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# "You shall not pass!": quantifying barrier permeability and proximity avoidance by animals

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# <sup>26</sup> Summary

- 1. Impediments to animal movement are ubiquitous and vary widely in both scale and
  permeability. It is essential to understand how impediments alter ecological
  dynamics via their influence on animal behavioural strategies governing space use
  and, for anthropogenic features such as roads and fences, how to mitigate these
  effects to effectively manage species and landscapes.
- 2. Here, we focused primarily on barriers to movement, which we define as features
  that cannot be circumnavigated but may be crossed. Responses to barriers will be
  influenced by the movement capabilities of the animal, its proximity to the barriers,
  and habitat preference. We developed a mechanistic modelling framework for
  simultaneously quantifying the permeability and proximity effects of barriers on
  habitat preference and movement.
- 3. We used simulations based on our model to demonstrate how parameters on
  movement, habitat preference and barrier permeability can be estimated
  statistically. We then applied the model to a case study of road effects on wild
  mountain reindeer summer movements.
- 42. This framework provided unbiased and precise parameter estimates across a range of
  43 strengths of preferences and barrier permeabilities. The quality of permeability
  44 estimates, however, was correlated with the number of times the barrier is crossed
  45 and the number of locations in proximity to barriers. In the case study we found
  46 reindeer avoided areas near roads and that roads are semi-permeable barriers to
  47 movement. There was strong avoidance of roads extending up to approximately 1
  48 km for four of five animals, and having to cross roads reduced the probability of
  49 movement by 68.6% (range 3.5-99.5%).
- 50. Human infrastructure has embedded within it the idea of networks: nodes connected
  51. by linear features such as roads, rail tracks, pipelines, fences and cables, many of
  52. which divide the landscape and limit animal movement. The unintended but
  53. potentially profound consequences of infrastructure on animals remain poorly
  54. understood. The rigorous framework for simultaneously quantifying movement,

- $_{55}$  habitat preference and barrier permeability developed here begins to address this
- knowledge gap.

# 57 Introduction

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Understanding how the biotic and abiotic environment affects the movement and
   distribution of organisms is a central objective of movement ecology. One aspect of this
   research is quantifying the degree to which the environment impedes or facilitates
   movement (Fahrig, 2007; Spear et al., 2010) and the consequences of this for animal
   space-use. Movement is a key strategy employed by animals to mediate trade-offs in life
   history requirements arising from heterogeneous habitat distribution. Impediments to
   movement, therefore, have the potential to adversely affect the ability of organisms to
   fulfil those requirements. While much work has been done on modelling habitat preference
   and movement (though usually not both simultaneously), understanding the effects of
   impediments to movement has received relatively little attention. Recent work has begun
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   to quantify the influence of impediments on migrations at landscape scales (Singh et al.,
   2012; Panzacchi et al., 2013a; Sawyer et al., 2013; Panzacchi et al., this issue), proximity
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   avoidance effects of roads on population distribution (Fortin et al., 2013; Leblond et al.,
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   2013), and functional responses in road crossing behaviour (Beyer et al., 2013).
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           All movement incurs a cost to the individual in terms of energy, time (opportunity
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   cost), and exposure to risk (Ricketts, 2001; Rothermel & Semlitsch, 2002; Baker & Rao,
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   2004; Fahrig, 2007). For example, the cost of movement to an ungulate moving through
   dense forest may be influenced by tree and snag density (reducing movement rate and
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   increasing the energy cost of movement), limited availability of forage (opportunity cost
   relative to open habitats), and possibly an increased risk of predation arising from
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   reduced ability to detect or evade predators (mortality risk). We define a movement
   impediment as any feature of the environment that increases the cost of movement.
   Because movement is not instantaneous, all geographic space has some positive movement
   cost, though this cost can approach zero for organisms that incur trivial energy and
   opportunity costs and little exposure to risk.
           Impediments to movement can take many forms and have a variety of effects on
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   movement and distribution. Here, we use "impediment" as an umbrella term that
   includes four more specific categories of impediments to movement: barriers, obstacles,
   impedances, and constraints. The distinction between these categories is based on
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whether they can be crossed and/or circumnavigated (or neither). We define "barriers" as features that can be crossed but not circumnavigated. Hence an animal must cross a barrier in order to reach some part of space, and the degree to which a barrier inhibits such movement is its "permeability". "Obstacles" can be circumnavigated but not crossed 90 (they have impermeable boundaries) and thus increase the effective distance between two locations separated by an obstacle (i.e. the distance that must be travelled to 92 circumnavigate the obstacle, which is at least as great as the straight-line distance between those locations). "Impedances" can be crossed or circumnavigated, implying the animal must evaluate the trade-off between the costs of crossing the impedance (the barrier effect) versus the costs of circumnavigation (the obstacle effect). Finally, "constraints" 96 can neither be crossed nor circumnavigated and, therefore, impose absolute limits on distribution. A research programme aiming at a mechanistic understanding of movement requires comparative quantification of the behavioural strategies employed by animals in response to each of these four types of impediments on movement and distribution. Here, 100 we contribute to this endeavour by exploring the effect of the first category: barriers. 101 We present a framework for quantifying the response of animals to barriers, 102

including proximity effects and crossing effects, in the context of movement and habitat preference. Proximity effects occur when the probability of space use is modified as a function of distance to the barrier. For example, a barrier may decrease or increase the density of use around it thereby increasing or decreasing the density of points further away if animals avoid it (Fortin et al., 2013) or congregate against it (Loarie et al., 2009). Crossing effects reflect the permeability of the barrier and have previously been quantified by comparing the crossing distributions of animal movement paths to simulated movement paths (Shepard et al., 2008; Beyer et al., 2013), though this approach does not account for proximity or other habitat selection effects. The major challenge is to separate the response to barriers from the confounding effects of habitat preference and intrinsic movement capacity. Here, we propose a framework to do exactly that while simultaneously quantifying both proximity and permeability effects of potential barriers.

