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Optimal management of RA patients who require Biologic Therapy (ORBIT) – a randomised controlled, non-inferiority study

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37 **Abstract**

38
39 **Background**

40 Tumour necrosis factor inhibition (TNFi) and B cell depletion are highly effective
41 treatments for active rheumatoid arthritis (RA) but to date no randomised controlled trials
42 have directly compared their safety, efficacy and cost effectiveness. This study was
43 undertaken to test the hypothesis that using rituximab would be clinically non-inferior and
44 cheaper compared to TNFi therapy in biologic-naive patients with RA.

45
46 **Methods**

47 An open label randomised controlled trial of two strategies of treatment over 12 months
48 in patients with active, sero-positive RA and an inadequate response to synthetic
49 disease modifying anti-rheumatic drugs (DMARDs). Patients were randomised (1:1) to
50 receive either rituximab or TNFi (either etanercept or adalimumab) as their first biologic
51 DMARD. Patients switched treatment to the alternative mode of action biologic in the
52 event of drug-related toxicity or lack/loss of response. The primary outcome measure
53 was the change in 28 joint count disease activity score (DAS28-ESR) between 0 and 12
54 months. The non-inferiority margin was specified as 0.6 DAS28-ESR units.

55
56 **Findings**

57 295 patients were randomised and treated with either rituximab or TNFi therapy. At
58 baseline, there were no significant differences between the groups in age, gender,
59 disease duration, disease activity or intolerance to methotrexate. After 12 months, the
60 change in DAS28-ESR for patients randomised to rituximab-first (-2.7) was non-inferior
61 to that for patients randomised to TNFi-first (-2.6) with the difference lying within the pre-
62 specified non-inferiority limit of 0.6 units (estimated difference -0.19, 95% CI -0.51, 0.13;
63 p=0.24). No between-group differences were found for the proportion of patients
64 achieving good response (rituximab 43% v TNFi 40%), DAS28-ESR remission (rituximab
65 23% v TNFi 21%), ACR20 (rituximab 66% v TNFi 71%), ACR50 (rituximab 49% v TNFi
66 45%) or ACR70 (rituximab 25% v TNFi 23%) response. There were no differences in the
67 change in health assessment questionnaire (HAQ) score, Hospital Anxiety and
68 Depression (HAD) score or health-related quality of life. A higher proportion of patients
69 switched from TNFi therapy to rituximab than *vice versa* (rituximab 19% v TNFi 32.5%,
70 p=0.008). The health related costs associated with the rituximab-first strategy were lower
71 than the TNFi-first strategy (£8391 v £10,356 per patient, p<0.001). In summary, starting
72 treatment with rituximab is non-inferior to initial TNFi therapy in biologic-naïve patients
73 with sero-positive RA, and is cost saving over 12 months.

74
75 **Funding**

76 The study was funded by Arthritis Research UK. Roche provided supplies of rituximab
77 free of charge.

78
79 **Trial Registration**

80 ClinicalTrials.gov NCT01021735

81
82 **Key Words**

83 Rheumatoid arthritis; Tumour necrosis factor; etanercept; adalimumab; rituximab; cost
84 effectiveness

88 **Background**

89 TNF inhibitor (TNFi) therapy is an integral component of the drug treatment of
90 rheumatoid arthritis (RA) patients who fail to exhibit or maintain an adequate response to
91 non-biologic Disease Modifying Anti-Rheumatic Drugs (nbDMARDs).¹ Five originator
92 TNFi drugs (infliximab, etanercept, adalimumab, golimumab and certolizumab) have
93 been granted marketing authorisation for the treatment of RA. They are effective in
94 patients who are nbDMARD-naïve, respond inadequately to methotrexate (MTX-IR), or
95 fail to respond to another TNFi (TNF-IR). Rituximab is an anti-CD20 monoclonal
96 antibody that depletes a variety of pathophysiologic subsets within the B cell population.
97 Rituximab is approved for use in TNF-IR patients²⁻⁴ but it is also effective in patients who
98 are nbDMARD-naïve or MTX-IR.⁵ It is possible that rituximab is more or less effective
99 than TNFi therapy in biologic-naïve patients but head to head trials have not been
100 carried out. In placebo controlled studies, the overall response rates to TNFi or rituximab
101 therapy are similar. However, important differences between the study populations make
102 indirect comparison of limited usefulness, and the data are compatible with important
103 clinical differences in safety, efficacy or cost effectiveness.

104
105 All biologics are expensive and the relative cost effectiveness of each therapy needs to
106 be considered, but there is considerable uncertainty associated with health economic
107 modelling. For example in the UK, the cost of TNFi therapy is approximately £9-10,000
108 per annum; rituximab costs ~£3,500 per treatment course, which needs to be repeated
109 every 6-9 months giving an annual cost of £4700 - 7000. Were rituximab to prove as
110 effective as TNFi therapy in biologic-naïve patients it could result in substantial
111 reductions in healthcare costs. On the other hand, if TNFi therapy is more effective than
112 rituximab therapy, it would be important to have good evidence to inform health
113 technology appraisals which might otherwise conclude from the available evidence that
114 rituximab offers a more cost-effective alternative.

