an AhR antagonist on AhR, ARNT and CYP1A1 expression in the 204 Su/Hx rat

205 We confirmed that total AhR protein expression was not altered in whole lungs from 206 Su/Hx rats and show that CH223191 had no effect on total AhR lung expression 207 (Figure 3A, D). CH223191 reduced the increase in CYP1A1 expression observed in 208 the Su/Hx rats (Figure 3B, D). ARNT expression was elevated in the Su/Hx rats and normalized by CH223191 (Figure 3C, D). We determined expression of AhR and 209 210 CYP1A1 by immunohistochemistry to determine localization in the pulmonary 211 arteries. CYP1A1 under normoxic conditions was expressed mainly in the 212 endothelium and the adventitial layers. In the Su/Hx rats CYP1A1 expression was 213 increased in line with the increase in vascular smooth muscle (Figure 3E). AhR 214 expression was located in the medial layer and whilst total lung AhR expression did 215 not increase in the Su/Hx rat, AhR staining was clearly evident in all the remodelled

vascular smooth muscle cells of small pulmonary arteries from the Su/Hx rats(Figure 3F).

218 Effect of sugen on HIF1α

219 We characterized the role of HIF-1 α in hPASMCs. As a positive control, 220 immunofluorescence demonstrated that 2 hour, but not 24 hour, stimulation with the PHD inhibitor CoCl₂ caused a significant increase in the stabilisation of HIF-1a 221 222 (Figure E3A-D). This was confirmed by immunoblotting in hPASMCs where HIF-1 α 223 was observed in both the cytoplasmic and nuclear compartments of the cell after 2 224 hours treatment with CoCl₂ (Figure E3E). Interestingly, Su treatment caused a 225 significant reduction in both cytoplasmic and nuclear HIF-1a expression hPASMCs 226 as measured by immunoflorescence (Figure E4A-C). This reduction in HIF-1 α by Su 227 was confirmed by immunoblotting (Figure E4D and E). Su had no effect on the 228 protein expression of other regulatory components of the HIF-1 α pathway such as 229 PHD2, VHL or Factor inhibiting hypoxia inducible factor 1 (FIH1) (Figure E4F-I).

Effect of an AhR antagonist on aromatase expression and estrogen in the
 Su/Hx rat lung

We have previously shown that aromatase protein expression can be increased in the lungs from Su/Hx rats using the protocols used in this study (10). Here we show this was reversed following treatment with CH223191 (Figure 4A, B). We measured E2 levels in these lungs and found these to be elevated in the Su/Hx rat lung and normalized by CH223191 (Figure 4C).

237 Effect of Su on the AhR/CYP1A1 axis in hPASMCs

To examine the possibility that Su may activate AhR in hPASMCs we examined the effects of Su on AhR and CYP1A1 expression in hPASMCs. Neither 1 nor 5 μ M Su affected total protein expression of AhR (Figure 5A, B). However, indicative of activation of AhR, Su increased expression of CYP1A1 (Figure 5C, D). During activation, AhR is translocated into the nucleus, therefore total AhR expression may not change. We therefore investigated if Su (1 μ M) could activate AhR and increase its translocation into the nucleus and demonstrated that by 90 minutes there was an increase in translocation of AhR from the cytoplasmic to nuclear fraction (Figure 5E).

246 Effect of Su on hPASMC proliferation in normoxia and hypoxia

We investigated the combined effects of hypoxia and Su in hPASMCs. Su on its own did not cause significant proliferation of hPASMCs (Figure 6A). When grown in a hypoxic environment however, Su caused significant hPASMC proliferation (Figure 6B). We demonstrated that serum starvation caused apoptosis/reduction in cell number as did the positive control resveratrol (Figure 6C). Both Su (1 μ M) and the AhR agonist FICZ (50 nM) also caused apoptosis as demonstrated by reduced cell number (Figure 6C).

254 Effect of Su on BOECs

We wished to investigate the effect of Su in BOECs derived from female PAH 255 256 patients. Su (1µM) increased proliferation of BOECs (Figure 7A) and this was 257 attenuated in the presence of AhR antagonist, CH223191 (Figure 7A). We demonstrated however, that both Su and CH223191 reduced BOEC viability 258 259 assessed by trypan blue exclusion (Figure 7B). To examine the possibility that Su 260 may activate AhR in BOECs, we examined the effects of Su (1µM) on AhR cytosolic 261 to nuclear translocation in BOECs. We observed that after 60 minutes of stimulation 262 with Su, there was a decrease in cytoplasmic AhR while nuclear AhR expression 263 was unchanged (Figure 7C).