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but may have variable permeability in time, though this framework can be extended to

As a proof of concept we focus on linear, physical barriers that are fixed in space

other types of barriers. We used simulations to illustrate our estimation framework, and 117 applied this method to quantify the barrier effects of roads for wild mountain reindeer 118 (Rangifer tarandus tarandus) in Norway. Barriers that arise from anthropogenic 119 development and land management (e.g. roads, fences, etc.) are of particular conservation 120 concern because of their abundance and ubiquity in many landscapes. In our case study, 121 we found that the permeability of roads to reindeer was low and that areas in close 122 proximity to roads were often avoided. We discuss the ecological implications of these 123 barrier effects on foraging efficiency and predator-prey dynamics. 124

# $_{^{125}}$ Methods

## 126 Modelling effects of impedances on movement

Our starting point is the framework of Rhodes *et al.* (2005) and Forester *et al.* (2009) that defines the probability of an animal moving from location a to location b (a "step") in a given time interval and conditional on habitat covariates, X, at location b to be:

$$f(b|a, X) = \frac{\phi(a, b, \Delta t; \theta)\omega(X_b; \beta)}{\int_{c \in D} \phi(a, c, \Delta t; \theta)\omega(X_c; \beta) dc}$$
(1)

where  $\phi(a, b, \Delta t; \theta)$  is defined as an habitat-independent movement kernel (HIMK, sometimes referred to as the resource-independent movement kernel) describing how the 131 animal would move over time interval  $\Delta t$  in the absence of habitat influences, and  $\omega(X;\beta)$ 132 is the resource selection function (RSF) describing the use of habitat X relative to its 133 availability and conditional on the availability of all habitats to the animal (Aarts et al., 2008; Matthiopoulos et al., 2011). "Use" refers to habitat that has been encountered and 135 selected, while "availability" defines the habitat that could potentially be encountered by 136 the animal (Lele et al., 2013). The shape of the HIMK is determined by parameter vector 137  $\theta$ , while parameter vector  $\beta$  represents the habitat preferences. The numerator is 138 normalised by the denominator, integrated over all locations, c, within the spatial domain, 139 D. This model can be extended to higher-orders by including the locations of the animal 140 at previous steps (see Forester et al., 2009) and incorporating directional persistence of 141 sequential steps. 142 Habitat is conceptualised as a point in multidimensional environmental space 143 (Aarts et al., 2008; Hirzel & Lay, 2008), each dimension representing a biotic or abiotic 144

(Aarts et al., 2008; Hirzel & Lay, 2008), each dimension representing a biotic or abiotic environmental variable related directly (e.g. forage biomass and quality) or indirectly (e.g. elevation) to the use of a location by the animal (Beyer et al., 2010). Environmental variables can be static or dynamic in time (e.g. slope and predator density, respectively) and may be positively or negatively associated with use. The movement path can be characterised as a series of points (a, b) or lines  $(a \rightarrow b)$ . In the former case the matrix of habitat covariates, X, is based on the habitat at point locations b and c (for  $X_b$  and  $X_c$ 

respectively). In the latter case X is based on the habitat characteristics along each line  $(a \to b \text{ for } X_b \text{ and } a \to c \in D \text{ for } X_c)$ . Both designs can be implemented within the framework presented here.

Functional responses in preference describe the change in preference for a habitat as a function of the availability of all other habitats (Mysterud & Ims, 1998; Aarts et al., 2008), and can be estimated by writing the  $\beta$  coefficients of the RSF as functions of the availability of all environmental units (Matthiopoulos et al., 2011). Under the assumption that the time between consecutive steps is long enough to ensure that the animal experiences a representative sample of the entire landscape the RSF can be approximated as a log-linear function  $\omega(X_b; \beta) = e^{X_b \beta}$ .

Here, we wish to quantify two principal effects of barriers on movement. First, the permeability of the barrier ( $\kappa$ ) is a measure of the degree to which the barrier allows an animal to move between two locations across the barrier. Second, barriers may influence space use in proximity to the barriers, which relates to habitat preference. Hence, we define the probability of an animal moving from location a to location b in a given time interval and conditional on barrier permeability  $\kappa$  and habitat covariates, X, at location b to be:

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$$g(b|a,X) = \frac{\phi(a,b,\Delta t;\theta)\omega(X_b;\beta)\psi(a,b;\kappa)}{\int_{c\in D}\phi(a,c,\Delta t;\theta)\omega(X_c;\beta)\psi(a,c;\kappa)\,dc}$$
(2)

where  $\psi(a, b; \kappa)$  is 1 when there is no barrier between locations a and b, and  $\kappa$  otherwise. Thus,  $\kappa$  represents the permeability of the barrier in the range [0,1], where 0 is an impermeable barrier and 1 represents no barrier effect. The effect of proximity to impedances on habitat preference is modelled by adding a covariate to X indicating the distance to the nearest barrier.

In the simplest case  $\kappa$  is a constant that applies to all barriers. Alternatively,  $\kappa$  could be implemented to reflect heterogeneity in permeability. For example,  $\kappa$  could be indexed ( $\kappa_i$ ) to estimate permeabilities for different barrier types or discrete behavioural states, or could be incorporated into a continuous expression that estimated how  $\kappa$  changes as a function of time of day or barrier width. There is great flexibility in how  $\kappa$ 

can be implemented in this framework, which facilitates the evaluation of competing models of barrier permeability.

