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# Constitutive modelling of fine-grained gassy soils

Zhiwei Gao<sup>1\*</sup>, Hongjian Cai<sup>1</sup>, Yi Hong<sup>2</sup>, Dechun Lu<sup>3</sup>

<sup>1</sup> James Watt School of Engineering, University of Glasgow, Glasgow, G12 8QQ, UK

<sup>2</sup> Key Laboratory of Offshore Geotechnics and Material of Zhejiang Province, College of Civil Engineering and Architecture, Zhejiang University, Hangzhou, 310058, China

<sup>3</sup> Key Laboratory of Urban Security and Disaster Engineering of Ministry of Education, Beijing University of Technology, Beijing 100124, China

\* Corresponding author. Email: [zhiwei.gao@glasgow.ac.uk](mailto:zhiwei.gao@glasgow.ac.uk); Tel: +44 1413303927

**ABSTRACT:** Fine-grained marine sediments often contain gas bubbles that can cause many geotechnical problems. This soil has a composite structure with gas bubbles fitting within the saturated soil matrix. The gas cavity has a detrimental effect on the soil stiffness and strength when they are filled with undissolved gas only. The gas cavity can be filled with gas and pore water due to ‘bubble flooding’. Bubble flooding has a beneficial effect on the soil stiffness and undrained shear strength because it makes the saturated soil matrix partially drained under a globally undrained condition. A critical state constitutive model for gassy clay is presented which accounts for the composite structure of the soil and bubble flooding. The gas cavity is assumed to have a detrimental effect on the plastic hardening of the saturated soil matrix. Some of the bubbles can be flooded by pore water from the saturated soil matrix which leads to higher mean effective stress of the saturated soil matrix. Consequently, both soil stiffness and strength increase. Only one new parameter is introduced to model the detrimental effect of gas bubbles on plastic hardening. The model has been validated by the results of three gassy clays.

**Keywords:** Gassy clay, critical state, constitutive model, undrained shear strength, pore pressure

29 **1. INTRODUCTION**

30 Submarine soils with free gas bubbles are widely seen in the seabed throughout the world  
31 (Hong et al., 2017; Gao et al., 2020; Sultan and Garziglia, 2014). Gas bubbles can also be  
32 encountered in onshore organic soils (Jommi et al., 2019). Most of the free gas is methane  
33 generated by soil decomposition and gas hydrate melting that will be affected by  
34 temperature and pressure (Sills et al., 1991; Sultan et al., 2012; Jommi et al., 2019). Since  
35 the soil decomposition and gas hydrate melting are faster at a higher temperature, more  
36 methane will be generated in the submarine soils as global warming continues (Milich, 1999;  
37 Stagg et al., 2017). Free gas has a dramatic influence on the mechanical behaviour of soils  
38 and is considered a major hazard for offshore ground engineering (Houlsby and Byrne, 2005;  
39 Sultan et al., 2012; Riboulot et al., 2013). Some failures of offshore foundations and large-  
40 scale submarine landslides have occurred due to the weakening effect of gas on the strength  
41 of seabed (Locat & Lee, 2002; Riboulot et al., 2013). A typical example includes the world's  
42 largest submarine slides (i.e., Storegga Slide), which is partly triggered due to the presence  
43 of gas within the marine sediments (Sultan et al., 2004). To assess and mitigate the  
44 geotechnical risks associated with gassy clay, it is important to have a proper understanding  
45 of the mechanical behaviour of this soil.

46 The mechanical behaviour of gassy clay is found to be affected by its unique internal  
47 structure with discrete gas cavities fitting within the saturated soil matrix. The gas phase in  
48 the soil is discontinuous while the water phase is continuous, which is different from  
49 conventional unsaturated soils close to the ground surface with a continuous gas phase. The  
50 gas bubbles make the soil more compressible due to their high compressibility. As the gas  
51 bubbles have no shear stiffness or shear strength, they tend to decrease the shear stiffness  
52 and strength of clay (Wheeler, 1986; Sultan et al., 2012; Riboulot et al., 2013). In some cases,  
53 however, pore water can drain into the gas cavities from the saturated soil matrix, making  
54 the saturated soil matrix partially drained in a globally undrained shearing test. This is called  
55 'bubble flooding' by Wheeler (1986). Bubble flooding is shown to have a beneficial effect on

56 the undrained shear strength of gassy clay (Wheeler, 1988; Sham, 1989; Gao et al., 2020).

57 To describe the mechanical behaviour of gassy clay properly, it is crucial to consider the  
58 composite structure and bubble flooding. Nageswaran (1983) and Thomas (1987) have  
59 studied the compression behaviour of gassy clay. It is found that the soil volume change can  
60 be described by accounting for the gas compressibility. Bubble flooding does not occur in  
61 pure compression. Wheeler (1986) was the first to derive the lower and upper bounds for  
62 the undrained shear strength of gassy clay, where the composite soil structure and bubble  
63 flooding are accounted for. The lower bound was derived by assuming that the cavities have  
64 a detrimental effect on soil strength only. Bubble flooding is considered for the upper bound.  
65 This theory has been validated by test results on different gassy clays (Wheeler, 1986; Sham,  
66 1989; Hong et al., 2017). But it cannot be used to predict the stress-strain behaviour and  
67 undrained shear strength for a specific loading condition.

68 Some attempts have been made in modelling the complete stress-strain relationship of gassy  
69 clay based on these early studies. Grozic et al. (2005) have proposed a constitutive model for  
70 this soil by considering the gas as part of the pore fluid, which cannot represent the real  
71 internal structure of this soil. Pietruszczak & Pande (1996) have developed a method for  
72 constitutive modelling of gassy soils based on the micromechanical analysis. Though it can  
73 consider the composite structure of gassy clay, it cannot capture the detrimental effect of  
74 gas bubbles on the undrained shear strength. Based on extensive laboratory studies, Hong et  
75 al. (2020) proposed a constitutive model for gassy clay by considering the effect of free gas  
76 on the dilatancy and yield surface shape. Gao et al. (2020) have developed a composite  
77 approach for constitutive modelling of gassy clay. Both these two recent models are capable  
78 of describing the detrimental and beneficial effect of free gas on the stiffness and strength of  
79 gassy clay. But the two models contain at least two extra parameters in addition to the  
80 classic critical state model for saturated clay (i.e., Modified Cam-clay model, or MCC model),  
81 some of which may not be easily obtained through conventional triaxial tests. Besides, the

82 gas pressure is employed as an internal variable in Gao et al. (2020), which causes  
83 inconvenience for using the model: (i) it is almost impossible to measure the gas pressure in  
84 gassy clay either in the lab or the field; (ii) extra equations for estimating the initial gas  
85 pressure and evolution of gas pressure during loading are required; (iii) the elastic bulk  
86 modulus of water has to be used to derive the constitutive equations when the gas pressure  
87 is employed as a state variable (Gao et al., 2020).

88 A new critical state constitutive model for gassy clay is proposed in this study. It is based on  
89 the method proposed in Gao et al. (2020), which accounts for the composite internal  
90 structure and bubble flooding of gassy clay. The new model uses stress quantities which can  
91 be readily measured and only one parameter is introduced (as compared to the MCC model)  
92 to describe the effect of gas bubbles on the mechanical behaviour of clays, making it easy to  
93 calibrate and use. The soil response in triaxial compression and isotropic compression is  
94 considered in this study. Two stress quantities, the mean effective stress  $p [(= \sigma_a + 2\sigma_r)/3]$   
95 and deviator stress  $q (= \sigma_a - \sigma_r)$  are used, where  $\sigma_a$  is the total axial stress and  $\sigma_r$  is the  
96 total radial stress. The volumetric strain  $\varepsilon_v (= \varepsilon_a + 2\varepsilon_r)$  and shear strain  $\varepsilon_q [= \frac{2}{3}(\varepsilon_a - \varepsilon_r)]$   
97 are used in the constitutive equations, where  $\varepsilon_a$  is the axial strain and  $\varepsilon_r$  is the radial strain.

## 98 **2. FRAMEWORK OF THE CONSTITUTIVE MODEL**

99 This study focuses on the mechanical behaviour of normally consolidated clay with a fixed  
100 amount of free gas. Gas dissolution and exsolution due to the change in mean total stress is  
101 not considered (Sultan et al., 2012), as the two processes can be negligible for the gas types  
102 (mainly methane or nitrogen, which has very low solubility) of interests. The following  
103 assumptions are made for the new constitutive model based on existing research (Wheeler,  
104 1988; Wheeler et al., 1990; Gao et al., 2020): (a) Gassy clay is a composite material with  
105 compressible cavities and saturated soil matrix (Fig. 1). The cavities are filled with gas when  
106 there is no bubble flooding. Once bubble flooding occurs, there are both gas and water in

107 the cavities (Wheeler, 1988; Gao et al., 2020). Bubble flooding makes the saturated soil  
 108 matrix partially drained in a globally undrained test. Because there is water drainage from  
 109 the soil but such water flow is insufficient to make the matrix fully drained. Terzaghi's  
 110 effective stress principle works for the saturated soil matrix. The volume change of gassy clay  
 111 is caused by both water flow at the soil element boundary and bubble flooding (Wheeler,  
 112 1988); (b) When there is no bubble flooding, the gas cavities have a detrimental effect on  
 113 the soil strength only because gas bubbles have no shear stiffness and strength, causing  
 114 stress concentration (and thus damage) around the cavities. Bubble flooding makes the  
 115 mean effective stress of the saturated soil matrix increase, which has a beneficial effect on  
 116 the stiffness and shear strength (Fig. 1b).

