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1 **Title: The Developing Landscape of Diagnostic and Prognostic Biomarkers for Spinal Cord**  
2 **Injury in Cerebrospinal Fluid and Blood**

3

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15

16 **Conflict of Interest:**

17

18 The authors declare no conflict of interest.

19

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25

26

27 **Abstract**

28 **Study design:** Review Study

29 **Objectives:** The identification of prognostic biomarkers of spinal cord injury (SCI) will help to  
30 assign spinal cord injured patients to the correct treatment and rehabilitation regimes. Further, the  
31 detection of biomarkers that might predict permanent neurological outcome would aid appropriate  
32 recruitment of patients into clinical trials. The objective of this review is to evaluate the current state-  
33 of-play in this developing research field.

34 **Setting:** Studies from multiple countries were included.

35 **Methods:** We have completed a comprehensive literature review of all studies (to our knowledge)  
36 that have investigated prognostic biomarkers in either the blood or CSF of animals and humans  
37 following SCI.

38 **Results:** Targeted and unbiased proteomic approaches have identified several putative prognostic  
39 biomarkers in CSF and blood. These proteins associate with cellular damage following SCI and  
40 include cellular components from neurons, oligodendrocytes and reactive astrocytes, i.e.  
41 neurofilament proteins, glial fibrillary acidic protein (GFAP), Tau, and S100 calcium binding protein  
42  $\beta$  (S100 $\beta$ ). Unbiased approaches have also identified microRNAs that are specific to SCI, as well as  
43 other cell damage associated proteins.

44 **Conclusions:** The discovery and validation of stable, specific, sensitive and reproducible biomarkers  
45 of SCI is a new but rapidly expanding field of research. To date, very few studies have utilised  
46 unbiased approaches aimed at the discovery of biomarkers within the CSF or blood in this field,  
47 however some targeted approaches have been successfully used. Several studies using various animal  
48 models and some with small human patient cohorts have begun to pinpoint biomarkers in the CSF  
49 and blood with putative prognostic value. An increased sample size will be required to validate these  
50 biomarkers in the heterogeneous clinical setting.

51 **Keywords**

52 Spinal cord injury; biomarkers; prognostic; cerebrospinal fluid; blood; proteomics

53 **1. Introduction**

54 There is now a vast and expanding body of literature describing different novel approaches for the  
55 treatment of spinal cord injury (SCI). Despite this, actions to treat and rehabilitate following SCI  
56 have not changed. Outside of clinical trials, SCI is typically managed either by surgical stabilisation  
57 or conservative management in the acute and subacute setting, followed by physiotherapy in the  
58 subacute and chronic phases of injury (1,2). It is clear that the SCI research field as a whole is  
59 experiencing a significant delay in the translation of new interventions into the clinic. There are  
60 many valid reasons why scientists and clinicians alike are cautious to translate new therapies into  
61 humans, particularly as setting up appropriate clinical trials to demonstrate safety and efficacy can be  
62 difficult (3).

63

64 There is a growing appreciation for the benefit of using biomarkers to help introduce new treatments  
65 and improve strategies of care for SCI patients. We suggest there are several ways (diagnostic,  
66 prognostic and therapeutic) in which measuring biomarkers in the blood or CSF might complement  
67 current clinical measures, such as the American Spinal Injuries Association (ASIA) International  
68 Standards for Neurological Classification of Spinal Cord Injury (ISNCSCI) scoring system and  
69 assessment of dry biomarkers such as magnetic resonance imaging (MRI) scans, to further the SCI  
70 field. Together a panel of biomarkers and neurological tests perhaps even including  
71 electrophysiological assessments may provide clinicians with a much clearer picture as to an  
72 individuals' severity of neurologic impairment.

73

74 Immediately following a SCI, besides those that have a complete AIS-A (equivalent to Frankel A)  
75 diagnosis where recovery of motor function, although possible (4), is relatively limited and  
76 predictable, the prognosis in the acute stage of SCI remains uncertain (5). For these patients,

77 knowing whether they will regain the ability to walk, irrespective of neurological, bladder or bowel  
78 function improvement, remains their key concern (6). Identification of a panel of biomarkers that  
79 could accurately predict an individuals' ability to regain neurological, physical and autonomic  
80 function, could be of great psychological benefit to these patients. Furthermore, depending on the  
81 individuals' prognosis, the treatment pathway could be tailored to ensure that optimal neurological  
82 and/or physical function is regained and that patient rehabilitative care is maintained until their best  
83 possible outcome is achieved.

84

85 ISNCSCI diagnosis of a SCI can be delayed due to problems associated with poly-trauma  
86 stabilisation or a lack of SCI expertise at the treating hospital. Therefore a diagnostic CSF or blood  
87 test that can be used to assess the neurological state of these individuals may provide a quicker,  
88 cheaper and more accurate method, which will empower clinicians to stratify patients to the most  
89 suitable treatments for their needs. Additionally, as novel treatments to target the acute phase of SCI  
90 develop, quick and accurate diagnoses of patients who will be appropriate to recruit to these clinical  
91 trials will ensure studies are appropriately powered to assess efficacy. Despite prediction of  
92 neurological improvement having been the focus of a majority of biomarker studies, there is also  
93 value in the use of biomarkers to predict other long-term outcomes, such as neuropathic pain, for  
94 which early intervention studies could be implemented to try and prevent the onset of these  
95 conditions.

96

97 Currently, in both routine clinical care and in clinical trials, the neurological condition of individuals  
98 is assessed by ISNCSCI grading and imaging modalities. Biomarkers that can easily be repeatedly  
99 measured within the blood or CSF of these individuals' to determine progressive neurological  
100 condition would be highly beneficial, as it would allow rapid determination as to whether the patient  
101 was improving, worsening or showed sustained neurological stability in response to their current

102 treatment; thus providing a biological surrogate outcome measure. Further, such biomarkers might  
103 indicate whether the patient has increased neurological plasticity in response to a treatment or  
104 rehabilitation regime. Finally, biomarkers released into the CSF and or blood, may provide a plethora  
105 of information as to the patients' biological response to SCI. As discussed below, different biological  
106 responses to SCI may lead to specific molecules being released into the CSF or blood; these fluids  
107 may contain a unique fingerprint that can be used by scientists and clinicians to elucidate the  
108 mechanisms underlying an individuals' SCI. Again, this could allow for personalised treatments to  
109 be provided to a patient that target their specific injury mechanisms and that can be used to assess  
110 their specific mechanistic responses.

111

112 In recent years, scientists have started to take up the challenge of discovering and validating  
113 biomarkers in the blood and CSF that have prognostic value in accurately diagnosing complete or  
114 incomplete SCI and determining SCI progression. This review aims to present an overview of the  
115 current state of play in this emerging field. We will explain how the biological process of SCI may  
116 lead to the release of biomarkers of interest into the CSF and blood; the techniques that are  
117 commonly used to find and validate these markers, and the pre-clinical and clinical studies that have  
118 already begun to highlight biomarkers of interest.

