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Model Checking Probabilistic and Stochastic Extensions of the $\pi$-Calculus

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Abstract—We present an implementation of model checking for probabilistic and stochastic extensions of the $\pi$-calculus, a process algebra which supports modeling of concurrency and mobility. Formal verification techniques for such extensions have clear applications in several domains, including mobile ad hoc network protocols, probabilistic security protocols, and biological pathways. Despite this, no implementation of automated verification exists. Building upon the $\pi$-calculus model checker Mobility Model Checker (MMC), we first show an automated procedure for constructing the underlying semantic model of a probabilistic or stochastic $\pi$-calculus process. This can then be verified using existing probabilistic model checkers such as PRISM. Secondly, we demonstrate how, for processes of a specific structure, a more efficient, compositional approach is applicable, which uses our extension of MMC on each parallel component of the system and then translates the results into a high-level modular description for the PRISM tool. The feasibility of our techniques is demonstrated through a number of case studies from the $\pi$-calculus literature.

Index Terms—Verification, model checking, Markov processes, stochastic processes.

1 INTRODUCTION

The $\pi$-calculus [1] is a process algebra for modeling concurrency and mobility. It has been used to model, for example, communication protocols for dynamic network topologies, security protocols, and biological pathways. For each class of systems, probabilistic and stochastic behaviors are often also key ingredients. Mobile ad hoc network protocols, for example, can exhibit probabilistic behavior through either communication failures or random back off procedures. Similarly, randomization is frequently applied in security protocols, e.g., for anonymity [2] or contract-signing [3]. For biological systems, the times between reactions are of a stochastic nature.

Consequently, suitable variants of the $\pi$-calculus have been developed: probabilistic versions, for example [4], which extend the original calculus with discrete probabilistic choice, have been proposed as a formalism to model and reason about randomized security protocols [5], [6]; and stochastic extensions, for example [7], which augment the calculus with exponential delays, have been shown to be a suitable formalism for modeling and reasoning about complex biological pathways [8], [9].

The benefits of automatic formal verification and tool support in this context are clear: reasoning correctly about the behavior of such models, particularly interactions between probabilistic and nondeterministic behavior, is known to be nontrivial. Furthermore, the state spaces of probabilistic or stochastic models of realistic systems have a tendency to grow extremely quickly, making manual verification difficult or infeasible.

In this paper, we describe an implementation of probabilistic model checking for models described in two different extensions of the $\pi$-calculus. The first, the simple probabilistic $\pi$-calculus, is an extension of the $\pi$-calculus obtained by introducing a discrete probabilistic choice operator in addition to the existing nondeterministic choice operator. The second, the stochastic $\pi$-calculus, extends the original calculus by associating rates (parameters of exponential distributions) with both silent transitions and channels.

Our approach is to adapt and reuse existing tools for verification of mobile systems and of probabilistic and stochastic systems. We first developed an extension of the tool MMC [10], a logic-programming-based model checker for the $\pi$-calculus. This extension, MMC$_{\text{prob}}$, can derive the semantic model for an arbitrary process in the (finite-control) probabilistic or stochastic $\pi$-calculus. The semantic model, which is given by a Markov decision process (MDP) or continuous-time Markov chain (CTMC), can then be analyzed using standard tools, such as the probabilistic model checker PRISM [11]. To improve efficiency, when the process has a specific structure, we employ a compositional approach, applying MMC$_{\text{prob}}$ to each parallel component of a system, processing the results to produce a high-level modular description in the modeling language of PRISM, and then performing probabilistic verification. This avoids a potential blowup in the size of the intermediate MDP or CTMC representation and allows us to exploit the efficient symbolic model construction and analysis techniques in PRISM. We present experimental results to illustrate the performance of our implementation on a number of case studies. To our knowledge, this paper constitutes the first attempt to implement automated verification in this area.
Related work. Various tools exist for automatic verification of the (nonprobabilistic) $\pi$-calculus. The Mobility Workbench (MWB’99) [12] provides a bisimulation checker and a $\pi$-$\mu$-calculus model checker. Mobility Model Checker (MMC) [10], a more recently developed tool, also supports the $\pi$-$\mu$-calculus. The latter places particular emphasis on efficiency and is built using logic programming technology. ProVerif [13] supports verification of the applied $\pi$-calculus, a variant of the basic calculus. It is aimed primarily at analysis of cryptographic protocols and is theorem-prover based. Two alternative approaches are the PIPER system analysis of cryptographic protocols and is theorem-prover a variant of the basic calculus. It is aimed primarily at

A number of existing papers have proposed probabilistic extensions of the $\pi$-calculus. The first [4] extended the asynchronous version of the calculus, which removes the output prefix construct, meaning processes must terminate immediately after sending output. A version was then proposed in [5], considering only silent probabilistic transitions. This variant, which is essentially the same as the one used in this paper, was introduced to specify and reason about randomized security protocols. In [6], the probabilistic $\pi$-calculus was used to formalize definitions of anonymity. A stochastic extension of the $\pi$-calculus was first considered in [7] in which the action prefix construct was replaced with an action-rate prefix construct. A number of different variants have since been proposed differing in how rates are added to the prefix construct. In this paper, we follow [19] and parameterize silent ($\tau$) actions with rates and associate a (fixed) rate with each channel. A number of discrete-event simulators for the stochastic $\pi$-calculus are available, e.g., BioSpi [9] and SPiM [19], but to our knowledge, no model checking tools.

Structure. The remainder of this paper is structured as follows: Section 2 introduces the syntax and semantics for probabilistic and stochastic extensions of the $\pi$-calculus. Sections 3 and 4 describe our extension of MMC for evaluating these semantics and show how the result of this extension can be processed into input for the PRISM tool. Section 5 presents experimental results and Section 6 concludes the paper. A preliminary version of this paper (with only the discrete probabilistic case) appeared as [20].

2 The $\pi$-Calculus

The $\pi$-calculus is a process algebra for modeling concurrency and mobility. Based on value-passing CCS [21], a key distinguishing feature of the calculus is that it uses a single datatype, names, for both channels and values, with the consequence that it is possible to communicate channel names between processes.

In this section, we present the probabilistic and stochastic extensions of the $\pi$-calculus for which we have developed automated model checking procedures. In order to facilitate model checking, we make two simple assumptions. First, we restrict our attention to finite-control $\pi$-calculus processes, i.e., where recursion is not permitted within parallel composition. This is necessary to ensure that the resulting models are finite-state and is, in fact, also imposed by the MMC $\pi$-calculus model checker, on which our work relies.

Second, we require that the systems to which we apply model checking are closed, intuitively meaning that they receive no inputs from their environment and send no outputs to it. This is due to the nature of the properties that are analyzed by probabilistic model checkers such as PRISM. We will discuss this issue further in Section 4.6.

Preliminaries. Before describing the probabilistic variants of the $\pi$-calculus, we present some preliminary notation and definitions. Throughout the paper, we will assume a countable set $N$ of names, ranged over by $x, x_i, y, y_i, e$. A match is an equality test on names from $N$ and a condition $M$ is a finite conjunction of matches, i.e., $M$ is the form $[x_1 = y_1] \land \cdots \land [x_n = y_n]$. We denote by $n(M)$ the set of names that appear in $M$ (ignoring any trivial equality tests of the form $[x = x]$).

A substitution $\sigma$ is a partial mapping from $N$ to $N$. The simplest substitutions are of the form $[y/x]$ which maps $x$ to $y$. We let $n(\sigma)$ denote the set of names that the substitution affects, i.e., $n(\sigma) = \{x \mid \exists y(x \neq x) \in N. \sigma(x) = y\} \cup \{x \mid \forall y(x \neq x) \in N. \sigma(y) = x\}$. A substitution $\sigma$ satisfies the match $[x = y]$, denoted by $\sigma \models [x = y]$ if $\sigma(x) = \sigma(y)$. Satisfaction extends to conjunctions of matches in the obvious way, e.g., $\sigma \models [x_1 = y_1] \land [x_2 = y_2]$ if $\sigma \models [x_1 = y_1]$ and $\sigma \models [x_2 = y_2]$.

