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Biomarker profiles of Acute Heart Failure Patients with a Mid-Range Ejection Fraction

Short title: Biomarkers in acute heart failure with a mid-range ejection fraction.

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- 51 *Abbreviations:*
- 52 AHF: acute heart failure.
- 53 HF: heart failure
- 54 HFmrEF: heart failure with a mid-range ejection fraction
- 55 HFpEF: heart failure with a preserved ejection fraction.
- 56 HFrEF: heart failure with a reduced ejection fraction.
- 57 LVEF: left ventricular ejection fraction.

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73 **Abstract.**

74 **Objectives:** We used biomarker profiles to characterize differences between patients with acute
75 heart failure with mid-range ejection fraction (HFmrEF) and compare them to patients with a
76 reduced (HFrEF) and preserved (HFpEF) ejection fraction.

77 **Background:** Limited data is available on biomarker profiles in acute HFmrEF.

78 **Methods:** A panel of 37 biomarkers from different pathophysiological domains (e.g., myocardial
79 stretch, inflammation, angiogenesis, oxidative stress, hematopoiesis) were measured at admission
80 and after 24h in 843 AHF patients from the PROTECT trial. HFpEF was defined as LVEF
81 $\geq 50\%$ (n=108), HFrEF as LVEF $< 40\%$ (n=607) and HFmrEF as LVEF 40-49% (n=128).

82 **Results:** Hemoglobin and BNP levels (300 pg/mL (HFpEF); 397 pg/mL (HFmrEF) 521 pg/mL
83 (HFrEF, $p_{\text{trend}} < 0.001$) showed an upward trend with decreasing LVEF. Network analysis showed
84 that in HFrEF interactions between biomarkers were mostly related to cardiac stretch, whereas in
85 HFpEF, biomarker interactions were mostly related to inflammation. In HFmrEF biomarker
86 interactions were both related to inflammation and cardiac stretch. In HFpEF and HFmrEF (but not
87 in HFrEF), remodeling markers at admission and changes in levels of inflammatory markers across
88 the first 24 hours were predictive for all-cause mortality and rehospitalization at 60 days ($P_{\text{interaction}}$
89 < 0.05).

90 **Conclusions:** Biomarker profiles in patients with acute HFrEF were mainly related to cardiac
91 stretch and in HFpEF related to inflammation. Patients with HFmrEF showed an intermediate
92 biomarker profile with biomarker interactions between both cardiac stretch and inflammation
93 markers.

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95 **Keywords:** acute heart failure; HFpEF; HFrEF; Biomarkers

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100 **Introduction.**

101 Heart failure with a midrange ejection fraction (HFmrEF) has recently been recognized as a new
102 entity within the heart failure (HF) syndrome(1, 2). There is limited understanding of the
103 differences in pathophysiological mechanisms behind HFmrEF, and how these relate to HF with a
104 reduced (HFrEF) and with a preserved (HFpEF) ejection fraction. Previous attempts to understand
105 potential differences in HFrEF and HFpEF have used biomarker-based approaches (3–7). In these
106 conventional biomarker-based studies, baseline biomarker levels and the prognostic value of
107 different biomarkers have been observed between HFrEF and HFpEF (5, 6). However, these
108 approaches were restricted to a limited number of biomarkers measured at a single time point using
109 conventional statistical methods with limited power to uncover underlying pathophysiological
110 differences. Additionally, biomarker profiles of HFmrEF have not been investigated (8–10).

111 Recently, novel approaches have been useful in increasing the understanding of the
112 pathophysiology of chronic HF by uncovering biomarker associations, previously overlooked by
113 conventional methods (10, 11). In the current study, we aimed to characterize biomarker profiles of
114 patients with HFmrEF and compared these to biomarker profiles of HFrEF and HFpEF (1).

115

116 **Methods.**

117 *Study design and population.*

118 This study was performed in a subcohort of the Patients Hospitalized with acute heart failure and
119 Volume Overload to Assess Treatment Effect on Congestion and Renal FuncTion (PROTECT)
120 trial. The results and methodology of PROTECT have been published previously (12–14). In short,
121 the PROTECT trial was a multicenter, randomized, double blinded, placebo-controlled trial
122 assessing the effect of the Selective A1 Adenosine Receptor Antagonist Rolofylline in 2033 patients

123 with a history of HF, who were admitted with AHF and mild-moderate renal dysfunction. Patients
124 eligible for inclusion had NT-proBNP levels of >2000 pg/mL with dyspnea at rest or at mild
125 exertion. Patients with severe renal dysfunction or potassium levels below 3.1 mmol/L were
126 excluded (12). Overall results of this trial were neutral (14). Biomarker measurements were
127 performed in 1266 patients. This study assessed a subcohort of 843 patients with available
128 measurements of left ventricular ejection fraction (LVEF) and biomarkers at admission, which were
129 similar in characteristics to the original study population (*supplementary table 1*). Subsequent
130 biomarker samples after 24h were available in 790 patients.

131

132 *Study measurements and laboratory tests.*

133 Blood sampling was performed at admission before the administration of the study drug and after
134 24h. Echocardiographic assessment of LVEF was performed at admission or within 6 months prior
135 to admission. A total of 435 (52%) of the echocardiograms were performed at or around admission.
136 HFpEF was defined as having an LVEF $\geq 50\%$, while HFrEF was defined as an LVEF $< 40\%$.
137 Patients with a LVEF between 40-49% were considered to have HFmrEF (HF with mid-range
138 ejection fraction) (1). A panel of 27 novel and established biomarkers were measured by Alere Inc.,
139 San Diego, CA, USA in all available samples. *Table 1* summarizes the biomarkers according to
140 pathophysiological domain. A literature summary for each biomarker was previously
141 performed(11). The classification of biomarkers is based on current literature, however the
142 pathophysiological mechanism behind each biomarker should be judged for each biomarker
143 individually. Galectin-3, Myeloperoxidase (MPO) and Neutrophil gelatinase-associated lipocalin
144 (NGAL) were measured using sandwich enzyme-linked immunosorbent assays (ELISA) on a
145 microtiter plate; Angiogenin and C-reactive protein (CRP) were measured using competitive
146 ELISAs on a Luminex® platform; D-dimer, endothelial cell-selective adhesion molecule (ESAM),
147 growth differentiation factor 15 (GDF-15), lymphotoxin beta receptor (LTBR), Mesothelin,
148 Neuropilin, N-terminal pro C-type natriuretic peptide (NTpro-CNP), Osteopontin, procalcitonin

149 (PCT), Pentraxin-3, Periostin, PIGR, pro-adrenomedullin (proADM), Prosaposin B (PSAP-B),
150 RAGE, soluble ST2, Syndecan-1, tumor necrosis factor alpha receptor 1 (TNF-R1a), TROY,
151 vascular endothelial growth receptor 1(VEGFR1) and WAP Four-Disulphide Core Domain Protein
152 HE4 (WAP4C) were measured using sandwich ELISAs on a Luminex® platform. A panel of four
153 biomarkers – Endothelin-1 (ET-1), Interleukin-6 (IL-6), Kidney Injury Molecule 1 (KIM-1) and
154 cardiac specific Troponin I (cTnI) was measured in frozen plasma samples collected at baseline
155 using high sensitive single molecule counting (SMC™) technology (RUO, Erenna® Immunoassay
156 System, Singulex Inc., Alameda, CA, USA). Research assays of MR-proADM, galectin-3, and ST2
157 were developed by Alere, and have not been standardized to the commercialized assays used in
158 research or in clinical use. The extent to which each Alere assay correlates with the commercial
159 assay is not fully characterized. Assay information included inter-assay coefficient of variation are
160 provided in *supplementary table 2*. Estimated glomerular filtration rate (eGFR) was based on the
161 simplified Modification of Diet in Renal Disease (MDRD) (15).