119

## 120 **2. SCI and the release of biochemical biomarkers**

121 This section of the review aims to highlight some of the major processes that occur following a SCI,  
122 which could lead to biomarker release. It is still unclear how biomarkers from the spinal cord are  
123 released into the blood following injury; however, we suggest that their release is likely to be highly  
124 influenced by the specific type of injury sustained and the biochemical properties of the biomarkers  
125 in question. The majority of biomarkers which have already been studied in both pre-clinical and  
126 clinical studies have been identified from targeted biomarker identification processes, i.e. looking for

127 markers that are likely released based on the known biological processes/mechanisms that occur  
128 following SCI.

129

### 130 2.1. Spinal cord tissue damage

131 In both animal models of SCI and in the human situation, spinal cord traumas fall broadly into two  
132 categories: transection injuries, where the spinal cord is penetrated with a sharp force; and the more  
133 common contusion traumas, where the spinal cord is essentially crushed (7,8). Both types of injury  
134 result in a breach of the blood brain barrier (BBB) and either immediate primary or secondary  
135 damage to the neurons and glia of the spinal cord tracts. Rupture of these cell types results in the  
136 release of biomarkers, largely cellular components, which are specific in the indication of nervous  
137 tissue damage and include neurofilaments (NF) (9), Tau (10), neuron specific enolase (NSE) (11),  
138 S100 calcium-binding protein  $\beta$  (S100 $\beta$ ) (11) and glial fibrillary acidic protein (GFAP) (9). These  
139 tissue specific biomarkers (discussed in greater detail below) hold great promise as they are typically  
140 released into the CSF then taken up into the blood stream, allowing for their detection local to the  
141 injury site and systemically. The quantity of these proteins in the CSF and blood might directly relate  
142 to the extent of neuronal or glial damage that has occurred following SCI (12,13).

143

### 144 2.2 Inflammation

145 In brief, the breakdown of the BBB allows for an influx of inflammatory cells into spinal cord  
146 tissues. Infiltrating leukocytes and resident microglia release proteolytic and oxidative enzymes,  
147 reactive oxygen species and an array of pro-inflammatory cytokines, including, for example, tumour  
148 necrosis factor-alpha (TNF- $\alpha$ ) (14,15). This spike in acute phase pro-inflammatory molecules can be  
149 measured in human blood in the first 24h following injury (16). Caution must be taken when  
150 considering the blood at this stage however, as many of the abundant proteins that are seen acutely  
151 after injury may be a result of the systemic response to trauma and not SCI *per se*; study of animal

152 models where matched ‘sham’ injuries can be performed allows for the opportunity to establish  
153 which proteins are SCI specific. The pronounced acute pro-inflammatory response to injury induces  
154 a reactive process of secondary damage in the tissues that surround the original injury site,  
155 exacerbating neuronal damage and neurological dysfunction (14). This secondary damage cascade  
156 can continue for several weeks following SCI, contributing to an expanding matrix of proteins  
157 associated with neuronal and glial cell apoptosis, such as soluble CD95 ligand (sCD95L), an initiator  
158 of the Fas apoptotic pathway (17).

159

### 160 2.3 Glial scarring

161 Glial cell activation and hypertrophy leads to the formation of a glial scar in the subacute and chronic  
162 phases of SCI (18). Astrocytes become reactive and synthesise an extracellular matrix which is  
163 effective in restoring the BBB, but that coincidentally inhibits axonal regrowth (18). The most potent  
164 of these astrocyte associated nerve inhibitory molecules are the neural chondroitin sulphated  
165 proteoglycans (CSPGs) (19,20). Myelin damage associated molecules represent the other major  
166 nerve inhibitory molecules within the glial scar, these include myelin-associated glycoprotein  
167 (MAG), Nogo-A and oligodendrocyte-myelin glycoprotein (OMgp) (21). There is a vast body of  
168 literature which confirms that CSPGs, MAG, Nogo-A and OMgp can inhibit neurite outgrowth *in*  
169 *vitro* and axonal regrowth *in vivo* (22–28) and that treatments which specifically target these  
170 molecules promote functional recovery in SCI pre-clinical studies both individually (29,30) and in  
171 combination (31). However, there is little research exploring the utility of these molecules as  
172 prognostic biomarkers detectable in the CSF (32). Perhaps this is because we associate such  
173 molecules with the subacute or chronic phases of injury, when a stable neurology is much more  
174 likely. However, biomarkers, such as CSPGs that could be used to monitor any transition from the  
175 sub-acute to chronic phase of injury might aid clinicians in decisions regarding rehabilitation.

176



177 **3. Detection of biomarkers for SCI using unbiased approaches**

178 Although it would be ideal, biomarkers of injury or disease are rarely either “detectable” or  
179 “undetectable”. In most cases, biomarkers vary in expression levels under different conditions. It is  
180 important, therefore, to have specific and sensitive methods to quantify these changes. Typically,  
181 immunoassays have been the method of choice for studies that aimed to evaluate SCI biomarkers  
182 within the blood or CSF. The enzyme-linked immunosorbent assay (ELISA) is the most commonly  
183 employed assay to date, and both homemade and commercial ELISA kits have been utilised.  
184 Automated immunoassay systems are available for some potential biomarkers e.g. the Liaison  
185 automatic analyser for S100 $\beta$  and NSE (9,33), but it seems unlikely that the use of automated  
186 systems will become widespread until such biomarkers have become fully validated for routine  
187 clinical use.

188

189 The vast majority of studies aimed at finding new biomarkers for SCI have been based on a  
190 hypothesis about a particular protein of interest. Shaw et al. (2005), for example, proposed that, due  
191 to their high abundance in neurons, detection of NF proteins in CSF and/or serum is highly likely to  
192 indicate neuronal damage (34). Of the three NF subunits (i.e. light (L), medium (M) and heavy (H)),  
193 phosphorylated NF-H (pNF-H) was thought likely to be the most readily detectable in serum or CSF  
194 following neurological injury because of its relative resistance to protease degradation (34). The  
195 results from this hypothesis-driven study formed the basis of several further studies to evaluate the  
196 prognostic potential of this biomarker following SCI (9,35).

197

198 Surprisingly very few studies, however, have employed higher-throughput techniques to identify new  
199 biomarkers of SCI. A search of PubMed using the terms “proteomics AND spinal cord injury” and  
200 “biomarkers AND spinal cord injury” identified just four publications in which the aim of the study  
201 was to identify new peripherally accessible biomarkers of SCI (Table 1). Even more surprisingly,

202 given the popularity in other fields of biomedical research (recently reviewed by Crutchfield et al.  
203 (2016) (36)), only two of these studies reported the use of unbiased quantitative proteomic techniques  
204 to find novel biomarkers of SCI in the CSF or blood, while the remaining two studies employed  
205 relatively low-throughput array technology. Notwithstanding the limitations of array technology-  
206 based screening, several potential SCI biomarkers were identified in this way. Using a 34-cytokine  
207 sandwich ELISA microarray, Light et al. (2012), identified increased levels of matrix  
208 metalloproteinase-8 protein in CSF samples taken from adult rats at 12 days post-SCI (37), and  
209 Hachisuka et al. (2014) found increased serum levels of the microRNAs miR-9, miR-219 and miR-  
210 384-5 in mice at 12hrs after contusion SCI (n=8) compared to sham injury (n=8) using a low-density  
211 microarray platform (Table 1) (38).