The integral in the denominator of Eqn 2 can make fitting this model to data difficult. Following Rhodes *et al.* (2005) a discrete space approximation of the integral can be used instead, provided that the interval of discretisation is sufficiently small:

$$g'(b|a,X) = \frac{\phi(a,b,\Delta t;\theta)\omega(X_b;\beta)\psi(a,b;\kappa)}{A\sum_{c=1}^{N}\phi(a,c,\Delta t;\theta)\omega(X_c;\beta)\psi(a,c;\kappa)}$$
(3)

where N is the number of cells in discretised space D, and A is the area of each of these cells (or length in the case of a 1D application). The spatial domain D represents all geographic space, though in practice this domain must be constrained to satisfy computational limitations.

As a proof of concept we simulated the movement of an animal in continuous 1D space

## 187 Simulation study

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characterised by habitat heterogeneity and the presence of a semi-permeable barrier to 189 movement at location x=0, then attempted to recover parameter values using maximum 190 likelihood estimation. Space was wrapped at the boundaries x = -5 and x = 5 (i.e. the spatial domain was the circumference of a circle), and the habitat variable was defined by 192 the function  $H(x) = \cos(2\pi x/5 + 1)$  (Figure 1), such that the habitat varied smoothly 193 over the entire landscape at a scale larger than the movement step. The distance units are 194 arbitrary and the spatial dimension (the range of x-values) is only important in the 195 context of the dispersion of the movement kernel. 196 The simulation algorithm involved sampling 2000 proposal steps from the HIMK. 197 For each proposal step the habitat value was determined from H(x), and steps crossing 198 the barrier were identified. The likelihood of taking each step was determined from 199 q'(b|a,X) (Eqn 3) whereby the denominator was calculated by discretising space into 200 N=10,000 units of length  $A=10^{-3}$ . A single 'accepted' step was sampled from the set 201 of proposal steps in proportion to the magnitude of the likelihood. This process was 202 repeated, sampling new proposal steps each time, until the target path length was

achieved. Simulations were implemented in R (Appendix 1; R Development Core Team, 204 2012). Note that because the movement kernel was small relative to the domain of space, 205 it was not possible for a step to cross both the limits of space (-5 to 5) and the barrier, 206 which simplifies the simulation algorithm. 207 To estimate parameters from the simulation, the likelihood function g'(b|a, X)208 (Eqn 3) was maximised with respect to the movement, preference and permeability 209 parameters  $(\theta, \beta)$  and  $\kappa$  respectively) using the 'optim' function in R (Supporting 210 Information). Transformations were used to enforce appropriate limits on parameters, and 211 parameters were back-transformed after fitting. An exponential transformation was used 212 to enforce a lower limit of 0 on  $\theta$ , and the inverse logit transformation  $\exp(x)/(1+\exp(x))$ 213 was used to enforce limits of [0, 1] on  $\kappa$ . Confidence intervals for these parameter estimates were calculated from the Hessian matrix ( $\pm 1.96$  times the square roots of the 215 diagonal elements of the covariance matrix). A parameter was considered to have been 216 recovered if it fell within the 95% confidence interval. Paths of 1000 steps were simulated 217 using a movement kernel characterised by a normal distribution with mean of 0 and 218 standard deviation 1, starting at a random location (U(-5,5)). To assess whether 219 parameter recovery varied in parameter space  $(\beta, \kappa)$ , 10 replicates of movement paths 220 were simulated at every pairwise combination of  $\beta = 0.0, 0.5, 1, 1.5, 2.0$  and 221  $\kappa = 0.0, 0.25, 0.5, 0.75, 1.0,$  for a total of 250 simulated paths. 222 To investigate the drivers of bias (the difference between the parameter estimate 223 and the true value) and confidence interval width for  $\kappa$  we simulated a further 100 224 movement paths at fixed parameter values ( $\theta = 1, \beta = 1.5, \kappa = 0.5$ ). For each of these 225 simulations we recorded the number of times the barrier was crossed and the number of 226 movement locations in close proximity to the barrier (within 0.673 distance units of the 227 barrier, which is the distance defined by the 50% quantile of the movement kernel). Linear 228 regression was used to quantify the relationship between bias or confidence interval width 229 with barrier crossing frequency or the number of locations in proximity to the barrier 230 (four regressions). The Akaike information criterion (AIC) was used to evaluate whether a 231 linear  $(y \sim x)$  or quadratic  $(y \sim x + x^2)$  form was a better fit (defined as  $\Delta AIC > 4$ ).