162

163 *Outcome.*

164 The primary outcome of this study was all-cause mortality and/or rehospitalization at 60 days' post
165 admission. This outcome was chosen because of the relatively large event rate in comparison to the
166 other outcomes in the PROTECT trial. A blinded clinical events committee adjudicated the
167 outcome.

168

169 *Statistical analysis*

170 Continuous variables are presented as means \pm standard deviations or medians with interquartile
171 ranges. Categorical variables are presented as numbers or percentages. Intergroup differences were
172 analyzed using Students' t-test, Mann-Whitney-U test, Kruskal-Wallis test, Analysis of Variance
173 (ANOVA) or chi2-test where appropriate.

174 To correct for multiple comparisons, principal component (PC) analysis was performed with

175 HFrEF and HFpEF as categorical variables, using an established method described elsewhere (16).
176 A total of 27 PCs cumulatively explained >95% of the variation observed in the dataset when
177 comparing HFrEF and HFpEF (supplementary figures 1 & 2). The corrected significance level for
178 multiple testing was thus set at $P < 0.05/27$. Following this, a spearman's rank correlation coefficient
179 was calculated for each possible biomarker pair in the HFrEF cohort of patients and the procedure
180 was repeated for HFpEF and HFmrEF. This resulted in three sets of R-values with associated p-
181 values for HFrEF, HFmrEF and HFpEF. To adjust for multiple testing, only those correlations
182 passing the adjusted p-value cut-off calculated from the PC-Analysis (PCA) were deemed
183 statistically significant and subsequently retained. These significant correlation coefficients for
184 HFrEF, HFmrEF and HFpEF were then graphically displayed as heatmaps with associated disease
185 domains for all biomarkers. Network analysis was performed to analyze associations between
186 biomarkers in HFrEF, HFmrEF and HFpEF. Subsequently, all significant associations found within
187 HFrEF, HFmrEF and HFpEF were separately depicted as circular networks, consisting of nodes
188 (biomarkers) and edges (associations). In each network, the size and color of the nodes reflect the
189 clustering coefficient of each biomarker, while the thickness of the lines (edges) represent the
190 strength of the inter-biomarker associations (determined by spearman's rank coefficient R values).

191 To study the possible differential relationship with outcome of biomarkers, a univariable
192 interaction test was performed between LVEF and the biomarker levels at admission or a change in
193 biomarker levels between admission and the first 24h. Following this, a multivariable interaction
194 test was performed correcting for a risk engine containing 8 variables, specifically designed for this
195 cohort (17). These variables include age, previous HF hospitalizations, peripheral edema, systolic
196 blood pressure, serum sodium, urea, creatinine and albumin levels at admission. Univariable and
197 multivariable associations of biomarkers with outcome were tested using Cox regression analysis;
198 due to the exploratory nature of these analyses, a p-value of < 0.05 was deemed statistically
199 significant for the interaction test.

200 All tests were performed two-sided and a p-value of < 0.05 was considered statistically

201 significant. All statistical analyses were performed using STATA version 11.0 (StataCorp LP,
202 College station, Texas, USA) and R version 3.2.4.

203

204

205 **Results.**

206 *Baseline characteristics*

207 Baseline characteristics are presented in *table 2*. Patients with HFmrEF were older than HFrEF
208 patients, but younger than HFpEF (71 vs. 68 and 74 years respectively, P-value for trend <0.001).
209 With increasing LVEF, the percentage of female patients, BMI, systolic blood pressure and
210 diastolic blood pressure was higher ($P_{\text{trend}} < 0.05$). We observed less mitral regurgitation, less
211 previous HF hospitalizations during the past year, and less ischemic heart disease and myocardial
212 infarction with increasing LVEF (P_{trend} all <0.001). Median time since the previous HF
213 hospitalization was 52 days and did not differ between HFrEF; HFmrEF and HFpEF ($p = 0.776$). In
214 contrast, a history of hypertension ($P_{\text{trend}} < 0.001$) and atrial fibrillation ($P_{\text{trend}} 0.014$) was found
215 more often with increasing LVEF. A direct comparison between HFmrEF - HFrEF and HFmrEF -
216 HFpEF confirms these results (*supplementary tables 3 & 4*).

217

218 *Biomarker levels.*

219 Biomarker levels at admission are presented in *table 3*. With increasing LVEF, we found
220 increasing levels of CRP, NGAL, KIM-1 and platelet count and decreasing levels of GDF-15, BNP,
221 Troponin-I, RBC, hemoglobin and endothelin-1. After correction for multiple comparisons, the up-
222 or down sloping trend remained significant for BNP, KIM-1, RBC and hemoglobin. When
223 examining a change of biomarkers from admission to 24-hours, troponin-I increased more in
224 patients with HFrEF than in patients with HFmrEF and HFpEF, however significance was lost after
225 correction for multiple comparisons (*supplementary table 5*). No significant interaction was found

226 between the study drug and LVEF for biomarkers that significantly differed between HFrEF;
227 HFmrEF and HFpEF, also no significant interactions were observed between timing of
228 echocardiography and LVEF for biomarker levels ($p_{\text{interaction}}$ all >0.1).

229

230

231 *Network analysis.*

232 Heatmaps of biomarker associations are available in *supplementary figures 3-5*. The results
233 of Network analysis are shown in *figure 1-3*. At admission, network analysis in HFrEF showed
234 Troponin-I, BNP and PSAP-B to be a hub. A biomarker which is a hub has a high clustering
235 coefficient. A high clustering coefficient suggests a certain centrality of the biomarker within the
236 network, where a large number of the biomarker interactions are mediated through the hub. In
237 HFpEF, angiogenin, hemoglobin, galectin-3 as well as d-dimer were hubs. Compared to HFrEF,
238 BNP is only moderately associated with other biomarkers in HFpEF at admission. Interestingly, in
239 HFmrEF, hemoglobin, RBC, endothelin-1 as well as BNP and galectin-3 were clear hubs at
240 admission. After 24hrs interactions of biomarkers in patients with HFrEF were mainly associated
241 with BNP and endothelin-1. In comparison, after 24hrs, biomarkers in HFpEF were mainly
242 associated with inflammation markers pentraxin-3 and RAGE, as well as with remodeling marker
243 osteopontin, angiogenesis marker angiogenin, hematopoiesis markers hemoglobin and red blood
244 cell count as well as renal function marker NGAL. Interestingly, BNP remains a small hub in
245 HFpEF. In HFmrEF, after 24hrs, the association between BNP and other biomarkers became very
246 limited. Furthermore, remodeling marker galectin-3 and inflammation marker RAGE were
247 continuous hubs at admission through the first 24hrs.

248

249 *Biomarker levels and outcome.*

250 Associations of biomarkers levels at admission with outcome are shown in *supplementary tables 6*
251 Remodeling markers syndecan-1 ($p = 0.047$) and galectin-3 ($p = 0.024$) showed a significant

252 interaction for the primary outcome. Here, syndecan-1 showed a significant association with
253 outcome in HFmrEF and HFpEF, but not in HFrEF. Also, galectin-3 showed significant predictive
254 value in HFpEF, but not in HFmrEF and HFrEF.

255 The associations with outcome of a change of biomarker levels within the first 24 hours is
256 show in *supplementary table 7*. A significant multivariable interaction was found for the
257 inflammation biomarkers pentraxin-3 ($p = 0.025$), RAGE ($p = 0.037$), TNF-R1a ($p = 0.004$),
258 oxidative stress marker MPO ($p = 0.017$) and the endothelial function marker proADM ($p = 0.016$)
259 as well as arteriosclerosis marker LTBR ($p = 0.009$). Following multivariable correction, pentraxin-
260 3 was more predictive in HFmrEF and HFpEF, but not in HFrEF. A change in levels of TNF-R1a,
261 MPO and LTBR were related to outcome in HFpEF, but not in HFrEF and HFmrEF. Interestingly, a
262 change of endothelial function marker pro-ADM only had predictive power in HFmrEF, but not in
263 HFrEF and HFpEF (*supplementary table 7*).

