OPEN ACCESS PUMP-PRIMING EXPENDITURE REPORT UNIVERSITY OF GLASGOW

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### Article Processing Charges

The University has benefitted greatly from the pump-priming grant. With strategic management from our Research Planning and Strategy process have been, and continue to be, amended to support Open Access requirements.

In accordance with the award letter the bulk of our pump-priming fund was used for article processing charges for 95 articles.

The University already had a publication policy that required the deposit of full text articles and metadata in our institutional repository.

http://www.gla.ac.uk/services/openaccess/managingyourresearchpublications/publicationspolicy/

Emails, posters, and presentations for the pump-priming were disseminated via Research Conveners, Research Administrators, and the Postgraduate Development Officer. Details of open access support were provided via the web page:

http://www.gla.ac.uk/services/openaccess/howdoimakemypublicationsopenaccess/

To minimise the burden on researchers we did not ask for forms to be filled in or insist that researchers check publisher policies.

Staff emailed a central mailbox. Library staff considered the funder and publisher policies for each case and arranged any payments. The existing repository team quality checked metadata and ensured full text was available on the repository where appropriate.

This proved a popular approach with our researchers.
Processes continue to be refined however we expect that some issues will impact on us for the foreseeable future:

- There were often long delays from the point of deciding to pay an APC to getting invoice details from publishers and having these appear on our ledger.
- Publisher and funder rules had to be checked on a case-by-case basis. Due to rapid change we could not rely on case history.
- We did not rely on the Sherpa Romeo and Fact systems as individual copyright agreements and publisher’s websites sometimes suggested different advice.
- We plan to use lists of awards to check compliance in future. Although we expect the bulk of open access costs to flow through the centrally controlled budget there may be some articles processed against local funds and we cannot guarantee that staff will remember to use the relevant account code.

A secondary benefit of the pilot is that it allowed us to accelerate plans to streamline management of our Wellcome Trust Open Access fund. This will be handed over the library from 1st October 2013 and the process, aligned with RCUK Open Access process, will be much less onerous for researchers.

**Open Access Subscriptions**

We purchased pre-payment deals with 6 publishers.

<table>
<thead>
<tr>
<th>PUBLISHER</th>
<th>COMMENT</th>
</tr>
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<tbody>
<tr>
<td>BioMed Central</td>
<td>15% discount</td>
</tr>
<tr>
<td>BMJ</td>
<td>Prepaid 15 articles for 15% discount. We were the first institution to take up this deal.</td>
</tr>
<tr>
<td>Royal Society of Chemistry</td>
<td>Discounted APC rate given with purchase of 10 vouchers</td>
</tr>
<tr>
<td>Sage</td>
<td>Heavily discounted APC’s of £200</td>
</tr>
<tr>
<td>Taylor and Francis</td>
<td>10% discount for £25k pre-payment</td>
</tr>
<tr>
<td>Wiley</td>
<td>Jisc deal giving 25% discount</td>
</tr>
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Staff costs (including policy/training/awareness raising)

A number of other organisations allocated funds to staffing costs. We checked with RCUK if this was agreeable before increasing hours of, or extended contracts for, existing staff.

<table>
<thead>
<tr>
<th>POST</th>
<th>START</th>
<th>END</th>
<th>FTE</th>
<th>DUTIES</th>
</tr>
</thead>
<tbody>
<tr>
<td>Serials Team</td>
<td>01/03/13</td>
<td>31/12/13</td>
<td>0.2</td>
<td>Liaise with publishers, process payments</td>
</tr>
<tr>
<td>Librarian</td>
<td>18/02/13</td>
<td>31/12/13</td>
<td>0.2</td>
<td>Assess applications</td>
</tr>
<tr>
<td>IT Specialist</td>
<td>01/08/13</td>
<td>27/09/13</td>
<td>0.6</td>
<td>Amend systems</td>
</tr>
<tr>
<td>Repository Team</td>
<td>25/02/13</td>
<td>31/12/13</td>
<td>0.4</td>
<td>Check copyright. Post articles on repository.</td>
</tr>
</tbody>
</table>

A further 1.5FTE of existing staff time was required to set up, advocate, and administer this funding. Processes will become more streamlined however we expect we will have to allocate some additional staff to open access duties on an on-going basis. Some requirements are still to be clarified and integrated into the process e.g. so that open access to articles and datasets is managed efficiently with minimum burden on the researcher.

Systems development/IT infrastructure

We are currently working between several systems. These include:

- A spreadsheet of ‘applications’ and discussions with researchers
- Our serials system (Millennium)
- Our finance system (Agresso)
- Our repository (EPrints)
- Our data registry (EPrints)

The repository has historically ingested a data feed from the Research System so papers are easily linked to associated awards. It also uses unique staff identifiers from our Human Resources System so records are disambiguated.

We plan to ingest the applications to EPrints via helpdesk software. We have set up some additional fields in EPrints back office to facilitate monitoring of compliance (see diagram 1). We await outcomes of current discussions across the sector such as V40A on standard open access fields and will amend our systems when specifications are available.

Version 1.0 - September 2013
As a result of our recent Jisc funded projects we are abandoning Library of Congress classification of research outputs in favour of RCUK classifications that are more user-friendly and will facilitate discovery of research. These classifications already exist in our fledgling Research Data Registry for which we have also installed DataCite functionality to provide digital object identifiers which will facilitate linkage of datasets to articles.

We may also further integrate our theses service with our publications service as there is a clear recent increase in access to theses.

http://theses.gla.ac.uk/cgi/stats/report

Concerns include:

- Information is held across several systems. We may need to seed some fields from one system to another (perhaps manually initially) to facilitate reporting.
- Standard lists e.g. for organisation name are not trivial to embed in individual Higher Education Institute (HEI) systems. Many already have their own lists or standard lists only provide part of the information the HEI’s need for their purposes which are wider than just RCUK reporting.

Diagram 1 EPrints Open Access Fields
As the first UK institutional member of Open Researcher and Contributor ID (ORCID) we hope that it will make our research more discoverable, facilitate reporting to funders, and streamline the open access process with publishers.

Other

We published a paper about our work on open access and, in keeping with the open access ethos, made it freely available: http://EPrints.gla.ac.uk/83882/