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# 1 Essential genes of the parasitic Apicomplexa

2

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16

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18 Apicomplexa; essential genes

19

## 20 Abstract

21 Genome-scale mutagenesis screens for genes essential for apicomplexan parasite  
22 survival have been completed in three species: *Plasmodium falciparum*, the major  
23 human malaria parasite; *P. berghei*, a model rodent malaria parasite, and the more  
24 distantly related *Toxoplasma gondii*, the causative agent of toxoplasmosis. These three  
25 species share 2606 single-copy orthologs, 1500 of which have essentiality data in all  
26 three screens. In this review, we explore the overlap between these datasets to define  
27 the core essential genes of the phylum Apicomplexa. We further discuss the  
28 implications of these groundbreaking studies for understanding apicomplexan parasite  
29 biology, and we identify promising areas of focus for developing new pan-apicomplexan  
30 parasite interventions.

31 **Genome-wide essentiality screens: A game-changer for studying apicomplexan**  
32 **parasite biology**

33

34 Apicomplexan parasites are the causative agents of some of the world's most  
35 devastating infectious diseases, including malaria (caused by *Plasmodium*),  
36 toxoplasmosis (*Toxoplasma gondii*) and numerous other deadly diseases of humans  
37 and animals. Though their importance to human health have made these parasites of  
38 sustained interest to the research community, many aspects of their biology remain  
39 largely mysterious compared to that of more experimentally tractable model organisms.  
40 Available tools for genetic manipulation that have worked well in model organisms to  
41 functionally characterize genes are typically much more limited in their application to  
42 apicomplexan parasites for a number of reasons (summarized in **Table 1**), leaving  
43 ~35% of malaria-parasite genes and ~50% of *Toxoplasma* genes with no functional  
44 annotation, even though sequenced genomes in some state of assembly have been  
45 available to explore for well over a decade (<https://www.veupathdb.org>; (1-3)).

46 Overcoming these significant hurdles to explore parasite gene-function is of utmost  
47 importance, as a better understanding of parasite biology, and which processes and  
48 pathways are more essential for parasite survival, is needed to prioritize targets of more  
49 efficacious interventions, particularly in the face of rising parasite resistance to front-line  
50 antimalarial drugs.

51

52 Three studies in recent years have vastly accelerated gene-functional characterization  
53 by defining the essential genes of three related parasites: *Plasmodium falciparum*, the  
54 most deadly human malaria parasite (4); *Plasmodium berghei*, a robust rodent malaria  
55 parasite that serves as an important model for human malaria (5), and *Toxoplasma*  
56 *gondii*, a distantly-related parasite responsible for toxoplasmosis whose relative  
57 experimental tractability has long led it to be known as the model apicomplexan (6).  
58 Similar to other whole-genome essentiality screens in human cells (7-9), essential  
59 genes of these parasitic protists are defined by the inability to disrupt protein-coding  
60 sequences either by **targeted** or **random mutagenesis** methods (see Glossary). The  
61 small compact apicomplexan genomes are notable for their extensive signatures of

62 horizontal gene transfer, especially as a result of the secondary endosymbiotic event  
63 giving rise to the plant-like apicoplast organelle, and allowing acquisition of new  
64 metabolic pathways (10-12). The complete lack of functional characterization for  
65 significant proportions of apicomplexan genes has posed a formidable challenge to  
66 unraveling parasite biology; even the remaining genes with annotation deduced by  
67 orthology to model organisms largely have sparse functional annotation. Prior to these  
68 genome-wide studies, the numbers of genes confirmed to be essential to parasite  
69 survival, cumulatively over decades of research and laborious experimentation,  
70 numbered only in the 100s (13). With the release of these genome-scale functional  
71 studies, researchers now have a clearer picture than ever before about what makes  
72 these parasites tick—critical knowledge of parasite biology to possibly weaponize  
73 against these diseases via improved interventions (**Box 1**).

74

75 In this review, we compare the findings of these three groundbreaking studies to identify  
76 shared, essential genes across this diverse phylum. We further note the many shared  
77 essential genes that have no identified **orthologs** in human, making them parasite-  
78 specific potential therapeutic targets. Many of these essential genes of unknown  
79 function localize to the apicoplast and mitochondria—both of which have been  
80 repeatedly demonstrated to be “druggable”—underscoring the roles of these two  
81 organelles as rich hubs of essential parasite-specific biology.

82

83 **Box 1: What biological properties of parasite genes make them good targets for**  
84 **intervention?**

85

86 *Drug targets*

87 Good parasite drug targets should be essential to parasite survival, as perturbing their  
88 function should kill the parasite. Targets should be selective in that they have no or  
89 limited similarity to human genes to minimize the chance of harm to the host. Promising  
90 drug targets will also ideally operate in druggable pathways outside of those already  
91 targeted by frontline antimalarials to engineer multi-faceted therapies against which  
92 parasites will have difficulty evolving resistance (14).

93

#### 94 *Vaccine targets*

95 Similar considerations for vaccine development in the post-essential-genome era have  
96 recently been discussed elsewhere (15) and thus will not be a focus of this review. We  
97 will mention only that the purpose of vaccines is to expose the immune system to a  
98 threat and prompt it to make inhibitory antibodies or effector immune cells that can be  
99 employed in the event of infection. In contrast to the comparatively recent selective  
100 pressures drugs have imposed—malaria parasites have co-evolved over millennia to  
101 evade the hominid immune system (16), and many potential epitope targets mislead or  
102 restrict broadly neutralizing immune inhibition, complicating identification of what is truly  
103 a conserved vaccine.

