



Liang, Y., Mckeown, A., Yu, Z. and Alshammari, S. F. K. (2021) Experimental study on a heat driven refrigeration system based on combined organic Rankine and vapour compression cycles. *Energy Conversion and Management*, 234, 113953.

(doi: [10.1016/j.enconman.2021.113953](https://doi.org/10.1016/j.enconman.2021.113953))

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Deposited on: 12 February 2021

1 **Experimental study on a heat driven refrigeration system based**
2 **on combined organic Rankine and vapour compression cycles**

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12
13 **Abstract**

14 Waste heat recovery has been considered as an attractive technique to improve the
15 overall energy utilization efficiency of internal combustion (IC) engines. In this paper,
16 as distinct from most past research work, a thermally driven refrigeration system based
17 on combined organic Rankine and vapour compression cycles is proposed to recover
18 the IC engines' waste heat contained in the cooling water. Based on the proposed
19 concept, a lab-scale prototype has been designed and constructed using off-the-shelf
20 components to prove the feasibility of producing refrigeration for ships and refrigerated
21 lorries. In this prototype, the power generated by the Organic Rankine cycle (ORC) is
22 used to drive the compressor of a Vapour Compression Cycle (VCC) through a belt
23 transmission mechanism. Pentafluoropropane (R245fa) and Tetrafluoroethane (R134a)
24 are used as the working fluids for ORC and VCC systems, respectively. An electrical
25 water heater is used to simulate the cooling jacket, while a cooling enclosure is used to
26 simulate the cooling load. With the hot water at a temperature around 95 °C, the system

27 produces around 1.8 kW refrigeration effect at -4 °C, leading to an overall heat-to-
 28 cooling efficiency of 0.18, which is defined as the ratio of the cooling capacity of the
 29 refrigerator to the heat input to the ORC power plant. The system performance could
 30 be significantly improved if optimal components could be utilized.

31

32 **Key words: Organic Rankine Cycle, Vapour Compression Cycle, Waste Heat**
 33 **Recovery, Transport Sector, Transient**

34

Nomenclature		<i>m</i>	Mass flow rate (kg/s)
COP	Coefficient of Performance	<i>Q</i>	Heat (kW)
ORC	Organic Rankine Cycle	<i>W</i>	Power (kW)
VCC	Vapour Compression Cycle	<i>η</i>	Efficiency
TEV	Thermostatic Expansion Valve	P	pressure
SR	Speed Ratio	Subscript	
IC	Internal Combustion	<i>Cond</i>	Condenser
Gt	Gigaton	<i>Exp</i>	Expander
GHG	Greenhouse gas	<i>Com</i>	Compressor
PID	Proportional-Integral-Derivative	<i>Eva</i>	Evaporator
PCI	Peripheral components Interconnect	<i>c</i>	Cooling
EEV	Electronic expansion valve	<i>f</i>	Fluid
Symbols		<i>p</i>	Circulation pump
<i>h</i>	Specific enthalpy (kJ/kg)	<i>H-C</i>	heat-to-cooling

35

36 **Introduction**

37 Global CO₂ emissions in 2019 still remains at a high level (around 33 Gt) in spite
38 of the rapid increase of renewable power production (mainly wind and photovoltaic)
39 and fuel switching from coal to natural gas [1]. In the UK, transport is the largest
40 contributor to its domestic greenhouse gas (GHG) emissions, contributing 28% of its
41 domestic emissions in 2018 [2]. The decarbonisation of the world's economy to
42 mitigate the impact of climate change will require us to substantially decarbonise the
43 transport sector.

44 In most IC engines, around 50-65% of the thermal energy produced by burning
45 fossil fuel is eventually discharged to the environment as waste heat through the engines'
46 jacket water and exhaust gas. Roughly, half of the waste heat is carried away by exhaust
47 gases, and the other half is taken away by cooling water running through the cooling
48 jacket [3]. Therefore, it is important to improve the thermal efficiency of engines by
49 means of waste heat recovery, which is considered to be the most promising way to
50 improve the IC engine performance in the next 30 years.

51 Energy recovery from engine waste heat has attracted considerable academic and
52 industrial research efforts. However, the recovered heat from exhaust gas is normally
53 converted to electricity via different technologies such as thermoelectric generator and
54 organic Rankine cycle power plants and less attention has been paid to the other types
55 of useful output, such as cooling. For vessels, particular fishing boats, refrigeration
56 plants are important auxiliary systems to provide air-conditioning, ice-making, and
57 medicine or food preservation [4], typically powered by separate on board engines or

58 generators. Considering the large quantity of waste heat discharged by IC engines and
59 the vessels demand for cooling, waste heat driven cooling technologies potentially offer
60 an alternative solution.

61 There are a variety of thermally powered refrigeration technologies including
62 absorption [5,6], adsorption [7], and combined Organic Rankine cycle – Vapour
63 Compression Cycle (ORC-VCC) systems [8]. Absorption refrigeration plants have
64 been widely studied and applied to industry and district cooling networks, showing
65 remarkable energy saving benefits [9-11]. However, absorption chillers are generally
66 used for large-scale stationary applications, due to their higher complexity and large
67 space requirement. Moreover, the coefficient of performance (COP) is generally low
68 for single-stage absorption cycle systems ($COP < 0.7$) using LiBr-H₂O pair and even
69 lower for systems using ammonia-water (NH₃-H₂O) as working fluids [12]. Adsorption
70 heat pumps or refrigerators are still at the earlier stage of lab development, and they are
71 unsuitable for mobile applications.

72 On the other hand, some effort has been devoted to the integration of ORC power
73 plant waste heat recovery system with vapour compression refrigeration systems to
74 develop a new type of heat driven cooling technology. The concept of combining ORC
75 with VCC was proposed as an alternative refrigeration method by Prigmore and Barber
76 [13]. The ORC-VCC combined cycle system is an alternative to the absorption cooling
77 cycle, which can provide either cooling or electricity when cooling is not required,
78 increasing the operational flexibility and improving the economic profitability [14,15].

79 Wali [16,17] compared the performance of solar powered ORC-VCC systems for

80 building cooling applications with five different working fluids. Liang [18] numerically
81 compared two different layouts of ORC-VCC, one of which uses a belt transmission
82 unit and the other is directly coupled using a common drive. Although directly driven
83 units are more compact and reliable, the belt transmission unit results in a better
84 performance since it enables the ORC-VCC to independently operate at their optimal
85 conditions. To study the transient performance of such a concept, Kutlu et al.
86 theoretically investigated a solar powered ORC-VCC system by considering the off-
87 design behaviour of the system as a result of natural transient nature of solar energy
88 [19].

89 To simplify the system structure for downsizing, Aphornratana and Sriveerakul [20]
90 proposed a novel ORC-VCC concept, of which the compressor and expander are
91 integrated in the same unit, using the same working fluid and sharing the same
92 condenser. Bu et al. [21-23] carried out a series of investigations on the working fluid
93 of ORC-VCC ice makers and found that n-butane (R600) is the most suitable working
94 fluid. Based on such a system with simple structures and convenient maintenance, Bao
95 [24] carried out performance comparison between using single fluid and dual fluid and
96 concluded the best option for different conditions.

97 In addition to the above studies, several other researchers [25-28] also reported the
98 performance of the ORC driven VCC for heating purposes. In our previous study [29],
99 a novel ORC-VCC was proposed for heating purposes. Different from the other systems,
100 the water is heated in two stages, firstly in the VCC condenser at a lower temperature
101 and then in the ORC condenser at a higher temperature. The integration of ORC with

102 VCC in this way enables the utilisation of the low-temperature condensation heat of the
103 vapour compression cycle.

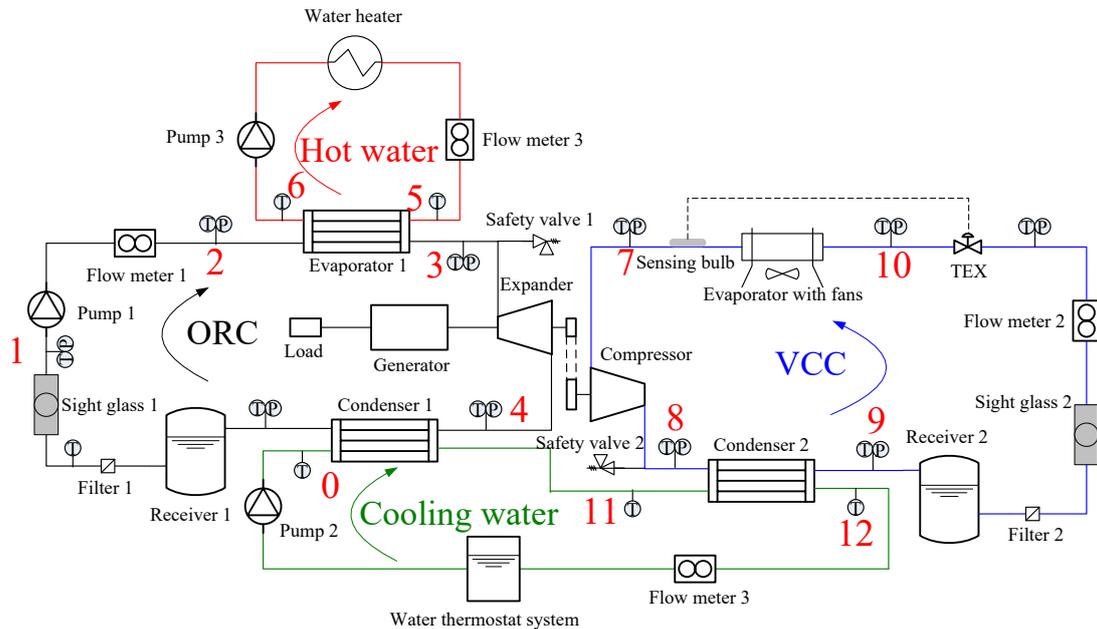
104 Although there are numerous theoretical investigations on the combined ORC with
105 VCC systems, the system performance was evaluated based some assumption,
106 including fixed efficiency of components, fixed losses and steady conditions. However,
107 the operation of such combined systems will be significantly affected by many factors
108 during the practical operation, some of which can't be ignored or can't be given as fixed
109 value. Therefore, prototyping and experimental research are very important to verify
110 the theory and modelling. A comprehensive literature review shows that the
111 experimental research is scarce, and only one experimental study on the ORC-VCC
112 system was carried out by Wang [14]. Their ORC expander and the VCC compressor
113 shared a common drive shaft to reduce energy conversion losses. However, the rotation
114 speed and torque of the VCC compressor are exactly the same as that of the ORC
115 expander. Meaning that the ORC and VCC can't be operated at their own optimal
116 conditions simultaneously because the ORC's optimal condition is decided by the heat
117 source and the VCC's optimal condition is decided by the refrigeration requirement.

118 In the present paper, a lab-scale prototype of the proposed ORC and VCC system
119 has been designed and constructed using off-the-shelf components, based on which a
120 comprehensive experimental evaluation was carried out to determine the feasibility of
121 producing refrigeration to meet the cooling/refrigeration requirements for shipping by
122 heat recovery of the engine's jacket water. Different from Wang's study[14], a belt
123 transmission unit is used in the present study to change the rotational speed ratio

124 between ORC and VCC to find out the optimal way to connect the expander and
 125 compressor as their torque profiles differ each other. Furthermore, the prototype has
 126 been tested under both steady state and transient state conditions to understand its
 127 dynamic operational characteristics.

128

129 **2 Experimental setup and procedure**



130

131 Figure 1. Schematic diagram of ORC-VCC combined system

132 As schematically shown in Fig.1, a small-scale heat driven refrigeration system
 133 that integrates an ORC power plant with a vapour compression refrigerator was
 134 designed and constructed using off-the-shelf components.

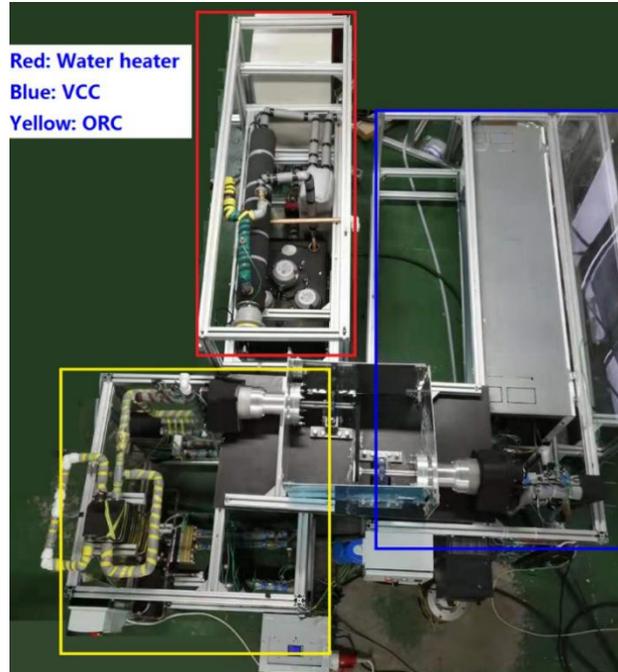
135 In the ORC subsystem (the loop with black line in Fig.1), R245fa is used as the
 136 working fluid due to its desired thermodynamic properties, low toxicity, low
 137 flammability, and low corrosiveness. The ORC subsystem consists of an oil-free scroll
 138 expander with a rated power output of 1 kW, an evaporator (a plate heat exchanger),
 139 two condensers in parallel (plate type) and a diaphragm working fluid circulation pump.

140 The motor connected to the circulation pump is wired with a variable-frequency
141 inverter, which is used to regulate the flow rate of R245fa. Hot water provided by an
142 18 kW water heater (the red part in Fig.2) is used as the heat source to simulate the
143 cooling jacket water of IC engines. The hot water temperature is controlled by a
144 Proportional-Integral-Derivative (PID) controller, which maintains the water
145 temperature at a desired set point. The hot water is circulated by domestic central
146 heating pump, rated to a maximum flow rate of 3.3 m³/h.

147 In the VCC subsystem, R134a is used as the refrigerant, which is widely used in
148 various mobile air-conditioning applications. The VCC compressor is connected with
149 the ORC expander via a belt transmission unit, of which the expander-compressor speed
150 ratio can be adjusted by changing pulleys with different sizes. The VCC subsystem
151 consists of an oil-free scroll compressor, a fin-tube evaporator with 3 electrical fans, a
152 thermostatic expansion valve (TEV) and a condenser (a plate heat exchanger). A filter
153 is installed at the receiver tank outlet to remove impurities and a sight glass is installed
154 at the filter outlet to check the state of the refrigerant. A photo of the prototype is shown
155 in Fig.2. The specifications of the main components of the prototype are listed in Table
156 1.

157 The cooling water temperature can be regulated by changing the mixing ratio of
158 cold and hot water steams, varying from 10 to 35 °C. As shown in Fig.1, the cooling
159 water firstly flows through the ORC condenser and then the VCC condenser. The VCC
160 evaporator is placed in an enclosure with dimensions of 1.7m×1.4m×0.8m. The fans
161 circulate the air flow inside the enclosure. To prevent heat leakage from the ambient to

162 the enclosure, it has been insulated using black Nitrile rubber sheets with thickness of
 163 10 mm.



164
 165 Figure 2. Layout of the ORC-VCC test system

166 As shown in Fig.1, a number of K-type thermocouples and pressure transducers
 167 are installed to measure the temperature and pressure at important test points in the
 168 prototype. The specifications of the test equipment are also listed in Tab.1. The test
 169 apparatus is equipped with a PCI 16-Bit 1MHz multifunction data acquisition board
 170 linked to a computer. According to the measured temperature, pressure and flow rate,
 171 the important thermodynamic parameters, including density, enthalpy and entropy at
 172 different states, were then determined using Matlab code and the Refprop database [29].

173 Table 1. Specifications of main components and test equipment

Components	Specifications
Expander	Rated power of 1kW, oil-free, Scroll type, maximum speed of 3600 rpm, volume ratio of 3.5
Condenser-ORC	Two plate type condensers in parallel

181
$$Q_{cond,VCC} = m_{f,VCC}(h_8 - h_9) \quad (4)$$

182 The ORC was connected to the VCC by using a belt transmission unit. The
 183 mechanical loss through the belt power transmission system is ignored and the
 184 compressor power is assumed to be equal to that generated by the expander.

185
$$W_{com} = W_{exp} \quad (5)$$

186 As the ORC-VCC is essentially a heat driven refrigeration system, the heat-to-
 187 cooling efficiency is defined to evaluate the system performance of the combined
 188 system as:

189
$$\eta_{H-C} = \frac{Q_{eva,VCC}}{Q_{eva,ORC}} = COP_c \left(\eta_{ORC} + \frac{W_p}{Q_{eva,ORC}} \right) \quad (6)$$

190 The expander-compressor speed ratio is defined as the ratio of the expander speed
 191 to the compressor speed:

192
$$SR = \frac{n_{exp}}{n_{com}} \quad (7)$$

193

194 **3 Results and discussion**

195 The reading of the thermocouples, pressure transducers, and flow meters are
 196 recorded using the data acquisition system at a sampling frequency of 0.2 Hz. Both the
 197 steady and transient behaviour of the combined ORC-VCC system have been tested.

198 **3.1 Uncertainty analysis**

199 The performance of the system was measured at various inlet temperatures of heat
 200 source and sink, when the flow rates of working fluids and cooling water varied. The
 201 accuracy of measured parameters listed in Table. 1 were considered with the system
 202 error propagation. The Kline and McClintock relationship [33] has been employed to

203 calculate the total uncertainty of heat-to-cooling efficiency. For example, the
204 temperature of refrigerant at the ORC evaporator inlet and outlet are 18.2 ± 0.07 °C and
205 94.0 ± 0.38 °C, respectively. The inlet and outlet pressure are 109.94 ± 0.09 PSI and
206 106.01 ± 0.08 PSI, respectively. The flow meter of the ORC refrigerant, 0.0403 ± 0.0027
207 kg/s, is also required to calculate the density and mass flow rate of the refrigerant. In
208 this approach, the relative error of heat-to-cooling can be calculated to be around 6.75%.

209

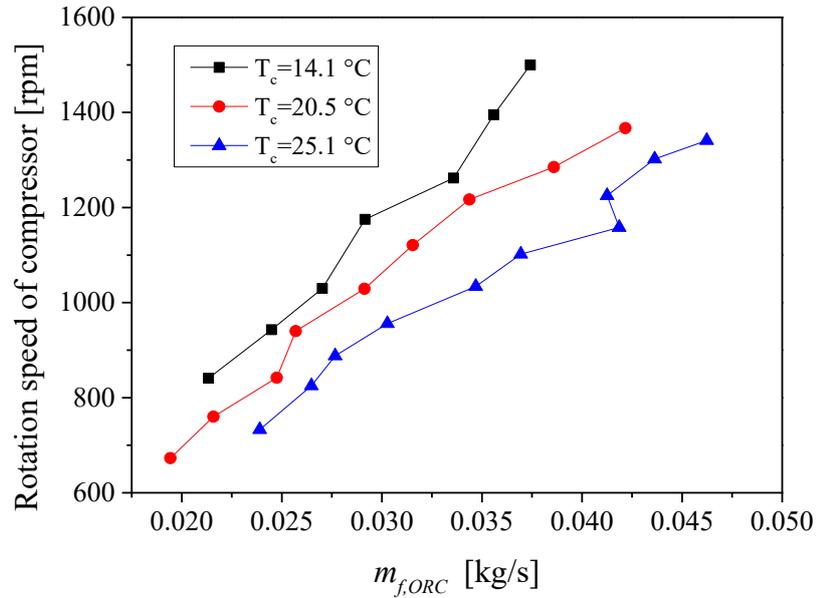
210 **3.1 Steady state test of ORC-VCC**

211 This section discusses the performance of the ORC-VCC prototype operated under
212 partial load conditions at a steady state. The performance is evaluated based on the
213 characteristics mainly of the VCC cycle, the refrigeration temperature, the cooling
214 capacity and the overall heat-to-cooling efficiency. Due to the large amount of
215 experimental data collected, the effects of different operating parameters have been
216 considered, including the mass flow rate of ORC working fluid and the expander-
217 compressor speed ratio.

218 **3.1.1 Effect of ORC mass flow rate**

219 The heat source temperature remains at 94.6 °C. The mass flow rate of the cooling
220 water is kept constant at 0.173 kg/s. The expander-compressor speed ratio is 1.71 , using
221 the pulleys with 28 and 48 teeth at expander and compressor side, respectively. Since
222 the teeth on the pulleys are of the same size, the diameters are proportional to the teeth
223 number. For the vapour compression refrigeration subsystem, the compressor speed is
224 commonly used to control cooling capacity and cooling temperature. In the
225 experimental procedure, the compressor rotation speed is controlled by the expander in

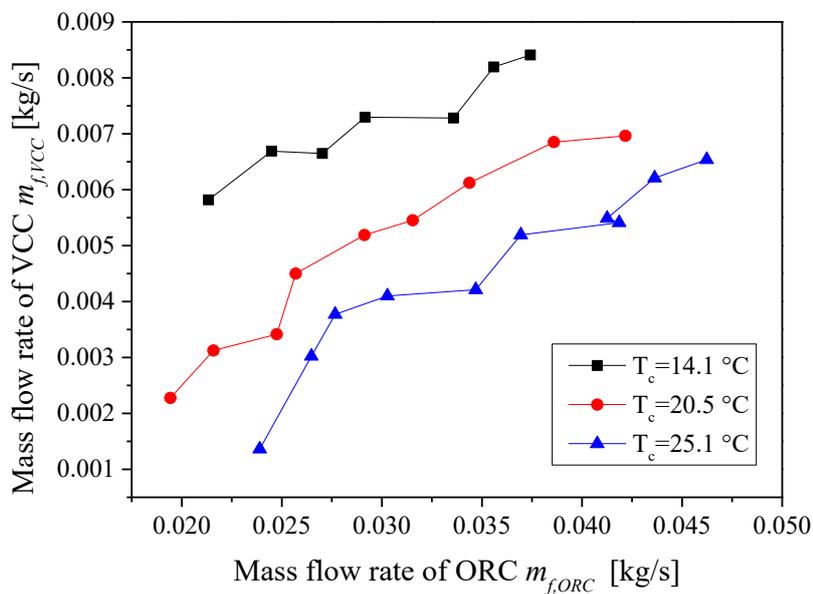
226 ORC. Therefore, the effect of the ORC mass flow rate on the system performance under
 227 different cooling water temperatures and heat source temperature is studied to explore
 228 the interactions between ORC and VCC in this section.



229
 230 Figure 3. Rotation speed of compressor with respect to variation of ORC mass flow
 231 rate under different cooling water temperatures
 232

233 Figure 3 shows that the compressor rotation speed increases with the rise of the
 234 mass flow rate of working fluid in the ORC subsystem ($m_{f,ORC}$). When ORC subsystem
 235 is operated at a smaller mass flow rate, the superheat of the working fluid at the
 236 expander inlet is relatively higher. As the mass flow rate ($m_{f,ORC}$) increases, the
 237 pressure difference across the expander increases but the superheat degree decreases.
 238 The maximum pressure difference would appear when the superheat degree becomes 0.
 239 If the flow rate keeps increasing further, part of the working fluid can't evaporate in the
 240 evaporator, leading to a decrease in its evaporation pressure. In these tests, the working
 241 fluid at the expander inlet is kept within the superheated region. Subsequently, when

242 the mass flow rate $m_{f,ORC}$ was increased by increasing the liquid pump frequency, both
 243 the expander intake pressure and the expander rotation speed increase. Since the mass
 244 flow rate of the cooling water is kept constant, it is also clear that a lower temperature
 245 of cooling water can lead to a higher rotation speed of compressor due to the lower
 246 condensation pressure as expected.
 247

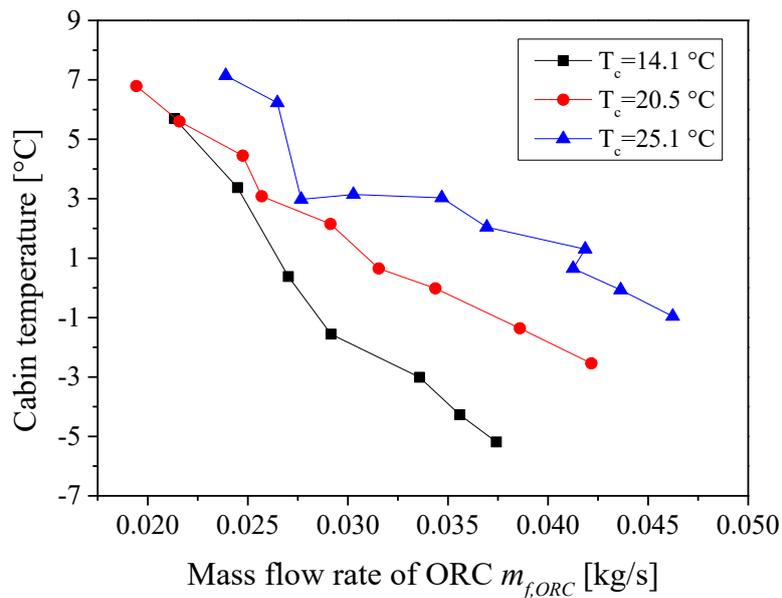


248
 249 Figure 4. Mass flow rate of R134a in the VCC with respect to the mass flow rate of
 250 R245fa of ORC

251

252 It is observed from Fig.4 that the mass flow rate of the refrigerant R134a ($m_{f,VCC}$)
 253 is proportional to the flow rate of the ORC working fluid R245fa. As mentioned above,
 254 the compressor speed increases with the increase of $m_{f,ORC}$. In the tested ORC-VCC
 255 prototype, the scroll compressor is a positive displacement compressor and the
 256 expansion valve is a thermostatic expansion valve (TEV). It is known that the cooling
 257 capacity and the refrigeration temperature of a VCC system are normally controlled by
 258 regulating flow rate of the refrigerant and the pressure difference across TEV by

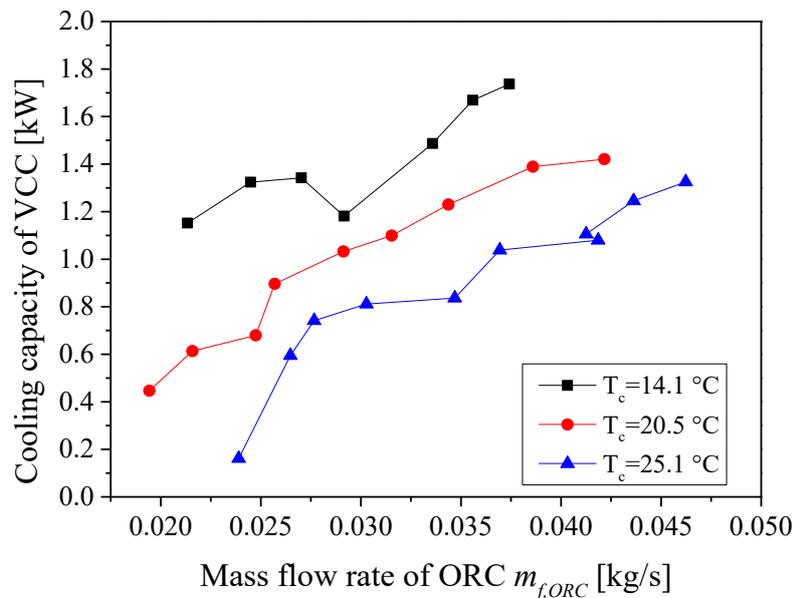
259 adjusting the compressor rotation speed and spring pressure of TEV. For a constant
 260 spring pressure inside TEV, more refrigerant will be allowed to pass since the
 261 volumetric flow rate increases as the compressor's rotation speed increases. For a given
 262 compressor rotation speed, a lower cooling water temperature tends to open the TEV
 263 further due to a larger pressure difference resulting from a lower condensation pressure.
 264 For the ORC subsystem, the expander will speed up by lowering the cooling water
 265 temperature, increasing the compressor rotation speed. Therefore, $m_{f,VCC}$ increases
 266 as expected, which can be attributed to the increasing compressor speed and the
 267 enlarged TEV opening.



268
 269 Figure 5. Effect of the ORC mass flow rate $m_{f,ORC}$ on the temperature inside the
 270 enclosure under different cooling water temperatures
 271

272 Figure 5 presents the variation of inside temperature under different cooling water
 273 temperatures. In the tests, the cooling water firstly flows into the VCC condenser and
 274 then the ORC condenser. Its original temperature will affect both ORC and VCC

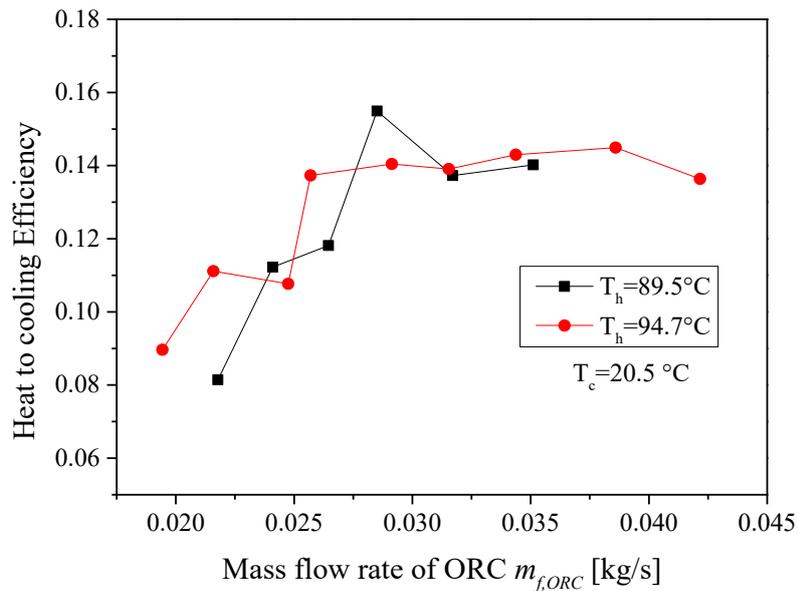
275 significantly. From the perspective of ORC subsystem, the pressure difference across
 276 the expander will be reduced if the condensation temperature increases for a given
 277 evaporation pressure. As a result, the pressure difference across the compressor also
 278 decreases for a given speed ratio since it is driven by the ORC expander. Meanwhile,
 279 the VCC subsystem's evaporation pressure P_7 decreases since the VCC compressor
 280 outlet pressure P_8 decreases with the decrease of the cooling water temperature. That is
 281 why the evaporation temperature in the VCC subsystem decreases with the decrease of
 282 condensation temperature, and the colling load enclosure can reach a lower temperature.
 283 The temperature inside the enclosure will be affected by other external factors (the
 284 ambient temperature outside, the insulation material and thickness etc.). The
 285 temperature can be maintained as low as $-5.6\text{ }^\circ\text{C}$ in all the tested conditions when the
 286 cooling water temperature is $14.1\text{ }^\circ\text{C}$.



287
 288 Figure 6. Effects of the ORC mass flow rate on the cooling capacity under different
 289 cooling water temperatures

290

291 Figure 6 shows the variation of the cooling capacity against $m_{f,ORC}$ under
292 different cooling water temperatures. When the other control parameters remain
293 unchanged, the cooling capacity will be enhanced by increasing the compressor speed
294 due to the increased flow rate of refrigerant in the VCC and the decreased condensation
295 temperature. This is the reason why the cooling capacity increases with the mass flow
296 rate of working fluid in the ORC subsystem in Fig. 6. Moreover, the variation of cooling
297 water temperature affects both ORC and VCC subsystems. For a lower condensation
298 temperature, at the ORC side, the reduction of the condensation temperature would lead
299 to a larger enthalpy drop of the refrigerant across the expander, leading to a higher
300 power generation. At the VCC side, a higher power transmitted from ORC by the belt
301 transmission unit would increase the cooling capacity in the VCC system. The
302 maximum cooling capacity reaches 1.74 kW under all the test conditions. A sudden
303 drop is shown at the ORC flow rate of 0.028 kg/s. This can be attributed to the fact that
304 the working fluid at the VCC evaporator turns into a two-phase mixture according to
305 the measured temperature and pressure. As a result, some of the refrigerant doesn't
306 evaporate, so less heat is absorbed by the refrigerant.



307
 308 Figure 7. Comparison of heat-to-cooling efficiency between different heat source
 309 temperatures

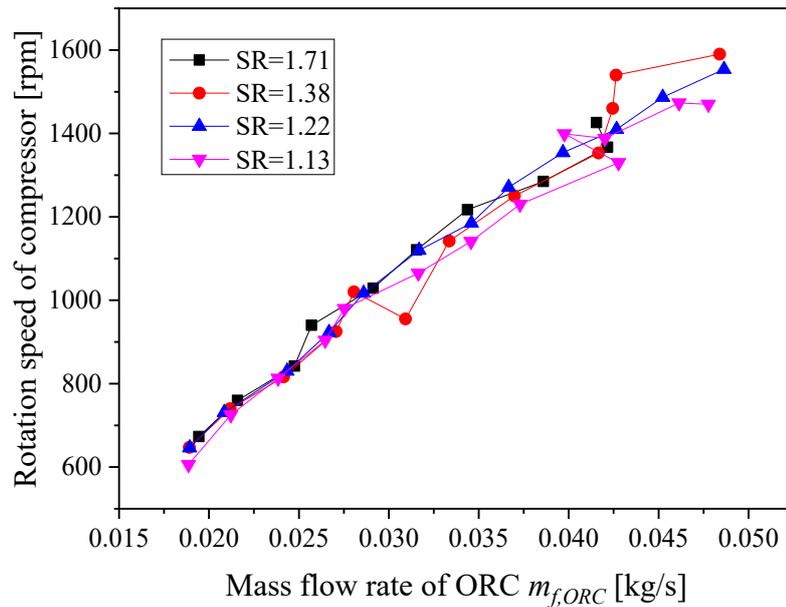
310
 311 Since this prototype is essentially a heat driven refrigeration system, a heat-to-
 312 cooling efficiency is used to evaluate the ability of cooling capacity by consuming
 313 thermal energy. Fig.7 shows the comparison of heat-to-cooling efficiency under the
 314 same cooling water temperature ($T_c=20.5^\circ\text{C}$), and two different heat source (i.e., hot
 315 water) temperatures T_h . It is indicated that the heat-to-cooling efficiency of the ORC-
 316 VCC show a similar variation trend with different heat source temperatures, both
 317 increasing firstly before reaching a plateau or slight downward trend. According to Eq.
 318 (6), the heat-to-cooling efficiency is proportional to the ORC thermal efficiency and
 319 COP_c of VCC. From our previous study on a separate ORC experiment, the thermal
 320 efficiency increases firstly and then decreases as the $m_{f,ORC}$ increases, and the peak
 321 appears when the superheat degree is around 0. These results agree well with those in
 322 Miao [30] and Kosmadakis's [31] study. As shown in Fig.3, the compressor speed

323 increases as $m_{f,ORC}$ increases. The COP_c decreases as the pressure ratio reduces,
324 which is proportional to the compressor rotation speed, as explained in Mateu-Royo's
325 research [22]. As a result, the combined effect results in the variation trend of heat-to-
326 cooling efficiency as shown in Fig.7, increasing firstly and then decreasing.

327

328 **3.1.2 The effect of speed ratio between expander and compressor**

329 From the analysis above, it is found that the load significantly affects the power
330 output, the overall efficiency of expander and generator set of the ORC subsystem. Our
331 original design connects the expander and the compressor using a directly driven shaft,
332 leading to exactly the same rotational speed and torque. However, based on previous
333 calculation results [29], when the ORC and VCC subsystems are operated separately, it
334 is found that the pressure drop across the expander is different from that across the
335 expander when they are operated under the optimal conditions for a given heat source
336 and heat sink conditions. In other words, sharing a common shaft between expander
337 and compressor does not allow that the ORC and VCC to operate under their own
338 optimal conditions simultaneously because their torque profiles mismatch with each
339 other. Therefore, a belt transmission unit is used to study the effect of the speed ratio of
340 expander-compressor on the operation and the system performance. Four pairs of
341 pulleys are used in this test, of which the teeth ratios are 28/48, 32/44, 36/44 and 32/36,
342 respectively. Since the size of teeth are exactly the same of these pulleys, the
343 correspondingly speed ratios of expander-compressor are 1.71 (48/28), 1.38 (44/32),
344 1.22(44/36) and 1.13 (36/32), respectively. The temperatures of heat source and cooling
345 water are approximately 367K and 293K, respectively.



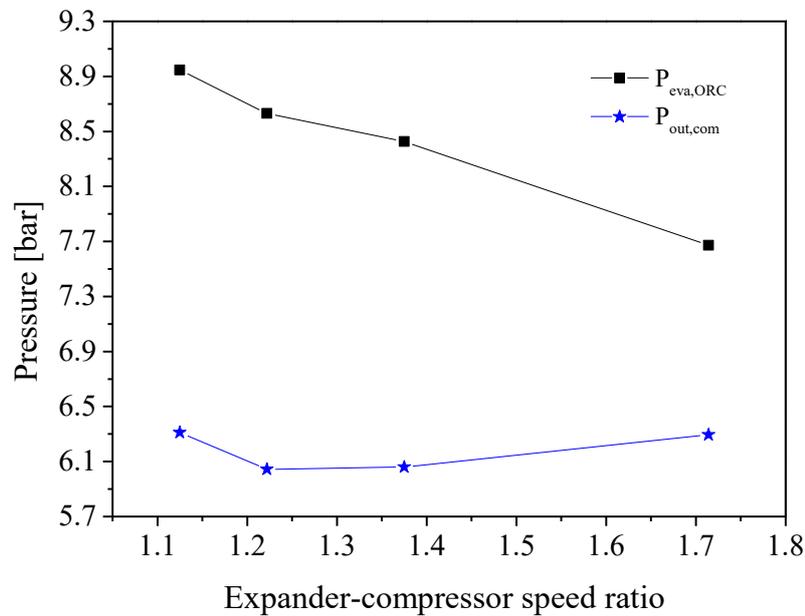
346
347 Figure 8. Comparison of compressor rotation speed between different speed ratios

348

349 The results in Fig.8 indicate the compressor rotation speed increases proportionally
350 with the increase of $m_{f,ORC}$, which seems to be independent from the speed ratio. From
351 the perspective of system operation, the VCC subsystem can be regarded as a variable
352 load of the ORC subsystem. While the ORC subsystem acts as the power source of the
353 VCC subsystem. The variation of load and speed in the ORC subsystem will cause the
354 change of the VCC subsystem's operating conditions. At the same time, the VCC
355 subsystem will feedback such changes to the ORC subsystem through the belt since the
356 compression ratio and speed in the VCC subsystem are dependent on each other.

357 For the ORC subsystem, the load has significant impact on the power generation,
358 including the rotation speed and the torque output. The output torque of the expander
359 is closely related to the pressure drop across the expander in the ORC subsystem.
360 During this test, the ORC subsystem's fluid pump is operated at a given frequency. For

361 the VCC subsystem, the rotational speed affects the mass flow rate of the refrigerant
 362 significantly, and the torque input is closely related to the compression ratio. As shown
 363 in Eq. (5), the power consumed by the compressor is delivered by the expander.
 364 Furthermore, the speed at the circumference of these two pulleys is equal to each other
 365 as there is no slippage. As a result, the rotation speeds of both ORC and VCC
 366 subsystems present the same variation trend, increasing as the mass flow rate of
 367 working fluid of the ORC subsystem increases.



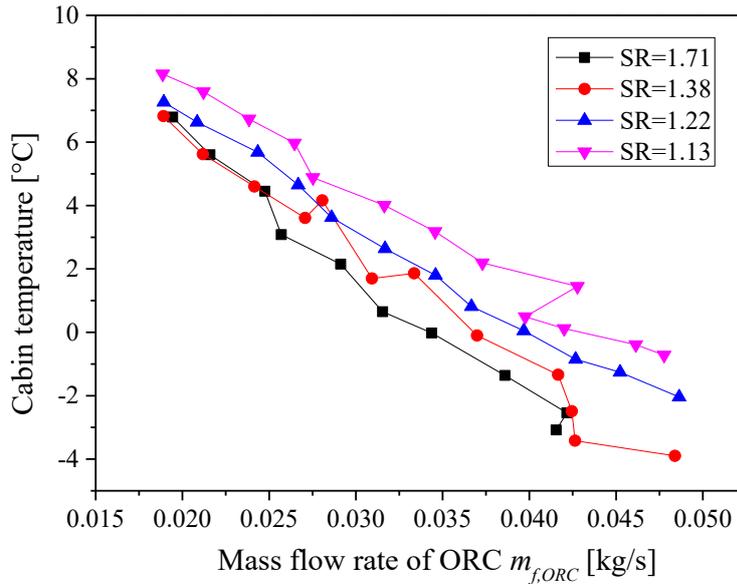
368
 369 Figure 9. Pressures at the expander inlet and compressor outlet with different speed
 370 ratios

371

372 Therefore, when the pulley pairs with higher expander to compressor speed ratios
 373 are used, the expander rotational speed will be increased for a given $m_{f,ORC}$. This can
 374 be attributed to the reduced torque output and expansion ratio since the measured
 375 evaporation pressure $P_{eva,ORC}$ is decreased, as shown in Fig.9.

376 For the VCC subsystem, the increased expander rotational speed would lead to an

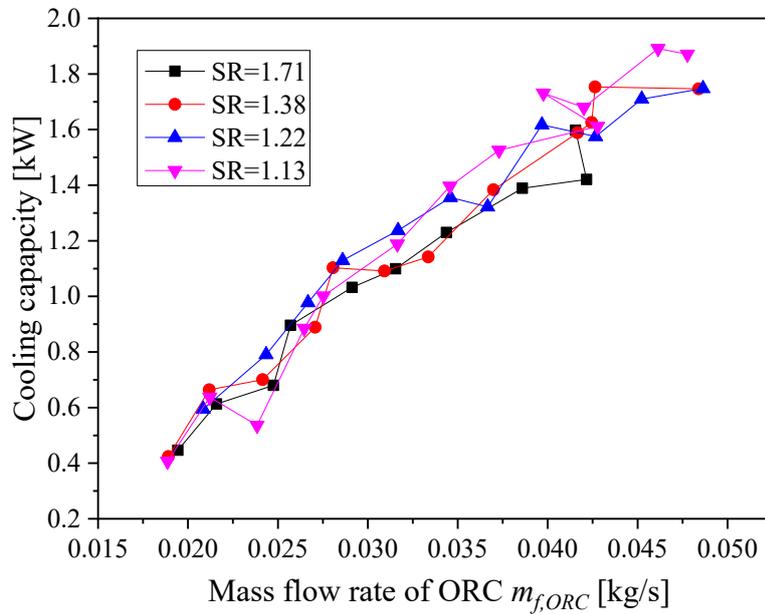
377 upward trend of the compressor rotation speed. However, the radius of the pulley
 378 connected to the compressor is also larger, which would restrain the increase of the
 379 compressor rotation speed. Leading to the minor difference of compressor rotational
 380 speed while using pulley pairs with different speed ratios.



381
 382 Figure 10. Comparison of temperature inside the enclosure between different speed
 383 ratios
 384

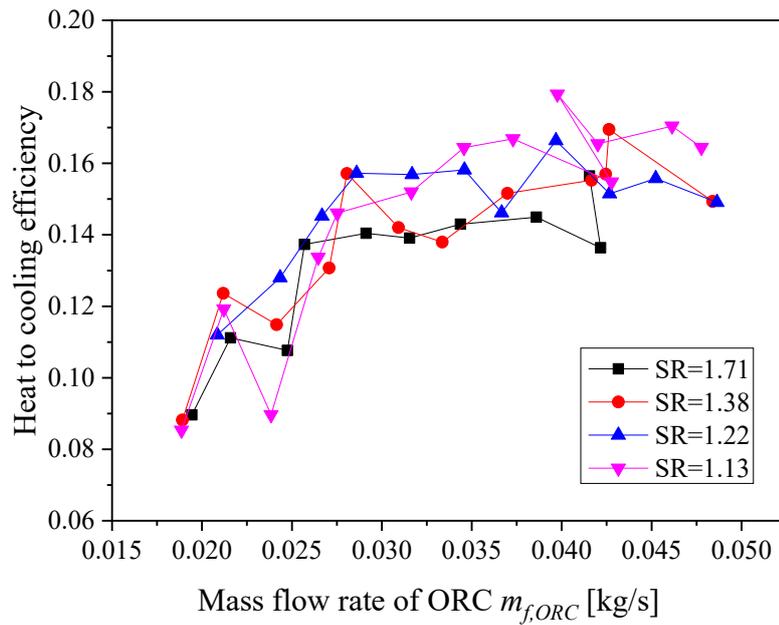
385 For the combined ORC-VCC cycles, there are two important parameters for
 386 evaluating the system performance, cooling temperature and cooling capacity. The
 387 temperature inside the enclosure, which is closely related to evaporation temperature of
 388 the VCC subsystem, is a target temperature according to real applications. In the VCC
 389 subsystem, the evaporation temperature is controlled by adjusting the rotation speed of
 390 the compressor. It is noted from Fig.10 that a lower temperature can be achieved by
 391 increasing $m_{f,ORC}$. This is due to the fact that the increasing $m_{f,ORC}$ will increase the
 392 rotation speed, which results in higher $m_{f,VCC}$ and pressure drop across the TEV,

393 leading to a lower evaporation temperature as shown in Fig.10. The lowest temperature
 394 in the tests is $-3.9\text{ }^{\circ}\text{C}$ when the speed ratio is 1.38.



395
 396 Figure 11. Cooling capacity of the system when the speed ratio varies

397
 398 Figure 11 indicates that the cooling capacity increases with the increase of $m_{f,ORC}$.
 399 The cooling capacity is affected by both $m_{f,VCC}$ and the temperature lift of the
 400 refrigerant. The reason why the cooling capacity increases with $m_{f,ORC}$ has been
 401 explained in Fig.6. It is also noted that the cooling capacity is a bit smaller when the
 402 system is operated with higher speed ratios, although the difference is insignificant.
 403 From the analysis above it can be found that a lower temperature can be realised inside
 404 the enclosure when the system operates with a higher expander-compressor speed ratio
 405 (shown in Fig.7). In theory, the opening of TEV would become smaller to achieve a
 406 higher pressure difference for a given compressor rotation speed, which will result in a
 407 smaller $m_{f,VCC}$, leading to a smaller cooling capacity in the VCC subsystem.



408

409 Figure 12. Comparison of heat-to-cooling efficiency between different speed ratios

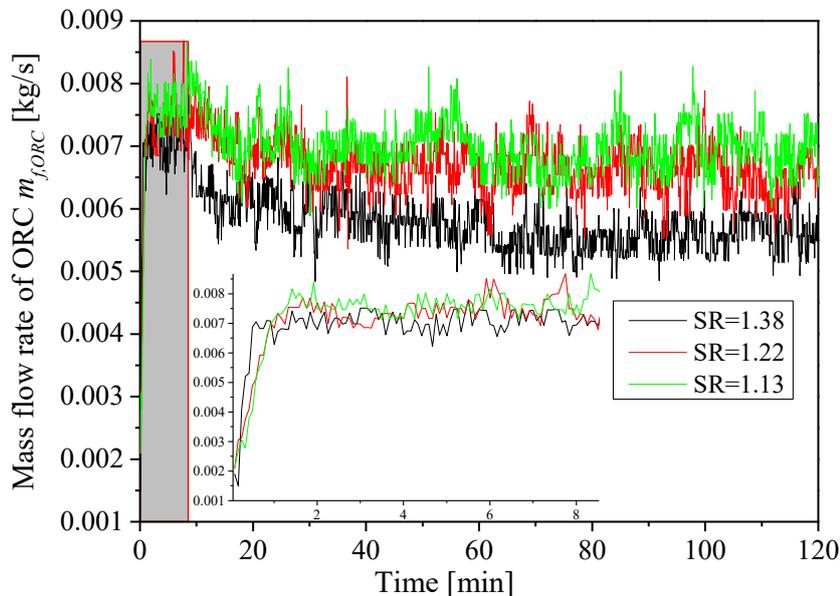
410

411 Figure 12 presents the comparison of heat-to-cooling efficiency when the prototype
 412 operates with different speed ratios. Although the heat-to-cooling efficiencies fluctuate,
 413 the curves with different speed ratios all show a similar trend, increasing sharply firstly
 414 and then gradually flattening. The maximum heat-to-cooling efficiency is about 0.18 in
 415 these tests. When $m_{f,ORC}$ was increased by increasing the fluid pump frequency, more
 416 power is available to drive the compressor. During this process, the mass flow rate
 417 $m_{f,VCC}$ is controlled by the TEV through the feedback of the sensing bulb located at the
 418 evaporator outlet. Both the evaporation temperature and condensation temperature
 419 (essentially differential pressure across the TEV) can affect the opening of the TEV, and
 420 thus affects the amount of fluid available for cooling. As illustrated, both the ORC
 421 evaporator and the VCC evaporator absorb more heat from hot water and ambient air,
 422 respectively. The different growth of the heat transfer leads to the variation of heat-to-

423 cooling efficiency as shown in Fig.12. Although the test result fluctuate, we can still
424 tell from the curves that the heat-to-cooling efficiency is lower when the system is
425 operated with a higher expander-compressor speed ratio, which can be attributed to the
426 lower cooling capacity as mentioned previously.

427 3.2 Transient state of ORC-VCC

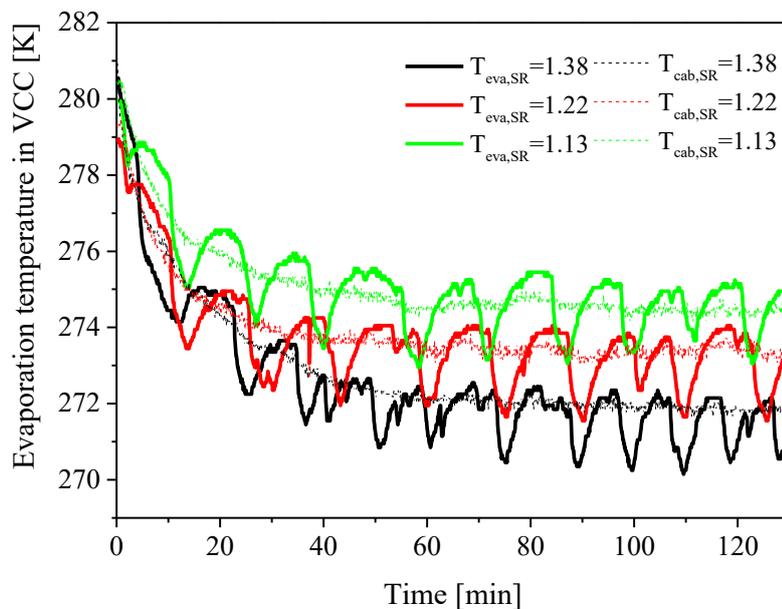
428 Due to the direct mechanical coupling between two subsystems, when one
429 subsystem experiences operational change, unsurprisingly it can result in a change to
430 the other. In order to ensure the system can quickly react to regulation and become
431 stable, it is important to investigate the transient response of the whole system. In this
432 section, the temperature and mass flow rate of the cooling water are maintained at
433 20.5 °C and 0.173 kg/s during the test, respectively. The temperature of the hot water
434 was kept constant at 368K.



435
436 Figure 13. Transient responses of ORC mass flow rate to a sudden increase of ORC
437 circulation pump under different expander-compressor speed ratios

438

439 The initial temperatures inside the enclosure are 7.4 °C, 5.7 °C and 6.8 °C
440 respectively. The tested speed ratios of the expander-compressor are SR=1.375,
441 SR=1.222 and SR=1.125, respectively. The hot water (heat source) temperature is
442 approximately 95 °C and the cold water (heat sink) is about 20.5 °C. The ORC
443 circulation pump was initially operated at 10 Hz and it was suddenly increased to 16
444 Hz. Fig.13 shows how the pump reacts to a change in the mass flow rate and the
445 response time until the flow rate stabilises. It can be seen in Fig.13 (zooming in part)
446 that the mass flow rate of ORC rises to a relatively stable value in less than 2 minutes.
447 During the entire process of experiment, the flow rate fluctuates around an average
448 value. The fluctuation can be attributed to the working principle of the diaphragm pump,
449 which is similar to the piston pump.



450

451

452 Figure 14. Transient responses of VCC evaporation temperature and the temperature

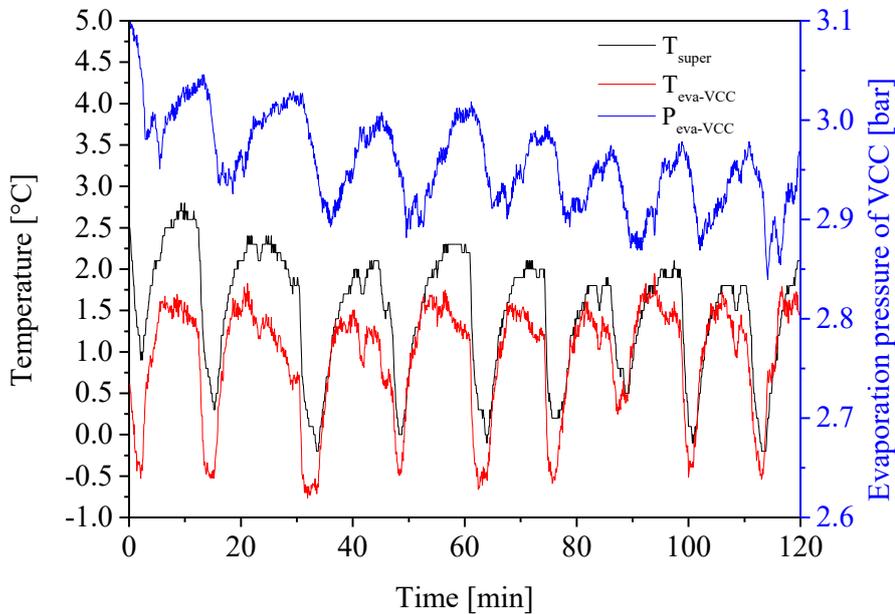
453 inside the enclosure to a sudden increase of ORC working fluid pump under different
454 expander-compressor speed ratios

455

456 Fig.14 presents the dynamic behaviour in terms of the transient responses of the
457 VCC evaporation temperature and the temperature inside the cooling enclosure to a
458 sudden increase of the frequency of the working fluid pump of the ORC subsystem.
459 The results indicate that the evaporation temperature of the VCC oscillates periodically,
460 the TEV maintains the swing in a range of ± 1 K around the set value. Generally, the
461 variation trend is decreasing although their peak and trough values oscillate, and finally
462 become stable. In this system, a bulb sensing element is located at the evaporator outlet
463 pipe to control the opening degree of the TEV, which determines the flow area of the
464 TEV according to the feedback from the bulb. The fluctuation of the evaporation
465 temperature results from the variation of the TEV opening degree. The larger the
466 opening, the higher the mass flow rate.