### 117 3. STRESS AND STRAIN VARIABLES FOR GASSY CLAY

118 As gassy clay is a composite, the rule of mixtures should be used to get the relationship  
 119 between total stress and stress state of the saturated soil matrix (Pietruszczak & Pande,  
 120 1996; Gao and Diambra, 2020; Gao et al., 2020; Shi et al., 2019). Since the volume fraction of  
 121 gas  $f$  is very small in most cases (less than 0.05), the following equations are used for stress  
 122 decomposition (Gao et al., 2020)

$$123 \quad p_m = p \quad (1)$$

$$124 \quad p'_m = p' = p - u_w \quad (2)$$

$$125 \quad q_m = q \quad (3)$$

126 where  $p_m$  and  $p'_m$  are total and mean effective stress of the saturated clay matrix,  $q_m$  is the  
 127 deviator stress of the saturated soil matrix,  $u_w$  is the pore water pressure.  $q$  is only  
 128 dependent on  $q_m$  because the gas has no shear stiffness. Note that the assumption in Eqs.  
 129 (1) and (2) is valid when the gas volume fraction is small and the gas pressure is close to the  
 130 water pressure. As will be shown in the subsequent sections, the model can give reasonable  
 131 prediction of gassy clay behaviour with this assumption. It could be due to that the gas  
 132 pressure and water pressure are close, because the curvature of the air-water interface is  
 133 small due to big gas bubble size (Wheeler, 1986). The volume fraction of cavities  $f$  is

134 expressed as below (Wheeler, 1988)

$$135 \quad f = \frac{V_c}{V} \quad (4)$$

136 where  $V_c$  is the specific volume of cavities and  $V$  is the total specific soil volume. When there  
137 is no bubble flooding, the cavities are filled with free gas and one has

$$138 \quad V_c = V_g = (1 - S_r)e \quad (5)$$

$$139 \quad f = \frac{V_c}{V} = \frac{(1 - S_r)e}{1 + e} \quad (6)$$

140 where  $S_r (= \frac{V_w}{V_v})$  is the degree of saturation and  $e$  is the global void ratio, with  $V_w$  and  $V_v$   
141 being the specific volume of pore water and void, respectively. When there is bubble  
142 flooding,  $V_c > V_g$  and Eq. (4) must be used to calculate  $f$  (Fig. 1). Following Gao et al. (2020),  
143 the global shear strain  $\varepsilon_q$  and volumetric strain of  $\varepsilon_v$  the gassy clay can be expressed as  
144 below

$$145 \quad \varepsilon_q = \varepsilon_q^m \quad (7)$$

$$146 \quad \varepsilon_v = (1 - f)\varepsilon_v^m + f\varepsilon_v^c \quad (8)$$

147 where the superscripts 'm' and 'c' represent the saturated soil matrix and gas cavities,  
148 respectively. Eq. (7) is assumed because the gas bubbles have no shear stiffness and the  
149 distortion of them follows that of the saturated matrix (Gao et al., 2020). But the term  $f\varepsilon_v^c$  in  
150 Eq. (8) cannot be neglected due to bubble flooding and high compressibility of the gas  
151 bubbles (Gao et al., 2020). As gassy clay is considered as a composite, the constitutive  
152 equation for the soil needs to be obtained based on the constitutive model for saturated soil  
153 matrix and gas cavities, which will be presented in the subsequent sections.

#### 154 **4. CONSTITUTIVE RELATIONSHIP FOR THE SATURATED CLAY MATRIX**

##### 155 **4.1 Volume change of the saturated soil matrix**

156 The constitutive model for the saturated soil matrix is proposed based on the Modified Cam-  
157 Clay (MCC) model (Roscoe and Burland, 1968). The plastic hardening of the MCC is modified  
158 to incorporate the effect of gas cavities. Besides, the volumetric strain increment of the  
159 matrix  $d\varepsilon_v^m$  is dependent on both water flow at the boundary  $dV_b$  and bubble flooding  $dV_f$

160 which occurs inside the soil (Wheeler, 1986; Sills et al., 1991). The expression for  $d\varepsilon_v^m$  is

$$161 \quad d\varepsilon_v^m = \frac{dV_b}{V_m} + \frac{dV_f}{V_m} = d\varepsilon_v^b + d\varepsilon_v^f \quad (9)$$

162 where  $d\varepsilon_v^b$  and  $d\varepsilon_v^f$  denote the volumetric strain increments caused by water flow at the  
163 boundary and bubble flooding, respectively.

#### 164 **4.2 Constitutive equations for the saturated soil matrix**

165 The yield function, plastic flow rule and elastic stress-strain relationship can be found in Gao  
166 et al. (2020). Since the pore gas pressure is not used in the current model, the plastic  
167 hardening law and bubble flooding equation are different, which will be discussed here. In a  
168 recent study (Gao et al., 2021), it is found that the variable  $\frac{u_w + p_a}{p'_c}$  is suitable for modelling  
169 lower and upper bounds of the shear strength of gassy clay, where  $p_a$  is the atmospheric  
170 pressure and  $p'_c$  denotes the yield surface size. It will thus employed in the new model  
171 formulations.

172 The following hardening law is proposed for the saturated soil matrix

$$173 \quad dp'_c = \langle L \rangle r_{pc} = \langle L \rangle (r_1 - r_2) = \langle L \rangle \frac{(1+e_0)p'_c}{\lambda-\kappa} \frac{\partial F}{\partial p'} \left[ 1 - a\sqrt{f} \frac{\eta}{M} \left( 1 - e^{-\frac{u_w + p_a}{p'_c}} \right) \right] \quad (10)$$

174 where  $p'_c$  denotes the size of MCC yield surface,  $L$  is the loading index,  $e_0$  is the initial value  
175 of the saturated matrix void ratio  $e_m$ ,  $\eta$  ( $= q/p'$ ) is the stress ratio,  $\lambda$  is the compression  
176 index,  $\kappa$  is the swelling index,  $F$  is the MCC yield function,  $p_a$  is the atmospheric pressure,  
177  $\langle \ \rangle$  are the McCauley brackets [ $\langle L \rangle = L$  for  $L > 0$  and  $\langle L \rangle = 0$  otherwise] and  $a$  is a new  
178 model parameter.  $r_1$  is the same as that for the MCC model and the the term  $r_2$  is used to  
179 model the detrimental effect of gas bubbles on the plastic hardening and shear strength.  
180 Higher  $r_2$  indicates more detrimental effect of gas bubbles on plastic hardening and shear  
181 strength.  $r_2 = 0$  when there is no cavity with  $f = 0$ . Existing experimental evidence shows  
182 that the gas bubbles merely influence the plastic hardening of saturated soil matrix in  
183 isotropic consolidation, and therefore, the term  $\frac{\eta}{M}$  is introduced to make  $r_2 = 0$  at  $\eta = 0$   
184 (Thomas, 1987; Wheeler, 1986; Hong et al., 2020). When the gassy soil is subjected to shear

185 (e.g., triaxial compression), the detrimental effect of gas bubbles on plastic hardening is  
 186 higher as  $\frac{u_w+p_a}{p'_c}$  increases, but such detrimental effect is limited (Wheeler, 1988; Hong et al.,  
 187 2020; Gao et al., 2021). Therefore, the term  $1 - e^{-\frac{u_w+p_a}{p'_c}}$  is used to make  $r_2$  increase with  
 188  $\frac{u_w+p_a}{p'_c}$  and reach the maximum value of 1 when  $\frac{u_w+p_a}{p'_c}$  is big enough. The plastic modulus  $K_p$   
 189 for the saturated matrix is

$$190 \quad K_p = -\frac{\partial F}{\partial p'_c} r_{pc} \quad (11)$$

### 191 4.3 Bubble flooding

192 The concept of bubble flooding was first proposed by Wheeler (1986) to explain the  
 193 beneficial effect of gas bubbles on undrained shear strength of gassy clay. For each bubble,  
 194 the condition of bubble flooding is  $u_g \approx u_w$ , where  $u_g$  is the gas pressure (Wheeler, 1986).  
 195 Since  $u_g > u_w$  due to the surface tension of water meniscus,  $u_g \approx u_w$  is more likely when  
 196  $u_w$  increases, which makes the curvature of water meniscus reduce and the difference  
 197 between  $u_g$  and  $u_w$  smaller. Therefore, it is assumed that bubble flooding occurs when  $u_w$   
 198 increases. The following formulation is proposed for  $d\varepsilon_v^f$

$$199 \quad d\varepsilon_v^f = A du_w \quad (12)$$

200 where

$$201 \quad A = \begin{cases} \frac{(1-s_r)e}{(u_w+p_a)(1+e)} & \text{for } du_w > 0 \\ 0 & \text{for } du_w \leq 0 \end{cases} \quad (13)$$

202 It is evident that there is bubble flooding only when the soil is unsaturated with  $s_r < 1$  and  
 203  $du_w > 0$  (Gao et al., 2020). The rate of bubble flooding is also higher when  $u_w$  is smaller,  
 204 which is supported by the experimental observation that gas cavities have a less beneficial  
 205 effect on the strength of clay when  $u_w$  is higher (Wheeler, 1986; Sham, 1989; Hong et al.,  
 206 2020). In drained isotropic compression, bubble flooding will not occur based on Eq. (12)  
 207 because  $u_w$  is constant. As will be shown in the subsequent sections of this paper, this  
 208 assumption is reasonable for modelling the volume change of gassy clay in drained isotropic

209 compression (Figs. 5 and 9). However, if we devise an isotropic compression test with partial  
 210 drainage (e.g.,  $u_w$  increases), the model will predict some bubble flooding. Note that Eq.  
 211 (12) can predict bubble flooding even when there is a small variation in  $u_w$ , which is not  
 212 realistic because this may not bring  $u_w$  close to  $u_g$ . This limitation will be addressed in  
 213 future research.

