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1                   **Antipsychotic Prescribing in People with Learning Disability:**

2                                   **Challenges and Pitfalls**

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57 In this opinion piece we highlight the current concerns of prescribing antipsychotics to people  
58 with Learning Disability (PWLD) and propose a system of monitoring of antipsychotic  
59 prescribing in General Practice which we argue will reduce inappropriate antipsychotic use.

60 Learning Disability, synonymous with the term intellectual disabilities (1) affects about 1-2%  
61 of the general population (2) and is characterized by significant impairments of both  
62 intellectual and adaptive functioning and an onset before 18. (3).

63 PWLD have high rates of 'challenging behaviour' (CB) - i.e., acts of aggression towards  
64 people or property, self-neglect, self-harm and the risk of exploitation (2). CB is a social  
65 construct to enumerate a behavioural or mental pattern that may cause suffering or a poor  
66 ability to function in life. It is best understood based on learning theory and the principles of  
67 applied behavioural analysis. Mental illness is a structured diagnostic concept which  
68 encompasses a large range of recognised emotional and behavioural disorders. Mental  
69 illness diagnosis requires robust application of the diagnostic schedules. It is reasonable to  
70 state that most PWLD with mental illness have CB but majority of PWLD with CB might not  
71 satisfy criteria for mental illness. Therefore, the therapeutic approach to CB can be very  
72 different from a diagnostic one. However, there is significant overlap between CB and the  
73 presence of mental illnesses with the latter also being higher in PWLD than the general  
74 population. Deficits in communication, atypical clinical presentations and differences in  
75 diagnostic coding methods mean that mental illness can be under-recorded, particularly in  
76 those with severe degrees of learning disability (1, 4). This means that the clinician needs to  
77 be aware not just of what is observed behaviourally, but also whether there is something  
78 underlying diagnostically. A formulation based on both these elements is central to deciding  
79 whether there is a need to prescribe medication.

80 The vast majority of PWLD with CB and/or mental illnesses are seen in primary care. There  
81 have been concerns that psychotropic medication is used inappropriately in this group to  
82 merely deal with the former (5). It is suggested that about 30-35000 PWLD are on  
83 antipsychotics or antidepressants or both without appropriate indications (6) and that the  
84 proportion of PWLD treated with psychotropic medication exceeds the proportion with  
85 recorded mental illness (7). NHS England has developed a national programme to stop over-  
86 medication of PWLD (STOMP) (9). The imperative should be to rationalise clinical practice  
87 by carefully balancing the need to stop unnecessary treatment with the possibility of under-  
88 treatment that puts the patients or others at risk (1, 4).

89 Though psychotropic medication can include antipsychotics, antidepressants, mood  
90 stabilisers, stimulants or anxiolytics, particular attention has been focused on antipsychotics.  
91 With recent data from secondary care, i.e- mental health services, suggesting that  
92 antipsychotics are not widely used outside of evidence-based indications in PWLD (8), there  
93 is a need to particularly focus on prescribing in primary care.

94 In general for PWLD, there are 3 major circumstances in clinical practice which lead to  
95 antipsychotic prescribing;

- 96 1. They have a mental illness with psychotic symptoms
- 97 2. They have CB
- 98 3. Both of the above

99 Only acceptable indication is psychosis for the longer term prescribing of anti-psychotics.  
100 The rationale for prescribing antipsychotics- either as a definitive diagnosis or as a narrative  
101 account of target symptoms has to be clearly recorded (4). This recording appears to be  
102 problematic in primary care. While 71% of those PWLD on antipsychotics did not have the  
103 diagnosis of a severe mental illness, the comparable figure for the general population though

104 significantly lower was still 50% (7), suggesting that there is a need to improve the recording  
105 of the rationale for antipsychotic prescribing across the board. It is pertinent that in  
106 population studies, where ascertainment rates were recorded not just through primary care,  
107 the inappropriate prescribing rates for antipsychotics were found to be lower (10).