264

265 **Discussion**

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266 Here we demonstrate that the long-term effects of Su in experimental pulmonary 267 hypertension may be due in part to its agonist effects on the AhR and subsequent alterations in estrogen synthesis and CYP1A1 expression. We translated our 268 269 findings in cells from patients with PAH. Many compounds affect AhR activity including xenobiotics, drugs, flavonoids, indoles and tryptophan metabolites (7). 270 271 Importantly the lung is exposed to many AhR activators in airborne particulate 272 matter. Indeed, exposure to diesel exhaust can increase pulmonary vascular tone at 273 high cardiac output (29). Functionally, AhR has been shown to play a critical role in 274 vascular development, angiogenesis and cancer (30, 31). Unligated AhR is 275 cytoplasmic, forming a complex with heat shock protein 90 (HSP90) and the co-276 chaperones p23 and protein X-associated protein-2 (XAP2). Upon ligand binding 277 phosphorylation of two protein kinase C sites leads to nuclear translocation of AhR. 278 The AhR-chaperone complex dissociates and forms a heterodimer with ARNT (HIF-279 1 β) in the nucleus. ARNT binds both AhR and HIF-1 α and is shared between the two 280 signalling pathways (20). This heterodimer binds to dioxin-responsive element (DRE), leading to transcription of several genes, including CYP1A1 (7). 281

282 Metabolism of 17β -estradiol (E2) is mediated by several cytochrome P450 283 enzymes (CYP) including CYP1A1 and CYP1B1. CYP1B1 expression in pulmonary 284 arteries is elevated in experimental and clinical PAH and may influence the development of PAH (16). A single-nucleotide polymorphism (SNP) of CYP1B1 (and 285 286 increased 16α -OHE1 in urine) has been associated with right ventricular (RV) function in female PAH patients and could underpin the sexual dimorphism in RV 287 288 failure (17). Indeed, we and others have recently demonstrated that dysfunctional E2 289 synthesis and metabolism may be involved in the increased prevalence of PAH in 290 women (12, 13, 16, 28, 32-34).

Su is an inhibitor of both the VEGFR2 and VEGFR1 but has highest affinity at VEGFR2. It can induce lung cell apoptosis and emphysema (35). When combined with chronic hypoxia in rats, Su causes PH and right heart failure and, in some animals, it can induce obliterative vascular lesions (3). However, the mechanism by which the combined Su/Hx insult causes experimental PH is still unclear. It has been suggested that endogenous VEGFR inhibitors such as VEGF 165b, sVEGFR1 (s-Flt1), Decorin, TNFSF15 and CXCL4 may influence the development of PAH (36).

298 As Su is a ligand for AhR (6) we have investigated the hypothesis that 299 activation of AhR may underpin Su/Hx experimental PH. As CYP1A1 gene 300 expression is the most sensitive marker for AhR activation we firstly examined 301 expression of AhR and CYP1A1 protein and mRNA in lungs removed from rats with 302 PH induced by Su combined with hypoxia (3). The degree of experimental PH 303 induced in this model reported in the literature is extremely variable. It can depend 304 on the strain of rat, the sex of the rat, the protocol as well as the source of Sugen 305 (37). Not all studies report obliterative vascular lesions in this model and in this study 306 we saw too few of these to analyse. However, our degree of experimental PH in 307 terms of RVSP, RVH and remodelling was commensurate with other studies using 308 female rats (38). AhR was expressed at low levels in whole lungs of normoxic and 309 Su/Hx male and female rats. It was expected that total AhR expression would not 310 change as AhR activation normally follows translocation from the cytoplasm to the 311 nucleus rather than an increase in expression. Activation of AhR was confirmed by 312 the marked increase in CYP1A1 mRNA in lungs from the Su/Hx rats with a 600-fold 313 increase in CYP1A1 being observed, due to the fact that CYP1A1 is not normally 314 constitutively active and dependent on AhR for its activation (7). There were also 315 increased levels of CYP1A1 protein in the lungs from the Su/Hx rats. To determine if Page 15 of 51