104

#### 105 **Diverse parasites, one phylum**

106

107 Malaria remains a leading global cause of death and severe disease even though rates  
108 have fallen dramatically in the past decade (17). *Plasmodium falciparum* in particular  
109 demonstrates an unforeseen intransigence to being eliminated, as evident by its recent  
110 rebound; the tide of progress against the disease has plateaued at just over 200 million  
111 cases per year, with no significant reduction in the global malaria burden since 2015  
112 (17). Emerging drug-resistance in South-East Asia (18, 19) once again demonstrates  
113 this parasite's ability to evolve resistance to any front-line antimalarial drug, even when  
114 given in combination therapies (20). This recurring trend of resistance emphasizes the  
115 need for a better understanding of parasite biology to inform use of existing control  
116 measures to hold ground against a further malaria resurgence whilst new interventions  
117 are developed.

118

119 Targeted, gene-by-gene functional studies, many prior to availability of full parasite  
120 genome sequences and despite extreme limitations in *P. falciparum* in particular,  
121 formed the basis for mechanistic understanding of many critical parasite processes  
122 (reviewed in (21)). The advent of whole-genome sequencing technologies and  
123 publication of the first apicomplexan-parasite genomes enabled larger-scale

124 characterization than ever before, particularly through comparative genomics, further  
125 driving the understanding that these parasites are in many ways in a league of their own  
126 biologically, far-removed from model-organisms, governed by different rules shaped by  
127 their parasitic lifestyles (for example, (22-26)). However, even with the tremendous  
128 advances in understanding the biology of this parasite through targeted gene  
129 characterization of important phenotypes (e.g., drug resistance), functional analysis of  
130 poorly characterized genes remains a significant challenge, especially for the many  
131 hypothetical genes and genes of unknown function. Our newfound ability to  
132 characterize targets based on their essentiality amongst diverse apicomplexan species  
133 will help prioritize targets and pathways that are most sensitive to functional disruption.  
134 In addition, mutant-parasite libraries can help define drug mechanisms of action by  
135 chemogenomic profiling of mutants (27, 28) and identifying underlying transcriptional  
136 changes associated with altered drug sensitivity (29).

137

138 *Plasmodium berghei*, the most tractable of the rodent models for malaria for  
139 experimental genetics is ~15 - 35 million years diverged from *P. falciparum* (16, 30, 31).  
140 The genetic tool set developed by PlasmoGEM for studying gene function is more  
141 developed than that for *P. falciparum* (5, 32, 33). The proportion of essential genes is  
142 surprisingly similar in both species, even though essentiality by *in vivo* infection for *P.*  
143 *berghei* would be presumably more stringent than the *in vitro* ideal culture conditions for  
144 *P. falciparum*. The overlap of orthologs also reflects the general level of homology  
145 between these species and is consistent with the accepted value of the rodent malaria  
146 model, as demonstrated by discoveries in malaria-parasite core biological functions  
147 originating from studies in *P. berghei*, then subsequently replicated with much more  
148 effort in *P. falciparum* (e.g., ApiAP2G (34, 35)).

149

150 Toxoplasmosis is a widespread zoonotic disease of world-wide social and economic  
151 importance, an opportunistic pathogen which can infect and destroy any nucleated cell,  
152 with dire consequences primarily for the immuno-compromised and unborn (36). Widely  
153 viewed as the “model” apicomplexan parasite because of the sophistication of available  
154 genetic tools and ease of genetic manipulation, *Toxoplasma gondii* often serves as an

155 experimental system for malaria parasites (37), even though *T. gondii* diverged ~500 –  
156 900 million years from *Plasmodium* spp. (38). While this considerable divergence in  
157 time is at a similar scale as ancestral chordates to modern humans (39), *T. gondii*  
158 shares core biological properties with *Plasmodium* spp.; both are obligate-intracellular  
159 parasites that undergo asexual replication within human cells and have common host-  
160 cell invasion, replication and egress processes (**Table 1**). Divergence does place limits  
161 on what can be extrapolated from this model to *Plasmodium*, especially in dissecting  
162 more recent evolutionary adaptations of malaria parasites. Nonetheless, the conserved  
163 aspects of biology and underlying genetics among apicomplexans in these  
164 evolutionarily distant organisms allow powerful insight into what it means to be an  
165 essential apicomplexan gene. In our three-way species comparison of essentiality data,  
166 we identify core, essential apicomplexan genes with the acknowledgement that many of  
167 these essential genes have likely taken on new, possibly distinct functions in the  
168 different species.

169

### 170 **Comparing essentiality data across organisms/screens**

171

172 The principles behind determining gene essentiality and dispensability from all three  
173 screens are similar. Genes that tolerate disruption of their protein-coding sequence  
174 (CDS) are dispensable whereas parasites die when the CDS of essential genes are  
175 disrupted, meaning parasites with mutations in these genes are not recovered. All the  
176 apicomplexan parasite screens involve large-scale mutagenesis to generate single-  
177 gene mutations per parasite, each with unique sequence signatures making them  
178 identifiable in a pool. Pooled mutants were then subjected to phenotypic screening via  
179 competitive growth assays. Analysis of all three assays utilized some form of high-  
180 throughput, Next-Gen sequencing to assess depletion in reads for mutants in the pool  
181 as a proxy for growth, with more reads indicating a more successful mutant (and  
182 therefore dispensable gene), and fewer reads/the absence of reads indicating sicker  
183 mutants and essential genes.

184

185 By definition, all genes in any orthogroup are descended from a single ancestral gene  
186 having the same sequence and function. As gene duplications and losses happen  
187 frequently over the course of evolution with complex outcomes for function that cannot  
188 be readily inferred, we limited our essentiality comparisons to orthologous genes with a  
189 single representative in each of the three parasites (**single-copy orthologs**) which had  
190 essentiality data in all three screens. Of the 2606 single-copy orthologs shared between  
191 these three parasites, 1500 had essentiality data in all three screens and were used for  
192 our comparative analyses (Supplementary **Table S1**).