## <sup>33</sup> Case study: reindeer and roads

GPS data for wild reindeer were collected within a larger project in Rondane-South and Rondane-North wild reindeer management areas, a mountainous region of 235 central-southern Norway (10° 46' E, 61° 38' N). As a case study we used locations 236 collected from five adult female reindeer (Figure 2) every three hours between 1 June to 237 29 September 2012 (N = 973, 960, 871, 971 and 974 locations, respectively) (Beyer, 2014). 238 Reindeer were immobilised from a helicopter and handled as described in Evans et al. 230 (2013). Around 60% of the area is located above tree-line between 1000 and 1500 m, and 240 is dominated by rocks and lichen heath; lower elevations (above 500 m) are characterised 241 by a mix of meadows, grass and willow communities, as described in Nellemann et al. 242 (2010). The area occupied by the reindeer used in this study extends between ca. 400 and 243 1900 m, and is fragmented by public and private roads (access to the latter is often 244 restricted, so is characterised by lower traffic volumes than the former). 245 We simultaneously estimated the habitat-independent movement kernel, habitat 246 preference, and the permeability of roads as potential barriers by fitting q'(b|a, X) (Eqn 3) 247 to the observed location data. Habitat covariates included elevation (km; ELEV) and 248 distance to roads (km; DRD), both of which were raster format datasets with a spatial 249 resolution of 100m. Elevation was evaluated because it is often correlated with other 250 dimensions of habitat that are difficult to quantify but are important for habitat selection, 251 such as forage quality or abundance, anthropogenic disturbance and weather variables. 252 Distance to roads was evaluated because previous studies found that reindeer avoid regions 253 in close proximity to roads (Panzacchi et al., 2013b). Our goal here, however, was not to 254 evaluate competing models of habitat preference, but to demonstrate the utility of our approach for quantifying barrier permeability. We exclude from our analysis the crossing 256 of short "dead-end" road segments (Figure 2), which are often narrower and have lower traffic densities than the rest of the road network. Furthermore, our framework is targeted 258 specifically at barriers: roads that must be crossed when moving between consecutive 259 locations. According to our definitions dead-end road segments are impedances as they 260 can be crossed or circumnavigated and, therefore, require a different modelling framework. 261

We evaluated two distributions describing the HIMK and used the Bayesian

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Information Criterion (BIC) to identify the model with greatest support. First, the HIMK 263 was implemented as an unbiased bivariate normal distribution with equal variance in the 264 x and y dimensions and no covariance, hence a one-parameter distribution as the mean is 265 always 0, i.e.  $\psi(a,b;\theta) = \exp(-r^2/2\theta^2)/(2\pi r\theta\sqrt{2\pi})$ , where r is the Euclidean distance 266 between locations a and b. Second, the HIMK was implemented as an exponential 267 distribution with mean  $1/\theta$ , i.e.  $\psi(a,b;\theta) = \theta \exp(-\theta r)/2\pi r$ . Other distributions could be 268 used to model step lengths (e.g. Weibull or gamma distributions). The habitat preference function was modelled as  $\omega(X;\beta) = \exp(\beta_1 \text{ELEV} + \beta_2 \text{ELEV}^2 + \beta_3 \text{DRD} + \beta_4 \text{DRD}^2)$ . The 270 response to barriers was implemented as a function that returned the estimated parameter 271  $\kappa$  if moving from  $a \to b$  necessitated crossing a road, and 1 otherwise. The model was fit 272 using the 'optim' function in R (R Development Core Team, 2012), though Markov chain Monte Carlo methods could also be used. 274

Limits must be imposed on the spatial domain (D) for the problem to be computationally tractable. Spatial limits must be selected so that the estimation of the HIMK is not constrained (i.e. that the probability density of the HIMK is near 0 at the edges of these spatial limits). We defined D as all geographic space within a rectangle with edges 5 km from any reindeer location, and determined whether this is reasonable using the fitted HIMK distribution (if the 99.9% quantile of the fitted HIMK was greater than 5 km we would have extended the spatial domain and refit the models).

## 82 Results

#### 283 Simulations

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Estimated parameter values from simulations were generally accurate (Figure 3) and
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    displayed correct inference, i.e. expected recovery rates given the 95\% confidence interval
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    threshold used. The mean absolute difference between the maximum likelihood estimate
    and the true values of \theta, \beta and \kappa was 0.005, 0.006, and 0.071 respectively, indicating
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    accurate estimation. The 95% confidence intervals (CI) captured the true value of \theta, \beta
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    and \kappa in 239, 239 and 239 of 250 simulations respectively (only a single simulation failed
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    on more than one parameter estimation).
             Of the 11 simulations that failed to estimate \theta the upper or lower confidence
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    interval was very close to including the true estimate (all within with 0.03), and there
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    were similar numbers of over- and underestimates (5 and 6 respectively). Parameter
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    recovery success was not strongly related to parameter magnitude for \beta (1, 6, 1, 2 and 1
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    simulations failed to capture values of \beta of 0, 0.5, 1, 1.5, and 2 respectively) or \kappa (0, 2, 2,
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    2, and 5 simulations failed to capture values of \kappa of 0.0, 0.25, 0.5, 0.75 and 1.0
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    respectively). All simulations that failed to recover \kappa were underestimates, though all but
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    one of these CIs were within 0.05 of the true estimate. The worst performing simulation
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    underestimated by 0.244.
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             The realised distribution of step lengths decreased as a function of \beta (Figure 4;
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    linear regression, y \sim \beta_0 + \beta_1 x, \beta_0 = 0.99 \pm 3.3 \times 10^{-3} \text{ SE}, \beta_1 = -0.15 \pm 2.7 \times 10^{-3} \text{ SE})
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    but was unrelated to \kappa (linear regression, y \sim \beta_0 + \beta_1 x, \beta_0 = 0.84 \pm 0.012 SE,
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    \beta_1 = -0.7 \times 10^{-3} \pm 0.020 SE). For example, the mean observed step length among all
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    simulations in the absence of preference (\beta = 0) was 0.78 units, but dropped to 0.53 units
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    when \beta = 2. This trend did not impact the estimation of \theta (Figure 3).
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             Overall, there was little evidence of bias in the maximum likelihood estimates and
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    most confidence intervals contained the true parameter value. Estimates for \kappa, however,
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    appeared to be quite variable, especially at higher values of \beta and \kappa (Figure 3). Bias in
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    the estimate of \kappa was positively correlated with the number of times the movement path
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    crossed the barrier (Figure 5a; linear regression, y \sim \beta_0 + \beta_1 x, \beta_0 = -0.24 \pm 0.063 SE,
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 $\beta_1 = 2.1 \times 10^{-3} \pm 5.1 \times 10^{-4} \text{ SE}$ ), but uncorrelated with the number of locations in proximity to the barrier (Figure 5b; linear regression,  $y \sim \beta_0 + \beta_1 x$ ,  $\beta_0 = 1.3 \times 10^{-2} \pm 2.8 \times 10^{-2} \text{ SE}$ ,  $\beta_1 = 3.8 \times 10^{-5} \pm 1.5 \times 10^{-4} \text{ SE}$ ). The width of the confidence intervals for the estimate of  $\kappa$  was positively associated with the number of barrier crossings (Figure 5c; linear regression,  $y \sim \beta_0 + \beta_1 x + \beta_2 x^2$ ,  $\beta_0 = 0.58 \pm 0.21 \text{ SE}$ ,  $\beta_1 = -7.0 \times 10^{-3} \pm 3.5 \times 10^{-3} \text{ SE}$ ,  $\beta_2 = 4.3 \times 10^{-5} \pm 1.4 \times 10^{-5} \text{ SE}$ ), and negatively associated with the number of locations in proximity to the barrier (Figure 5d; linear regression,  $y \sim \beta_0 + \beta_1 x + \beta_2 x^2$ ,  $\beta_0 = 0.69 \pm 0.036 \text{ SE}$ ,  $\beta_1 = -2.5 \times 10^{-3} \pm 4.1 \times 10^{-4} \text{ SE}$ ,  $\beta_2 = 4.2 \times 10^{-6} \pm 1.1 \times 10^{-6} \text{ SE}$ ).