115
116 The Optimal Management of RA patients who Require Biologic Therapy (ORBIT) study
117 was designed to compare the efficacy, safety and cost-effectiveness of rituximab-first
118 and TNFi-first strategies in biologic-naïve RA patients with active disease despite
119 nbDMARD therapy. The hypothesis was that a treatment strategy that starts with
120 rituximab, and switches to TNFi if required, would be non-inferior to a strategy that starts
121 with TNFi therapy, and switches to rituximab if required. Further, the study sought to
122 estimate the incremental cost effectiveness ratio (ICER) of the more effective drug (if it is
123 associated with higher costs) or the total cost savings associated with prescribing the
124 cheaper drug (if it is at least as effective as the more expensive drug).

125 **Methods**

126
127 The study protocol was approved by the West of Scotland Research Ethics Committee
128 and registered with ClinicalTrials.gov (NCT01021735). All participants provided written,
129 informed consent. Patients were recruited between 2009 and 2013 from 35
130 rheumatology departments in the United Kingdom. The study was an open label,
131 randomised, controlled, non-inferiority trial comparing two strategies of biologic therapy
132 in biologic naïve patients over 12 months.

133 **Randomisation and masking**

134
135 Patients were randomised in a 1:1 ratio to treatment strategy groups using a telephone-
136 operated Interactive Voice Response System. Minimisation was used to ensure similar
137 numbers of methotrexate intolerant patients were allocated to each group. All patients,

138 treating clinicians and research nurse were aware of treatment allocation. Analyses were
139 conducted by statisticians who were masked to treatment allocation.

140

141 **Inclusion/exclusion criteria**

142 Adult patients (>18y) who fulfilled the 1987 ACR classification criteria for a diagnosis of
143 RA were eligible for the study if they: 1. had active disease (DAS28-ESR>5.1) despite
144 treatment with at least two nbDMARDs including methotrexate; 2. had not previously
145 been treated with biologic therapy and; 3. were sero-positive for rheumatoid factor
146 and/or anti-CCP antibodies. Patients were excluded if they: were pregnant or breast-
147 feeding; were women of child-bearing potential (or men whose partners were women of
148 child-bearing potential) who were unwilling to use effective contraception; had a history
149 of another autoimmune rheumatic disease other than RA; had received recent (≤ 2
150 weeks) intra-articular or parenteral corticosteroids; had an active infection; had septic
151 arthritis within a native joint within the last 12 months; had septic arthritis of a prosthetic
152 joint within 12 months or indefinitely if the joint remained in situ; known HIV or hepatitis
153 B/C infection; had latent TB infection unless they had completed adequate antibiotic
154 prophylaxis; had malignancy (other than basal cell carcinoma) within the last 10 years;
155 had New York Heart Association (NYHA) grade 3 or 4 congestive cardiac failure; had
156 demyelinating disease; or had any other contra-indication to the study medications as
157 detailed in their summaries of product characteristics.

158

159 **Treatment**

160 In the rituximab-first group, patients commenced rituximab, followed by TNFi therapy if
161 rituximab was stopped because of inefficacy or toxicity. The TNFi-first group used the
162 reverse sequence, starting with TNFi therapy before rituximab. Lack (or loss) of
163 response was defined by a failure to achieve (or maintain) an improvement in disease
164 activity score (DAS28-ESR) of >1.2 from baseline. However, at all times during the
165 course of the study, the final decision about treatment resided with the patient and
166 physician. Thus, the study aimed to capture the variety of real life treatment pathways
167 that patients might follow, measuring the outcomes and relating these back to the
168 original (randomised) treatment strategy.

169

170 Patients randomised to the rituximab-first group were given rituximab 1g by IV infusion
171 on days 1 and 15. Pre-medication with oral paracetamol 1g, chlorpheniramine 10mg IV
172 and methylprednisolone 100mg IV was given 30 minutes before each rituximab infusion.
173 Patients who responded to rituximab were re-treated with rituximab after 26 weeks if
174 there was still persistent disease activity (DAS28-ESR>3.2). Patients who flared, with a
175 rise in DAS28-ESR>1.2 from the lowest DAS28-ESR recorded, could receive early re-
176 treatment but no sooner than 20 weeks after the previous infusion. Patients randomised
177 to the TNFi-first group were prescribed adalimumab (40mg every other week, sc) or
178 etanercept (50mg/week, sc) according to the patient's and rheumatologist's choice.

179

180 Patients' disease activity was assessed every month for one year. Response was
181 defined as an improvement in DAS28-ESR>1.2; good response when the DAS28-ESR
182 fell to <3.2; and remission when the DAS28-ESR fell to <2.6. Patients could be switched
183 to the alternative treatment after 12 weeks (or at any visit thereafter) if response was not
184 achieved or maintained. Patients could switch therapy if drug-related adverse events
185 occurred. Patients could be treated with non-steroidal anti-inflammatory drugs,
186 analgesics and nbDMARDs. Changes in concomitant medication and their doses were

187 allowed and were recorded. Oral corticosteroids could be prescribed at a dose not
188 exceeding prednisolone 10mg/day (or equivalent), but the dose had to remain stable
189 throughout the trial. Intra-articular and intra-muscular triamcinolone could be used, but
190 not within four weeks of the 6 and 12 month assessments, and all injection(s) were
191 recorded.

