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Vlassopoulos, Antonios, Lean, Michael E. J., and Combet, Emilie (2014) *Oxidative stress, protein glycation and nutrition – interactions relevant to health and disease throughout the lifecycle*. Proceedings of the Nutrition Society . ISSN 0029-6651

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Deposited on: 3 June 2014

1 **Oxidative stress, protein glycation and**  
2 **nutrition – interactions relevant to health**  
3 **and disease throughout the lifecycle.**

4  
5 **This deposit is a pre-print, pre-peer review version of the manuscript**  
6 **published in IJO, in accordance with the publisher's guideline - the pre-**  
7 **print, post peer review version will be uploaded after the 6 months**  
8 **embargo period**

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30 **Abstract**

31 Protein glycation has been studied for over a century now and plays an important role in disease  
32 pathogenesis throughout the lifecycle. Strongly related to diabetic complications, glycation of  
33 haemoglobin has become the gold standard method for diabetes diagnosis and monitoring. It is  
34 however attracting attention in normoglycaemia as well lately. Longitudinal studies increasingly  
35 suggest a positive relationship between glycation and the risk of chronic diseases in  
36 normoglycaemic individuals, but the mechanisms behind this association remain unclear. The  
37 interaction between glycation and oxidative stress may be particularly relevant in the  
38 normoglycaemic context, as suggested by recent epidemiological and *in-vitro* evidence. In that  
39 context nutritional and lifestyle factors with an influence on redox status, such as smoking, fruit and  
40 vegetable and antioxidants consumption, may have the capacity to promote or inhibit glycation.  
41 However, experimental data from controlled trials are lacking the quality and rigor needed to reach  
42 firm conclusions. In this review, we discuss the importance of glycation for health through the  
43 lifecycle and focus on the importance of oxidative stress as a driver for glycation. The importance  
44 of nutrition to modulate glycation is discussed, based on the evidence available and  
45 recommendations towards higher quality future research are made.

46 Key-Words: glycation, oxidative stress, antioxidant, nutrition, diabetes mellitus, chronic disease,  
47 polyphenols, RAGE

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## 51 **The glycation reaction - historical background**

52 Glycation, also referred to as non-enzymatic browning or the Maillard reaction, has attracted  
53 scientific interest for nearly a century. Initiated by the non-enzymatic condensation of a reducing  
54 sugar (like glucose) with a protein, glycation is one of the most important forms of protein  
55 damage/loss, relevant to both medicine and food science. Named after the pioneer in the field, the  
56 Maillard reactions were described in 1912<sup>(1)</sup> and systematically presented for the first time by John  
57 E. Hodge in 1955<sup>(2)</sup>. During the early years, glycation was studied in the context of food science,  
58 food processing and hence relative to health via nutritional intake. In 1977, a fraction of  
59 haemoglobin, HbA1c, was identified as a ketoamine (glycation product) and the concept of *in-vivo*  
60 protein glycation gradually became mainstream<sup>(3-5)</sup>. HbA1c was proposed as a useful biomarker for  
61 diabetes monitoring<sup>(3; 4)</sup>, and endogenously produced Advanced Glycation Endproducts (AGEs)  
62 have since attracted further scientific attention, beyond food chemistry, from fields including  
63 medical biochemistry and pathology.

## 64 **The importance of glycation for health**

### 65 Glycation and the AGE-RAGE axis

66 The study of the role played by glycation in disease pathogenesis originally relied on measuring  
67 fructosamine levels in biological fluids, combined with the characterisation of endogenous AGEs in  
68 the circulation and tissues<sup>(6; 7)</sup>. These measurements were related to glycaemia and the topic very  
69 much focused on diabetes<sup>(3-5)</sup>.

70 In hyperglycaemia (post-prandially or in non-controlled diabetes) and to a lesser extent in  
71 normoglycaemia, both circulatory proteins and proteins of the endothelium are exposed to (excess)  
72 glucose, leading to the slow formation of AGEs<sup>(8-11)</sup>. During that process, glycation adducts are  
73 created on the protein molecule, as a function of glucose levels. Accumulation of glycation adducts  
74 on the protein promotes excessive cross-linking with other protein molecules, which, in the case of  
75 collagen for example, would inhibit the formation of an ordered and functional polymeric complex.  
76 Such changes could lead to the formation of a thick vascular wall with i) reduced elasticity and ii) a  
77 high affinity of collagen to bind other circulating proteins like IgG, albumin and lipoproteins like  
78 LDL<sup>(12-21)</sup>. In turn, the immobilisation of proteins on the vascular wall will promote further  
79 glycation and cross-linking and will act as a signal for chemo-attraction of macrophages and  
80 monocytes, promoting inflammation and 'foam' cell formation in the endothelium<sup>(22-24)</sup>.