The exponential distribution performed better than the normal distribution as a

### 320 Reindeer

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description of the HIMK (for 3 hr interval movements) for 4 of the 5 reindeer (the 322 difference in BIC between the normal and exponential distribution models was -207, 191, 323 125, 365, and 170 respectively). The estimated and observed mean step distances of the 324 five reindeer were 0.72, 0.82, 0.96, 0.79, 1.08 km and 0.84, 0.75, 0.93, 0.74, 0.99 km 325 respectively (Table 1; Figure 6). In all cases the density of the HIMK is close to 0 at a 326 distance of 3 km (Figure 6), indicating that the 5 km margin around the extent of the 327 reindeer locations is adequate to describe the spatial domain as any locations further than 328 3 km contribute little to the denominator of Eqn 3. There was evidence of habitat preference for elevation for two reindeer (Figure 6n, 330 r) that favoured higher elevations. In contrast, there was strong preference with respect to 331 distance to the nearest road for four of the five reindeer (Figure 6c, g, k, o). These four 332 reindeer were less likely to select steps ending near roads (ca. 0-1km). There was also 333 some evidence that the reindeer were less likely to select steps far away (> 5 km) from 334 roads (Figure 6c, g, k, o). The road permeability estimates ranged from 0.01-0.96 (Table 1; Figure 6), though the confidence intervals for two of these estimates were wide 336 (Figure 6l, p). The frequency of observed road crossings for each of the reindeer was 4, 17, 337 0, 6 and 5 crossings. The avoidance of areas near roads may contribute to the uncertainty 338 in the estimate of permeability. 339

## Discussion

Having defined barriers as impedances to movement that cannot be circumnavigated, but 341 must be crossed to move between two regions, we establish a framework for quantifying barrier effects in the context of movement and habitat preference. We demonstrated that 343 parameters defining movement, preference and barrier permeability can be reliably recovered from simulated paths. In cases where parameter recovery was not successful the 345 estimate was not consistently biased, indicating inferences based on such an analysis 346 would likely be robust to parameter estimation error. Applying this framework to the 347 movement of reindeer in Norway we demonstrated that, after accounting for the intrinsic 348 movement patterns and habitat preference, roads are effective barriers to movement. 349 Movement between two areas separated by a road that cannot be circumnavigated was 350 reduced by 3.5-99.5% (mean 68.6%) relative to the expected movement rate in the 351 absence of the road. Furthermore, four of five reindeer avoided areas close to roads 352 (within approximately 0-1 km; Figure 6) relative to their availability in the landscape. By 353 simultaneously quantifying both proximity avoidance and low barrier permeability, we 354 show how roads reduce the effective area of reindeer habitat by fragmenting the landscape 355 into regions delineated by networks of roads that are infrequently crossed. 356

The inferred permeability and proximity effects of barriers may have important 357 implications for foraging and fitness. We hypothesize that barrier effects could reduce 358 foraging efficiency by reducing the effective area of habitat that is accessible by reindeer (the proximity avoidance effect) and by reducing inter-patch movement (the permeability 360 effect). Previous work has demonstrated that lichen biomass is higher near infrastructure and attributed this to loss of feeding opportunity due to avoidance effects (Vistnes et al., 362 2004; Dahle et al., 2008). Avoidance of proximity to roads results in habitat loss and fragmentation, and increases the effective distance between patches. The marginal value 364 theorem (Charnov, 1976) predicts that increasing transit times and decreasing connectivity among patches will result in animals staying longer in foraging patches, 366 further depleting them but at a reduced rate of energy intake. Hence, compared to landscape without roads, optimal foraging theory would predict that foraging efficiency 368 and consequently fitness is reduced in the landscape partitioned by roads. That said,

semi-permeable barriers may constrain movement for a period of time, but ultimately 370 animals may cross them and use habitat on the other side of the barrier extensively. The 371 long-term average spatial distribution may, therefore, be similar to the distribution had 372 barriers been absent even if, over shorter periods, barriers limit movement and 373 distribution. The foraging consequences of roads must be evaluated, therefore, in the 374 context of rates of inter-patch movements and the density of barriers, which determines 375 the degree of landscape fragmentation. Quantifying this mechanistic basis for 376 understanding the effects of roads on fitness via their effects on foraging strategies is an 377 important area for future work. 378