192

193 **Outcome measures**

194 Demographic data were collected at baseline; disease activity (DAS28-ESR and CRP)
195 was assessed every month; and physical function (HAQ score), mood (HAD score) and
196 health related quality of life (EQ-5D) were recorded every three months. Patients were
197 asked to complete a diary to capture health care costs and employment data during a
198 one month period every 6 months. The primary outcome measure was the change in
199 DAS28-ESR between baseline and 12 months. Secondary outcome measures included:
200 DAS28-ESR remission, good response, moderate response and non-response;
201 ACR20/50/70 response; area under the curve of DAS28-ESR between baseline and 12
202 months; change in HAQ score; change in HAD score; change in EQ-5D; toxicity; and
203 incremental cost effectiveness.

204

205 **Sample size and power calculations**

206 The study was powered to demonstrate non-inferiority of a rituximab-first strategy
207 compared to TNFi-first strategy in the change from baseline DAS28 score after 12
208 months of treatment. If the true treatment effect difference is zero, and assuming a
209 standard deviation of 1.6 units for the change in DAS28 after 12 months,⁴ then 151
210 patients per group had 90% power to demonstrate non-inferiority between the study
211 groups within a one-sided non-inferiority limit of 0.6 units which equates to the
212 measurement error of DAS28-ESR.⁶

213

214 **Statistical Analysis**

215 The analysis of the primary outcome was carried out on the 'per protocol' population⁷
216 and tested the null hypothesis that a rituximab-first strategy is inferior to a TNFi-first
217 strategy, after adjustment for baseline DAS28-ESR using a linear regression model.
218 Residuals were examined through residual plots and were found to be near-normal
219 without any evidence of heteroscedasticity. The null hypothesis would be rejected if the
220 upper limit of the 95% confidence interval in the difference in the mean change in
221 DAS28-ESR (comparing rituximab-first to TNFi-first) was less than 0.6 units. If rituximab-
222 first was found to be non-inferior to TNFi-first then the p-value and CI will be used in
223 combination to assess whether rituximab-first is superior to TNFi-first therapy.
224 Quantitative secondary outcomes were analysed in the intention to treat (ITT) population
225 which was defined as those patients who were randomised and treated with at least one
226 dose of study medication. For binary secondary outcomes the odds ratios of response
227 were estimated from a baseline-adjusted logistic regression models. Adverse events
228 were also analysed in patients who received at least one dose of study medication. No
229 interim analyses were planned or undertaken. An independent data monitoring
230 committee periodically reviewed the occurrence of all serious adverse events.

231

232 **Health Economic Analysis**

233 The economic analysis estimated the mean between-group difference in costs and
234 quality-adjusted life years (QALYs) gained over 12 months. Costs were measured from
235 the perspective of the health service, and the items of resource use collected included

236 costs of medicines, administering infusion, clinic visits, blood tests, radiology tests,
237 endoscopy, other medicines used, and use of primary care and community services.
238 Appropriate UK costs were applied using 2014 prices (Supplementary Table). QALYs
239 were estimated from the area under the health utility curve, derived from EQ-5D
240 questionnaire responses; the EQ5D was valued using UK time trade-off tariff values.
241 Since all cost and QALY differences were estimated over the 12 month period from
242 randomisation, discounting future costs and effects for societal time preference was not
243 relevant.

244

245 Bootstrapping (5000 samples) and the method of recycled predictions were used to
246 jointly estimate the mean between-group differences in QALYs and costs with 95%
247 confidence intervals; these quantities are summarised and presented graphically in the
248 incremental cost effectiveness plane.

249

250 **Role of the funding source**

251 The funders of the study played no part in study design, data collection, data analysis,
252 data interpretation, writing the manuscript or the decision to submit the manuscript for
253 publication.

254

255 **Results**

256 Three hundred forty four patients were screened for inclusion in the study, and 329 were
257 randomised. 34 randomised patients (n= 21 to rituximab, and 13 to TNFi) did not receive
258 any study medication because of inter-current illness or withdrawal of consent. The
259 intention to treat population comprised 295 patients (144 rituximab-first, and 151 TNFi-
260 first). 135 (94%) in the rituximab-first and 136 (90%) in the TNFi-first groups completed
261 the follow-up period and were included in the per-protocol analysis of the primary
262 outcome (Figure 1). In the TNFi-first group, 91 patients were treated with adalimumab
263 and 60 were treated with etanercept. Baseline demographic characteristics and
264 measures of disease activity were similar in the treatment groups (Table 1).

265

266 *Disease Activity Outcomes*

267

268 The per-protocol analysis demonstrated that the rituximab-first treatment strategy was
269 non-inferior to the TNFi-first strategy, within the pre-specified non-inferiority limit of 0.6
270 units. The baseline-adjusted between-group difference in the change in DAS28-ESR
271 between baseline and 12 months follow-up (Figure 2) was estimated as -0.19 (95% CI -
272 0.51, 0.13), p=0.24. The upper confidence limit was less than the pre-specified inferiority
273 margin, allowing rejection of the null hypothesis that the rituximab-first strategy is inferior
274 to a TNFi-first strategy. No significant between group differences in DAS28-ESR were
275 observed at any time point, and there was no difference in the area-under-the-curve
276 (AUC) for the improvement in DAS28-ESR over 12 months (Supplementary Figure 3,
277 mean difference in AUC= 64 units (95% CI -20, 147), p=0.13).