212

213 Despite some findings using array technology based screening, as expected, the unbiased quantitative  
214 proteomic comparisons were more fruitful in terms of the numbers of potential biomarkers that were  
215 identified. Using difference gel electrophoresis (DIGE) and mass spectrometry (MS) analysis to  
216 compare CSF from patients at 1-8 days post SCI, Sengupta et al. (2014) identified eight proteins that  
217 were differentially expressed between complete and incomplete injured patients (39) (Table 1). Using  
218 a high-throughput label-free liquid chromatography-MS/MS quantitative proteomics technique,  
219 Lubienicka et al. (2011) compared CSF taken from rats at 24hrs post-SCI and identified 42 putative  
220 biomarkers; 10 of which are indicative of SCI severity (40) (Table 1). Moghieb et al. (2016) also  
221 used MS to identify biomarkers of SCI, however, their approach was not to initially look for CSF or  
222 blood biomarkers, instead they assessed protein changes within spinal cord tissue segments, of which  
223 Transferrin, Triosephosphate Isomerase 1, Cathepsin D and Phosphoprotein Enriched In Astrocytes  
224 15 (PEA-15) were confirmed as altered in human SCI CSF (41).

225

226 Despite proteomics providing a popular platform for novel biomarker identification in many fields of  
227 study, other high-throughput techniques, such as lipidomics and metabolomics are also valuable in  
228 biomarker identification (36). As is the case with proteomics, only a limited number of published  
229 studies have utilised these approaches to elucidate biomarkers for SCI. Xu et al. (2015)  
230 demonstrated, by assessment of lipidomic analysis of polyunsaturated fatty-acid containing  
231 phosphatidylcholines within the spinal cord tissue, that spatiotemporal expression of one of these  
232 phosphatidylcholines matched with reactive microglia and astrocyte activity (42). Although not  
233 directly relevant to CSF or blood biomarkers, Xu et als' study indicates that lipidomic analysis of  
234 these fluids may clarify the role of lipid metabolism and damage of the cell membrane following SCI  
235 (42). There is also a need to further study the metabolome of CSF and/or blood of SCI patients, as  
236 this represents the end-point of all gene, transcript and protein interactions (43). Peng et al. (2014)  
237 published a comprehensive paper highlighting that metabolomic analysis of plasma from SCI rats led  
238 to identification of a panel of metabolites that could be used to selectively determine injured  
239 compared to sham injured animals, based on metabolite measurements alone (44). Analysis of these  
240 metabolites within the plasma of human SCI patients' is required to see if these findings translate to  
241 man and further similar metabolomic studies of human blood samples may also pinpoint other  
242 biomarkers.

243

#### 244 **4. Identifying biomarkers in the CSF and blood of pre-clinical models and human SCI patients** 245 **using 'targeted' approaches**

246 As discussed previously, the vast majority of studies that aimed to assess CSF or blood biomarkers of  
247 SCI have done so based on 'targeted' proteins that are known to relate to the biological processes that  
248 occur following a SCI. Many of these biomarkers have so far been assessed in pre-clinical models of  
249 SCI. Pre-clinical models are highly controllable and provide the opportunity to measure differences  
250 in the concentration of a biomarker in animals with a SCI and sham-injured animals (a comparison

251 not possible using human subjects). These models also allow for longitudinal analyses comparable to  
252 acute, sub-acute and chronic timeframes post-SCI. It is, however, difficult to relate the phases of  
253 injury in rodent models to that of the human situation, particularly as much depends on which of the  
254 models of injury are used, and as such there is no published consensus of opinion.

255

256 Causes of human SCI are wide-ranging therefore several different animal models have been  
257 generated in an attempt to account for this diversity, although it is extremely unlikely that any animal  
258 model will ever be able to replicate the complexity of human injury. As discussed previously, the two  
259 major categories of SCI are sharp force or “stab” lesions and contusive injuries. In rodent models,  
260 contusion injuries are most commonly induced using blunt force impact devices (45), in which  
261 calibrated weights are dropped onto an impounder which is rested on the surgically exposed spinal  
262 cord (46,47). This technique allows for varying degrees of injury depending on the amount of force  
263 used. Other methods of inducing an injury include the use of an aneurysm clip or calibrated forceps  
264 to compress the cord for a set time-period (48,49). Contusion injuries are commonly used as models  
265 of incomplete injury, whereas to study complete injury, complete transection of the spinal cord is  
266 often carried out using either microscissors or a scalpel blade cutting all of the spinal cord tracts by  
267 surgical incision and under visual control using suction to visually check for a complete injury  
268 (50,51).

269

270 Both human and pre-clinical models have been utilised to identify potential biomarkers of SCI  
271 progression. Tables 2 and 3 detail all of the studies (to our knowledge) that have assessed CSF and/or  
272 blood biomarkers of SCI in pre-clinical and human models, respectively. Here we discuss the leading  
273 candidate biomarkers of SCI severity and prognosis identified thus far, based on their known  
274 relevance to the biological processes that result following SCI.

275

276 4.1 Neurofilament proteins

277 Neurofilament proteins (NF) are the most abundant proteins in the neuronal cytoskeleton (52). They  
278 interact with other cytoskeletal proteins to regulate axonal transport and neuronal signalling (52). The  
279 presence of extracellular NF proteins is an indication of axonal damage and NF accumulation is seen  
280 in several neurological diseases (53) including multiple sclerosis (54–56), amyotrophic lateral  
281 sclerosis (54,57) and traumatic brain injury (TBI) (58). NF proteins have long half-lives (3 weeks and  
282 2.5 months for NF-L and pNF-H, respectively) (59,60) and pNF-H, in particular, is highly resistant  
283 to breakdown by calpain and other systemic proteases (32). These proteins, therefore, provide  
284 attractive candidate biomarkers for SCI as they are not broken down before detection would be  
285 possible. The phosphorylated form of NF-H (pNF-H) (9,34) and NF-L (57,58) are the two subunits  
286 which have been most widely considered as biomarkers for SCI and shall be discussed in more detail  
287 below.

288 4.1.1 Neurofilament- heavy chain (NF-H)

289 SCI has been shown to result in increased levels of pNF-H in the CSF and blood of humans, rats and  
290 canines (9,34,61,62), as assessed using ELISA. In rat serum for example, no pNF-H can be detected,  
291 using ELISA, in uninjured and sham injured animals, however, severe experimental SCI results in  
292 high levels of measurable pNF-H (34). A detailed study of serum pNF-H concentrations (again  
293 assessed using ELISA) in rats with contusion (n=8) and spinal hemisection (n=13) injuries resulted in  
294 biphasic pNF-H being detectable in the late acute, sub-acute and chronic phases of both injuries (34).  
295 A sharp peak in pNF-H was observed at 16h post-SCI whilst maximal serum concentrations were  
296 seen at 3 days post-SCI, returning to baseline levels at approximately 18 days (34).

297 Animal studies have also revealed that blood pNF-H levels can indicate disease severity and directly  
298 relate to functional outcome. Nishida et al. (2012) demonstrated that in dogs with degenerative disc  
299 disease (DDD; n=60), pNF-H levels rose incrementally with the grade of injury severity observed  
300 (62). This study also demonstrated that those animals with the highest serum pNF-H levels at

301 veterinary presentation post-SCI were not able to regain the ability to walk following surgery (62).  
302 Ueno et al. (2011) also demonstrated a negative correlation ( $r = -0.78$ ) between rat plasma pNF-H  
303 levels at 3 days post SCI and hindlimb function at 28 days post SCI (assessed using Basso, Beattie,  
304 Breshnahan (BBB) score) (61).