193

### 194 **Essentiality across genome-scale assays: what does it mean to be an essential** 195 **apicomplexan gene?**

196

197 Despite these commonalities, methodological differences in how the essentiality data  
198 were collected and interpreted for each apicomplexan screen necessitate defining a  
199 common language for assigning essentiality vs. dispensability for the purposes of  
200 comparison. All three assays assigned some sliding measure of confidence to assign  
201 essentiality category, with some genes falling clearly into the “essential” or  
202 “dispensable” categories for the tested conditions, and many more in the grey-area of  
203 uncertainty as we consider methodology/assay-specific caveats for the interpretation of  
204 each dataset. We sought to define the most high-confidence essential and dispensable  
205 genes from each assay for a conservative comparison of essentiality and dispensability  
206 across these parasites.

207

208 In the case of the *P. falciparum* screen, inherent properties of individual genes  
209 independent of essentiality can affect the likelihood of recovering a *piggyBac*  
210 transposon insertion in that gene, such as gene length and TTAA density. The *piggyBac*  
211 transposon preferentially inserts at TTAA sites, and while these sites are for the most  
212 part quite abundant and evenly distributed across the *P. falciparum* genome (averaging  
213 one site every ~80bp; (4)), we took caution in designating genes with low TTAA density  
214 (<7 TTAA sites/kb) as essential based on the absence of recovered insertions alone.  
215 Similarly, there may be decreased chances of recovering insertions from very short

216 genes (<500bp) independent of essentiality. We therefore designated the <13% of  
217 genes falling in to either of these categories as “tentative” and did not consider them for  
218 comparison.

219  
220 Methods of the *P. berghei* and *T. gondii* screens were similar, utilizing targeted, non-  
221 homologous recombination techniques to generate a library of mutants. The *P. berghei*  
222 screen is the most limited in terms of number of genes for which essentiality was  
223 characterized (at 2578 genes, >50% of the genome), through the use of targeted, non-  
224 homologous recombination techniques to excise the full coding-region of a gene.  
225 Mutants exhibited a range of growth phenotypes, and mutants characterized as having  
226 a less-conclusive “slow” growth phenotype as compared to wild-type parasites were not  
227 considered in our comparisons. The authors were additionally careful to note any  
228 “essential” designations resulting from mutants generated via vectors having particularly  
229 short homology-arms, which is known to decrease recombination efficiency, as less-  
230 conclusive (5, 40). We filtered these genes from our comparisons. In *T. gondii* a  
231 CRISPR-based screen was feasible due to the parasite’s high rates of non-homologous  
232 end-joining, allowing for higher-throughput targeted mutagenesis methods. Individual  
233 in-depth follow-up phenotype studies of individual mutant parasites supported the  
234 overall conclusions of the whole-genome profiling.

235  
236 Direct comparisons using simple essentiality-score cutoffs to assign gene  
237 essentiality/dispensability across assays suggested misleadingly low correlation in  
238 essentiality-classifications across these parasites than would be expected by overall  
239 orthology. We therefore used a ranking-method to binarily classify genes as essential or  
240 dispensable, ranking orthologous genes by sliding-scale essentiality score in each  
241 screen (**Mutagenesis Index Score**, or MIS, for *P. falciparum*; **Relative Growth Rate**,  
242 or RGR, for *P. berghei*, and **Phenotype Score** for *T. gondii*), then further reducing the  
243 dataset to genes ranking in the top- and bottom-quantiles for all three screens, ensuring  
244 a conservative comparison of only the most-confidently classified essential and  
245 dispensable genes. The final gene set for the three-species comparison comprised 816  
246 genes with a high degree of correlation in essentiality between screens (ranging from

247 74.5% to 81.6%), more consistent with overall orthology (**Figure 1A-C**; Supplementary  
248 **Table S2**).

249

## 250 **Specialized apicomplexan organelles are rich with essential, uncharacterized** 251 **parasite biology**

252

253 Broadly conserved categories of genes within each apicomplexan species tend to be  
254 essential in all three screens (**Figure 2, Key Figure**; Supplementary **Table S3**). Not  
255 surprisingly, many of the conserved apicomplexan essential processes have also been  
256 found to be essential in very distantly-related eukaryotes such as yeast, mice, and  
257 humans, particularly translation-associated functions and cellular components such as  
258 the ribosome (7-9, 41-44). Similarly, core metabolic pathways for RNA metabolism  
259 appear highly conserved in all eukaryotic organisms and are unsurprisingly essential  
260 across apicomplexans, while motor-activity and signaling pathways are primarily  
261 dispensable. Essential vs dispensable gene-sets within each organism displayed the  
262 generally expected characteristics for these gene categories in agreement with other  
263 essentiality screens; essential genes compared to non-essential genes tend to be more  
264 deeply conserved, have lower occurrence of polymorphisms, and have lower  
265 redundancy (no **paralogs** that might compensate for loss-of-function) (4-6, 45). The  
266 broad consensus across the growing number of essentiality-screens serves as  
267 confirmation of methodology for defining the apicomplexan essential-gene repertoire.

268

269 Beyond these expected essential gene sets of broadly conserved genes are 132  
270 apicomplexan-essential genes that have little or no functional annotation because they  
271 do not have enough similarity to studied genes in model organisms. Several of these  
272 essential unknowns have evidence for being targeted to the apicoplast (Supplementary  
273 **Table S3**). At least 10% of apicomplexan-essential genes are comprised of  
274 mitochondria and apicoplast-targeted genes.