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316 Su mediated the change in CYP1A1, we examined CYP1A1 mRNA expression in 317 hypoxic rat lung and demonstrated that CYP1A1 expression was decreased when rats were exposed to hypoxia alone. This is consistent with the observation that 318 hypoxia can inhibit AhR signalling and CYP1A1 expression in certain cell lines (39, 319 40). This suggests that the increase in CYP1A1 in the Su/Hx rats was mediated by 320 321 Su. To investigate this further and whether AhR is a potential new target for the 322 treatment of PAH, we examined the effects of the AhR antagonist CH223191 (41) in 323 the Su/Hx rat. We chose to study female Su/Hx rats as we previously showed that (in 324 female Wistar Kyoto rats) that AhR was increased in the lungs of female Su/Hx rats 325 (10) and we have also demonstrated the importance of endogenous E2 to the 326 development of PH in the female Su/Hx rat (13). We adopted CH223191 as a 327 selective and potent AhR inhibitor with no reported off-target effects that would 328 influence our results (42, 43). The results demonstrated that RVSP, RVH and 329 pulmonary vascular remodelling were all markedly increased in the Su/Hx rats. 330 There was no effect of CH223191 on systemic pressures, heart rate or cardiac 331 output. The experimental PH was accompanied by increase expression of CYP1A1 332 and ARNT which was normalized by the AhR antagonist. These results suggest that 333 Su/Hx-induced PH is associated with AhR activation of CYP1A1 as well as increased 334 expression of ARNT/HIF-1 β , providing a mechanism of cross-talk between the AhR and hypoxia. Su decreased HIF-1 α expression in hPASMCs whilst having no effect 335 336 on nuclear translocation of HIF-1 α or affecting other aspects of HIF-1 α signalling. 337 Whilst increased HIF-1 α has been observed in lungs from patients with PAH (21), others have also reported a decreased expression of HIF-1 α in hPASMCs from 338 339 patients and suggested this may underlie increased pulmonary vascular contraction 340 (44).

By immunohistochemistry we determined that CYP1A1 was mainly expressed in the endothelium in the normoxic rat pulmonary arteries. This is consistent with the endothelium being the first line of defence, via the AhR, between the arteries and circulating vasoactive/harmful substances. However CYP1A1 expression was also observed in the medial layer of small pulmonary arteries from the Su/Hx rats, suggesting an effect of Su on CYP1A1 expression in PASMCs from these rats.

Aromatase (CYP19A1) is a member of the cytochrome P450 superfamily and 348 synthesizes E2 through the aromatization of androgens, specifically testosterone and 349 350 androstenedione. We have recently demonstrated that pulmonary artery smooth 351 muscle cells express aromatase and that aromatase expression is increased in 352 pulmonary arteries from PAH patients (13). We have shown previously that inhibition 353 of aromatase with anastrozole has a therapeutic effect in animal models of PH 354 (including the Su/Hx rat) (13). In addition, anastrozole has been shown to be 355 clinically effective in PAH (12). It has previously been shown that AhR can induce the CYP19 (aromatase) gene (19). We confirmed that aromatase protein expression was 356 357 increased in the lungs from Su/Hx rats and that this was normalized by the AhR 358 antagonist. Consistent with this we also observed an increase in E2 in the lungs from 359 the Su/Hx rats which was also normalized by the AhR antagonist. Interestingly the 360 increase in lung E2 appears to be variable between species and studies; as in a 361 previous study where we induced PH in Wistar Kyoto rats, there was no increase in lung E2 despite increased aromatase expression (10). It is possible that given 362 363 different experimental animals at different times of year there is variability in the 364 metabolism of E2 in the lung which introduces variability in absolute E2 levels which

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we need to consider as a potential limitation in the interpretation of these studies inanimal models.

These studies support the hypothesis that Su can regulate AhR and CYP1A1 367 in the lung and this contributes to experimental PH. To examine if this translates into 368 humans, we examined the effect of Su in hPASMCs derived from females with PAH 369 370 as well as human pulmonary endothelial cells and female PAH-derived BOECs. Su 371 had no effect on total AhR expression in hPASMCs. However, this might not be 372 expected as AhR is activated by translocation from the cytoplasm to the nucleus. We 373 therefore examined the effect of Su on AhR protein levels in the cytoplasm and the 374 nucleus and showed that Su did cause an increase in nuclear AhR expression in 375 hPASMCs. However, in BOECs, the cytoplasmic AhR expression decreased whilst 376 the nuclear expression remained constant. Regulation of subcellular AhR localization 377 is complex and dynamic involving mechanisms for retention and stabilization of AhR 378 in the cytosol via XAP2 and continuous nuclear export. Also, binding of ligand can 379 increase the rate of nuclear AhR import without stopping nuclear AhR export (45). 380 Consistent with this activation of AhR, Su increased CYP1A1 protein expression in 381 the hPASMCs. E2 can be converted 2-hydroxyestradiol (2-OHE2) by CYP1A1/2, CYP1B1 and CYP3A4. CYP1A1 also metabolizes estrone (E1) and E2 to 2-OHE2 382 383 and 16α -hydroxyestrone (16α -OHE1); these metabolites are mitogenic in hPASMCs and may contribute to the development of PAH (16, 46). Unfortunately, at this time 384 385 we are still developing accurate methods for measuring low concentrations of E2 metabolites from cells so we were unable to measure these directly. The effects of 386 387 AhR on E2 synthesis and metabolism are of interest as major PAH registries report a greater incidence of PAH among women than men (47) and E2 metabolism is 388 implicated in the increased penetrance of heritable PAH (HPAH) among female 389

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patients harbouring a mutation in the gene encoding bone morphogenetic protein
 receptor-II (BMPRII) (18).