305

306 A small cohort of human studies also indicates that there is a correlation between pNF-H and disease  
307 state. In the CSF of SCI patients ( $n=15$ ), pNF-H concentrations are higher at 6 to 48h post trauma  
308 compared to that in uninjured individuals ( $n=6$ ) (35). Further, Pouw et al. (2014), found that NF-H  
309 concentrations in CSF were significantly greater in motor complete ( $n=9$ ) patients compared to motor  
310 incomplete patients ( $n=7$ ) (9). In a recent, slightly larger study, pNF-H levels in the serum of SCI  
311 trauma patients ( $n=26$ ) were significantly greater compared to controls with spinal fracture but no  
312 spinal cord trauma ( $n=9$ ) at 24h and 48h post-injury (63). These studies indicate that the  
313 measurement of pNF-H within the CSF and peripheral blood has potential as a prognostic biomarker  
314 in the acute phase of SCI.

315

#### 316 4.1.2 Neurofilament- light chain (NF-L)

317 Levels of NF-L have been assessed in both the CSF and serum of SCI patients (64,65). Guez et al.  
318 (2003) found there to be increased levels of NF-L in CSF following SCI compared to uninjured and  
319 whiplash injured patients (64). This study also demonstrated that for a patient with complete injury  
320 and complete tetraparesis with no long term neurological improvement, NF-L levels were 10-fold  
321 higher than in a complete injured patient who improved to AIS-D by 15-months post-injury (64).  
322 This indicates that NF-L also may have utility as a biomarker of a patients' prognosis. In the later,  
323 larger study, NF-L correlation with SCI severity and neurological outcome was confirmed (65). NF-  
324 L concentrations were found to be higher in the motor complete ( $n=13$ ) patients (70 pg/mL) and  
325 motor incomplete ( $n=10$ ) patients compared to others with central cord syndrome ( $n=4$ ; 6 pg/mL) and

326 uninjured controls (n=67; 5pg/mL). Unlike pNF-H, the potential of NF-L as a biomarker for SCI has  
327 not been strengthened by pre-clinical studies. Despite this, NF-L is shown in preliminary human  
328 studies to have potential value in the classification of patients with or without capacity for  
329 neurological improvement.

330

#### 331 4.2 Tau

332 Tau proteins are microtubule stabilising proteins that are highly abundant in neurons (66–68). Like  
333 NFs, these proteins function to maintain axonal transport and neuronal transmission (69). Expression  
334 of Tau proteins within the CSF or blood of animals and humans is likely indicative of neuronal  
335 damage, as these proteins are not usually secreted (10). Although several investigations into the use  
336 of Tau as a biomarker for neurodegenerative diseases, such as conversion from mild cognitive  
337 impairment to Alzheimer’s disease (70), have been described, there are fewer studies examining  
338 these proteins as putative biomarkers for SCI.

339

340 There are no publications of SCI research into Tau as a biomarker in typical laboratory animal model  
341 of SCI, however, veterinary studies looking to use Tau as a marker of SCI in dogs following IVD  
342 herniation (IVDH) suggest that an acute rise in Tau levels might indicate decreased capacity for  
343 functional recovery (71). In a study of 51 dogs, CSF was collected immediately upon admission to  
344 the veterinary hospital (71). As well as Tau levels increasing with injury severity (higher in  
345 incomplete injured compared to healthy animals and in complete compared to incomplete injured  
346 animals), the highest levels of CSF Tau protein corresponded with those dogs which took the longest  
347 time to recover function (71).

348

349 In human studies, the consequence of SCI on Tau levels is not overly clear. Pouw et al. (2014)  
350 assessed Tau levels in CSF collected within 24h of injury in motor complete and motor incomplete

351 patients and found no significant differences associated with the degree of SCI (9). In contrast, two  
352 studies from Kwon et al. (2010 & 2016) found that in CSF collected from patients within 48h of  
353 injury with complete or incomplete SCI, Tau concentrations were significantly elevated in a severity-  
354 dependent manner (72,73). Interestingly, increased CSF Tau concentrations found between complete  
355 and incomplete injured individuals was observed at the 24h time-point (72,73), which in the Pouw et  
356 al (2014) study showed no significant difference. This discrepancy between the studies is probably  
357 due to a difference in patient numbers (Pouw et al. (2014), n=16; Kwon et al. (2010), n=27; Kwon et  
358 al. (2016), n=50) and possibly a difference in time between injury and start of CSF collection, as  
359 Pouw et al. (2014) started collecting CSF within 24h of injury (9), whereas Kwon et al (2010 &2016)  
360 started up to 48h after injury (72,73). In combination with other markers, Tau can predict initial AIS  
361 grade and if its' baseline measurement is low it can predict an improvement in AIS grade by 6  
362 months post-injury (73).

363

364 Kwon et al. (2010) plotted Tau concentrations within the CSF from 8 to 120 hours following a SCI  
365 (72). Interestingly, the concentration of Tau remained higher in AIS-A patients compared to AIS-B  
366 and AIS-C graded patients through to 48h after injury however no difference in CSF concentrations  
367 of Tau existed between 48 and 120h post-injury (72). This observation highlights the dynamic nature  
368 of the biological processes that follow a SCI and the importance of assessing candidate biomarkers  
369 over time to ensure the most appropriate time is selected for measurement of differences in  
370 biomarkers.

371

#### 372 4.3 Neuron Specific Enolase (NSE)

373 Neuron specific enolase (NSE) is the dimeric neuronal form of the glycolytic enzyme enolase. This  
374 enzyme is a marker of ischemic brain damage (74) and although it only has a short biologic half-life  
375 ( $\leq 24h$ ) (75), NSE holds promise as an acute indicator of neuronal damage.



376

377 NSE levels are elevated in the CSF, plasma (76) and serum (77) of rats in the acute phase of SCI.  
378 Further, NSE levels continue to be elevated at 24h post-injury in the serum of SCI compared to sham  
379 injured rats (77), however, assessment in CSF or plasma for time-periods greater than 24h post-SCI  
380 has not been evaluated in rodent models. Again, in humans NSE has only been assessed in the acute  
381 period post-injury ( $\leq 24$ h) (9,78) and measurement outside of this timeframe may be inappropriate  
382 with respect to the short half-life of this protein.

383

384 Nonetheless, NSE has been shown to have potential as an indicator of SCI severity. In rats with mild  
385 (n=20), moderate (n=20) and severe (n=20) spinal cord contusion injuries, 6h measurements of CSF  
386 and plasma showed significantly greater levels of NSE in moderately and severely injured rats (with  
387 greater NSE levels in the severely vs. moderately injured) compared to mildly injured animals (77).  
388 In humans, higher NSE concentrations were observed in the CSF of motor complete (n=9) compared  
389 to motor incomplete patients (n=7)(9). Results from Wolf et al. (2014) however, suggest that  
390 measurement of NSE in the serum of patients may be inappropriate to assess disease severity, as  
391 serum NSE concentrations within 24h of injury were no different when compared to vertebral injured  
392 patients with (n=12) or without (n=22) neurological deficit (78).

393

#### 394 4.4 S100 calcium binding protein $\beta$ (S100 $\beta$ )

395 S100 $\beta$  is a glial specific S100 protein that is released into blood and CSF during the acute phase of  
396 brain injury (79). S100 $\beta$  is involved in a diverse range of functions including calcium homeostasis,  
397 enzyme activity and metabolism, cell proliferation and differentiation (80). Measurement of S100 $\beta$   
398 has potential as an acute marker of SCI, as it is significantly increased in the blood (76,77,81) and  
399 CSF (76) of rats at 6h after severe contusion injury compared to sham injury. In the human acute  
400 setting (<48h), S100 $\beta$  is also increased in the serum of patients with vertebral spine fractures

401 (mean=0.77  $\mu\text{g/L}$ ; n=34) compared to uninjured patients (0.14  $\mu\text{g/L}$ ; n=29) (78) and in the CSF of  
402 AIS-A grade patients compared to those with an AIS-B or C ISNCSCI score (73). Further, Pouw et  
403 al. (2014) showed there to be higher levels of detectable S100 $\beta$  in the CSF at 24h in those patients  
404 who did not show improvement in AIS score at 6 or 12 months post-injury (9). This finding is  
405 corroborated by Kwon et al. (2016), who showed decreased S100 $\beta$  concentrations within the CSF up  
406 to 48h after injury in SCI patients who demonstrated an improvement in AIS grade by 6 months post-  
407 injury (73). Therefore, early acute phase assessment of S100 $\beta$  within the CSF could provide a  
408 predictive biomarker of neurological improvement.

409

410 Assessment of serum and CSF S100 $\beta$  concentrations outside of the acute setting has not yet been  
411 studied. However, results from animal studies demonstrate that by 24h post-injury, S100 $\beta$  levels are  
412 unaltered in response to SCI (77), perhaps limiting the potential of this biomarker for clinical use to  
413 the acute setting only. In addition, S100 $\beta$  has been measured in conjunction with NSE in two animal  
414 studies (76,77) which indicated that co-measurement, rather than singular measurement of these  
415 markers in the acute stages of injury is a more robust prognostic indicator of SCI severity.

416

#### 417 4.5 Glial Fibrillary Acidic Protein (GFAP)

418 The intermediate filament protein found in astroglia, glial fibrillary acidic protein (GFAP), is a  
419 widely acknowledged biomarker of severe brain damage resulting from haemorrhage or serious  
420 trauma, with both serum and CSF levels being higher in patients with traumatic brain injury (TBI)  
421 compared to uninjured controls (82). Despite the fact that GFAP is an established marker of neural  
422 injury in other fields, very few studies have investigated its potential as a biomarker of SCI. In a  
423 small preliminary study, Yokobori et al. (2015), demonstrated higher GFAP levels in the CSF of rats  
424 in the acute phase following contusion injury (n=4) compared to sham injured animals (n=4) (83).  
425 Ahadi et al. (2015) (63) demonstrated that GFAP is also increased in the serum of human acute SCI

426 patients (n=26) compared to uninjured controls (n=9). Further, Pouw et al. (2014) and Kwon et al.  
427 (2016) confirmed that CSF GFAP concentrations were higher in complete vs. incomplete SCI  
428 patients and hence that GFAP concentrations appear to be associated with SCI severity (9,73).  
429 Measurement of CSF GFAP within 48h of injury has also been used, in combination with other  
430 inflammatory and structural markers, to predict which AIS-A patients would show an improvement  
431 in AIS score by 6 months post-injury, with an 83% success rate (73). Therefore acute assessment of  
432 CSF GFAP may provide a predictive biomarker of neurological improvement. Longitudinal analyses  
433 by Yokobori et al (2015) (83) showed maximal GFAP levels in CSF in rats at 4h post SCI, with CSF  
434 concentrations decreasing sequentially at 24h and 48h after injury (83); further studies are required to  
435 ascertain GFAP levels in the chronic phase of SCI.

#### 436 4.6 Pro-inflammatory cytokines

437 Unsurprisingly, SCI can lead to the release of pro-inflammatory cytokines across the BBB.  
438 Therefore, several researchers have investigated whether concentrations of these cytokines in the  
439 blood of SCI patients relate to neurological outcome. TNF- $\alpha$  is a cytokine involved in the acute phase  
440 of pro-inflammatory signalling and is increased in the serum of SCI patients (n=56) compared to  
441 uninjured controls (n=35) in the sub-acute phase (2-52 weeks) (84). This pattern of increased serum  
442 TNF- $\alpha$  concentrations following SCI (n=6) compared to sham injury is maintained in rats (85).  
443 Moreover, SCI patients who show improved neurological function, had lower TNF- $\alpha$  at 9h,  
444 compared to SCI patients who failed to improve neurologically (16). Interleukin 1 beta (IL-1 $\beta$ ) is a  
445 key moderator of proliferation and inflammation that is thought to be vital for the formation of the  
446 glial scar (86). Ischaemia/ reperfusion SCI in rats (n=6) resulted in increased serum IL-1 $\beta$  levels at  
447 both 24 and 48 hrs after injury when compared to sham injured rats (n=6) (85). Despite human CSF  
448 or blood measurements of IL-1 $\beta$  not having been compared between SCI and uninjured individuals,  
449 baseline assessment (4 hrs after hospital admission) of this cytokine in serum showed no difference  
450 between patients who did or did not show an improvement in AIS score (16). Between weeks 1 and 4

451 after injury, however, serum IL-1 $\beta$  concentrations decreased significantly, only in patients who did  
452 not show an improvement in AIS score (16), indicating that maintenance of higher serum IL-1 $\beta$   
453 concentrations may lead to improved neurological outcome. Previously, a pre-clinical model has also  
454 indicated that Interleukin 6 (IL-6) may be a suitable blood biomarker to diagnose SCI, as at both 24  
455 and 48 hrs after SCI serum concentrations of IL-6 were greater when compared to sham injured  
456 rodents (85). More recently, Kwon et al (2016) have demonstrated CSF concentrations of pro-  
457 inflammatory cytokines IL-6 and Interleukin 8 (IL-8) can be assessed in the acute phase of human  
458 injury ( $\leq$ 48h) to both determine injury severity and to predict neurological improvement from an  
459 AIS-A to either AIS-B or C grade by 6 months post-injury (73).

460

#### 461 4.7 Soluble CD95 ligand (sCD95L)

462 During the acute and subacute phase of SCI, neuronal damage via apoptosis is prolific. The Fas  
463 ligand receptor system is key in driving this apoptotic response (87). Soluble CD95 ligand  
464 (sCD95L/Fas-L) is a cleavage product of the type II transmembrane protein CD95L (17), which  
465 when activated and bound to CD95 (Fas) can initiate the Fas apoptotic pathway. sCD95L induces  
466 neutrophil secretion of pro-inflammatory chemokines (88). Although blocking the CD95 pathway in  
467 SCI rats improved functional outcome, assessment of human blood sCD95L via ELISA, showed no  
468 difference in concentration when comparing complete vs. incomplete injured patients at 4h and 12  
469 weeks post injury (89,90). It is of note, however, that in these human studies no uninjured control  
470 group was included; as such it is difficult to determine whether sCD95L concentration alters at all in  
471 response to SCI.

472

### 473 **5. Discussion**

474 This review has aimed to evaluate biomarkers in the CSF and/or blood that are currently under  
475 assessment as potential indicators of SCI diagnosis, severity and likely neurological outcome in

476 preclinical and clinical studies. These studies have aimed to establish whether biomarker detection in  
477 CSF and blood is possible, to determine the longevity and stability of these biomarkers in each body  
478 fluid, and their value in predicting neurological outcome, as assessed by ISNCSCI score. All of the  
479 studies described are either in the pre-clinical stages of biomarker validation or have been undertaken  
480 only in a small number of human patients. Pre-clinical models provide an invaluable tool in which  
481 biomarker characteristics can be studied without the added complexity of clinical human-to-human  
482 SCI variability. Importantly, the use of sham-injured animals for comparison ensures that biomarkers  
483 that are specific to SCI are identified, as sham-injury can account for systemic responses, such as  
484 systemic inflammation, that may occur in relation to the ‘trauma’ of sham injury. In human studies  
485 that have compared biomarkers between SCI and healthy ‘controls’ (91), such healthy individuals are  
486 unlikely to demonstrate any of the systemic biological responses that may exist, therefore some of  
487 the protein differences observed between the injured and control groups are likely to be non-specific  
488 to SCI. Access to appropriate human ‘sham injury controls’, where the same level and type of trauma  
489 is observed along with matched patient demographics but without any injury to the spinal cord tissue  
490 is impossible to obtain. Guez et al. (2003), however, have assessed the utility of comparing SCI  
491 patients to individuals who had severe whiplash as a form of human ‘sham’ injured control. The  
492 majority of candidate biomarkers in the described literature represent neural structural proteins which  
493 are likely to be damaged following SCI and released into the CSF and blood following disruption of  
494 the BBB. A cautionary aspect to consider for these SCI biomarkers is that some are known to  
495 increase in the CSF and blood of individuals with brain injury or nervous system disease  
496 (58,74,79,82); these confounding factors should be taken into consideration when exploring their  
497 utility in the clinic, especially in incidences of polytrauma. Further, some of the biomarkers that have  
498 indicated potential in SCI biomarker development have a short half-life (e.g. NSE), therefore  
499 accurate measurement of these may need to be carried out immediately after injury. Unfortunately,

500 the assessment of SCI biomarkers in the acute setting (<24h) might not always be possible,  
501 particularly in complex polytrauma cases where patient stabilisation is the priority.

502

503 Several of the studies included in this review have assessed biomarkers solely within the CSF. It is  
504 intuitive to think that body fluids local to the injury site will contain the highest concentration of SCI  
505 specific molecules, metabolites or proteins. This has been confirmed by studies that have directly  
506 compared human biomarker concentrations in matched CSF and blood samples, which have  
507 demonstrated that acutely after injury ( $\leq 48$ h) concentrations of IL-6, IL-8, MCP-1, Tau, S100 $\beta$  and  
508 GFAP were at least 10 fold higher in the CSF compared to the blood (72); much higher CSF  
509 concentrations of biomarkers, including GFAP, were also demonstrated by Yokobori et al. (2015)  
510 (83). The collection of CSF from SCI patients however, increases their risk of infection of the  
511 meninges and has cost implications for the health service provider (92). Alternatively, if biomarkers  
512 can be identified systemically, the collection and analysis of peripheral blood would represent a less  
513 risky and more cost-effective approach. Therefore, there is benefit in pursuing techniques that are  
514 sensitive enough to detect differences in biomarker concentrations in blood, however, initial  
515 assessment of potential biomarkers may best be carried out in CSF where more apparent changes are  
516 likely to be noted.

517

518 The majority of published studies that have assessed blood or CSF biomarkers in human SCI patients  
519 have assessed the effectiveness of a biomarker based on its ability to predict or correspond to  
520 ISNCSCI score. However, it may be that other measures of progression, such as improvements in  
521 hand grasping, medical imaging or electrophysiology provide more subtle improvements, which  
522 could more easily be unpicked by a difference in biomarkers.

523

524 The use of unbiased approaches to screen for putative biomarkers of SCI progression in CSF and  
525 blood, for example quantitative proteomic approaches, have so far been largely overlooked, but are  
526 likely to yield the greatest number of novel biomarker targets. The limited proteomic analyses of  
527 CSF from SCI patients that exists provides a benchmark for the number of novel candidates that can  
528 be identified (41), however, there is currently a lack of any essential follow-on validation via  
529 quantitative western blot or ELISA. An alternative approach to identifying novel biomarkers using a  
530 high-throughput approach, may be to assess protein changes within the spinal cord tissue and then  
531 evaluate whether these changes are reflected in the CSF or bloods, as could be demonstrated by  
532 Moghieb et al. (2016) (41). Alternatively, as bioinformatic approaches aimed at interpreting large  
533 proteomic datasets improve, initial *in silico* validation of the candidate biomarkers might be possible  
534 as an interim step before completing costly quantitative validation; an approach which has been  
535 effective in Alzheimer's disease (93).

536

537 In this review, we have evaluated the current state-of-play in the CSF and/or blood biomarkers of  
538 SCI research landscape, this review highlights some of the potential pitfalls which need to be  
539 overcome to ensure the clinical utility of biomarker candidates, such as accounting for polytrauma  
540 and delayed SCI diagnoses. In addition, it is clear that further investigation is required, to include  
541 much larger cohorts of human participants with a diverse range of injuries in order to confirm the  
542 clinical validity of the preliminary biomarker findings described. The need to identify and validate  
543 novel prognostic biomarkers that can be measured within the blood or CSF, for the assessment of  
544 SCI progression using unbiased approaches has also been discussed.

545

546 It is highly unlikely that a single biomarker measurement will ever be used on its own to accurately  
547 predict SCI recovery in the clinic. We suggest that demographic and injury associated risk factors as  
548 well as the evaluation of 'dry' biomarkers i.e. radiological imaging modalities and

549 electrophysiological measurements in combination with the quantitation of several validated CSF  
550 and/or blood biomarkers will ultimately be used to provide a ‘risk of SCI progression’ index. Such a  
551 prognostic risk index would greatly advance the clinical management of SCI patients, reducing  
552 uncertainty for both patients and health care providers in the acute SCI setting and providing  
553 confidence in neurological stability prior to the recruitment of SCI patients into clinical trials.

554

555 Finally, this review highlights the fact that very few studies have been published to identify  
556 biomarkers for other uses in the SCI field. Undoubtedly, biomarkers that could be used in clinical  
557 trials that aim to target specific disease mechanisms, such as remyelination, would be invaluable for  
558 assessing efficacy of a particular treatment and the mechanism of interest. Further, biomarkers that  
559 could be used to identify patients who will develop other long-term problems, such as neuropathic  
560 pain would also be advantageous for the stratification of patients to particular treatment.



Reference	Injury Type	Sample numbers	Species	Sample	Time of sampling (after SCI)	Method of Biomarker screening	Candidate Biomarkers	
<i>Light et al., 2012 (37)</i>	Contusion Sham	n=4 n=4	Rat	CSF	12 days	Cytokine ELISA microarray	Matrix Metalloprotease-8 Thymus Chemokine-1	
<i>Hachisuka et al., 2014 (38)</i>	Contusion (mild) Contusion (severe) Sham Untreated	n=8 n=8 n=8 n=8	Mouse	Serum	12h	Taq-man low density array	miR-219 miR-384-5p miR-9	
<i>Sengupta et al., 2014 (39)</i>	Complete Incomplete  Complete Incomplete	n=7 n=8  n=3 n=3	Human	CSF	1-8 days (acute)  15-60 days (sub-acute)	Difference gel electrophoresis (DIGE) and matrix assisted laser desorption/ ionisation-mass spectrometry (MALDI-MS)	GTF3C5 HP IGHG2 IGHG4	ALB TF AZGP1 APOH
<i>Lubienicka et al., 2011 (40)</i>	Contusion (moderate) Contusion (severe) Sham	n= 9  n= 9 n= 9	Rat	CSF	24h	Liquid chromatography-mass spectrometry (LC-MS/MS)	YWHAG ORM1 A1M A2M APOA1 APOH B2M CA1 CA2 C3 C1 CRP FAM3C GPX3 ITIH4 ITIH3 LASMP F11R KNG1	LDHA IGKC NBL1 SCG5 PRDX2 PZP ZMYND8 S100A8 F2 SCG3 SERPINC1 CDH13 MAP1 YWHAZ

**Table 1 Candidate blood and/or CSF biomarkers for SCI identified from high-throughput techniques**

Reference	Biomarker	Injury type	Sample numbers	Species	Sample	Time of sampling (after SCI)	Findings
<i>Ueno et al., 2011 (61)</i>	pNF-H	Moderate contusion	n=4	Rat	Plasma	1, 2, 3, 4 days	Investigated if minocycline treatment could improve recovery following SCI by looking at pNF-H as a potential biomarker. pNF-H was detectable from 1 day post SCI, with levels peaking at 1 day. pNF-H levels were lower in rats which had improved hindlimb function (BBB score). A negative correlation between pNF-H level at 3 days post SCI and BBB score at 28 days post injury existed.
<i>Nishida et al., 2012 (62)</i>	NF-H	Paraplegia with IVDH	n=60 control: n=6	Dog	Serum	1-3 days	pNF-H was higher in animals with worse paraplegia (grade 5 vs grade 4). Eight dogs with the highest pNF-H levels were unable to walk following surgery.
<i>Shaw et al., 2005 (34)</i>	pNF-H	Contusion Spinal hemisection	n=8 n=13	Rat	Serum	5, 2, 8, 16, 24h 2-21 days	Increased pNF-H in SCI (contusion and spinal hemisection) injured vs. sham injured. pNF-H increased in the first few hours of injury and peaked at 16h post SCI. pNF-H levels had a second high peak observed at 3 day post SCI before returning to baseline levels at 18 days post SCI.
<i>Roerig et al., 2013 (71)</i>	Tau	IVDH	n=51	Dog	CSF	At time of veterinary admission	Tau levels were increased in dogs with motor complete injury compared to healthy or motor incomplete injured dogs. Dogs which improved at least one neurological grade within a week had lower tau concentrations than those that took longer to recover.
<i>Loy et al., 2005 (77)</i>	NSE; S 100 $\beta$	Moderate contusion Severe contusion	n=12 n=10	Rat	Serum	6, 24h	Significantly higher serum NSE levels were noted at 6h and 24h following SCI compared to sham injured animals. Significantly higher serum S100 $\beta$ levels at 6h in severely injured rats. S100 $\beta$ levels were not significantly different when comparing SCI and sham injured rats at 24h.

<i>Cao et al., 2008 (76)</i>	NSE; S100 $\beta$	Mild contusion Moderate contusion Severe contusion	n=20 n=20 n=20	Rat	CSF; Serum	30 mins 2,6,12,24h	Significant increase in NSE and S100 $\beta$ levels in both serum and CSF from 2h post SCI compared to sham injury. At 6h post SCI, CSF and plasma NSE and S100 $\beta$ were significantly higher in moderate and severely injured rats compared to mildly injured rats and were significantly higher in severely injured rats compared to moderately injured rats.
<i>Ma et al., 2001 (81)</i>	S100	Spinal compression	n=40 control: n=24	Rat	Serum	2, 6, 13, 24h 3, 6, 10 days	Serum S100 increased within 3h after injury in the SCI rats. Levels of serum S100 peaked at 3h, 12h and 3 days after SCI and was significantly higher than levels in serum of sham injured rats at all three time points tested.
<i>Yokobori et al., 2015 (83)</i>	GFAP; SBDP120; SPDP145	Contusion	n=4	Rat	CSF	4, 24, 48h	GFAP and UCH-L1 levels in the CSF were increased at 4h, 24h and 48h post SCI compared to sham injury. CSF GFAP levels were highest at 4h post injury, then decreased at 24h and 48h. UCH-L1 was increased at 4h but not 24h or 48h after SCI when compared to sham injured animals.
<i>Hasturk et al., 2009 (85)</i>	TNF- $\alpha$ IL-1 $\beta$ IL-6	Spinal ischemia/ reperfusion	n=6	Rat	Serum	24, 48h	Serum TNF- $\alpha$ , IL-1 $\beta$ and IL-6 was elevated following ischemia/reperfusion injury compared to sham injury at 24 and 48 hrs. None of the cytokines showed altered abundance at 24 compared to 4 hr in injured rats.
<i>Hachisuka et al., 2014 (38)</i>	miRNA	Mild contusion Moderate contusion	n=8 n=8	Mice	Serum	3, 12, 24h 3, 5, 7, 14, 21, 28, 35, 42 days	miR9 and miR384-5p were significantly higher in mouse serum at 3h, 12h, 24h and 72h following SCI compared to sham injured mice. miR219 was significantly higher in mouse serum at 3h, 12h and 24h following SCI compared to sham injury.

**Table 2 Biomarkers of SCI identified and/or validated using animal models**

Abbreviations: BBB, Basso, Beattie, Bresnahan score; CSF, cerebrospinal fluid, IVDH, intervertebral disc herniation; NF-H, neurofilament heavy chain; NSE, neuron specific enolase; GFAP, glial fibrillary acidic protein; S100 $\beta$ , S100 calcium binding protein  $\beta$ ; SCI, spinal cord injury

Reference	Biomarker	Patient groups	Sample numbers	Spinal Level (n)	AIS Grade (n)	Age [y] Mean (Range) M/F ratio	Sample/ Assay Type	Time of sampling (post-injury)	Findings
<i>Ahadi et al., 2015</i> (63)	GFAP; pNF-H; NSE	Traumatic SCI  Control (Spinal fracture, no trauma)	n=26  n=9	C (8) T (8) L (10)	A (10) B (7) C&D (9)	All (n=35) 37 (16-64) 30/5	Serum/ ELISA	24h; 48h; 72h	GFAP sig. increased in trauma SCI vs controls at all time points. GFAP related to SCI severity. pNF-H & NSE sig. increased in trauma SCI vs controls at 24 & 48h after injury.
<i>Biglari et al., 2013</i> (89)	sCD95L	Traumatic SCI	n=8	C (5) T (3)	A (2) B (1) C (3) D (2)	48 (18-86) 5/3	Serum/ Immuno-assay	24h; At day 3, 7, 14, 28 & 90	No difference detected between patients, but levels decreased during the 1 <sup>st</sup> week, increased during the 2 <sup>nd</sup> week, were highest in the 4 <sup>th</sup> week and levels plateaued at 12 weeks.
<i>Biglari et al., 2015a</i> (90)	sCD95L	Traumatic SCI	n=23	C (8) T (9) L (6)	A (15) B (6) C (2)	43 (18-85) 16/7	Serum/ Immuno-assay	On admittance; 4, 9, 12 & 24h; 3 & 7 days; 2, 4, 8 & 12 weeks post-admission	sCD95L was significantly reduced during the first 24h, but was significantly higher c.f. admission levels