278

279 After 6 and 12 months, there were no significant differences in the proportion of patients
280 achieving ACR20, ACR50, ACR70, DAS28-ESR remission, good response, moderate
281 response or non-response (Table 2). The groups showed similar improvements in EQ5D
282 health utility, EQ5D VAS and the Anxiety and Depression Scores of the HAD Scale after
283 6 and 12 month's follow-up. The rituximab-first group demonstrated a greater

284 improvement in HAQ over time (mean difference [95% CI] = -0.121 [-0.236, -0.006],
285 p=0.039. Table 3).

286

287 *Treatment*

288

289 A significantly higher number of patients in the TNFi-first group switched to treatment
290 with rituximab than the number of rituximab-first patients who switched to TNFi treatment
291 (33% vs 19% respectively, p=0.008). In the rituximab-first group, 2 patients switched
292 treatment due to toxicity and 25 due to inefficacy. In the TNFi-first group, 3 patients
293 switched due to toxicity and 44 switched due to inefficacy. In the rituximab-first group, 57
294 patients (39%) received 1 course of treatment, 77 (54%) received 2 courses and 10 (7%)
295 received 3 courses. Of the 49 patients in the TNFi-first group who were switched to
296 rituximab, 28 (57%) received 1 course and 21 (43%) received 2 courses.

297

298 In patients who switched treatment for inefficacy, there was no difference in DAS28-ESR
299 at the point of switching (mean [SD] DAS28-ESR: rituximab-first 5.6 [0.9] v TNFi-first 6.3
300 [1.0]), and there were similar improvements in DAS28-ESR between the switch and
301 month 12 visits (mean [SD] change in DAS28-ESR: rituximab-first -1.3 [1.5] vs TNFi-first
302 -1.6 [1.5], p=0.44). More patients in the TNFi-first group achieved a good response after
303 switching to rituximab than *vice versa* but this was not statistically significant (rituximab-
304 first 69% vs TNFi-first 86%, p=0.13), and there was no difference in DAS28-ESR at 12
305 months in those who had switched (mean [SD]: rituximab-first 4.2 [1.5] vs TNFi-first 4.6
306 [1.1], p=0.32).

307

308 *Adverse Events*

309

310 One hundred thirty seven (95%) patients in the rituximab-first group and 143 (95%)
311 patients in the TNFi-first group reported at least 1 adverse event during the follow-up
312 period (Supplementary Table 5). In the rituximab-first group a higher number of patients
313 reported diarrhoea (14% vs 6%, p=0.03) whilst, in the TNFi-first group a higher number
314 of patients reported injection site reactions (2% vs 11%, p=0.003). There were 37
315 serious adverse events (SAE) reported in patients currently receiving rituximab (31
316 randomised to rituximab-first arm, and six following a switch from TNFi therapy); of
317 these, 15/37 were deemed to be possibly, probably or definitely related to the rituximab.
318 26 patients experienced serious adverse events whilst receiving TNFi therapy (22
319 randomised to TNFi-first arm, and four following a switch from rituximab) of which 12/26
320 were deemed possibly, probably or definitely related to the TNFi therapy (p=0.27 for
321 SAE occurring on rituximab vs TNFi). One patient in each group died during the study
322 (rituximab – sepsis related to infected elbow prosthesis; TNFi – myocardial infarction).

323

324 *Health Economic Outcomes*

325

326 Healthcare-related costs, and Quality-Adjusted Life Years (QALYs) for each randomised
327 group are shown in Table 4 and Supplementary Figure 4. The total healthcare-related
328 costs were lower in the rituximab-first group (£9,405 vs 11,523, p<0.001). There was no
329 difference in the mean AUC for EQ-5D (TNFi mean [SD] 0.519 [0.248] vs rituximab
330 0.546 [0.212], p=0.235) indicating no difference in QALYs gained. Using generalized
331 linear regression models, age was a significant determinant of cost and EQ-5D but
332 gender, baseline DAS28-ESR, and methotrexate tolerance were not independently
333 associated with either (data not shown). Absenteeism costs were slightly lower in the

334 rituximab-first group (£6,296 vs £7,662 TNF). Given the lack of evidence of a QALY
335 difference between groups, and the clear reduction in healthcare-related costs in the
336 rituximab-first group, the incremental cost effectiveness ratio between treatment
337 strategies was not relevant to the analysis, and a rituximab-first strategy can be judged
338 as the more cost-effective option.
339