264

265 **Discussion.**

266 This study demonstrates differential biomarker profiles between AHF patients with HFrEF,
267 HFmrEF and HFpEF. Network analysis showed that in HFmrEF, interaction between biomarkers
268 were associated with BNP, galectin-3 and endothelin-1. In contrast, interactions between
269 biomarkers in HFrEF were mostly associated with BNP, KIM-1 and Troponin-I, while in HFpEF,
270 biomarkers associated with inflammation and endothelial function played a central role. Both in
271 terms of clinical characteristics and biomarker profiles, patients with HFmrEF were in between
272 HFpEF and HFrEF. Biomarkers profiles of HFmrEF, HFpEF and HFrEF remained relatively stable
273 throughout the first 24h post hospital admission. With regard to outcome, markers of inflammation
274 showed independent predictive value in HFmrEF and HFpEF, but not in HFrEF. Levels of
275 remodeling markers syndecan-1 and galectin-3 showed predictive value in HFmrEF and HFpEF,
276 but not in HFrEF. Of note, pro-ADM showed predictive value in HFmrEF, but not in HFrEF and
277 HFpEF.

278 Biomarker levels of patients with HFmrEF were between HFrEF and HFpEF. HFrEF
279 patients had higher levels of biomarkers related to cardiac stretch and hematopoiesis. Network
280 analysis showed an inter-association between biomarkers related to inflammation and cardiac
281 stretch in HFmrEF. In HFpEF, associations related to inflammation and BNP only played a very
282 marginal role in associations between biomarkers. In HFrEF, BNP had a more prominent role in
283 network analyses both at admission and after 24h. In HFmrEF, a mix of associations between
284 cardiac stretch and inflammation was observed. In an earlier publication in a chronic HF setting,
285 associations between inflammation markers were seen in HFpEF, while in HFrEF associations were
286 found between cardiac stretch markers (10). Indeed, also in this study, network analysis revealed
287 patterns, which were previously unknown in HFrEF and HFpEF. Biomarkers in the intermediate
288 group were more related to HFpEF than to HFrEF in this sub-analysis of the TIME-CHF trial (10).
289 This could potentially be explained by the difference in inclusion criteria, where for the PROTECT
290 trial a minimum NT-proBNP above >2000 pg/mL had to be present at admission, while this was not
291 required for the TIME-CHF trial (18). HFpEF patients are known to have lower BNP and NT-
292 proBNP levels compared to HFrEF, which could explain why the proportion of HFpEF patients in
293 the PROTECT trial is lower (7).

294 Remodeling marker syndecan-1 had predictive value in HFmrEF and HFpEF, but not in
295 HFrEF. This was previously shown in a stable HF setting, where syndecan-1 had predictive value in
296 HFpEF but not in HFrEF (5). In an earlier publication about syndecan-1, HFpEF was defined at
297 LVEF>40%, suggesting that syndecan-1 also in a chronic setting provides predictive value in both
298 HFmrEF and HFpEF. Galectin-3 only showed predictive value in HFpEF, but not in HFrEF and
299 HFmrEF, in line with an earlier publication (19). Furthermore, a change in levels of inflammation
300 markers pentraxin-3 and TNF-R1a were predictive in HFpEF, but not in HFrEF. The role of
301 pentraxin-3 in HFpEF is readily known (20). In earlier reports, circulating TNF-R1a levels
302 predicted incident cardiovascular disease, including HF (21). In a particular study addressing
303 chronic HF, TNF-R1 was the strongest predictor of long-term mortality (22). Higher levels of TNF-

304 R were previously reported in HFpEF patients (23). Levels of MPO were previously correlated
305 with NYHA stage and diastolic HF and is considered to be both a marker of inflammation and
306 oxidative stress (24, 25). A change in levels of MPO was predictive in HFpEF, but not in HFmrEF
307 and HFrEF. LTBR is a member of the tumor necrosis factor family (26, 27). Activation of LTBR
308 results in lymphocyte recruitment and is associated with inflammatory responses in atherosclerosis
309 (26, 28). No data is available on predictive value in HF; and this is the first study reporting the
310 differential involvement in predicting outcome in AHF patients with HFrEF, HFmrEF and HFpEF.
311 Of note, TNF-R1a and LTBR are members of the TNF family of cytokines, suggesting a possible
312 involvement of this family of proteins. Members of the TNF-alpha super family are involved in
313 nitric oxide handling, which is considered a key mechanism in HFpEF. Whether other members of
314 the TNF-alpha superfamily have a significant role in the pathophysiology of HFpEF needs to be
315 explored further.

316 The clinical implications of this study are fourfold. First of all, both the clinical and
317 biomarker profiles of patients with HFmrEF were in between of HFrEF and HFpEF. This suggests
318 that HFmrEF is a mix of patients similar to both HFrEF and HFpEF. There could be a considerable
319 number of patients among HFmrEF who are closer to HFrEF and might benefit from existing HF-
320 guideline directed therapy. Previously, large HF trials had either excluded or embedded HFmrEF
321 within the HFpEF group (1). Future studies should distinguish which HFmrEF patients are closer to
322 HFrEF and which are closer to HFpEF. Biomarkers could aid in recognizing patients with HFmrEF
323 that are closer to HFrEF. These patients are likely characterized by high NT-proBNP and high
324 cardiac damage markers, while having lower levels of inflammation markers compared to HFpEF
325 patients. These patients could subsequently benefit from guideline-directed therapy and can
326 possibly be included in future HF trials with HFrEF patients. Secondly, patients with HFpEF have a
327 distinct biomarker profile from those with HFrEF, with patients with HFpEF having lower levels of
328 cardiac stretch markers. Also, inflammation related biomarkers had more predictive value in HFpEF
329 and HFmrEF than in HFrEF. Thirdly, overall biomarker profiles stay relatively stable in both

330 HFrEF, HFmrEF and HFpEF during hospitalization, in which biomarker associations are more
331 angiogenesis and inflammation related in HFpEF, cardiac stretch related in HFrEF and both cardiac
332 stretch and inflammation related in HFmrEF.

333

334

335 **Limitations of the study**

336 This study is a retrospective post-hoc analysis, which is accompanied by a possible selection bias.
337 Not all patients had complete biomarker data available at admission and after 24h, creating a
338 potential selection bias. Also, despite the large number of biomarker available, the choice for
339 biomarkers was restricted by limited sample availability. It also needs to be emphasized that this is
340 a data driven approach and causality cannot be proven. Results of this study need to be validated in
341 a different population. Additionally, some echocardiographic measurements were performed 6
342 months prior to admission. This did not seem to influence biomarker levels in HFrEF; HFmrEF and
343 HFpEF, however we could not correct for this in network analysis. Differences with regard to
344 outcome prediction should only be interpreted in the context of pathophysiological differences
345 between HFrEF, HFmrEF and HFpEF and not with respect to possible clinical utility (10). For the
346 latter, the relatively low number of events confounds the results with regard to predictive value.
347 This was especially true for other outcomes (e.g., 30-day mortality) in the PROTECT trial, for
348 which the number of events was even lower than the outcome used, making useful statistics on
349 these outcomes not possible. Confirmation of the differential predictive value found is needed in
350 more inclusive independent trials with larger number of events and HFmrEF and HFpEF patients.

351 **Conclusions.**

352 Clinical characteristics and biomarker profiles of patients with HFmrEF are between patients with
353 HFrEF and HFpEF, suggesting HFmrEF to be a heterogeneous group. Biomarker associations in
354 HFpEF were mostly inflammation based, whilst being more cardiac stretch based in HFrEF.
355 Biomarkers related to inflammation and cardiac remodeling had predictive value in HFmrEF and

HFpEF, but not in HFrEF. These data suggest that patients with HFmrEF are a mix of HFrEF and HFpEF patients. Distinguishing HFmrEF patients closer to HFrEF could have important therapeutic consequences for this group.

Competency in medical knowledge

Differences between AHF patients with HFmrEF, HFrEF and HFpEF have not been well characterized. Results from this study suggest that AHF patients with HFpEF have a significantly different biomarker profile from patients with HFrEF. Herein, we found that inflammation plays a larger role in patients with HFpEF compared to HFrEF. Secondly, patients with HFmrEF are in between patients with HFpEF and HFrEF. This suggests that these patients should be carefully considered when treating according to guidelines, since some of them might be closer to HFrEF and some might be closer to HFpEF. Lastly, a change in inflammation biomarker levels might hold prognostic value for patients with HFpEF and HFmrEF.

Translational outlook.

Biomarker based characterization of patient populations might help to identify novel treatment targets as well as decipher disease heterogeneity and underlying differences in pathophysiology. While biomarker based clinical studies can be considered a crude tool, it can be the first step in identifying novel disease entities and pathophysiological targets. Findings from biomarkers based studies, including this one, should be validated in an experimental setting.

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391

392 **Conflict of interest**

393 Dr. Cleland was on the Steering Committee for the PROTECT trial; served on the advisory board
394 for MSD; and received payments for both. Dr. O’Connor is a consultant to Merck & Co., Inc. Dr.
395 Ponikowski has received honoraria from Merck & Co., Inc; Dr. Davison and Dr. Cotter are
396 employees of Momentum Research Inc, which was contracted to perform work on the project by
397 Merck & Co., Inc. Dr. Metra have received honoraria and reimbursements from NovaCardia,
398 sponsors of the study, and Merck & Co., Inc. Dr. Givertz has received institutional research support
399 and served on a scientific Advisory Board for Merck & Co., Inc. Dr. Teerlink has received research
400 funds and = consulting fees from Merck & Co., Inc. Dr. Bloomfield is an employee of Merck &
401 Co., Inc. Dr. Dittrich served as a consultant to Merck & Co., Inc. Dr. Voors has received speaker
402 and consultancy fees from Merck & Co., Inc was on the Steering Committee for the PROTECT
403 trial. He also received research support from Alere, Singulex, and Sphingotec. All other authors
404 have reported that they have no conflict of interest to declare

405

406

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Figure legends:

Figure 1: Network analysis illustrating correlative associations between biomarkers for HF_rEF at admission (a) and 24 hours (b). The size and color of each node (hub) depicts the clustering coefficient where a large node reflects a high clustering coefficient. In addition, a color closer to blue depicts a higher clustering coefficient, while a color closer to red is associated with a lower clustering coefficient. Furthermore, the thickness and color of the lines connecting biomarkers to each other reflect the strength of the inter-biomarker associations.

Figure 2: Network analysis illustrating correlative associations between biomarkers for HF_{mr}EF at admission (a) and 24 hours (b). The size and color of each node (hub) depicts the clustering coefficient where a large node reflects a high clustering coefficient. In addition, a color closer to blue depicts a higher clustering coefficient, while a color closer to red is associated with a lower clustering coefficient. Furthermore, the thickness and color of the lines connecting biomarkers to each other reflect the strength of the inter-biomarker associations.

Figure 3: Network analysis illustrating correlative associations between biomarkers for HF_pEF at admission (a) and 24 hours (b). The size and color of each node (hub) depicts the clustering coefficient where a large node reflects a high clustering coefficient. In addition, a color closer to blue depicts a higher clustering coefficient, while a color closer to red is associated with a lower clustering coefficient. Furthermore, the thickness and color of the lines connecting biomarkers to each other reflect the strength of the inter-biomarker associations.

Table 1: Biomarker classification

	Inflammation/ Immune system	Remodeling	Oxidative stress	Cardiomyocyte stress/injury	Endothelial function	Atherosclerosis	Angiogenesis	Renal function	Metabolic markers	Hematopoiesis	Other
Angiogenin							X				
BNP				X							
BUN								X	X		
Creatinine								X			
CRP	X					X					
D-Dimer	X										X
Endothelin-1	X		X	X							X
ESAM	X					X	X				
Galectin-3	X	X						X			
GDF-15	X	X	X			X					
Hemoglobin										X	
Interleukin-6	X										
KIM-1								X			
LTBR	X					X					
Mesothelin											X
MPO	X		X								
Neuropilin					X		X				X
NGAL	X							X			
NT-proCNP					X						
Osteopontin	X	X				X	X				
PCT	X										
Pentraxin-3	X										

Periostin	X				X
PIGR	X				X
Platelet count					X X
proADM	X				
PSAP-B	X				X
RAGE	X				X
RBC count					
ST-2	X	X	X	X	X
Syndecan-1	X	X			
TNF-R1a	X				
Troponin-I	X				
TROY	X	X			
VEGFR					X
WAP4C	X				X
WBC count	X				X

Abbreviations: CRP, C-reactive protein; ESAM, endothelial cell-selective adhesion molecule; ET-1, endothelin-1; GDF-15, growth differentiation factor 15; HFpEF, heart failure with a preserved ejection fraction; HFmrEF, heart failure with a reduced ejection fraction; IL-6, interleukin-6; KIM-1, kidney injury molecule 1; LTBR, lymphotoxin beta receptor; NGAL, neutrophil Gelatinase-associated Lipocalin; NT-proBNP, N-terminal pro-brain natriuretic peptide; NT-proCNP, N-terminal pro-C-type natriuretic peptide; PCT, procalcitonin; PIGR, Polymeric immunoglobulin receptor; proADM, pro-adrenomedullin; PSAP-B, Prosaposin B; RAGE, Receptor for advanced glycation end product; RBC, red blood cell count; ST-2, Soluble ST-2; TNF-R1, tumor necrosis factor alpha receptor 1; VEGFR-1, vascular endothelial growth receptor 1A, WAP-4C, WAP Four-Disulphide Core Domain Protein HE; WBC, white blood cell count.

Table 2: Baseline characteristics.

	HFpEF	HFmrEF	HFpEF	p-value	p-value trend
N	607	128	108		
Demographics					
Age, years, mean \pm SD	68.0 \pm 12.0	70.7 \pm 11.3	74.4 \pm 10.1	<0.001	<0.001
Female sex, n (%)	137 (22.6%)	76 (59.4%)	57 (52.8%)	<0.001	<0.001

BMI, kg/m ² , mean ± SD	28.1 ± 5.7	29.0 ± 7.1	29.6 ± 7.0	0.029	0.027
eGFR, mL/min/1.73 m ² , mean ± SD	48.4 ± 19.5	48.1 ± 18.7	47.0 ± 21.5	0.800	0.353
NYHA class, n (%)				0.290	0.186
I/II	90 (15.6%)	27 (21.8%)	16 (16.5%)		
III	329 (57.1%)	64 (51.6%)	61 (62.9%)		
IV	157 (27.3%)	33 (26.6%)	20 (20.6%)		
LVEF, median (IQR)	25 (20, 30)	42 (40, 45)	56 (50, 60)	<0.001	<0.001
Systolic BP, mmHg, mean ± SD	119.3 ± 17.2	127.1 ± 16.0	134.2 ± 17.2	<0.001	<0.001
Diastolic BP, mmHg, mean ± SD	72.5 ± 11.9	73.5 ± 12.2	74.7 ± 13.5	0.190	0.027
Heart rate, b.p.m. mean ± SD	80.3 ± 14.9	78.5 ± 15.6	79.0 ± 16.8	0.410	0.588
Rolofylline, n(%)	406(66.9%)	90 (70.3%)	70 (64.8)	0.648	0.920
Medical history, n (%)					
Mitral regurgitation,	298 (49.2%)	40 (31.3%)	28 (26.2%)	<0.001	<0.001
Heart failure (HF),	578 (95.2%)	124 (96.9%)	97 (89.8%)	0.034	0.078
Hospitalization for HF previous year	356 (58.6%)	70 (54.7%)	49 (45.4%)	0.034	0.011
HF hospitalizations, median (IQR)	1.0 (1.0, 2.0)	1.0 (1.0, 2.0)	1.0 (1.0, 2.0)	0.560	0.278
Ischemic heart disease	434 (71.7%)	86 (67.2%)	58 (53.7%)	<0.001	<0.001
Myocardial infarction	351 (58.0%)	57 (44.5%)	25 (23.4%)	<0.001	<0.001
Hypertension	425 (70.0%)	112 (87.5%)	95 (88.0%)	<0.001	<0.001
Stroke or PVD	117 (19.3%)	25 (19.5%)	24 (22.2%)	0.780	0.519
COPD or asthma	146 (24.2%)	15 (11.7%)	26 (24.1%)	0.008	0.261
Diabetes mellitus	275 (45.4%)	63 (49.2%)	42 (38.9%)	0.280	0.419

Atrial Fibrillation/Flutter	305 (50.5%)	69 (53.9%)	71 (65.7%)	0.014	0.005
Medication prior to admission, n (%)					
Beta-blockers	485 (80.0%)	93 (72.7%)	85 (78.7%)	0.180	0.348
ACE-I/ARB	455 (75.1%)	91 (71.1%)	82 (75.9%)	0.610	0.86
MRA	311 (51.3%)	49 (38.3%)	32 (29.6%)	<0.001	<0.001
Digoxin	170 (28.1%)	35 (27.3%)	23 (21.3%)	0.350	0.182
Nitrates	142 (23.5%)	28 (21.9%)	26 (24.1%)	0.910	0.984
CCBs	41 (6.8%)	22 (17.2%)	28 (25.9%)	<0.001	<0.001
Presenting signs & symptoms, n (%)					
Orthopnea	489 (82.5%)	105 (83.3%)	85 (79.4%)	0.710	0.564
Dyspnea at rest (NYHA IV)	323 (55.6%)	71 (57.7%)	56 (54.4%)	0.870	0.963
Angina pectoris	117 (19.3%)	31 (24.2%)	21 (19.6%)	0.450	0.602
Edema	155 (25.6%)	30 (23.4%)	34 (31.5%)	0.340	0.349
JVP	251 (45.6%)	52 (46.8%)	39 (39.4%)	0.480	0.362

Abbreviations: ACE-I, ACE-inhibitors; ARB, angiotensin receptor blocker; BMI, body mass index; BP, blood pressure; CCB, calcium channel blocker; COPD, chronic obstructive pulmonary disease; eGFR, estimated glomerular filtration rate; HFpEF, heart failure with a preserved ejection fraction; HFmrEF, heart failure with a reduced ejection fraction; IQR, inter-quartile range; JVP, Increased jugular venous pressure; LVEF, left ventricular ejection fraction; MRA, mineral receptor antagonist; NYHA, New York heart association; PVD, peripheral vascular disease; SD, standard deviation

Table 3: Biomarker levels at admission.

N	HFrEF 607	HFmrEF 128	HFpEF 108	p-value	p- value*	p-value for trend	p-value for trend*
Inflammation/Immune system							
WBC (x10 ⁹ /L)	7.6 (6.2, 9.2)	7.3 (6.3, 8.8)	7.4 (6.1, 10.0)	0.560	1.000	0.997	1.000
CRP (ng/ml)	13350.1 (7116.7, 28145.4)	12937.1 (7483.5, 26490.9)	18801.0 (10274.2, 31983.5)	0.043	1.000	0.025	0.675
GDF-15 (ng/ml)	4.9 (3.1, 6.3)	4.1 (2.9, 6.3)	4.5 (3.0, 6.3)	0.034	0.924	0.022	0.594
PCT (ng/ml)	0.0 (0.0, 0.1)	0.0 (0.0, 0.0)	0.0 (0.0, 0.0)	0.820	1.000	0.603	1.000
Pentraxin-3 (ng/ml)	4.5 (3.0, 7.0)	3.8 (2.5, 7.3)	3.9 (2.8, 6.3)	0.074	1.000	0.057	1.000
RAGE (ng/ml)	5.1 (3.7, 6.8)	4.8 (3.5, 6.5)	4.7 (3.6, 6.6)	0.500	1.000	0.245	1.000
TNF-R1a (ng/ml)	3.3 (2.2, 4.8)	3.0 (2.1, 4.6)	3.6 (2.3, 5.2)	0.120	1.000	0.325	1.000
TROY (ng/ml)	0.1 (0.1, 0.1)	0.1 (0.1, 0.1)	0.1 (0.1, 0.1)	0.540	1.000	0.408	1.000
Interleukin 6 (pg/ml)	11.0 (6.0, 21.2)	10.2 (6.2, 15.7)	13.3 (6.6, 22.3)	0.400	1.000	0.764	1.000
Oxidative stress							
MPO (ng/ml)	32.7 (17.8, 67.1)	35.3 (16.1, 78.2)	32.3 (16.6, 66.7)	0.950	1.000	0.999	1.000
Remodeling							
Syndecan-1 (ng/ml)	8.5 (7.2, 10.6)	8.1 (6.9, 9.7)	8.8 (7.1, 10.8)	0.093	1.000	0.442	1.000
Periostin (ng/ml)	5.8 (3.4, 9.7)	5.7 (3.4, 8.8)	5.4 (3.1, 8.5)	0.440	1.000	0.198	1.000
Galectin-3 (ng/ml)	36.2 (27.0, 48.5)	35.4 (27.3, 48.7)	40.1 (30.3, 53.1)	0.039	1.000	0.300	1.000
Osteopontin (ng/ml)	112.1 (78.6, 172.4)	112.7 (84.2, 151.3)	112.9 (71.3, 179.9)	0.920	1.000	0.687	1.000
ST-2 (ng/ml)	3.4 (1.0, 8.7)	2.8 (0.9, 6.6)	3.9 (1.2, 7.2)	0.150	1.000	0.565	1.000
Cardiomyocyte stress/injury							
BNP (pg/ml)	520.9 (289.5, 877.9)	397.3 (214.8, 667.9)	300.1 (221.7, 600.9)	<0.001	<0.001	<0.001	<0.001
Troponin I (pg/ml)	11.9 (6.0, 23.6)	10.9 (6.1, 23.3)	8.4 (4.7, 18.5)	0.0515	1.000	0.026	0.702
Angiogenesis/Endothelial function							
VEGFR (ng/ml)	0.4 (0.3, 0.6)	0.4 (0.2, 0.5)	0.3 (0.2, 0.5)	0.036	0.976	0.012	0.324
Angiogenin (ng/ml)	1856.6 (1245.7, 2723.7)	2080.2 (1353.0, 2893.4)	1755.9 (1333.6, 2917.9)	0.160	1.000	0.639	1.000
Neuropilin (ng/ml)	12.9 (8.3, 18.3)	11.2 (8.1, 15.4)	12.2 (8.1, 17.0)	0.170	1.000	0.184	1.000
proADM (ng/ml)	2.9 (1.6, 5.0)	2.5 (1.5, 4.1)	2.8 (1.5, 5.3)	0.150	1.000	0.739	1.000