467 It is well known that when the heat absorbed from the enclosure and the cooling
468 generated in the evaporator achieve balance, the temperature inside the enclosure will
469 become constant. From Fig.14, it can be noticed that the enclosure temperature (dot
470 line) starts to decrease since the ORC pump frequency rises which steadies out after a
471 period of time. These periods, from the triggering of the working fluid pump frequency
472 to the balanced state, are different. When the system was operated with a higher speed
473 ratio, the enclosure temperature can be maintained at a lower value as the temperature
474 becomes stable. The sustained oscillatory behaviour of the evaporation temperature can
475 be improved by using an electronic expansion valve (EEV), which can perform better

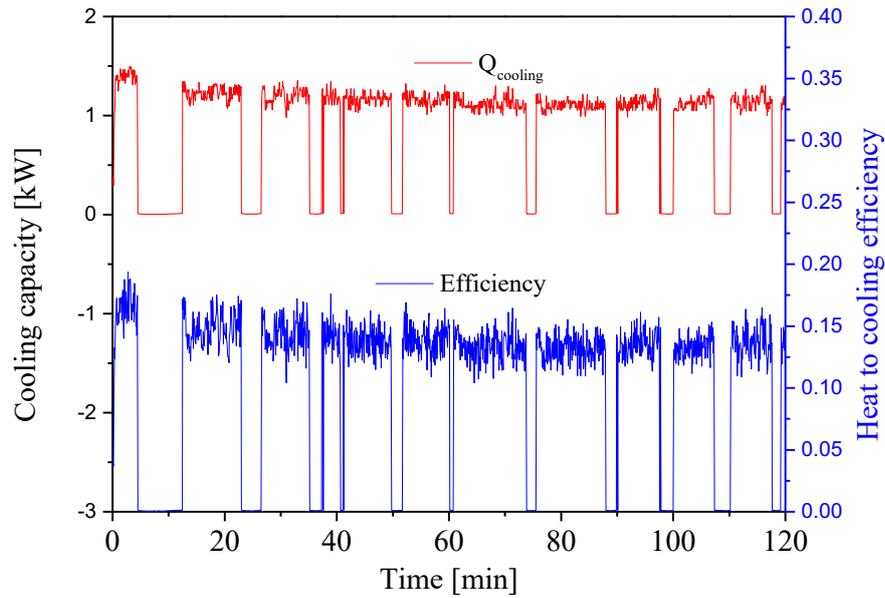
476 since it can achieve a precise regulation for optimal control of mass flow rate even
 477 under off-design condition.



478
 479 Figure 15. Variation of evaporation temperature, pressure and the corresponding
 480 superheat degree with response to TEV characteristics, SR=1.12

481
 482 Interesting results can be found in Fig.15, which records the evaporation
 483 temperature $T_{eva, VCC}$, evaporation pressure $P_{eva, VCC}$ and superheat degree T_{super} of the
 484 VCC when the combined cycle is running under a steady state. Thermal expansion
 485 valve (TEV) is typically a linear controller operating simply in response to a change in
 486 evaporator superheating. The sensing bulb possess a proportional feedback action
 487 control mechanism to keep evaporator superheating at a constant value. When the
 488 expansion valve remains unchanged, the differential pressure between the bulb and the
 489 evaporation tube equals the spring force. Once the superheating is higher than the set
 490 value, the balance will be broken and the opening becomes larger. That is why the

491 evaporator pressure shows a typical oscillation response that was obtained by adjusting
492 the static superheat setting. Fig.15 also shows that the superheating of the tested
493 prototype varies from 0.75 °C to 3.25 °C under oscillation. The superheating grows as
494 soon as the opening of TEV decreases. When the superheating is over its set value of
495 2.5 °C, the opening of TEV will increase. The superheating appears to have a flat region
496 and it can be maintained around the peak value for a longer time. This could be
497 attributed to the TEV characteristics. The TEV should be superheated vapour before
498 flowing into the compressor, avoiding the risk of causing damage to the compressor. As
499 no more energy is available to evaporate the liquid refrigerant inside the evaporator, the
500 pressure difference between the sensing bulb and the evaporation tube decreases. As a
501 respond to this change, the opening of TEV will decrease as soon as the superheating
502 reaches the trough value, which is closely related to the spring force inside. The
503 behaviour of the evaporation temperature is more revealing. The timings of temperature
504 variation and that of pressure variation are different. There is a delay in the response of
505 the TEV due to friction and flow experienced by the valve. The performance can be
506 improved by replacing the TEV by Electronic expansion valve (EEV).



507

508 Figure 16. Cooling capacity and heat-to-cooling efficiency during transient state

509

510 The result shown in Fig.15 and Fig.16 are measured when the heat source
 511 temperature and cold source temperature are 95 °C and 19.7 °C respectively. The speed
 512 ratio of expander-compressor is 1.125.

513 Figure 16 shows the variation of cooling capacity and its heat-to-cooling efficiency
 514 of the combined cycle, both of which appear to present a similar trend. In general, the
 515 cooling capacity variation presents a peak value and trough value during the test
 516 procedure. The peak value is about 1.4 kW and the trough is 0 kW. Such a typical
 517 ‘hunting’ response was obtained by adjusting the static superheat setting by balancing
 518 the differential pressure across TEV. The variation trend of cooling capacity could be
 519 attributed to the TEV characteristics because the refrigerant flow rate is the direct output
 520 of the TEV. In general, the cooling capacity has ‘flat’ regions at the peak and the trough
 521 of the oscillations. The trough flat region of 0 kW indicates that the TEV is shut off and

522 there is no refrigerant R134a flowing through the TEV. Generally speaking, the flat
523 regions at the peak is larger than that at the valley. Meanwhile, the heat-to-cooling
524 efficiency of the overall combined system at the peak is around 0.15. The prototype can
525 have a higher heat-to-cooling efficiency since this is the part load condition of both the
526 ORC and VCC.

527

528 **4 Conclusions**

529 In this study, a lab-scale heat driven ORC-VCC refrigerator was constructed and
530 tested under a wide range of operating conditions. The system aims to generate cooling
531 effects by recovering the low-temperature heat contained in the cooling-jacket water.
532 Several remarks can be summarised as follows:

533 (1) The expander-compressor speed ratio has minor effect on the heat-to-cooling
534 efficiency of the whole system.

535 (2) A lower enclosure temperature can be achieved when a higher expander-
536 compressor speed ratio is adopted, while its cooling capacity is a bit smaller.

537 (3) The measured minimum enclosure temperature and maximum heat-to-cooling
538 efficiency is $-5.6\text{ }^{\circ}\text{C}$ and 0.18, respectively, under partial load conditions.

539 (4) The fluctuation of the VCC refrigeration subsystem is mainly caused by
540 opening of the TEV.

541 In a summary, this research has demonstrated the concept of the ORC-VCC
542 combined cycle for small scale thermally driven refrigeration applications. Further
543 investigation under the design condition will be carried out to optimize system
544 performance in the next steps. The transient performance can be improved by replacing
545 the TEV by EEV.

546

547 **Acknowledgment**

548 The authors like to acknowledge EPSRC (EP/N020472/1, EP/N005228/1, and
549 EP/R003122/1) in the United Kingdom for the financial support to this research.

550

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