We will use five different action types for the two extensions of the $\pi$-calculus: $\tau$ (silent action), $r \in \mathbb{R}$ (rate action), $x(y)$ (input), $\bar{x}y$ (output), and $\tau(x)$ (bound output). The bound names for an action $\alpha$, denoted by $bn(\alpha)$, are defined as follows: $bn(\tau) = bn(r) = bn(\bar{x}y) = \emptyset$ and $bn(x(y)) = bn(\bar{x}y) = \{y\}$. A substitution $\sigma$ can also be applied to an action $\alpha$, denoted by $\alpha(\sigma)$. The definition of this is: $\tau(\sigma) = \tau$, $r(\sigma) = r$, $x(y)(\sigma) = \sigma(x)(y)$ if $y \notin n(\sigma)$, $(\bar{x}y)(\sigma) = \sigma(\bar{x})(\sigma(y))$ and $(\tau(x))(\sigma) = \tau(\sigma(y))(\sigma)$ if $y \notin n(\sigma)$. Note that in the case of input and bound output actions (i.e., those with bound variables), the substitution is only defined when the substitution does not change the bound names.

2.1 The Simple Probabilistic $\pi$-Calculus

We use a probabilistic extension of the $\pi$-calculus called the simple probabilistic $\pi$-calculus or $\pi_{prob}$, which adds a discrete probabilistic choice operator to the basic calculus. This choice operator is blind, meaning that probabilities are associated only with silent $\tau$ actions, and not input or output actions.

Syntax. We will let $P, P_i$ range over terms and $\alpha$ range over actions. Using, as above, $x, y, y_i$ to range over names, the syntax of the simple probabilistic $\pi$-calculus is

$$\begin{align*}
\alpha & \ ::= \forall \exists \tau[x(y)]\bar{x}y, \\
\forall \exists \forall \exists P & \ ::= 0[\alpha][P] \sum_{i \in I} P_i \tau.P_i[P][P], \\
\forall \exists \forall \exists P & \ ::= \forall \exists [x = y] A[y_1, \ldots, y_n].
\end{align*}$$

where $I$ is an index set, $p_i \in (0, 1]$ with $\sum_{i \in I} p_i = 1$, and $A$ is a process identifier. In the following paragraphs, we provide an informal description of the calculus. The next section presents the formal semantics.
The inactive process, denoted by \( b \), can perform no actions. The action prefixed process \( a.P \) can perform action \( a \) and then evolve into \( P \), whereas \( a \) is one of three types: \( x(y) \) inputs a name on \( x \) and stores it in \( y \), \( \bar{x}y \) outputs the name \( y \) on \( x \), and \( \tau \) is the silent action representing internal communication.

There are two types of choice: nondeterministic \( \Sigma_{i \in I} P_i \) and probabilistic \( \Sigma_{i \in I} p_i \tau.P_i \). The former is standard in the \( \pi \)-calculus (and indeed CCS). The latter is the only new operator in this probabilistic extension of the \( \pi \)-calculus. As mentioned above, branches of the probabilistic choice operator are always prefixed with \( \tau \) actions. The process \( \Sigma_{i \in I} p_i \tau.P_i \) randomly selects an index \( i \in I \) with probability \( p_i \), performs a \( \tau \) action, and then evolves to process \( P_i \). We use \( p_1 \tau.P_1 \oplus p_2 \tau.P_2 \) to denote the binary form of probabilistic choice.

The parallel composition \( P_1 \parallel P_2 \) can either proceed asynchronously or interact through matching input/output actions. The restriction \( \nu x P \) localizes the scope of \( x \) in process \( P \), i.e., \( x \) can be considered a new and unique name within \( P \). The match construction \( [x = y]P \) can evolve as process \( P \) only if the match \( [x = y] \) is satisfied, i.e., names \( x \) and \( y \) are identical. Finally, \( A(y_1, \ldots, y_n) \) is a recursive call with a corresponding process definition clause of the form \( A(x_1, \ldots, x_m) = \hat{A} \).

An occurrence of name \( y \) in process \( P \) is \textit{bound} if it is in a subexpression of \( P \) of the form \( x(y) \) (input-bound) or \( \nu y \) (\( \nu \)-bound); otherwise, it is \textit{free}. The sets of free and bound names of \( P \) are denoted by \( fn(P) \) and \( bn(P) \), respectively, and the set of all names is \( n(P) \). Without loss of generality, we also make the assumption that bound names are all distinct from each other and from free names. This can always be achieved through alpha conversion. A process which contains no free names is said to be \textit{closed}.

**Symbolic semantics.** The operational semantics for probabilistic extensions of the \( \pi \)-calculus are typically expressed in terms of Markov decision processes (MDPs) or, equivalently, probabilistic automata [22], which allow both probabilistic and nondeterministic behavior. Existing presentations of the semantics (for example, [5], which describes a calculus essentially identical to \( \pi_{\text{prob}} \)) are \textit{concrete} in the sense that the semantic rules directly define the MDP that corresponds to a process term. In this paper, we use a \textit{symbolic} presentation of the operational semantics [23]. This approach is, in fact, quite common for the \( \pi \)-calculus and is particularly beneficial in the context of automatic tool support, as is the case here, or for development of bisimulation theories [23], [24].

The main features of the symbolic semantics, which allow one to obtain compact models, are the following:

- As in the \textit{late} semantics of the \( \pi \)-calculus, the input variable of input transitions is kept as a name variable (in contrast to the \textit{early} semantics, where a different transition is generated for every possible name instance).
- Analogously to the match rule, in the communication rule, the match between the input and the output channel is represented by a constraint (condition).

In principle, it is possible to define an early version of the symbolic semantics, but such a version would differ from a concrete semantics only because it would contain the free variables of the initial process (and conditions on them). Therefore, such a version would lack the “raison d’être” of the symbolic semantics: efficiently representing the effects of the run-time communications.

Consider the simple process \( a(x) \cdot \bar{x}y.0 \) which inputs a name \( x \) on channel \( a \) and then uses \( x \) as a channel on which to output the name \( b \). A \textit{concrete} approach to the semantics can establish that this process can accept an input on channel \( a \), but its subsequent behavior (which is dependent on the input \( x \)) can only be captured once it is known which other processes it will be composed with. A \textit{symbolic} approach allows the semantics of a process to include variables (e.g., \( x \)) that can be used in actions (e.g., \( \bar{x}b \)). This allows us to adopt a compositional approach: given a parallel composition of several processes, the semantics of each of them can be computed separately in full, and then composed afterward.

The symbolic semantics of the \( \pi_{\text{prob}} \) calculus is expressed in terms of probabilistic symbolic transition graphs (PSTGs). These are a simple probabilistic extension of the symbolic transition graphs of [23], previously used for the (nonprobabilistic) \( \pi \)-calculus [25], [26], [27], [28] and for CCS [23]. Alternatively, they can be seen as a symbolic extension of Markov decision processes.

Let \( P \) be a \( \pi_{\text{prob}} \) process. The probabilistic symbolic transition graph (PSTG) representing the semantics of the process \( P \) is a tuple \( (S, s_{\text{init}}, T_{\text{prob}}) \), where

- \( S \) is the set of symbolic states, each of which is a term of the simple probabilistic \( \pi \)-calculus;
- \( s_{\text{init}} \in S \), the initial state, is the term \( P \);
- \( T_{\text{prob}} \subseteq S \times Cond \times Act \times Dist(S) \) is the probabilistic symbolic transition relation and is the least relation given by the rules in Fig. 1.

In the above,

- \( Cond \) denotes the set of all conditions (finite conjunctions of matches) over \( N \);
- \( Act \) is a set of actions of four basic types: \( \tau \), \( x(y) \), \( \bar{x}y \), and \( \bar{x}(y) \); where \( x, y \in N \);
- \( Dist(S) \) is the set of probability distributions over \( S \).