81 The discovery that AGEs can bind on cellular receptors and alter intracellular events was a  
82 breakthrough, linking glycation to signalling<sup>(25)</sup>. Receptors like AGE-R1, AGE-R2, AGE-R3,  
83 MSRII, CD36, LOX-1 and the Receptor for AGEs (RAGE), the most characterised receptor<sup>(26)</sup>, are  
84 multi-ligand cell-surface immunoglobulins, with the ability to initiate injury-like intracellular events,  
85 mainly expression of genes related with inflammation and oxidative stress<sup>(27-29)</sup>. Upon activation of  
86 RAGE, intracellular ROS levels are increased through up-regulation of NAD(P)H oxidase  
87 expression. This in turns leads to the activation of the Ras-MAP kinase pathway, ultimately up-  
88 regulating NFκB and the production of inflammatory molecules (including TNF-α, VCAM-1, I-  
89 CAM1 and IL-1β). The up-regulation of NFκB also initiates a positive feedback loop that  
90 sensitises the cell (and hence the tissue) to AGEs by promoting RAGE production<sup>(24)</sup>.

91 Together accumulation of AGEs in tissues and AGE-RAGE interactions are the two main pathways  
92 of glycation involvement in disease pathogenesis. These two pathways are often acting  
93 simultaneously and their individual effects are hard to distinguish; hence they are commonly  
94 presented in the same context when discussing glycation related pathophysiology<sup>(12; 30-34)</sup>.

#### 95 Glycation and health throughout the lifecycle

96 Glycation is relevant to all stages in the lifecycle, including conception and early gestation. The  
97 reproductive tract is a known site for AGEs accumulation both in men<sup>(35)</sup> and women<sup>(36)</sup>. AGEs  
98 accumulation is followed by changes in the distribution of RAGE in reproductive tissues<sup>(37)</sup>, and  
99 sRAGE (the soluble isoform of RAGE) in seminal/follicular fluid<sup>(38; 39)</sup>, which may lead to lower  
100 sperm quality<sup>(38)</sup>, lower likelihood of success following assisted reproduction<sup>(40; 41)</sup> and reduced  
101 embryonal quality and development<sup>(39; 41; 42)</sup>. During the course of pregnancy, activation of the  
102 AGE-RAGE axis may be involved in the pathogenesis of preeclampsia<sup>(43-45)</sup>. So far evidence on the  
103 involvement of AGEs and/or RAGE in fetal development are limited and based on animal studies.  
104 For example a study on transgenic mice showed that overexpression of RAGE was associated with  
105 impairments in alveolar morphogenesis. The degree of RAGE overexpression was related to the  
106 magnitude of the abnormality with homozygous mice having histological changes similar to human  
107 bronchopulmonary dysplasia. The study also found that this early life changes could lead to  
108 increased risk of 'destructive' emphysema<sup>(46)</sup>. Glycation has also been proposed as a mechanism of  
109 ageing<sup>(47; 48)</sup>. Evidence from animal models suggest that a diet low in AGEs (50% reduction in  
110 AGEs intake) was associated with amelioration of insulin resistance, lower AGEs accumulation  
111 (both indications of the ageing process) and ultimately increased lifespan compared to the  
112 controls<sup>(49)</sup>. Similarly, mice on caloric restriction, a popular model of lifespan expansion in animal  
113 models, have lower levels of collagen cross-linking and lower levels of lens cataract, suggesting  
114 lower AGEs accumulation in the vitreous and the extracellular matrix<sup>(50; 51)</sup> as well as in the brain<sup>(52)</sup>.

115 In fact, mice fed high AGEs diets while on caloric restriction did not show any increase in their  
116 lifespan and the authors of the report suggested that lower AGEs intake may be one of the  
117 mechanisms behind the caloric restriction model<sup>(49; 53)</sup>. An interesting observation linking the effect  
118 of AGEs in ageing and as early in life as in conception comes from a study showing the active  
119 involvement of AGEs accumulation in ovarian ageing and ovarian function in human subjects<sup>(54)</sup>.