									at 8 weeks.
<i>Biglari et al., 2015b</i> (16)	IL-1 $\beta$ ; TNF- $\alpha$	Traumatic SCI	n=23	C (8) T (9) L (6)	A (15) B (6) C (2)	43 (18-85) 16/7	Serum/ Immuno-assay	On admittance; 4, 9, 12 & 24h; 3 & 7 days; 2, 4, 8 & 12 weeks post- admission	Improvers were found to have lower TNF- $\alpha$ at 9h c.f. non- improvers. IL-1 $\beta$ declined in all patients between 2 & 12 weeks.
<i>Davies et al., 2007</i> (84)	IL-1 $\beta$ , IL-6, TNF- $\alpha$ , IL-4, IL-10, IL-2, IL- 1RA, myelin- associated glycoprotein, GM <sub>1</sub> ganglioside IgG (G & M)	Traumatic SCI  Control	n=56  n=35	Between C4 & T12	A (14) B (13) C (22) D (7)	41 42/14  35 (18-65) 18/17	Serum/ ELISA	1 <sup>st</sup> visit at rehab 22 (2-52 wk post-injury) 34 (>52 wk)	Excluded patients with communicable diseases, cancer diagnosis or on anti-inflammatory medication also with nontraumatic aetiologies such as epidural abscess, aneurysm etc. IL-6, TNF- $\alpha$ , IL- 1RA & anti-GM was increased in SCI patients c.f. controls. These levels are increased further in SCI patients presenting with neuropathic pain, UTIs & pressure ulcers.
<i>Guez et al., 2003</i> (64)	GFAP; NF-L	Cervical fracture dislocation with neurological deficit Severe whiplash with neurological deficit Control (no	n=6  n=17  n=24	C (6)	A (3) B (1) D (2)	48 (40-69) 5/1 39 (26-56) 11/6 31 (23-56) 12/12	CSF/ ELISA	1-21 days	Exclusions included patients with head injury or unconsciousness. GFAP & NF-L increased in cervical fracture dislocation group. NF-L was

		neurology)							increased in 3 patients with whiplash indicating axonal injury.
<i>Kuhle et al., 2015 (65)</i>	NF-L	Motor-complete SCI CCS  Motor-incomplete SCI Healthy controls (no neurological Deficit)	n=13  n=4  n=10  n=67	C (11) T (2) C (4)  C (9) T (1)	A (12) & B (1)  C (2) & D (2)  C (7) & D (3)	32 (22-45) 8/5 49 (39-62) 3/1 33 (22-43) 7/3 35 (28-42) 29/38	Serum/ In-house immuno-assay	12h & every 12h subsequently up to 7days	NF-L correlated with severity & neurological outcome.
<i>Kwon et al., 2010 (72)</i>	25-plex cytokine array plus IL-16 & growth factors; Tau; S100β; GFAP	Complete SCI  Incomplete SCI  Controls (undergoing operations for hip, knee or spine)	n =14  n=13  n=12	C (11) T (3) C (10) T (3)	A (14)  B (7) & C (6)	All (n=27) 48 (20-66) 19/8	CSF & Serum/ ELISA & Multiplex array system	≤72h	Exclusions – concomitant head injuries, major trauma to chest, pelvis or extremities requiring intervention or if too sedated or intoxicated to assess neurology. Produced a biochemical model using a combination of S100β, GFAP & IL-8 from CSF to reliably (89% of patients) predict injury severity (AIS- A, B or C) at 24h post-injury. These markers also predicted segmental motor recovery at 6 months.

<i>Kwon et al., (2016) (73)</i>	Tau, S100β GFAP IL-6 IL-8 MCP-1	Traumatic SCI	n=50	C (32) L (3) T (15)	A (29) B (12) C (9)	41.9 4/1	CSF/ ELISA	≤48h	GFAP, IL-6, S100β and Tau were significantly different between AIS- A, B and C grade individuals. A discriminant function analysis model showed 83% success rate at predicting baseline AIS grade based on CSF concentrations of all of these biomarkers together. Baseline concentrations of IL-6, IL-8 MCP-1, Tau, S100β and GFAP were different between those who showed neurological improvement (conversion of AIS grade 6 months) compared to those with the same AIS grade at 6 months.
<i>Pouw et al., 2014 (9)</i>	GFAP; NSE; S100β; Tau; NFH	Motor-complete SCI Motor-incomplete SCI	n=9 n=7	C (6) T (3) C (5) T (2)	A (7) B (2) C (4) D (3)	All (n=16) 46 (18-84) 10/6	CSF/ ELISA	≤24h	Patients requiring interventions for major trauma to chest, pelvis and/or extremities or with pre-existent neurodegenerative disorders were

									excluded. NSE, S-100 $\beta$ & NFH were increased in motor-complete c.f. motor-incomplete patients.	
<i>Ungureanu et al., 2014</i> (35)	pNF-H	Complete SCI Incomplete SCI Normals	n=8 n=7 n=6	C (6) T (2) C (4) T (3)	A (8) B,C, D (7) E (6)	35 (21-53) 6/2 45 (33-59) 5/2	CSF/ ELISA	6-12h, then daily discharge or death	then until or	Patients presenting with TBI & chronic CNS pathologies were excluded. pNF-H was detectable in all SCI patients, but was more elevated in complete SCI.
<i>Wolf et al., 2014</i> (11)	NSE; S100 $\beta$	Vertebral spine fractures with neurology deficit Vertebral spine fractures with no neurology deficit Control (acute fractured femur)	n=12 n=22 n=29		Complete (5) Incomplete (6) Parasthesia (1)	Spinal fracture (n=34) 53 (16-94) 20/14 77 (22-94) 8/21	Serum/ Immuno-assay	$\leq$ 24h		Patients excluded were those with TBI, requiring intubation or unstable, open fractures, pregnancy, polytrauma or severe penetrating injuries. S100 $\beta$ was increased in patients with vertebral fractures and was significantly highest in patients with neurology deficit.
<i>Yokobori et al., 2015</i> (83)	UCH-L1; SBDPs; MBP; GFAP	Moderate-severe SCI Non-SCI (with hydrocephalus or unruptured	n=7 n=15		A, B & C (7)		CSF & serum/ ELISA	$\leq$ 24h		Preliminary data suggesting that the structural proteins UCH-L1 & SBDPs may be



		aneurysm)								biomarker candidates for SCI .
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570

571 **Table 3 Biomarkers used in traumatic human SCI**

572 Abbreviations: CSF, cerebrospinal fluid; NF-H, neurofilament heavy chain; NSE, neuron specific enolase; GFAP, glial fibrillary acidic protein; S100 $\beta$ , S100  
573 calcium binding protein  $\beta$ ; SCI, spinal cord injury; TBI, traumatic brain injury.

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