We use the notation \( Q \overset{M,\alpha}{\rightarrow} \{p_i : Q_i\} \), for the probabilistic symbolic transition \( (Q, M, \alpha, \mu) \in T_{\text{prob}} \), where \( \mu(R) = \sum_Q \Delta P_i \) for any \( \pi_{\text{prob}} \) term \( R \). For simplicity, we abbreviate the transition \( Q \overset{M,\alpha}{\rightarrow} \{1 : Q'\} \) to \( Q \overset{M,\alpha}{\rightarrow} Q' \) and omit the trivial condition \( true \). We use multisets to signify that processes with duplicate components such as \( Q \overset{\tau}{\rightarrow} \{1 : Q, 0 : Q\} \) have transitions of the form \( Q \overset{\tau}{\rightarrow} \{1 : Q, 0 : Q\} \) as opposed to \( Q \overset{\tau}{\rightarrow} \{1 : Q\} \).

Of the four action types in \( Act \), the first three are described in the previous section. The fourth, \( \bar{x}(y) \), denotes output of a bound name and is used by the rules OPEN and CLOSE to extend the scope of the bound name \( y \).

A symbolic state \( Q \) encodes a set of \( \pi_{\text{prob}} \) terms. More specifically, it encodes the set of terms obtained from \( Q \) by applying substitutions to its name variables. A substitution
σ is applied to a process Q, denoted by Qσ, by replacing each action α in Q with ασ. Consider, for example, the process Q = a(x).x.b.0. We have that Q a(x) Q′, where Q′ = x.b.0. The symbolic state Q′ represents the terms Q′{z/x} for any name z.

A symbolic transition Q M → {p1 : Q1}i represents the fact that, under any substitution σ satisfying M, the process term Qσ can perform action ασ and then with probability pj evolve to process Qjσ. This is formally stated in Lemma 1 below, which relates the symbolic (PSTG) semantics of πprob, as given in Fig. 1, and the concrete (MDP) semantics, as presented, e.g., in [5]. This corresponds to [27, Lemma 2.5], which discusses symbolic semantics for the (nonprobabilistic) π-calculus. In the lemma, σ = M indicates that the substitution σ satisfies the condition M of the transition, and the constraint bn(α) ∩ (fn(P) ∪ n(σ)) = ∅ corresponds to the fact that bound names are not substituted in order to prevent possible conflicts between bound and free names.

**Lemma 1.** Let P be a πprob term:

1. If P M → {p1 : Q1}i, then for any substitution σ such that σ = M with bn(α) ∩ (fn(P) ∪ n(σ)) = ∅, Pσ M → {p1 : Pσ}i.
2. If Pσ M → {p1 : P1}i and bn(α) ∩ (fn(P) ∪ n(σ)) = ∅, then P M → {p1 : P1}i, where σ = M and (β.P)σ = α.P.

**Proof.** Since the symbolic and concrete semantics of πprob share the same types of actions as the (standard) π-calculus, the proof follows the one for [27, Lemma 2.5], which is straightforward by transition induction. □

### 2.2 The Stochastic π-Calculus

We now describe a stochastic extension of the π-calculus denoted by πstoc, the underlying semantics of which is expressed in terms of continuous-time Markov chains (CTMCs). Each transition will thus be labeled with a rate, representing the parameter of an exponential distribution characterizing the delay until the associated transition is enabled. More precisely, for rate r, the probability that the transition is enabled within t time-units is given by 1 − e^{−rt}.

As in [19], stochastic behavior is introduced at the syntactic level by associating a rate with each channel on matching input/output actions on a channel x, denoted by rate(x), and by annotating silent r actions with the rate r at which they occur, i.e., r.x.

**Syntax.** Using P, P1 to range over terms and α to range over actions, the syntax of the stochastic π-calculus is

\[
\alpha ::= r.x | x(y) | \bar{x},
\]

\[
P ::= 0 | [\alpha.P] \sum_{i \in I} P_i | P|P|,
\]

\[
\nu x.P | x = y.P | A(y_1, \ldots, y_n),
\]

where r ∈ IR_{≥0}, I is an index set, and A is a process identifier.

As in the probabilistic case, the terms 0, P_i | P_j, ν x.P, [x = y.P], and A(y_1, \ldots, y_n) denote inactivity, parallel composition, restriction, match, and recursive call. The prefix process r.x.P can (internally) evolve to P with rate r. The choice \sum_{i \in I} P_i represents a race condition between the transitions of each P_i; the first of these transitions to become enabled is the one that is taken. Race conditions also arise from parallel composition (P_i | P_j) between processes. In this case, when two processes synchronize on matching input/output actions on a channel x, the rate of this transition is rate(x).

**Symbolic semantics.** The operational semantics for the stochastic π-calculus is in terms of CTMCs. Usually (as in,
In the above, a tuple graph (SSTG) representing the semantics for the process whose rate is the sum of the exponential distributions with rates is the first transition to become enabled is the one that is taken. Because the choice operator is interpreted as a race condition: \( \nu x.P \cup \nu x.M \alpha \), transitions are generated for expressions with identical components, such as \( \text{Proc} \). This requirement is strictly speaking, the concrete semantics used above do not correspond precisely to the usual definition of a CTMC, since transitions can be associated with either rates (for \( \tau \) actions) or inputs/output actions (which have yet to be matched). Furthermore, multiple transitions can occur between the same pair of states (due to the use of a multirelation in the definition of an SSTG). In the semantics of a closed \( \pi_{stoc} \) process, however, only rate-labeled transitions remain and multiple transitions between states are simply summed.

**Lemma 2.** Let \( P \) be a \( \pi_{stoc} \) term:

1. If \( P \alpha \sigma \), then for any substitution \( \sigma \) such that \( \sigma \models M \), \( P \sigma \alpha \sigma \), \( \nu x.M \), and \( \nu x(P \cup (\nu x.M \alpha \sigma)) = \emptyset \), \( P \sigma \alpha \sigma \).
2. If \( P \alpha \sigma \), then \( P \alpha \sigma \), \( \nu x.m \), and \( (\nu x.M \alpha \sigma) = \emptyset \), \( P \alpha \sigma \), \( \nu x.M \), and \( (\nu x.N \alpha \sigma) = \emptyset \).

**Proof.** Straightforward by transition induction. The details are almost identical in structure to [27, Lemma 2.5] except that the action \( \tau \) in the \( \pi \)-calculus is replaced by numerical rates \( r \) in \( \pi_{stoc} \), which do not influence names.

![Fig. 2. The symbolic semantics for \( \pi_{stoc} \), including (inset) application of operator \( \nu x \) to conditions.](image)
3 Generating PSTGs and SSTGs Using MMC

In this section, we describe the automatic generation of the symbolic transition graph for an arbitrary process expressed in either the simple probabilistic $\pi$-calculus or stochastic $\pi$-calculus. This is achieved with an extension of the (nonprobabilistic) $\pi$-calculus model checker MMC [10], which, from this point on, we refer to as MMC$_{\text{prob}}$. In the next section, we will build upon this, presenting a more efficient, compositional scheme for processes of a specific structure.

MMC$_{\text{prob}}$ is based on only a subset of MMC’s functionality: essentially the capability to construct the full set of reachable states of a $\pi$-calculus process. The restrictions placed on the syntax of the calculus by MMC are the same as we impose in Section 2.

MMC works by (and derives its efficiency from) exploiting the similarity between the way in which resolution-based logic programming techniques handle variables and the way in which the symbolic semantics of the $\pi$-calculus handles names [10]. It is implemented in the logic programming system XSB, which is a dialect of Prolog. $\pi$-calculus names are represented by XSB variables.

MMC then uses a direct encoding of the symbolic semantics of the calculus into XSB rules, based on the definition of a predicate called trans. This approach has several benefits: First, it gives a clear and intuitive implementation; second, and more importantly, this encoding is provably correct [10].

Our implementation is a direct extension of this approach. We have a straightforward encoding of the syntax of both $\pi_{\text{prob}}$ and $\pi_{\text{stoc}}$ into the language of XSB, with names and process identifiers represented by XSB variables and constants, respectively. We then adapt MMC’s predicate trans to represent the symbolic semantics of each calculus. We first describe the case for the simple probabilistic $\pi$-calculus and then discuss the differences in the stochastic case.

The probabilistic case. We begin with the encoding of the syntax of $\pi_{\text{prob}}$ into the language of XSB. Letting $X, Y, Y_i$ range over variables, $P$ range over processes and denoting comma-delimited lists of processes as $P$, the syntax of $\pi_{\text{prob}}$ in the input language of MMC$_{\text{prob}}$ is given by the following BNF grammar:

$$
\text{act} ::= \text{tau}/\text{in}(X,Y)/\text{out}(X,Y)
$$

$$
P ::= \text{zero}
$$

$$
| \text{pref}(\text{act},P)
| \text{choice}(P)
| \text{prob_choice}(\text{tau}(p),P)
| \text{par}(P,P)
| \text{nu}(X,P)
| \text{match}(X = Y,P)
| \text{proc}(\bar{A}(Y_1,\ldots,Y_n)),
$$

where $\bar{A}$ is the lower case form of process identifier $A$, with the definition clause of the form $\text{def}(A(Y_1,\ldots,Y_n),P)$.

Assuming that $\rho$ is a one-to-one function mapping XSB variables to $\pi_{\text{prob}}$ names, the following function $f_\rho$ relates the MMC$_{\text{prob}}$ representation of the key components of $\pi_{\text{prob}}$ (conditions, actions, and processes) into their corresponding $\pi_{\text{prob}}$ notation:

**Conditions:**

$$
f_\rho(\text{true}) = \text{true},
$$

$$
f_\rho(X = Y) = [\rho(X) = \rho(Y)],
$$

$$
f_\rho(M,N) = f_\rho(M) \land f_\rho(N).
$$

**Actions:**

$$
f_\rho(\text{tau}) = \tau,
$$

$$
f_\rho(\text{in}(X,Y)) = \rho(X)(\rho(Y)),
$$

$$
f_\rho(\text{out}(X,Y)) = \rho(X)\rho(Y),
$$

$$
f_\rho(\text{out_bound}(X,Y)) = \rho(X)(\rho(Y)).
$$

**Processes:**

$$
f_\rho(\text{zero}) = 0,
$$

$$
f_\rho(\text{pref}(\text{act},P)) = f_\rho(\text{act})f_\rho(P),
$$

$$
f_\rho(\text{choice}(P)) = \sum_{i=1}^n f_\rho(P_i),
$$

$$
f_\rho(\text{prob_choice}(\text{tau}(p),P)) = \sum_{i=1}^n p_i\tau f_\rho(P_i),
$$

$$
f_\rho(\text{par}(P_1,P_2)) = f_\rho(P_1)\| f_\rho(P_2),
$$

$$
f_\rho(\text{nu}(X,P)) = \rho\rho(X)f_\rho(P),
$$

$$
f_\rho(\text{match}(X = Y,P)) = [\rho(X) = \rho(Y)]f_\rho(P),
$$

$$
f_\rho(\text{proc}(\bar{A}(Y_1,\ldots,Y_n))) = A(\rho(Y_1),\ldots,\rho(Y_n)),
$$

where

$$
\bar{P} \equiv [P_1,\ldots,P_n],
$$

$$
\text{pref}(\text{tau}(p),P) \equiv [\text{pref}(\text{tau}(p_1),P_1),\ldots,\text{pref}(\text{tau}(p_n),P_n)],
$$

and $A$ is defined with $A(\rho(X_1),\ldots,\rho(X_n)) \equiv f_\rho(P)$.

Using the function $f_\rho$, we can now define the XSB predicate trans, which represents the direct encoding of the symbolic semantics of $\pi_{\text{prob}}$ (see Fig. 1) into XSB. A tuple trans($P$, PSTeps, $M$), where PSTeps is a list of compound structures psteps($p$, act,$P$), represents a symbolic probabilistic transition:

$$
f_\rho(P) f_\rho(M)f_\rho(\text{act}) \rightarrow \{p_i : f_\rho(P_i)\}.
$$

The definition of trans is shown in Fig. 3. The predicates prob_branch, set_par_steps, and set_nu_steps are defined to construct the list PSTeps according to the operational semantics rules PROB, PAR, and RES. Other auxiliary predicates used in Fig. 1 are given in Fig. 4. Note the close correspondence between the definitions in Fig. 3 and the rules of the symbolic semantics in Fig. 1.

The soundness and completeness of the encoding can be established by induction on the length of derivations of a query answer of trans and a symbolic transition in $\pi_{\text{prob}}$, respectively. The proof details are similar to Theorems 2 and 3 in [10].

Finally, we add an extra XSB predicate stg($P$), which uses query-evaluation on trans to derive the PSTG of
process P and output it in a simple textual format. This is done through a depth-first traversal of the graph, followed by an enumeration of all its symbolic states and transitions. The XSB code for this can be found in [30].

Example. Consider the simple $\pi_{\text{prob}}$ process $Toss$:

$$Toss(x) \triangleq x(y).(pr.jh.0 \oplus (1-p)\overline{pr.jt.0}).$$

and then sends out, on channel $y$, either head or tail, with probability $p$ or $1-p$, respectively. Fig. 5 shows the application of MMC$_{\text{prob}}$ to the process $Toss$. The first four lines illustrate the encoding of the $\pi_{\text{prob}}$ syntax into XSB. Below that is the output of the tool, i.e., the application of the rule stg. Lines starting # show the $\pi_{\text{prob}}$ term for the $i$th state, lines starting $j$ and $k$ enumerate transitions and the individual edges of transitions, respectively. All bound
names are given unique names (e.g., \_h417) and displayed on lines beginning \textgreater. All free names used are listed at the end, plus other statistics for the PSTG.

The stochastic case. The generation of the STG for a \( \pi_{\text{stoc}} \) process proceeds in almost identical fashion. Since the calculus has no probabilistic choice operator, the list \texttt{PSteps} in the representation \texttt{trans(\texttt{P}, \texttt{PSteps}, \texttt{I})} of each symbolic transition contains only a single item of the form \texttt{pstep(\texttt{x}, \texttt{act}, \texttt{P})}, where \( \texttt{x} \) now represents a real-valued rate, instead of a probability.

The encoding of a rate-labeled prefix process \( \pi \), \( P \) is treated as a special case of the probabilistic choice operator for \( \pi_{\text{prob}} \) with a singleton operand. Input and output actions over a channel \( x \) are given dummy rates of 1 which will be replaced with the channel rate \( \text{rate}(x) \) subsequently. Since \( \text{MMC}_{\text{prob}} \) simply enumerates all matching transitions when evaluating the symbolic semantics (and does not remove any duplicates), no special treatment is required to deal with the multirelation in the definition of SSTGs.

4 Translating PSTGs and SSTGs into PRISM

We use the probabilistic model checker PRISM (which supports both MDPs and CTMCs) to perform analysis of the semantic models derived from \( \pi_{\text{prob}} \) or \( \pi_{\text{stoc}} \) processes. The scheme described in the previous section can be used to translate an arbitrary process described in either the simple probabilistic \( \pi \)-calculus or stochastic \( \pi \)-calculus into the probabilistic or stochastic symbolic transition graph representing its semantics. We apply model checking to closed processes (this issue is discussed further in Section 4.6), for which the symbolic (PSTG or SSTG) semantics and concrete (MDP or CTMC) semantics coincide. The list of states and transitions produced by \( \text{MMC}_{\text{prob}} \) as illustrated by the example in Fig. 5, can hence easily be imported directly into PRISM for analysis.

However, for processes of a specific structure, we instead propose to adopt a compositional translation, using the high-level modeling language supported by PRISM. This results in a much more efficient translation procedure. More specifically, we consider the case where systems are of the form \( P = \nu \texttt{x}_1 \cdots \nu \texttt{x}_k \left( P_1 | \cdots | P_n \right) \) and each \( P_i \) contains no instances of the \( \nu \) operator (including inside recursive definitions). The basic idea is to generate the symbolic transition graph for each subprocess \( P_i \) (as described in the previous section), map each individual symbolic transition graph to a PRISM module (a component of a PRISM language model), and then use PRISM to construct the semantics of \( P \) through the parallel composition of these modules. Note that the compositional nature of this approach is reliant on our use of symbolic semantics. Without this, we would not be able to generate the full semantics of \( P_i \) in isolation.

The overall process structure we impose (a parallel composition of a set of processes, optionally enclosed inside a restriction of one or more names) is actually fairly typical: systems are generally modeled as a parallel composition of multiple components and, since we assume that \( P \) is closed, it is likely that free names used as channels between processes will be restricted in this way. Furthermore, in most cases, a process can be rearranged to a structurally congruent process which is of the correct form, by pushing \( \nu \) operators to the outside. We have, for example, that \( P_1 \mid \nu x \ P_2 \) and \( \nu x \ (P_1 \mid P_2) \) are structurally congruent under the assumption that \( x \) does not occur in \( P_1 \). The only class of processes which cannot be renamed in this way are those that include \( \nu \) inside recursive definitions. In this case, the process can, in principle, generate an infinite number of new names. This can be resolved in the context of a parallel composition with other processes, and therefore, in such a case, we can resort to the basic approach: use \( \text{MMC}_{\text{prob}} \) to construct the symbolic transition graph for the full system and import this directly into PRISM.

There are two principal challenges regarding the translation of symbolic transition graphs into PRISM: 1) mapping the name datatype into PRISM’s basic type system and 2) mapping binary (CCS-style) communication of names over channels to PRISM’s multiway (CSP-style) synchronization without value passing. In brief, 1) is handled by enumerating the set of all free names, assigning each an (identically named) integer constant to represent it and 2) is handled by introducing an action label for each required combination of process sender/receiver pair, channel, and name. Communication of names between processes is handled by including in each receiver process with a bound input variable \( x \), an identically named local (integer) variable which will be used to store the name assigned to \( x \).

Before discussing the details of this compositional translation, we give both an overview of the PRISM syntax and semantics and a simple example which illustrates the key aspects of the translation.

4.1 PRISM Semantics

A PRISM model comprises a set of \( n \) modules, the state of each being given by a set of finite-ranging local variables. The global state of the model is determined by the union of all local variables, which we denote by \( V \). The behavior of each module is defined by a set of guarded commands. When modeling MDPs, these commands take the form

\[
\text{[act]} \quad \text{guard} \rightarrow \ p_1 : u_1 + \cdots + p_m : u_m,
\]

where \( \text{act} \) is an (optional) action label, \( \text{guard} \) is a predicate over \( V \), \( p_i \in (0, 1] \), and \( u_i \) are updates of the form

\[
\left( \text{act}_i = u_{i,1} \right) \land \cdots \land \left( \text{act}_k = u_{i,k} \right),
\]

where \( u_{i,j} \) is a function over \( V \). Intuitively, in global state \( s \) of the PRISM model, the command is enabled if \( s \) satisfies \( \text{guard} \). If a command is executed, the module will, with probability \( p_i \), update its local variables according to the update \( u_i \), by setting the value of each local variable \( x_{i,j} \) to \( u_{i,j}(s) \).

When modeling CTMCs, commands are of the form

\[
\text{[act]} \quad \text{guard} \rightarrow r : u,
\]

where \( \text{act} \) is an (optional) action label, \( \text{guard} \) is a predicate over \( V \), \( r \in \mathbb{R}_{\geq 0} \), and \( u \) is an update (of the form shown above). In this case, when the guard is satisfied, there is a transition with rate \( r \) that updates the local variables according to \( u \). When multiple commands with the same update are enabled, the corresponding transitions are combined into a single transition whose rate is the sum of the individual rates.
In practice (see, for example, Fig. 6), we omit probabilities (or rates) equal to one and elements of updates that are of the form \((x' = x)\). The semantics of the whole PRISM model is the parallel composition of all modules using the standard CSP parallel composition [31] (i.e., modules synchronize over all their common actions). For transitions arising from synchronization between multiple processes, the associated probability or rate is obtained by multiplying those of each component transition. See [32] for the full semantics of the PRISM language.

### 4.2 Example Translation

Consider the following parallel composition of two processes expressed in the simple probabilistic \(\pi\)-calculus:

- \(Q_1 \triangleq \nu a \cdot (Q_1 \mid Q_2)\);
- \(Q_2 \triangleq \nu b \cdot \nu v \cdot v \cdot d (\frac{1}{2} a \cdot \sigma.c(v).0 \oplus \frac{1}{2} \tau.\alpha.d(d(w).0))\);
- \(Q_3 \triangleq \nu b \cdot a(x).b(x).0 \oplus b(y).g e.0\).

Process \(Q_1\) includes two names \(c\) and \(d\), available only within the scope of \(Q_1\), representing private channels. Process \(Q_2\) is the parallel composition of two subprocesses which communicate over a channel \(b\). The first subprocess inputs a name on channel \(a\) (which will be one of the two private channels from \(Q_1\)) and reoutputs it on channel \(b\). The second subprocess inputs on channel \(b\) and then outputs \(e\) on whichever channel it received.

Noting that \(c\) and \(d\) do not occur in \(Q_2\) and that \(b\) does not occur in \(Q_1\), we can rewrite \(Q\) as the structurally congruent process \(P\), defined as follows:

- \(P_1 \triangleq \nu a \nu b \nu v \nu c \cdot (P_1 \mid P_2 \mid P_3)\);
- \(P_1 \triangleq \frac{1}{2} \tau.\bar{a}.\bar{c}(c).0 \oplus \frac{1}{2} \tau.\bar{a}.d(d(w).0)\);
- \(P_2 \triangleq a(x).b(x).0\);
- \(P_3 \triangleq b(y).g e.0\).

and the corresponding PSTGs are given by

- \(P_1 : Q_1^\tau \rightarrow Q_2^1 \oplus Q_2^2 \rightarrow Q_3^1 \rightarrow Q_4^0 \rightarrow Q_5^\alpha \rightarrow \delta^\delta\);
- \(P_2 : Q_2^\alpha(\tau(x) \rightarrow Q_2^2 \rightarrow Q_3^2)\);
- \(P_3 : Q_1^1 \rightarrow \delta^\delta \rightarrow Q_2^2 \rightarrow Q_3^2 \rightarrow Q_3^3\).

In the above, we omit probabilities that are 1 and conditions true. The PSTGs for \(P_1, P_2,\) and \(P_3\) have the sets of bound names \(\{v, w, x\}\), and \(\{y\}\), respectively, and the combined set of free names is \(\{a, b, c, d, e\}\). The resulting PRISM model is shown in Fig. 6. This example will be referred to in the full explanation of the translation given below.

### 4.3 Formal Translation

We assume that the set of all names in the system is \(N\), which is partitioned into disjoint subsets: \(N_1,\ldots,N_n\), the set of all free names appearing in processes \(P_1,\ldots,P_n,\) and \(N_{11},\ldots,N_{n1}\), the sets of input-bound names for processes \(P_1,\ldots,P_n\).

For clarity, we will retain, wherever possible, identical notation between the \(\pi\)-calculus terms and the resulting PRISM language description. Thus, each of the \(n\) subprocesses (or symbolic transition graphs) \(P_i\) becomes a PRISM module \(P_i\) and the (finite) set of terms \(S_i = \{Q_1,\ldots,Q_k_i\}\) that constitute states of the symbolic transition graph of \(P_i\) becomes a set of integer indexes \(Q_1,\ldots,Q_k_i\) uniquely representing each one.

Module \(P_i\) has \(|N_{i1}| + 1\) local variables: its local state (i.e., the state of the corresponding symbolic transition graph) is represented by variable \(s_i\) with range \(Q_1,\ldots,Q_k_i\), and each bound name \(x_j\) with range \(0,\ldots,|N_{i1}|\) has a corresponding variable \(x_j\), with range \(0,\ldots,|N_{i1}|\). The model also includes \(|N_{i1}|\) integer constants, one for each free name, which are assigned (in some arbitrary order) distinct, consecutive nonzero values. If the value of variable \(x_j\) is equal to one of the these constants, then the corresponding bound name has been assigned the appropriate free name (by an input action). If \(x_j = 0\), no input to the bound name has occurred yet.

In this way, the conditions which label transitions of the symbolic transition graph can be translated directly into PRISM. For example, if condition \(M\) equals \([x = a] \land [y = b]\), where \(x, y\) are bound names and \(a, b\) free names, then the translation of \(M\) into PRISM is identical: \([x = a]\) and \([y = b]\), where \(x, y\) are integer variables and \(a, b\) integer constants.

In addition, when translating stochastic \(\pi\)-calculus processes, for each free name \(x\) we add to the PRISM description a constant \(rate_x\) whose value is equal to \(rate(x)\), i.e., the rate associated with the channel \(x\).

For each transition in the symbolic transition graph for \(P_i\), we will include a set of corresponding PRISM commands in the module \(P_i\). We consider each type of transition separately below. Note that if \(P_i\) is a simple probabilistic \(\pi\)-calculus term, then from the semantics (see Fig. 1), the only transitions which can include multiple probabilistic choices are internal; therefore, the remaining types of transitions (input and output) can be written in the simplified form \(Q_i \rightarrow R_i\) for the stochastic case, since PRISM multiplies the rates of synchronizing transitions and synchronization in the \(\pi\)-calculus is always binary, we associate rates (e.g., \(rate_x\) for channel \(x\)) with the “output” transitions and set the rates...
for “input” transitions to 1 (which is the default so can be omitted).

**Case 1 (Probabilistic internal transition).** For a transition

\[ Q_i \xrightarrow{M} \{p_1 : R_{i1}^1, \ldots, p_m : R_{im}^m\}, \]

we add the command

\[ \{ (s_i = Q_i) & M \rightarrow p_1 : (s_i' = R_i^1) + \cdots + p_m : (s_i' = R_i^m) \}. \]

See Fig. 6, line 7, for an example.

**Case 2 (Stochastic internal transition).** For a transition

\[ Q_i \xrightarrow{M} R_i, \]

we add the command

\[ \{ (s_i = Q_i) & M \rightarrow r : (s_i' = R_i) \}. \]

**Case 3 (Output on free name).** For a transition

\[ Q_i \xrightarrow{M,y} R_i, \quad \text{where } x \in N_i^{fn}, \]

when translating simple probabilistic π-calculus processes, we add, for each \( j \in \{1, \ldots, n\} \setminus \{i\} \), the command

\[ [x.P_i.P_j.y] (s_i = Q_i) & M \rightarrow (s_i' = R_i), \]

while for stochastic π-calculus processes we add, for each \( j \in \{1, \ldots, n\} \setminus \{i\} \),

\[ [x.P_i.P_j.y] (s_i = Q_i) & M \rightarrow \text{rate} . x : (s_i' = R_i). \]

The channel \( x \), sender \( P_i \), receiver \( P_j \), and sent name \( y \) are all encoded in the action label. See Fig. 6, lines 8 and 18, for examples of sending free and bound names \( y \), respectively.

**Case 4 (Output on bound name).** For a transition

\[ Q_i \xrightarrow{M,y} R_i, \quad \text{where } x \in N_i^{bn}, \]

in the probabilistic case, we add, for each \( a \in N_i^{fn} \) and \( j \in \{1, \ldots, n\} \setminus \{i\} \),

\[ [a.P_i.P_j.y] (s_i = Q_i) & M \& (x = a) \rightarrow (s_i' = R_i), \]

while in the stochastic case, for each \( a \in N_i^{fn} \) and \( j \in \{1, \ldots, n\} \setminus \{i\} \), the command

\[ [a.P_i.P_j.y] (s_i = Q_i) & M \& (x = a) \rightarrow \text{rate} . a : (s_i' = R_i) \]

is added. This is similar to Case 3 except that we include a command for each possible value \( a \) of \( x \). See, for example, lines 24 and 25 of Fig. 6.

**Case 5 (Input on free name).** For a transition

\[ Q_i \xrightarrow{M,x(z)} R_i, \quad \text{where } x \in N_i^{fn}, \]

in both cases, we add, for each \( y \in N \setminus \{N_i^{bn} \} \) and \( j \in \{1, \ldots, n\} \setminus \{i\} \), the command

\[ [x.P_i.P_j.y] (s_i = Q_i) & M \rightarrow (s_i' = R_i) \& (z' = y). \]

For input actions, we add a line for each possible received name \( y \). The assignment \( (z' = y) \) models the update of the bound name \( z \) to \( y \). See, for example, lines 16 and 17 of Fig. 6, which match the output commands from lines 8 and 9. Notice that this translation also works in the case where \( y \) is a bound name in another process \( P_j \) (see, for example, line 23 of Fig. 6).

**Case 6 (input on bound name).** For a transition

\[ Q_i \xrightarrow{M,x(z)} R_i, \quad \text{where } x \in N_i^{bn}, \]

when translating both simple probabilistic and stochastic processes, we add for each \( a \in N_i^{fn} \), \( y \in N \setminus N_i^{bn} \), and \( j \in \{1, \ldots, n\} \setminus \{i\} \), the command

\[ [a.P_i.P_j.y] (s_i = Q_i) & M \& (x = a) \rightarrow (s_i' = R_i) \& (z' = y). \]

This case combines elements of Cases 4 and 5: we add a command for each possible pairing of channel \( a \) that \( x \) may represent and name \( y \) that may be received.

Finally, we need to remove some spurious commands added in Cases 5 and 6, since they correspond to input actions which will never occur. More precisely, for each module \( P_j \), we identify labels \( x.P_i.P_j.y \) which appear on a command of \( P_j \) but which do not appear in any of the commands in module \( P_i \). Commands with such action labels are removed from \( P_j \). For example, in Fig. 6, since process \( P_j \) only outputs \( c \) or \( d \) on channel \( a \), there is no label of the form \( a.P_i.P_j.c \) in module \( P_j \), and therefore, commands with this label have been removed from module \( P_j \).

### 4.4 Correctness of the Translation

By assumption, the term being translated is finite control, is closed, and of the form \( P = \nu x_1 \ldots \nu x_k (P_1 | \cdots | P_n) \). The first step in the proof is to show that any term in the derivation tree of \( P \) is of the form \( \nu x_1 \ldots \nu x_k(Q_1 \sigma_1 | \cdots | Q_n \sigma_n) \), where, for any \( 1 \leq j \leq n \), \( Q_j \) is a state of the symbolic transition graph for the process \( P_j \) and \( \sigma_j \) is a substitution from the bound names of \( P_j \) to the free names of \( P_1, \ldots, P_n \). The proof is by induction on the (concrete) transition rules using Lemma 1 or Lemma 2, depending on whether we are considering \( \pi_{\text{prob}} \) or \( \pi_{\text{stoc}} \).

Using this result, we now show that the translation is correct by constructing a mapping between these terms and the states of the PRISM model and demonstrating that for any term in the derivation tree of \( P \), there is a transition in the (concrete) semantics if and only if the corresponding PRISM state has a matching transition. For any term \( \nu x_1 \ldots \nu x_k(Q_1 \sigma_1 | \cdots | Q_n \sigma_n) \), the state in the PRISM model is constructed as follows: for any \( 1 \leq j \leq n \), the values of the variables of module \( P_j \) are given by \( s_j = Q_j, x_1^j = i_1^j, \ldots, x_k^j = i_k^j \), where if \( \sigma(x_1^j) = z \in N_i^{fn} \), then \( i_1^j \) is the integer constant corresponding to the free variable \( z \) and otherwise (i.e., \( \sigma(x_1^j) = x_1^j \)) \( i_1^j \) equals 0.

The remainder of the proof is dependent on whether we are in the probabilistic or stochastic setting.
4.4.1 Probabilistic Case
Consider any $\pi_{prob}$ term $Q$ in the derivation tree, where $Q = \nu x_1 \ldots \nu x_k (Q_1 \sigma_1) \cdots (Q_n \sigma_n)$ and the transition $Q \xrightarrow{R} [p_m : R_m]_\omega$.