340 Discussion

341 Biologic DMARDs are the mainstay of therapy in moderate to severe RA. Many effective
342 drugs are available that operate through discrete mechanisms of action. There is robust
343 evidence for their efficacy in a variety of clinical settings; however, since there have
344 been very few head-to-head clinical trials, there is a paucity of direct evidence about
345 their comparative efficacy. The AMPLE study found that abatacept and adalimumab
346 were similarly efficacious in biologic-naïve RA patients,⁸ and the ADACTA study showed
347 superiority of tocilizumab monotherapy compared to adalimumab monotherapy in
348 biologic-naïve RA patients who were intolerant of methotrexate.⁹ One study compared
349 infliximab with etanercept, but was too small to provide reliable information about relative
350 efficacy.¹⁰ The RED-SEA study showed that adalimumab was non-inferior to etanercept
351 in terms of persistence on therapy over 12 months, but was not powered to detect
352 differences in efficacy.¹¹ The ORBIT study results are broadly similar to those reported in
353 placebo-controlled randomized controlled trials of the individual drugs,^{2, 4-5,12-16} but it is
354 the first head to head RCT comparing B cell depletion with TNF inhibition in RA, and
355 convincingly shows that a rituximab-first strategy in biologic-naïve RA is non-inferior to a
356 TNFi-first strategy. The only notable difference between the strategies was that a higher
357 proportion of patients continued on initial rituximab therapy, without the need to switch
358 therapy, when compared to those randomised to TNFi-first therapy (81% persistence on
359 rituximab v 68% persistence on TNFi, p=0.008).

360
361 Rituximab is only approved for use in patients who have failed TNFi therapy. An
362 application to extend the license to biologic-naïve patients was rejected by the European
363 Medicines Agency because of the rare occurrence of progressive multi-focal
364 encephalopathy (PML). In this study, there were no differences observed in the rate,
365 severity or relationship to study drug in serious adverse effects during the study period.
366 This observation does not preclude the possibility of relevant differences in rare, but very
367 serious, toxicity or differences in toxicity associated with long-term use. There were no
368 cases of PML or demyelination, but two patients died - one from serious sepsis following
369 rituximab therapy, and one from myocardial infarction on TNFi therapy.

370
371 The majority of patients in the rituximab-first group (93%) received four or fewer
372 infusions (i.e. two courses) of rituximab. During the study period, the costs associated
373 with the rituximab-first strategy were substantially lower than those in the TNFi-first
374 group (mean annual cost per patient: rituximab-first £8391, TNFi-first £10,356). In the
375 UK, widespread adoption of a rituximab-first strategy, in preference to TNFi-first therapy,
376 would currently translate into very substantial budgetary savings for health services with
377 no measurable loss of efficacy. However, the healthcare-related costs were dominated
378 by drug acquisition and administration costs, which may vary significantly according to
379 local procurement agreements. The availability of TNFi biosimilars at lower acquisition
380 costs, or the use of lower doses of drugs (e.g. rituximab 500mg per infusion¹⁷) would
381 also affect the relative cost effectiveness of the two strategies. There are other options
382 that are available for biologic-naïve RA patients who require biologic therapy, and it is

383 possible that another drug/strategy would be even more cost effective than rituximab.
384 The AMPLE study found that abatacept and adalimumab are equally efficacious, but as
385 abatacept is more expensive than TNFi therapy, it is almost certain that a rituximab-first
386 strategy will be more cost effective than an abatacept-first strategy. Because tocilizumab
387 monotherapy is more effective than adalimumab therapy in patients who are unable to
388 tolerate methotrexate, it is possible that tocilizumab is more cost effective than rituximab
389 in this patient population and this requires further study. The TACIT study compared the
390 efficacy of combination conventional DMARD therapy with TNFi in patients who met the
391 British Society for Rheumatology/National Institute for Clinical Excellence (BSR/NICE)
392 eligibility criteria for the use of TNFi therapy, and found that using combination DMARD
393 was non-inferior to TNFi therapy, and substantially more cost effective.¹⁸ A significant
394 proportion (~40%) of patients randomised to combination DMARD therapy eventually
395 required TNFi therapy, and the implication of the ORBIT study is that further savings
396 could be made if patients who fail to make an adequate response to combination
397 nbDMARD therapy were then treated with rituximab rather than TNFi therapy.

398
399 Our study has limitations: a wide range of clinical outcome measures were captured, but
400 no radiographic outcomes were recorded. It is possible that a TNFi-first strategy would
401 be associated with more or less radiographic joint damage, than a rituximab-first
402 strategy. Secondly, the study was limited to patients who were sero-positive for
403 rheumatoid factor and/or anti-cyclic citrullinated protein antibodies. Since response to
404 rituximab is modestly greater in sero-positive patients¹⁹ the results of this study should
405 only be extrapolated to sero-negative patients with caution. Thirdly, patients who were
406 intolerant of methotrexate were eligible for the study, even though rituximab is only
407 approved for use in combination with methotrexate. However, this represents real life
408 experience, and excluding patients who were intolerant of methotrexate would have
409 limited the study's generalizability. Minimisation techniques were employed to ensure
410 similar numbers of methotrexate-intolerant patients were randomised to each group, so
411 this is unlikely to have significantly influenced the results. Fourthly, this was an open
412 label study. Both patients and assessors were aware of the patients' treatment allocation
413 and therefore there is a possibility of bias. There is no evidence that such bias existed,
414 or which treatment arm was favoured if it did. A double-blind study design would have
415 been more complex and costly, and been dependent on funding from three
416 pharmaceutical companies, for example to provide matched placebo self-injection pen
417 devices. The benefits accruing from delivering a true-to-life, investigator-initiated,
418 charitably-funded RCT (and minimising the involvement of the pharmaceutical industry)
419 were deemed to be more important and thus were given priority in study design. Fifth,
420 when a patient had not responded, or lost response, the study team was advised to
421 consider switching but treatment decisions were at the discretion of the treating
422 physician in discussion with the patient – it is possible, therefore, that patients were kept
423 on ineffective therapy but (on the other hand) the study will have captured usual
424 practice. Disease activity at the point of switching was not significantly different in the
425 two groups, arguing against any systematic bias in this regard. Finally, the 12 month
426 follow-up period means that the study is unable to provide a comparative description of
427 either strategy's long-term efficacy or safety. RA may affect an individual over several
428 decades, and from a lifetime perspective, other factors are highly relevant – for example,
429 the rates of long term drug continuation, the ability of each strategy to influence disease
430 progression, and any effect on life expectancy.

431

432 In conclusion, initial therapy with rituximab is clinically non-inferior to and more cost
433 effective than initial therapy with a TNFi drug in sero-positive RA patients who are
434 eligible for biologic therapy in the UK.

435

436 **Competing Interests**

437 DP has received research funding, honoraria, and consultancy fees from Roche, Abbvie,
438 Pfizer, UCB, BMS and MSD.

439 JvM has no competing interests

440 JD has received research funding from Pfizer, honoraria from Abbott, Janssen and MSD
441 and support to attend academic meetings from Abbott and Pfizer

442 RM has received support to attend scientific meetings from UCB, Roche and Abbott

443 JMcl has received sponsorship to attend academic meetings from Roche, Pfizer and
444 Abbott.

445 EMcR has received research funding, honoraria, and consultancy fees from Roche,
446 Abbott, Pfizer, UCB, BMS and MSD.

447 JP has no competing interests

448 CDB received research funding and consultancy fees from Roche, Pfizer, Novartis
449 Actelion and UCB

450 AMcC has no competing interests

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454 EC has received research funding and consultancy fees from Roche, UCB, Pfizer,
455 Abbvie and BMS

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458

459 **Authors' contribution**

460 DP (corresponding author) has full access to all the data and had the final responsibility
461 for the decision to submit the manuscript for publication.

462 Study design: all authors

463 Data collection DP, JvM, JD, RM, JMcl, EMcR, JP, CB, JH, CP, PT, EC, IMcl

464 Analysis: AM, MM and AW designed the statistical and economics analyses, and
465 analysed the data in discussion with the clinical authors

466 Interpretation: all authors

467 Writing: all authors

468

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484

485

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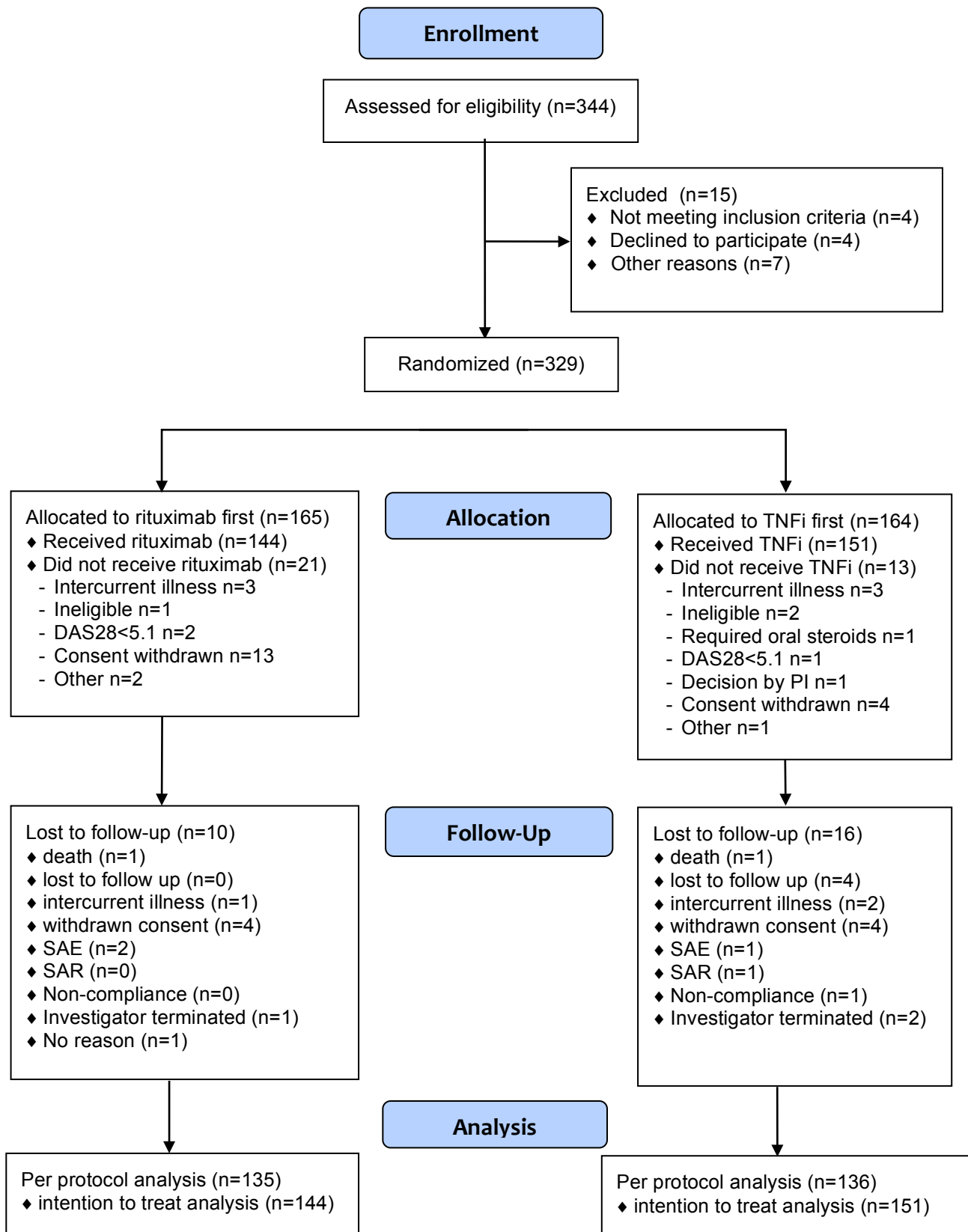
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562 rheumatoid factor and anticitrullinated peptide antibody serotype on rituximab clinical
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Figure 1 Consort diagram



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Table 1 Baseline Characteristics - mean (SD) or %

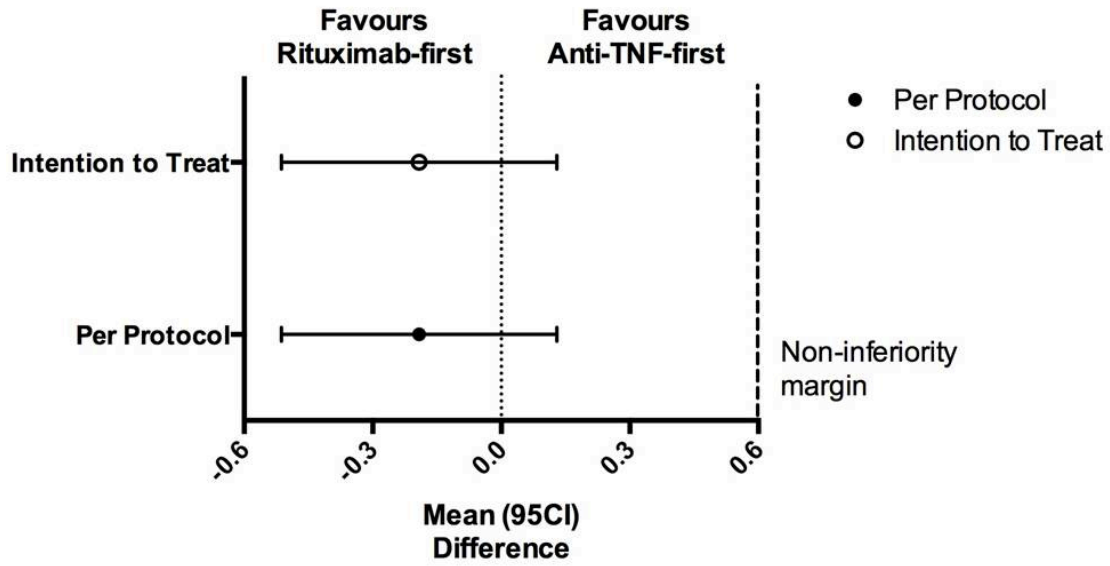
	Rituximab-first n = 144	Anti-TNF-first n = 151
Age (years)	57 (10)	57 (10)
Gender - % female	72%	72%
Disease Duration (months)	8.0 (7.4)	6.7 (7.1)
DAS28-ESR	6.2 (0.9)	6.2 (1.1)
28 Tender Joint Count	17 (7)	16 (7)
28 Swollen Joint Count	9 (5)	9 (5)
Patient Global Health VAS (0-100)	67 (17)	66 (19)
Pain VAS (0-100)	62 (18)	63 (22)
Physician Global VAS (0-100)	63 (17)	62 (19)
CRP (mg/l)	19 (24)	21 (22)
ESR (mm/h)	32 (24)	37 (28)
HAQ (0-3)	1.7 (0.6)	1.8 (0.7)
EQ5D Health Utility Score	0.34 (0.32)	0.30 (0.33)
EQ5D VAS Score (0-100)	48 (22)	43 (23)
HADS Anxiety >11	29%	29%
HADS Depression > 11	22%	23%
Methotrexate Intolerance	26%	25%
Number of Concomitant DMARD*	1.0 (1.0-2.0)	1.0 (0-2.0)

569 * median (IQR)

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Figure 2 Non-Inferiority Plot

Non-Inferiority Plot. Mean (95CI) Difference in Change in DAS28-ESR after 12 months



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Table 2 Percentage of patients fulfilling response criteria after 6 and 12 months follow-up. Intention-to-treat population