NTpro-CNP (ng/ml)	0.0 (0.0, 0.1)	0.0 (0.0, 0.1)	0.0 (0.0, 0.1)	0.750	1.000	0.451	1.000
Atherosclerosis							
ESAM (ng/ml)	62.5 (56.4, 70.0)	61.7 (56.1, 68.3)	62.6 (57.5, 70.5)	0.440	1.000	0.872	1.000
LTBR (ng/ml)	0.4 (0.3, 0.6)	0.4 (0.3, 0.6)	0.5 (0.3, 0.6)	0.140	1.000	0.068	1.000
Renal function							
NGAL (ng/ml)	81.9 (54.4, 129.5)	76.8 (55.7, 143.9)	102.0 (62.9, 154.9)	0.033	0.883	0.020	1.000
KIM 1 (pg/ml)	269.4 (178.6, 462.9)	327.5 (218.2, 650.2)	351.2 (232.3, 585.7)	0.001	0.021	<0.001	<0.001
BUN (mg/dl)	31.0 (23.0, 44.0)	28.0 (21.0, 39.0)	30.0 (22.0, 41.0)	0.060	1.000	0.135	1.000
Hematopoiesis							
RBC (x10 ¹² /L)	4.2 (3.8, 4.7)	4.2 (3.7, 4.6)	3.9 (3.5, 4.4)	<0.001	<0.001	<0.001	<0.001
Hemoglobin (g/dL)	12.6 (11.4, 13.8)	12.1 (10.8, 13.6)	11.6 (10.4, 12.6)	<0.001	<0.001	<0.001	<0.001
Other							
Endothelin 1 (pg/ml)	6.9 (5.2, 9.3)	6.3 (4.8, 8.0)	6.3 (4.2, 9.2)	0.015	0.402	0.009	0.243
D-Dimer (ng/ml)	155.2 (90.6, 340.3)	171.0 (90.6, 333.8)	176.0 (90.6, 338.6)	0.350	1.000	0.187	1.000
PIGR (ng/ml)	406.0 (262.5, 647.1)	379.9 (274.9, 604.5)	401.3 (256.3, 694.4)	0.880	1.000	0.815	1.000
PSAP-B (ng/ml)	40.6 (29.5, 55.2)	34.8 (26.6, 52.8)	36.3 (26.8, 56.7)	0.035	1.000	0.076	1.000
WAP4C (ng/ml)	28.8 (14.9, 55.0)	28.2 (13.8, 49.5)	28.5 (14.4, 59.6)	0.720	1.000	0.978	1.000
Mesothelin (ng/ml)	88.4 (75.2, 102.4)	85.4 (71.4, 96.6)	87.8 (77.4, 103.8)	0.097	1.000	0.443	1.000
Glucose (mg/dL)	126.0 (103.0, 159.0)	119.0 (97.0, 166.0)	121.0 (94.0, 159.0)	0.310	1.000	0.128	1.000
Platelet count (x10 ⁹ /L)	212.0 (165.0, 264.0)	215.0 (170.0, 287.0)	238.5 (190.0, 308.0)	0.010	0.279	0.003	0.081

Abbreviations: CRP, C-reactive protein; ESAM, endothelial cell-selective adhesion molecule; ET-1, endothelin-1; GDF-15, growth differentiation factor 15; HFpEF, heart failure with a preserved ejection fraction; HFrEF, heart failure with a reduced ejection fraction; IL-6, interleukin-6; KIM-1, kidney injury molecule 1; LTBR, lymphotoxin beta receptor; NGAL, neutrophil Gelatinase-associated Lipocalin; NT-proBNP, N-terminal pro-brain natriuretic peptide; NT-proCNP, N-terminal pro-C-type natriuretic peptide; PCT, procalcitonin; PIGR, Polymeric immunoglobulin receptor; proADM, pro-adrenomedullin; PSAP-B, Prosaposin B; RAGE, Receptor for advanced glycation end product; RBC, red blood cell count; ST-2, Soluble ST-2; TNF-R1a, tumor necrosis factor alpha receptor 1; VEGFR-1, vascular endothelial growth receptor 1A, WAP-4C, WAP Four-Disulphide Core Domain Protein HE; WBC, white blood cell count.

Figures.

Figure 1a

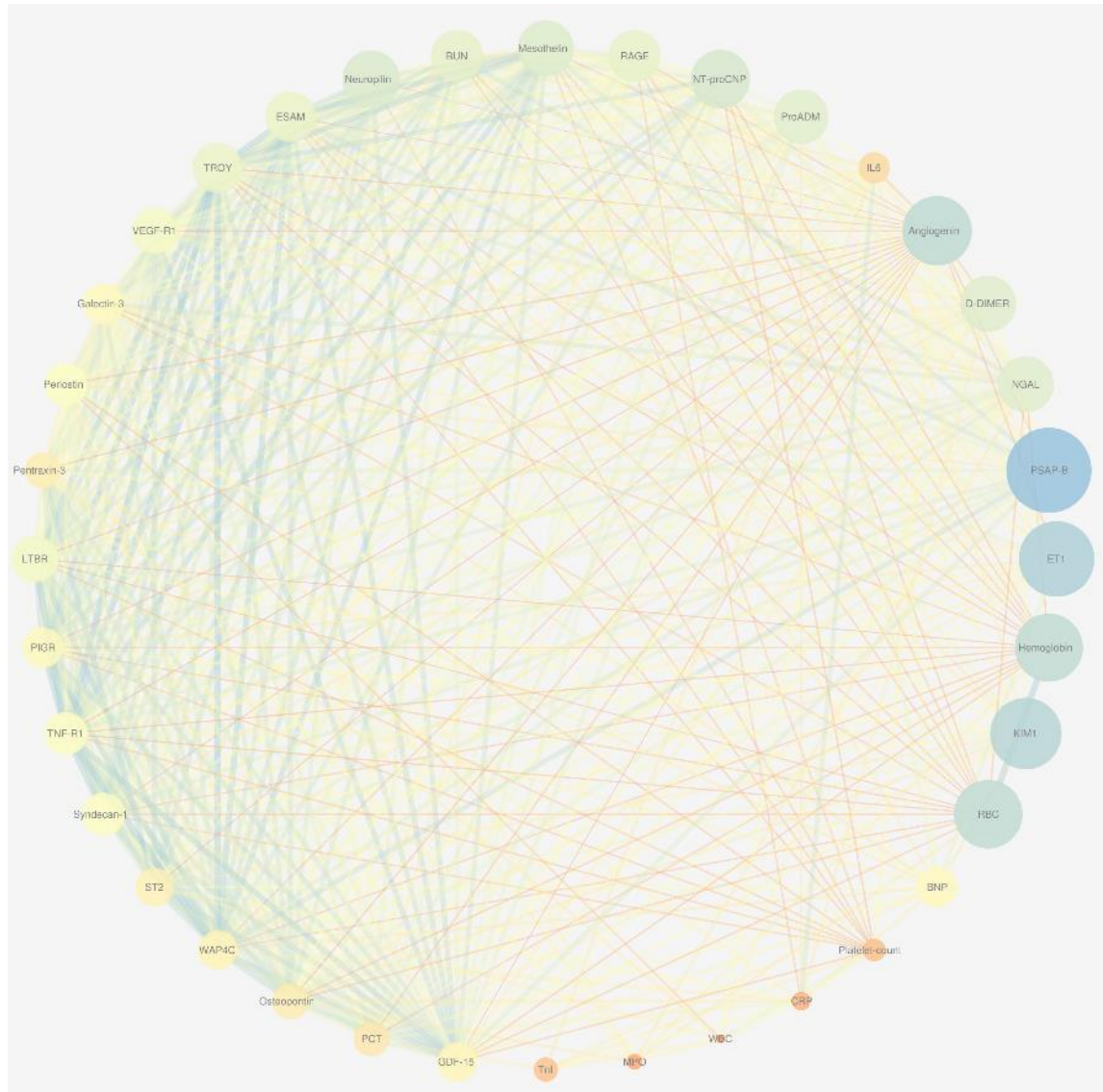


Figure 1b

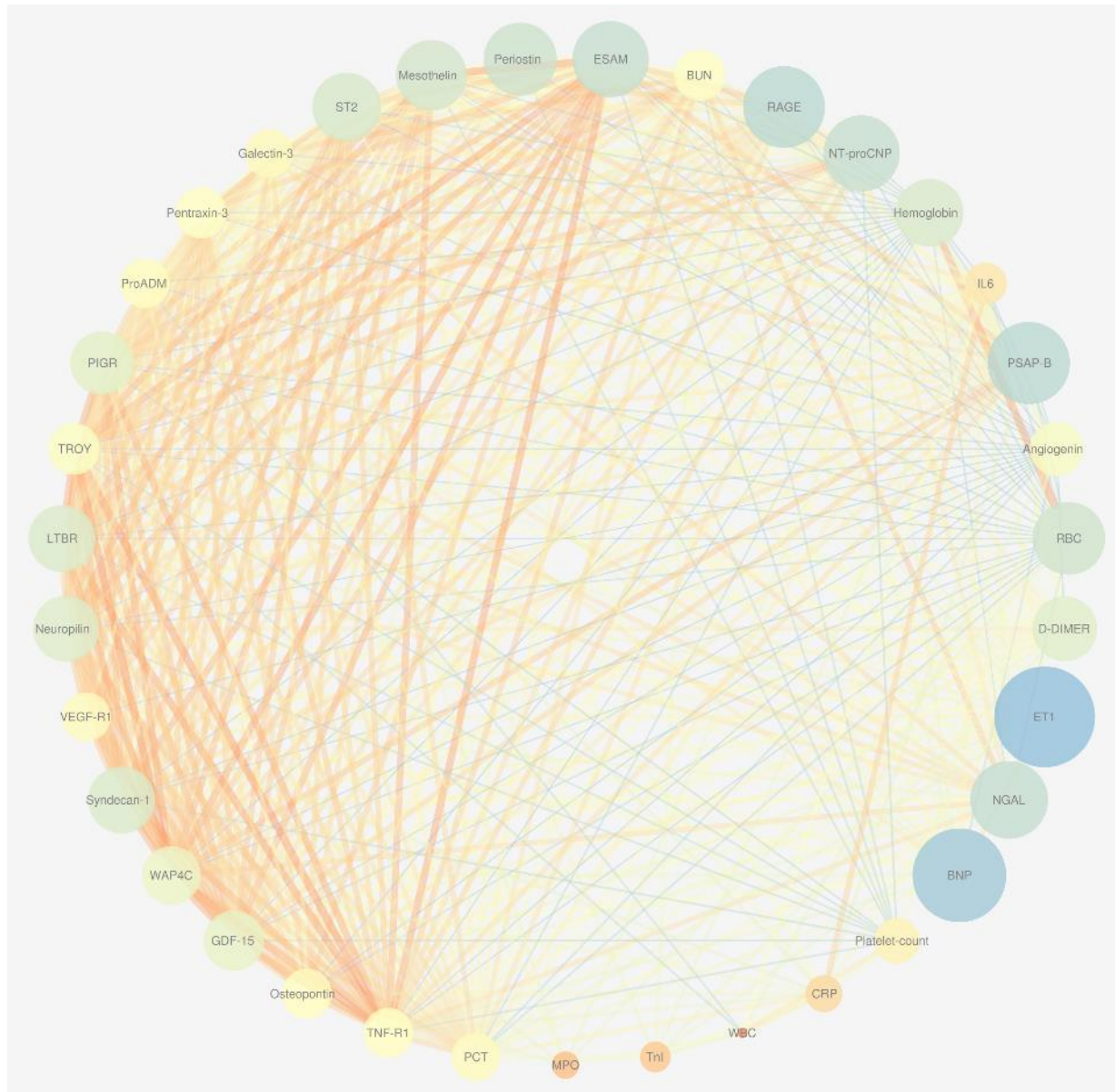


Figure 2a

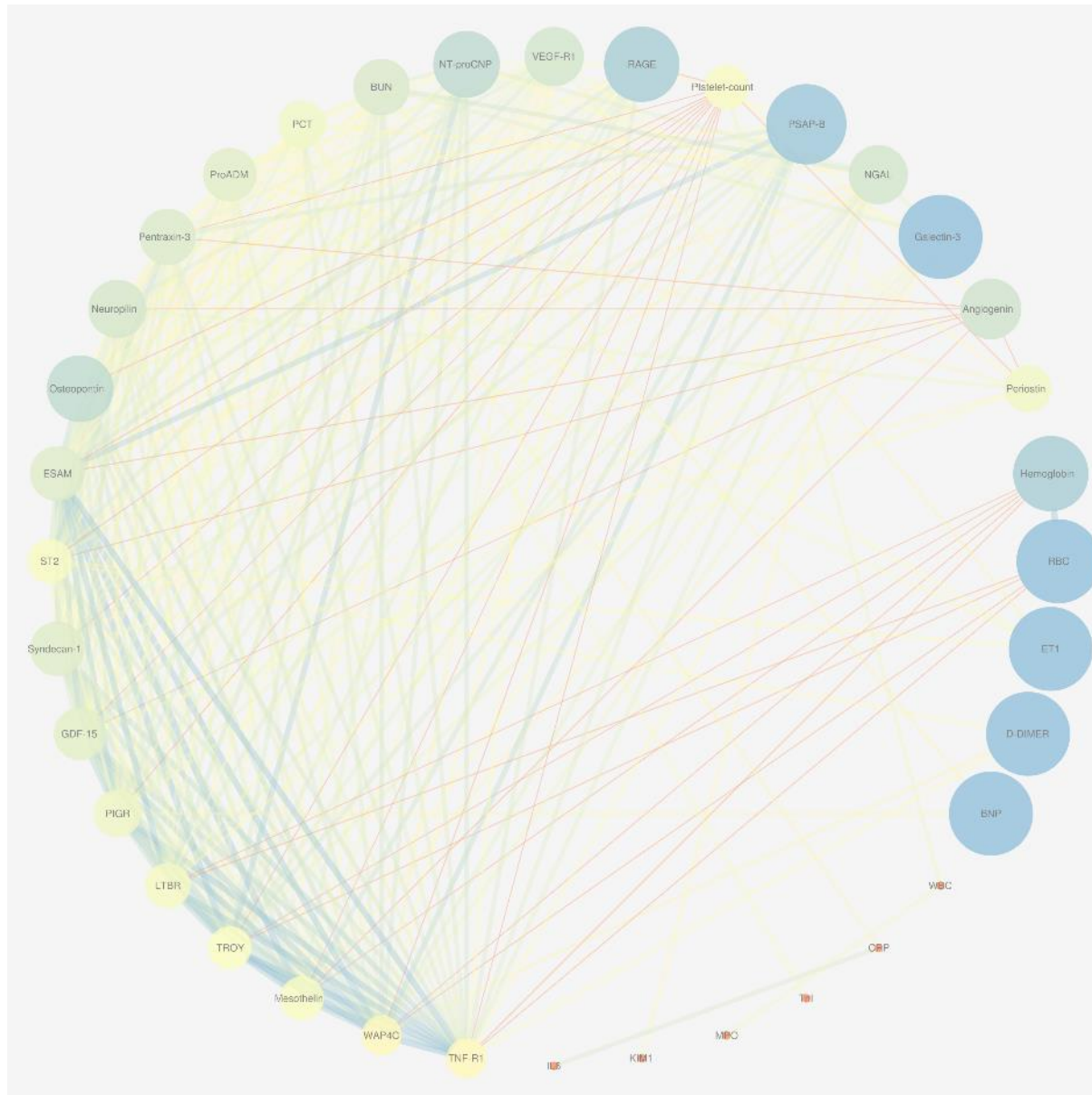