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Another possible ecological consequence of barriers is making prev location more predictable to predators or hunters, and also more accessible if barriers facilitate predator movement (e.g. roads). Mitchell & Lima (2002) suggest that animals may move among patches more frequently than would be predicted by optimal foraging theory in order to reduce predation risk by being less predictable. Conversely, if barriers reduce inter-patch movement, and animals consistently avoid being near roads such that their density increases some distance away from roads, then they are necessarily going to be more predictable in space (Dyer et al., 2002; Fortin et al., 2013). Furthermore, predators can use roads to more rapidly move around a landscape, further improving their ability to access prey (McKenzie et al., 2009). Although less obvious than some of the direct effects of roads on animals, such as mortality (Pickles, 1942; Fahrig & Rytwinski, 2009) and habitat loss (Forman & Alexander, 1998; Saunders et al., 2002), changes to foraging efficiency and predator-prey dynamics could have profound longer-term effects on survival and reproduction (Basille et al., 2013).

From a management perspective, it is important to evaluate both barrier 393 permeability and proximity effects. Permeability of barriers can be altered through the construction of over- or under-passes, tunnels, fences, corridors and management of roadside vegetation (Clevenger & Waltho, 2000). There is little understanding, however, of how management could reduce the proximity effects of barriers, particularly as the cause of this avoidance is not understood and may be multifaceted (noise, visual cues, 398 perceived threat, etc). For some species it may be possible to partially mitigate proximity

effects through barrier concealment (potentially visual and auditory effects) or other 400 forms of landscape design. Further work in this regard is warranted, particularly as roads 401 are pervasive in many landscapes (Forman & Alexander, 1998) and fencing is increasingly 402 being used to manage human-wildlife conflicts (Hayward et al., 2009) even though we do 403 not fully understand the ramifications of establishing these barriers. It would be valuable 404 for future work on reindeer to evaluate how permeability and avoidance are influenced by 405 traffic volume to better define what aspects of roads the animals are responding to (e.g. see Leblond et al., 2013). Moving some portions of roads into tunnels may be one of the 407 most effective options at reducing road effects on reindeer. 408

Our simulation work demonstrated that the realised (empirical) step length 409 distribution arose from the interaction of the HIMK and habitat preference. Strong 410 selection acted to constrain movement by placing greater relative weight on the RSF 411 compared to the HIMK. Although this is not an issue related to barriers, it is an observation that has important implications for the estimation of habitat preference. 413 Specifically, using the observed (empirical) movement distribution to quantify availability (a "step selection function" design; Fortin et al., 2005) may only be justified when 415 selection is weak, and could result in biased selection estimates (Forester et al., 2009; Lele 416 et al., this issue). We show that estimating the HIMK and the habitat preference models 417 simultaneously (rather than making a priori assumptions about the HIMK) facilitates 418 unbiased parameter estimation. Furthermore, this trade-off between strength of selection 419 and the realized movement distribution could help explain variation in movement patterns 420 among study areas or landscapes. 421

The simulation study also provides insight into some difficulties with quantifying permeability. Estimates of permeability are likely to be poor if the animal crosses a barrier rarely or too frequently, or is often far from a barrier. Specifically, we found that bias in the estimate of permeability was positively correlated with the number of barrier crossings (Figure 5a), while the width of the confidence intervals around the estimate was positively associated with the number of crossings and negatively associated with the number of locations in proximity to the barrier. Clearly, animal locations that are far from a barrier (relative to the movement ability of the animal) provide very little

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information about the permeability of that barrier. Fitting this model to data from several animals occurring across a range of barrier densities and proximities is likely to provide the strongest inference about permeability.

The framework presented here brings together recent advances in movement 433 modelling including the development of mechanistic movement models (Rhodes et al., 2005; Moorcroft et al., 2006; Moorcroft & Barnett, 2008) with approaches for estimating 435 functional responses in habitat preference (Matthiopoulos et al., 2011) in order to 436 quantify the effects of barriers on movement and habitat selection. Although often more 437 challenging to fit compared to simpler statistical habitat selection models (such as generalized linear models), mechanistic movement models have the advantage of more 439 robust parameter estimation and greater objectivity as they do not require subjective decisions regarding the domain of availability. Furthermore, their flexibility facilitates 441 adapting them to address many types of movement modelling problems as we have demonstrated by using them to quantify barrier permeability and proximity avoidance. 443 Thus, we strongly advocate the mechanistic movement model approach to address habitat preference and barrier problems. 445

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# Data accessibility

The code for the simulation analysis is included in the Supporting Information. The data for the reindeer case study has been archived and is publicly accessible (Beyer, 2014).

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## Table and Figure Captions

Table 1. Maximum likelihood parameter estimates (and 95% confidence intervals) for each of the five individuals (id). The parameters represent the movement kernel parameter ( $\sigma$ , representing the standard deviation of a normal distribution for id 1, or the rate parameter of an exponential distribution for all other animals), the permeability of roads ( $\kappa$ ) and habitat preference for elevation (quadratic,  $\beta_1$  and  $\beta_2$ ) and distance to roads (quadratic,  $\beta_3$  and  $\beta_4$ ).