	Rituximab-first	Anti-TNF-first	Odds Ratio (95CI)
DAS28 Remission			
6 months	14%	16%	0.9 (0.4, 1.8)
12 months	23%	21%	1.1 (0.6, 2.1)
Good response			
6 months	29%	29%	1.0 (0.6, 1.8)
12 months	43%	40%	1.1 (0.7, 1.9)
Moderate response			
6 months	83%	76%	1.5 (0.8, 2.8)
12 months	87%	82%	1.5 (0.7, 2.9)
No response			
6 months	17%	24%	0.7 (0.4, 1.2)
12 months	13%	18%	0.7 (0.3, 1.3)
ACR20 response			
6 months	62%	66%	0.8 (0.5, 1.4)
12 months	66%	71%	0.8 (0.5, 1.4)
ACR50 response			
6 months	37%	41%	0.9 (0.5, 1.4)
12 months	49%	45%	1.2 (0.7, 1.9)
ACR70 response			
6 months	15%	17%	0.9 (0.5, 1.7)
12 months	23%	26%	0.8 (0.5, 1.5)

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Definitions: DAS remission = DAS28-ESR<2.6; Good response = DAS28-ESR<3.2, with improvement from baseline >1.2; Moderate response = DAS28-ESR = 3.2-5.1 and improvement from baseline 0.6-1.2 or DAS28-ESR>5.1 and improvement from baseline >1.2; No response = DAS28-ESR <5.1 and improvement from baseline <0.6 or DAS28-ESR >5.1 and improvement from baseline <1.2

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Table 3 Mean (SD) change from baseline in functional ability, mood and health-related quality of life outcomes

	Rituximab-first	Anti-TNF-first	P*
HAQ			
6 months	-0.44 (0.6)	-0.31 (0.6)	0.039**
12 months	-0.49 (0.6)	-0.38 (0.5)	
EQ5D Health Utility Score			
6 months	0.2 (0.4)	0.3 (0.4)	0.90
12 months	0.2 (0.4)	0.3 (0.3)	
EQ5D VAS			
6 months	17 (30)	20 (28)	0.48
12 months	14 (34)	21 (32)	
HAD depression			
6 months	-2.0 (3.4)	-2.0 (3.4)	0.60
12 months	-2.1 (3.7)	-2.3 (3.4)	
HAD anxiety			
6 months	-1.7 (3.5)	-1.5 (2.9)	0.73
12 months	-2.0 (3.4)	-1.9 (3.2)	

592 HAD – Hospital Anxiety & Depression; HAQ – Health Assessment Questionnaire

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* Treatment effect over time estimated from linear mixed effect model for rituximab-first vs TNFi-first adjusted for baseline variable and DAS28-ESR

** Estimated difference (95% CI) = -0.121 (-0.236, -0.006)

603 **Table 4** Healthcare related costs and QALYs over 12 months
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	TNFi-first	Rituximab-first	
Medicines, infusions, clinics	£10,356	£8,391	p<0.001*
Primary care	£370	£366	p=0.92
Blood tests, Xray	£163	£141	p=0.51
Total	£11,523	£9,405	p<0.001*
Bootstrap estimated mean cost difference (95% CI) = £1,999 (£2,755, £1440)			
Quality-Adjusted Life Years (1-EQ-5D AUC)			
QALYs	0.481	0.454	p=0.25

Bootstrap estimated mean QALY difference (95% CI) = 0.028 (-0.041, 0.094)

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 606 * Wilcoxon
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Research in Context

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Evidence before this study

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613 Biologic disease modifying anti-rheumatic drugs (DMARDs) are used in the treatment of
614 moderate to severe rheumatoid arthritis (RA) following an insufficient response to
615 conventional DMARDs. A Pubmed search was carried out on 1st February, 2016 using
616 the search terms 'rheumatoid', 'rituximab', 'adalimumab', 'etanercept' and 'randomised
617 controlled trial'. There have been several placebo controlled RCTs that have
618 established the efficacy and safety of these biologic DMARDs; indirect comparisons of
619 short term efficacy, effectiveness and drug continuation rates have suggested similar
620 outcomes with rituximab and TNFi therapy, but no head to head comparisons have
621 been undertaken.

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Added value of this study

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624 The ORBIT study is the first head to head study that directly compares the efficacy,
625 safety and cost effectiveness of two strategies of care, and shows that a rituximab-first
626 treatment strategy is non-inferior to a TNFi-first strategy. Very similar effects on disease
627 activity, physical function, mood and health-related quality of life were observed. Fewer
628 patients needed to switch from rituximab to TNFi therapy than vice versa, and there
629 were no significant differences in the incidence of serious adverse events. Using
630 rituximab-first was associated with significantly lower health related costs using UK
631 2015 prices
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Implications of the available evidence

634 The relative cost-effectiveness of rituximab-first or TNFi-first treatment was dominated
635 by drug acquisition and administration costs, which are context dependent - the price of
636 biologic drugs varies according to local procurement agreements, and these are likely to
637 be substantially affected by the advent of biosimilars that are cheaper than the
638 originator drugs. This study suggests the cheapest drug is likely to represent the most
639 cost effective option. However, the study has a 12 month horizon, and RA is a condition
640 that may affect people over several decades. Consequently, the long term
641 consequences of any differences in disease progression, effect on life expectancy
642 and/or drug discontinuation rates need to be evaluated.
643