392 Su requires a 'second hit' of hypoxia followed by a period of normoxia to induce 393 experimental PH although this can be strain dependent (37). We assessed whether hypoxia could influence the effect of Su in hPASMCs by determining proliferation 394 395 whilst culturing cells in either normoxia or hypoxia. We show that Su did not induce 396 proliferation in normoxic cells but did in hypoxic cells. This demonstrates synergy 397 between Su and hypoxia in hPASMCs. Given that ARNT protein levels are 398 normalized by the AhR antagonist, it is possible that hypoxia synergizes with AhR 399 activation via ARNT.

400 It is thought that endothelial cell apoptosis may initiate vascular remodelling in 401 experimental PAH. This could cause degeneration of pre-capillary arterioles or select 402 apoptosis-resistant ECs that contribute to "angioproliferative" plexiform lesions (48). 403 As Su can induce apoptosis we studied this in human pulmonary endothelial cells 404 and showed that both Su and an AhR agonist could induce apoptosis in these cells. 405 Apoptosis is providing a further potential role for the AhR in pulmonary vascular 406 remodelling. Endothelial cells are more subject to contact inhibition in intact arteries 407 than hPASMCs and do not normally proliferate. However it has been shown that 408 BOECs from patients with PAH can exhibit increased proliferation (49). Indeed, it is 409 considered that there is a key role for dysregulated endothelial proliferation in the 410 development of clinical PAH (50). We demonstrate that Su can induce proliferation of 411 BOECs from patients which could perhaps precede and contribute to the ability of Su 412 to increase the development of occlusive lesions in some animals. The slight 413 decrease in cell viability caused by Su is likely to be a consequence of contact 414 inhibition occurring in these cultures.

415 In summary, our data provides new insight into potential mechanisms behind the 416 Su/Hx model. The results suggest that Su may activate AhR nuclear translocation and subsequent activation of CYP1A1, apoptosis and aromatase expression. The 417 418 resulting increase in E2 synthesis and metabolism, and apoptosis may contribute to 419 experimental PH. We also demonstrate directly that Su and hypoxia synergize, 420 perhaps via ARNT, to cause hPASMC proliferation. Our study also suggests that 421 inhibition of AhR may be a potential novel approach to the treatment of PAH should 422 these results translate to the human situation. This is summarized in Figure 7. 423 Acknowledgements: We would like to thank Dr Dawid Walas for his assistance in

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425

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612 Figure legends

Figure 1. The expression of AhR and CYP1A1 in lung tissue of Sugen/Hypoxic 613 614 (Su/Hx) animal model. The expression of AhR mRNA (A) and protein (B) and expression of CYP1A1 mRNA (C) and protein (D) in whole lung from male and 615 female Su/Hx rats and their normoxic litter-mates (n = 3-4 per group, repeated in 616 triplicate). Representative immunoblots of AhR and CYP1A1 protein expression in 617 whole lung from male and female Su/Hx rats and their normoxic litter-mates (E). 618 619 Vertical lines have been added to clarify experimental groups. Data displayed as mean ± SEM. ***P < 0.001, ****P < 0.0001 determined by one-way ANOVA with 620

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Tukey's post-hoc test. AhR = Aryl hydrocarbon receptor; CYP1A1=Cytochrome P450
enzyme 1A1; CYP1B1=Cytochrome P450 enzyme 1B1; RQ = relative quantity.

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Figure 2. Effect of the AhR antagonist CH223191 on Sugen/Hypoxic (Su/Hx) 624 pulmonary hypertension in female rats. (A) Right ventricular systolic pressure 625 626 (RVSP, n=5-6 per group), (B) Right ventricular hypertrophy (RVH, n=8 per group) 627 and (C) percentage of remodelled arteries in lungs without treatment (control), with 628 CH223191 alone, Su/Hx treatment with vehicle or CH223191, n=5-6. (D) 629 Representative images showing elastic laminae stained with Elastin/picrosirius red. 630 Scale bar represents 20µm. Data represents mean ±SEM. * P<0.05, **P<0.01 631 ***P<0.001 as indicated, determined by one way ANOVA followed by Bonferroni 632 post-hoc test.

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Figure 3. Effect of the AhR antagonist CH223191 on protein expression of AhR, 634 CYP1A1 and ARNT in female rat lung. AhR expression (n=4) (A), CYP1A1 635 636 expression (B) ARNT (C) expression with representative immunoblots (n=4-6) (D). 637 C=Control, CH=CH223191, Su/Hx=Sugen/hypoxic rats, Su/Hx +CH= sugen/hypoxic rats treated with CH223191. Representative CYP1A1 immunostaining in pulmonary 638 639 arteries from rats. Scale bar: $50\mu m$. (E) Representative AhR immunostaining in 640 pulmonary arteries from rats. Scale bar: 50µm (F). Data is shown as mean ±SEM. * 641 P<0.05, as indicated, determined one way ANOVA followed by Bonferroni post-hoc 642 test.