Figure 2b

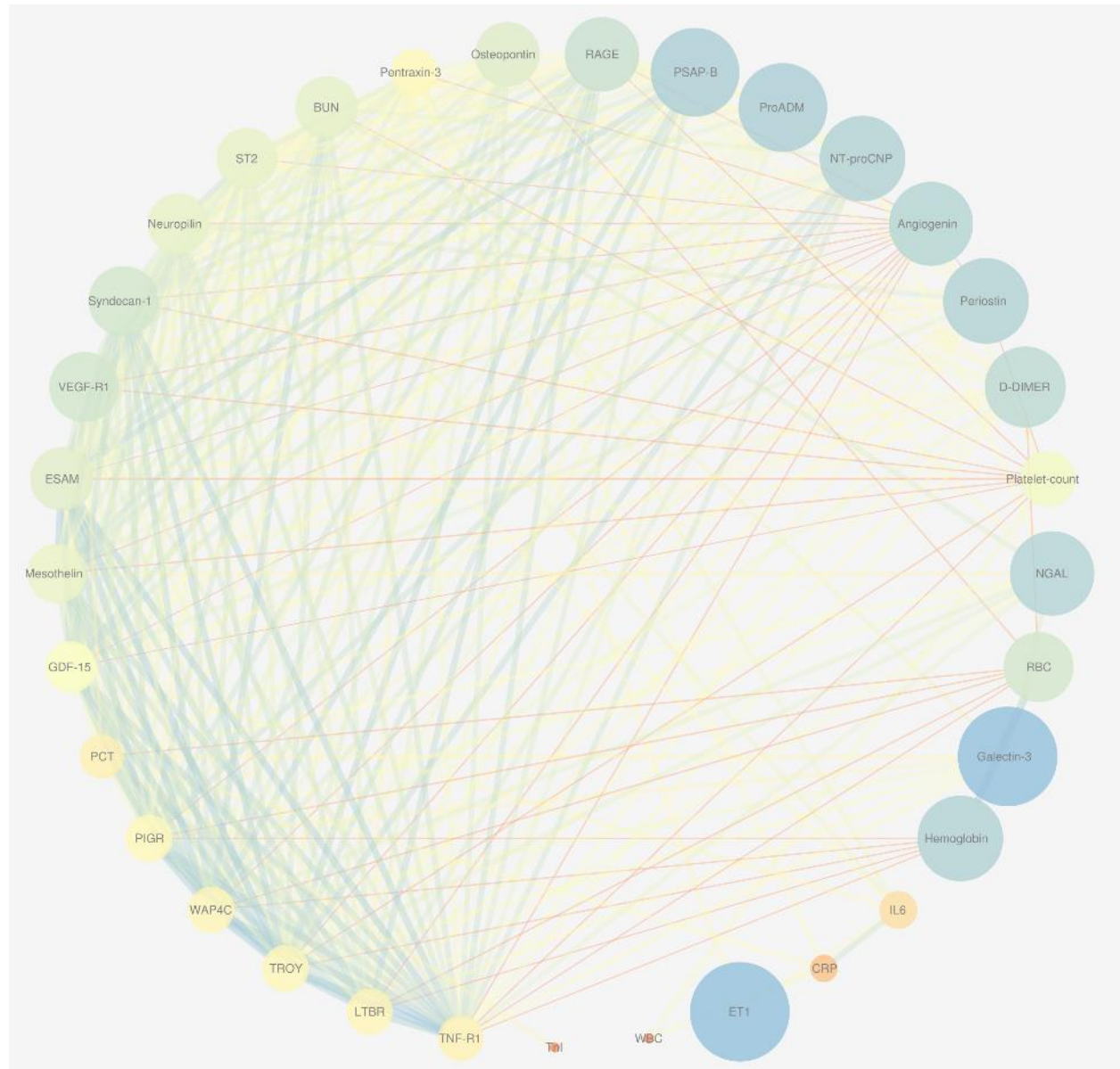


Figure 3a

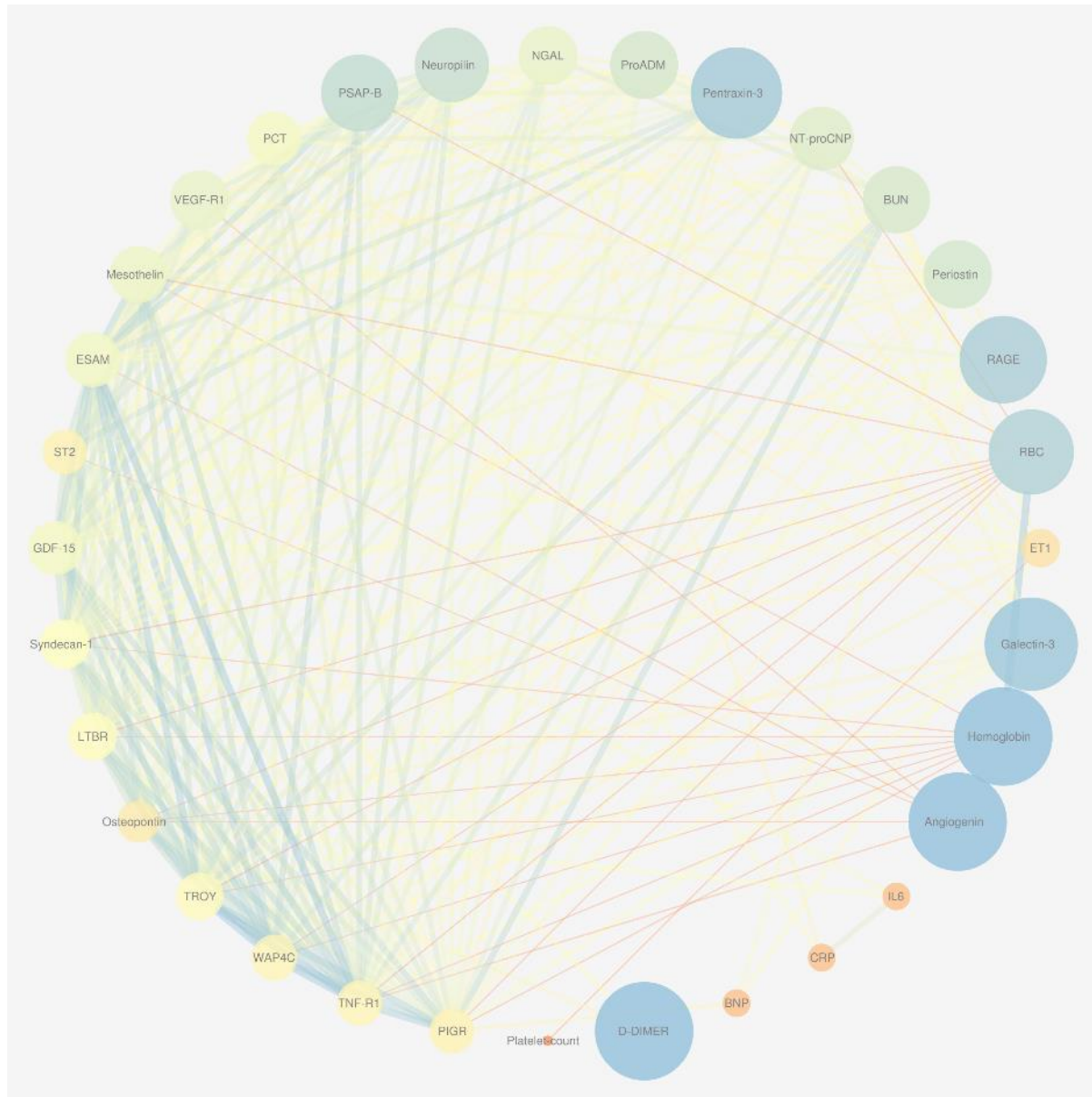


Figure 3b