Figure 1. Representative example of movement path simulation. (top) Density of movement locations (grey bars) in 1D space (x axis, wrapped at boundaries) given selection for habitat (dashed line) and a semi-permeable barrier to movement (black line at x = 0, 40% permeable). (bottom) The progression of movement path locations through time (x axis) and in relation to the semi-permeable barrier (line at y = 0). Note the spatial dimension is wrapped at the boundaries 5 and -5, thus moves exceeding these boundaries appear at the opposite boundary.

Figure 2. Animal movement paths (back lines) derived from GPS telemetry locations of five adult female reindeer (panels) over one summer in two nearby areas (Rondane-South and Rondane-North) in central Norway. Reindeer must sometimes cross roads (grey lines) when moving around their range.

Figure 3. Summary of fitting a movement model to simulated movement paths to assess 597 parameter recovery and potential bias. Sets of 10 paths were simulated at every 598 combination of five levels of habitat selection  $(\beta)$  and five levels of barrier permeability 599  $(\kappa)$ , with constant movement kernel  $\theta$ . In both plots dots represent the mean parameter 600 estimate among each set of 10 simulations, and the lines are the 95% confidence intervals 601 of those parameter estimates. Dashed horizontal lines indicate the true parameter values. 602 There was no evidence of bias in the estimation of  $\theta$  and  $\beta$  across all levels of  $\kappa$  (a). 603 Similarly, there was no evidence of bias in the estimation of  $\theta$  and  $\kappa$  across all levels of  $\beta$ 604 (b), though there was considerable range in estimate of  $\kappa$ . Note a small x-axis offset has been applied in (b) to prevent overlap of quantile lines. 606

Figure 4. Change in the observed movement kernel (specifically, the standard deviation describing a normal distribution; y axis) as a function of strength of selection ( $\beta$ ) based on 250 simulated movement paths (points) of length 1000 steps. For all simulations the habitat independent movement kernel (HIMK) is a normal distribution with standard deviation 1.0. As the strength of selection increases, movements become increasingly limited by the effect of habitat selection. When selection is strongest there is considerable discrepancy between the observed (empirical) kernel and the underlying HIMK.

Figure 5. Analysis of factors influencing bias (the difference between the estimate and true value) and confidence interval width for the permeability variable  $\kappa$ . Bias was positively correlated with the number of barrier crossings (a), but unrelated to the number of movement path locations in close proximity (a distance defined by the 50% quantile of the movement kernel) to the barrier (b). The width of the confidence interval for  $\kappa$  was positively correlated with the number of crossings (c) but negatively correlated with the number of locations close to the barrier (d).

Figure 6. For each of five reindeer (rows of plots) the mean estimates (solid lines) of the movement kernel (column 1), relative resource preferences for elevation (column 2) and distance to roads (column 3), and road permeability step function (column 4). The 95% CIs are shown as dashed lines, and the marks along the x axis (first three columns of plots) are a random sample from the distribution of available values.

Table 1:

$\operatorname{id}$	$\sigma$	$\kappa$	$eta_1$	$eta_2$	$eta_3$	$eta_4$
1	0.90	0.01	3.38	-0.90	0.89	-0.12
	(0.86, 0.95)	(0.00, 0.02)	(-1.50, 8.27)	(-3.51, 1.71)	(0.64, 1.14)	(-0.17, -0.08)
2	1.22	0.33	1.50	0.03	1.25	-0.27
	(1.14, 1.31)	(0.18, 0.51)	(-2.74, 5.73)	(-2.51, 2.58)	(0.82, 1.68)	(-0.39, -0.15)
3	1.04	0.05	4.52	-1.59	0.65	-0.09
	(0.97, 1.12)	(0.00, 0.91)	(-2.62, 11.66)	(-4.86, 1.69)	(0.34, 0.96)	(-0.13, -0.05)
4	1.27	0.96	9.32	-3.76	1.17	-0.27
	(1.18, 1.36)	(0.00, 1.00)	(0.91, 17.73)	(-8.24, 0.73)	(0.76, 1.58)	(-0.40, -0.15)
5	0.93	0.18	9.26	-2.72	0.00	0.01
	(0.87, 0.99)	(0.07, 0.39)	(1.85, 16.68)	(-5.39, -0.05)	(-0.24, 0.24)	(-0.02, 0.04)

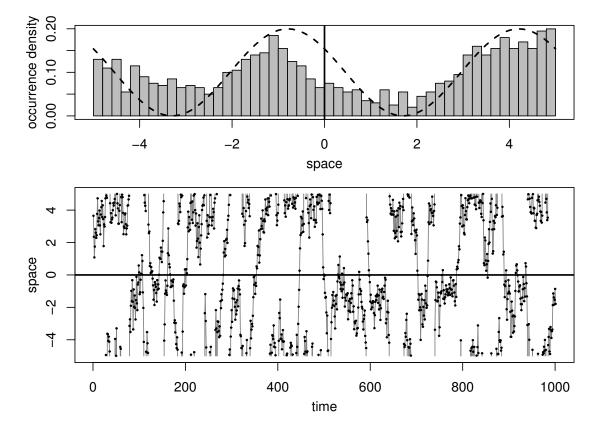
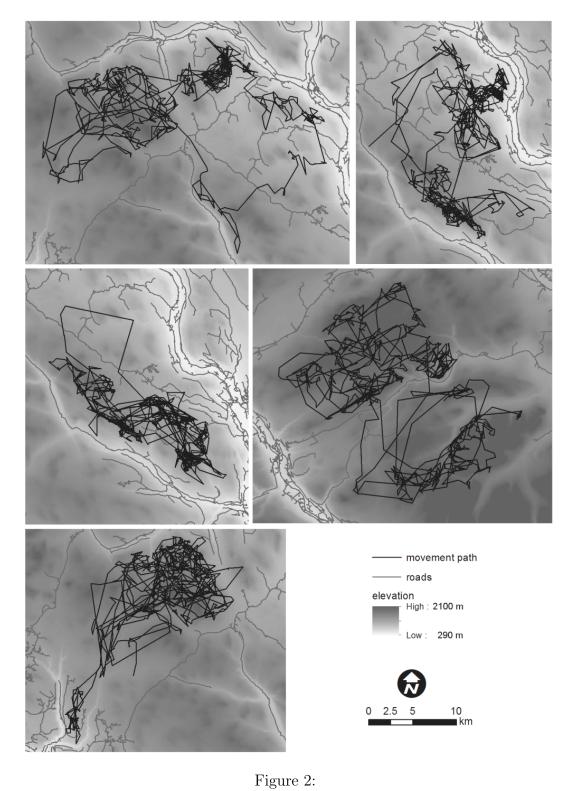