275

276 The complex evolutionary origins of the apicomplexan mitochondrion and apicoplast  
277 (which arose from endosymbiosis of an alpha-proteobacterium and red-algal

278 endosymbiont, respectively (24, 46) and thus have little overlap with human proteins)  
279 have long made these organelles promising drug targets. Both of these endosymbionts,  
280 over the course of hundreds of millions of years of evolution, became intimately  
281 integrated into parasite biology, housing some of the most highly essential pathways to  
282 parasite survival (**Figure 2**; Supplementary **Table S3**). Given the unusual origins of  
283 these pathways and adaptations the ancestral parasite had to make to interface with  
284 and regulate these new organelles—associated genes are rich with parasite-specific,  
285 essential yet unexplored functions, and processes mapping to these organelles are  
286 indeed overrepresented among **shared-essential genes**.

287

### 288 *Essential apicomplexan-specialized mitochondrial processes*

289

290 Many basic mitochondrial functions are conserved and essential across eukaryotes,  
291 including the generation of ATP, iron-sulfur cluster synthesis, or redox regulation (47);  
292 indeed, we find key genes underlying these conserved processes among shared-  
293 essential genes in our comparison (**Figure 2**; Supplementary **Table S2-S3**). Though it is  
294 generally accepted that all eukaryotic mitochondria arose from the same endosymbiotic  
295 event, there are striking functional differences in mitochondrial functions and pathways  
296 across eukaryotes, with apicomplexan lineages having among the most-reduced  
297 mitochondrial genomes studied (comprising only three protein-coding genes, and highly  
298 fragmented bits of ribosomal RNA genes) (47-49). The majority of mitochondrial  
299 proteins are thus nuclear-encoded, necessitating these parasites develop complex  
300 systems of signaling, protein-import and export to regulate this organelle (50).

301

302 There is strong evidence to suggest translation does happen within the parasite  
303 mitochondria, and that somehow the fragmented mitochondrially-encoded rRNAs get  
304 assembled into a functional ribosome (51, 52). Factors regulating these complex  
305 processes were largely unknown. Plastid and mitochondrial proteins are known to be  
306 heavily post-transcriptionally regulated in plants, with a largely-expanded family of  
307 organelle-targeted, nuclear-encoded RNA-binding proteins, the pentatricopeptide-repeat  
308 (PPR) proteins, responsible for most of this regulation (53). *Plasmodium* has two

309 annotated PPR proteins, one of which is targeted to the apicoplast (PfPPR1;  
310 PF3D7\_1406400/PBANKA\_1035800) and the other does not have a clear targeting  
311 sequence for either the apicoplast or the mitochondria (PfPPR2;  
312 PF3D7\_1233300/PBANKA\_1448000/TGGT1\_243530). Both are predicted to be highly  
313 essential in *Plasmodium*, and though PfPPR1 does not have a direct ortholog in *T.*  
314 *gondii*, *T. gondii* also appears to have a single apicoplast-targeted PPR protein  
315 (TGGT1\_244050) that is essential for parasite growth (54). Using models based on  
316 plant PPR-proteins and the related algal octatricopeptide-repeat (OPR) proteins (which  
317 do not have annotated representatives in the Apicomplexa), Hillebrand and colleagues  
318 recently discovered a novel family of related proteins they termed heptatricopeptide-  
319 repeat (HPR) proteins that they then confirmed to localize to mitochondria and have  
320 specific RNA-binding capability (55).

321  
322 Subsequent searches for proteins containing HPR domains across the tree of life  
323 indicated representatives across green algae, alveolates (including most members of  
324 the Apicomplexa, their photosynthetic relatives the chromerids, and the more distantly-  
325 related dinoflagellates), as well as a small, more divergent handful in human,  
326 suggesting the family was an ancient acquisition of the eukaryotic ancestor; however  
327 the family has only expanded in green algae and chromalveolates. Phyletic distribution  
328 suggested lineage-specific expansion in lineages with more highly fragmented  
329 mitochondrial rRNA genes, such as *Plasmodium* and *T. gondii*, while representatives  
330 from the sister-alveolate ciliate clade, known to have a much more straightforward  
331 mitochondrial rRNA-organization, were much fewer. No HPR-domains were detected in  
332 basal-branching apicomplexan *Cryptosporidium*, which has only the remnants of a  
333 mitochondrion.

334  
335 These findings taken together strongly suggest the apicomplexan HPR proteins are  
336 important regulatory components of the organellar (and particularly, mitochondrial)  
337 translation-machinery, and they provide another example of a plant-like innovation in  
338 these parasites that could possibly be exploited to human advantage. The  
339 approximately 20 HPR proteins in *Plasmodium* and the 25 in *T. gondii* for the most part

340 did not meet the essentiality criteria to be included in our comparisons. However,  
341 several representatives were phenotypically characterized in each screen and strongly  
342 tend towards being essential. Further, four HPR proteins are shared-essential across all  
343 three screens with no human orthologs, while only one is shared-dispensable  
344 (Supplementary **Table S4**). One of four HPR proteins predicted to localize to the  
345 apicoplast at their initial discovery has since been verified (PF3D7\_0930100), and it too  
346 was scored as highly essential in *P. falciparum*. The *P. berghei* ortholog  
347 (PBANKA\_0830800) was not included in the essentiality screen, and there is no *T.*  
348 *gondii* ortholog. It is also interesting to note that the majority of these HPR proteins were  
349 annotated as “hypothetical” at the publication of the essentiality screens, further  
350 speaking to apicomplexan endosymbiotic-organelle biology as a frontier of essential  
351 functions awaiting characterization.