108 The Royal College of Psychiatrists has published practice guidelines and four audit  
109 standards for prescribing these drugs (4) in PWLD. This includes clearly documenting the  
110 indication for prescribing, recording consent or best interests decision-making processes,  
111 regularly monitoring treatment response and side-effects and regularly reviewing the need  
112 for continuation based on risks and benefits. These four audit standards incorporate the  
113 NICE recommendation (11) that if antipsychotics are considered for behaviour that  
114 challenges, then it should be only used if psychological or other interventions alone have not  
115 produced change within an agreed time or treatment for any coexisting mental or physical  
116 health problem has not led to a reduction in the behaviour or the risk to the person or others  
117 is very severe. It also takes account of the NICE guidance ((1, 12) which recommended that:

- 118 1. Prescribers should record full details of all medication including the doses, frequency and  
119 purpose
- 120 2. Record a summary of what information was provided about the medication prescribed to  
121 the patient and carers
- 122 3. Consider reducing or discontinuing antipsychotics for PWLD who are taking  
123 antipsychotic drugs and not experiencing psychotic symptoms and then review their  
124 condition
- 125 4. Annually document the reasons for continuing the prescription if it is not reduced or  
126 discontinued
- 127 5. Consider referral to a psychiatrist experienced in working with PWLD and mental health  
128 problems.

129 These recommendations and audit standards can pose a number of challenges in primary  
130 care. Firstly, there is the difficulty in changing a long established prescription that may have  
131 been the result of an inappropriate need (e.g. antipsychotic to manage acute distress), an  
132 appropriate but poorly recorded need (e.g. psychotic symptoms not recorded in patient  
133 notes), an unmet need (e.g. chronic social stressors) or resistance from carers, families and  
134 sometimes the patients themselves who may either see the medication as a 'quick fix' or  
135 genuinely feel that it has helped. Secondly, many primary care prescriptions may well have  
136 started as part of recommendations from secondary care. However, 'new ways of working'  
137 where psychiatrists and mental health teams handle only "complex" patients while leaving  
138 routine follow up and care to primary care has resulted in a large population of people with  
139 learning disability who are on repeat prescriptions without review from or access to  
140 secondary care services, a group that can be described as the 'vulnerable well'. Finally, any  
141 effort to change this status quo requires further resources to meet any unmet needs  
142 including access to psychology treatments, social care and other secondary care services.

143 A range of views exist from primary care on how this problem needs to be tackled –

- 144 1. A low threshold be present for referral to specialist teams to manage CB, but this  
145 could potentially over-burden specialist services
- 146 2. The GP if identifying a mental illness initially prescribes and assess outcomes and  
147 then refers if concerns persist. This however could lead to delay in specialised care  
148 to a vulnerable adult.
- 149 3. If there is concern in the context of uncertain or no obvious co-morbid mental illness  
150 to make a referral to specialist community team but this could potentially foster  
151 diagnostic overshadowing.

152 To address the practicalities of this issue, there is a need for close working between primary  
153 and secondary care services involving GPs, community pharmacists, specialist learning  
154 disability teams and psychiatrists in learning disability. An initiative is under way in Cornwall  
155 UK (pop: 550,000) with a pilot project involving all 64 GP practices, community pharmacists  
156 and specialist learning disability mental health teams to systematically stratify and reduce  
157 the level of antipsychotic prescribing. Using a computer program Eclipse, everyone who has  
158 a learning disability, but no other recorded mental illness and registered with a GP in  
159 Cornwall has been identified (n = 243). They are stratified from low risk to high risk based on  
160 the exposure to numbers and types of psychotropics with those on 2 antipsychotics being at  
161 the top (figure 1). Assurance of baseline wellbeing is done using patient/carer held physical  
162 wellbeing records (13). To ensure the best possibility of success a STOMP-ID toolkit has  
163 been designed to provide assurance of rationalization and if necessary requirement of  
164 continuation of medication. Results of this pilot study will clarify the inputs, costs and efficacy  
165 of a programme to address this urgent issue that affects some of the most vulnerable people  
166 in society. The likelihood of there being a single way in which this current burden can be  
167 reduced is unlikely. Outcomes from such pilots are best placed to inform how to develop a  
168 unified strategy in future.

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Figure 1: - Risk stratification table for STOMP – ID Cornwall

