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OPEN ACCESS UPDATE APRIL 2014

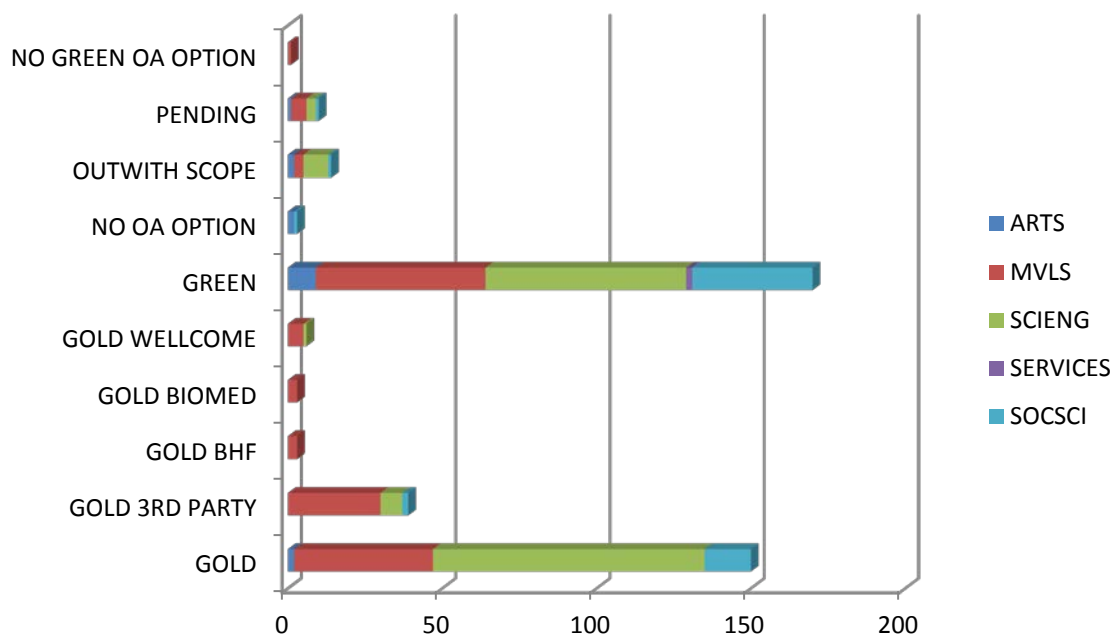
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INFORMAL NOTE

This updates provides a snapshot of activity for the first year of open access funding for the period 1st April 2013 to 31st March 2014.

TOTAL ARTICLES REPORTED AS ACCEPTED 01/04/13-03/04/14

TYPE	ARTS	MVLS	SCIENG	SERVICES	SOCSCI	TOTAL
GOLD	2	45	88		15	150
GOLD 3RD PARTY		30	7		2	39
GOLD BHF		3				3
GOLD BIOMED		3				3
GOLD WELLCOME		5	1			6
GREEN	9	55	65	2	39	170
NO OA OPTION	2				1	3
OUTWITH SCOPE	2	3	8		1	14
PENDING	1	5	3		1	10
NO GREEN OA OPTION		1				1
TOTAL	16	150	172	2	59	399



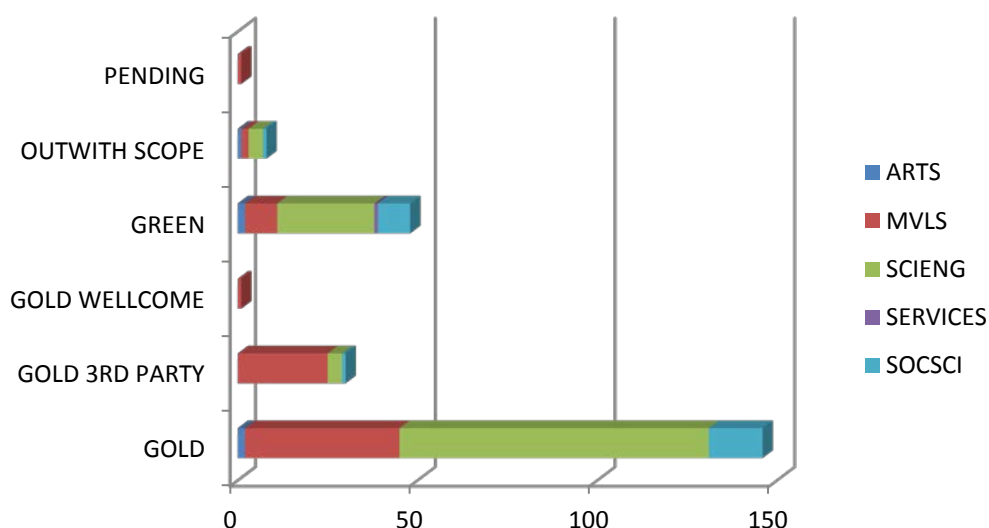
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INFORMAL NOTE