352

### 353 *Essential apicoplast processes*

354

355 The apicoplast has long been accepted as essential for parasite survival, though the  
356 reasons why were somewhat mysterious (12). Why would a plastid organelle be  
357 essential for the survival of a non-photosynthetic, obligate-intracellular organism?  
358 Research over the last decade has illuminated that apicoplast-minus mutants can be  
359 completely chemically rescued by supplementation with a single intermediate molecule  
360 acting within the isoprenoid biosynthesis pathway, isopentenyl pyrophosphate (IPP)  
361 (56). This discovery has since enabled development of small-scale phenotypic  
362 screening assays of chemically-generated mutant libraries for apicoplast-minus  
363 mutants, which were then rescued with IPP for sequencing to determine genes  
364 essential for apicoplast biogenesis (57). Apicoplast-minus screening has also been  
365 employed to examine drug mechanism of action (58, 59).

366

### 367 *Indispensable Conserved Apicomplexan Proteins (ICAPs): Functional studies.*

368

369 While the essentiality classification provides one of the most useful basic metrics about  
370 these many apicomplexan-specific uncharacterized genes, further functional annotation  
371 will require assays to identify their essential functions.

372

373 Further such investigations to demonstrate the existence of apicomplexan-specific  
374 essential processes and to identify promising, parasite-specific drug targets were  
375 initiated in the *T. gondii* CRISPR-mutagenesis screen (6). A set of ~200 genes, termed  
376 “indispensable conserved apicomplexan proteins (ICAPs)”, were identified to be  
377 apicomplexan-specific and essential, though the entire list of these genes was not  
378 explicitly delineated. Of the 17 ICAPs Sidik et al. specified, 14 have single-copy  
379 orthologs across *P. falciparum* and *P. berghei*, and half of them have essentiality  
380 predictions in all three species. Sidik et al. further molecularly characterized these 17  
381 ICAPs, noting their localization and confirming essentiality by independently generated  
382 mutants (Supplementary **Table S5**). Interestingly, the majority of these proteins were  
383 localized to discrete compartments.

384

385 Temporal and spatial characterization of unknown proteins can be quite informative in  
386 apicomplexans, especially for the invasive motile stages, since their discrete organelles  
387 and other internal compartments often are associated with specialized functions (e.g.,  
388 micronemes sequester invasion ligands (60)). For example, based on the initial *T.*  
389 *gondii* phenotype, secondary phenotyping with conditional knock-outs in *P. falciparum*  
390 using regulatable gene modifications provided verification of cross-species essentiality  
391 of a claudin-like apicomplexan microneme protein (CLAMP)(6). Additional consensus-  
392 based *P. falciparum* essentiality data and experimental studies of ICAPS should  
393 broaden support for essentiality of potentially druggable targets ripe for investigation.  
394 Similarly, half of those ICAPs that could be phenotypically categorized in the *P. berghei*  
395 screen were essential or slow-growers, including the CLAMP ortholog (5).

396

397 **Endosymbiont-derived organelles** house a large proportion of apicomplexan-specific  
398 functions. Large-scale essentiality screens validate that these functions are highly  
399 enriched in shared, essential genes, many of which do not have human orthologs. Many

400 of these shared, essential genes were annotated as “hypothetical” at publication of the  
401 large-scale essentiality screens, and even still at the time of this review. Apicomplexan-  
402 specific essential processes have been revealed several times to have emerged from  
403 co-opting distantly related protein-domains picked up along the way from their bacterial  
404 and algal endosymbionts (such as the HPR-family of organelle-targeted RNA-binding  
405 proteins, or the ApiAP2 family of master transcriptional regulatory proteins; (55, 61,  
406 62) ). In light of these observations, it stands to reason that further experimental  
407 assessment of parasite-specific, shared-essential hypothetical genes will reveal even  
408 more organelle-specific functions and parasite biology.

409

410 *Towards a nuanced interpretation of target-essentiality and specificity in rational, target-*  
411 *based anti-parasitic drug-development*

412

413 A promising drug target does not necessarily have to be free of human orthologs;  
414 indeed there are several examples of promising drugs that were engineered to  
415 specifically target parasite orthologs of widely conserved essential proteins with no  
416 reported ill-effects on the orthologous human protein (for example, (63)). As there are  
417 no definitive guidelines regarding the acceptable level of conservation between host and  
418 parasite proteins before off-target effects become a concern in drug-development,  
419 genes with no identified orthologs in the human host are a conservative place to start in  
420 the search for viable targets. Endosymbiont-derived organelles are hotbeds of essential,  
421 apicomplexan-specific functions, many performed by genes without human orthologs  
422 (Supplementary **Table S4, S6**).

423

424 Several effective antimalarial drugs of the past have been found to target mitochondrial  
425 or apicoplast pathways; enthusiasm for these targets has waxed, waned, and waxed  
426 again as we assemble a more and more detailed puzzle of parasite biology  
427 necessitating an evolving, nuanced interpretation of just what makes a good target.  
428 Drugs known to target the housekeeping functions of mitochondrial or apicoplast  
429 pathways generally result in a “delayed death” phenotype, meaning parasites are able  
430 to undergo one round asexual replication before death, which made these drugs of

431 lower priority as current drug-development guidelines prioritize fast-acting drugs that  
432 decrease parasite chances of developing resistance. Recent studies have identified  
433 promising apicoplast or mitochondrial targets that swiftly kill the parasite within a single  
434 cycle, however, which has revived enthusiasm for these organelles as potential sources  
435 of fast-killing targets (64).

436

437 Resistance against the mitochondrial electron transport chain (ETC) enzyme  
438 cytochrome b-targeted drug Atovaquone evolved alarmingly rapidly, particularly  
439 disappointing given its initial efficacy and highly safe toxicology profile. Atovaquone's  
440 failure dulled excitement for other mitochondria-related drug-targets (65, 66). That  
441 resistance, however, was not transmissible due to biological peculiarities of the  
442 parasite—functions of the mitochondria are more essential during mosquito-stage  
443 development, and the very characteristics that made resistance rapidly arise in blood-  
444 stage therapies made it an effective transmission-blocking possibility (65, 67).

445 Moreover, recent studies have found atovaquone to be effective in combinatorial  
446 therapy with another drug targeting the same component of the mitochondrial ETC in  
447 another active site (68), against which resistance did not evolve experimentally.  
448 Atovaquone-synergistic effects were not seen with another compound targeting  
449 cytochrome b (68). These findings suggest not only that mitochondrial pathways retain  
450 value for antimalarial intervention—but that combinatorial therapies employing drugs  
451 acting synergistically against the same target may also prove to be worth-while. Given  
452 that vast compound-libraries screened for antimalarial activity and then interrogated for  
453 their mechanism of action have largely converged on the same handful of target-  
454 proteins, despite great chemodiversity in these libraries (69), further evaluation of these  
455 drugs in concert is warranted.

456

457 Mitochondrial-targeted proteins that are encoded in the single nuclear genome will have  
458 different properties to consider as potential drug-targets than mitochondrial genome-  
459 encoded proteins, and have other strengths—the single nuclear genome (as opposed to  
460 ~22 mitochondrial genomes per *Plasmodium* parasite) mean there is less likelihood that  
461 low-frequency population heterogeneity can allow a beneficial mutation to rise to

462 fixation, as was the case with atovaquone (66). The three essentiality screens targeted  
463 only nuclear-encoded genes, identifying many shared-essential, mitochondrial-targeted  
464 candidate genes (**Figure 2**; Supplementary **Table S2, S3 & S4**).

465 Notably, the most successful past and present front-line antimalarials (chloroquine and  
466 artemisinin, respectively) are themselves excellent examples of exploitation of parasite-  
467 specific biology/endosymbiont-derived organelles, with both drugs relying in part on  
468 vesicular trafficking of host-cell hemoglobin to the digestive vacuole for parasite-killing  
469 activity—a process ultimately dependent upon apicoplast-enabled post-translational  
470 modifications to Rab-family vesicular trafficking proteins (70-75).

471  
472 Though concepts are emerging of what it means to be an “essential” gene with the  
473 availability of more and more screens across the tree of life (and even synthetic life;  
474 (76) )—it is clear that essentiality is context-dependent and cannot truly be binarily  
475 assigned (77), particularly in parasitic organisms where evolution by genome-reduction  
476 is the rule, and every gene is likely essential in an environment-dependent context  
477 (such as host, developmental stage, environmental stressors such as host fever or  
478 antimalarials). In addition to the conditional nature of essentiality, there are other  
479 properties of genes and genomes that necessitate a nuanced interpretation to these  
480 screens. Essentiality is not a fixed property of genes themselves; genes function as  
481 components in the larger service of metabolic pathways and biological processes, and  
482 evidence suggests these components can be and often are substituted(77). Indeed  
483 there are only a few handful of genes themselves that are shared across all eukaryotes  
484 and can be traced back to the Last Eukaryotic Common Ancestor (LECA) (78), mostly  
485 related to translation; however essentiality of core processes necessary to sustain life  
486 remains conserved. Thus essentiality is a plastic characteristic of genes, and all  
487 essential genes are not created equal—defects in some essential genes can be  
488 compensated for more easily than defects in others (79).

489

490 **Concluding remarks**

491

492 We focused this review on shared characteristics across the three organisms, but  
493 contrasts in gene-essentiality between them can also be quite informative and reflect  
494 the vastly different niches these parasites have evolved to fill; for instance, the TCA  
495 cycle is essential in *T. gondii*, but dispensable during the *Plasmodium* blood stages,  
496 which instead relies on glycolytic metabolism to generate its energy (Supplementary  
497 **Table S7-S12**). *Plasmodium*-specific contrasts suggest the relative importance of  
498 apicoplast and mitochondrial pathways in these two parasites, with apicoplast fatty acid  
499 biosynthesis and a selection of mitochondrial genes essential in *P. falciparum*, yet  
500 dispensable in *P. berghei* (Supplementary **Table S3 & S9**). These apparent differences  
501 in essentiality of certain organellar pathways within *Plasmodium* spp. may possibly  
502 reflect differences in requirements for *in vitro* vs *in vivo* infection. Biological relevance of  
503 these and other indicated differences in essential processes require further  
504 investigation.

505

506 The essential/dispensable screens provide critical initial phenotype-data for most  
507 parasite genes under ideal growth conditions. However, the power of the resources  
508 generated in these screens—particularly the expansive libraries of uniquely identifiable,  
509 single-gene mutant parasites—extends far beyond answering the relatively broad  
510 question of parasite gene-essentiality during select developmental stages (see  
511 Outstanding Questions). These initial essentiality phenotypes will serve as the  
512 backbone for developing and interpreting screens for any number of phenotypes of  
513 interest, potentially allowing high-throughput functional characterization for thousands of  
514 genes at a time. These screens may enable the research-community to iteratively  
515 ascribe function to the vast majority of apicomplexan parasite genes over time,  
516 unlimited by *a priori* functional knowledge.

517

518 We are now in the post essential-genome era of apicomplexan parasite research.  
519 Forward-genetic screening utilizing these expansive mutant-parasite resources makes  
520 an accelerated pace for functional biological breakthroughs possible, or perhaps likely.  
521 Such insights have the potential to drive discovery of new druggable targets, or to  
522 enable thoughtful application of existing interventions as synergistic co-therapies.

523

524 **Glossary**

525

526 **Endosymbiont-derived organelles:** the organelles arising from the endosymbiosis of  
527 an algal or bacterial cell in the apicomplexan parasite ancestor—the apicoplast and the  
528 mitochondria, respectively.

529

530 **Mutagenesis Index Score (MIS):** The sliding-scale score developed by (4) used as the  
531 primary metric for assessing comparative essentiality of *P. falciparum* genes.

532

533 **Orthologs:** Genes in different species that originated by vertical descent from a single  
534 gene of the last common ancestor. All copies of genes descended from the same  
535 ortholog make up an ortholog group.

536

537 **Paralogs:** Genes within the same genome that belong to the same ortholog group.  
538 Paralogs result from a gene duplication-event in evolutionary history somewhere  
539 downstream from the last eukaryotic common ancestor.

540

541 **Phenotype Score:** The sliding-scale score developed by (6) used as the primary metric  
542 for assessing comparative essentiality of *T. gondii* genes.

543

544 **Random mutagenesis:** Mutagenesis method usually utilizing a transposon that inserts  
545 preferentially into a single, random occurrence of its given recognition sequence per  
546 genome (in the case of the *piggyBac* transposon used for the *P. falciparum* essentiality  
547 screen, TTAA). Random mutagenesis is particularly useful for exploring highly repetitive  
548 genomes where large-scale utilization of targeted mutagenesis, which requires long  
549 stretches of homologous sequence for target-specificity and recombination efficiency, is  
550 impractical (such as the AT-rich *P. falciparum* genome).

551

552 **Relative Growth Rate (RGR):** The sliding-scale score developed by (5) used as the  
553 primary metric for assessing comparative essentiality of *P. berghei* genes.

554

555 **Shared-essential genes:** Single-copy orthologs confidently scored as essential in all  
556 three essentiality screens.

557

558 **Single-copy orthologs:** Also known as one-to-one orthologs. Single-copy ortholog  
559 groups have exactly one gene copy in each species being compared. As all genes in  
560 any orthogroup are descended from a single ancestral gene, with the same sequence  
561 and function, while duplications and loss happen comparatively frequently—single-copy  
562 orthologs are a less-complex subset of the wider dataset that still contains all the  
563 information of the complete dataset. Single-copy orthologs are the most useful for  
564 evolutionary comparisons, as we do not have to make any inferences about the  
565 ancestral state (or the order in which gene duplication or loss occurred based on  
566 presence or absence of paralogs).

567

568 **Targeted mutagenesis:** Mutagenesis method utilizing plasmid vectors designed to edit  
569 a specific gene, usually by homologous recombination (e.g., CRISPR). Requires  
570 comparatively long homology regions with the target-gene for efficiency (~1kb on each  
571 side of the target-region), and as such has high target-specificity. Both the *T. gondii* and  
572 *P. berghei* essentiality screens utilized a form of targeted mutagenesis.

573

574

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- 766
- 767

768 **Table 1. General comparisons across apicomplexans.**

769

770

Characteristic	<i>P. falciparum</i> (3D7)	<i>P. berghei</i> (ANKA)	<i>Toxoplasma gondii</i> (GT1)
<b>Primary host</b>	mosquito	mosquito	cat
<b>Secondary host</b>	humans	mice	most mammals
<b>infected cell type</b>	red blood cell	red blood cell	epithelial cell
<b>Genome size/protein-coding gene number</b>	23.33 MB/5460 genes	18.78 MB/5067 genes	63.95 MB/8460 genes
<b>Advantages as experimental system</b>	the deadliest human malaria parasite	relative genetic tractability; <i>in vivo</i> model for human malaria infection	most genetic tractability; the "model" apicomplexan, and important pathogen in its own right
<b>Disadvantages as experimental system</b>	difficult to genetically manipulate; very low transfection efficiency; high-throughput targeted mutagenesis unlikely due to repetitive genome composition	not the human malaria parasite; no <i>in vitro</i> system	far-removed from malaria parasites; limits to how much can be extrapolated to <i>Plasmodium</i>
<b>Mutagenesis method</b>	random transposon-mediated insertional mutagenesis (Zhang et al. 2018)	targeted mutagenesis (Bushell et al. 2017)	targeted mutagenesis (Sidik et al. 2016)
<b>% of genes assigned essentiality score</b>	87%	50%	~95%
<b>Essentiality scoring metric</b>	Mutagenesis Index Score (MIS)	Relative Growth Rate (RGR)	Phenotype score
<b>studied stage</b>	<i>in vitro</i> blood stage	<i>in vivo</i> blood stage	<i>in vitro</i> lytic cycle
<b>Total shared genes*</b>			
<i>Pf</i>	--	4631	2928
<i>Pb</i>	4581	--	2861
<i>Tg</i>	3044	3028	--

771 \* Numbers of shared genes between species vary by direction of comparison (reflecting  
772 different numbers of within-species paralogs in ortholog groups).

773

774

775

776 **Figure 1. Genes included in essentiality comparisons for this review with added**  
777 **evolutionary context. A.** Species ortholog-overlaps and genes retained after each  
778 filtering step. Total gene-numbers for each organism are indicated in parentheses. Of  
779 2606 single-copy orthologs shared between all three parasites, 1500 had phenotype  
780 data from all three essentiality screens. Our comparison-set comprised 816 genes  
781 confidently scored in all three screens. Single-copy orthologgroups between each pair of  
782 species are also reported (*Pf/Pb*, 4508; *Pf/Tg*, 2668; *Pb/Tg*, 2653). **B-C.** Phylogenetic  
783 relatedness of *P. falciparum*, *P. berghei*, and *T. gondii* and their hosts, respectively.  
784 *Amphioxus*, a basal-branching marine chordate, has a similar scale of evolutionary  
785 distance from humans/rats as *T. gondii* has from *Plasmodium* (included for evolutionary  
786 reference). Evolutionary timescales are median estimates of divergence time based on  
787 several publications as calculated by timetree.org (30).

788

789 **Figure 2, KEY FIGURE. Comparison and contrast between select essential**  
790 **pathways across parasites as determined by enrichment analyses of each**  
791 **essentiality category.** Cladograms on the right indicate each essentiality category,  
792 where orange indicates essentiality and black indicates dispensability for the given  
793 species. The y-axis (-log<sub>2</sub> p-value) describes the absolute significance of a term's  
794 enrichment, and the x-axis (Z-score) describes the significance of each term compared  
795 to every other significant term in that essentiality category. The upper left quadrant of  
796 each category indicates highest significance (lowest Z-score and highest -log<sub>2</sub> p-value  
797 (weighted Fisher/elim hybrid test)). Only significant terms (p-value ≤0.05) are plotted.  
798 Coordinates are approximate (points are staggered randomly for ease of viewing). Font-  
799 size and point-size indicate the number of significant genes in the essentiality category  
800 mapping to that GO-term. Expected highly conserved categories such as translation-  
801 associated terms are highly essential across all three parasites, as are terms related to  
802 endosymbiont-derived organelles (highlighted in red). BP: biological process. CC:  
803 cellular component. MF: molecular function.

804

805

806

807 **Supplementary Files**

808 **File 1:**

809

810 **Table S1.** All single-copy orthogroups between *P. falciparum* (*Pf*), *P. berghei* (*Pb*), and  
811 *T. gondii* (*Tg*), and essentiality-phenotype information from each of the three screens  
812 where available. Orthogroups and single-copy orthologs were defined using the  
813 OrthoFinder algorithm with default parameters(80).

814

815 **Table S2.** All *Pf-Pb-Tg* single-copy orthologs which have phenotype scores above  
816 confidence-threshold in all essentiality screens (n = 816). Genes were ranked by  
817 essentiality phenotype-score in each respective screen (by Mutagenesis Index-Score  
818 (MIS) for *Pf*, Relative Growth Rate (RGR) for *Pb*, and mean phenotype-score for *Tg*). All  
819 but the top-scoring (most essential) 60% and 30% bottom-scoring (least essential)  
820 genes for each screen were filtered out. Genes confidently scored in each of the  
821 screens were assigned binary scores of 1 (indicating "essential") or 2 (indicating  
822 "dispensable") for further functional analysis.

823

824 **Table S3.** Each essentiality profile was tested for GO-term functional enrichment  
825 against a background of all 816 genes in the analysis. Significant GO terms (weighted  
826 Fisher/elim-hybrid test p.value <= 0.05) are reported.

827

828 **Table S4.** 132 genes out of the 816-gene comparison-set are (1) essential in all three  
829 phenotype-screens and (2) have no human orthologs (as determined by orthoFinder).

830

831 **Table S5.** Single-copy orthologs of previously determined Indispensable Conserved  
832 Apicomplexan Proteins (ICAPs) and available phenotype information from each screen.  
833 These previously-unannotated genes were investigated via targeted disruption in *T.*  
834 *gondii* to confirm essentiality and assess localization. The phenotype scoring for the  
835 majority of these genes across all three assays did not meet our stringent requirements  
836 for comparison, but many are likely potential genes of interest, particularly those for  
837 which essentiality is likely in *Pf* and *Pb*.

838

839 **Table S6.** The full orthoFinder-determined ortholog lists of the 132 shared-essential  
840 genes with no human orthologs from the 816-gene comparison set across select  
841 apicomplexans and outgroup chromerids.

842

843 **File 2:**

844

845 **Table S7.** All single-copy orthologs shared between *P. falciparum* and *P. berghei*.

846

847 **Table S8.** All *Pf-Pb* single-copy orthologs which have phenotype scores above  
848 confidence-threshold in both essentiality screens. Genes were ranked by essentiality  
849 phenotype-score in each respective screen (by Mutagenesis Index-Score (MIS) for *Pf*,  
850 and Relative Growth Rate (RGR) for *Pb*). Top-scoring (most essential) 60% and 30%  
851 bottom-scoring (least essential) genes for each screen were assigned binary scores of 1  
852 (indicating "essential") or 2 (indicating "dispensable") for further functional analysis.

853

854 **Table S9.** Each essentiality category was tested for GO-term functional enrichment  
855 against a background of all 1407 genes in the analysis. Significant GO terms (weighted-  
856 Fisher/elim-hybrid test p.value  $\leq 0.05$ ) are reported.

857

858 **Table S10.** All single-copy orthologs shared between *P. falciparum* and *T. gondii*.

859

860 **Table S11.** All *Pf-Tg* single-copy orthologs which have phenotype scores above  
861 confidence-threshold in both essentiality screens. Genes were ranked by essentiality  
862 phenotype-score in each respective screen (by Mutagenesis Index-Score (MIS) for *Pf*,  
863 and Mean Phenotype Score for *Tg*). Top-scoring (most essential) 60% and 30% bottom-  
864 scoring (least essential) genes for each screen were assigned binary scores of 1  
865 (indicating "essential") or 2 (indicating "dispensable") for further functional analysis.

866

867 **Table S12.** Each essentiality category was tested for GO-term functional enrichment  
868 against a background of all 1856 genes in the analysis. Significant GO terms (weighted-  
869 Fisher/elim-hybrid test p.value  $\leq 0.05$ ) are reported.