## 214 5. GAS AND CAVITY VOLUME CHANGE

215 Since the cavity surface is part of the saturated soil matrix, it is expected that the cavity  
 216 changes size when the effective stress of saturated soil matrix changes (or there is  
 217 deformation in the matrix). Therefore, the volumetric strain increment of the cavity  $d\varepsilon_v^c$  is  
 218 assumed to be affected by  $dp'$

$$219 \quad d\varepsilon_v^c = \frac{dV_c}{V_c} = B dp' = \frac{1}{p' + u_w + p_a} dp' \quad (14)$$

220 where  $dV_c$  is the volume change of the cavity. This equation indicates that the  
 221 compressibility of the cavity is dependent on the stiffness of saturated soil matrix as  $p'$  is  
 222 included. Higher  $p'$  will lead to lower compressibility of both the saturated soil matrix and  
 223 gas cavity. In addition, the cavity volume change may also depend on other soil properties  
 224 like the plasticity index and particle size, which means that extra model parameters may be  
 225 required to describe such influence. But it is found that Eq. (14) is suitable for modelling the  
 226 gas volume change (see Figs. 5 and 9 below). Therefore, it is unnecessary to use more  
 227 complex formulations for the gas volume change.

228

## 229 6. THE CONSTITUTIVE EQUATION AND PARAMETER DETERMINATION

230 The constitutive equation for the entire gassy soil can be derived based on the constitutive  
 231 model for the saturated soil matrix and equations for cavity and gas volume evolution, which  
 232 is presented in this section. In the present model, the total strain increment is assumed to be  
 233 the summation of the elastic and plastic parts with  $d\varepsilon_v^m = d\varepsilon_v^{me} + d\varepsilon_v^{mp}$  and  $d\varepsilon_q^m =$   
 234  $d\varepsilon_q^{me} + d\varepsilon_q^{mp}$ . Based on Eqs. (8) and (14), one can get the following

235 
$$d\varepsilon_v = (1 - f)d\varepsilon_v^m + fBdp' \quad (15)$$

236 Since  $d\varepsilon_v^m = d\varepsilon_v^{me} + d\varepsilon_v^{mp} = \frac{dp'}{K_m} + \langle L \rangle \frac{\partial F}{\partial p'}$ , Eq. (15) can be rewritten as

237 
$$d\varepsilon_v = (1 - f) \left( \frac{dp'}{K_m} + \langle L \rangle \frac{\partial F}{\partial p'} \right) + fBdp' \quad (16)$$

238 The expression of  $dp'$  can be obtained based on Eq. (16) as below

239 
$$dp' = \frac{d\varepsilon_v - (1-f)\langle L \rangle \frac{\partial F}{\partial p'}}{\frac{1-f}{K_m} + fB} = \frac{d\varepsilon_v}{X} - \langle L \rangle \frac{1-f}{X} \frac{\partial F}{\partial p'} \quad (17)$$

240 where  $X$  represents the denominator of Eq. (17). Combining Eq. (17) and the condition of  
241 consistency for the yield function of MCC, one has

242 
$$\frac{\partial F}{\partial p'} \left[ \frac{d\varepsilon_v}{X} - \langle L \rangle \frac{1-f}{X} \frac{\partial F}{\partial p'} \right] + 3G_m \frac{\partial F}{\partial q} \left[ d\varepsilon_q - \langle L \rangle \frac{\partial F}{\partial q} \right] - \langle L \rangle K_p = 0 \quad (18)$$

243 The loading index  $L$  can then be determined using Eq. (18)

244 
$$L = \frac{\frac{1}{X} \frac{\partial F}{\partial p'} d\varepsilon_v + 3G_m \frac{\partial F}{\partial q} d\varepsilon_q}{K_p + \frac{1-f}{X} \left( \frac{\partial F}{\partial p'} \right)^2 + 3G_m \left( \frac{\partial F}{\partial q} \right)^2} = \Lambda_p d\varepsilon_v + \Lambda_q d\varepsilon_q \quad (19)$$

245 where  $\Lambda_p$  and  $\Lambda_q$  are self-evident. The expression of  $dp'$  in terms of  $d\varepsilon_v$  and  $d\varepsilon_q$  can be  
246 obtained using Eqs. (17) and (19)

247 
$$dp' = C_{pp}d\varepsilon_v + C_{pq}d\varepsilon_q \quad (20)$$

248 where

249 
$$C_{pp} = \frac{1}{X} - h(L)\Lambda_p \frac{1-f}{X} \frac{\partial F}{\partial p'} \quad (21)$$

250 
$$C_{pq} = -h(L)\Lambda_q \frac{1-f}{X} \frac{\partial F}{\partial p'} \quad (22)$$

251 where  $h(L)$  is the Heaviside function with  $h(L) = 1$  when  $L > 0$  and  $h(L) = 0$  otherwise.  
252 The increment of the deviator stress  $dq$  is

253 
$$dq = 3G_m(d\varepsilon_q - d\varepsilon_q^{mp}) = 3G_m \left( d\varepsilon_q - \langle L \rangle \frac{\partial F}{\partial q} \right) = C_{qp}d\varepsilon_v + C_{qq}d\varepsilon_q \quad (23)$$

254 where

255 
$$C_{qp} = -h(L)3G_m\Lambda_p \frac{\partial F}{\partial q} \quad (24)$$

256 
$$C_{qq} = 3G_m - h(L)3G_m\Lambda_q \frac{\partial F}{\partial q} \quad (25)$$

257 Combining Eqs. (8), (12), (14) and (20), the following equation can be got

258 
$$d\varepsilon_v = (1 - f)(d\varepsilon_v^b + Adu_w) + fB(C_{pp}d\varepsilon_v + C_{pq}d\varepsilon_q) \quad (26)$$

259 Eq. (26) can then be used to get the expression for  $du_w$

260 
$$du_w = C_{wp}d\varepsilon_v + C_{wq}d\varepsilon_q + C_{wb}d\varepsilon_v^b \quad (27)$$

261 where

262 
$$C_{wp} = \frac{1-fB C_{pp}}{(1-f)A} \quad (28)$$

263 
$$C_{wq} = -\frac{fB C_{pq}}{(1-f)A} \quad (29)$$

264 
$$C_{wb} = -\frac{1}{A} \quad (30)$$

265 The constitutive equation can be written in a matrix form as below

266 
$$\begin{bmatrix} dp' \\ dq \\ du_w \end{bmatrix} = \begin{bmatrix} C_{pp} & C_{pq} & 0 \\ C_{qp} & C_{qq} & 0 \\ C_{wp} & C_{wq} & C_{wb} \end{bmatrix} \begin{bmatrix} d\varepsilon_v \\ d\varepsilon_q \\ d\varepsilon_v^b \end{bmatrix} \quad (31)$$

267 The constitutive equation requires two volumetric strain quantities  $\varepsilon_v$  and  $\varepsilon_v^b$ , which  
 268 represent the total volume change and volume change due to water flow at the boundary.  
 269 This is due to the bubble flooding and cavity volume change in the soil, which makes  $\varepsilon_v$  and  
 270  $\varepsilon_v^b$  different. Implementation of the model has been discussed in Gao et al. (2020).

271 There are six parameters in the model, five of which are the same as those for the MCC  
 272 model. Only the parameter  $a$  in Eq. (10) should be determined for gassy clay. Since  $a$  is used  
 273 to describe the detrimental effect of gas bubbles on plastic hardening and shear strength, it  
 274 must be determined using the triaxial compression test data on gassy clay. Only one set of  
 275 test data from the conventional triaxial compression test is needed for determining  $a$   
 276 through best fitting the stress-strain relationship. A test with an initial degree of saturation  
 277  $S_{r0} \leq 0.95$  where the effect of gas on the soil response is obvious is recommended.  
 278 Determination of  $a$  will be presented below using the test data of gassy Combwich mud  
 279 (Wheeler, 1986).

## 280 7. MODEL VALIDATION

281 The model will be validated against the test data on three gassy clays, including Gassy

282 Combwich mud (Wheeler, 1986), Gassy Kaolin (Sham, 1989) and Gassy Malaysian Kaolin  
283 (Hong et al., 2020).

### 284 **7.1 Gassy Combwich mud (Wheeler, 1986)**

285 Both isotropic consolidation and undrained triaxial compression tests have been reported on  
286 gassy Combwich mud in Wheeler (1986). The parameters  $M$ ,  $\lambda$  and  $N$  are directly obtained  
287 from Wheeler (1986). The elastic parameter  $\nu = 0.2$  is assumed as it has a small influence  
288 on the model prediction. Finally,  $\alpha$  is determined using the undrained test data on gassy  
289 Combwich mud with initial mean effective stress  $p'_0 = 400$  kPa, initial pore water pressure  
290  $u_{w0} = 0$  and initial degree of saturation  $S_{r0} = 0.95$  (Fig. 2). The undrained shear strength is  
291 higher when  $\alpha$  is smaller, which describes a less detrimental effect of gas bubbles on the soil  
292 stiffness and strength (Fig. 2). The best model prediction for the undrained shear strength  
293 and effective stress path can be obtained by using  $\alpha = 14$ . All the model parameters are  
294 listed in Table 1.

295 The undrained shear strength of the gassy clay shown in Fig. 2 is higher than that of the  
296 saturated soil. This is because of the beneficial effect caused by bubble flooding dominates  
297 for the gassy soil, which is illustrated in Fig. 3. The model prediction without bubble flooding  
298 is shown in Fig. 3 ( $A = 0$  in Eq. 13). All the model parameters are the same as those in Table  
299 1. The undrained shear strength predicted by neglecting bubble flooding is smaller than the  
300 saturated one, as bubbles are assumed to have a detrimental effect on the soil stiffness and  
301 strength only with  $\alpha = 14$ . Under other conditions of  $u_{w0}$ ,  $S_{r0}$  and  $p'_0$ , the overall effect of  
302 gas bubbles on undrained shear strength can become detrimental due to a smaller amount  
303 of bubble flooding, which has been discussed in the second assumption for the model.

304

305 Though many undrained triaxial compression tests have been done by Wheeler (1986), only  
306 one complete set of data is available, which includes the effective stress path and shear  
307 stress-strain relationship (see Fig. 2). For the other tests, only the undrained shear strength

308  $s_u$  is available, which will be used to validate the model prediction. Fig. 4 shows the model  
309 prediction for the undrained shear strength of gassy Combwich mud with different  $p'_0$  and  
310  $u_{w0}$ . The model prediction captures the trends of  $s_u$  variation with  $S_{r0}$  well, including both  
311 beneficial and detrimental effects under different circumstances. Obvious overestimation is  
312 observed for the tests with  $p'_0 = 200$  kPa and  $u_{w0} = 100$  kPa (Fig. 4b). There are two  
313 possible reasons for this discrepancy. First, the  $s_u$  for the test with  $p'_0 = 400$  kPa,  $u_{w0} = 0$   
314 kPa and  $u_{w0} = 0.95$ , which has been used for determining the parameter  $a$ , lies on the  
315 upper bound of the test data in its group (Fig. 4a). This indicates that the model prediction  
316 tends to give higher  $s_u$  for most of the tests. A better model prediction is expected if more  
317 results like those in Fig. 2 are available for getting more optimum value of  $a$ . Besides, it is  
318 noticed that the data for this group of tests are quite scattered, with two tests ( $S_{r0} = 0.97$   
319 and  $S_{r0} = 0.984$ ) showing unexpectedly low  $s_u$  (Fig. 4b). The real  $s_u$  could be higher and  
320 closer to the model prediction.

321

322 Fig. 5 presents the comparison between test data and model prediction of gassy Combwich  
323 mud in isotropic compression (Wheeler, 1986). The dots and lines represent the test data  
324 and model predictions, respectively. The initial degree of saturation  $S_{r0}$  is the one at  $p' =$   
325 100 kPa. For the gassy soil samples, the total volume change is caused by water drainage  
326 from the saturated soil matrix and compression of gas bubbles (Eq. 14). There is no bubble  
327 flooding as  $u_w$  is a constant (Eq. 12). The model can satisfactorily describe the volume  
328 change of gassy clay with different  $S_{r0}$  (Fig. 5a), indicating that Eq. (14) is suitable for  
329 modelling gas cavity compression in gassy clay. There is a unique relationship between the  
330 matrix void ratio  $e_m$  and  $p'$  for all samples (Fig. 5b), because Terzaghi's effective stress  
331 principle works in the saturated soil matrix.

332

## 333 **7.2 Gassy Kaolin (Sham, 1989)**

334 A series of undrained triaxial compression tests have been carried out on Kaolin with helium  
335 to investigate the upper and lower bounds for the undrained shear strength of gassy clay  
336 (Sham, 1989). Details of the test procedure can be found in Sham (1989). The MCC  
337 parameters are determined using the same method as for Combwich mud. The parameter  $\alpha$   
338 is determined using the test data shown in Fig. 6, which is the only set of data which  
339 includes the stress-strain relationship and effective stress path. The model is then used to  
340 predict the  $s_u$  of all the other gassy Kaolin specimens under different combinations of  $p'_0$  and  
341  $u_{w0}$  (Fig. 7). The predicted  $s_u$  is close to the measured value for most cases except those  
342 with  $p'_0 = 100$  kPa and  $u_{w0} = 300$  kPa (Fig. 7d). Close inspection shows that the initial value  
343 of  $\frac{u_w + p_a}{p'_c}$  is the maximum for this group of tests. This means that Eq. (10) tends to  
344 underestimate the detrimental effect of gas bubbles on the shear strength of this soil at  
345 higher  $\frac{u_w + p_a}{p'_c}$ . An improved model prediction can be achieved by introducing more model  
346 parameters, which will inevitably make the parameter determination more difficult.

347

### 348 **7.3 Gassy Malaysian kaolin (Hong et al., 2020)**

349 A group of undrained triaxial tests have been carried out on gassy Malaysian kaolin (MK) to  
350 validate the model. The liquid limit and plastic limit of MK is 65% and 28%, respectively  
351 (Hong et al., 2017). According to the plasticity chart (BSI 1999), this soil can be categorized  
352 as high plastic silt. The gas used in the tests is nitrogen. To get gassy soil samples with  
353 uniform and repeatable distribution of gas bubbles, the zeolite molecular sieve technique  
354 has been used (Nageswaran 1983; Wheeler 1988; Sills et al. 1991; Hong et al., 2020). A more  
355 detailed discussion of the sample preparation method can be found in Hong et al. (2017;  
356 2020). The tests have been carried out using the GDS triaxial apparatus with a double-cell  
357 (i.e., HKUST cell (Ng et al., 2002)) and a differential pressure transducer (DPT). Before triaxial  
358 compression, each specimen was isotropically consolidated to an initial effective mean  
359 effective stress of  $p'_0 = 200$  kPa with different  $u_{w0}$ .

360 All the MCC parameters are determined using the test results in Figs. 8 and 9 on the  
361 saturated soil. In Fig. 8, the dots and lines denote the test results and model predictions,  
362 respectively. In isotropic consolidation with constant pore water pressure, there is no bubble  
363 flooding and the gas bubbles do not affect plastic hardening (Eq. 10). But there is extra gas  
364 bubble compression for unsaturated soil samples in isotropic consolidation (Eq. 14), which  
365 makes the slope of their  $e - p'$  curves higher than that of the saturated soil (Fig. 9). The  
366 model gives a unique  $e_m - p'$  relationship, which is identical to the  $e - p'$  curve for the  
367 saturated soil. The parameter  $a$  is determined using the results on gassy clay in undrained  
368 triaxial compression tests with  $p'_0 = 200$  kPa and initial pore water pressure  $u_{w0} = 150$  kPa  
369 (Fig. 10). The model predictions for the other undrained triaxial compression tests are shown  
370 in Figs. 11 and 12. In general, the model has reproduced both detrimental and beneficial  
371 effect of gas on the soil response with various combinations of  $u_{w0}$  and  $S_{r0}$ .

372 It is noticed that gassy Malaysian kaolin silt has a much smaller  $a$  than gassy Combwich mud  
373 and Kaolin (Table 1). This indicates that the gas bubbles have a much smaller detrimental  
374 effect on the  $s_u$  of Malaysian kaolin. Hong et al. (2020) have shown that this is maybe linked  
375 with the difference in the plastic index (PI) of the soil. The more detrimental effect of gas on  
376 the soil strength is observed when the PI is higher. Among the three clays, the Malaysian  
377 kaolin has the lowest PI while the Kaolin in Sham (1989) has the highest. Indeed, the  
378 parameter  $a$  is the biggest for the Kaolin and smallest for the Malaysian kaolin silt (Table 1).  
379 Therefore, the parameter could be alternatively approximated based on the PI of each soil.  
380 But the undrained triaxial tests on Malaysian kaolin silt have been performed with the same  
381  $p'_0$ . More tests on Malaysian kaolin with different  $p'_0$  need to be done to confirm the  
382 correlation between PI and  $a$ .

383

## 384 **CONCLUSION**

385 A critical state constitutive model for gassy clay is proposed, in which the soil is considered  
386 as a composite material with saturated soil matrix and cavities. The cavities tend to have a

387 damaging effect on the soil structure as the gas has high compressibility and zero shear  
388 strength. In some cases, the cavities can be flooded by pore water, which makes the  
389 saturated soil matrix partially drained in an undrained test. Bubble flooding has a beneficial  
390 effect on soil stiffness and strength. The new model has the following features:

- 391 (a) Plastic hardening of the saturated soil matrix is assumed to be affected by gas  
392 cavities to model the damaging effect of gas cavities on the soil structure. As the gas  
393 volume fraction increases, the shear stiffness and strength of the soil decreases;
- 394 (b) The beneficial effect of free gas on soil strength and stiffness is modelled by  
395 considering bubble flooding. Bubble flooding is assumed to occur in all gassy soils in  
396 shear. But the amount of bubble flooding is dependent on the stress state and pore  
397 water pressure change.
- 398 (c) There are six parameters in the model, five of which are the same as those for the  
399 MCC model. Only one extra parameter is introduced to describe the damaging  
400 effect of gas bubbles on plastic hardening of the saturated soil matrix. It can be  
401 readily determined using the triaxial compression test data. The model has been  
402 validated by the results of over 100 tests on three gassy clays.

403 Future work will be done in the two aspects: (a) The formulation for describing the plastic  
404 hardening, bubble flooding and cavity volume change need to be further improved to capture  
405 gassy clay behaviour with different properties. More extensive laboratory tests on this soil is  
406 needed for this work; (b) The model will be implemented in an open-source software  
407 package to solve real boundary value problems associated with gassy clay, enabling the  
408 assessment of geo-hazards such as submarine landslides of the gassy seabed. The main code  
409 will be modified to account for bubble flooding; (c) When a gassy soil sample is subjected to  
410 unloading, there can be gas exsolution that damages the soil structure (Sultan et al., 2012).  
411 The current model cannot capture the behaviour of gassy clay under unloading because it  
412 gives a purely elastic response. More research will be done to extend the model for such  
413 loading conditions.

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419 **LIST OF SYMBOLS**

$e$	Global void ratio
$e_0$	Initial void ratio
$F$	Yield function
$f$	Volume fraction of gas cavities
$G_m$	Elastic shear modulus of the saturated soil matrix
$K_m$	Elastic bulk modulus of the saturated soil matrix
$K_p$	Plastic modulus for the saturated soil matrix
$L$	Loading index
$p$	Total stress
$p'_c$	Initial mean effective stress
$p_m$	Total effective stress of the saturated clay matrix
$p'_m$	Mean effective stress of the saturated clay matrix
$p_a$	Atmospheric pressure
$q$	Deviator stress
$q_m$	Deviator stress of the saturated clay matrix
$S_r$	Degree of saturation
$S_{r0}$	Initial degree of saturation
$s_u$	Undrained shear strength
$u_w$	Pore water pressure
$u_{w0}$	Initial pore water pressure

$V$	Total specific soil volume
$V_c$	Specific volume of the cavity
$V_w$	Specific volume of pore water
$V_v$	Specific volume of void
$V_m$	Specific volume of the saturated soil matrix
$V_g$	Specific volume of gas bubbles
$V_f$	Specific volume of bubble flooding
$\varepsilon_a$	Axial strain
$\varepsilon_r$	Radial strain
$\varepsilon_q$	Shear strain
$\varepsilon_v$	Volumetric strain
$\varepsilon_v^c$	Volumetric strain of gas cavities
$\varepsilon_v^m$	Volumetric strain of saturated soil matrix
$\varepsilon_v^b$	Volumetric strain of water flow at the boundary
$\varepsilon_v^f$	Volumetric strain due to bubble flooding
$\varepsilon_q^m$	Shear strain of saturated soil matrix
$\varepsilon_v^{me}$	Elastic volumetric strain of saturated soil matrix
$\varepsilon_v^{mp}$	Plastic volumetric strain of saturated soil matrix
$\varepsilon_q^{me}$	Elastic shear strain of saturated soil matrix
$\varepsilon_q^{mp}$	Plastic shear strain of saturated soil matrix
$M$	Critical state stress ratio
$\sigma_a$	Total axial strain
$\sigma_r$	Total radial strain

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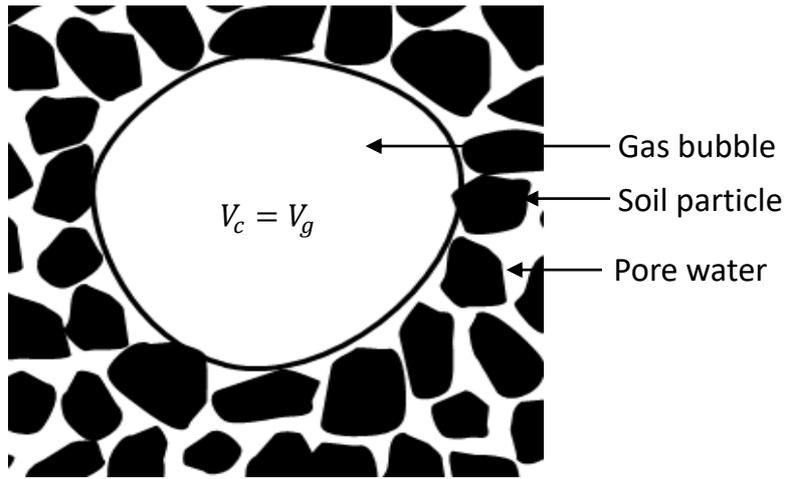
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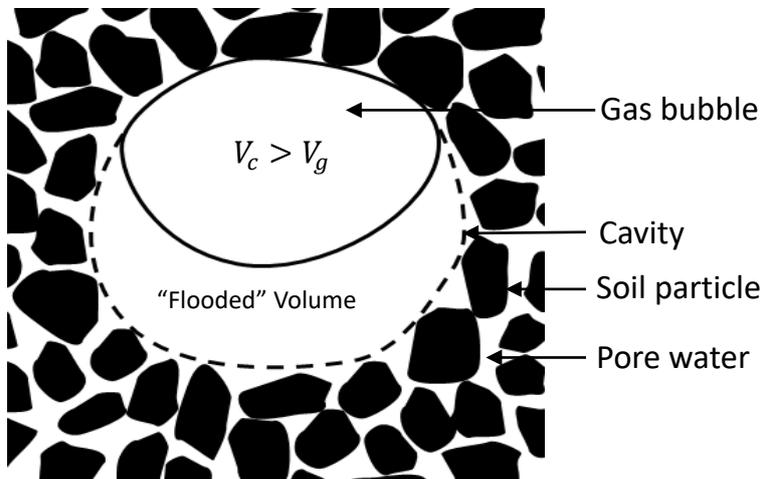
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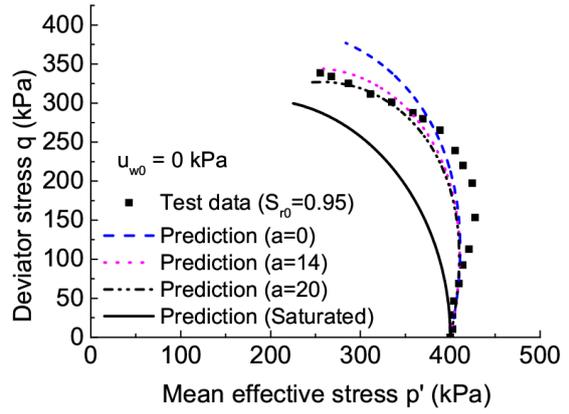
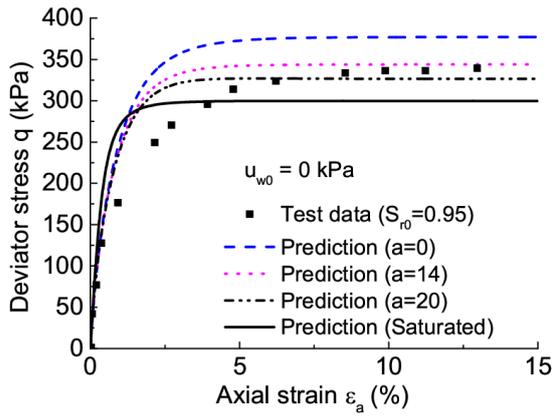


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(b)

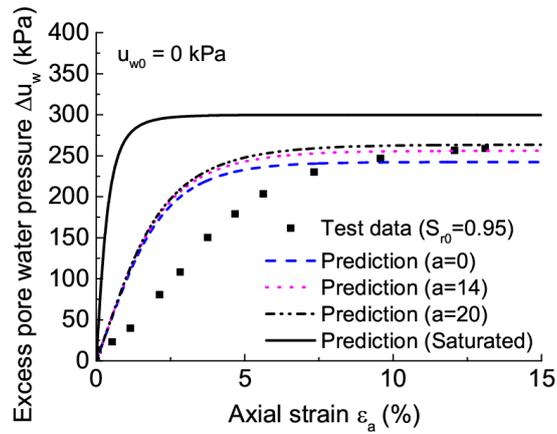
502 **Fig. 1 Gas cavities filled by (a) free gas and (b) free gas and pore water**

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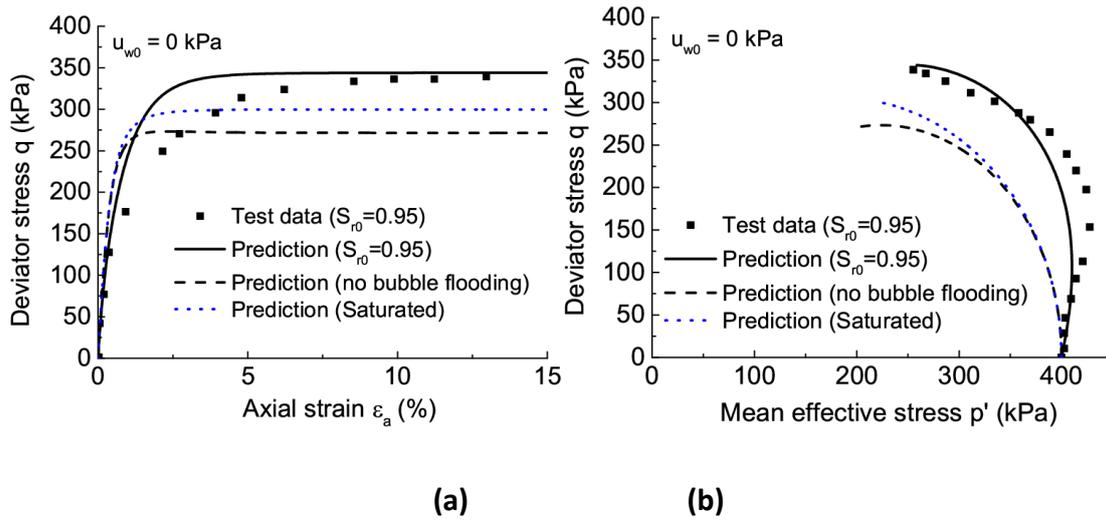
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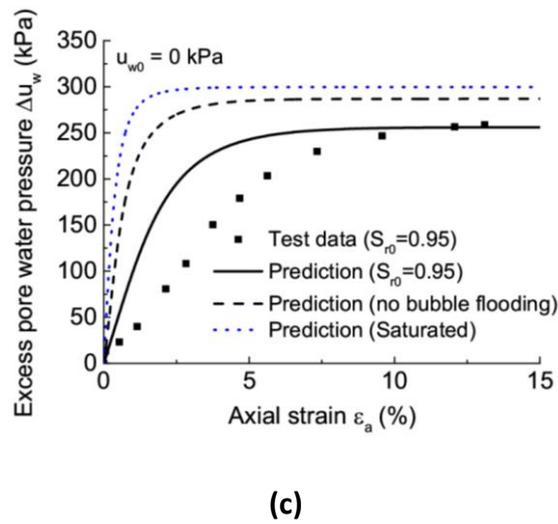
(c)

**Fig. 2 Model prediction for shear behaviour of gassy Combwich mud: (a) the  $\varepsilon_a - q$  relationship; (b) the effective stress path and (c) the evolution of excess pore water pressure**



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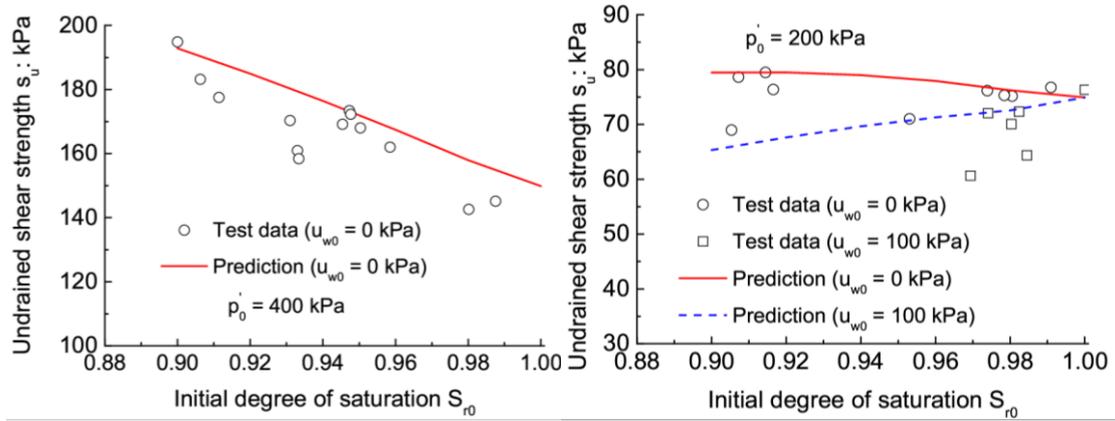


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516 **Fig. 3 Effect of bubble flooding on shear behaviour of gassy Comwich mud: (a) the  $\epsilon_a - q$**   
 517 **relationship; (b) the effective stress path and (c) the evolution of excess pore water**  
 518 **pressure**

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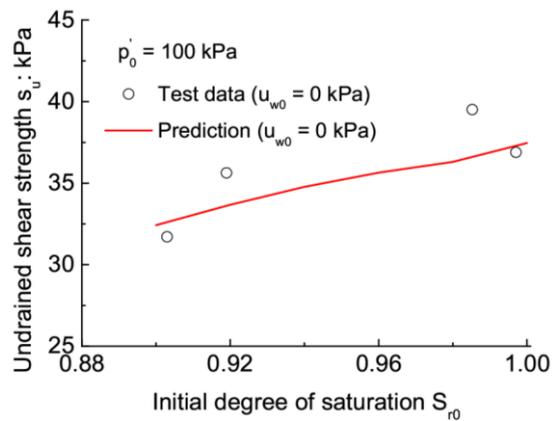


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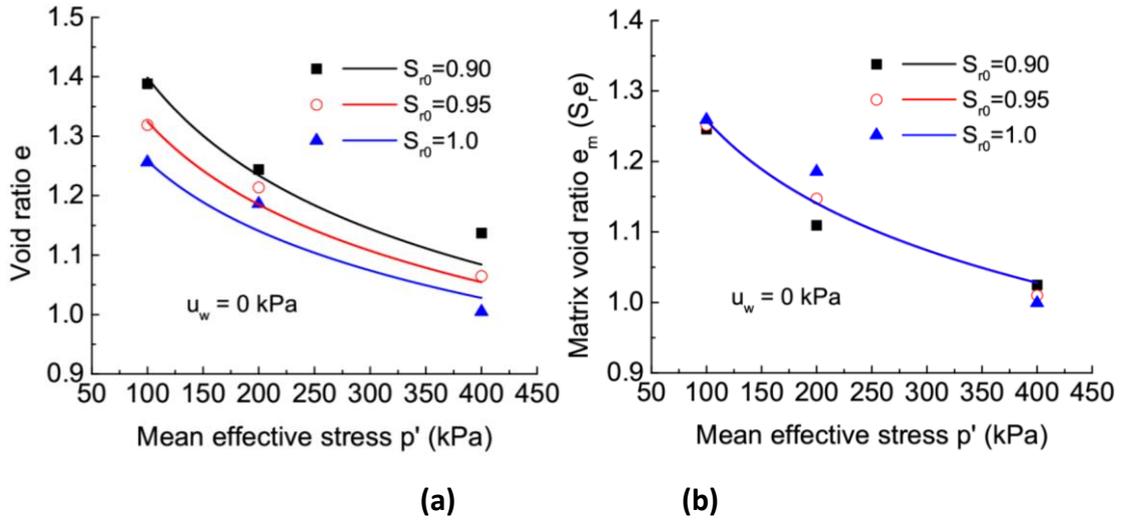
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(c)

524 **Fig. 4 Model prediction for the undrained shear strength of gassy Combwich mud: (a)  $p'_0 =$**

525 **400 kPa; (b)  $p'_0 = 200$  kPa and (c)  $p'_0 = 100$  kPa**

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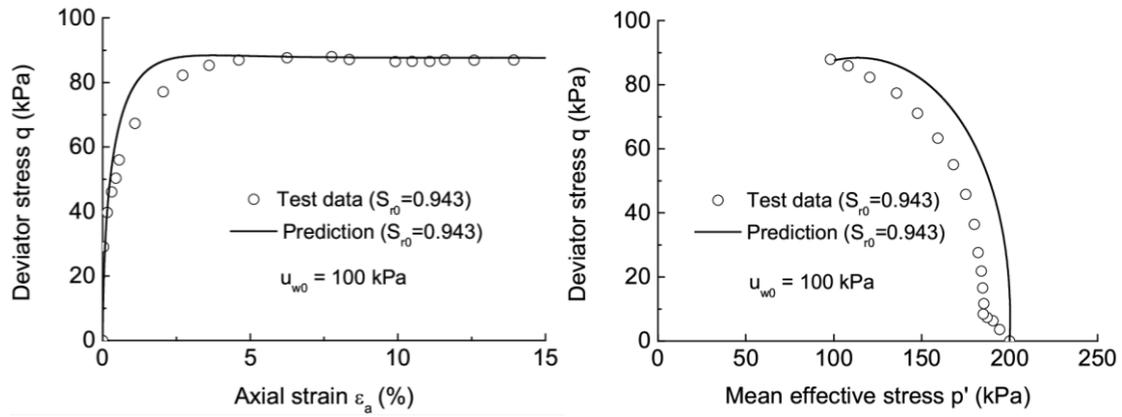


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529 **Fig. 5 Model prediction for isotropic consolidation of gassy Combwich mud: (a) the  $e - p'$**   
 530 **relationship and (b) the  $e_m - p'$  relationship**