RCUK FUNDED ARTICLES REPORTED AS ACCEPTED 01/04/13-03/04/14

TYPE	ARTS	MVLS	SCIENG	SERVICES	SOCSCI	TOTAL
GOLD	2	43	86		15	146
GOLD 3RD PARTY		25	4		1	30
GOLD WELLCOME		1				1
GREEN	2	9	27	1	9	48
OUTWITH SCOPE	1	2	4		1	8
PENDING		1				1
TOTAL	5	81	121	1	26	234



WELLCOME TRUST

There are 40 open access cases noted for Wellcome Trust from 1st October 2013 to 3rd April 2014 primarily from Medicine, Veterinary, and Life Sciences. Estimated cost commitment is £57K against a budget of £90K; Wellcome will augment the budget if we reach the limit before the next budget is issued for their financial year starting 1st October 2014.

TYPE	EXPLANATION
GOLD	APC to be paid from RCUK block grant
GOLD 3RD PARTY	APC paid by a third party e.g. another Higher Education Institute
GOLD BHF	APC will be paid from University funds but recovered from BHF
GOLD BIOMED	APC costs will be paid by existing library account
GOLD WELLCOME	APC paid from Wellcome open access fund
GREEN	Article will be made freely available without any additional cost
NO OA OPTION	No open access options available from publisher at time of checking
OUTWITH SCOPE	E.g. Article was published before 1 st April 2013 and therefore does not fit criteria for this funding.
PENDING	Decision to be made e.g. await decision from publisher or information from author

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EXPENDITURE SCENARIO 03/04/14

	ACTUAL EXPEND ON FINANCE SYSTEM TO 201408	ESTIMATE COMMITTED APC'S	FORECAST APC'S	BUDGET	ESTIMATE YEAR END
	£255K	80K+£14k+£10K = £104K-£15K =£89K	£0	£408K	£64K
APPROX CUMULATIVE % OF BUDGET	62%	83%	83%	100%	17%

Committed based on estimated cost of all gold articles agreed but not yet materialised as final expenditure on Finance System = 46 X £1750 = £80K. There is VAT of around £14k on pre-payment and £10k on APC's still to come through.

There are also some refunds totaling approximately £15K to be made to the budget as some cases were initially charged to RCUK account rather than Wellcome, SPHSU or BIS.

Note that some of the articles that are coming through as 'gold' are being paid from remaining pre-payments made to publishers from the previous BIS pump-priming grant and if we did not have this the total committed against the block grant would be much higher.

MRC units that transferred to the University had uplift in their funding for open access and therefore APC's for their staff are charged to the units budgets.

There are also many transactions for open access being processed direct through existing grant funds won for open access prior to the new policy. We expect to include some analysis of these figures in our report to RCUK during the last quarter of 2014. We await an announcement on these requirements.

POINTS FOR INFORMATION

DESCRIPTION	ACTION
REF analysis and new REF Open Access requirements are being built into systems and procedures	WORK IN PROGRESS
There are a significant number of requests for support from non RCUK/Wellcome funded articles. Some have funder requirements (e.g. Cancer Research UK and European Research Council) The author may not have funds in their research grant. E.g. The grant does not allow such cost or was closed some years ago. We have no central funds to support open access for these papers and researchers are increasingly asking if there are any plans to support such papers.	RESEARCH PLANNING AND STRATEGY COMMITTEE (RPSC) TO DISCUSS AND ADVISE NO CHANGE
There is limited engagement with open access requirements. Some researchers may simply not be aware of the requirements. Further advocacy is required balanced with the desire not to overload researchers with information. A significant amount of engagement has been had via workshops, presentations, and advertising. A campaign to offer workshops and	LIBRARY TO ARRANGE MORE SESSIONS SUGGESTIONS FROM RPSC

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discussions with researchers is planned.	WELCOME ADVOCACY IN PROGRESS
Exploration of Licence exceptions required. E.g. will RCUK in future accept some exceptions for non-commercial licences where there is a clear case.	PENDING
Page charges have not routinely been charged to the RCUK fund however recent discussion indicates that these should now be charged to the fund. We await further clarification but expect that this will account for a larger portion of the budget.	PENDING

Questions and suggestions always welcome to research-openaccess@glasgow.ac.uk

Valerie McCutcheon

03/04/14