120

## 121 HbA1c and risk of chronic diseases

122 Even though the exact mechanisms of disease pathogenesis remain elusive, extensive evidence is  
123 available to associate glycation with disease risk. Glycation has a particular relevance for age-  
124 related diseases, including Alzheimer's disease<sup>(55; 56)</sup>, skin ageing<sup>(48)</sup> and cataract<sup>(47)</sup>. These  
125 conditions are characterised by increased, possibly lifelong, deposition of AGEs in the affected  
126 tissue<sup>(57-59)</sup>.

127 As *in-vivo* glycation is believed to be mainly driven by plasma glucose concentrations, the most  
128 established relationship is between glycation and diabetes. HbA1c is the gold standard method for  
129 diabetes diagnosis and monitoring<sup>(60)</sup>. According to the American Diabetes Association, individuals  
130 with HbA1c levels between 5.7-6.5 % are considered at high risk of developing diabetes. Those  
131 with HbA1c>6.5% are classified as having diabetes<sup>(61)</sup>. Among patients with diabetes, higher  
132 HbA1c levels are associated with increased risk of retinopathy<sup>(62-66)</sup>, neuropathy<sup>(67)</sup> and  
133 nephropathy<sup>(66)</sup>.

134 Glycation has recently attracted attention as a risk factor for normoglycaemic individuals. For the  
135 purpose of this paper, we conducted a systematic literature search to identify studies documenting  
136 the effect of increased glycation on the risk of non-communicable chronic diseases in  
137 normoglycaemic subjects. We identified 15 reports from 8 studies (European Prospective  
138 Investigation into Cancer and Nutrition-EPIC<sup>(68; 69)</sup>, Atherosclerosis Risk in Communities study-  
139 ARIC<sup>(70-73)</sup>, Australian Diabetes, Obesity and Lifestyle study-AusDiab<sup>(74)</sup>, the Hoorn Study<sup>(75; 76)</sup>,  
140 Framingham Offspring<sup>(77)</sup>, Rancho Bernardo<sup>(78)</sup>, Women's Health Study-WHS<sup>(79-81)</sup> and National  
141 Survey of Cardiovascular Disorders 1990-NIPPON DATA90<sup>(82)</sup>) analysing data from a total of over  
142 63,000 participants, followed-up for 4-15 years. The outcomes of interest were diabetes risk,  
143 cardiovascular disease (CVD), ischemic heart disease, stroke, coronary heart disease (CHD) and all-  
144 cause and CVD mortality. Two reports focused on the association between glycation and cancer  
145 risk, especially colorectal<sup>(69)</sup> and breast cancer<sup>(80)</sup>. Overall, the studies showed a positive  
146 relationship between higher HbA1c and the risk of stroke and/or CVD and/or mortality ranging  
147 between 18-55% higher risks per 1% increase in HbA1c<sup>(68-74; 82)</sup>. As far as cancer incidence is

148 concerned, the results are still inconclusive. Data from the EPIC cohort suggest a 33% increase in  
149 the incidence of colorectal cancer per every 1% increase in HbA1c<sup>(69)</sup>, but an analysis of the WHS  
150 data did not find any association between HbA1c and breast cancer risk<sup>(80)</sup>. As the two cancer types  
151 differ significantly in aetiology, colorectal cancer has a strong dietary link<sup>(83)</sup> while breast cancer is  
152 mainly of genetic aetiology<sup>(84)</sup>; more research is needed before any conclusion is reached.

### 153 Oxidative stress and protein glycation in normoglycaemia

154 As observed by Selvin et al<sup>(70)</sup>, fasting glucose may fail to explain the positive relationship between  
155 HbA1c and CVD and/or mortality. Correction for classical risk factors (including smoking,  
156 dyslipidaemia, inflammation) explain the relationship better<sup>(75; 76; 79; 81)</sup>, suggesting that a shared  
157 mechanism may drive the increase in HbA1c levels. Although indications and potential mechanisms  
158 are in place to suggest an active involvement of oxidative stress in protein glycation in  
159 normoglycaemia and hence the increase in the risk of chronic diseases, so far little evidence is  
160 available to support such a hypothesis.

161 In our previous work, we hypothesised that oxidative stress could be this shared mechanism, which  
162 acts as a glycation driver in normoglycaemia.

163 Using the Scottish Health Surveys (SHS) datasets 1993-2010, we have shown that, in individuals  
164 without diabetes and HbA1c levels lower than 6.5%, age-sex adjusted HbA1c levels are positively  
165 correlated with smoking status, an association seen even among ex-smokers who used to smoke  
166 regularly<sup>(85)</sup>. Smoking status was used as a proxy for oxidative stress and, in a similar way, fruit and  
167 vegetable intake was used as a proxy for antioxidant intake. Smoking was positively associated with  
168 HbA1c levels from as few as 10 cigarettes per day a finding consistent with previous reports<sup>(86; 87)</sup>  
169 (Figure1). The likelihood of having an HbA1c level within the prediabetes range (5.7-6.4%) was  
170 double among smokers compared to non- smokers; this was seen even with less than 10 cigarettes  
171 per day smoked. Interestingly, smoking cessation does not lead to complete reversal to the non-  
172 smoking state, as former smokers were found to have lower HbA1c levels than smokers but not as  
173 low as never smokers<sup>(86; 87)</sup>. In a linear regression model, smoking was associated with 0.08%  
174 higher HbA1c compared to no smoking, which is equal to 0.25 times the SD. As expected,  
175 vegetable intake had the opposite effect being associated with lower age-sex adjusted HbA1c levels  
176 with more portions consumed. In fact, for every extra 80g portion of vegetable consumed there was  
177 an associated 0.01% reduction in HbA1c.

178 The hypothesis that glycative and oxidative damage are closely related *in vivo* is supported by  
179 evidence showing that in purified plasma albumin, oxidative damage - measured as a reduction in  
180 free thiol groups - was positively related to glycative damage, measured as fructosamine and

181 carbonyl rate<sup>(88)</sup>. Moreover, Cys-34, a key site of oxidative damage in albumin *in vivo*<sup>(89)</sup>, has also  
182 been suggested as a glycation site, especially from  $\alpha$ -oxoaldehydes<sup>(90)</sup>. Since *in-vitro* models are  
183 often removed from physiologically-relevant reactions, it is important to setup mechanistic studies  
184 with adequate parameters. To test the hypothesis that, in normoglycaemia, oxidative stress promotes  
185 glycation, we carried out 4-week long albumin incubation studies (albumin has a half-life of 14-28  
186 days). Glucose concentrations of 5 and 10 mM were employed to replicate normoglycaemia and  
187 (non-controlled) diabetes, respectively, while 20 mM and 30 mM glucose were used as positive  
188 controls (supraphysiological concentrations). There is no consensus on the plasma levels of  
189 hydrogen peroxide (from nearly 0 to 35  $\mu\text{M}$ <sup>(91-93)</sup>), we used a low concentration of hydrogen  
190 peroxide ( $\text{H}_2\text{O}_2$ , 10 nM) to simulate physiologically relevant oxidative stress<sup>(94)</sup>. Co-incubation of  
191 albumin with glucose and physiological levels of  $\text{H}_2\text{O}_2$  led to significantly higher glycation at all  
192 glucose levels tested, after 2 weeks and 4 weeks incubation, compared to glucose alone. At  
193 physiological glucose level (5mM), there was no significant glycation (versus negative control) in  
194 absence of  $\text{H}_2\text{O}_2$  (Figure 2), indicating that oxidative stress plays an important in glycation in  
195 normoglycaemia. Physiologically, in the presence of oxidative stress, proteins can get quickly  
196 oxidised and remain in this form in circulation until they are degraded by proteases<sup>(95)</sup>. As  
197 extracellular/circulating proteins are more likely to get oxidised first before getting glycated, due to  
198 the relative speed of the reactions, the same experiments were repeated using pre-oxidised protein.  
199 The pre-oxidised BSA led to a higher production of fructosamine when incubated with glucose as  
200 compared to the native incubated BSA. Oxidative stress also drove glycation of human plasma  
201 proteins, in presence of 5 mM glucose.

202 Brought together, these results<sup>(85; 96)</sup> indicate the potential role for oxidative stress as a driver for  
203 glycation in normoglycaemic individuals. The increased levels of HbA1c seen in smokers and those  
204 consuming low amounts of fruit and vegetables could be partially due to their impaired redox status,  
205 as stipulated by the epidemiological data. This interaction between oxidative stress and glycation  
206 will be subtle but with potentially sizeable long term effects. Hence, dietary interventions aiming to  
207 restore the antioxidant/pro-oxidant balance in subjects at high risk of oxidative stress could be of  
208 value in chronic disease prevention.

209

## 210 **Antiglycative capacity of antioxidants and polyphenols**

211 In the search for compounds able to inhibit or slow the glycation reaction, antioxidants have  
212 attracted attention. The first AGE blocker identified is aminoguanidine<sup>(97)</sup>; a dicarbonyl scavenging  
213 agent that reduces AGE production by removing the oxidatively produced precursors, like  $\alpha$ -



214 oxoaldehydes<sup>(98; 99)</sup>. Aminoguanidine, like other glycation inhibiting compounds aspirin and  
215 ibuprofen, has the capacity to scavenge free radicals and improve redox status, which may  
216 contribute to their antiglycative capacity<sup>(99-101)</sup>.

217 The antiglycative capacity of antioxidant vitamins and polyphenols has also been investigated, with  
218 *in-vitro* studies showing some polyphenols and phenolic acids to be even more effective than  
219 aminoguanidine in inhibiting glycation<sup>(102-104)</sup>. Herb extracts and commonly consumed herbal  
220 preparations have been shown to inhibit glycation of albumin in experimental settings. Red wine,  
221 green tea, maté tea (*Ilex paraguariensis*)<sup>(105; 106)</sup>, cinnamon, garlic<sup>(107)</sup> and other herbs used to  
222 prepare hot drinks or added during cooking are rich in a variety of micronutrients with anti-  
223 glycative effects<sup>(108; 109)</sup>. A recent review of the literature by Xie et al.<sup>(110)</sup> analysed results from 19  
224 *in-vitro* trials and 11 animal studies and concluded that antiglycative capacity of polyphenols is  
225 linked to ring hydroxylation patterns. In this context, molecules with hydroxyl groups in the A and  
226 B rings (i.e. apigenin < luteolin, fisetin < quercetin, daidzein < genistein) those with multiple  
227 hydroxyl groups especially in the *ortho*- and *meta*- structure (i.e. phloridzin < sieboldin ), the  
228 proanthocyanidin di/trimers and the ellagitannins all showed increased antiglycative capacity. On  
229 the other hand, hydrogenation of the C2-C3 bond (i.e. eriodictyol < luteolin), methylation (i.e.  
230 diosmetin < luteolin) and the addition of rutosides all decreased the antiglycative capacity<sup>(110)</sup>.  
231 The results of *in-vitro* studies are still heterogeneous and a thorough review of the glycation models  
232 and assays used would help to understand why translation of the findings to a physiological setting  
233 has not been forthcoming. Some of the reasons include use of high glucose or fructose  
234 concentrations, supraphysiological concentrations of polyphenols/phenolic acids, use of compounds  
235 with very limited bioavailability, and variability in the incubation period/temperature. Doses tested  
236 *in vitro* are, most of the times beyond concentration that could be reached via habitual consumption  
237 of phenolic-rich foodstuff. Most polyphenols are metabolised extensively in the gut and by the liver  
238 after ingestion, and have generally a low bioavailability<sup>(111; 112)</sup>. Therefore studies focusing on the  
239 systemic effects of the “parent” compounds, as found in foods, are likely to have low translational  
240 values. Phenolic acids, such as 3-hydroxyphenylacetic acid, 3,4-dihydroxyphenylacetic acid and  
241 caffeic acid, on the other hand, are formed after exposure to the gut microbiota, have a higher  
242 bioavailability than larger polyphenols and are more likely to exert systemic effects<sup>(111; 112)</sup>.

243 Despite the extensive mechanistic evidence, epidemiological data on polyphenol consumption are  
244 scarce. Principal reasons include the difficulties and the biases associated with deriving polyphenol  
245 intake data from dietary records. The process involves the use of databases, such as  
246 PhenolExplorer<sup>(113)</sup> documenting the polyphenol content of foods<sup>(113; 114)</sup> and/or the analysis of  
247 Food Frequency Questionnaires to identify patterns of higher intake of polyphenol-rich foods. So

248 far, there are no reports addressing the relationship between polyphenol intake and glycation levels.  
249 The reports associating polyphenol intake with diabetes risk have so far reached contradictory  
250 conclusions<sup>(115-117)</sup>. Our own systematic review of the literature relating antioxidant intake with  
251 protein glycation in normoglycaemia showed that human trials with polyphenol rich supplements  
252 and foods are few and characterised by high heterogeneity, poor design and small samples size (in  
253 preparation). In the past 20 years, only 14 trials used polyphenols as a mean to reduce glycation in  
254 non-diabetic individuals, out of which two did not have any control group<sup>(118; 119)</sup>. Taken together,  
255 the results of these studies seem to suggest that polyphenol supplementation fails to improve  
256 glycation markers in non-diabetic individuals, although this conclusion is most likely to be a result  
257 of poor study design. In populations with established IGT, increased intake of polyphenols might  
258 be promising in reducing protein glycation<sup>(120; 121)</sup>, but no hard conclusions can be made at this point.  
259 The bioactive molecules tested were diverse with no standardisation in dose. The majority of the  
260 studies had glycation as a secondary outcome, leading to low statistical power, and did not have  
261 sufficient duration to detect changes, if any were present.

## 262 **Considerations for the future**

263 Although the importance of glycation as a marker of disease pathogenesis outside of diabetes is  
264 becoming clearer, it is yet to be fully understood. More studies are required to describe the  
265 interactions between oxidative stress and glycation, especially in normoglycaemia. The importance  
266 of RAGE activation to signal intracellular events that promote dysfunction and the factors that  
267 determine the levels of sRAGE have not attracted the required attention.

268 As far as polyphenol and antioxidant trials are concerned, there is still much improvement to be  
269 done in terms of study design before conclusions can be reached. If the working hypothesis is that  
270 polyphenols will exert health benefits via their antioxidant capacity, then markers to document such  
271 improvements should be included and results on glycation markers, like HbA1c, should be  
272 discussed alongside oxidative stress improvements.

273 Sample size and targeting the correct population are two key aspect of study design to be considered.  
274 Polyphenol supplementation in a relatively healthy population is likely to have a subtle effect on  
275 health markers and hence studies with large sample sizes are likely to be required<sup>(122)</sup>. The majority  
276 of the studies to-date fall short of that sample size and are hence likely to be underpowered. As a  
277 result, we should be careful in concluding that polyphenol supplementation has no effect on  
278 glycation. The current literature may be just describing a lack of power to detect such an effect if  
279 any.

280 A good understanding of the supplement used, with data on bioavailability, composition and dose  
281 would allow for a more effective comparison of the studies. Also ensuring that the study duration is  
282 sufficient to detect changes in glycation markers is a vital improvement. Albumin has a half-life of  
283 14-28 days while hemoglobin's half-life is 90 days; studies with duration shorter than the half-life  
284 of the target protein are unlikely to detect any changes in protein glycation. Also even though  
285 physical protein damage is the main pathway of glycation-related pathogenesis; RAGE activation,  
286 sRAGE levels and glycation related inflammation are also important pathways for the involvement  
287 of glycation in disease pathogenesis, but are so far understudied<sup>(123; 124)</sup>.

288

## 289 **Conclusion**

290 Glycation is an important mechanism of end organ damage and disease pathogenesis affecting  
291 individuals throughout the lifecourse. With many target molecules and mechanisms of actions  
292 glycation and oxidative stress are increasingly recognised as of clinical importance not only in  
293 diabetes but in normoglycaemia as well. Epidemiological and *in-vitro* data so far are supporting the  
294 hypothesis that oxidative stress and its regulation with antioxidants is of importance in an attempt to  
295 inhibit glycation, especially in normoglycaemia. Although the importance of nutrition in glycation  
296 regulation is becoming more apparent, clinical trials with polyphenols so far lack the quality to form  
297 conclusive decisions. More large scale and high quality interventions are needed before  
298 recommendations can be made.

## 299 **Acknowledgements**

300 The authors have no conflicts of interest to declare. AV is in receipt of a scholarship from Yorkhill  
301 Children's Foundation. AV conducted the studies described and drafted the manuscript. EC and  
302 MEJL secured the funding, supervised the studies and contributed to the drafting of the manuscript.