Figure 1:



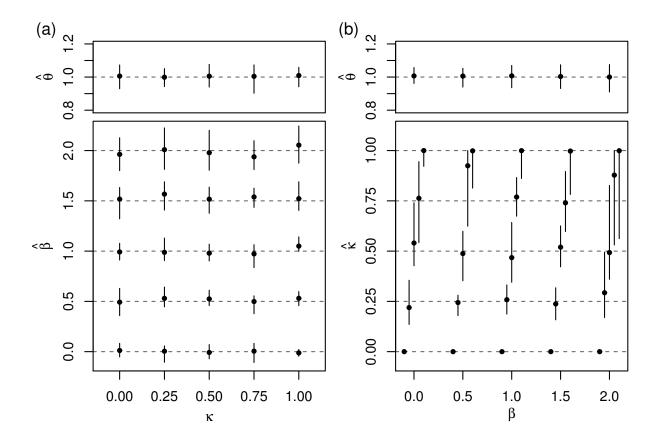


Figure 3:

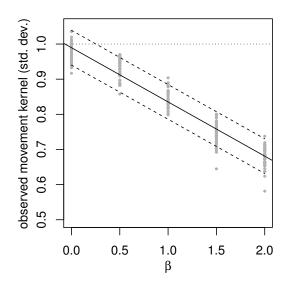


Figure 4:

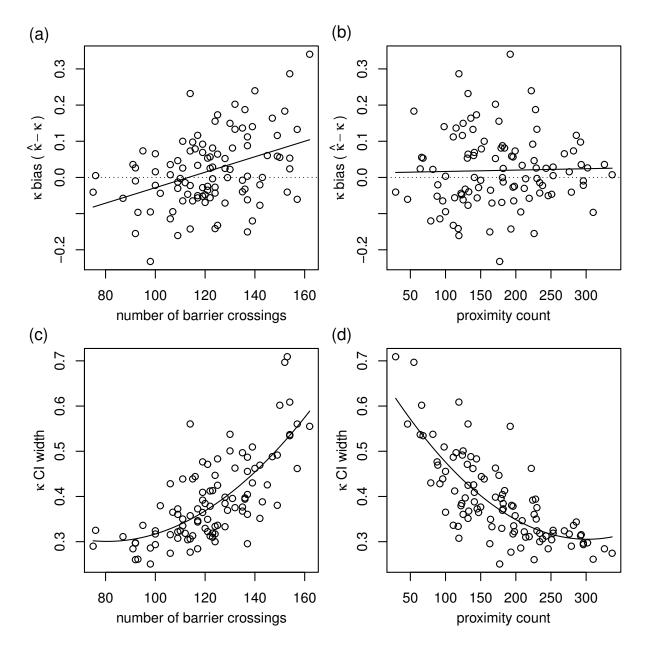


Figure 5:

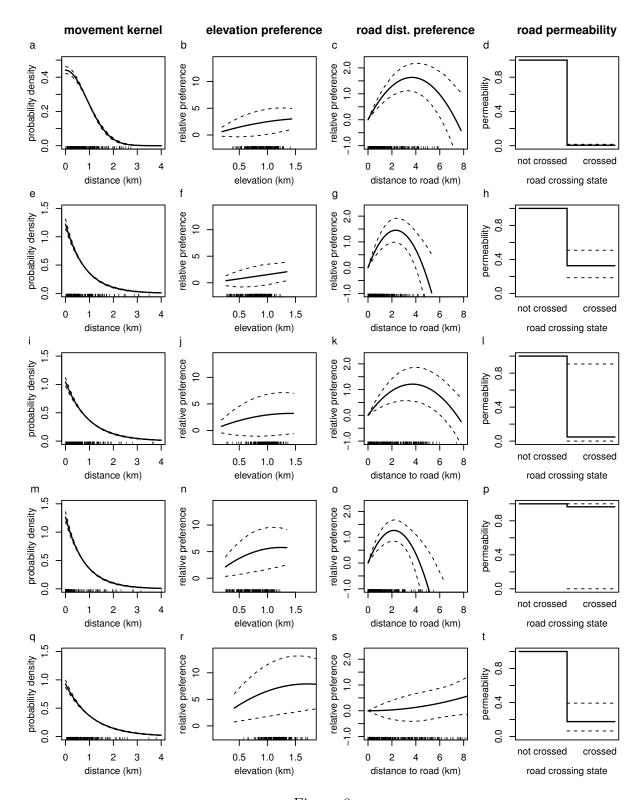


Figure 6: