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1 **Research paper**

2

3 **Risk factors for poor colostrum quality and failure of passive transfer in Scottish dairy**
4 **calves**

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17

18 **Abstract**

19 Failure of passive transfer (FPT) has health, welfare and economic implications for calves.
20 Immunoglobulin G (IgG) concentration of 370 dairy calf serum samples from 38 Scottish
21 dairy farms was measured via radial immunodiffusion (RID) to determine FPT prevalence.
22 IgG concentration, total bacteria count (TBC) and total coliform count (TCC) of 252
23 colostrum samples were also measured. A questionnaire was completed at farm enrolment
24 to investigate risk factors for FPT and poor colostrum quality at farm-level. Multivariable
25 mixed effect logistic and linear regressions were carried out to determine significant risk
26 factors for FPT and colostrum quality.

27

28 Prevalence of FPT at calf level was determined to be 14.05 % (95%CI= 10.69-18.31,
29 n=52/370). One hundred and eleven of 252 colostrum samples (44.05%, 95%CI= 37.88-
30 50.22) failed to meet Brix thresholds for colostrum quality. Of these 28 and 38 samples also
31 exceeded TBC and TCC thresholds, respectively. Increased time between parturition and
32 colostrum harvesting was associated with a colostrum Brix result < 22% ($p=0.09$), and
33 increased time spent in a bucket prior to feeding or storing was associated with a TBC
34 $\geq 100,000\text{cfu/ml}$ ($p=0.01$) and a TCC $\geq 10,000\text{cfu/ml}$ ($p=0.03$). High TBC values in colostrum
35 were associated with lower serum IgG concentrations ($p=0.04$).

36

37 This study highlights associations between colostrum quality and FPT in dairy calves as well
38 as potential risk factors for reduced colostrum quality; recommending some simple steps
39 producers can take to maximise colostrum quality on farm.

40

41 *Keywords: FPT, colostrum, dairy calves, risk factors*

42 Calves are born agammaglobulinaemic, and are dependent on the timely consumption of
43 maternal colostrum in sufficient volume and quality to confer immunity in the first few
44 weeks of life through passive transfer (Godden *et al.* 2019). Many studies have examined
45 risk factors for failure of passive transfer (FPT) and concluded that the most influential of
46 these are colostrum management risk factors (Godden *et al.* 2019). Calves need to receive
47 between 10–15 % of their bodyweight of high quality (high IgG and low bacteria) colostrum
48 in the first six hours of life (Patel *et al.* 2014).

49

50 Neonatal calves with FPT (serum IgG concentration <10g/L) have higher incidences of calf
51 morbidity and mortality than their healthy counterparts, as well as more long-term
52 detrimental effects on productivity (DeNise *et al.* 1989; Tyler *et al.* 1999; Faber *et al.* 2005).

53

54 Colostrum quality is defined in terms of immunoglobulin G (IgG) concentration (target >
55 50g/L), and bacterial contamination (target total bacterial count (TBC) <100,000 CFU/ml,
56 and total coliform count (TCC) <10,000 CFU/ml) (McGuirk and Collins, 2004; Godden *et al.*
57 2019). Meeting these targets should ensure that calves receive the critical mass of 150–
58 200g of IgG required for adequate passive transfer (Chigerwe *et al.* 2008), although more
59 recent research suggests that approximately 300g of IgG is required (Godden *et al.* 2019).

60

61 Brix refractometry can be used to measure colostrum IgG concentration and is cheaper and
62 more expedient than laboratory-based testing. Meta analysis carried out by Buczinski and
63 Vandeweerd, (2016) determined that at a cut point of 22%, the sensitivity of the test was
64 80.2 % (95% CI=71.1 – 87.0%) and the specificity was 82.6% (95% CI=71.4 – 90.0%) when
65 compared with direct measurement of colostrum IgG.

66 Increased bacterial contamination of colostrum, specifically coliform bacteria, reduces
67 absorption efficiency of IgG. (Johnson *et al.* 2017). There are several mechanisms by which
68 this is postulated to occur. Firstly, physical binding of the IgG by microbes within the
69 gastrointestinal lumen blocks their uptake across the enterocytes. Secondly, pathogenic
70 bacteria may attach and damage intestinal cells meaning their permeability is reduced.
71 Thirdly, when these pathogenic bacteria damage intestinal cells there is accelerated gut
72 closure. Fourthly, bacteria physically block absorption channels of the immunoglobulin
73 molecules (Staley and Bush, 1985). Bacterial contamination could also include specific
74 disease-causing calf pathogens such as *E.coli*, *Salmonella* species, *Mycoplasma* species or
75 *Mycobacterium avium paratuberculosis* (Stewart *et al.* 2005). Risk factors for bacterial
76 contamination of colostrum include hygiene at harvesting, storing, preserving and
77 pasteurising (Stewart *et al.* 2005; Johnson *et al.* 2007; Donahue *et al.* 2012).

78

79 Data from UK dairy farms pertaining to colostrum quality and FPT prevalence is sparse
80 (MacFarlane *et al.* 2015; Johnson *et al.* 2017). The objectives of this study were to 1)
81 establish the FPT prevalence at calf level; 2) measure IgG concentration and bacterial
82 contamination of colostrum samples at point of feeding to neonatal dairy calves; and 3) to
83 identify risk factors associated with FPT and poor colostrum quality on Scottish dairy farms,
84 with a view to making positive recommendations to producers to improve calf
85 management. Specific hypotheses included: 1) the prevalence of FPT in the Scottish context
86 is similar to the prevalence of FPT reported in other parts of the UK and internationally; 2)
87 colostrum contamination with bacterial species exceeds internationally accepted thresholds
88 for coliforms and total bacteria counts; 3) specific farm management risk factors are
89 associated with FPT and colostrum contamination.

90

91 **Materials and methods**

92 Three hundred and ninety two, 1-7 day old female dairy calves from 38 farms were sampled
93 from the Stirlingshire, Lanarkshire and Dumfries and Galloway regions of Scotland between
94 February and June 2019 (University of Glasgow ethics number 13a18). To adequately power
95 the study, a sample size of 381 calves was required to estimate a single proportion in a large
96 population of calves, with a desired precision of 0.01 and confidence level of 0.95, assuming
97 a prevalence of FPT of approximately 25%. Every effort was made to also collect colostrum
98 samples from every neonatal calf first feed of colostrum but this was not possible in all
99 situations. Farms were conveniently selected from the client lists of two commercial
100 veterinary practices. At enrolment, a face-to-face farmer questionnaire on neonatal calf and
101 colostrum management practices was completed by one of four trained veterinarians.
102 Calves were selected based on those available in the required age range at the time of the
103 routine visit. A 20-gauge, 1-inch needle was used to collect a jugular blood sample in two
104 sterile vacutainers without anticoagulant and centrifuged to separate serum, before
105 freezing at -20°C.

106 Trained farm staff also collected 252 colostrum samples at point of feeding to neonatal
107 calves. Colostrum samples were also stored at -20°C post collection. All samples were
108 transported on ice to the University of Glasgow laboratory and stored again at -20°C until
109 further testing. Where possible calf serum samples were 'paired' with first feeding
110 colostrum samples, such that the calf sampled was fed the colostrum that was also sampled.

111 Immunodiffusion plates (Bovine IgG RID Kit, Triple J Farms, Kent laboratories) were used to
112 determine serum IgG concentration following manufacturer guidelines.

113 Colostrum samples were thawed at room temperature and vortexed (Vortex Genie 2,
114 Scientific Industries Inc.) prior to testing to ensure proper mixing. Brix refractometry was
115 used to estimate colostrum IgG concentration using the methodology described by Elsohaby
116 et al. (2017). Briefly, the Brix refractometer (Cole Parmer Refractometer w ATC, 0-32%) was
117 calibrated using distilled water and recalibrated after every 20 samples. One to two drops
118 of the sample were placed onto the prism and the refractometer was held up to a light
119 source to assess Brix percentage (%). The prism was cleaned prior to use (and after every
120 sample) using 70% ethanol to remove any residue.

121 Colostrum TBC was measured on sheep blood agar using the methodology described by
122 Ginn, Packard and Fox (1984). In summary, two dilutions were prepared for each colostrum
123 sample (1:10 and 1:100) and 0.1ml of each dilution was pipetted (Gilson 74395 Pipetman
124 Single Channel Pipette) onto 5% sheep blood agar plate (E & O Laboratories Limited).

125 Colostrum TCC was measured on Petrifilms using methodology described by Maunsell et al.
126 (1998). One millilitre of each undiluted sample was added to a Petrifilm (3M Health Care).
127 Both agar plates and Petrifilms were incubated at room temperature for 24 hours (Swallow
128 Incubator, LTE Scientific Ltd), then bacterial colonies were counted using a colony counter
129 (Stuart Scientific, Cole Palmer). If colonies were too numerous to count, the procedure was
130 repeated using 1:1000 and 1:10000 dilutions for TBC and using 1:10 and 1:100 for TCC until
131 counts could be obtained

132 *Statistical Methods*

133 All data were stored on a relational database (Microsoft Access, 2016) and spreadsheets
134 were exported to Excel (Microsoft 2016). Statistical analysis was carried out using Stata

135 (Stata/IC 15.0, StataCorp LP). Descriptive statistics were calculated for serum IgG
136 concentration and colostrum quality indicators.
137
138 Colostrum quality indicators were dichotomized according to three outcome variables of
139 interest: Brix <22% or ≥22%; TBC <100,000cfu/ml or ≥100,000cfu/ml; and TCC
140 <10,000cfu/ml or ≥10,000cfu/ml. Serum IgG concentrations were dichotomised into FPT
141 <10g/L or no FPT ≥10g/L. Intraclass correlation coefficients were calculated for each
142 outcome variable to determine clustering at the farm level. Pearson correlation coefficients
143 for continuous risk factor variables and Spearman rank correlation coefficients for
144 categorical variables were calculated.
145
146 Logistic regression models were constructed, using the dichotomised variables as the
147 outcomes of interest; initially univariable models for each of the risk factors (from the
148 farmer questionnaire) and each of the colostrum outcome variables and the serum IgG
149 outcome. Risk factors with univariable significance of $p \leq 0.2$ were included in further
150 modelling. All biologically plausible interaction terms were explored (including interactions
151 between the following: when colostrum was first collected from the dam and when it was
152 first fed to the calf; whether colostrum was stored and the timing of first feeding; the
153 volume of colostrum fed and the interval between feeds; the volume of colostrum and
154 method of feeding) and confounding variables were included if model coefficients varied by
155 >20%.
156
157 Multilevel logistic regression analysis (with farm as a random effect) was used to determine
158 risk factors associated with colostrum quality indicators (TBC, TCC and Brix) and serum IgG

159 concentration respectively. Risk factors were excluded from multivariable modelling using a
160 backwards, stepwise elimination process and the likelihood ratio test was used to compare
161 the models ($p < 0.05$).

162

163 For the subset of 'paired' colostrum and serum samples (where the sampled colostrum was
164 fed to a specific calf which was also sampled), linear regression was used to determine
165 colostrum quality risk factors for poor serum IgG concentration (and therefore FPT). Model
166 construction was as described for the logistic regression models. Postestimation and model
167 diagnostics were performed using the predict function in Stata for all multilevel logistic
168 regression modelling. Residuals were found to lie within 2 standard deviations of the mean
169 in all cases.

170

171 **Results**

172 Overall, 252 colostrum samples were available for analysis from 34/38 (89.47 % of farms,
173 $n=331$ calves) enrolled farms; as 4 farms collected serum samples only and no colostrum
174 samples. Of the calf serum samples, 370 were the available for testing and statistical
175 analysis. Twenty-two samples had to be excluded from the study due to incomplete
176 information about the calf, or poor sample handling and transportation resulting in the
177 sample being unsuitable for testing. Of the 370 serum samples obtained, 154 serum
178 samples had a 'paired' colostrum sample of the particular colostrum that the calf received.

179

180 Farmer responses to the questionnaire are detailed in the Supplementary File (Table ST1).

181

182 Descriptive statistics for colostrum quality indicators and serum IgG concentration are
183 shown in Table 1, including the proportion of samples which failed to meet industry
184 thresholds for quality. Frequency distributions of the outcomes of interest are shown in
185 Figure 1. One hundred and eleven of 252 colostrum samples (44.05%, 95%CI=37.88-50.22)
186 failed to meet Brix thresholds for colostrum quality. Of these 28 and 38 samples also
187 exceeded TBC and TCC thresholds respectively. There was positive correlation (Spearman
188 $\rho=0.62$, bootstrap 95%CI=0.52-0.72) between dichotomised TBC and TCC measurements
189 (to account for the skewed nature of the data), but no observed correlation between
190 colostrum Brix measurement and bacterial measurements (Spearman $\rho=-0.01$, bootstrap
191 95%CI=-0.2-0.03). Calves fed colostrum below the TBC threshold of 100,000 CFU/ml had
192 serum IgG concentrations 3.76g/L (95%CI= -7.19-0.12, $p=0.04$) higher than those fed
193 colostrum exceeding TBC thresholds.

194

195 Final multilevel logistic models for risk factors associated with colostrum Brix, TBC and TCC
196 and for risk factors associated with FPT (serum IgG) as the outcomes of interest are shown
197 in Table 2.

198

199 Discussion

200 This is the first Scottish study to describe the prevalence of FPT and identify possible risk
201 factors for FPT and poor colostrum quality on commercial dairy farms. The prevalence of
202 FPT in this study (14.05%, 95%CI=10.69-18.31) was lower than reported in international and
203 other UK literature, disproving the first study hypothesis. Recent North American research
204 reported a prevalence of 15.6% FPT from 2498 serum samples from 104 farms (Urie *et al.*
205 2018) , while New Zealand data reported a prevalence of FPT of 33% from 3819 serum

206 samples from 107 dairy farms (Cuttance *et al.* 2017). Johnson *et al.* (2017) reported an FPT
207 prevalence of 20.7% on 11 English farms (measured by RID using a cutpoint serum IgG
208 concentration of <10 g/L). Additionally, FPT prevalence was reported as 26% on 7 English
209 farms (MacFarlane *et al.* 2015); however in this study total protein (TP) refractometry was
210 used as a proxy for serum IgG concentration and this indirect method is known to produce
211 false positive results, consequently overestimating the prevalence of FPT (Tyler *et al.* 1996;
212 Deelen *et al.* 2014) . The current study reported across a wider range of farms in Scotland
213 and therefore may have a more realistic prevalence estimate. However, the study period did
214 not cover a whole year of production and there may be variation in time in FPT prevalence
215 with changes in management, feeding and seasonality (Godden *et al.* 2019).

216 Literature from the USA observed highly variable individual cow colostrum IgG
217 concentration ranging from <1 to 200 mg/mL, with a mean IgG concentration of 68.8 g/L (SD
218 = 32.8g/L) (Morrill *et al.* 2012). MacFarlane *et al.* (2015) observed a range of 10.3 – 34.7%
219 Brix for colostrum samples in their UK study. Similarly, the current work measured mean
220 Brix % of 22% with a range of between 11.0 – 30.0%, supporting that colostrum quality is
221 highly variable, affected by a range of cow and farm -level management factors (Godden *et*
222 *al.* 2019).

223

224 MacFarlane *et al.* (2015) found 37% of colostrum samples failed in meet industry standards
225 for quality, which is in broad agreement with the 44.05 %, 95%CI=37.88-50.22 of samples
226 (n=111/252) which failed to meet Brix % and 30.56% (95%CI=24.83-36.28) of samples
227 (n=77/252) which failed bacterial contamination thresholds in the current study (supporting
228 the second study hypothesis). Hyde *et al.* (2020) also measured colostrum contamination

229 from 59 UK dairy farms and found that 29.6% samples had TBC results above thresholds (in
230 broad agreement with the proportion failing to meet thresholds in the current study),
231 however only 7.6% had coliform counts above thresholds in comparison with 19.8% in the
232 current work. In addition, in the current study, 15.48% (95%CI=10.98-19.97) of samples
233 (n=39/252) failed both quality measures (Brix and TBC). The proportion of colostrum
234 samples exceeding TBC threshold ranged from 35.95 – 42% in North America and Australia
235 respectively (Fecteau *et al.* 2002; Morrill *et al.* 2012; Phipps *et al.* 2016). Interestingly,
236 Morrill *et al.* (2012) and Phipps *et al.* (2016) found only low percentages of colostrum
237 samples (0-6%) exceeding TCC thresholds in USA and Australia respectively; in contrast to
238 relatively high coliform contamination observed in the current UK work (19.84%,
239 95%CI=14.88-24.80) of samples exceeding coliform thresholds of 10,000CFU/ml). This could
240 be explained by differences in sampling methodology and sampling site: direct from
241 mammary gland, test bucket or feeder. Stewart *et al.* (2005) observed lower bacterial
242 counts when colostrum was sampled direct from the mammary gland compared with
243 sampling from a collection bucket; however, Phipps *et al.* (2016) sampled colostrum at
244 point of feeding from feed troughs or buckets so results are comparable to the current
245 study. It has been suggested that freezing destroys some types of coliforms, such as *E.coli*;
246 however, this is contentious (Fecteau *et al.* 2002). All samples were frozen prior to analysis,
247 so contamination rates may have been higher than measured since freezing of samples may
248 have destroyed some bacteria (Alrabadi *et al.* 2015). All farmers surveyed asserted that
249 they cleaned colostrum harvesting, storage and feeding equipment regularly, however
250 clearly attention to detail and thorough cleaning is lacking, and more work is needed to
251 ascertain whether Scottish farmers are using hot water and detergent and scrubbing fatty
252 colostrum residues as these data would suggest not.

253

254 High colostrum TBC was significantly associated with lower serum IgG concentrations, in
255 concordance with other work examining the relationship between artificially lowering
256 colostrum bacterial contamination (particularly coliforms counts) through heat treatment
257 and calf serum IgG concentrations (Godden *et al.* 2012). In the current study, TCC was
258 correlated with TBC ($r = 0.75$) and the significant serum IgG association was with TBC, not
259 TCC.

260 Feeding calves 4.5-5 litres of first milking colostrum at their first feed was protective against
261 FPT (OR=0.02, $p=0.02$), indicating that it may be possible to overcome the effects of low IgG
262 colostrum by feeding a higher volume of colostrum (beyond the 10-15% of bodyweight
263 recommendation) (Godden *et al.* 2019).

264 An inverse relationship between colostrum IgG and time from calving to first colostrum
265 harvest has been substantiated in previous literature (Morin *et al.* 2010; Reschke *et al.*
266 2017). A reduction in IgG concentration post calving was quantified by Morin *et al.* (2010) as
267 3.7% Brix each hour post calving. Additionally, Moore *et al.* (2005) showed that delaying
268 harvest of colostrum for 6, 10, or 14 hours after calving resulted in a 17%, 27%, and 33%
269 decrease in colostrum IgG concentration, respectively.

270

271 Leaving colostrum sitting in a bucket (particularly for more than 6 hours) after harvest was
272 associated with an increased risk of exceeding TBC and TCC thresholds in agreement with
273 other North American work (Fecteau *et al.* 2002; Stewart *et al.* 2005). The more time that
274 colostrum is left sitting in a bucket post-harvest, especially at ambient temperatures
275 increases the opportunity for contamination with faecal and environmental bacteria and

276 bacterial multiplication (Stewart *et al.* 2005). In order to reduce the opportunity for
277 bacterial contamination, Morrill *et al.* (2012) concluded that storage method had a
278 significant impact on bacterial contamination and recommended that colostrum should be
279 fed fresh from the dam or frozen immediately. Encouraging producers to feed colostrum
280 immediately or to store (ideally freeze at -20°C) as soon as possible after harvesting should
281 reduce the risk of high TBC and TCC, therefore improving IgG absorption efficiency and
282 reducing FPT prevalence; and should be readily achievable for most producers with only a
283 small capital investment in a suitable freezer and freezer thermometer. Questionnaire data
284 showed that very few Scottish farmers (n=9/34, 26.47%) had a thermometer or monitored
285 the temperature of their freezer (n=5/9, 55.56%). Moreover, none of the appliances used to
286 store colostrum at low temperatures on study farms met required refrigeration or freezing
287 temperatures (of 4°C and -20°C) (as recommended by Stewart *et al.*, 2005).

288

289 In conclusion, this study suggests that a high proportion of Scottish neonatal dairy calves are
290 at risk of FPT through feeding of low IgG concentration and highly contaminated colostrum.
291 Advising producers to minimise the time between parturition and colostrum harvesting (to
292 maximise IgG concentration) and minimise time sitting in a bucket prior to feeding (to
293 minimise bacterial contamination) could improve colostrum quality and reduce FPT
294 prevalence on Scottish dairy farms. Farmers should be encouraged to feed 10-15% of the
295 newborn calf's bodyweight in first feed colostrum to mitigate the risk of FPT. This data
296 supports the third study hypothesis that specific farm management risk factors are
297 associated with increased risk of FPT and colostrum contamination.

298 **Conflict of interest statement**

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312

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405

406 **Tables**

407 Table 1. Descriptive statistics for serum (n=370) IgG concentration (determined by RID) from
 408 1-7 day old calves and for colostrum samples (n=252) collected from 38 Scottish dairy farms
 409 between February – June 2019

Measure	N	Mean	Median	SD	Min	Max	Proportion (%) failing to meet c (n, 95%CI)
Serum IgG (g/L)	370	21.42	21.25	10.95	0	55.00	14.05 (52, 10.69-18.8)
Colostrum Brix (%)	252	22.01	22.01	4.31	11.00	30.00	44.05 (111, 37.88-50.0)
TBC (CFU/ml)	252	4.57x10 ⁶	2.15x10 ⁴	2.67x10 ⁷	100	2.60x10 ⁸	30.56 (77, 24.83-36.0)
TCC (CFU/ml)	252	6.80x10 ⁴	415	2.74x10 ⁵	0	2.00x10 ⁶	19.84 (50, 14.88-24.8)

410 Footnotes: ^aSerum IgG <10g/L indicates inadequate passive transfer ^bBrix thresholds of <22% indicates poor quality colostrum ^cTotal
 411 bacteria counts ≥100,000 CFU/ml indicates poor quality colostrum

412 ^dTotal coliform counts ≥10,000 CFU/ml indicates poor quality colostrum

413

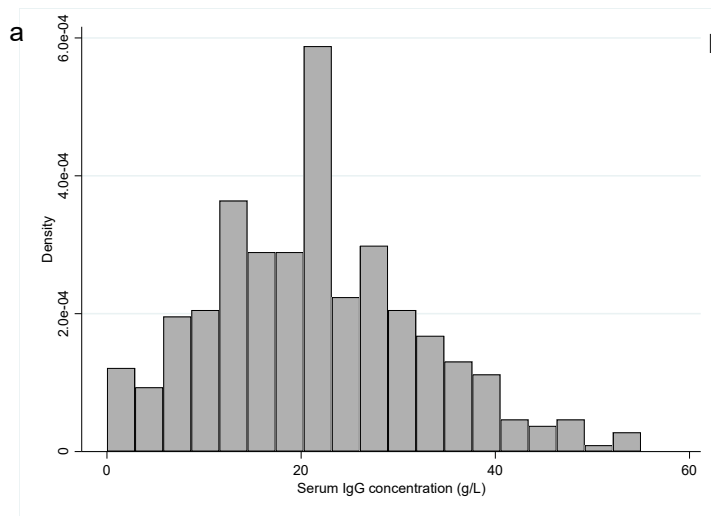
414

415 Table 2: Final multilevel logistic model of farm management risk factor variables (collected
 416 by questionnaire) associated with colostrum quality in first milking colostrum fed to dairy
 417 calves (n=252) and FPT (n=331) from 34 Scottish dairy farms sampled February- June 2019.
 418