303

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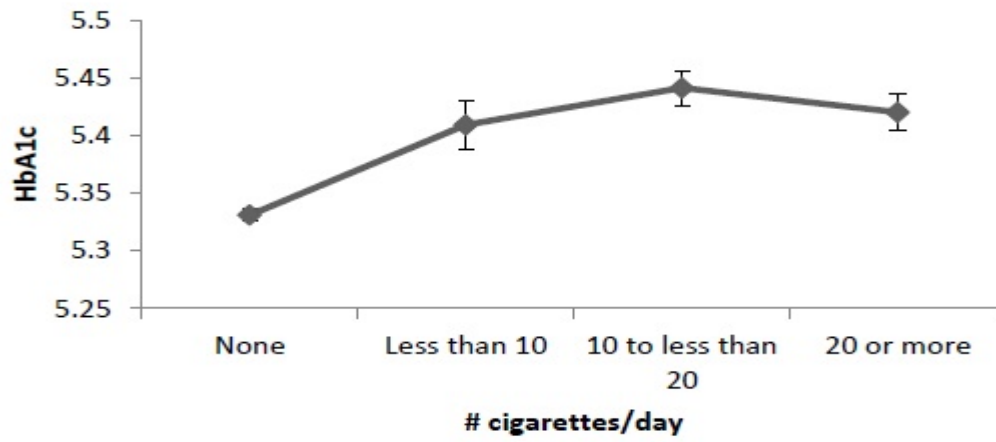
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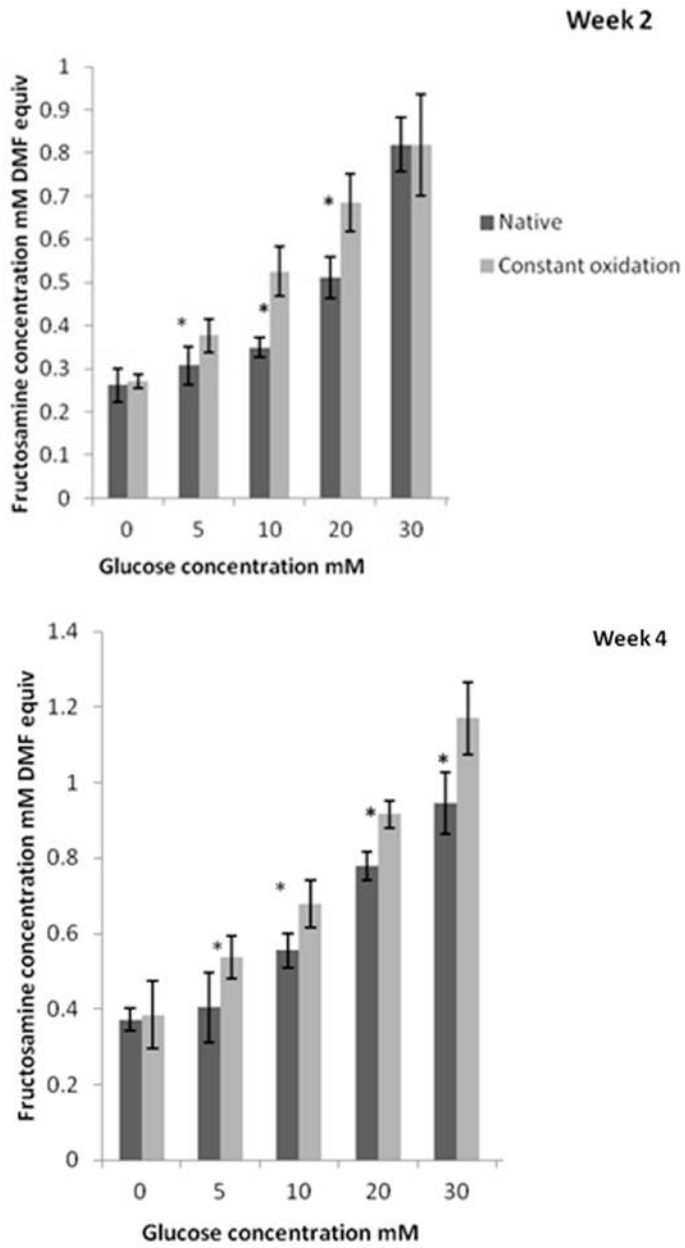
602 **Figure1.** Age-sex adjusted mean (SD) of %HbA1c according to number of cigarettes/day



603

604 **Figure as presented in Vlassopoulos et al 2013<sup>(85)</sup>**

605 **Figure 2.** Differences in fructosamine concentration after incubation with glucose alone compared to glucose and  
606 constant exposure to oxidation from hydrogen peroxide (10 nM) after two and four weeks incubation.



607

608 \* $p < 0.05$  native vs. constant oxidation ; fructosamine was measured using the nitroblue tetrazolium method with the  
609 synthetic fructosamine equivalent deoxy-morpholino-fructose (DMF) as a calibrator

610 Adapted from Vlassopoulos et al (2013)<sup>(96)</sup>

611