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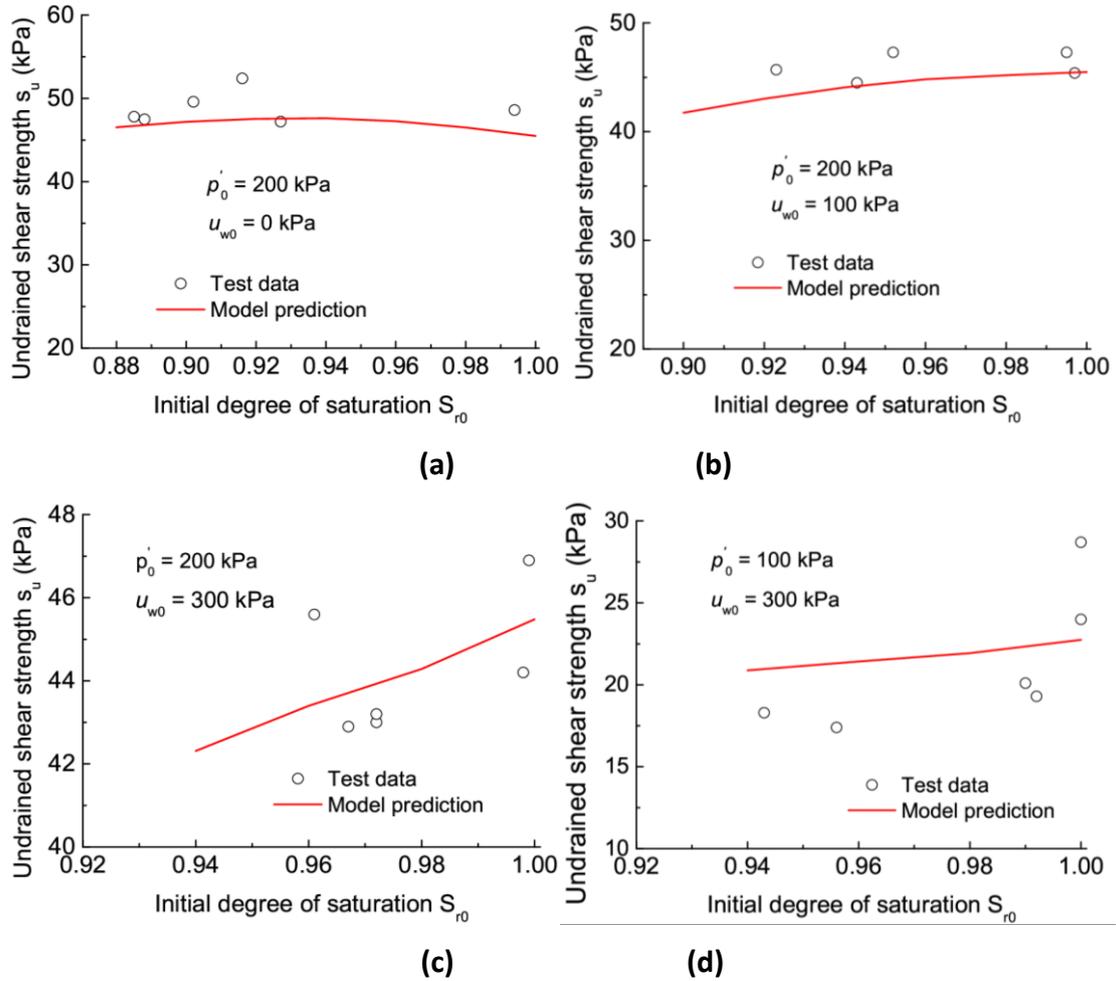
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534 **Fig. 6 Model prediction for the shear behaviour of gassy Kaolin with  $p'_0 = 200$  kPa,  $u_{w0} =$**   
 535  **$100$  kPa and  $s_{r0} = 0.943$ : (a) the  $\epsilon_a - q$  relationship; (b) the effective stress path**

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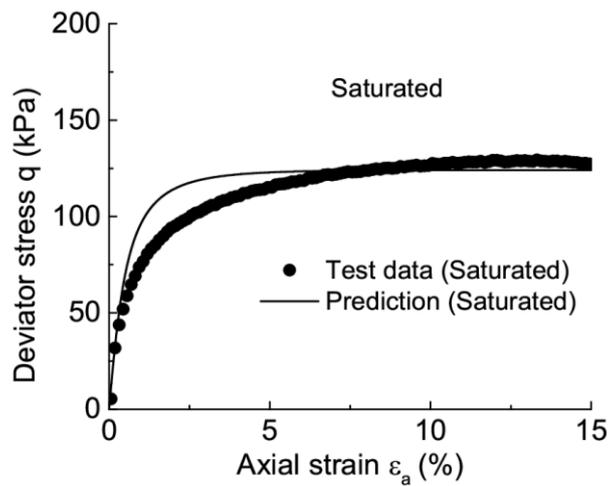
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542 **Fig. 7 Model prediction for the undrained shear strength of gassy Kaolin clay: (a)  $p'_0 =$**   
 543  **$200$  kPa and  $u_{w0} = 0$  kPa; (b)  $p'_0 = 200$  kPa and  $u_{w0} = 100$  kPa (c)  $p'_0 = 200$  kPa and**  
 544  **$u_{w0} = 300$  kPa; (d)  $p'_0 = 100$  kPa and  $u_{w0} = 300$  kPa**

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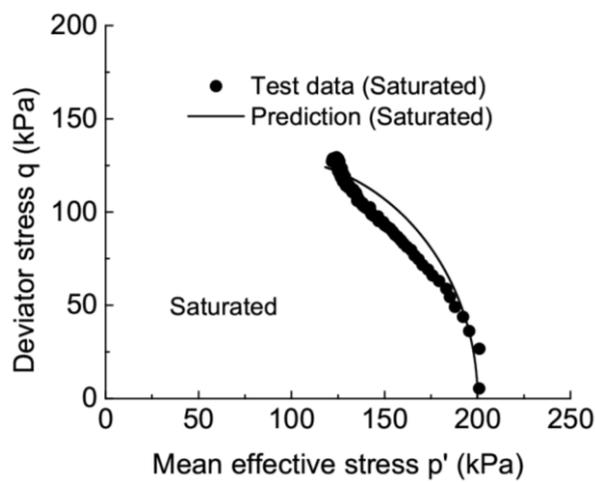
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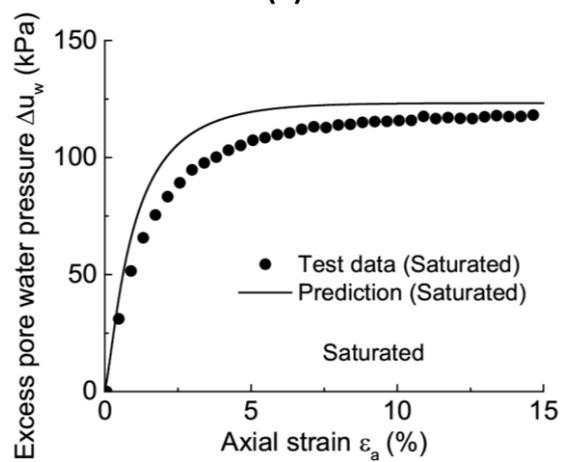
(a)



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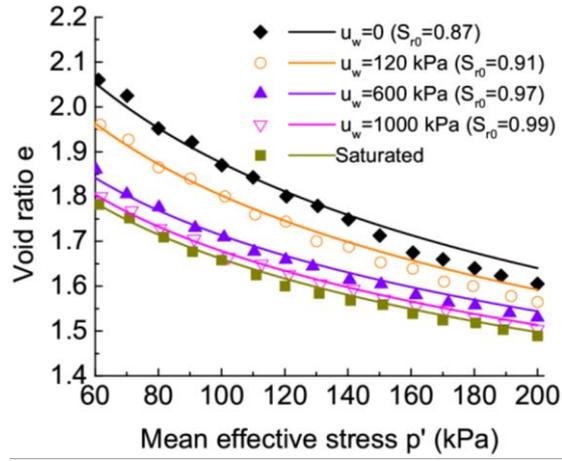
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(c)

553 **Fig. 8 Model prediction for the undrained shear behaviour of saturated Malaysian kaolin**  
554 **(a) the  $\epsilon_a - q$  relationship; (b) the effective stress path and (c) the evolution of excess**  
555 **pore water pressure**

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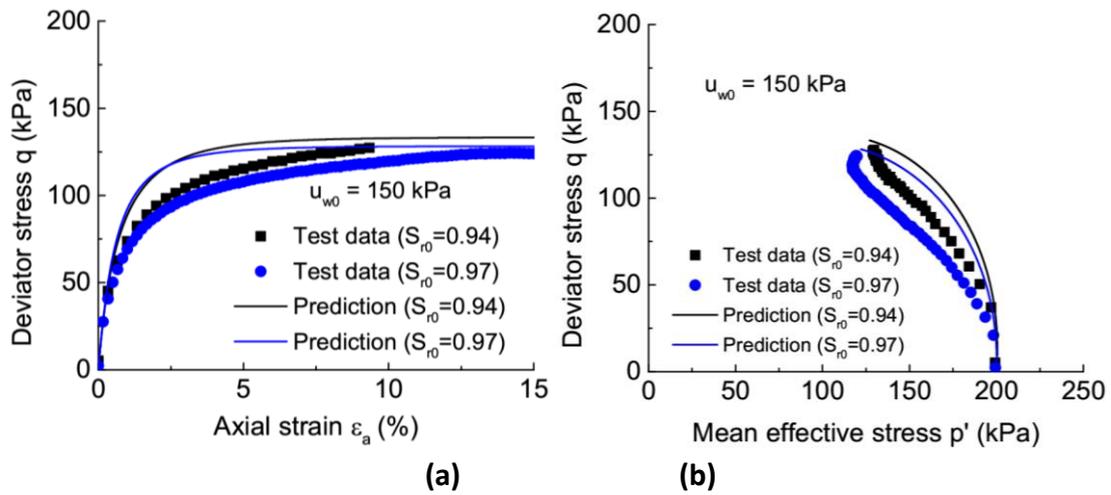