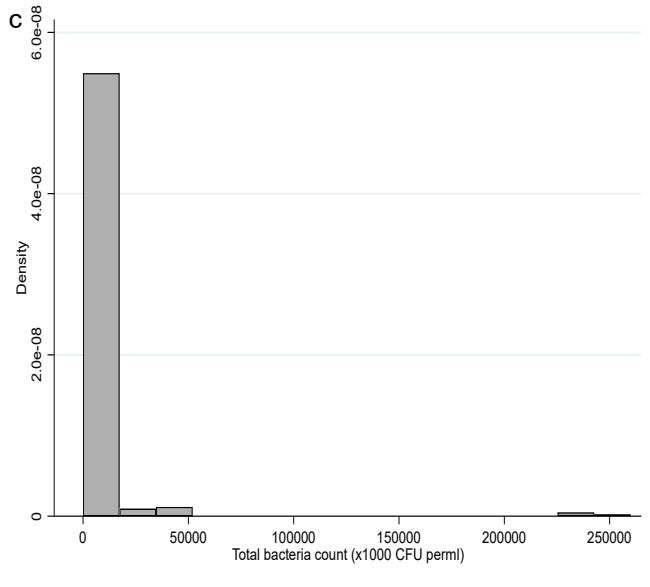
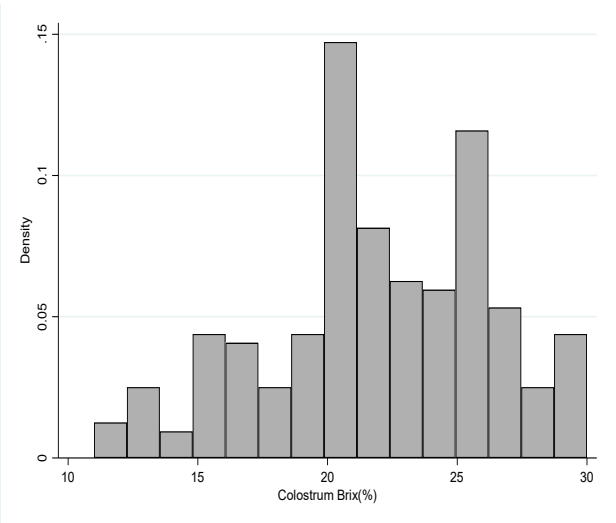
Outcome	Risk factor	Category	coefficient	OR	95% CI	p
Brix ≥22%	Harvesting colostrum post calving	<6 hours	ref	ref	ref	ref
		≥6 hours	-0.77	0.45	-1.65-0.12	0.09 ^{ns}
TBC ≥100,000CFU/ml	Colostrum sitting in bucket post harvest	No	ref	ref	ref	ref
		Yes	3.33	28.09	0.66-6.00	0.01
TCC ≥10,000CFU/ml	Time colostrum sits in bucket before feeding	<6 hours	ref	ref	ref	ref
		≥6 hours	2.44	11.46	0.18-4.70	0.03
FPT (serum IgG concentrations <10g/L)	Volume of colostrum fed to newborn calves at first feed	<2 litres	ref	ref	ref	ref
		2.5-3 litres	-2.22	0.11	-4.43- -0.01	0.05
		3.5-4 litres	-2.12	0.12	-4.28-0.03	0.05
		4.5-5 litres	-3.76	0.02	-6.89- -0.62	0.02

419 Footnote ns= not significant

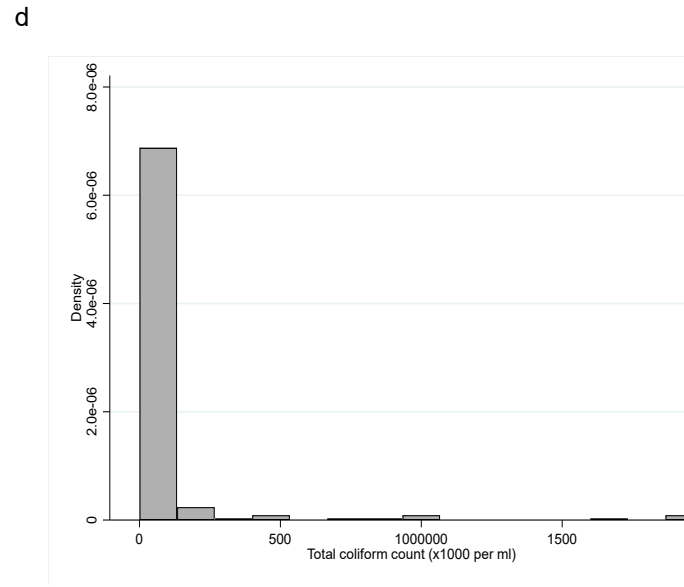
420 **Figure 1.**
421



422



423



424 **Figure Legend**

425 Figure 1. Frequency distributions of a) IgG concentrations (g/L) of serum samples from 1-7
426 day old calves (n=370) b) Brix (%) of colostrum (n=252) c) Total bacteria count of colostrum
427 (CFU/ml) (n=252) d) Total coliform count of colostrum (CFU/ml) (n=252) collected from 38
428 Scottish dairy farms sampled between February and June 2019.

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430