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Figure 4. Effect of an AhR antagonist on aromatase expression and estrogen in the Su/Hx rat lung. Aromatase expression in female rat lung, (n=3) (A) and

representative immunoblot (B). Local estrogen levels within rat female lung (n=4-5).
(C) C=Control, CH=CH223191, Su/Hx=Sugen/hypoxic rats, Su/Hx +CH=
sugen/hypoxic rats treated with CH223191. Data is shown as mean ±SEM. * P<0.05
as indicated, determined one way ANOVA followed by Bonferroni post-hoc test.

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651 Figure 5. Effect of Sugen on AhR and CYP1A1 expression in hPASMCs. AhR (A,B) 652 and CYP1A1 (C,D) protein levels in PASMCs from female patients with PAH stimulated with 1 and 5µM SU5416 for 24 hours (n=3-4 different cell lines). 653 654 Representative western blots (B,D) have had irrelevant lanes removed on the right 655 hand side. Sugen caused nuclear translocation of aryl hydrocarbon receptor (AhR) 656 after 30, 60 and 90 minutes (E) (n = 3 for all groups, * P<0.05 as indicated, 657 determined by area under the curve). AhR protein expression was normalized to α -658 tubulin and nucleoporin as markers for cytosolic and nuclear enrichment, 659 respectively. Data is displayed as a mean ±SEM. * P<0.05 as indicated, determined 660 by one way ANOVA followed by Bonferroni post-hoc test.

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Figure 6. Sugen stimulates proliferation of female PAH patient PASMCs under 662 hypoxia, but not under normoxia. Stimulation with Sugen had no effect on 663 664 proliferation in female hPASMCs in normoxia (A), however, in hypoxia 1 μ M Sugen 665 5416 induced cell proliferation (B), n=4, repeated 3 times. Stimulation with 1 µM 666 Sugen 5416 and aryl hydrocarbon receptor (AhR) agonist FICZ induced a decrease 667 in cell number of female pulmonary microvascular endothelial cells (C). 100 µM 668 Resveratrol was used as positive control, while endothelial cell growth media served 669 as negative control for apoptosis, n=4, repeated 3 times. Data is displayed as mean 670 ±SEM. * P<0.05, **P<0.01 ***P<0.001 as indicated, determined one way ANOVA

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followed by Bonferroni post-hoc test. FICZ=5,11-Dihydro-indolo[3,2-b]carbazole-6carboxaldehyde, 6-Formylindolo[3,2-b]carbazole.

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Figure 7. Sugen stimulates proliferation of BOECs from female patients with PAH. 674 Stimulation with Sugen increased proliferation in female PAH-derived BOECs (A), 675 676 however, both aryl hydrocarbon receptor (AhR) antagonist 1 µM CH223191, and 1 677 µM Sugen 5416 reduced cell viability in BOECs by trypan blue exclusion (B), n=3, repeated 3 times. Data is displayed as mean ±SEM. * P<0.05, **P<0.01 as indicated, 678 679 determined by one way ANOVA followed by Bonferroni post-hoc test. Sugen caused 680 nuclear translocation of AhR after 60 minutes (C) AhR protein expression was 681 normalized to α -tubulin and nucleoporin as markers for cytosolic and nuclear 682 enrichment, respectively. Data is displayed as a mean ±SEM. * P<0.05 as indicated, 683 determined by area under the curve. (D) Our data suggest that Su may activate AhR nuclear translocation and subsequent activation of CYP1A1, apoptosis and 684 685 aromatase expression. The resulting increase in E2 synthesis and metabolism may 686 contribute to the experimental PH. We also demonstrate directly that Su and hypoxia 687 synergize, perhaps via ARNT, to cause hPASMC proliferation; suggesting inhibition 688 of AhR may be a potential new approach to the treatment of PAH. AhR, Aryl 689 Hydrocarbon Receptor; ARNT (HIF1ß), Aryl Hydrocarbon Receptor Nuclear Translocator; CYP1A1=Cytochrome P450 1A1; EC, Endothelial Cell; PAH, 690 691 Pulmonary Arterial Hypertension; PASMCs, Pulmonary Arterial Smooth Muscle Cell.

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Supplement text

Methods

Animal studies

Ethical information

All experimental procedures were carried out in accordance with the United Kingdom Animal Procedures Act (1986) and with the "Guide for the Care and Use of Laboratory Animals" published by the US National Institutes of Health (NIH publication No. 85-23, revised 1996), and ethical approval was also granted by the University of Glasgow Ethics Committee. Experimental procedures using hPASMCs conform to the principles outlined in the Declaration of Helsinki. Experimental procedures using human pulmonary artery smooth muscle cells conform to the principles outlined in the Declaration of Helsinki. All non-PAH human lung biopsies were confirmed as macroscopically normal and collected from lung cancer patients undergoing pneumonectomy with no reported presence of PAH.

Housing details

Rodents were housed in a 12-hour light dark cycle with access to food and water ad libitum. Wistar female rats, aged 9 weeks, were purchased from Harlan, UK. The animals were housed in a 12-hour light-dark cycle with access to food and water ad libitum. Animals were housed together to promote synchronisation of their estrous cycles. In order to ensure animals were synchronising together, a blunt, shortened tip of a Pasteur pipette was placed at the vaginal orifice. One drop of PBS was gently expelled into the vagina and aspirated back before being transferred to a microscope slide. Smears were examined microscopically and classified as to the stage of the cycle.

In vivo Hemodynamic Measurements

Animals were anaesthetically induced in 3% (v/v) isoflurane and then maintained at 1.5-2% (v/v) isoflurane supplemented with a constant flow of 5% (v/v) oxygen. Right ventricular systolic pressure (RVSP) measurements were taken using a Polyimide

Mikro-Tip pressure volume catheter (ADI instruments SPR-869NR); 12.5cm effective length, with four electrodes and a pressure sensor centered between E2 and E3. The catheter was used as per the manufacturer's instructions and attached to corresponding software (LabChart Pro). This catheter was inserted into the jugular vein and guided into the right ventricle of the heart to measure RVSP. After RVSP was determined, the carotid artery was isolated and the same catheter used to determine mean systemic arterial pressures. This pressure- volume (PV) loop system also generated the cardiac output (CO) data. Blood was collected immediately in a heparinised syringe for plasma analysis.

Right ventricular hypertrophy and tissue harvest

Immediately following hemodynamic assessment, the heart and lungs were flushed with ice-cold PBS at a low pressure to clear peripheral blood cells. The right lung was excised for molecular analysis. The left lung was inflated with 10% (v/v) neutral buffered formalin (NBF) and left in NBF solution for 48 hours before paraffin processing and embedding for immunohistological analysis. Right ventricular hypertrophy (RVH) was assessed by the Fulton Index (dry weight of the right ventricle/ (dry weight of the left ventricle + septum). Animals assigned to hypoxic conditions were placed in a hypobaric chamber (atmospheric pressure 550 mbar) for two weeks and then placed in normal room pressure (1013 mbar) for a further six weeks. CH223191 (8mg/kg/day, Tocris, UK) dosing was administered to the animals by oral gavage in the final two weeks of being at room pressure (reversal study).

Charcoal-Stripped Fetal Bovine Serum

Fetal bovine serum (FBS; Sera Labs, UK) was charcoal-stripped twice to remove estrogens. Dextran-coated charcoal (1% (w/v), Sigma-Aldrich, UK) in FBS was agitated gently overnight at 4°C. Samples were centrifuged at 1811 g at 4°C for 30 minutes. The stripped serum was decanted and filtered through a 0.22µm filter.

Human pulmonary arterial cells and proliferation studies

Human PAH patient PASMCs and BOECs were provided by Professor Nicholas W. Morrell (University of Cambridge). We chose to study cells from female patients as these were most readily available and reproducible, since most PAH patients are female. Female BOECs were cultured from the peripheral blood of three PAH patients according to previous studies (1). BOECs were used between passages 4 and 5. Patient characteristics are shown in Table 1. Female hPASMCs were explanted from the distal pulmonary microvasculature from subjects with PAH. Assays were performed between passages 5 and 8. Cells were seeded in 24-well plates (for cell proliferation) and 6 well plates (for protein, RNA or analysis) at a density of 10,000 cells per cm². Cells were grown to 50-60% confluency and then synchronized by serum-deprivation (0.2% (v/v) charcoal-stripped FBS) in phenol-red free DMEM (Invitrogen, UK) for 24 hours for all experiments. Proliferation studies were carried out in charcoal-stripped media using either manual cell counting or Countess II FL Automated Cell Counter (Thermo Fisher Scientific, UK) with 0.4% Trypan blue exclusion for assessment of viability.

Human pulmonary arterial cells and proliferation studies in hypoxia

Female hPASMCs (as above) between passages 5 and 8 were used to assess the effects of Sugen on proliferation in hypoxic conditions. Cells were seeded in 24-well plates at a density of 10,000 cells per cm². Cells were grown to 50-60% confluency and then synchronized by serum-deprivation (0.2% (v/v) charcoal-stripped FBS) in phenol-red free DMEM (Invitrogen, UK) for 24 hours for all experiments. Cells were maintained in charcoal-stripped media in hypoxic conditions (1% O₂, 5% CO₂) for 48 hours in hypoxia incubator chamber (Bilrups Rothenburg, US). Proliferation studies were carried out using either manual cell counting or Countess II FL Automated Cell Counter (Thermo Fisher Scientific, UK) with 0.4% Trypan blue exclusion for assessment of viability.

AhR translocation in hPASMCs

The REAP method was applied to determine AhR protein translocation between the cytoplasm and nuclear fractions in PAH patient hPASMCs and BOECs (2). Cells were grown in monolayer in 10 cm dishes, washed with ice cold PBS, collected in PBS, and centrifuged for 10 seconds at 10,000 rpm. Then the supernatant was removed, and the pellet was re-suspended in 900 μ l of 0.1% (v/v) NP-40 (Abcam ab142227), triturated and 300 μ l removed as whole cell lysate and added to 100 μ l of

4x NUPAGE sample buffer. Remaining material (600 μ I) was centrifuged as before, and 330 μ I of supernatant was removed as the cytosolic fraction and added to 100 μ I of 4x NU sample buffer (ThermoFisher Scientific, UK). The remaining supernatant was removed and pellet re-suspended in 1 ml of 0.1% NP-40 (in PBS, Sigma, UK) and centrifuged. The supernatant was discarded and the pellet re-suspended in 90 μ I of NUPAGE sample buffer as the nuclear fraction. Nuclear and cytoplasmic fractions were confirmed by western blotting for nucleoporin/lamin A and alpha tubulin respectively

Protein Analysis

Protein expression was assessed by immunoblotting in whole lung and hPASMCs. Whole lung rat samples were homogenized and hPASMCs were lysed in ice-cold 1% (v/v) lauryl maltoside (Abcam, UK) solution in PBS. Protein concentrations were determined by nanodrop (ND-1000 spectrophotometer (Thermo Scientific, UK). 20µg of protein was loaded for hPASMCs and whole lung homogenates, for protein identification by SDS-PAGE and immunoblotting. Protein expression was assessed using the following antibodies: anti-AhR (ab84833 1:500), anti-CYP1A1 (Abcam ab79819 1:1000), anti-ARNT (Cell signalling 3361 1:1000), anti-aromatase (Abbexa, abx13974 1:200), anti-HIF-1α (BD, 610958) anti-PHD2 (Cell Signaling #4835 1:1000), anti-VHL (Cell Signaling #2738 1:1000) and FIH1 (Novus NB100-428 1:500) by overnight incubation at 4°C. Membranes were then incubated with appropriate HRP-conjugated secondary antibodies. Immunoblots were developed using Pierce™ ECL Western Blotting Substrate (Life Technologies) or EMD Millipore Immobilon™ Western Chemiluminescent HRP Substrate (ECL) (Fisher Scientific), and normalized to either GAPDH (Abcam UK, ab8264; 0.2µg/ml) or beta actin (Sigma, A5441). Densitometrical analysis was performed using TotalLab TL100 software.

Immunoflorescence

Cellular localization of HIF-1α in hPASMCs was assessed by immunoflorescence. Briefly, cells were grown on glass coverslips until 50-60% confluent. Stimulated cells were then washed in PBS and fixed in 4% paraformaldehyde (PFA) for 15 minutes at room temperature. Cells were then permeabilized with 0.2% Triton X-100/PBS (Sigma, UK) and blocked with 2%BSA/PBS with 0.05% Tween-20 (Sigma, UK). HIF- 1α primary antibody (1:250, Novus Biologicals, NB100-134) was added to the coverslips and incubated overnight at 4°C. Cells were then washed in PBS and incubated with secondary antibody for 1 hour at room temperature (Alexa goat antimouse 488, ThermoFisher Scientific, UK). After a further PBS wash, ProLong[™] Diamond antifade mountant with DAPI (ThermoFisher Scientific, UK) was applied to the coverslips and mounted on glass slides for imaging. Images were acquired using an LSM-510 laser-scanning confocal microscope (Zeiss, Germany). Images were then analysed using ImageJ and mean pixel intensity was used to determine relative florescence within each cell relative to cellular area.

qRT-PCR

Total RNA was extracted using miRNeasy mini kit (Qiagen, Germany) according to the manufacturer's instructions. RNA concentration was determined using Nanodrop 1000 spectrophotometer (Thermo Scientific). Expression of mRNA of CYP1A1 (Rn00487218_m1, Mm00487218_m1) and AhR (Rn00565750_m1) was assessed by Taqman qRT-PCR. To obtain a fold change mRNA expression data was normalised to β_2 microglobulin (Rn00560865_m1, Mm00437762_m1).

Lung immunolocalization

Additional sections were stained using anti-AhR (Abcam ab153744 1:200), anti-CYP1A1 (Abcam, ab79819 1:100) antibodies. An anti-rabbit IgG secondary antibody was used for each primary antibody (Vector Laboratories ImmPress kit) and protein immuno-localization was visualized with the DAB substrate kit (Vector labs UK (sk-4600) for CYP1A1 and Vector Red substrate kit (sk-5100).

Estrogen Immunoassay

The levels of 17β-estradiol were determined by competitive immunoassay in lung samples from female rats from each group. 20µg of protein was loaded and assayed in duplicate as per the manufacturer's instructions (Demeditec, USA). The plate was read at a wavelength of 405nm for kinetic and end point measurements (SpectraMax M2 plate reader, Molecular Devices, California, USA).

Apoptosis

Apoptosis assays were performed between passages 5 and 8 in pulmonary microvascular endothelial cells (PMECs) (Promocell, UK). Cells were grown to ~90% confluence and then synchronized by serum-deprivation in serum-free basal phenol-red MV endothelial cell media (Promocell, UK) for 2 hours for all experiments. Cells were then stimulated with Sugen (Novartis, UK), 50 nM 5,11-Dihydroindolo[3,2-b]carbazole-6-carboxaldehyde (FICZ, Sigma, UK) and 100 µM Resveratrol (Sigma, UK), which served as positive control for apoptosis, for 24 hours in 2% charcoal-stripped basal EC media. Changes in cell number were assessed with Countess II FL Automated Cell Counter (ThermoFisher Scientific, UK).

CYP1A1 expression in the lungs of female and male hypoxic mice compared with their normoxic controls. n=4-5 (A). CYP1A1 expression in the lungs of female and male mice heterogeneous for the Smad1 gene (Smad1+/-) compared with their wildtype (WT) controls. n=4-6. Data displayed as mean \pm SEM. *P < 0.05, **P < 0.01 determined by one-way ANOVA with Tukey's post-hoc test. CYP1A1=Cytochrome P450 enzyme 1A1; RQ = relative quantity; F=female; M=male; WT=wildtype.

Figure E2

Mean Systemic Arterial Pressure (mSAP), cardiac output and heart rate in female rats exposed to CH223191, with and without Su/Hx. mSAP (A), heart rate (B), cardiac output (C). Data was analysed by one way ANOVA followed by Bonferroni post test, n=6-8. Su/Hx=sugen/hypoxic.

Figure E3

HIF-1 α protein (green) localization in the cytoplasm and nuclei of hPASMCs before and after treatment with 200 μ M CoCl₂ at 2 hour and 24 hour time points (A–D). Nuclei were counterstained with DAPI (blue). Scale bar=50 μ m. Relative HIF-1 α protein expression in cytoplasmic vs nuclear after addition of 200 μ M CoCl₂ (E). Lamin-A and GAPDH were used as loading controls for nuclear and cytoplasmic compartments, respectively. n=3-4 biological replicates. Data is displayed mean ± SEM. *P < 0.05, determined by one-way ANOVA with Tukey's post-hoc test.

Figure E4

HIF-1 α protein (green) localization in the cytoplasm and nuclei of hPASMCs before and after treatment with Sugen 5416 (5 μ M) for 24 hours Nuclei were counterstained with DAPI (blue). Scale bar=50 μ m (A-C). Cytoplasmic and nuclear levels of HIF-1 α protein before and after 24 hour treatment with Sugen 5416 (5 μ M). Lamin-A and GAPDH were used as loading controls for nuclear and cytoplasmic compartments, respectively (D) and representative immunoblots (E). Whole cell protein levels of VHL (F), PHD2 (G), FIH1 (H) and representative immunoblots (I). n=3-4 biological replicates. Data is displayed mean \pm SEM. *P < 0.05, **P<0.01, ***P<0.001 determined by one-way ANOVA with Tukey's post-hoc test.

Α









С







С





Е





 Table 1 Age (where known) and disease status of patients (all female) from whom cells were derived.

Cell type	Cell line	Age	Disease status
Female hPASMC	35MP	41	BMPRII mutant (N903S)
	37MP	24	IPAH
	38MP		IPAH
	73MP	30	BMPRII mutant (R899X)
	113MP	45	IPAH
	115MP	53	Associated PAH (Congenital Heart Disease)
Female BOEC	PAH9	62	IPAH
	PAH15	24	IPAH
	B4	37	BMPRII mutant (W9X)

References

1. Ormiston ML, Toshner MR, Kiskin FN, Huang CJZ, Groves E, Morrell NW, Rana AA. Generation and Culture of Blood Outgrowth Endothelial Cells from Human Peripheral Blood. *J Vis Exp* 2015.

2. Suzuki K, Bose P, Leong-Quong RY, Fujita DJ, Riabowol K. REAP: A two minute cell fractionation method. *BMC Research Notes* 2010; 3: 294.

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Е



Figure 2



D





Control CH223191

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F



Figure 4



Figure 5 f 51





Time (mins)

Α

В



С



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