From the transition rules and the conditions we have imposed on the structure of $\pi_{prob}$ terms, there are the following two cases to consider:

**Internal transition.** $Q_j \sigma_j \xrightarrow{Q \cdot \nu x R_0} Q_j \sigma_j R_0$, where $\sigma_j = M_j$ and $R_0 \sigma_j = R_0$. Hence, by construction, in the module $P_j$, there is a command of the form

\[
\begin{array}{l}
\mathbb{P} (s_j = Q_j) & M_j \rightarrow p_1 : (s_j' = R_1^j) + \cdots + p_m : (s_j' = R_m^j).
\end{array}
\]

Finally, since $\sigma_j = M_j$ and by definition of the mapping between $\pi_{prob}$ terms and PRISM, it follows that the PRISM state corresponding to $Q$ satisfies the guard $(s_j = Q_j)$ and that the transition is preserved in the translation.

**Communication.** $Q_j \sigma_j \xrightarrow{Q \cdot \nu x y R_0}$ and $Q_j \sigma_j R_0 \xrightarrow{Q} Q_j \sigma_j$; $Q_{j'} \xrightarrow{y} R_{j'}$, $j \neq j'$, and $[p_m : R_m]_\omega = [0 : R_0]$, where $R = \nu x_1 \ldots \nu x_k (Q_1 \sigma_1) \cdots (Q_n \sigma_n)$. From Lemma 2b, assuming without loss of generality that $z$ is fresh

- $Q_j \xrightarrow{M \cdot y (z)} R_{j'}$, where $\sigma_j = M_j$ and $(x_j (z), R_j) \sigma_j = x (z), R_{j'}$.
- $Q_j \xrightarrow{M \cdot x \cdot y R_{j'}} R_{j'}$, where $\sigma_j = M_j$ and $(x_j (y), R_{j'}) \sigma_j = x (y), R_{j'}$.

Now, since $z$ is fresh, it follows that $z = z_j$ and, because $\sigma_j$ is a substitution from bound to free names of $P_1, \ldots, P_n$, it follows that $y \in N \setminus N_j^{\text{in}}$. In addition, since $\sigma_j$ is a substitution from bound to free names, either $x_j$ is free and equals $x$, and hence, in module $P_j$, we have the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j \rightarrow (s_j' = R_{j}) & (z_j' = y),
\]

or $x_j$ is bound and, since $x_j \sigma_j = x$, it follows that $x$ is free, and therefore, the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j & (x_j = x) \rightarrow (s_j' = R_{j}) & (z_j' = y),
\]

appears in module $P_j$. Employing similar arguments, if $x_j$ is free, then $x_j = x$ and the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j \rightarrow (s_j' = R_{j})
\]

appears in module $P_j$. While, if $x_j$ is bound, then module $P_j$ includes the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j & (x_j = x) \rightarrow (s_j' = R_{j}).
\]

Since $\sigma_j = M_j$, $\sigma_j = M_j$, $x_j \sigma_j = x$, and $x \sigma_j = x$, it follows that the guards $(s_j = Q_j)$ and $M_j$, $(s_j = Q_j)$ and $M_j$, $(s_j = Q_j)$ and $M_j$, and $(s_j = Q_j)$ and $M_j$ and $(x_j = x)$ hold in the PRISM state encoding $Q$. Finally, since the encoding of $R_0 \sigma_j$ can be obtained from the encoding of $R \sigma_j$, by setting the variable $z$ to value $y$, it follows that the transition is preserved by the translation.

To complete the proof, it remains to show that for any transition of the PRISM model, there is a matching transition in the corresponding $\pi_{prob}$ term. The result follows in a similar manner to the above using Lemma 1a instead of Lemma 1b.

4.4.2 Stochastic Case
Consider any $\pi_{stoc}$ term $Q$ in the derivation tree, where $Q = \nu x_1 \ldots \nu x_k (Q_1 \sigma_1) \cdots (Q_n \sigma_n)$ and the transition $Q \xrightarrow{R} [p_m : R_m]_\omega$.

From the transition rules and the conditions we have imposed on the structure of $\pi_{stoc}$ terms, there are the following two cases to consider.

**Internal transition.** $Q_j \sigma_j \xrightarrow{Q \cdot \nu x R_0}$ and $R = Q_1 \sigma_1 \cdots (Q_n \sigma_n)$ and $R_j \sigma_j = R_j$. Hence, by construction, in the module $P_j$, there is a command of the form

\[
\begin{array}{l}
\mathbb{P} (s_j = Q_j) & M_j \rightarrow r : (s_j' = R_j).
\end{array}
\]

Finally, since $\sigma_j = M_j$ and by definition of the mapping between $\pi_{stoc}$ terms and PRISM, it follows that the PRISM state corresponding to $Q$ satisfies the guard $(s_j = Q_j)$ and $M_j$ and that the transition is preserved in the translation.

**Communication.** $Q_j \sigma_j \xrightarrow{Q \cdot \nu x y R_0}$, $Q_j \sigma_j y R_0 \xrightarrow{Q} Q_j \sigma_j$; $Q_{j'} \xrightarrow{y} R_{j'}$, $j \neq j'$, and rate($x$) = $r$. From Lemma 2b, assuming without loss of generality that $y$ is fresh

- $Q_j \xrightarrow{M \cdot y (z)} R_{j'}$, where $\sigma_j = M_j$ and $(x_j (z), R_j) \sigma_j = x (z), R_{j'}$.
- $Q_j \xrightarrow{M \cdot x \cdot y R_{j'}} R_{j'}$, where $\sigma_j = M_j$ and $(x_j (y), R_{j'}) \sigma_j = x (y), R_{j'}$.

We employ the same arguments used in the probabilistic case. If $x_j$ is free, module $P_j$ contains the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j \rightarrow (s_j' = R_{j}) & (z_j' = y),
\]

while if $x_j$ is bound, it contains the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j & (x_j = x) \rightarrow (s_j' = R_{j}) & (z_j' = y),
\]

Similarly, if $x_j$ is free, the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j \rightarrow \text{rate}(x), (s_j' = R_{j}), (z_j' = y),
\]

appears in module $P_j$. If $x_j$ is bound, then the command

\[
[x, P_j]_{P_j} (s_j = Q_j) & M_j & (x_j = x) \rightarrow \text{rate}(x), (s_j' = R_{j})
\]

appears in module $P_j$. The remaining arguments are the same as in the probabilistic case, using additionally the fact that the PRISM constant rate(x) has been given the value rate(x).

4.5 Optimizations
The translation from symbolic transition graphs to PRISM code described in this section can be optimized to reduce the size of the generated code and the resulting model. The basic idea is to compute an overapproximation of the possible values that each symbolic transition graph’s bound name can take, and thus, the channels it can send out on and the values that can be sent on those channels. With this information, we can decrease the range of the PRISM local variables corresponding to each bound name and remove unnecessary commands corresponding to combinations of
channel, value, and processes that can never occur. The overapproximation is computed iteratively, starting with an empty set of possible values for each bound name, and at each step adding any name that can be received upon any channel that can be used to assign to the bound name. The iterations required is bounded by the number of processes \( n \). For clarity of presentation, the example in Fig. 6 has, in fact, been optimized in this way.

This optimization could be improved by employing more complex techniques based on those developed in [18] which use control flow analysis to establish an overapproximation of the set of channels a name may be bound to and the set of names that may be sent along a given channel.

### 4.6 Properties

For probabilistic model checking of MDPs and CTMCs, properties are typically specified using the temporal logics PCTL [33], [34] and CSL [35], [36], the key components of which are timed and untimed probabilistic reachability. Examples of expressible properties include the maximum probability of a failure occurring (\( P_{\text{max}} = \text{Pr}[\text{failure}] \)), the minimum probability of a process successfully completing (\( P_{\text{min}} = \text{Pr}[\text{success}] \)), the probability that a message is delivered by time \( t \in \mathbb{R} \) (\( P_{\text{del}} = \text{Pr}[\text{delivered}] \)), and the probability of a reaction occurring in the time interval \([t_1,t_2] \subset \mathbb{R} \) (\( P_{\text{re}} = \text{Pr}[\text{reaction}] \)). In practice, a wide range of useful properties can be expressed in this way.

Most probabilistic model checking tools, including PRISM, use state-based property specifications, i.e., the atomic propositions \((\text{failure}, \text{delivered}, \text{etc.})\) in the examples above are quantifier-free predicates identifying a set of states in the model. Also, the models that are checked are closed: there are no inputs/outputs between the model and its environment, only between components included within the model. This is our reason for only performing probabilistic model checking on closed \( \pi \)-calculus processes.

In terms of the translation from \( \pi \)-calculus description to PRISM model, we simply need to be able to identify the particular set of target states specified in the reachability property. This is done through the MMC\(_{\text{prob}}\) translator when it constructs a PSTG or SSTG: either by identifying which symbolic states correspond to a particular process term; or those in which a particular action is available (in the latter case, such actions can be added purely for the purposes of identifying states, and then removed through restriction).

For example, consider a distributed randomized algorithm executed between \( n \) parallel components \( P_1, \ldots, P_n \). A typical property to be checked is that algorithm always terminates with probability 1 (for any possible scheduling of the \( n \) components). In this case, we would identify the term in the \( \pi \)-calculus description of each process \( P_i \) that corresponds to that process finishing its execution of the algorithm. From the output of the MMC\(_{\text{prob}}\) translator, we can identify the corresponding local state \( Q_0 \) of the process. We would then compute (in PRISM) the (minimum probability) of reaching the state \( s_1 = Q_1 \land \cdots \land s_n = Q_n \).

Although not considered in the case studies used in this paper, our implementation could also be extended to allow for the computation of cost- or reward-based properties, which are also supported by PRISM. This allows expression of properties such as the “maximum expected number of messages sent before termination” or “the minimum expected power consumption within \( t \) time units.” Typically the cost/reward information needed for these properties is added to the model (MDP or CTMC) by annotating either transitions labeled with particular actions (for example, the action-label which corresponds to a message being sent between two components) or states with real values. Since our translation of the probabilistic or stochastic \( \pi \)-calculus to PRISM preserves both information about the state and channel communications of a process, information of this kind could be incorporated into the translation in a relatively straightforward fashion.

More general temporal properties, for example, that a certain sequence of actions is performed, could be encoded through the addition of a test/watchdog process [37]. Model checking for specification formalisms more specifically tailored to the mobile aspects of the \( \pi \)-calculus, such as spatial logic [38], will be an area of future work.

### 5 Implementation and Results

Our implementation of model checking for the simple probabilistic \( \pi \)-calculus and stochastic \( \pi \)-calculus is fully automated and comprises three parts: 1) MMC\(_{\text{prob}}\), an extension of MMC (as described in Section 3), which constructs the symbolic transition graphs for a simple probabilistic or stochastic \( \pi \)-calculus process; 2) the translator from the symbolic transition graph to PRISM code (as described in Section ); implemented in Java; and 3) the probabilistic model checker PRISM [11] which builds the MDP/CTMC from part 2) and performs verification of PCTL/CSL properties. We based our implementation on MMC 1.0 and PRISM 3.1.1.

First, we consider the dining cryptographers protocol (DCP) [39], Chaum’s randomized solution to the classic anonymity problem in which a group of \( N \) parties collectively establish whether either one of the group or an independent party has to make a payment. If the former, this is achieved without any of the \( N-1 \) nonpaying parties knowing the identity of the paying one. This was previously modelled in the probabilistic \( \pi \)-calculus in [6]. To check anonymity, we compute the probability of reaching each of the possible outcomes of the protocol (from the point of view of an individual party) and establish that they are identical.

Second, we study the partial secret exchange (PSE) algorithm of [3] for anonymous contract signing between two parties. A probabilistic \( \pi \)-calculus model of PSE was given in [5]. The protocol was independently analyzed in PRISM [40], where a potential flaw of the protocol was identified, in that one party always has an advantage over the other. Several modifications to the protocol were proposed and shown to have a lower probability of this occurring. We used a \( \pi \)-prob model of both the original and a modified version to demonstrate the same flaw.

Third, we constructed both a probabilistic and stochastic model of a mobile communication network (MCN), based on the (nonprobabilistic) \( \pi \)-calculus model in [41]. The system comprises \( N \) base stations with fixed communication links to a mobile switching center and a mobile station which can be connected to each of the base stations via radio links. The mobile station roams between the base
stations. When it changes base station, the mobile communication network acts as an intermediate party, controlling the handover protocol and exchange of communication links between stations. This case study was analyzed using MMC in [10]. In both this and the original paper, though, the occurrence of a failure during the handover protocol was modeled as a nondeterministic choice. In the probabilistic version, we are able to correctly model this as a random event. For the stochastic model, we used the adapted version of [42]. This allows both correct modeling of the failure event and also timing characteristics of the network. We check the probability of a handover operation completing successfully, within a given number of communications (for the probabilistic case) or within a fixed time deadline (for the stochastic case).

Our final case study is a CTMC model of the Fibroblast Growth Factor (FGF) signalling pathway. We consider a slightly simplified version of the model from [43], comprising interactions between a mixture of FGF ligands and receptors. In the $\pi$-calculus formulation, the $\nu$ operator is used to give each FGF ligand a unique channel name. The binding between a particular FGF ligand and receptor is modeled by this name being passed between the two. Unbinding occurs through a communication over this private channel. We check the probability that all FGF receptors have relocated (are no longer active) by a certain time bound.

Table 1 shows the performance of our implementation on the case studies. Experiments were run on a 2-GHz PC with 2-GB RAM running Linux. For each case study, we analyzed several models of increasing size by varying a parameter $N$. For the DCP model, $N$ represents the number of parties; for PSE (we consider two variants: the original protocol EGL and the modified version EGL3 from [40]), $N$ is the size of contract; for the MCN models, $N$ represents the number of base stations; and for FGF, $N$ is the number of FGF ligands (the number of receptors remains fixed). The table shows the size of the resulting MDPs/CTMCs (number of states/transitions) and corresponding storage in PRISM (MTBDD nodes, where one node uses 20 bytes). We also give the time required for each stage of the process, i.e., constructing: the PSTGs (using MMCprob); the PRISM code (using the translator); and the MDP or CTMC model (using PRISM). Finally, we give the time to check a single (quantitative) PCTL/CSL property for each using PRISM (with the fastest available engine).

The results are very encouraging. We see that our techniques are scalable to the construction and analysis of $\pi$prob and $\pi$stoc models with extremely large state spaces and that the times required for all stages of the process are relatively small. Furthermore, the compositional approach to the translation proved to be essential. On the FGF model ($N = 3$), for example, constructing the full model in MMCprob took more than 100 times as long as the compositional technique. For larger parameter values, it was not feasible to directly construct the full model.

The MCN case study, although smallest in terms of state space, is a particularly good example of the applicability of this implementation since it fully exploits all mobile aspects of the calculus. The most obvious area for improvement in our results concerns MTBDD sizes. As is often the case with automatically generated code, the PRISM models resulting from our technique do not always exhibit the kind of structure and regularity that can be exploited by PRISM’s symbolic implementation. We are confident that performance can be improved in this area.

6 CONCLUSIONS

In this paper, we have demonstrated the feasibility of implementing model checking for probabilistic and stochastic extensions of the $\pi$-calculus. Furthermore, we have
shown, through its application to several large examples, the efficiency of the approach. The probabilistic version of the π-calculus we used (with only blind probabilistic choice) has proved to be expressive enough for the appropriate application domains (probabilistic algorithms for security and dynamic communication protocols with failures and/or randomization) and yet amenable to analysis with extensions and adoptions of existing verification tools. Similarly, the version of the stochastic π-calculus we used (with rates assigned to τ-transitions and to channels) is both a natural formalism for modeling biological systems and well suited for the model checking techniques we have proposed.

We would like to extend this work in several directions. For convenience of modeling, we plan to add support for polyadic communication over channels. We also hope to add support for more flexible property specifications using watchdog processes. Finally, we will investigate ways to further improve the efficiency of our implementation, in particular, with regards to the automatically generated PRISM code. Possibilities include optimizations to reduce the resulting symbolic (MTBDD) storage in PRISM and bisimulation minimization techniques.

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