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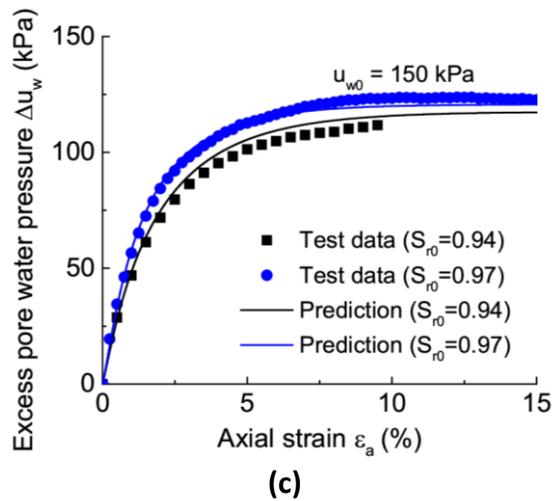
558 **Fig. 9 Model prediction for the isotropic consolidation of gassy Malaysian kaolin**

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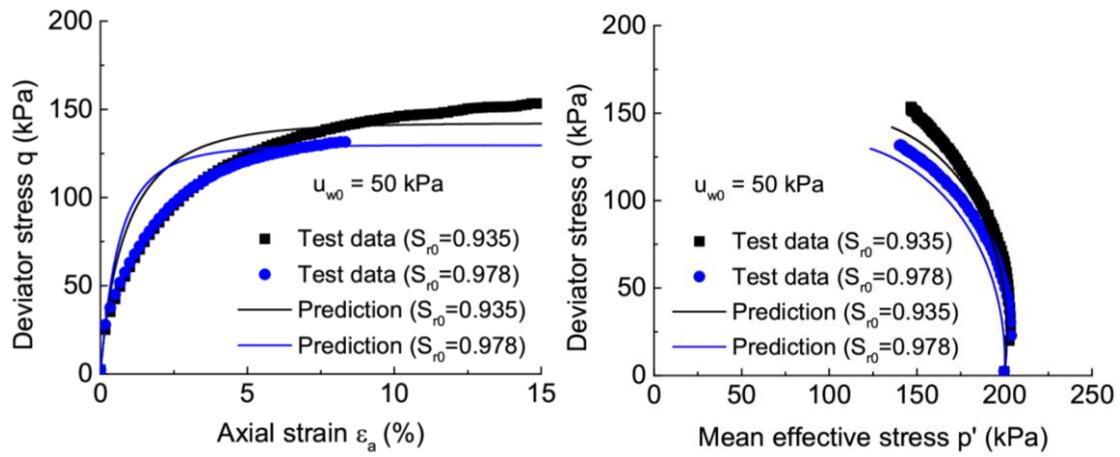
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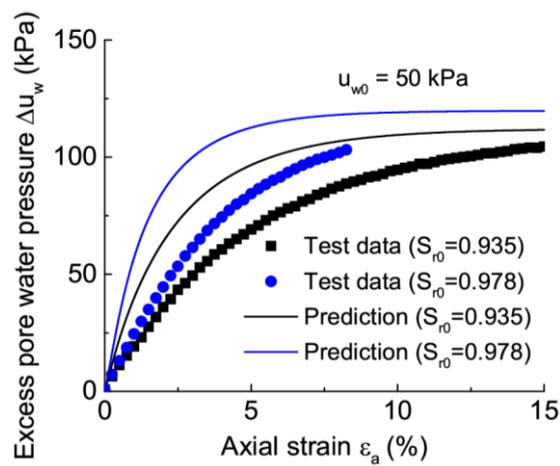
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565 **Fig. 10 Model prediction for the stress-strain relationship of gassy Malaysian kaolin with  $p'_0 =$**   
566  **$200$  kPa and  $u_{w0} = 150$  kPa: (a) the  $\epsilon_a - q$  relationship; (b) the effective stress path and**  
567 **(c) the evolution of excess pore water pressure**

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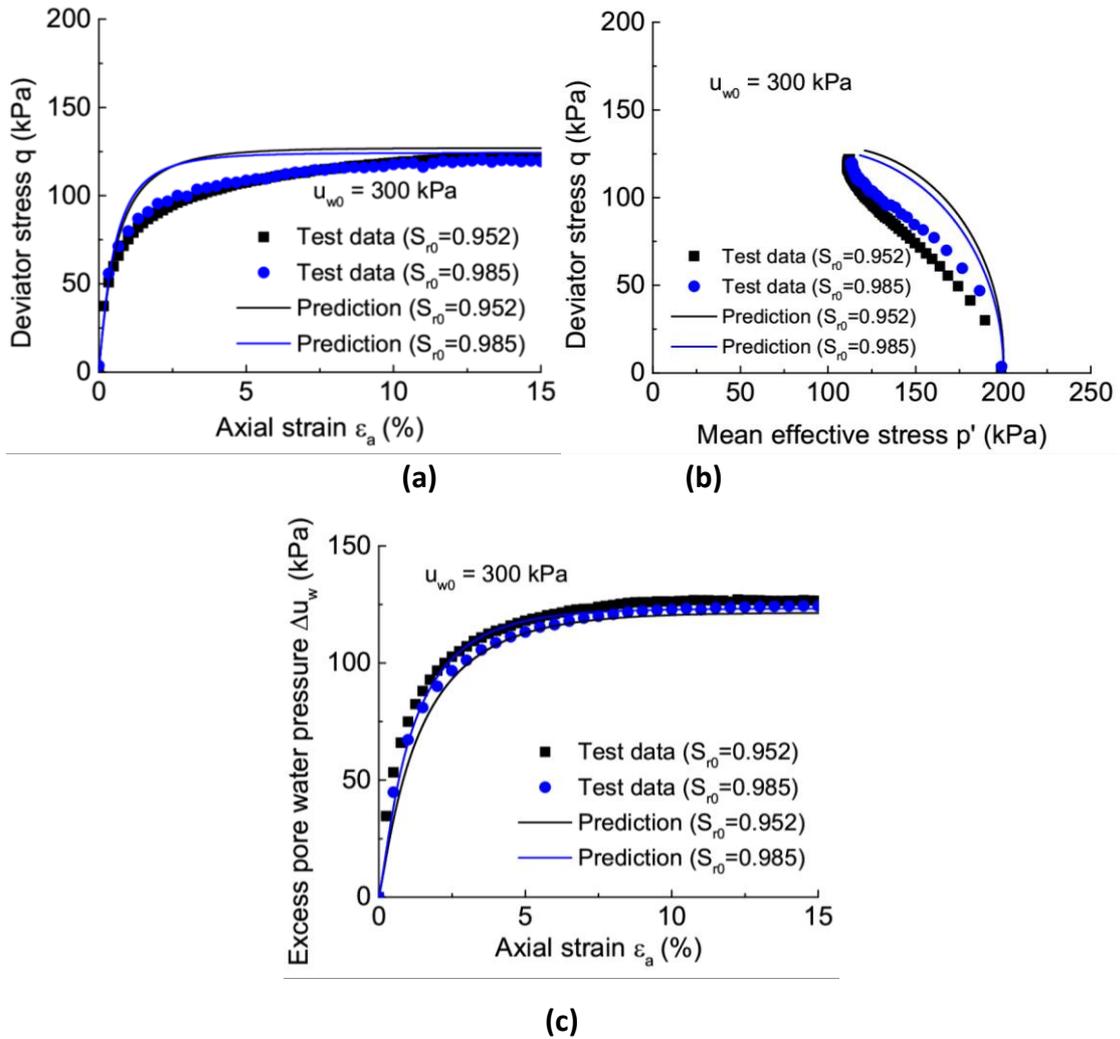


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**Fig. 11 Model prediction for the stress-strain relationship of gassy Malaysian kaolin with  $p'_0 = 200$  kPa and  $u_{w0} = 50$  kPa: (a) the  $\varepsilon_a - q$  relationship; (b) the effective stress path and (c) the evolution of excess pore water pressure**



**Fig. 12 Model prediction for the undrained shear behaviour of gassy Malaysian kaolin with  $p'_0 = 200$  kPa and  $u_{w0} = 300$  kPa: (a) the  $\varepsilon_a - q$  relationship; (b) the effective stress path and (c) the evolution of excess pore water pressure**

**Table 1 Model parameters**

Soil	$M$	$\lambda$	$\kappa$	$N$	$\nu$	$a$
Combwich mud (Wheeler, 1986)	1.33	0.174	0.0297	3.06	0.2	14
Kaolin clay (Sham, 1989)	0.87	0.23	0.014	3.35	0.2	15
Malaysian kaolin silt (Hong et al., 2020)	1.05	0.25	0.06	3.81	0.2	3